



Adopted Budget  
2015-2016

City of Tracy  
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Tracy, CA 95376  
(209) 831-6000  
[www.ThinkInsideTheTriangle.com](http://www.ThinkInsideTheTriangle.com)

Think Inside The Triangle

City of Tracy, California

**ADOPTED  
PROGRAM BUDGET  
FISCAL YEAR 2015-2016**

*Prepared by*

Administrative Services Department  
Finance Division

July 2015

City Council

**Michael Maciel**

*Mayor*

**Robert Rickman**

*Mayor Pro Tem*

**Mary Mitracos**

*Council Member*

**Veronica Vargas**

*Council Member*

**Nancy Young**

*Council Member*

Other Elected Officials

**Raymond McCray**

*City Treasurer*

City of Tracy, California

ADOPTED  
PROGRAM BUDGET  
FISCAL YEAR 2015-2016

**Troy S. Brown**  
*City Manager*

**Andrew Malik**  
*Interim Assistant City Manager*

**Dan Sodergren**  
*City Attorney*

**Ray Durant**  
*Interim Administrative  
Services Director*

Other Department Heads

**Gary Hampton**  
*Public Safety Director*

**Andrew Malik**  
*Development Services Director*

**David Ferguson**  
*Public Works Director*

**Kul Sharma**  
*Utilities Director*

*Other Finance Division Staff Members:*

Allan Borwick, Budget Officer  
Peggy Barnes, Accounting Technician  
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Robert Harmon, Senior Accountant  
Donald Higgins, Sr. Accounting Assistant  
Elizabeth Leal, Accounting Assistant  
Rosemarie Marquez, Accounting Technician

Stacey Merjil, Accounting Assistant  
Linda Moniz, Account Coordinator  
Kelly Murillo, Accounting Assistant  
Veronica Nunez, Clerical Assistant  
Delores Ohm, Sr. Accounting Assistant  
Grace Segura, Sr. Accounting Assistant  
Raquel Votaw, Sr. Accounting Assistant  
Isabel Yamada, Accounting Technician

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*Distinguished  
Budget Presentation  
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PRESENTED TO

**City of Tracy  
California**

For the Fiscal Year Beginning

**July 1, 2014**

Executive Director

The Government Finance Officer Association of the United States and Canada (GFOA) presented a Distinguished Budget Presentation Award to City of Tracy, California for its annual budget for fiscal year beginning July 1, 2014.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan and as a communication device. The award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.



Think Inside the Triangle™

July 1, 2015

City of Tracy  
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## FY15-16 BUDGET ADDENDUM

The City of Tracy proposed FY15-16 budget was released on May 8, 2015. A budget workshop was held on May 19, 2015 where the City Council reviewed and considered the proposed budget. On June 16, 2015, the Council held a public hearing on the proposed budget; and after review and deliberation, the City Council adopted and approved the budget.

The following modifications have been made to the proposed budget since it was presented to the City Council on May 19 2015.

1. The proposed General Fund appropriations for the Development Services Department were increased by \$678,200 to provide for the Code Enforcement Division and the Director's Office share of General Fund overhead.
2. The proposed appropriations for the same costs were deleted from the Community Development Fund. Also, the proposed transfer from the General Fund to the Community Development Fund was reduced by the same amount.
3. A budget savings figure of \$1,000,000 has been estimated for the General Fund. This will reduce the General Fund operating budget appropriations for FY15-16 by \$1,000,000 and increase its projected ending fund balance by that amount.
4. A projected Community Benefit payment of \$1,250,000 from the Cordes Ranch project has been included. This will increase the projected General Fund ending fund balance by that amount.

The following is a summary of the modifications to appropriations and interfund transfers made between the proposed and adopted budget.

| APPROPRIATIONS             | <u>Proposed</u>      | <u>Adopted</u>       |
|----------------------------|----------------------|----------------------|
| Operating Budget           |                      |                      |
| General Fund               | \$48,720,830         | \$48,399,030         |
| Community Development Fund | 8,577,110            | 7,898,910            |
| Other Funds                | 70,179,480           | 70,179,480           |
| Sub Total                  | <u>\$127,477,420</u> | <u>\$126,477,420</u> |
| Capital Budget             | 35,189,140           | 35,189,140           |
| Debt Service               | 26,477,860           | 26,477,860           |
| Total Appropriations       | <u>\$189,144,420</u> | <u>\$188,144,420</u> |
| INTERFUND TRANSFERS        |                      |                      |
| General Fund               | \$2,599,350          | \$1,921,150          |
| Other Funds                | 486,880              | 1,736,880            |
| Total IF Transfers         | <u>\$3,086,230</u>   | <u>\$3,658,030</u>   |

# *Budget Message*



Think Inside the Triangle™



June 2, 2015

Honorable Mayor and City Council:

I am pleased to provide you with a recommended Fiscal Year (FY) 2015/16 annual budget and capital improvement program for the City of Tracy. This spending plan reflects our commitment to provide a high level of service to our residents, while preserving the City's long-term financial viability.

**SUMMARY:**

The proposed FY 2015/16 budget totals reflect \$224.6 million in revenues and \$188.1 million in expenditures. Revenues increased \$3.3 million and expenditures decreased \$39.8 million (1.5% and -17.0% respectively), compared to the FY 2014/15 adopted budget. The proposed FY 2015/16 General Fund budget totals \$50.3 million and the City's Capital budget totals \$35.2 million. Proposed FY 2015/16 General Fund budget is balanced and anticipates adding approximately \$4.9M to reserves, bringing the year end estimated General Fund reserves to \$35.0 million.

This budget year is best described in two ways. On one hand, the future is exciting and holds a great deal of promise due to the resurgence in development activity reflective of the City's economic recovery. The annexation of 1,700 acres at Cordes Ranch and anticipated residential developments like Tracy Hills, Ellis and others demonstrate steps toward a healthy recovery. The City is poised for positive growth with an established plan to balance new residential development with retail, commercial, and industrial development. Although property tax revenue has not rebounded as quickly, it is expected to improve due to improvements in assessed value and increases in home prices. Additionally, the influx of companies like Amazon has created a spin off affect that has, and will continue to result in ancillary companies locating in Tracy.

On the other hand, while the City's revenue outlook appears promising, there are five challenges on the horizon that will require the City's continued diligence in managing service demands while developing revenue strategies.

1. The expiration of Measure E's ½ cent sales tax in 2016 will result in a future deficit, due to the loss of revenue. The loss of this resource could have significant impacts in all General Fund service areas of the City. Through a number of consolidations, downsizings and cost shifts the City has positioned itself well to minimize the immediate impacts to the loss of this resource. In addition, the City Council has set aside reserves to mitigate the impact of the loss of Measure E; however, ongoing uncontrollable costs (such as CalPERS and healthcare) continue to outpace savings that have been realized over the years. Addressing a projected \$2M ongoing structural deficit could have impacts on our ability to maintain current service levels in public safety, parks and recreation programming and maintenance as well as infrastructure maintenance. In FY 2014/15, Measure E is expected to peak at \$7.5 million. Although the loss of Measure

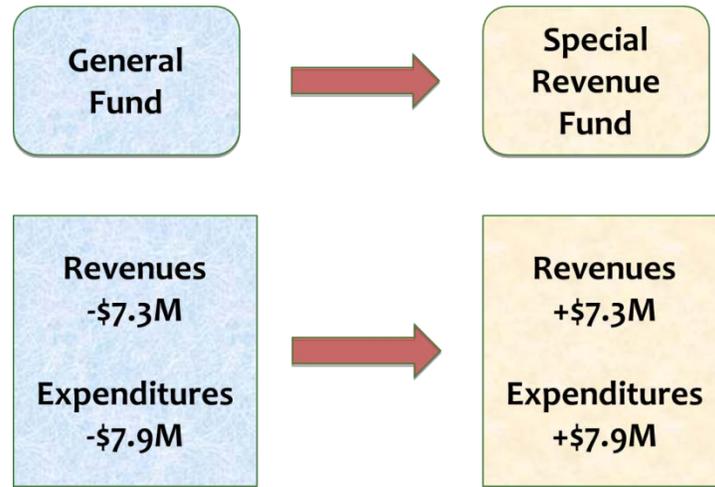
E revenue could be replaced through additional sales tax growth by anticipated new industrial and commercial, this will take time.

2. Although employees have assumed the full employee share of pension costs, recent PERS rate adjustments for the employer share continue to increase due to changes in actuarial assumptions. Over the course of the forecast years, the City's PERS costs will have increased 50% from \$8.M in FY 2014/15 to an estimated \$12M in FY 2020/21. The City's recent revenue strategy to attract e-commerce and point-of-sale companies has been very successful (e.g. Amazon, Crate and Barrel and Southwest School and Office Supply). However, it has been demonstrated that point-of-sale allocation from internet sales can be challenging to realize for all companies. Further, policy discussions at the state level regarding the allocation of internet sales may change, potentially impacting City sales tax revenue and ultimately, business attraction efforts. For these reasons, the City should strategically diversify its sales tax portfolio.
3. Restructuring efforts and employee reductions over the last five years have left the organization challenged with doing more with less. The employees are dedicated to their work and the organization continues to strive to maintain service levels at those commiserate with 2009 levels. There has been a modest change in service levels over the past four years in spite of the fact that the organization has reduced our workforce by approximately 20% or 100 full time equivalents (F.T.E), this is not sustainable. A thoughtful approach of "doing less with less" as it relates to services will be required to enable the City to focus on its core services. Trying to change to a **do less with less strategy** will be challenging. To maintain quality service levels and ensure efficient operations, staffing levels must continue to be carefully evaluated based on available resources, efficiency gains, and benefit to the community.
4. As the life cycle of the City's existing assets decline, the need to maintain City infrastructure remains. Infrastructure maintenance needs coupled with regulatory requirements have increased costs significantly. Funds when available should be set aside to address future infrastructure maintenance and replacement needs.

The overall budget approach for FY 2015/16 was that of a hold the line approach. As mentioned, although there are certainly signs of an economic recovery, given the financial challenges that still face the City, activities that add ongoing expenditures to the budget were kept to a minimum. In addition, the City and employees have engaged in the collective bargaining process as required under state law to discuss wages and working conditions. In addition to the reductions of the workforce, employees have now gone 7 years without salary adjustments of any kind. Since employee negotiations will not be complete prior to adoption of the budget, no raises or cost-of-living adjustments have been programmed into the budget. That said the proposed FY 2015/16 General Fund budget therefore reflects minimum ongoing and one time augmentations. Proposed augmentations are approximately \$1.3 million; \$.4 million are one-time expenses, and \$.9 million are ongoing operational expenses.

A structural change to the FY 2015/16 budget is that Development Services has been moved from the General Fund into a Special Revenue Fund. This structural change does not change the overall City budget amount; it just changes the location of the funding. This change was implemented as a fiscal method of isolating the General Fund from the effects of the economic swings that occur in development and to quantify the amount of the General Fund subsidy to Development Services.

The result of this change is illustrated below:



In order to provide a historical reference the table below represents the “Restated Historical Development Services Budgets” following the same budget principals as being implemented in the proposed FY 2015/16 budget.

| <b>Development Services as Restated</b> |                           |                           |                           |                              |                             |
|---|---------------------------|---------------------------|---------------------------|------------------------------|-----------------------------|
|   | <b>FY12-13<br/>Actual</b> | <b>FY13-14<br/>Actual</b> | <b>FY14-15<br/>Budget</b> | <b>FY14-15<br/>Estimated</b> | <b>FY15-16<br/>Proposed</b> |
| Revenues                                | \$5,202,972               | \$5,267,562               | \$6,517,730               | \$6,411,200                  | \$7,298,900                 |
| Transfers In                            | \$1,081,574               | \$1,033,431               | \$1,613,540               | \$958,710                    | \$600,010                   |
| Expenditures                            | \$6,284,546               | \$6,300,993               | \$8,131,270               | \$7,369,910                  | \$7,898,910                 |
| <b>Surplus/Deficit</b>                  | <b>\$0</b>                | <b>\$0</b>                | <b>\$0</b>                | <b>\$0</b>                   | <b>\$0</b>                  |

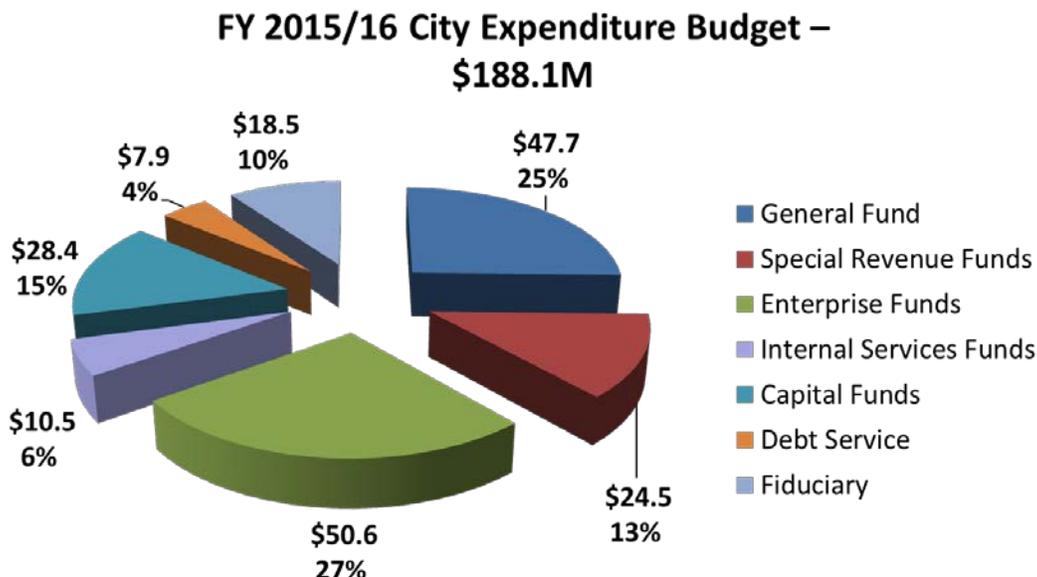
Note: The numbers above represent Economic Development & Code Enforcement remaining in the General Fund.

### **FY 2015/16 CITY BUDGET OVERVIEW**

The FY 2015/16 City budget is approximately \$188.1 million and is comprised of three components: the Operating Budget (General Fund, Enterprise, Internal Service, and Special Revenue Funds) Capital Budget, and Debt Service Budget.

Total FY 2015/16 City revenues are \$224.6 million and total expenditures are \$188.1 million. Revenues increased \$3.3 million and expenditures decreased \$38.8 million (1.5% and -17.0% respectively), compared to the FY 2014/15 adopted budget. Revenues and Expenditures can vary greatly from year to year as the impact of capital projects which are approved in a one year and expensed in another occur.

The chart below reflects the FY 2015/16 total City budget of \$188.1 million:



#### FY 2015/16 GENERAL FUND BUDGET

The proposed FY 2015/16 General Fund Budget is a balanced budget. As with the prior year, general fund reserves are not required to balance the FY 2015/16 budget, with an anticipated General Fund net excess amount of \$4.9M. Based on current projections, the City’s revenue outlook is positive, but continues to be challenged by uncontrollable personnel-related expenses and the anticipated expiration of “Measure E” sales tax.

#### ***FY 2015/16 General Fund Budget Summary***

| <b>GENERAL FUND SOURCES</b>             |                |
|---|----------------|
| <i>Property Tax</i>                     | \$18.2         |
| <i>Sales Tax</i>                        | \$17.3         |
| <i>Temporary Taxes – Measure E</i>      | \$6.3          |
| <i>Other Revenue/Transfers</i>          | \$12.2         |
| <i>Transfers In</i>                     | \$1.2          |
| <b>Total Sources</b>                    | <b>\$55.2M</b> |
| <br>                                    |                |
| <b>GENERAL FUND USES</b>                |                |
| <i>General Fund Operating Expenses</i>  | \$48.4         |
| <i>Debt Service Payments</i>            | \$1.2          |
| <i>General Fund Transfers</i>           | \$.7           |
| <b>TOTAL GENERAL FUND USE</b>           | <b>\$50.3M</b> |
| <br>                                    |                |
| <b>Total General Fund Net Resources</b> | <b>\$4.9M</b>  |

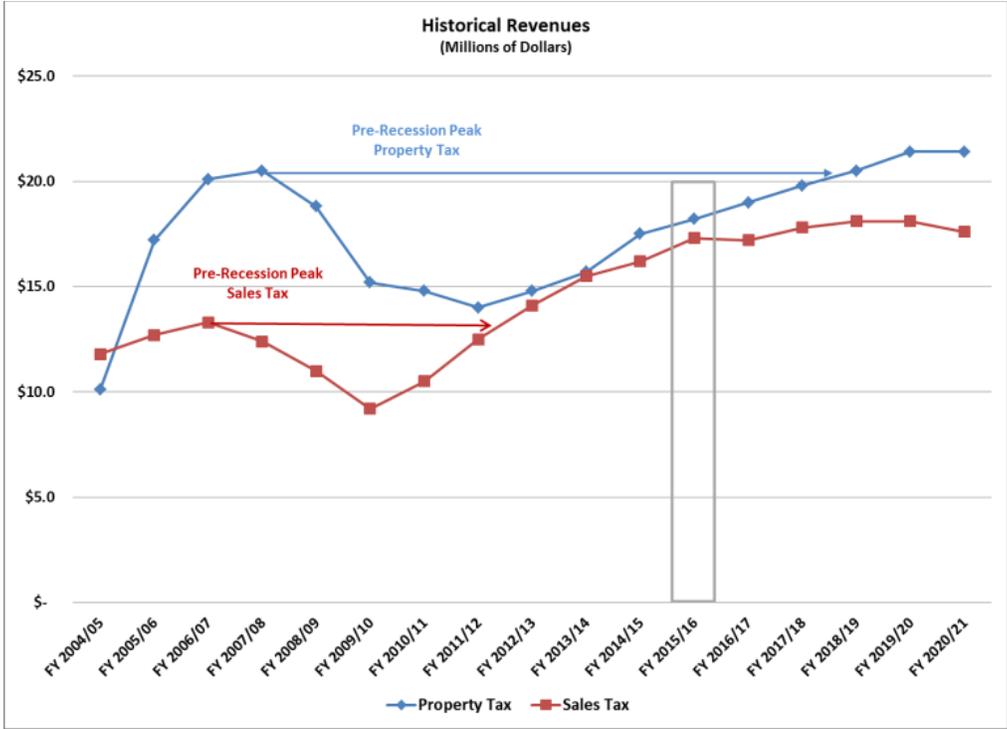
**General Fund Revenues**

Total FY 2015/16 General Fund revenues and transfers in are projected to be \$55.2 million. The revenue outlook is optimistic, except for “Measure E” going away, due to the economic recovery in the region and improvement in economically sensitive revenue, particularly sales tax. Diversification of sales tax continues to be an important objective so the City can better weather effects of economic cycles and/or legislative changes while still maintaining service levels to core service areas such as public safety and infrastructure maintenance.

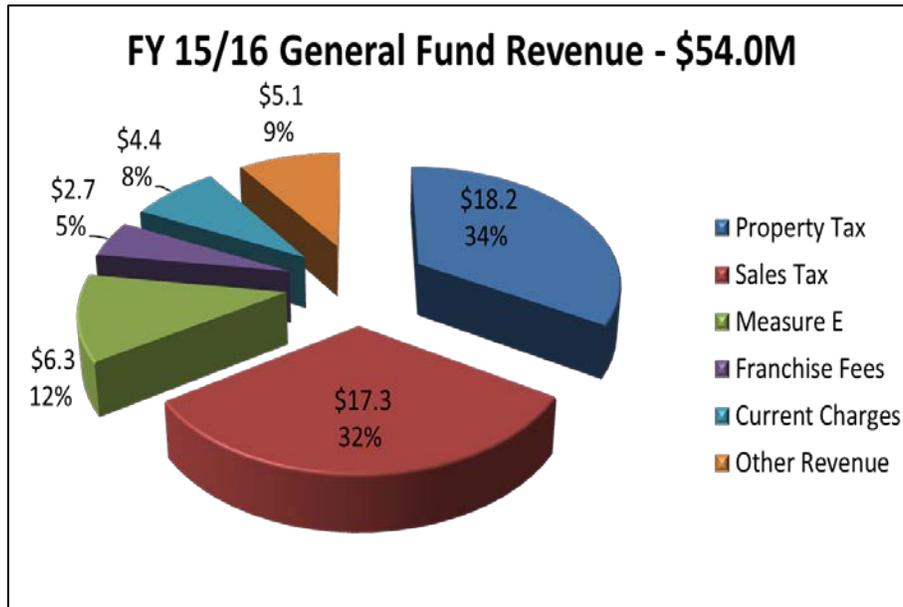
Property tax continues to recover, albeit at a much slower rate than sales tax. Property tax growth over the past two years has been positive and is expected to reach pre-recession levels within the next three to five years. Several new residential developments are also underway and many others are planned for the future. Future development will also help expand the property tax base; however, property tax revenue for new development is limited due to existing Master Tax Sharing Agreements with the County. On average, the City can expect to receive only 5-cents from every dollar of property tax from future development.

As you can see from the table below, property tax remains significantly lower than pre-recession levels, while sales tax has surpassed peak levels in FY 2006/07. “Measure E” has added critical resources helping with the revenue gap while the economy recovers; however with it going away it is uncertain when the City’s revenue situation will stabilize.

**General Fund Revenue History**



The chart below reflects the City's key revenue sources. Approximately 78% of General Fund revenue comes from property tax and sales tax (including Measure E).

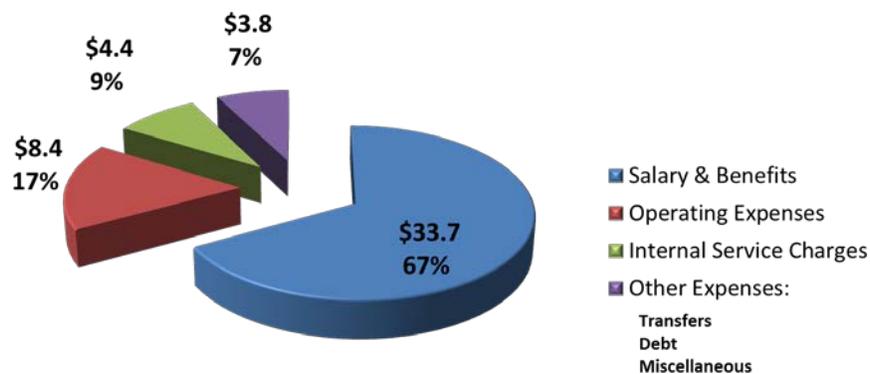


### General Fund Expenditures

Total FY 2015/16 General Fund expenditures are \$51.3 million. These expenses are comprised of four major categories: salaries and benefits, internal service charges, debt service, and other operating expenses for supplies and services, which have been adjusted for inflation.

As reflected in the chart below, salaries and benefits continue to be the largest driver of expenditures (68%) of the City's General Fund operating costs; the budget reflects non-discretionary increases in health care, PERS, and post retirement expenses (conversion of sick leave to reimburse health care premiums). [A1]

**FY 15/16 General Fund Expenditures By Type - \$50.3M**



It is important to once again acknowledge that the cooperation of employee organizations was instrumental in weathering the recession. However, PERS has adopted a 5-year plan to address changes in mortality and to ensure that the retirement plan is 100% funded in 30 years. Under the old method, plans for the state, local governments and non-teaching school employees were projected to be 79% to 86% funded in 30 years. While this change will provide some rate predictability and mitigate future rate spikes should there be heavy investment losses, it will significantly impact the City's General Fund budget expenditures. PERS increases, coupled with escalations in health care costs will be a budget challenge over the next several years. The table below reflects current and projected PERS costs over the next 6 years:

**Estimated PERS Costs by Group**

|               | FY<br>2015/16 | FY<br>2016/17 | FY<br>2017/18  | FY<br>2018/19  | FY<br>2019/20  | FY<br>2020/21  |
|---------------|---------------|---------------|----------------|----------------|----------------|----------------|
| Safety        | \$5.3M        | \$5.8M        | \$6.0M         | \$6.3M         | \$6.6M         | \$6.9M         |
| Miscellaneous | \$3.8M        | \$4.1M        | \$4.4M         | \$4.7M         | \$5.1M         | \$5.5M         |
| <b>TOTAL</b>  | <b>\$9.1M</b> | <b>\$9.9M</b> | <b>\$10.4M</b> | <b>\$11.0M</b> | <b>\$11.7M</b> | <b>\$12.4M</b> |

**PROPOSED FY 2015/16 GENERAL FUND BUDGET AUGMENTATIONS**

Total proposed FY 2015/16 General Fund Budget Augmentations, including one-time costs are approximately \$1.1 million. Taking one-time costs of \$400,000 into consideration, proposed ongoing augmentations are estimated to be \$700,000 as reflected in the table below.

**Proposed FY 2015/16 General Fund Budget Augmentations**

|   |              |
|---|--------------|
| Proposed FY 2015/16 Budget Augmentations  | \$1.3M       |
| Less One-Time Expenses                    | (\$.4M)      |
| <b>Total Ongoing Budget Augmentations</b> | <b>\$.9M</b> |

***Ongoing General Fund Budget Augmentations***

Of the \$.9 million of ongoing augmentations, approximately \$102,000 relates to quality of life initiatives and \$419,000 relate to public safety. The remaining ongoing augmentations are for miscellaneous items, including but not limited to: increased maintenance and special recruitment costs. In regard to the \$400,000 of one time augmentations: \$240,000 is public safety related, \$73,000 is for increased maintenance needs and \$100,000 is for increased technology costs.

As a municipal corporation, we are in the “people serving people” business. As such, much of our expenses are driven by personnel costs. The FY 2015/16 proposed budget makes very minimal requests for new additional FTE’s. When considering adding FTEs, first it is important to find revenue offsets to reduce the ongoing impacts of the position. Second, the position should provide the greatest value to the organization and the communities so that precious

general fund dollars are maximized, giving the City the greatest bang for its buck. Along those lines, a particularly notable augmentation is the refunding of the eliminated Parks and Community Services Director position. While the long term financial outlook for the City post-Measure E is one of uncertainty, the organization is in immediate need of a single point of contact to address community recreational needs, parks planning and oversight of parks construction. As we continue to experience moderate growth in the coming years, commitment and coordination in this area will have a significant positive impact on quality of life and will have an immediate impact on coordination of community programming and park development in the City.

## **GENERAL FUND RESERVES**

The City's General Fund Reserves continues to be strong. As of June 30, 2014, the City's total reserves were \$35.1 million. In October of 2014 the City Council adopted a Reserve Policy establishing three Reserve categories:

- General Fund "Measure E" Mitigation Reserve
- General Fund Economic/Budget Stability Reserve
- General Fund Contingency Reserve

Use of the Reserves must be approved by the City Council and repaid over a period to be determined by the City Council at the time of usage approval, with a target repayment period of no more than three years.

### ***General Fund "Measure E" Mitigation Reserve***

The "Measure E" Mitigation Reserve is to be used as bridge funding upon the sunset of the Measure E sales tax in FY 2016/17. This temporary reserve is to be used over a multi-year period to mitigate the loss of revenue from the half cent sales tax and allow the City time to transition to the reduced revenue level. The "Measure E" Mitigation Reserve was established at \$7 million as this was estimated to be the peak revenue prior to the measure's expiration in March 2016.

### ***General Fund Economic/Budget Stability Reserve***

The Economic/Budget Stability Reserve is intended to offset revenue/expenditure uncertainty while stabilizing service levels through economic cycles. The Economic/Budget Stability Reserve was established at \$5.8 million with a targeted goal of 10% of the General Fund's adopted annual budget for expenditures and recurring transfers out.

### ***General Fund Contingency Reserve***

The Contingency Reserve funds help mitigates the effects of unanticipated situations such as natural disasters and severe, unforeseen events. **This reserve should be used only in extreme situations.** The Contingency Reserve was established at \$10.6 million with a targeted goal of 20% of the General Fund's adopted annual budget for expenditures, including recurring transfers out.

The table below shows the Fund Balance and the Reserve Balances at October FY 2014/15 and the estimated beginning balances for FY 2015/16.

| <b>FY 2015/16 Estimated Beginning Fund Balance</b>    |  |   |                  |  |
|---|--|---|------------------|--|
| <b>Fund Balance &amp; Reserve Balances (Millions)</b> | <b>FY 2014/15 Estimated Fund Balance</b> | <b>Estimated FY 2014/15 Additions FY 2014/15 (Uses)</b> |                  | <b>FY 2015/16 Estimated Fund Balance</b> |
| Fund Balance  | \$ 10.7                                  | \$ 5.6  | \$ (10.5)        | \$ 5.8                                   |
| Measure "E" Mitigation Reserve                        | \$ 7.0                                   | \$ -  | \$ -             | \$ 7.0                                   |
| Economic/Budget Stability Reserve                     | \$ 5.8                                   | \$ -  | \$ -             | \$ 5.8                                   |
| Contingency/Emergency Reserve                         | \$ 11.6                                  | \$ -  | \$ -             | \$ 11.6                                  |
| <b>Total Fund Balance &amp; Reserves</b>              | <b>\$ 35.1</b>                           | <b>\$ 5.6</b>   | <b>\$ (10.5)</b> | <b>\$ 30.2</b>                           |

In the table above the \$5.6 million are the estimated year end operational results and the \$10.5 million uses are for the following Capital projects:

- Police Radio Tower – \$2.5M
- “Joe Wilson” Swimming Pool -\$1.6M
- Legacy Fields – \$5.0M
- LED Street Light Conversion – \$1.2M
- Other projects - \$.2M

### **GENERAL FUND FIVE-YEAR FORECAST**

The General Fund Five-Year Forecast spans FY 2015/16 through FY 2020/21 and is based on several key general fund revenue and expenditures assumptions, many of which are determined by established policy, trend analysis from prior years, and/or empirical data provided by consulting and auditing firms.

The five-year financial projections below reflect revenues less expenditures for FY 2015/16 through FY 2020/21.

### FIVE-YEAR FORECAST

| GENERAL FUND FORECAST             | FY 14/15        | FY 15/16      | FY 16/17        | FY 17/18        | FY 18/19        | FY 19/20        | FY 20/21        |
|-----------------------------------|-----------------|---------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| REVENUES                          | \$60.1M         | \$55.2M       | \$51.1M         | \$51.1M         | \$52.3M         | \$52.0M         | \$51.6M         |
| EXPENDITURES                      | \$65.0M         | \$50.3M       | \$51.1M         | \$52.9M         | \$55.0M         | \$56.9M         | \$58.8M         |
| <b>REVENUES LESS EXPENDITURES</b> | <b>(\$4.9M)</b> | <b>\$4.9M</b> | <b>(\$0.0M)</b> | <b>(\$1.8M)</b> | <b>(\$2.7M)</b> | <b>(\$4.9M)</b> | <b>(\$7.2M)</b> |

*Note: Beginning in FY 15/16 and continuing through FY 18/19 Revenues include an annual transfer of \$1.25 million for the Cordes Ranch community benefit agreement and does not included the use of any Reserves.*

FY 2014/15 year-end projections assume net resources of \$5.6 million not including the transfers for Capital projects of \$10.5M, including the Capital projects the result is a \$4.9M deficit for the fiscal year. The positive \$5.6 result is due to an improving economy, uptick in development, and strong sales tax growth in addition to operational savings of \$3.1M.

Excess revenue over expenditures of \$4.9 million is anticipated for FY 2015/16. As mentioned previously, unlike sales tax, property tax has not yet returned to pre-recession levels; however, preliminary trends indicate that assessed value in San Joaquin County may significantly improve over the next couple of years. It is important to remember that the City's portion of property tax revenue is limited for new development – for every dollar, the City only receives approximately 5.25 cents versus the City's regular share, which is 35 cents per dollar. For some developments, the City receives little to no property tax.

Non-discretionary expenditures continue to rise due to changes in employee health care expenses, adjustments to PERS, scheduled employee merit increases, cost of living increases in commodities and maintenance contracts, utilities, and required life and safety expenses. The Five-Year Forecast does not assume any new positions or adjustments to employee salaries. While past reduction strategies will help mitigate the loss of "Measure E" in the short term, uncontrollable expenditures have grown, contributing to the future deficits.

Several revenue opportunities are on the horizon, but cannot be incorporated into the forecast until there is a higher level of certainty as to if and when those projects will occur. In the interim, staff continues to develop revenue enhancement strategies and cost-containment approaches to mitigate assumed future deficits.

Addressing the anticipated future deficits will require diligence. Fortunately, the City does have reserves to cushion the revenue loss of Measure E. However, reserves cannot be relied upon to resolve an ongoing structural deficit. Closing the deficit of the magnitude projected will require difficult policy decisions and will have impacts on services. In anticipation of the impending deficit, I have initiated a process to begin identifying and analyzing potential ways to

create further expenditure reductions, in addition to revenue enhancements that could minimize the total impacts projected from the loss of Measure E. To ensure transparency throughout the difficult financial situation that waits, over the course of the next several months we will engage the community and the council in a dialogue about the structural deficit and to listen about what is important in service delivery for the City.

## FY 2015/16 CAPITAL BUDGET OVERVIEW

The total proposed FY 2015/16 Capital Improvement Plan (CIP) is approximately \$145 million including carry forward amounts. Of that amount, \$35.2 million is for new projects. There are 139 active previously funded projects and 32 new projects.

This year funding for General Fund (Fund 301) projects is limited, approximately \$700,000 is available. As part of the FY 2015/16 CIP Workshop, Council recommended use of Fund 301 monies to support the following active projects:

- Fire Station #96 – New Radio Tower (\$50K)
- Site Improvements – Tower Site (\$100K)
- Fire Station #91 – Tenant Improvements (\$30K)
- HR/Financial Information System (\$112.5K)
- CC Water Tower Repaint & Retrofit (125K)
- Bleacher Removal at Tracy Ballpark (75K)

Funding was also recommended for a new project

- Mt Diablo/Mt Oso Sidewalks & Street Lights (\$200K)

The proposed Non General Fund projects are as follows:

|                            |                              |
|----------------------------|------------------------------|
| Traffic Safety             | • 3 Projects - \$2,067,200   |
| Water Improvements         | • 11 Projects - \$10,700,900 |
| Streets & Highways         | • 13 Projects - \$12,709,600 |
| Airport & Transit          | • 4 Projects - \$1,535,000   |
| Wastewater Improvements    | • 9 Projects - \$4,812,940   |
| Drainage Improvements      | • 1 Project - \$1,171,000    |
| Miscellaneous Improvements | • 8 Projects - \$1,812,500   |

## **FUTURE CHALLENGES**

As discussed earlier, this budget is presented with cautious optimism. Several issues are on the horizon that will require strategic attention as the City continues to grow and pursue its goal of building an economically robust and fiscally sustainable, while maintaining outstanding public safety and infrastructure maintenance for a safe, livable community.

### Expiration of Measure E

When Measure E was approved by the voters in 2006, its purpose was to serve as temporary bridge funding to allow the City to make expenditure adjustments while the economy recovered. Although the revenue picture has improved and the City has made substantial expenditure reductions, we have only been able to balance the budget without reserves, because of Measure E. Measure E is expected to generate \$6.3 million in FY 2015/16. Given this, the City must examine its reliance on Measure E and realign its budget and operational needs and base them on permanent resources. As previously mentioned this process will require Council and the community to explore and prioritize its service expectations and needs.

### PERS Rate Increases

Due to changes in actuarial assumptions related to mortality coupled with past investment losses, PERS will adjust rates to absorb the costs of retired workers living longer. While the implementation of the rate increases will be phased in over a 5-year period and is effective FY 16/17, it comes on the heels of rate increases already scheduled for FY 14/15 – FY 15/16. Over the course of the forecast years, the City's PERS costs will have increased 50% from \$9.1M in FY 2015/16 to an estimated \$12.4M in FY 2020/21

### Future E-Commerce

The future of e-commerce and allocation of internet sales tax continues to be unclear. In June 2012, the League of California Cities Revenue and Taxation Committee adopted a policy position that tax proceeds collected from internet sales should be allocated to the location where the product is received by the purchaser. This is contrary to the past practice of the Board of Equalization (BOE), which has allocated internet sales tax based on the location of the sales order desk.

While it is difficult to determine how this may affect revenue generated by the City's future fulfillment centers, the City will continue its efforts to diversify its sales tax portfolio and protect local resources by actively using lobbyist and sales tax consultant resources.

### Unmet Staffing Needs

Since the Great Recession, the City has maintained a 20% reduction in its workforce, but not without its challenges. While this strategy was helpful in lowering expenses, the City continues to struggle in doing more with less as service levels were not adjusted to reflect available resources. Staffing reductions without corresponding service reductions contributes to poor customer service, operational inefficiencies and is not sustainable. Given this, the City must explore alternative service delivery options and the restoration of select city-wide positions. The decision of restoring staffing will be strategically and carefully evaluated based on the overall

benefit to the community and/or efficiencies that may result in immediate or future budget savings.

#### Facility (Non-Safety) and Infrastructure Funding

One significant and continuing challenge facing the City is the increasing cost of maintaining its infrastructure. This is due to the following three factors: (1) as Tracy ages, so does its public infrastructure; (2) increased growth results in additional infrastructure maintenance, which further stretches City's resources; and (3) maintenance requirements, which are regulatory in nature, have increased and add significant costs. Addressing this concern becomes even more challenging given competing priorities for City resources.

#### **CONCLUSION**

The City of Tracy is proud of its prudent use of resources, which has enabled it to successfully navigate through the prolonged recession. The impacts of any additional economic downturn will require the City to reevaluate its service goals and priorities. However, past fiscal discipline and wise stewardship has made it possible for the City to take a balanced approach as we begin to strategically plan for the future.

I would like to thank the staff in all departments that worked tirelessly on development of the 2015/16 budget. In particular, I would like to thank Alan Borwick, Budget Officer for his work in development of the budget, Ray Durant, of Management Partners who is overseeing development of the Budget and the City Council and City staff for their continued partnership that has allowed us to effectively meet the challenges we face and take advantage of new opportunities as we move forward.



Troy Brown  
City Manager

#### Appendices:

1. City of Tracy 2-Year Strategic Business Plan
2. City Profile
3. City of Tracy Statistics



## Mission, Vision, Values

### Mission

We provide the community of Tracy with basic and extended services that offer opportunities for individuals, families and businesses to prosper as they live, work and play in Tracy.

### Purpose & Vision

Our purpose is to preserve and improve the quality of life for Tracy so that we become the most prosperous community in California.

### Values

The values we operate by daily include:

**Customer Service** – We serve our community by providing superior customer service as we work collaboratively to support our common values and vision as we strive to achieve shared goals of our departments and the City of Tracy as a whole.

**Honesty** – We nurture an atmosphere of openness, honesty and integrity in our communication and action throughout our organization and with the greater public who we serve.

**Excellence** – We meet expectations in the performance of our professional duties and delivery of our services through leadership, innovation, efficiency and follow-through to excellent results and accomplishments.

**Respect** – We respect our colleagues and each individual or business of Tracy that we serve in our communications and through the delivery of our services.

**Innovation** – We participate in and cultivate a creative and learning environment where each employee has access to continuing education, training and technology to excel in the delivery of outstanding services to the community.

**Stewardship** – We utilize the resources entrusted to the City for the benefit of the community of Tracy and in consideration of the environment we wish to preserve for Tracy's future.

**Hospitality** – We maintain a positive and welcoming atmosphere and offer excellent customer service for the diverse community members and businesses that interact with the City of Tracy.

# Strategic Goals & Priorities

## INTERNAL

We will create a highly efficient work and service environment within the City of Tracy that reflects our values of customer service, honesty, excellence, respect, innovation, stewardship and hospitality.

## EXTERNAL

We will operate by our values of customer service, honesty, excellence, respect, innovation, stewardship and hospitality as we accomplish the outstanding delivery of services and create opportunities toward a prosperous community. Specifically, we will work to achieve the following strategic priorities for the City of Tracy as identified in the citywide General Plan.

The City of Tracy's City Council adopted four (4) strategic priorities to concentrate on from 2013-2015. The four (4) strategic priorities are:

- 
1. ECONOMIC DEVELOPMENT STRATEGY
  2. PUBLIC SAFETY STRATEGY
  3. QUALITY OF LIFE
  4. GOVERNANCE

These four (4) strategic priorities reflect the community's desire to have a fiscally responsible and efficiently-operated City organization that is committed to maintaining its fiscal health. Additionally, a number of economic development efforts have been the focus of several years, with job creation being at the forefront. This continues to be a priority for the City, including ensuring a positive working relationship with the business community so that the City's business attraction efforts results in bringing in targeted industries and retailers. As with any City, having a safe community is very important to its residents and public safety continues to be at the forefront.



## ECONOMIC DEVELOPMENT STRATEGY

Purpose: Enhance the competitiveness of the City while further developing a strong and diverse economic base.

### Goal 1

Create head-of-household jobs reflective of the City's target industries and those that best match the skill sets of the local labor force.

#### Objectives

- Focus business recruitment efforts on our identified Target Industries: Medical Equipment & Supplies, Food Processing, Renewable Resources & Technology, Manufacturing, Backroom Office & IT.
- Foster relationships with existing businesses to support the overall upgrade and expansion of employment opportunities.
- Continuously review and improve the streamline permit process and ensure quality infrastructure to meet future development needs.

#### Performance Measures

- Increase overall job growth by 5% citywide annually.
- Target 30% of new jobs annually to be head-of-household positions.
- Approve 4 Grow Tracy Fund Loans to new/existing businesses.

### Goal 2

Attract retail and entertainment uses that offer residents quality dining, shopping and entertainment experiences.

#### Objectives

- Focus retail recruitment efforts on quality retailers and restaurants that meet the desires of the community.
- Increase the entertainment and recreational opportunities and events that draw people into Tracy.
- Collaborate with and support the Tracy City Center Association in an effort to increase the drawing power of the downtown.

#### Performance Measures

- Attract 5 'unique' retailers that are not currently in the trade area.
- Increase sales tax revenue by 8% annually.
- Increase TOT revenue by 5% annually.
- Decrease downtown vacancy rate by 5% annually.

### Goal 3

Support a higher education presence in Tracy.

#### Objectives

- Research and collect supportive data to demonstrate the regional demand for higher education.
- Identify potential higher education partners and begin marketing and outreach efforts to encourage the development of programming in Tracy.
- Partner with current university recruitment group in educating the Tracy community on the assessment and possible benefits of higher education in Tracy.

#### Performance Measures

- Distribute marketing and outreach materials to a dozen higher education institutions.
- Meet with and tour 4 higher education institutions in Tracy.

### Goal 4

Position Tracy as the preferred location for start-up companies and entrepreneurial investment.

#### Objectives

- Explore opportunities for the development of existing incubator and entrepreneur programs in the region, including: San Joaquin Angel Network, Allamont Cowork, Tracy Chamber Entrepreneurs Group, etc.
- Attract start-up companies and entrepreneurs from the Silicon Valley and Bay Area region.

#### Performance Measures

- Identify 2 office locations and associated funding to aid in the attraction of start-ups and entrepreneurs.
- Foster relationships with 5 start-up companies and/or entrepreneurs.
- Secure \$50,000 of sponsorship funding to further develop the initiative of attracting start-ups entrepreneurs to Tracy.



## PUBLIC SAFETY STRATEGY

**Purpose:** To enhance community safety by promoting a responsive public safety system that includes civic engagement and partnerships, community involvement, public education and offering prevention, intervention and suppression services that meet the needs of Tracy residents

### Goal 1

Partner with and engage residents to address public safety concerns

#### Objectives

- Increase two-way communication regarding Part II crime information and prevention.
- Increase visibility of public safety in the community.
- Increase community volunteer opportunities to maximize engagement.

#### Performance Measures

- Increase visibility & usage of GO Request smart phone app o internal and external customers by 5%.
- Re-establish an Adopt a Park program with the adoption of 4 parks during years 2013/14 and an additional 4 during year 2014/15.
- Increase VIP participants 10% annually.
- Increase Drown Without a Sound presentations by 10% annually
- Increase neighborhood Watch program by 5% annually.

### Goal 2

Promote public health, safety, and community welfare by responding and addressing unsafe, unhealthy or blighted conditions in homes, neighborhoods and the entire community.

#### Objectives

- Reduce the number of blighted property conditions.
- Streamline enforcement processes regarding citywide violations that cross departments.
- Establish an awareness campaign to the community and schools on common code violations.

#### Performance Measures

- Reduce blight related complaints by 5% annually.
- Broaden education component to include annual outreach to students in K-8 grades.
- Create an implement an annual training presentation to City departments to streamline the enforcement process for more prompt resolution.

### Goal 3

Enhance citywide disaster preparedness

#### Objectives

- Develop and implement a community education program for internal and external customers to better prepare and respond to man-made and natural disasters.
- Develop a City-wide emergency safety and evacuation plan in the event of power outage, technological failure or natural or man-made disasters to as not to impact public safety.

#### Performance Measures

- Increase CERT graduates by 10% annually.
- Participate in the annual Statewide "Great Shake Out" Earth Quake Preparedness Drill.
- Implement a City Hall Emergency Evacuation and Safety Plan for City Hall employees.

### Goal 4

Reduce the number of major injury collisions

#### Objectives

- Increase public awareness of traffic safety issues specific to Tracy.
- Increase traffic related enforcement by 5%.

#### Performance Measures

- Conduct presentations to all local high schools regarding distracted driving and texting while driving statistics.
- Develop and distribute literature at a citywide level on current trends relating to distracted driving and don't text while driving initiatives.



## QUALITY OF LIFE STRATEGY

Purpose: The purpose of the Quality of Life Strategy is to provide an outstanding quality of life by enhancing the City's amenities, business mix and services and cultivating connections to promote positive change and progress in our community.

### Goal 1

Improve current recreation and entertainment programming & services to reflect the community and match trending demands

#### Objectives

- Analyze current programming participation trends and submitted evaluations.
- Interpret city and school district demographic shifts and recommend service improvements accordingly.
- Align recreation and cultural arts services & programs to match demographics, evaluation feedback and trends.

#### Performance Measures

- Review at least 80% of submitted evaluations from classes between the summer of 2012 and summer of 2013.
- Generate a quarterly report from class to view participation trends.
- Pilot at least 3 new recreation & cultural arts classes/programs per season
- Present at least 6 presentations to city departments, including council on the demographic changes affecting programming and recreational services.
- Increase resident enrollment by 10% in city classes.

### Goal 2

Address city amenities and facility usage with an emphasis on accessibility and streamlined services.

#### Objectives

- Update facility use policies to protect and preserve our current inventory of amenities.
- Explore public-private facility initiatives geared towards a multi-use facility.
- Implement facility and class software improvement recommendations to sync facility rentals, class enrollments and cultural arts needs.

#### Performance Measures

- Conduct at least 3 community conversations with facility users to discuss policy.
- Provide management with a semi-annual inventory of current partnerships.
- Launch new class software.
- Increase software registrants by at least 10%.
- Train at least 10 staff members on the new class software.

### Goal 3

Cultivate Community Engagement through digital and traditional means

#### Objectives

- Develop a value based marketing and communications plan bridging the gap between residents, businesses and the city.
- Implement an on-line citizen engagement plug-in to the website that allows residents to share ideas, and digitally interact with Council meetings.
- Explore media partnerships with local news agencies to feature or provide column space for city news, editorials and information.

#### Performance Measures

- Circulate 4 marketing pieces to strategic locations throughout the city.
- Increase digital users of current city tools by 20%.
- Increase website "new" visitor hits by 15%.
- Produce at least 6 articles/info pieces for media publication.
- Host at least 2 media receptions at City Hall.

### Goal 4

Coordinate community outreach with all strategic priority teams

#### Objectives

- Implementation of an electronic communication strategy to enhance communication, transparency and engagement.
- Assist Public Safety strategy team with goal 1, objective 2 and the Economic Development team with goal 2, objective 2.

#### Performance Measures

- Increase visibility and usage of email subscription service to internal and external customers by 20%.
- Collaborate with strategy teams on at least four public education and marketing events.
- Identify at least 6 community outreach opportunities.
- Create 4 email distribution templates for City departments.



## GOVERNANCE STRATEGY

Purpose: To retain and attract new talent, enhance fiscal stability, improve the use of technology, and enhance transparency for the betterment of the community of Tracy.

### Goal 1

Further develop an organization that attracts, motivates, develops and retains a high quality, engaged, informed and high-performing workforce

#### Objectives

- Identify outreach opportunities to promote Tracy as a desirable place to work.
- Affirm organizational values.
- Evaluate and promote Tracy W.I.N.S.

#### Performance Measures

- List of methods for outreach and promotion of Tracy is created.
- Analysis of interdepartmental sampling of organizational values, and revise if necessary.
- Maintain 95% enrollment capacity in each TPI class.
- 80% of all TPI course evaluations rates 4 or above.
- Analysis of curriculum.

### Goal 2

Ensure continued fiscal sustainability through financial and budgetary stewardship

#### Objectives

- Update General Fund reserve policy.
- Development of revenue growth and expenditure reduction strategies.
- Enhance fiscal transparency.

#### Performance Measures

- Updated General Fund reserve policy that is part of the annual budget book
- Comprehensive fee study of development fees with proposed fees and rates
- Council approved one-time revenue policy
- Council approved long term liability strategy

### Goal 3

Identify technological resources to promote communication and civic engagement, enhance city services, and promote organizational productivity.

#### Objectives

- Develop IT policy guidelines to coordinate and streamline the implementation of new software/hardware.
- Implement productivity initiatives to improve organizational effectiveness.

#### Performance Measures

- Policy for software/hardware standardization throughout the City developed
- Areas identified where technology can be used to make improvements along with associated costs
- Prioritized technology resource list along with associated costs

## City Profile TRACY, CALIFORNIA

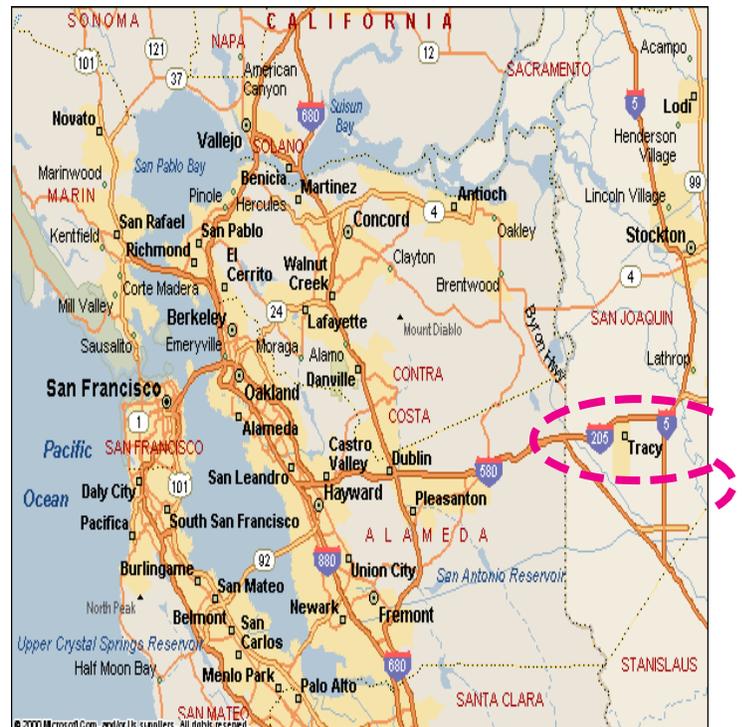
Located in Northern California, 60 miles east of San Francisco, 70 miles south of Sacramento, 20 miles south of Stockton, and 343 miles north of Los Angeles

Located within a triangle formed by I-5, I-205, and I-580

The community was founded in 1878 as a railroad center. It was incorporated as a city in 1910. For many decades, it served both as a railroad center and farm market town. Since World War II, it has been the location of a defense supply center. By 1960, the City's employment base included a few food processing plants and small related manufacturing operations within the town and its vicinity. A state correctional facility and both a federal and a state water project facility are located nearby.



However, due to Measure A, the housing boom in Tracy started deflating, going from 1,345 permits for new homes in 2002, down to 1,081 in 2004, and then down to 382 and 172 in 2005 and 2006. Then afterward the nationwide housing default and foreclosure crisis occurred, so only 27 and 18 permits for new homes were issued in 2007 and 2008. Since then, development activities have been down, but have begun to pick up in 2014.



In 1985, the City's population was 25,400. Since then, Tracy has experienced a period of major growth influenced by the East Bay area of the San Francisco region, where considerable office and industrial development has been occurring. With this development and the problem of affordable housing, Tracy, with more affordable housing than the Bay area, has become an attractive residential location for many Bay area workers. Tracy has become more of an outer suburb of the Bay area, rather than a small agricultural and industrial town. Also, Tracy has been experiencing industrial growth with new trucking, warehousing, and distribution facilities, as a number of firms seek to relocate from the Bay area.

The State Department of Finance population estimate for Tracy, as of January 1, 2015, was 85,296. City staff estimate that 85,600 will be reached by January 2016.

# City of Tracy Statistics



## Demographics

|                         |  |                 |                  |                 |
|-------------------------|--|-----------------|------------------|-----------------|
| Total Population        | 85,296 <sup>1</sup><br>84,691 <sup>2</sup> | Area 29.1 Miles |                  |                 |
|                         | 2010<br>Data                               | 2012<br>Data    | Tracy<br>Percent | U.S.<br>Percent |
| Male                    | 41,107                                     | 43,188          | 51.0%            | 49.2%           |
| Female                  | 41,815                                     | 41,494          | 49.0%            | 50.8%           |
| Median Age              | 32   | 35              |                  | 37.4%           |
| Less than 18 years      | 26,668                                     | 24,896          | 29.4%            | 23.5%           |
| 18-64 years             | 50,504                                     | 51,689          | 61.0%            | 62.8%           |
| 65 years and over       | 5,750                                      | 8,107           | 9.6%             | 13.7%           |
| White                   | 43,724                                     | 60,039          | 70.9%            | 73.9%           |
| Asian                   | 12,229                                     | 13,041          | 15.4%            | 5.0%            |
| Black                   | 5,953                                      | 6,435           | 7.6%             | 12.6%           |
| Mixed or other races    | 21,016                                     | 5,167           | 6.1%             | 8.5%            |
| Labor Force             | 39,837                                     | 42,694          |                  |                 |
| % of population over 16 | 64.4%                                      | 73.6%           |                  | 63.8%           |
| Unemployment            | 10.8%                                      | 12.7%           |                  | 9.4%            |

## Major Area Employers<sup>3</sup>

|                                       | Employees |
|---------------------------------------|-----------|
| Safeway Distribution Center           | 2,000     |
| Tracy Depot, Defense Logistics Agency | 1,375     |
| Tracy Unified School District         | 1,600     |
| Duel Vocational Institution           | 1,300     |
| City of Tracy                         | 460       |
| Sutter Tracy Community Hospital       | 568       |

## Other Employment

|                             | Firms | Employees |
|-----------------------------|-------|-----------|
| Distribution/Transportation | 10    | 1760      |
| Manufacturing               | 5     | 1100      |
| Food Processing             | 4     | 1130      |

Data Source:

<sup>1</sup>California Department of Finance, January 1, 2015 estimate

<sup>2</sup>U.S. Bureau of Census/2013

<sup>3</sup>City of Tracy, Economic Development Department

## Housing

Housing Units 25,963<sup>2</sup>

|                                     | 2010<br>Data | 2012<br>Data | Tracy<br>Percent | U.S.<br>Percent |
|-------------------------------------|--------------|--------------|------------------|-----------------|
| Occupied Units                      | 24,331       | 23,332       | 94.5%            | 87.6%           |
| Owner Occupied                      | 16,163       | 15,259       | 61.8%            | 55.9%           |
| Median Value                        | \$386,800    | \$ 236,000   |                  | \$171,900       |
| Rentals                             | 8,168        | 8,073        | 32.7%            | 44.1%           |
| Vacant Units                        | 1,632        | 1,357        | 5.5%             | 12.4%           |
| Average Household Size              | 3.4          | 3.63         |                  | 2.64            |
| Income<br>(2010 inflation adjusted) |              |              |                  |                 |
| Median Household Income             | \$ 76,753    | \$ 69,514    | \$ 69,514        | \$ 51,371       |
| Median Family Income                | \$ 82,385    | \$ 75,895    | \$ 75,895        | \$ 62,527       |
| Per Capita Income                   | \$ 26,956    | \$ 25,364    | \$ 25,364        | \$ 27,319       |
| Families below poverty level        | 7.4%         | 5.0%         | 5.0%             | 11.8%           |

## Major Retail Outlets

|          |                    |
|----------|--------------------|
| JCPenney | Macy's             |
| Best Buy | Sears              |
| Staples  | Wal-Mart           |
| Costco   | Target             |
| Petsmart | Home Depot         |
|          | Bed, Bath & Beyond |

## Supermarkets

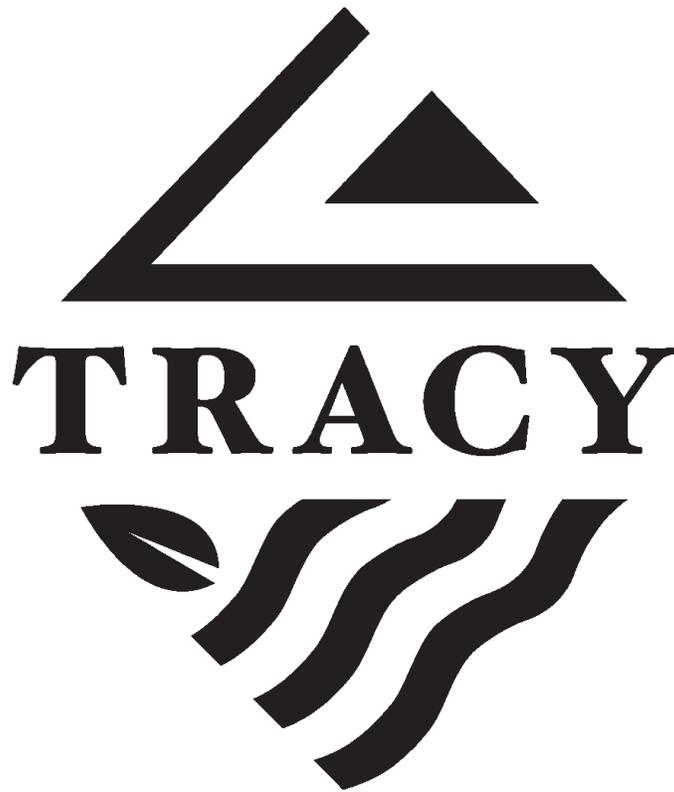
|           |               |
|-----------|---------------|
| Safeway   | Winco         |
| Mi Pueblo | Save Mart (2) |
| Raley's   |               |

## Hospitality

Hotels/Motels (12), 755 rooms  
Major Restaurants

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# *Budget Guide*



Think Inside the Triangle™

# Budget Guide

The City's budget represents the official financial and organizational plan by which City policies and programs are implemented. This budget document presents the budget for the fiscal year running from July 1, 2015 to June 30, 2016.

The City's budget emphasizes reporting and controlling by programs within departments. A variety of programs representing major work efforts are established within each department. A budget is developed for each program in order to more accurately measure the true cost of providing services.

While, there are 7 City departments there are about 101 operating programs. Program budgeting provides much more useful information concerning the operations of the City. For example, rather than simply allocating \$24.1 million in FY15-16 for the entire Police Department, with program budgeting we can specify the resources necessary to provide for a particular activity, such as Traffic Enforcement, Animal Services, General Investigations, or Crime Prevention.

## Budget Document

The FY 15-16 budget for the City of Tracy is presented here in this document in the following sections:

**Section A: Budget Message**

This includes the City Manager's letter of transmittal to the City Council with supporting presentation of budget issues and policies.

**Section B: Budget Guide**

This section provides a general explanation of the budget document and process and includes a glossary of budget terms and other information helpful in understanding the City's budget.

**Section C: Fiscal Overview**

An overview of the budget comparing total City expenditures against anticipated resources. It presents the City's budget summaries.

**Section D: Estimated Revenues**

A presentation of the estimated revenues forecasted to be received by the City and available to fund expenditures.

**Section E: Operating Programs**

A presentation of the expenditures necessary to fund the everyday operating activities and programs of the City.

**Section F: Capital Improvement Program (CIP) and Capital Budget**

The CIP is the City's comprehensive multi-year plan for the development of the City's capital facilities and improvements. This section provides a presentation of CIP projects and their costs.

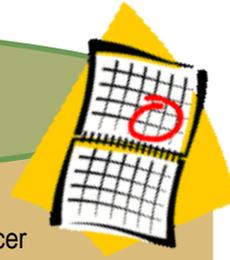
**Section G: Debt Service**

Debt service obligations are shown separately from the operating budget and the CIP in this section. This section provides a presentation of outstanding debt issues and their obligations.

## Budget Development

The City's budget is prepared by City staff under direction of the City Manager. The City Manager reviews and considers preliminary budget estimates and proposals submitted by City departments, and then formulates these estimates and proposals into a "Proposed Budget" for submission to the City Council. The City Manager is supported in this budget-making role by the Administrative Services Director and the Budget Officer.

### Budget Preparation Calendar FY 15-16 Budget



|   |   |
|---|---|
| September 2014                                    | CIP Update prepared by Budget Officer   |
| October through<br>December 2014                  | Distribution of CIP forms and instructions.<br>Departments update and prepare CIP proposals     |
| December 2014                                     | Base Budget prepared by the Budget Officer  |
| January through<br>February 2015                  | Finance review of CIP proposals   |
| January 6, 2015                                   | Distribution of budget forms and instructions   |
| January 6 <sup>th</sup> through<br>March 13, 2015 | Departments prepare operating budget submittals   |
| March 6 <sup>th</sup> through<br>April 5, 2015    | Finance review of budget submittals and<br>packaging of preliminary operating budget            |
| April 6 <sup>th</sup> through<br>April 27, 2015   | City Manager's review of the preliminary operating<br>budget and formulation of proposed budget |
| April 16 <sup>rd</sup> through<br>April 30, 2015  | Finance preparation and printing of proposed<br>budget document                                 |
| May 8, 2015                                       | Release of proposed budget document   |
| May 19, 2015                                      | City Council Budget Workshop  |
| June 2, 2015                                      | City Council adoption of the budget   |
| July 1, 2015                                      | Start of new fiscal year  |

## Program Budget

The budgetary model used by the City of Tracy is known as “program budgeting”. This approach is a balanced integration of management by objectives, program budgeting, and line accounting control, with emphasis on program planning and evaluation, resource allocation, and financial management reporting and control.

Under a program system, the City’s budget is organized around programs subject to review and analysis. Each budgetary program has performance objectives that represent its intended level of service.

The purpose of utilizing a program approach for the operating budget is to achieve the following:

1. An emphasis on the services and activities of City departments rather than only detailed expense items. Budget justifications can then focus on public needs, departmental responses, and the resources needed for such.
2. Improved management capabilities. Rather than relying only on line-item accounting control, budget management can focus on service delivery and allow flexibility in managing resources.
3. Improved performance evaluation of City activities and operations. Budget preparation will require the formulation of specific performance objectives, and the budget execution can be evaluated against these objectives.
4. The use of manageable cost centers. Budget management can focus on more detailed breakdowns for various City activities, when necessary.

### Base Budget and Augmentations

The base budget is developed for all operating programs for contracted services and commodities accounts. Normally, the base is determined by utilizing the historical spending average from the prior 2 years (but adjusted each year for an inflation factor) plus the current budget amounts. The budget may also be adjusted for specific one-time items that are not considered to be ongoing.

For purposes of budget preparation and formulation, departmental budget submittals for a program consist of two parts: a base request and augmentation requests to enhance the base.

A program’s base request details the fiscal resources necessary to carry on the program at its current level. Personnel expenses for current regular staffing were adjusted only for mandated cost increases. This year, that represented PERS rates increases and Health Insurance.

However, contracted services, commodities and internal service charges can be adjusted for inflation. This year, except internal service charges, these costs were held at the current level.

The City’s budget policy provides for internal service funds for vehicle operations and maintenance (fuel and repair services), self-insurance (worker’s compensation and general liability), equipment replacement, central services (copier and mail), building maintenance (custodial, repair, and utilities), and information systems and telecommunications. Direct utilities costs, while allocated as internal service costs to different programs, are charged as departmental expenses. Direct use phone costs (local and long distance), as opposed to system costs, are charged to the various operating programs.

An augmentation request for a program details the funding to increase or significantly change the program budget from its current level (beyond the base request). Such funding would provide for:

- ◆ New or reorganized staffing;
- ◆ Contracted services or commodities expenses above those provided for in the base request;
- ◆ Purchase of new or replacement equipment;
- ◆ Outlays for minor improvements or building renovations;
- ◆ Any expenses for a special project or one-time effort.

Usually the base budget and augmentation requests total more than the amount of funds available. Management reviews all augmentation requests in order to determine priority. If possible, high priority augmentations are included in the budget, while maintaining an acceptable reserve level.

In the current economic and financial situation, however, the usual budget process is not applicable, particularly for General Fund programs and activities. Normally, the base budget provides for inflationary increases in personnel expenses for all current positions. Where there are existing labor agreements that specify cost of living adjustments the budget provides for these, but also includes allowance for the standard 5% merit increase when an eligible employee advances to a higher step within their pay range. Since no cost of living adjustments are specified under current agreements, none are proposed in the FY15-16 budget. However, provisions were made for increases in personnel

expenses due to merit and incentive raises, PERS, flex-leave adjustments, and group insurances.

An important part of any compensation package as well as a significant expense to the City is the retirement plan. The City participates in the California Public Employees Retirement System (PERS) and these costs have been included in the FY15-16 budget.

In FY12-13, City employees started to pay 1/3 of the employees' share of PERS contribution which are 8% for miscellaneous employees and 9% for public safety employees. In FY13-14, miscellaneous employees contributed 2.67% of their pay for their PERS contribution, and 3% for public safety. In FY14-15, these employees' contributions were increased to 8% and 9% respectively. In prior years, these contributions were partially offset by flex leave. However, in the FY15-16 proposed budget there are no offsets. So, base personnel expenses in most programs will actually have a slight decrease.

In addition, contracted services and commodities expenses, instead of receiving inflationary adjustments, have been reduced since FY 08-09. Through FY 14-15, budgeted expenses have been held at their FY 10-11 level. But, for FY15-16 they have been allowed a 2% adjustment to the base if spending trends warrant an increase. Budget augmentation requests were limited and only a few were added to the base.

In addition to staffing, contracted services, and commodities, the City's budget policy provides for the annual accumulation and set aside of monies for the purposes of replacing vehicles and equipment. These amounts are budgeted as internal service charges in the operating programs of City departments. These charges are then expended quarterly, and the receipts are placed into the Equipment Acquisition Fund 605, except for the Water, the Wastewater, and the Transit Funds, where the receipts are retained within those respective funds.

When preparing their annual budget requests, departments divide equipment requests into "new" and "replacement". New items have to compete for funding with other requests for new funding throughout the City operations. However, replacement items may be replaced from available funds within the Equipment Acquisition Fund 605. Starting in FY09-10, a new Vehicle Acquisition Fund 606 was started to separate the funding, assets, and outlays for vehicles and rolling stock from other equipment.

Occasionally for larger ticket items, it may be more feasible to obtain debt or lease financing. If this is done, then the

annual lease payment or debt payment then becomes payable out of the respective department's annual set aside. Proposed equipment purchases for FY15-16 are detailed further in the Equipment Acquisition Program 593x of the non-departmental group in the Operating Budget section of this budget document. Major lease payments are budgeted in the Debt section.

As a cost savings measure, the internal services charges for equipment and vehicle replacement were cut to 50% for FY10-11 through FY12-13. In FY13-14, these charges were brought back up in the budget. These charges are budgeted for \$2,154,000 in FY 15-16

### Tracy City Council



## Budget Review and Adoption

The proposed budget was released on May 8, 2015. A budget workshop was held on May 19, 2015, where the City Council reviewed and considered the proposed budget prepared by City staff. The workshop provided the opportunity for City Council to discuss the budget in detail and to modify the proposed budget to reflect the Council's priorities. The workshop also permits public comment and participation in the budget process. Copies of the budget are available for public viewing at the City's Public Library and at City Hall.

After review and deliberation, the City Council adopted and approved the budget on June 16, 2015. The adopted budget includes any modifications made by the City Council during their review. The resolution adopted appropriates the monies necessary to fund the budget. Along with the budget resolution, the Council adopts a resolution establishing the appropriation limit for tax proceeds for the fiscal year as required by Article XII (B) of the State Constitution. Additional information regarding the appropriations limit can be found in Section C.

After adoption, the Budget Officer prepares and has the approved budget document published. This document represents the official fiscal policy and plan of the City of Tracy for Fiscal Year 2015-2016. It serves as a communications medium for the general public concerning City activities, as well as a directive to City staff concerning the management and operations of City activities.

# Capital Improvement Program

## Definition of CIP

The term, Capital Improvement Program, or CIP, refers to a local government's ongoing program of construction projects. Usually these projects have high costs, take a year or more to complete, and result in the creation of a capital asset for the local government.

From a planning perspective, a Capital Improvement Program (CIP) is a comprehensive multi-year plan for the development of a City's capital facilities and improvements. Considering the high cost and completion time involved, a comprehensive multi-year plan is recommended as a good management and planning tool.

Such a plan attempts to identify for a City all capital maintenance, facilities, and improvements needed within the next few years. Sometimes, it also included major equipment purchases and capital related studies and master plans.

From a management perspective, it is a middle range implementation plan for City staff. It provides the scope of the project's efforts necessary to develop the capital facilities and improvements. For such projects, it establishes priorities, develops preliminary time schedules, estimates project costs, and determines funding sources.

After adoption by the City Council, a CIP Plan represents Council direction and authorization for City staff to implement the approved projects necessary to develop the capital facilities and improvements. Also, it serves as a management guide to City staff in the coordination and scheduling of project efforts and resources. It provides a reference for the monitoring and reporting upon progress in the implementation of the approved projects.

The approved project costs for the first year of a CIP Plan usually becomes the Capital Budget for the new upcoming fiscal year.

A CIP Plan should be annually updated and revised. New projects can then be added, approved projects reviewed and updated, and priorities reconsidered and possibly reassigned.

## Definition/Scope of CIP Project

For the City of Tracy, a CIP project includes the construction, acquisition, expansion, rehabilitation, or replacement of a facility or improvement, or non-routine maintenance work on such, which cost \$10,000 or more. It would also include any capital plan or study costing \$25,000 or more, or any equipment or systems acquisition costing \$100,000 or more.

The scope of a CIP project would include those activities which are preliminary and which would facilitate the primary project effort. Thus, it would include: planning and design work, land acquisition, contract bidding and negotiations, construction, project management and monitoring, equipment purchase and installation, and any contingencies set aside for a project.

## CIP Preparation and Review

The process for CIP preparation started last September with the preliminary planning for the process. In October, City departments then developed their CIP proposals. Competitive projects were then reviewed in November by an interdepartmental management group to rank projects. Competitive projects are those that compete for limited discretionary funding. In November through January, Engineering staff reviewed and refined the cost estimates for the proposals. In February, the proposals were reviewed by Central Management staff. This review resulted in the formulation of a proposed CIP document.

## CIP Council Review

The proposed CIP was presented to the City Council in April 2015. A Council workshop was held. The proposed CIP as modified was included as part of the proposed City budget.

## Approved Capital Budget

The Council's budget review included reconsideration of the proposed Capital Budget. Any modifications to the proposed Capital Budget resulting from City Council deliberations are then incorporated into the final adopted budget, which then provides authorization for City staff to start work upon the approved capital projects.



The Finance Division is responsible for the City's budget and financial controls. The Finance Division reviews purchasing transactions and payment requests for compliance with City's rules, regulations, and budgetary limits. Finance also administers the City's payable system to review, process, and pay purchasing transactions and expense claims. The Finance Division receives and deposits all City receipts. City deposits are invested by the elected City Treasurer. The Finance Division maintains the records of all City receipts, outlays, and cash balances.

Monthly budget detail reports are prepared and distributed to department heads and program managers. These reports are organized by program and show the budget amount authorized as well as expenditures and encumbrances to date.

The Finance Division, in conjunction with Human Resources, maintains a position control system based on the budget to control City staffing. The Finance Division administers the City's payroll system to process personnel transactions and to review and pay personnel expenses.

In the course of the fiscal year, it may become necessary to amend, transfer, or adjust the amounts appropriated in the adopted budget. Any subsequent appropriation of additional monies for a fiscal year requires approval of the City Council. Any transfer of appropriations between departments in the operating budget or between capital projects in the capital budget also requires Council approval. However, the City Manager may approve transfers between administrative control accounts within a department or within a capital project.

On July 1, 2009, the department started the utilization of the new financial system and software. The new system replaced the system used for the previous thirteen years. However, late in 2014, the Finance Division started preparation and training for the implementation of another new financial system. This system will go into utilization in FY 15-16. The new system will provide an opportunity for the department to review and evaluate current procedures, operations, and methods; and to seek improvements, where possible.

## Basis of Accounting

The City's financial records are prepared and maintained in accordance with generally accepted accounting principles (GAAP) of governmental accounting. Under GAAP, the modified accrual basis of accounting is used for governmental funds, while the full accrual basis of accounting is used for proprietary funds.

The chart on page B7 and following subsection provide more details concerning the City's fund structure.

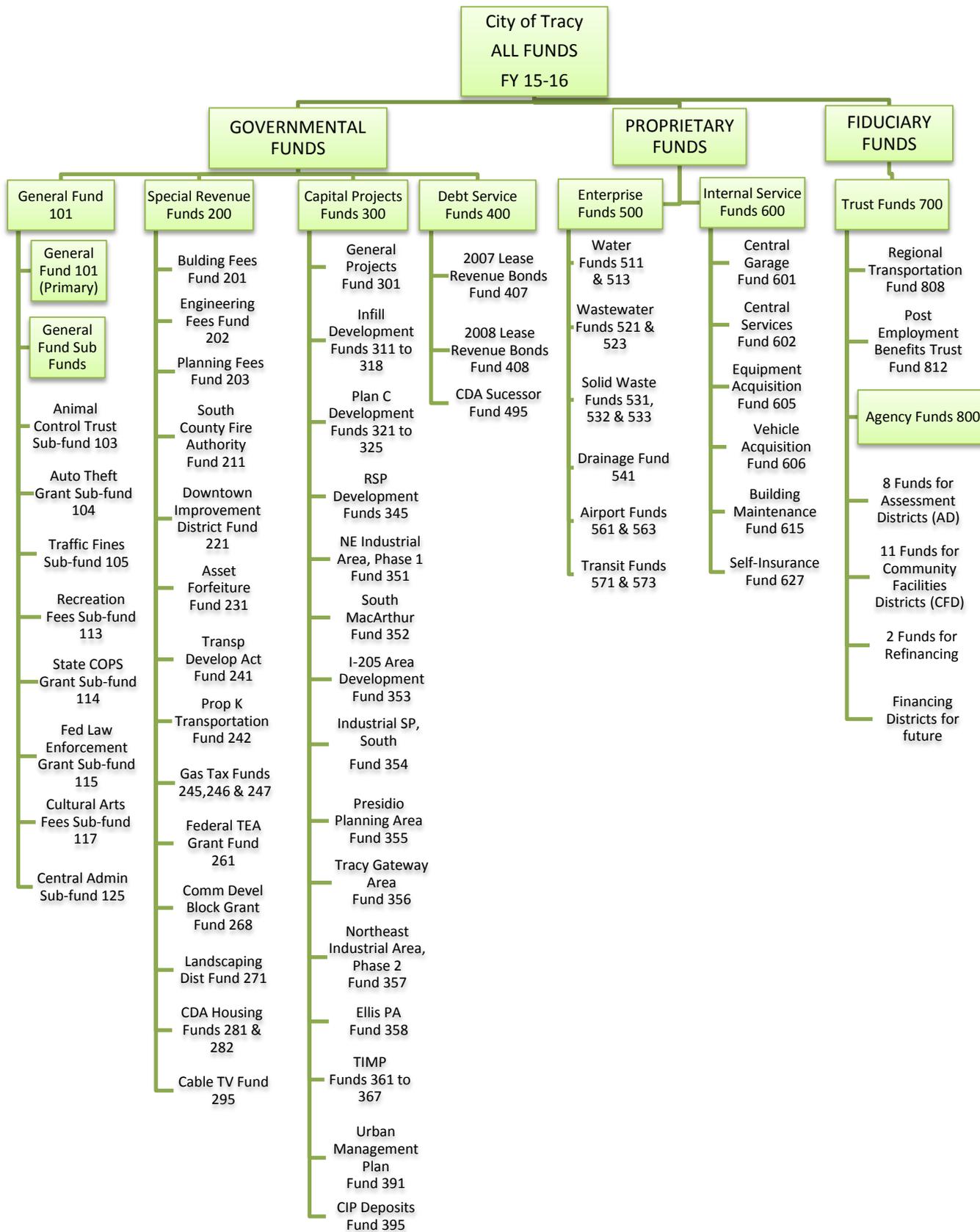
## Basis of Budgeting

The City's budget is prepared, recorded, and controlled using a modified accrual basis for all funds budgeted, including proprietary funds. Under this basis of budgeting, capital outlays and debt service principal payments are budgeted and recorded as expenditures. Debt proceeds, capital grants, interfund transfers, and interfund loans exceeding one year are budgeted and recorded as revenues. However, for accounting purposes, these entries in the proprietary funds are adjusted at year-end to comply with the full accrual basis of accounting in the City's Comprehensive Annual Financial Report (CAFR). In City budget documents and periodic budget reports, they are treated as revenues and expenditures.

## Funds

The City of Tracy's fiscal activities are budgeted and accounted for through the use of funds. A fund is a separate fiscal entity, which is self-balancing and free standing. The use of funds enables the maintaining of separate records for particular purposes. The following pages B7 through B11 provide a list and description of the funds used by the City.

# City of Tracy Fund Structure



# City Of Tracy

## Description of Funds For FY 2015-2016 Budget

The chart on page B6 provides an outline of the City's fund structure. As the chart shows, funds are divided into three categories and then into eight fund types.

The fund categories are: Governmental Funds, Proprietary Funds, and Fiduciary Funds. The eight fund types are: General, Special Revenue, Capital Projects, Debt Service, Enterprise, Internal Services, Trust, and Agency. Below is a list of City funds by fund type.

The list provides a description of the funds used in the City's FY 15-16 budget. However, it is not an all-inclusive list since it does not include those trust and agency funds for which no budgets are prepared. The City's Comprehensive Annual Financial Report (CAFR) should be consulted for a complete listing of all City funds.

### General Fund 100

**General Fund 101** - This fund is used to account for resources traditionally associated with government, which are not required legally or by sound financial management to be accounted for in another fund. This is the City's most significant fund because it is the City's main operating fund and accounts for about 41% of the City's operating budget.

A number of sub-funds have been established under the General Fund to account separately for certain grants and fee revenues. For internal administrative purposes, these sub-funds are used to record appropriate revenues and expenditures, and then to determine sub-fund equity. For CAFR reporting purposes, these sub-funds are consolidated into the final year-end General Fund figures.

Sub-funds established under the General Fund are:

- 103 - Animal Control Trust
- 104 - Auto Theft Grant
- 105 - Traffic Fines
- 113 - Recreation Fees
- 114 - COPS Grant
- 115 - Federal Law Enforcement Grants
- 117 - Cultural Arts Fees
- 125 - Central Administration

### Special Revenue Funds 200

Special Revenue funds are used to account for specific revenues that are legally restricted to expenditures for a particular purpose. These funds are as follows:

**Community Development Funds 201,202, 203** – These funds are used to account for the revenues and expenditures of the Building, Engineering and Planning Divisions respectively. They are new funds for FY15-16 and will be replacing sub-funds 111,112 and 116 respectively.

**South County Fire Authority Fund 211** - This fund is used to account for revenues and expenses of the South County Fire Authority.

**Downtown Improvement District Fund 221** - This fund is used to accumulate revenues from business assessments and promotional income from special events to promote Downtown Tracy.

**Asset Forfeiture Fund 231** - This fund is used to account for the revenues that result from asset seizures. They are specifically restricted for law enforcement purposes.

**Transportation Development Fund 241** - This fund is used to account for the City's share of the quarter cent statewide transportation sales tax devoted to street maintenance purposes. The first claim on the tax goes to the Transit Fund and then any balance goes to this fund.

**Proposition K Transportation Tax Fund 242** - This fund is used to account for the City's share of the half-cent transportation sales tax for San Joaquin County. It is used for street maintenance and repairs.

**Gas Tax Street Funds 245, 246, 247** - These funds are used to account for the City's share of the motor vehicle gas tax imposed under the provisions of the Street and Highway Code of the State of California under Sections 325, 2105, 2106, 2107, and 2107.5, which are legally restricted for the acquisition, construction, improvement and maintenance of public streets.

**Federal TEA Grant Fund 261** - This fund is used to account for the revenues from federal aid for transportation projects.

**Community Development Block Grant Fund 268** - These funds are used to account for the federal grant monies received from the Housing and Urban Development Department for Community Development Block Grants.

**Landscaping District Fund 271** - This fund is used to account for the revenues collected from benefit assessment districts established to provide landscaping services in certain areas of the City. These districts cover all the newer developments in the City since 1985.

**Community Development Agency Housing Fund 281** - This fund was used to account for the 20% portion of tax increment funds received for redevelopment related purposes, and set aside for low and moderate-income housing.

With the disbanding of the Community Development Agency, a CDA Housing Successor Fund 282 received Fund 281 assets.

**Cable TV Fund 295** – This fund is used to account for the portion of cable television franchise fees allocated to provide a community access cable television channel in the City of Tracy.

## Capital Projects Funds 300

Capital Project funds are used to account for the acquisition and development of major capital facilities, other than those financed by proprietary or trust funds. The revenues to fund most capital projects are derived from development impact fees, bond proceeds, and/or special revenues. These funds are as follows:

**General Projects Fund 301** - This fund is used to account for capital projects financed through the transfer of General Fund monies or proceeds for community wide benefit.

**Infill Development Funds** - These funds are used to account for capital projects financed through capital development fees levied upon developers in the City's infill area. There are separate funds as follows:

- Fund 311 - Infill-Parks
- Fund 312 - Infill-Storm Drainage
- Fund 313 - Infill-Arterials
- Fund 314 - Infill-Building & Equipment
- Fund 315 - Infill-Program Management
- Fund 316 - Downtown Improvement
- Fund 317 - Redevelopment Agency Obligations
- Fund 318 - Downtown Projects

**New Areas Development Funds** - These funds are used to account for capital projects financed through capital development fees levied upon developers in respective new development areas.

**RSP Development** – 1987 Residential Specific Plan (RSP) Any and all funds from 1987 Residential Specific Plan (RSP) were closed at the end of FY 07-08. Now, these funds are used as an economic development fund for the city.

### Plan C Development

- Fund 321 - Plan C Areas-Parks
- Fund 322 - Plan C Areas-Arterials
- Fund 323 - Plan C Areas-Drainage
- Fund 324 - Plan C Areas-General Facilities
- Fund 325 - Plan C Areas-Utilities

### Other Planning Areas

- Fund 351 - Northeast Industrial Area, Phase 1
- Fund 352 - South MacArthur Planning Area
- Fund 353 - I-205 Development Area
- Fund 354 - Industrial Specific Plan, South
- Fund 355 - Presidio Planning Area
- Fund 356 - Tracy Gateway Area
- Fund 357 - Northeast Industrial Area, Phase 2
- Fund 358 - Ellis Planning Area

**Tracy Infrastructure Master Plan** – For newer development, starting in FY 14-15, capital development fees are collected under the Tracy Infrastructure Master Plan (TIMP), and they and their projects are accounted for through the following funds.

- Fund 361 - TIMP -- Parks
- Fund 362 - TIMP – Storm Drainage
- Fund 363 - TIMP – Traffic
- Fund 364 - TIMP – Wastewater
- Fund 365 - TIMP – Water
- Fund 366 - TIMP – Public Facilities
- Fund 367 - TIMP – Public Safety

**Community Development Agency Project Fund 381** - This fund is used to account for construction projects related to the redevelopment project area. These projects are financed by bond proceeds and loans pledging repayment from tax increment monies.

Effective January 31, 2012, redevelopment agencies were disbanded by the State. Agency assets are to be liquidated.

**Urban Management Plan Facilities Fund 391** - This fund is used to account for expenditures for the planning, design, and program management required for new development in new areas in a preliminary stage and before an approved financing plan.

**Capital Improvement Program Deposits Fund 395** - This fund is used to account for monies received from developers, contractors and other entities for the purpose of reimbursing the City for expenditures incurred in studies, research, etc., regarding their proposed development or for construction of facilities that would normally be the owner's responsibility. For budgeting, it is also used to measure in-kind contributions from developers of constructed facilities.

### Debt Service Funds 400

Debt Service funds account for the accumulation of monies for the payment of debt where the City has some obligation. These funds are as follows:

**2007 Lease Revenue Bonds Fund 407** - This fund is used to accumulate the monies used for payment of the debt service on the 2007 Lease Revenue Bonds, which were used to refinance the Land COP debt. Monies are transferred into the fund from the General Fund 101 to make debt service payments.

**2008 Lease Revenue Bonds Fund 408** - This fund is used to accumulate the debt service on the 2008 Lease Revenue Bonds, which were used to refinance the Regional Mall COP Debt. Monies are transferred into the fund from the General Fund 101 to make debt service payments.

**Community Development Successor Agency Fund 495** - This fund is to for the administrative allocation to be used to oversee the liquidation of CSA assets and the repayment of outstanding CDA debt.

### Enterprise Funds 500

Enterprise funds are used to account for those operations that are financed and managed in a manner similar to private business enterprises, where the intent is that the costs of provided goods and services recovered primarily through user charges. Three of these enterprise funds - Water, Wastewater, and Solid Waste - are significant in that they represent about 34% of the City's operating expenditures.

**Water Fund 511** - This fund is used to account for the activities associated with the production, distribution, and transmission of potable water by the City to its residents and businesses.

**Water Capital Fund 513** - This fund is used to account for capital funding and outlays for water system CIP projects.

**Wastewater Fund 521** - This fund is used to account for the activities associated with the collection, treatment, and disposal of wastewater from City residences and businesses.

**Wastewater Capital Fund 523** - This fund is used to account for the capital funding and outlays for wastewater system CIP projects.

**Solid Waste Funds 531, 532, 533** - These funds are used to account for the activities associated with the collection and disposal of refuse throughout the City which is accomplished through a franchised operator. Fund 531 is used to account for waste disposal activities and street sweeping. Fund 532 is for solid waste collection, and Fund 533 is for recycling activities.

**Drainage Fund 541** - This fund is used to account for the activities related to the operations and maintenance of the City's storm drainage system.

**Airport Fund 561** - This fund is used to account for the activities associated with the operations and maintenance of the City's airports.

**Airport Capital Fund 563** - This fund is used to account for the capital funding and outlays for airport CIP projects.

**Transit Fund 571** - This fund is used to account for the activities associated with the operations and maintenance of the City's public transit activities, and has particular emphasis on serving the elderly and the handicapped.

**Transit Capital Fund 573** - This fund is used to account for capital funding and outlays for transit CIP projects.

### Internal Service Funds 600

Internal Service funds are used to account for the financing of goods or services provided by one department to another on a cost reimbursement basis. These funds are as follows:

**Central Garage Fund 601** - This fund is used to account for the fueling and maintenance of the City's fleet of vehicles which serves the vehicle and equipment needs of all City departments and divisions.

**Central Services Fund 602** - This fund is used to account for charges received from City agencies for central postage and copying, telecom, and computer services.

**Equipment Acquisition Fund 605 and Vehicle Acquisition Fund 606** - These funds are used to account for monies received from all City agencies and programs, except for the water, wastewater and transit funds, for the acquisition of replacement equipment and vehicles. Agencies' payments are maintained in a savings account until purchases and/or lease payments are made.

Fund 605 is for general equipment, while Fund 606 is for vehicles and rolling stock. The latter was new for FY 09-10.

**Building Maintenance Fund 615** - This fund is used to account for charges received from City agencies to provide for building maintenance and repair activities and custodial services.

**Self-Insurance Fund 627** - This fund is used to finance and account for the City's risk management, insurance, and workers compensation programs.

## Fiduciary Funds 700 & 800

Fiduciary funds are used to account for assets held by the City in a trustee capacity or as an agent for a third party are recorded here. While the City has a number of such funds, usually they are not subject to budgeting requirements and are not included in the budget. However, there are a few included in the budget where the City serves as the debt administrator for certain special assessment and community facilities districts. These funds are as follows:

**Regional Transportation Impact Fund 808** - This fund account for receipt and distribution of Regional Transportation Impact Fees collected by the City.

**Post-Employment Benefit Trust Fund 812** - This fund account for post-employment benefit paid out by the City. Such benefits are funded through medical leave banks or reimbursements from retirees or former employees.

**Agency Debt Funds** - These funds are the only trust and agency funds budgeted and they are used to account for the

monies held on behalf of Assessment District (AD) or Community Facilities District (CFD) property owners, until they are remitted to the bond trustee. The debt issues for these districts were used to finance new infrastructure in or for the respective new development in the districts.

**Financing Districts 8xx** - It is anticipated that one or more new assessments or community facilities districts may be established in FY 15-16 and/or future years.

Separate funds for these districts as follows:

- \*<sup>3</sup> Fund 831 AD 87-3 Water RSP
- \*<sup>1</sup> Fund 832 AD 93-2 Woodfield Estates
- \*<sup>3</sup> Fund 834 AD 84-1 Sewer RSP
- Fund 835 CFD 89-1 ISP-NE
- Fund 837 CFD 99-1 ISP-NE
- \*<sup>2</sup> Fund 838 CFD 99-2 S MacArthur Area
- \*<sup>2</sup> Fund 839 AD 00-02 Heartland #3
- Fund 840 CFD 00-01 Presidio
- Fund 841 AD 94-1 Auto Mall
- \*<sup>1</sup> Fund 842 AD 95-1 Pheasant Run
- \*<sup>1</sup> Fund 843 AD 96-1 Bridle Creek 1 & 2
- Fund 844 CFD 93-1 Tracy Marketplace
- \*<sup>1</sup> Fund 845 AD 97-2 Bridle Creek 3 & 4
- Fund 846 CFD 98-1 Plan "C"
- Fund 847 CFD 98-3 Souza Citation
- \*<sup>1</sup> Fund 848 AD 97-1/Park Atherton
- \*<sup>2</sup> Fund 849 AD 98-4 Morrison Homes
- Fund 850 I-205 RAA 1999 Debt Refinancing
- Fund 851 CFD 00-03 Larch-Clover
- Fund 852 AD 03-01 Berg Avenue Utilities
- Fund 853 CFD 06-01 NEIA, Phase 2
- Fund 854 TOPJPA Revenue Bonds 2011A
- Fund 855 CFD 11-1 Tracy 580 Business Park
- \*<sup>1</sup> *These funds were closed and consolidated into Fund 850 in FY 99-00. However, their respective assessment districts remain active for revenue collection purposes.*
- \*<sup>2</sup> *These funds were consolidated into Fund 854 in FY 12-13. However, their respective assessment districts will remain active for revenue collection purposes.*
- \*<sup>3</sup> *These funds were closed in FY 12-13.*

# City Of Tracy

## Budget & Fiscal Policies

The City of Tracy's annual budget is prepared, reviewed, approved, and administered in accordance with several sources of policy direction including the Tracy Municipal Code and the City's Administrative Policies and Procedures Manual.

### Budget Policies

**Fiscal Year:** The fiscal or budget year of the City shall begin on the first day of July and shall end on the thirtieth day of June of the succeeding year, unless otherwise prescribed by State law.

**Comprehensive Budget:** The City budget shall consist of the operating budget, the debt service budget, the capital budget, the capital program, and the budget message. The budget will be organized in a comprehensive programmatic format emphasizing annual work effort and performance. It shall represent a complete financial plan for a fiscal year for the City reflecting all receipts and disbursements from all sources, including all revenues, all expenditures, and the surplus or deficit in the general fund and all governmental, proprietary and expendable trust and agency funds of the City government.

**Operating Budget** - The "operating budget" is the plan of the City to receive and expend funds for obligations and charges incurred for current operating activities and programs of the City for a specific fiscal year.

**Debt Service Budget** - The "debt service budget" is the fiscal plan and schedules to receive and expend funds to pay off long-term city debt.

**Capital Budget** - The "capital budget" is the fiscal plan of the City to receive and expend funds for capital projects scheduled during the first fiscal year included in the capital program.

**Capital Project** - A "capital project" is; (1) any physical public betterment or improvement and any preliminary studies and surveys relative thereto; (2) the acquisition of property of a permanent nature for public use; and (3) the purchase of equipment for any public betterment or improvement when first constructed.

**Capital Program** - The "capital program" is the fiscal plan and schedule of the City to receive and expend funds for capital projects during the fiscal year covered by the capital budget and the next succeeding four fiscal years thereafter.

The City Manager shall be responsible for the formulation of the proposed City budget.

#### Submission of the City Budget

- Not later than June 30th, the City Manager shall submit to the City Council the proposed City budget for the next fiscal year.
- The proposed City budget shall be filed with the City Clerk and copies thereof shall be made available to the public upon request. A copy thereof shall also be made available to the public at the City library and the City's website.

#### Public Budget Hearings

- Upon receipt of the proposed City budget, the City Clerk shall cause to be published a public notice of the place and time of at least one public hearing on the budget by the City Council.
- The City Council may hold such other preliminary workshops on the budget for the purpose of obtaining information as it may determine, but final budget adoption shall not be taken by the City Council until after the public hearing prescribed in this section.

**Council Action on the Budget** - After the public hearing, the City Council may add, decrease, or delete any items in the budget except any provisions for debt service on obligations then outstanding or for estimated cash deficits.

#### Council Adoption of the Budget

- The adoption of the City's annual budget shall be by the affirmative vote of a majority of the City Council by a resolution to be known as the Annual Budget and Appropriations Resolution.
- The format of the adopted budget shall be by fund and department for the operating budget and by fund and capital project group for the capital budget.
- The adopted budget shall also include a list of authorized interfund transfers.

#### Effective Date of the Budget

The adopted budget shall take effect on the first day of the fiscal year to which it applies.

**BUDGET PRINCIPLES TO ADDRESS THE CITY'S STRUCTURAL BUDGET DEFICIT  
AS APPROVED BY RESOLUTION 2011-094  
MAY 3, 2011**

1. **General Fund Reserves**

Through FY15-16, the City shall maintain a General Fund reserve of at least 20% of the City's General Fund Operating Budget.
2. **Budget**

Reserves may be used to balance the General Fund Operating Budget through FY13-14. The General Fund Operating Budget to be adopted by City Council for FY14-15 must be balanced without the use of reserves.
3. **Economic Uncertainty Fund**

When conditions permit begin building up the Economic Uncertainty Fund.
4. **Structurally Balanced Budget**

The annual budgets for all City funds shall be structurally balanced throughout the budget process. Ongoing revenues shall equal or exceed ongoing expenditures in both the proposed and adopted budgets. If a structural imbalance occurs, a plan shall be developed and implemented to bring the budget back into structural balance.
5. **Proposed Budget Revisions**

The annual General Fund proposed budget balancing plan shall be presented and discussed in context of the updated five-year forecast. Any revisions to the proposed budget shall include an analysis of the impact on the forecasted years. If a revision creates a negative impact on the forecast, a funding plan shall be developed and approved to offset the impact.
6. **Use of One-Time Resources**

Once the General Fund budget is brought into structural balance, one-time resources (e.g., revenue spikes, budget savings, sale of property, or similar nonrecurring revenue) shall not be used for current or new ongoing operating expenses. Examples of appropriate uses of one-time resources include rebuilding the Economic Uncertainty Reserve, early retirement of debt, capital expenditures without significant operating and maintenance costs, and other nonrecurring expenditures.
7. **Reserves**

All City funds shall maintain an adequate reserve level and/or ending fund balance, as determined annually and as appropriate for each fund. For the General Fund, a contingency reserve amount which is a minimum of 20% of the operating budget shall be maintained.
8. **Prudent Use of Debt**

The City shall not issue long-term (over one year) General Fund debt to support ongoing operating costs. All General Fund debt issuance shall identify the method of repayment or have a dedicated revenue source. General obligation debt shall be limited to 8% of the operating budget.
9. **Capital Improvement Projects**

Capital Improvement Projects shall not proceed for projects with annual operating and maintenance costs exceeding \$25,000 without City Council certification that funding will be made available in the applicable year of the cost impact.
10. **Fees and Charges**

Fee increases shall be utilized, where appropriate, to assure that the program operating costs are fully covered by fee revenue and explore opportunities to establish new fees for services where appropriate.
11. **Grants**

City staff shall seek out, apply for and effectively administer federal, state and other grants that address the City's priorities and policy objectives and provide a positive benefit to the City. Before the grant is pursued, staff shall provide a detailed pro-forma that addresses the immediate and long-term costs and benefits to the City. One-time operating grant revenues shall not be used to begin or support the costs of ongoing programs.
12. **Personnel Services Costs**

Total General Fund personnel services costs shall not exceed 75% of the General Fund operating budget.
13. **Performance Measures**

All requests for departmental funding shall include performance measurement data so that funding requests can be evaluated and approved based on effective accomplishment of community desired outcomes and priorities.
14. **Budget Offsets**

City Council approval of a General Fund supplemental appropriation (additional monies after the adoption of the budget for the fiscal year), shall be accompanied by a corresponding action to reduce the General Fund budget in another area.

## Accounting and Audit Policies

**Governmental Accounting** - The City's financial records will be maintained in accordance with generally accepted accounting principles for governmental accounting, which prescribe fund accounting.

**Financial Reporting** - After the close of the fiscal year, a comprehensive annual financial report (CAFR) covering all funds and financial operations shall be prepared and submitted to the City Council.

**Annual Independent Audit** - All the funds, accounts and financial transactions of the City shall be subjected to an annual audit by an independent certified public accountant that is to be selected by the City Council.

**Basis of Accounting** - The basis of accounting within Governmental Fund types used by the City is modified accrual. Under this method of accounting, revenue is recorded when susceptible to accrual, which is when both measurable and available for the funding of current appropriations. Further, expenditures are recognized when the related fund liability is incurred. Under the modified accrual basis of accounting, principal and interest on long-term debt are recorded as fund liabilities when due. All Enterprise and Internal Service Funds follow the accrual basis of accounting. Under this method of accounting, revenues are recognized when earned and expenses are recorded when incurred.

**Accounting System Maintenance** - The City places continued emphasis on maintenance of an accounting system that provides strong internal budgetary and accounting controls. It is designed to provide reasonable assurances regarding both the safeguarding of assets against loss from unauthorized use or disposition, and the reliability of financial records for preparing financial statements and reports, such as the budget and the City's Comprehensive Annual Financial Report (CAFR), as well as the maintenance and accountability of assets.

**Comprehensive Annual Financial Report** - The City of Tracy issues a CAFR in accordance with accounting principles generally accepted in the United States of America and outlined by the Governmental Accounting Standards Board. An independent audit of the City's CAFR is performed annually.

## Budget Control

**Administrative Budget Control** - The adopted budget shall be detailed to administrative control accounts. Such detailing shall be consistent with the format of the adopted budget and be based upon the supporting detail underlying the proposed budget submitted to the Council and as modified by any Council action.

### Budgetary Accounts

- For the operating budget, expenditures and encumbrances shall be accounted for by department, program, object, and sub-object within a fund.
- For the capital budget, expenditures and encumbrances shall be accounted for by capital project group, project, and sub-object within a fund.
- Revenue shall be accounted for by source within a fund.
- Budget and Finance staff shall be responsible for maintaining tables for accounts for program, projects, objects, sub-objects, and revenue sources to be used for budgetary accounting.

**Budget Reporting** - The City Manager shall provide budget reports to the City Council quarterly showing the status of the budget. These reports shall match the format of the adopted budget and be by fund and major sources for revenues, by department and program for operating expenditures and by capital group for capital projects.

**Limitations on Expenditures** - No expenditures of City funds shall be made or authorized in excess of the available unencumbered appropriations therefor. Nothing in this chapter shall prevent the making of contracts providing for the payment of funds at a time beyond the fiscal year in which such contracts are made, provided the nature of such transactions reasonably requires the making of such contracts.

### Composition and Limitations on City Funds

- All revenues and receipts from assessments; from special services or benefit charges; from special taxes or assessments imposed upon special taxing areas for special or particular services, purposes or benefits; from funds held by the City as trustee or agent; or from bond proceeds, shall be paid into and appropriated from special funds created therefore. All other revenues and

receipts of the City from taxes, grants, State revenues and other receipts shall be paid into and appropriated from the general fund which shall be the primary fund for the financing of current expenses for the conduct of City business.

- No general fund revenues or receipts shall be dedicated to, expended for, or used to supplement appropriations from the special funds except as a loan to such special fund as authorized by the City Council.
- Upon request of the City Manager, the City Council may, by the Annual Budget and Appropriation Resolution, or by other legislative act, provide for the establishment of working capital or revolving funds for the financing of internal services common to the agencies of the City.
- Notwithstanding other provisions of this section, the City Council may establish a reserve fund for permanent public improvements, into which there may be paid by the annual budget and appropriation resolution cash surpluses not otherwise appropriated or toward the financing of which taxes or other sources of revenue may be dedicated.

#### **Transfer of Appropriations**

- Transfers of appropriations between expenditure accounts in the operating budget within the same agency and within the same fund may be authorized by the City Manager.
- Transfers between agencies of the City government and within the same fund of the operating budget may be made by resolution of the City Council upon request of the City Manager.
- Inter-project transfers of appropriations between capital projects in the capital budget may be authorized by resolution of the City Council upon request of the City Manager.
- Nothing contained herein shall be construed to prevent the City Council, upon request of the City Manager, from adopting a resolution for interfund cash borrowings to meet temporary cash requirements or to prevent reimbursements among funds for goods supplied or services rendered.

#### **Supplementary Appropriations**

During any fiscal year, the City Council, by the affirmative vote of a majority of the Council, upon the recommendation of the City Manager, may by resolution make additional or supplementary appropriations from unexpended and unencumbered funds set aside for contingencies in the City budget, from revenues received from anticipated sources, but in excess of budget estimates therefor, or from revenues received from sources not anticipated in the budget.

**Grant Appropriations** - Revenues from grant allocations which were unanticipated in any current fiscal year may be appropriated by resolution by the City Council upon request of the City Manager. The appropriation shall be made to the proper grant account established for the revenues and any surplus grant funds remaining at the end of the fiscal year shall be carried over to the following fiscal year without the necessity of further action by the City Council.

#### **Unexpended Appropriations**

- Unless otherwise provided by law, all unexpended and unencumbered appropriations in the operating budget remaining at the end of the fiscal year shall lapse and revert into the appropriate fund balance.
- No appropriation for a capital project in the capital budget shall lapse until the purpose for which the appropriation was made shall have been accomplished or abandoned; provided that any capital project shall stand abandoned if five (5) fiscal years elapse without any expenditure from or encumbrance of the appropriation made therefor.
- The balances remaining to the credit of the completed or abandoned capital projects shall be available for appropriation in subsequent capital budgets to the extent permitted by State law.

#### **Year End Budget Carryovers**

- Any unexpended but encumbered appropriations for specific orders or contracts outstanding at the end of the fiscal year shall be carried over into the new fiscal year.
- Any expended appropriations for incomplete active projects in the capital budget at the end of the fiscal year shall be carried over into the new fiscal year.
- When carried over and budgeted in the new fiscal year, these appropriations shall be offset against the appropriate fund balance and/or revenue source.
- These carryovers may be made without further Council actions, since prior authorization has been given for these appropriations.

### **Contract and Purchasing Policies**

**Formal Bids** - Contracts and purchases of \$50,000 or more, and for public projects over \$5,000, require a formal bidding process (or a formal RFP process for professional services contracts) and award by City Council action. However, an award may be made by City Council action while waiving the formal process for the contract or purchase.

**Informal Quotations** - Contracts and purchases of \$10,000 or more but less than \$50,000 require an informal quotations process (or an informal RFP process) with award by the City Manager.

**Open Market Purchases** - Contracts and purchases over \$3,000 but under \$10,000 have no procedural requirements but awards may be made by the department head after review and approval by the finance division.

**Small Purchases** - Contracts and purchases of \$3,000 or less may be made by the department staff under delegation by the department head.

**Budget Sufficiency** - A contract or purchase may be initiated only if sufficient funds have been appropriated and for which there is an unexpended and unencumbered remaining balance sufficient to pay for the contract or purchase.

**Encumbrances** - Each contract or purchase requiring the payment of City funds, after execution will be entered into the City's financial system by an encumbrance against the appropriate budgeted funds.

### Cash Management / Investment Policies

**Pooled Cash** - The majority of unrestricted cash is combined into one pooled operating account to facilitate effective management of the City's resources.

**Investments** - The City Treasurer invests temporarily idle funds in accordance with Government Code Sections 53600 et al, 16429.1 and 53684 and a formal investment policy approved by the City Council and the Investment Advisory Commission. Permissible investments include obligations of the U.S. Government, Federal Agencies, Government Sponsored Enterprises Medium Term Notes, Certificates of Deposit, Bankers Acceptances, Commercial Paper rated A-1 by Standard and Poor's Corporation, P-1 by Moody's Investors Service or F-1 by Fitch Ratings, State of California Local Agency Investment Fund, Repurchase Agreements, Reverse Repurchase Agreements, and Money Market Mutual Funds.

### Position Control System

- A position control system shall be maintained to control personnel transactions and to ensure that they are in compliance with budgetary limits.
- After the adoption of the budget, a position control roster showing authorized positions will be prepared based upon the tables of staffing submitted in the proposed budget and as modified and approved by Council action.
- No personnel transaction for a City department shall be made or authorized in excess of the number of authorized positions for the agency or in excess of budgeted funds for personnel expenses.
- Temporary over-hires in a City department may be allowed for particular positions if their impact is offset by other vacancies in other authorized positions of equal and/or higher compensation in the department.
- Substitute positions in a City department may be allowed for particular positions if their impact is offset by absences or vacancies in other authorized positions and the budget authority is not exceeded.
- The establishment of any new full-time regular position, the reclassification of any current positions, or the transfer of a current position between departments shall require the amending of the position control roster by Council action upon request of the City Manager.



## GLOSSARY OF BUDGET TERMS

**Adopted Budget:** The official budget as approved by a legislative body at the start of each fiscal year.

**Amended Budget:** The official budget as adopted and as amended by the legislative body through the course of a fiscal year.

**Appropriation:** A legal authorization granted by a legislative body to make expenditures and to incur obligations for specific purposes. An appropriation is usually limited in amount and in time as to when it may be expended.

**Asset:** An economic resource or probable future benefit obtained or controlled by a particular entity as a result of past transactions or events.

**Augmentation:** An increase to the base budget proposed during the budget preparation process and to be added to the budget for the new upcoming fiscal year. See page B2 for further explanation.

**Base Budget:** A preliminary budget forecast used as a starting point in the budget preparation process. It usually provides financial resources to carry on current service levels with only an inflationary increase.

**Budget:** A plan of financial operation embodying an estimate of proposed expenditures for a given period and the proposed means of financing them. Used without any modifier, the term usually indicates a financial plan for a single fiscal year.

**Budget Message:** A general discussion of the proposed budget as presented in writing by the budget-making authority to the legislative body.

**Capital Budget:** A budget which focuses on capital projects to implement the first year of Capital Improvement Program.

**Capital Improvement Program:** A plan for capital improvements to be implemented over a fixed period of years to meet capital needs arising from long-term work effort. It sets forth projects or other contemplated expenditures in which the government is to have a part and specifies the full resources estimated to be available to finance the projected expenditures. Usually, the plan is updated annually.

**Capital Project:** The budget unit to group all activities and costs necessary to implement a specific capital improvement and/or acquisition, costing \$10,000 or more. Such would involve the construction, acquisition, expansion, replacement, or rehabilitation of a physical facility or improvement. Also, such projects involve planning and design, land acquisition, and project management related to such facilities and improvements. For the City of Tracy, studies over \$25,000 and equipment purchases over \$100,000 are budgeted as capital projects.

**Capital Outlays:** Expenditures which result in the acquisition of or addition to fixed assets. In the operating budget, they usually involve equipment acquisitions under \$100,000, or facility improvements under \$10,000. In the capital budget, they are grouped into capital improvements projects.

**Carryovers:** The transfer of unspent appropriations from one fiscal year into the following fiscal year. They are normally allowed only for one-time items in the operating budget or uncompleted projects in the capital budget. When posted into the new fiscal year, they become part of the amended budget. *(See page C2 for further explanation)*

**Commodities:** The object expenditure class for payments made for good and materials used in City operations and activities and supplied by external parties. The class is further detailed into sub-objects for different types of commodities.

**Contracted Services:** The object expenditure class for payments made for services rendered in support of City operations and activities by external parties. These may be based upon either formal contracts or ad-hoc charges. The class is further detailed into sub-objects for different types of services.

**Cost Allocation Plan:** A plan prepared to identify the total costs of providing City services. It first determines direct and indirect costs; and then, allocates the latter back to the former on the basis of benefit in a logical and uniform manner.

**Current Assets:** Those assets likely to be used up and/or converted into cash within one year.

**Current Charges:** Charges collected by a government in exchange for a particular public service of a limited and specific benefit. These may be voluntary or mandatory if necessary depending on the particular service.

**Current Liabilities:** Liabilities likely to be paid off or liquidated within one year.

**Debt Service:** A budget which focuses on obligations for outstanding debt issues. Also, the expenditure objects for the payment of these debt obligations. The object is detailed into sub-objects for principal, interest, and debt service fees.

**Deferral:** The administrative act of reducing the budget during the fiscal year. This is usually done when items or projects are to be rescheduled for future years.

**Direct Costs:** Those costs necessary and related to providing a specific service to the public.

**EDUs:** See Equivalency Factor.

**Encumbrance:** An obligation or reserve charged against a budget indicating that a commitment has been made or an order placed for a certain amount and that is not then available for other transactions, prior to the actual spending.

**Equivalency Factor:** A unit cost measure providing a relative common base for all elements of the City budget. The factor is expressed in terms of an Equivalence Dwelling Unit or EDUs, which equates to the "average" household in the City. See page B3 for further explanation.

**Equity:** The residual economic net worth of a particular entity calculated by adding up its assets minus its liabilities.

**Expenditures:** Decreases in net financial resources. Expenditures include current operating expenses which require the current or future use of net current assets, debt service and capital outlays.

**Expenses:** Decreases in net total assets. Expenses represent the total cost of operations during a period regardless of the timing of related expenditures.

**Fiduciary Funds:** These funds account for monies held by the City in a fiduciary manner. These are funds which may use a financial flow or capital maintenance focus depending on its purpose. The types of funds are Trust and Agency.

**Fiscal Year:** A 12-month period to which the annual operating budget applies and at the end of which a government determines its financial position and the results of its operations.

**Fixed Assets:** Assets of a long-term character which are intended to continue to be held or used, such as land, buildings, improvements other than buildings, machinery and equipment.

**FTEs:** See full-time equivalent.

**Full Accrual Basis:** The basis of accounting where revenues are recognized when they are earned, expenses when incurred, and fixed assets are depreciated. All enterprise and internal service funds are accounted for using the full accrual basis of accounting.

**Full-Time Equivalent:** A unit measure for counting staffing where 1.00 FTE represents one full-time regular employee. Part-time and temporary employees are measured as proportionately based upon 2,080 staff hours per year for the equivalent of the 1.00 FTE.

**Fund:** A fiscal and accounting entity with a self-balancing set of accounts recording cash and other financial resources, together with all related liabilities and residual equities or balances, and changes therein, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions, or limitations.

**Fund Balance:** A term used to express the equity (assets minus liabilities) of governmental fund types and trust funds. However, for budgeting purposes, a working capital definition of current assets minus current liabilities is used for the computation.

**Generally Accepted Accounting Principles (GAAP)**

Uniform minimum standards of/and guidelines for financial accounting and reporting. They govern the form and content of the basic financial statements of an entity. GAAP encompass the conventions, rules, and procedures necessary to define accepted accounting practices as a particular time. They include not only broad guidelines of general application, but also detailed practices and procedures. GAAP provides a standard by which to measure financial presentations.

**Governmental Funds:** These funds account for City governmental operations and activities funded primarily through taxes and grants. These are funds which utilize a financial flow measurement focus and modified accrual basis of accounting: The types of funds are: General, Special Revenues, Capital Projects, and Debt Service.

**Gross Budget:** The total of the budgets of each City fund including budgeted transfers between funds. See Net Budget.

**Indirect Costs:** Payments made by City Departments and activities to pay for their share of Citywide overhead and support activities. These payments are made to the General Fund by non-General Funds through a separate program in the non-departmental group under the operating budget. Administratively, the central administration sub-fund 125 accumulates the overhead expenses and is then reimbursed by the other funds, including the General Fund and its net fee producing sub-funds.

**Intergovernmental Revenue:** Revenue collected by one government and distributed (usually through some predetermined formula) to another level of government(s).

**Internal Charges:** These charges are paid into one or more of the City's internal service funds. Object of expenditure for payments made to other City programs for particular services rendered and/or materials supplied, on a cost reimbursement basis. These are further detailed into sub-objects for different services.

**Lapsed Appropriations:** Under the "use it or lose it" rule, operating budget appropriations not spent or encumbered at the end of a fiscal year lapse or terminate as budget authority. For capital budget appropriations, they lapse at the end of fiscal year only when a project has been completed or canceled, or when there has been no activity for more than three fiscal years.

**Liability:** A legal claim upon, or probable future sacrifice of, economic resources or benefits of a particular entity, arising from an obligation to transfer assets or provide service to others in the future as a result of past transactions or events.

**Long-Term Debt:** Any debt obligation which is not payable within one year.

**Measurements Focus:** The accounting convention which determines (1) which assets and which liabilities are included on an entity's balance sheet and (2) whether its operating statement presents "financial flow" information (revenues and expenditures) or "capital maintenance" information (revenues and expenses).

**Modified Accrual Basis:** The basis of accounting where revenues are recognized when they become both "measurable" and "available" to finance expenditures of the current period. All governmental funds and expendable trust funds are accounted for using the modified accrual basis of accounting.

**Net Budget:** The gross budget less all interfund transactions. Those amounts in the budget representing transfers and interfund reimbursements which in effect are double counted in from the perspective of the entire budget are subtracted from the gross budget amount.

**Objective:** A simply stated, readily measurable statement of aim or expected accomplishment within the fiscal year. A good statement of objective should imply a specific standard of performance for a given program:

- (a) An operational objective focuses on service delivery.
- (b) A managerial objective focuses on those aspects of management that help staff achieve operational objectives, i.e., staff training, work plan development, etc.

**Objects:** The classification of expenditures in terms of what is bought and paid for. For the City of Tracy the following are used: personnel expenses, contracted services, commodities, internal charges, and other payments. Objects are detailed into sub-objects to further specify what expenditures are for.

**Operating Budget:** A budget which focuses on everyday operating activities and programs.

**Operating Transfers:** Legally authorized transfers from a fund receiving revenue to the fund through which the resources are to be expended, transfers of tax revenues from a Special Revenue Fund to a Debt Service Fund, transfers from the General Fund to a Special Revenue or Capital Projects Fund, operating subsidy transfers from the General or a Special Revenue Fund to an Enterprise Fund, and transfers from an Enterprise Fund other than payments in lieu of taxes to finance General Fund expenditures.

**Performance Budget:** A budget which relates expenditures to measures of activity and performance.

**Personnel Expenses:** The object expenditure class for the compensation paid to or for City employees. The class is detailed into sub-objects for regular salaries, temporary wages, overtime, and various benefits.

**Preliminary Budget:** A budget in its preliminary preparation stage prior to review and formulation by the formal budget-making authority. In the preliminary stage, a budget consists of various estimates forecasting current costs into the future and new or modified spending proposals for the future.

**Program Budget:** A budget organized by programs. A program used in this application is a grouping of related activities, projects and services which are similar in purpose. The expenditure focus of a program budget is related to the nature of work and services performed.

**Program Performance Budget:**

Combines performance measures with a program budget structure.

**Proposed Budget:** The budget as formulated and proposed by the budget-making authority. It is submitted to the legislative body for review and approval.

**Proprietary Funds:** These funds account for City activities funded and operated in a manner similar to business enterprises, supported by fees and charges. These funds utilize a capital maintenance measurement focus and full accrual basis of accounting. The types of funds are Enterprise and Internal Services.

**Recision:** The legislative act of reducing and/or canceling appropriations, after budget adoption. The effect is to reduce the budget.

**Reserves:** Those monies that are set aside in the budget for contingencies, for future projects, for debt services, or for cash flow purposes.

**Retained Earnings:** An equity account reflecting the accumulated earnings of an Enterprise or Internal Service Fund. For budgeting purposes, the working capital definition of fund balance is used.

**Revenues:** (1) Increases in government fund type net current assets from other than expenditure refunds and residual equity transfers. Under NCGA Statement 1, general long-term debt proceeds and operating transfers-in are classified as "other financing sources" rather than revenues. (2) Increases in proprietary fund type net total assets from other than expense refunds, capital contributions, and residual equity transfers. Under NCGA Statement 1, operating transfers-in are classified separately from revenues.

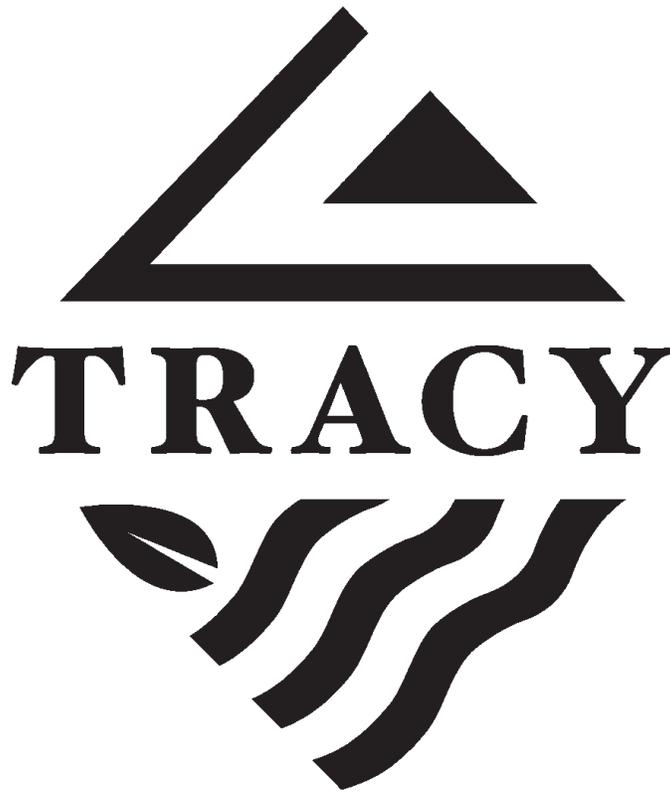
**Special Assessments:** Compulsory charges levied by a government for the purpose of financing a particular public service performed for the benefit of a limited group of property owners.

**Supplemental:** An increase to the adopted or amended budget proposed during the middle of the fiscal year. When approved as a supplemental appropriation, it becomes part of the amended budget.

**Taxes:** Compulsory charges levied by a government for the purpose of financing services performed for the common benefit. This term does not include specific charges made against particular persons or property for current or permanent benefits such as special assessments. Neither does the term include charges for services rendered only to those paying such charges as, for example, sewer service charges.

**Working Capital:** A term used to express the liquid equity of funds. It is determined by current assets minus current liabilities. It provides a measure of residual resources available to be used in the current or next fiscal year. For governmental fund types, working capital and fund balance are synonymous.

# *Fiscal Overview*



Think Inside the Triangle™

## FISCAL OVERVIEW

A variety of financial overviews are presented within this section of the budget. These summaries compare approved expenditures against the anticipated resources to fund these expenditures. As mentioned in the Budget Guide, the City's fiscal activities are budgeted and accounted for through funds. For FY15-16, there are over 70 funds used for budgeting.

### A Balanced Budget

To maintain a "balanced budget", total expenditures in a fund cannot exceed its total of revenues and its beginning balance. An ending fund balance should always be positive. A negative fund balance indicates that the budget for a fund is not balanced. An ending fund balance for the fiscal year also represents the anticipated reserves of the fund.

Normally, a sufficient reserve for operating funds should be at least 10% of their estimated revenues for the fiscal year. Any supplemental appropriations, after the budget is adopted, would decrease the reserves or projected ending fund balances.

### Overview of Funds

In the budget summaries and overviews that follow, each fund or group of funds is presented for each fiscal year using this format.

#### + Beginning Fund Balance

(Actual or Projected at start of the Fiscal Year)

#### + Estimated Revenues for the Year

#### + Transfers In for the Year

#### - Operating Expenditures for the Year

#### - Capital Appropriations for the Year

#### - Debt Service for the Year

#### - Transfers out for the Year

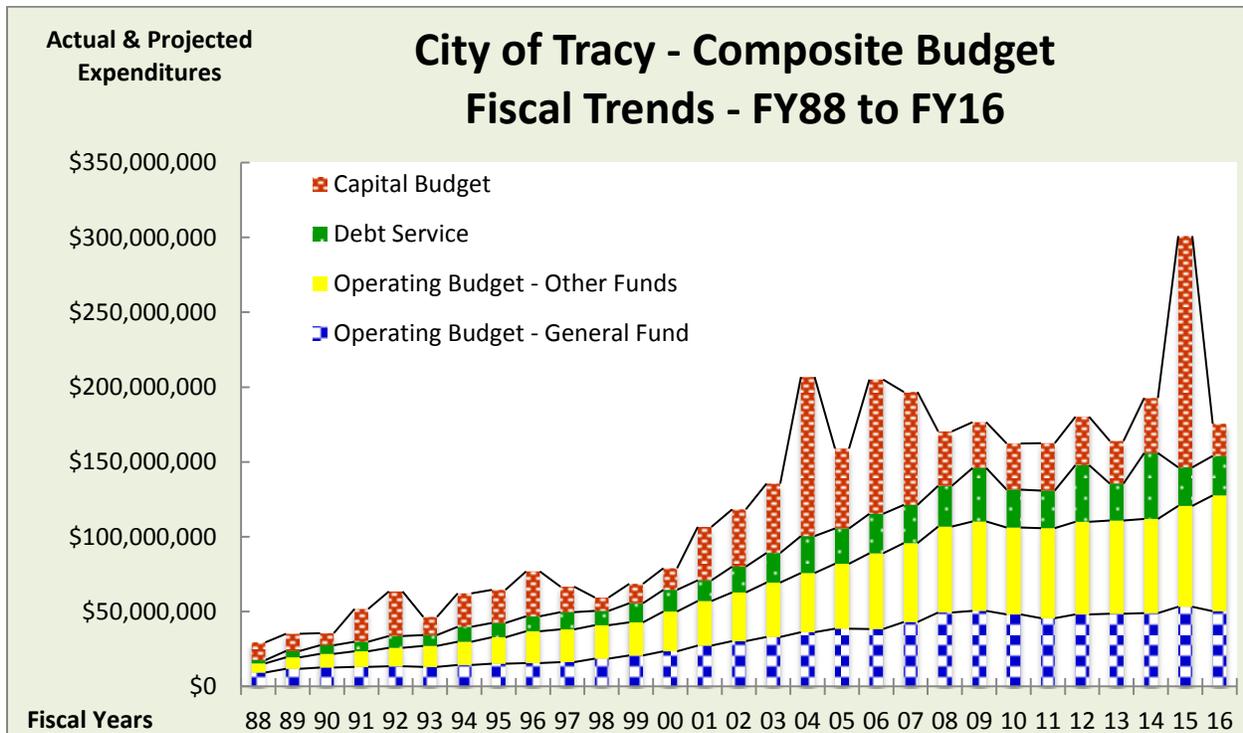
#### = Projected Ending Fund Balance (at Fiscal Year-end)

Over the years with City growth, the General Fund and the Operating Budget have shown modest to moderate increases, however recently they have shown some leveling off due to the economic downturn.

Debt Service has increased also, particularly recent, due to special assessments for new development and City efforts at redevelopment.

The Capital Budget can vary from year-to-year depending on the level of growth and the schedule and progress made on particular capital projects.

The "spiking" caused by the Capital Budget in FY15 reflects budgeted capital projects in the City's capital improvement program. About 70% of the dollar amounts budgeted will be carried forward into FY16.



## Budget Summaries

The budget summaries provide an overview or top-level picture of the City's budget.

The following is a description of each summary.

### Composite Budget Summary (page C3)

This is a summary of all funds by major revenue and expenditure categories of the FY15-16 operating and capital budgets and debt service obligations. It also references this same information for FY12-13 and FY13-14 (actuals) and FY14-15 (adopted and estimated).

### Composite Summary/Operating Budget (page C4)

This is a summary of the operating budget and debt service obligations including all funds involved, by major revenue and expenditure categories. The FY15-16 budget figures are presented and compared to FY14-15 (adopted and estimated) and FY12-13 and FY13-14 (actuals).

### Composite Summary/Capital Budget (page C5)

This summary is the same as on the preceding page but the capital budget, rather than the operating budget, is featured.

### General Fund Summary (page C6)

Because of its importance, a separate summary for the General Fund is shown here. The summary highlights the major revenue categories and expenditures by department of the General Fund budget for FY15-16. For comparison purposes, budget figures for FY14-15 (adopted and estimated) and FY12-13 and FY13-14 (actuals) are also referenced.

| Composite Summary<br>All Funds | FY12-13<br>Actual    | FY13-14<br>Actual    | %<br>Change              | FY14-15<br>Adopted   | FY14-15<br>Estimated | % of<br>Budget | %<br>Change              | FY15-16<br>Projected       | %<br>Change                |
|--------------------------------|----------------------|----------------------|--------------------------|----------------------|----------------------|----------------|--------------------------|----------------------------|----------------------------|
| BEGINNING FUND BALANCES        | \$206,908,061        | \$204,423,828        | -1.2%                    | \$159,013,190        | \$197,999,510        | <<Actual       | -3.1%                    | \$161,964,640              | -18.2%                     |
| <b>REVENUES</b>                |                      |                      |                          |                      |                      |                |                          |                            |                            |
| Property Taxes                 | \$19,175,394         | \$19,782,579         | 3.2%                     | \$20,259,400         | \$21,582,000         | 106.5%         | 9.1%                     | \$22,539,650               | 4.4%                       |
| Sales Taxes                    | 24,548,535           | 26,956,604           | 9.8%                     | 27,137,560           | 27,166,640           | 100.1%         | 0.8%                     | 27,858,870                 | 2.5%                       |
| Other Taxes                    | 1,753,019            | 1,860,580            | 6.1%                     | 1,750,000            | 2,007,300            | 114.7%         | 7.9%                     | 2,070,000                  | 3.1%                       |
| Operating Assessments          | 3,114,448            | 3,142,120            | 0.9%                     | 3,147,850            | 3,205,980            | 101.8%         | 2.0%                     | 3,289,000                  | 2.6%                       |
| Capital Development Fees       | 10,454,636           | 4,215,202            | -59.7%                   | 1,556,400            | 24,990,500           | 1605.7%        | 492.9%                   | 37,378,660                 | 49.6%                      |
| Debt Assessments               | 12,183,137           | 12,083,708           | -0.8%                    | 12,083,800           | 12,062,600           | 99.8%          | -0.2%                    | 12,152,700                 | 0.7%                       |
| License & Permit Fees          | 877,637              | 1,275,695            | 45.4%                    | 1,430,280            | 1,724,300            | 120.6%         | 35.2%                    | 1,856,500                  | 7.7%                       |
| Franchise Fees                 | 2,687,839            | 2,787,481            | 3.7%                     | 2,809,000            | 2,855,690            | 101.7%         | 2.4%                     | 2,904,000                  | 1.7%                       |
| State Shared Taxes             | 2,436,664            | 3,178,557            | 30.4%                    | 2,664,380            | 3,006,290            | 112.8%         | -5.4%                    | 2,400,420                  | -20.2%                     |
| Other Grants                   | 14,229,502           | 11,125,292           | -21.8%                   | 48,638,740           | 67,305,940           | 138.4%         | 505.0%                   | 11,307,390                 | -83.2%                     |
| Current Charges                | 9,147,220            | 8,839,743            | -3.4%                    | 10,786,500           | 9,933,890            | 92.1%          | 12.4%                    | 10,223,410                 | 2.9%                       |
| Enterprise Charges             | 56,716,311           | 59,856,735           | 5.5%                     | 58,720,540           | 61,697,770           | 105.1%         | 3.1%                     | 57,093,550                 | -7.5%                      |
| Fines & Forfeitures            | 943,057              | 1,359,271            | 44.1%                    | 1,314,200            | 932,000              | 70.9%          | -31.4%                   | 828,000                    | -11.2%                     |
| Interest Earnings              | 345,509              | 783,646              | 126.8%                   | 532,500              | 792,550              | 148.8%         | 1.1%                     | 813,800                    | 2.7%                       |
| Other Revenues                 | 1,346,629            | 2,154,461            | 60.0%                    | 2,157,200            | 2,524,760            | 117.0%         | 17.2%                    | 2,168,200                  | -14.1%                     |
| Other Financing Sources        | 1,640,658            | 26,895,582           | 1539.3%                  | 26,325,000           | 25,097,900           | 95.3%          | -6.7%                    | 22,362,000                 | -10.9%                     |
| <b>Total Revenues</b>          | <b>\$161,600,195</b> | <b>\$186,297,256</b> | <b>15.3%</b>             | <b>\$221,313,350</b> | <b>\$266,886,110</b> | <b>120.6%</b>  | <b>43.3%</b>             | <b>\$217,246,150</b>       | <b>-18.6%</b>              |
| <b>EXPENDITURES</b>            |                      |                      |                          |                      |                      |                |                          |                            |                            |
|                                |                      |                      | <b>% Cost<br/>Change</b> |                      |                      |                | <b>% Cost<br/>Change</b> | <b>FY15-16<br/>Adopted</b> | <b>% Budget<br/>Change</b> |
| <b>Operating Budget</b>        |                      |                      |                          |                      |                      |                |                          |                            |                            |
| Police                         | \$21,487,013         | \$21,592,245         | 0.5%                     | \$23,883,090         | \$22,616,680         | 94.7%          | 4.7%                     | \$24,148,870               | 1.1%                       |
| Fire                           | 15,325,322           | 15,882,817           | 3.6%                     | 16,456,970           | 16,003,150           | 97.2%          | 0.8%                     | 15,083,290                 | -8.3%                      |
| Public Works                   | 35,745,515           | 36,889,599           | 3.2%                     | 41,252,840           | 39,332,220           | 95.3%          | 6.6%                     | 40,782,500                 | -1.1%                      |
| Utilities                      | 14,471,295           | 13,556,735           | -6.3%                    | 15,176,550           | 15,080,400           | 99.4%          | 11.2%                    | 16,274,170                 | 7.2%                       |
| Development Services           | 7,380,415            | 7,721,493            | 4.6%                     | 9,732,900            | 8,982,640            | 92.3%          | 16.3%                    | 10,364,610                 | 6.5%                       |
| Gen Govt Agencies              | 2,697,448            | 2,677,896            | -0.7%                    | 2,997,190            | 2,997,790            | 100.0%         | 11.9%                    | 2,889,820                  | -3.6%                      |
| Recreation & Cultural Arts     | 3,284,804            | 3,111,764            | -5.3%                    | 3,664,250            | 3,224,700            | 88.0%          | 3.6%                     | 3,749,630                  | 2.3%                       |
| Administrative Services        | 5,432,917            | 5,468,535            | 0.7%                     | 6,611,690            | 5,950,560            | 90.0%          | 8.8%                     | 6,750,730                  | 2.1%                       |
| Non-Departmental               | 5,003,156            | 5,074,649            | 1.4%                     | 5,840,080            | 6,424,180            | 110.0%         | 26.6%                    | 7,433,800                  | 27.3%                      |
| Budget Savings                 | 0                    | 0                    |                          | 0                    | 0                    |                |                          | (1,000,000)                |                            |
| <b>Total</b>                   | <b>\$110,827,885</b> | <b>\$111,975,733</b> | <b>1.0%</b>              | <b>\$125,615,560</b> | <b>\$120,612,320</b> | <b>96.0%</b>   | <b>7.7%</b>              | <b>\$126,477,420</b>       | <b>0.7%</b>                |
| <b>Capital Budget</b>          |                      |                      |                          |                      |                      |                |                          |                            |                            |
| Govt Bldgs & Pub Saf Fac       | \$4,070,769          | \$5,753,994          | 41.3%                    | \$482,200            | \$9,374,734          | 1944.2%        | 62.9%                    | \$305,000                  | -96.7%                     |
| Traffic, Streets & Highways    | 10,658,009           | 13,585,681           | 27.5%                    | 43,687,510           | 64,629,144           | 147.9%         | 375.7%                   | 14,776,800                 | -77.1%                     |
| Water & Sewer Improvements     | 4,691,249            | 10,517,533           | 124.2%                   | 25,621,800           | 42,071,447           | 164.2%         | 300.0%                   | 15,513,840                 | -63.1%                     |
| Drainage Improvements          | 62,421               | 3,026,299            | 4748.2%                  | 483,100              | 1,510,921            | 312.8%         | -50.1%                   | 1,171,000                  | -22.5%                     |
| Airport & Transit Improvements | 1,942,333            | 731,468              | -62.3%                   | 100,000              | 9,185,163            | 9185.2%        | 1155.7%                  | 1,535,000                  | -83.3%                     |
| Parks & Recreation Improvement | 5,054,444            | 575,419              | -88.6%                   | 2,835,600            | 23,881,886           | 842.2%         | 4050.3%                  | 75,000                     | -99.7%                     |
| Miscellaneous Projects         | 2,151,224            | 2,574,923            | 19.7%                    | 1,520,000            | 5,921,470            | 389.6%         | 130.0%                   | 1,812,500                  | -69.4%                     |
| <b>Total</b>                   | <b>\$28,630,449</b>  | <b>\$36,765,317</b>  | <b>28.4%</b>             | <b>\$74,730,210</b>  | <b>\$156,574,765</b> | <b>209.5%</b>  | <b>325.9%</b>            | <b>\$35,189,140</b>        | <b>-77.5%</b>              |
| <b>Debt Service</b>            | <b>\$24,626,094</b>  | <b>\$43,980,524</b>  | <b>78.6%</b>             | <b>\$27,592,630</b>  | <b>\$25,733,900</b>  | <b>93.3%</b>   | <b>-41.5%</b>            | <b>\$26,477,860</b>        | <b>2.9%</b>                |
| <b>Total Expenditures</b>      | <b>\$164,084,428</b> | <b>\$192,721,574</b> | <b>17.5%</b>             | <b>\$227,938,400</b> | <b>\$302,920,985</b> | <b>132.9%</b>  | <b>57.2%</b>             | <b>\$188,144,420</b>       | <b>-37.9%</b>              |
| ENDING FUND BALANCES           | \$204,423,828        | \$197,999,510        | -3.1%                    | \$152,388,140        | \$161,964,635        | 106.3%         | -18.2%                   | \$191,066,370              | 18.0%                      |

| Composite Summary<br>Operating Budget - All Funds | FY12-13<br>Actual    | FY13-14<br>Actual    | %<br>Change              | FY14-15<br>Adopted   | FY14-15<br>Estimated | % of<br>Budget | %<br>Change              | FY15-16<br>Projected       | %<br>Change                |
|---|----------------------|----------------------|--------------------------|----------------------|----------------------|----------------|--------------------------|----------------------------|----------------------------|
| BEGINNING FUND BALANCES                           | \$106,092,177        | \$108,168,915        | 2.0%                     | \$112,415,660        | \$108,821,110        | <<Actual       | 0.6%                     | \$105,445,830              | -3.1%                      |
| <b>REVENUES</b>                                   |                      |                      |                          |                      |                      |                |                          |                            |                            |
| Property Taxes                                    | \$19,175,394         | \$19,782,579         | 3.2%                     | \$20,259,400         | \$21,582,000         | 106.5%         | 9.1%                     | \$22,539,650               | 4.4%                       |
| Sales Taxes                                       | 23,408,515           | 25,759,123           | 10.0%                    | 25,962,560           | 25,236,640           | 97.2%          | -2.0%                    | 25,918,870                 | 2.7%                       |
| Other Taxes                                       | 1,753,019            | 1,860,580            | 6.1%                     | 1,750,000            | 1,907,300            | 109.0%         | 2.5%                     | 2,070,000                  | 8.5%                       |
| Operating Assessments                             | 3,087,458            | 3,112,120            | 0.8%                     | 2,909,850            | 2,994,970            | 102.9%         | -3.8%                    | 2,457,000                  | -18.0%                     |
| Capital Development Fees                          | 0                    | 0                    |                          | 0                    | 0                    |                |                          | 0                          |                            |
| Debt Assessments                                  | 12,183,137           | 12,083,708           | -0.8%                    | 12,083,800           | 12,062,600           | 99.8%          | -0.2%                    | 12,152,700                 | 0.7%                       |
| License & Permit Fees                             | 877,637              | 1,275,695            | 45.4%                    | 1,430,280            | 1,724,300            | 120.6%         | 35.2%                    | 1,856,500                  | 7.7%                       |
| Franchise Fees                                    | 2,687,839            | 2,787,481            | 3.7%                     | 2,809,000            | 2,855,690            | 101.7%         | 2.4%                     | 2,904,000                  | 1.7%                       |
| State Shared Taxes                                | 1,895,285            | 1,910,149            | 0.8%                     | 1,913,000            | 1,887,780            | 98.7%          | -1.2%                    | 2,030,280                  | 7.5%                       |
| Other Grants                                      | 9,240,242            | 6,745,912            | -27.0%                   | 7,692,250            | 9,813,400            | 127.6%         | 45.5%                    | 9,438,790                  | -3.8%                      |
| Current Charges                                   | 9,147,220            | 8,839,743            | -3.4%                    | 10,786,500           | 9,933,890            | 92.1%          | 12.4%                    | 10,223,410                 | 2.9%                       |
| Enterprise Charges                                | 48,249,451           | 51,330,875           | 6.4%                     | 50,513,110           | 53,136,890           | 105.2%         | 3.5%                     | 54,025,550                 | 1.7%                       |
| Fines & Forfeitures                               | 943,057              | 1,359,271            | 44.1%                    | 1,314,200            | 932,000              | 70.9%          | -31.4%                   | 828,000                    | -11.2%                     |
| Use of Money & Property                           | 345,509              | 783,646              | 126.8%                   | 532,500              | 792,550              | 148.8%         | 1.1%                     | 813,800                    | 2.7%                       |
| Other Revenues                                    | 1,346,629            | 2,154,461            | 60.0%                    | 2,157,200            | 2,524,760            | 117.0%         | 17.2%                    | 2,168,200                  | -14.1%                     |
| Other Financing Sources                           | 0                    | 0                    |                          | 0                    | 948,750              |                |                          | 62,000                     |                            |
| Transfers to Cap Budget                           | (1,518,468)          | (5,000,000)          | 229.3%                   | (20,000)             | (10,334,880)         | 51674.4%       |                          | (20,880)                   |                            |
| Transfers to Debt Service                         | (19,917,301)         | (22,157,415)         | 11.2%                    | (20,989,070)         | (20,761,600)         | 98.9%          | -6.3%                    | (24,157,450)               | 16.4%                      |
| <b>Total Revenues</b>                             | <b>\$112,904,623</b> | <b>\$112,627,928</b> | <b>-0.2%</b>             | <b>\$121,104,580</b> | <b>\$117,237,040</b> | <b>96.8%</b>   | <b>4.1%</b>              | <b>\$125,310,420</b>       | <b>6.9%</b>                |
| <b>EXPENDITURES</b>                               |                      |                      |                          |                      |                      |                |                          |                            |                            |
|   |                      |                      | <b>% Cost<br/>Change</b> |                      |                      |                | <b>% Cost<br/>Change</b> | <b>FY15-16<br/>Adopted</b> | <b>% Budget<br/>Change</b> |
| <b>Operating Budget</b>                           |                      |                      |                          |                      |                      |                |                          |                            |                            |
| Police  | \$21,487,013         | \$21,592,245         | 0.5%                     | \$23,883,090         | \$22,616,680         | 94.7%          | 4.7%                     | \$24,148,870               | 1.1%                       |
| Fire  | 15,325,322           | 15,882,817           | 3.6%                     | 16,456,970           | 16,003,150           | 97.2%          | 0.8%                     | 15,083,290                 | -8.3%                      |
| Public Works & Utilities                          |                      |                      |                          |                      |                      |                |                          |                            |                            |
| <i>Maintenance &amp; Operations</i>               | 8,798,805            | 8,869,638            | 0.8%                     | 10,565,800           | 10,610,350           | 100.4%         | 19.6%                    | 10,505,660                 | -0.6%                      |
| <i>Central Garage</i>                             | 1,417,252            | 1,398,457            | -1.3%                    | 1,529,560            | 1,420,900            | 92.9%          | 1.6%                     | 1,601,090                  | 4.7%                       |
| <i>Solid Waste</i>                                | 18,545,801           | 18,650,283           | 0.6%                     | 19,932,630           | 19,345,790           | 97.1%          | 3.7%                     | 20,540,810                 | 3.1%                       |
| <i>Water</i>                                      | 12,163,289           | 12,249,167           | 0.7%                     | 12,971,340           | 12,593,940           | 97.1%          | 2.8%                     | 13,129,230                 | 1.2%                       |
| <i>Wastewater</i>                                 | 6,877,180            | 6,787,726            | -1.3%                    | 7,817,020            | 7,345,210            | 94.0%          | 8.2%                     | 8,009,510                  | 2.5%                       |
| <i>Drainage</i>                                   | 532,486              | 420,951              | -20.9%                   | 571,380              | 494,210              | 86.5%          | 17.4%                    | 549,690                    | -3.8%                      |
| <i>Airport &amp; Transit</i>                      | 1,881,997            | 2,070,112            | 10.0%                    | 3,041,660            | 2,602,220            | 85.6%          | 25.7%                    | 2,720,680                  | -10.6%                     |
| Development Services                              |                      |                      |                          |                      |                      |                |                          |                            |                            |
| <i>Planning &amp; Building</i>                    | 3,395,238            | 3,649,327            | 7.5%                     | 4,691,570            | 4,082,080            | 87.0%          | 11.9%                    | 4,844,570                  | 3.3%                       |
| <i>Engineering</i>                                | 3,171,271            | 2,907,633            | -8.3%                    | 3,819,230            | 3,618,180            | 94.7%          | 24.4%                    | 4,113,020                  | 7.7%                       |
| <i>Economic Development</i>                       | 813,906              | 1,164,533            | 43.1%                    | 1,222,100            | 1,282,380            | 104.9%         | 10.1%                    | 1,407,020                  | 15.1%                      |
| Gen Govt Agencies                                 | 2,697,448            | 2,677,896            | -0.7%                    | 2,997,190            | 2,997,790            | 100.0%         | 11.9%                    | 2,889,820                  | -3.6%                      |
| Recreation & Cultural Arts                        | 3,284,804            | 3,111,764            |                          | 3,664,250            | 3,224,700            | 88.0%          |                          | 3,749,630                  | 2.3%                       |
| Administrative Services                           | 5,432,917            | 5,468,535            | 0.7%                     | 6,611,690            | 5,950,560            | 90.0%          | 8.8%                     | 6,750,730                  | 2.1%                       |
| Non-Departmental                                  | 5,003,156            | 5,074,649            | 1.4%                     | 5,840,080            | 6,424,180            | 110.0%         | 26.6%                    | 7,433,800                  | 27.3%                      |
| Budget Savings                                    | 0                    | 0                    |                          | 0                    | 0                    |                |                          | (1,000,000)                |                            |
| <b>Total</b>                                      | <b>\$110,827,885</b> | <b>\$111,975,733</b> | <b>1.0%</b>              | <b>\$125,615,560</b> | <b>\$120,612,320</b> | <b>96.0%</b>   | <b>7.7%</b>              | <b>\$126,477,420</b>       | <b>0.7%</b>                |
| ENDING FUND BALANCES                              | \$108,168,915        | \$108,821,110        |                          | \$107,904,680        | \$105,445,830        | 97.7%          | -3.1%                    | \$104,278,830              | -1.1%                      |

| Composite Summary<br>Capital Budget - All Funds | FY12-13<br>Actual   | FY13-14<br>Actual   | %<br>Change   | FY14-15<br>Adopted  | FY14-15<br>Estimated  | % of<br>Budget | %<br>Change   | FY15-16<br>Projected       | %<br>Change   |
|---|---------------------|---------------------|---------------|---|-----------------------|----------------|---------------|----------------------------|---------------|
| BEGINNING FUND BALANCES                         | \$72,905,712        | \$74,431,804        |               | \$46,183,320  | \$89,178,400 <<Actual |                | 19.8%         | \$61,491,110               | -31.0%        |
| <b>REVENUES</b>                                 |                     |                     |               |   |                       |                |               |                            |               |
| Property Taxes                                  | \$0                 | \$0                 |               | \$0   | \$0                   |                |               | \$0                        |               |
| Sales Taxes                                     | 1,140,020           | 1,197,481           | 5.0%          | 1,175,000   | 1,930,000             | 164.3%         | 61.2%         | 1,940,000                  | 0.5%          |
| Other Taxes                                     | 0                   | 0                   |               | 0   | 100,000               |                |               | 0                          |               |
| Operating Assessments                           | 26,990              | 30,000              |               | 238,000   | 211,010               |                |               | 832,000                    |               |
| Capital Development Fees                        | 10,454,636          | 4,215,202           | -59.7%        | 1,556,400   | 24,990,500            | 1605.7%        | 492.9%        | 37,378,660                 | 49.6%         |
| License, Permit, & Franchise                    | 0                   | 0                   |               | 0   | 0                     |                |               | 0                          |               |
| State Shared Taxes                              | 541,379             | 1,268,408           | 134.3%        | 751,380   | 1,118,510             | 148.9%         | -11.8%        | 370,140                    | -66.9%        |
| Other Grants                                    | 4,989,260           | 4,379,380           | -12.2%        | 40,946,490  | 57,492,540            | 140.4%         | 1212.8%       | 1,868,600                  | -96.7%        |
| Current Charges                                 | 0                   | 0                   |               | 0   | 0                     |                |               | 0                          |               |
| Enterprise Charges                              | 8,254,540           | 8,313,540           |               | 8,007,430   | 7,539,300             | 94.2%          |               | 2,568,000                  | -65.9%        |
| Internal Charges                                | 212,320             | 212,320             |               | 200,000   | 1,021,580             | 510.8%         |               | 500,000                    | -51.1%        |
| Use of Money & Property                         | 0                   | 0                   |               | 0   | 0                     |                |               | 0                          |               |
| Other Revenues                                  | 0                   | 0                   |               | 0   | 0                     |                |               | 0                          |               |
| CIP Contributions                               | 1,542,535           | 6,989,189           | 353.1%        | 6,300,000   | 25,035,900            | 397.4%         | 258.2%        | 2,300,000                  | -90.8%        |
| Debt Proceeds                                   | 98,123              | 19,906,393          | 20187.2%      | 20,025,000  | -886,750              |                | -104.5%       | 20,000,000                 |               |
| Net Transfers                                   | 2,896,738           | 5,000,000           | 72.6%         | 20,000  | 10,334,880            | 51674.4%       |               | 20,880                     |               |
| <b>Total Revenues</b>                           | <b>\$30,156,541</b> | <b>\$51,511,913</b> | <b>290.9%</b> | <b>\$79,219,700</b>   | <b>\$128,887,470</b>  | <b>162.7%</b>  | <b>150.2%</b> | <b>\$67,778,280</b>        | <b>-47.4%</b> |
| <b>EXPENDITURES</b>                             |                     |                     |               |   |                       |                |               |                            |               |
|   |                     |                     |               |   |                       |                |               | <b>FY15-16<br/>Adopted</b> |               |
| <b>Capital Budget</b>                           |                     |                     |               |   |                       |                |               |                            |               |
| Govt Bldgs & Pub Saf Fac                        | \$4,070,769         | \$5,753,994         | 41.3%         | \$482,200   | \$9,374,734           | 1944.2%        | 62.9%         | \$305,000                  | 217.5%        |
| Traffic Improvements                            | 638,833             | 1,078,706           | 68.9%         | 2,028,100   | 6,046,651             | 298.1%         | 460.5%        | 2,067,200                  | 57.5%         |
| Streets & Highways                              | 10,019,176          | 12,506,975          | 24.8%         | 41,659,410  | 58,582,493            | 140.6%         | 368.4%        | 12,709,600                 | 134.2%        |
| Wastewater Improvements                         | 3,136,734           | 1,705,002           | -45.6%        | 24,201,800  | 32,640,333            | 134.9%         | 1814.4%       | 4,812,940                  | 657.7%        |
| Water Improvements                              | 1,554,515           | 8,812,531           | 466.9%        | 1,420,000   | 9,431,114             | 664.2%         | 7.0%          | 10,700,900                 | 463.6%        |
| Drainage Improvements                           | 62,421              | 3,026,299           | 4748.2%       | 483,100   | 1,510,921             | 312.8%         | -50.1%        | 1,171,000                  | 691.1%        |
| Airport & Transit Improvements                  | 1,942,333           | 731,468             | -62.3%        | 100,000   | 9,185,163             | 9185.2%        | 1155.7%       | 1,535,000                  | 257.5%        |
| Parks & Recreation Improvement                  | 5,054,444           | 575,419             | -88.6%        | 2,835,600   | 23,881,886            | 842.2%         | 4050.3%       | 75,000                     | -66.4%        |
| Miscellaneous Projects                          | 2,151,224           | 2,574,923           | 19.7%         | 1,520,000   | 5,921,470             | 389.6%         | 130.0%        | 1,812,500                  | 21.3%         |
| <b>Total Expenditures</b>                       | <b>\$28,630,449</b> | <b>\$36,765,317</b> | <b>28.4%</b>  | <b>\$74,730,210</b>   | <b>\$156,574,765</b>  | <b>209.5%</b>  | <b>325.9%</b> | <b>\$35,189,140</b>        | <b>-77.5%</b> |
| ENDING FUND BALANCES                            | \$74,431,804        | \$89,178,400        | 19.8%         | \$50,672,810  | \$61,491,105          | 121.3%         | -31.0%        | \$94,080,250               | 53.0%         |
|   |                     |                     |               | <p>The FY14-15 estimated figures and FY15-16 proposed figures represent capital appropriations.</p> <p>Estimated expenditures are expected to be about \$44.0 M in FY14-15 with \$110.2 M carried over into FY15-16. So, the FY15-16 approved capital budget with carryovers will be about \$145.4 M.</p> <p>Actual expenditures in FY15-16 are projected to be about \$50 M. So, about \$95.4 M will be carried over from FY15-16 into future years.</p> |                       |                |               |                            |               |

| CITY OF TRACY                   |                   | FY2015-2016 Adopted Budget |                  |                    | General Fund Summary |                |                  |                      | 01-Jul-15          |  |
|---------------------------------|-------------------|----------------------------|------------------|--------------------|----------------------|----------------|------------------|----------------------|--------------------|--|
| General Fund Summary            | FY12-13<br>Actual | FY13-14<br>Actual          | %<br>Change      | FY14-15<br>Adopted | FY14-15<br>Estimated | % of<br>Budget | %<br>Change      | FY15-16<br>Projected | %<br>Change        |  |
| BEGINNING FUND BALANCE          | \$18,985,100      | \$18,985,100               | 0.0%             | \$18,985,100       | \$10,671,340         | <<Actual       | -43.8%           | \$5,735,520          |                    |  |
| <b>REVENUES</b>                 |                   |                            |                  |                    |                      |                |                  |                      |                    |  |
| Property Taxes                  | \$14,828,444      | \$15,699,554               | 5.9%             | \$16,259,400       | \$17,482,000         | 107.5%         | 11.4%            | \$18,239,650         | 4.3%               |  |
| Sales Taxes - General           | 14,084,197        | 15,527,566                 | 10.2%            | 16,078,080         | 16,189,000           | 100.7%         | 4.3%             | 17,282,600           | 6.8%               |  |
| Temporary Taxes - Measure E     | 6,469,067         | 7,194,407                  | 11.2%            | 7,012,480          | 7,466,200            | 106.5%         | 3.8%             | 6,314,900            | -15.4%             |  |
| Other Taxes                     | 1,753,019         | 1,860,580                  | 6.1%             | 1,750,000          | 2,007,300            | 114.7%         | 7.9%             | 2,070,000            | 3.1%               |  |
| Operating Assessments           | 363,535           | 370,643                    | 2.0%             | 380,000            | 381,000              | 100.3%         | 2.8%             | 385,000              | 1.0%               |  |
| License & Permit Fees           | 876,038           | 1,220,420                  | 39.3%            | 1,430,280          | 1,724,300            | 120.6%         | 41.3%            | 131,600              | -92.4%             |  |
| Franchise Fees                  | 2,499,946         | 2,593,225                  | 3.7%             | 2,616,000          | 2,658,690            | 101.6%         | 2.5%             | 2,704,000            | 1.7%               |  |
| State Shared Taxes              | 549,539           | 564,403                    | 2.7%             | 563,000            | 587,900              | 104.4%         | 4.2%             | 600,100              | 2.1%               |  |
| Other Grants                    | 1,652,834         | 968,653                    | -41.4%           | 607,250            | 708,300              | 116.6%         | -26.9%           | 635,820              | -10.2%             |  |
| Current Charges                 | 8,930,268         | 8,588,909                  | -3.8%            | 10,074,050         | 9,705,130            | 96.3%          | 13.0%            | 4,425,360            | -54.4%             |  |
| Fines & Forfeitures             | 566,473           | 790,326                    | 39.5%            | 919,200            | 167,000              | 18.2%          | -78.9%           | 178,000              | 6.6%               |  |
| Interest Earnings               | 163,502           | 660,991                    | 304.3%           | 239,000            | 669,200              | 280.0%         | 1.2%             | 679,800              | 1.6%               |  |
| Other Revenues                  | 401,397           | 327,888                    | -18.3%           | 372,000            | 336,000              | 90.3%          | 2.5%             | 342,000              | 1.8%               |  |
| Other Financing Sources         | 0                 | 0                          |                  | 0                  | 0                    |                |                  | 0                    |                    |  |
| Sub-total                       | \$53,138,259      | \$56,367,565               | 6.1%             | \$58,300,740       | \$60,082,020         | 103.1%         | 6.6%             | \$53,988,830         | -10.1%             |  |
| Community Benefit Funding       | 0                 | 0                          |                  | 0                  | 0                    |                |                  | 1,250,000            |                    |  |
| Trfs In from Measure E Reserves | 0                 | 0                          |                  | 0                  | 0                    |                |                  | 0                    |                    |  |
| Total Revenues                  | \$53,138,259      | \$56,367,565               | 6.1%             | \$58,300,740       | \$60,082,020         | 103.1%         | 6.6%             | \$55,238,830         | -8.1%              |  |
| <b>EXPENDITURES</b>             |                   |                            |                  |                    |                      |                |                  |                      |                    |  |
|                                 |                   |                            | % Cost<br>Change |                    |                      |                | % Cost<br>Change | FY15-16<br>Adopted   | % Budget<br>Change |  |
| <b>Operating Budget</b>         |                   |                            |                  |                    |                      |                |                  |                      |                    |  |
| Police                          | \$21,480,005      | \$21,582,847               | 0.5%             | \$23,866,190       | \$22,600,680         | 94.7%          | 4.7%             | \$24,131,970         | 1.1%               |  |
| Fire                            | 8,751,942         | 9,231,257                  | 5.5%             | 9,897,450          | 9,642,100            | 97.4%          | 4.5%             | 9,461,780            | -4.4%              |  |
| Public Works                    | 3,477,408         | 3,454,099                  | -0.7%            | 4,165,480          | 3,842,470            | 92.2%          | 11.2%            | 4,263,410            | 2.4%               |  |
| Utilities                       | 110,528           | 195,093                    | 76.5%            | 268,730            | 266,250              | 99.1%          | 36.5%            | 154,510              | -42.5%             |  |
| Development Services            | 6,284,546         | 6,300,993                  | 0.3%             | 8,131,270          | 7,389,910            | 90.9%          | 17.3%            | 678,200              | -91.7%             |  |
| <i>Economic Development</i>     | 293,450           | 436,893                    | 48.9%            | 542,530            | 466,400              | 86.0%          | 6.8%             | 688,890              | 27.0%              |  |
| Gen Govt Agencies               | 2,615,208         | 2,594,940                  | -0.8%            | 2,896,220          | 2,903,010            | 100.2%         | 11.9%            | 2,786,330            | -3.8%              |  |
| Recreation & Cultural Arts      | 3,284,804         | 3,111,764                  | -5.3%            | 3,664,250          | 3,224,700            | 88.0%          | 3.6%             | 3,749,630            | 2.3%               |  |
| Administrative Services         | 2,617,218         | 2,673,418                  | 2.1%             | 3,392,440          | 3,083,740            | 90.9%          | 15.3%            | 3,568,780            | 5.2%               |  |
| Non-Departmental                | 502,916           | 427,280                    | -15.0%           | 737,160            | 1,028,780            | 139.6%         | 140.8%           | 1,127,180            | 52.9%              |  |
| Indirect Cost Reimbursement     | (977,020)         | (1,027,490)                | 5.2%             | (1,139,070)        | (1,148,200)          | 100.8%         | 11.7%            | (1,211,650)          | 6.4%               |  |
| Budget Savings                  | 0                 | 0                          |                  | 0                  | 0                    |                |                  | (1,000,000)          |                    |  |
| Sub-total                       | \$48,441,005      | \$48,981,094               | 1.1%             | \$56,422,650       | \$53,299,840         | 94.5%          | 8.8%             | \$48,399,030         | -14.2%             |  |
| Capital Projects                | 1,518,468         | 0                          |                  | 0                  | 10,514,000           |                |                  | 0                    |                    |  |
| Debt Service                    | 1,175,000         | 1,204,000                  | 2.5%             | 1,204,000          | 1,204,000            | 100.0%         | 0.0%             | 1,222,020            | 1.5%               |  |
| Transfers to Community Developm | 0                 | 0                          |                  | 0                  | 0                    |                |                  | 699,130              |                    |  |
| Transfers to Reserves           | 2,003,786         | 14,496,231                 |                  | 0                  | 0                    |                |                  | 0                    |                    |  |
| Total Expenditures              | \$53,138,259      | \$64,681,325               | 21.7%            | \$57,626,650       | \$65,017,840         | 112.8%         | 0.5%             | \$50,320,180         | -12.7%             |  |
| Excess Revenues/Expenditures    | 0                 | (8,313,760)                |                  | 674,090            | (4,935,820)          |                |                  | 4,918,650            |                    |  |
| ENDING FUND BALANCE             | \$18,985,100      | \$10,671,340               |                  | \$18,985,100       | \$5,735,520          | 30.2%          | -46.3%           | \$10,654,170         | 85.8%              |  |

## Fiscal Overview by Funds

### FY 14-15 Overview

An overview of the financial activities anticipated for FY15-16 for each fund is presented on pages C8, C10, and C12. Figures for FY14-15 have not been finalized by the time this budget document is printed. As such, only estimates for this fiscal year are shown. The beginning fund balance figures for July 1, 2014 are actual figures based on the City's audit of FY13-14 activities.

### FY 15-16 Overview

An overview of the financial activities approved for the FY15-16 budget for each fund is shown in this summary. These figures, while estimated for revenues, are approved appropriations. (See pages C9, C11, and C13).

### Changes in Fund Balances

These pages show the changes in fund balances over the two fiscal years.

### Fiscal Overview by Budget Component

On pages C14 to C15, an overview of financial activities for FY14-15 and FY15-16 is shown with funds grouped by budget components. Also, commentary provided pertaining to the changes in the combined fund balances for the budget components.

### Commentary on Fund Balances

On page C8, during FY14-15, the General Fund 101 fund balance will decrease from \$10.7 million down to about \$5.7 million. While operating revenues are exceeding operating expenditures by about \$6.7 million, transfers out to capital projects and debt service are about 11.5 million.

On page C9, in FY15-16, the General Fund 101 is expected to increase by \$5.0 million up to \$10.7 million. Operating revenues will exceed operating expenditures by \$5.6 million, while transfers out are only \$1.9 million.

The Wastewater Capital Fund 523 has a negative \$13.7 million working capital balance for FY14-15. A major capital project is budgeted for FY14-15 but a \$14 million bond to fund the project is not anticipated until FY15-16.

The Airport Funds 561 and 563 has a long-term negative fund balance. Also, these funds have outstanding long-term debt of about \$1,106,557 owed to other City funds.

## Year-End Budget Carryovers

Normally, budget appropriations are only valid for a fiscal year and budget authority lapses at the end of a fiscal year. Any unspent funds are returned to their respective fund balances. In certain cases, appropriations are carried over from the prior fiscal year. Such prior appropriations are carried over by the Finance Division without further Council action. Action by the City Council on the budget for a new fiscal year is concerned with new appropriations.

Prior fiscal year appropriations eligible to be carried over at year-end include any outstanding encumbrances for \$1,000 or more against the operating budget still open at year-end. It is expected that these encumbrances will be expended in the new fiscal year. Also, unexpended capital budget appropriations are eligible to be carried over for uncompleted capital projects that are expected to be completed in the new or future fiscal years. See pages E12 and F3 for carryover estimates.

| Funds                           | Actual                                | FY14-15 Estimated     |                 |                           |                           |                 | Projected        | Fund Balance<br>6/30/2015 |
|---------------------------------|---------------------------------------|-----------------------|-----------------|---------------------------|---------------------------|-----------------|------------------|---------------------------|
|                                 | Beginning<br>Fund Balance<br>7/1/2014 | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out |                           |
|                                 |                                       | --- plus ---          | -----           | --- minus ---             | -----                     |                 |                  | --- equals ---            |
| <b>GENERAL FUND</b>             |                                       |                       |                 |                           |                           |                 |                  |                           |
| 101 General                     | \$10,671,340                          | \$60,082,020          | \$0             | \$53,299,840              | \$200,000                 | \$0             | \$11,518,000     | \$5,735,520               |
| 151 Contingency Emergency       | \$11,600,000                          | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$11,600,000              |
| 152 Budget Stabilization        | \$5,800,000                           | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$5,800,000               |
| 153 Measure E Reserve           | \$7,000,000                           | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$7,000,000               |
| Total                           | \$35,071,340                          | \$60,082,020          | \$0             | \$53,299,840              | \$200,000                 | \$0             | \$11,518,000     | \$30,135,520              |
| <b>SPECIAL REVENUE FUNDS</b>    |                                       |                       |                 |                           |                           |                 |                  |                           |
| 20X Community Development       | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                       |
| 211 South County Fire Authority | \$1,241,530                           | \$7,023,610           | \$0             | \$6,855,930               | \$0                       | \$0             | \$0              | \$1,409,210               |
| 221 Downtown Business Imp Di:   | (\$10)                                | \$113,980             | \$0             | \$113,980                 | \$0                       | \$0             | \$0              | (\$10)                    |
| 231 Asset Forfeiture            | \$37,700                              | \$165,000             | \$0             | \$16,000                  | \$0                       | \$0             | \$22,000         | \$164,700                 |
| 241 Transp Devel Act - Streets  | \$1,404,850                           | \$1,587,880           | \$0             | \$1,582,730               | \$1,410,000               | \$0             | \$0              | \$0                       |
| 242 Transp Sales Taxes Prop K   | \$1,869,480                           | \$2,105,300           | \$0             | \$0                       | \$3,319,449               | \$0             | \$0              | \$655,331                 |
| 243 Traffic Congestion Relief   | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                       |
| 244 State Prop 1B Road Bonds    | (\$40)                                | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | (\$40)                    |
| 24x Gas Taxes                   | \$2,023,630                           | \$2,418,390           | \$0             | \$1,022,700               | \$2,648,834               | \$0             | \$0              | \$770,486                 |
| 261 Federal TEA Grant           | (\$1,248,380)                         | \$48,326,400          | \$0             | \$0                       | \$47,065,395              | \$0             | \$0              | \$12,625                  |
| 268 Comm Devel Block Grant      | (\$29,210)                            | \$944,100             | \$0             | \$567,000                 | \$347,495                 | \$0             | \$0              | \$395                     |
| 271 Landscaping Districts       | \$5,230,780                           | \$2,749,000           | \$0             | \$3,378,530               | \$1,024,433               | \$0             | \$0              | \$3,576,817               |
| 282 CDA Housing & Successor     | \$205,890                             | \$67,000              | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$272,890                 |
| 295 Cable TV                    | \$285,980                             | \$197,500             | \$0             | \$157,430                 | \$0                       | \$0             | \$0              | \$326,050                 |
| Total                           | \$11,022,200                          | \$65,698,160          | \$0             | \$13,694,300              | \$55,815,606              | \$0             | \$22,000         | \$7,188,454               |
| <b>CAPITAL PROJECT FUNDS</b>    |                                       |                       |                 |                           |                           |                 |                  |                           |
| 301 General Projects            | \$12,335,540                          | \$521,100             | \$10,314,000    | \$0                       | \$22,230,054              | \$0             | \$0              | \$940,586                 |
| 311 Infill Parks                | \$847,270                             | \$217,200             | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$1,064,470               |
| 312 Infill Drainage             | \$666,750                             | \$61,400              | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$728,150                 |
| 313 Infill Arterials            | \$470,770                             | \$117,800             | \$0             | \$0                       | \$136,844                 | \$0             | \$0              | \$451,726                 |
| 314 Infill Bldgs & Eqpt         | \$25,800                              | \$134,700             | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$160,500                 |
| 316 Downtown Improvements       | \$85,560                              | \$3,500               | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$89,060                  |
| 317 Downtown Projects           | \$273,350                             | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$273,350                 |
| 318 CDA Successor - Projects    | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                       |
| 321 Plan "C" Parks              | \$2,247,280                           | \$90,000              | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$2,337,280               |
| 322 Plan "C" Drainage           | \$5,870,550                           | \$40,000              | \$0             | \$0                       | \$54,000                  | \$0             | \$0              | \$5,856,550               |
| 323 Plan "C" Arterials          | \$2,696,740                           | \$200,000             | \$0             | \$0                       | \$2,337,798               | \$0             | \$0              | \$558,942                 |
| 324 Plan "C" Gen Fac            | \$5,365,480                           | \$145,000             | \$0             | \$0                       | \$1,645,520               | \$0             | \$0              | \$3,864,960               |
| 325 Plan "C" Utilities          | \$2,594,750                           | \$320,000             | \$0             | \$0                       | \$1,377,576               | \$0             | \$0              | \$1,537,174               |
| 345 RSP Pgm Mgmt                | \$2,995,450                           | \$420,260             | \$0             | \$0                       | \$3,006,032               | \$0             | \$0              | \$409,678                 |
| 351 NE Industrial Area #1       | \$3,802,240                           | \$260,000             | \$0             | \$0                       | \$3,596,507               | \$0             | \$0              | \$465,733                 |
| 352 So MacArthur Area           | \$10,595,880                          | \$200,000             | \$0             | \$0                       | \$1,112,341               | \$0             | \$0              | \$9,683,539               |
| 353 I-205 Area Development      | \$2,874,560                           | \$30,000              | \$0             | \$0                       | \$800,892                 | \$0             | \$0              | \$2,103,668               |
| 354 Industrial SP, South        | \$2,964,770                           | \$20,000              | \$0             | \$0                       | \$1,638,054               | \$0             | \$0              | \$1,346,716               |
| 355 Presidio Planning Area      | \$5,171,060                           | \$0                   | \$0             | \$0                       | \$193,317                 | \$0             | \$0              | \$4,977,743               |

(Continued)

| Funds     | FY15-16 Projected     |                 |                                     |                           |                 |                  | Projected<br>Ending<br>Fund Balance<br>6/30/2016 | Changes in<br>Fund Balance<br>over<br>2 years | %<br>Change |
|-----------|-----------------------|-----------------|-------------------------------------|---------------------------|-----------------|------------------|--|---|-------------|
|           | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures           | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out |  |   |             |
|           | --- plus ---          |                 | --- minus ---                       |                           |                 |                  | --- equals ---                                   |   |             |
| 101       | \$53,988,830          | \$1,250,000     | \$48,399,030                        | \$0                       | \$0             | \$1,921,150      | \$10,654,170                                     | (\$17,170)                                    | -0.2%       |
|           | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$11,600,000                                     |   |             |
|           | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$5,800,000                                      |   |             |
|           | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$7,000,000                                      |   |             |
|           | \$53,988,830          | \$1,250,000     | \$48,399,030                        | \$0                       | \$0             | \$1,921,150      | \$35,054,170                                     |   |             |
|           |                       |                 | includes \$1,000,000 Budget Savings |                           |                 |                  |  |   |             |
| 20X       | \$7,298,900           | \$699,130       | \$7,898,910                         | \$0                       | \$0             | \$0              | \$99,120   | \$99,120                                      |             |
| 211       | \$6,299,150           | \$0             | \$6,235,420                         | \$0                       | \$0             | \$0              | \$1,472,940                                      | \$231,410                                     | 18.6%       |
| 221       | \$114,000             | \$0             | \$114,000                           | \$0                       | \$0             | \$0              | (\$10)   | \$0   |             |
| 231       | \$50,000              | \$0             | \$16,900                            | \$0                       | \$0             | \$66,000         | \$131,800  | \$94,100                                      | 249.6%      |
| 241       | \$1,620,000           | \$0             | \$1,440,560                         | \$0                       | \$0             | \$0              | \$179,440  | (\$1,225,410)                                 | -87.2%      |
| 242       | \$1,240,000           | \$0             | \$0                                 | \$1,830,000               | \$0             | \$0              | \$65,331   | (\$1,804,149)                                 | -96.5%      |
| 243       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| 244       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | (\$40)   | \$0   | 0.0%        |
| 24x       | \$1,800,320           | \$0             | \$1,650,180                         | \$792,200                 | \$0             | \$0              | \$128,426  | (\$1,895,204)                                 | -93.7%      |
| 261       | \$966,000             | \$0             | \$0                                 | \$966,000                 | \$0             | \$0              | \$12,625   | \$1,261,005                                   | -101.0%     |
| 268       | \$523,400             | \$0             | \$354,130                           | \$0                       | \$0             | \$0              | \$169,665  | \$198,875                                     | -680.8%     |
| 271       | \$2,830,000           | \$0             | \$2,390,850                         | \$0                       | \$0             | \$0              | \$4,015,967                                      | (\$1,214,813)                                 | -23.2%      |
| 282       | \$67,000              | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$339,890  | \$134,000                                     | 65.1%       |
| 295       | \$200,500             | \$0             | \$158,290                           | \$0                       | \$0             | \$0              | \$368,260  | \$82,280                                      | 28.8%       |
| Total 200 | \$23,009,270          | \$699,130       | \$20,259,240                        | \$3,588,200               | \$0             | \$66,000         | \$6,983,414                                      | (\$4,038,786)                                 | -36.6%      |
| 301       | \$750,000             | \$0             | \$0                                 | \$1,442,500               | \$0             | \$0              | \$248,086  | (\$12,087,454)                                | -98.0%      |
| 311       | \$692,200             | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$1,756,670                                      | \$909,400                                     | 107.3%      |
| 312       | \$186,400             | \$0             | \$0                                 | \$525,500                 | \$0             | \$0              | \$389,050  | (\$277,700)                                   | -41.6%      |
| 313       | \$357,000             | \$0             | \$0                                 | \$808,600                 | \$0             | \$0              | \$126  | (\$470,644)                                   | -100.0%     |
| 314       | \$425,600             | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$586,100  | \$560,300                                     | 2171.7%     |
| 316       | \$2,400               | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$91,460   | \$5,900                                       | 6.9%        |
| 317       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$273,350  | \$0   | 0.0%        |
| 318       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| 321       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$2,337,280                                      | \$90,000                                      | 4.0%        |
| 322       | \$0                   | \$0             | \$0                                 | \$72,300                  | \$0             | \$0              | \$5,784,250                                      | (\$86,300)                                    | -1.5%       |
| 323       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$558,942  | (\$2,137,798)                                 | -79.3%      |
| 324       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$3,864,960                                      | (\$1,500,520)                                 | -28.0%      |
| 325       | \$0                   | \$0             | \$0                                 | -\$859,950                | \$0             | \$0              | \$2,397,124                                      | (\$197,626)                                   | -7.6%       |
| 345       | \$0                   | \$0             | \$0                                 | \$0                       | \$0             | \$0              | \$409,678  | (\$2,585,772)                                 | -86.3%      |
| 351       | \$260,000             | \$0             | \$0                                 | \$550,000                 | \$0             | \$0              | \$175,733  | (\$3,626,507)                                 | -95.4%      |
| 352       | \$200,000             | \$0             | \$0                                 | \$273,700                 | \$0             | \$0              | \$9,609,839                                      | (\$986,041)                                   | -9.3%       |
| 353       | \$200,000             | \$0             | \$0                                 | \$2,174,700               | \$0             | \$0              | \$128,968  | (\$2,745,592)                                 | -95.5%      |
| 354       | \$80,000              | \$0             | \$0                                 | \$1,349,200               | \$0             | \$0              | \$77,516   | (\$2,887,254)                                 | -97.4%      |
| 355       | \$0                   | \$0             | \$0                                 | \$168,200                 | \$0             | \$0              | \$4,809,543                                      | (\$361,517)                                   | -7.0%       |

(Continued)

| Funds                                    | Actual                                | FY14-15 Estimated     |                 |                           |                           |                 |                  | Projected                           |
|--|---------------------------------------|-----------------------|-----------------|---------------------------|---------------------------|-----------------|------------------|-------------------------------------|
|  | Beginning<br>Fund Balance<br>7/1/2014 | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out | Ending<br>Fund Balance<br>6/30/2015 |
|  |                                       | --- plus ---          |                 |                           | --- minus ---             |                 |                  | --- equals ---                      |
| <b>CAPITAL PROJECT FUNDS (Continued)</b> |                                       |                       |                 |                           |                           |                 |                  |                                     |
| 356 Tracy Gateway Area                   | \$3,106,090                           | \$10,000              | \$0             | \$0                       | \$2,958,425               | \$0             | \$0              | \$157,665                           |
| 357 NE Industrial Area #2                | \$8,024,240                           | \$200,000             | \$0             | \$0                       | \$2,732,743               | \$0             | \$0              | \$5,491,497                         |
| 358 Ellis Planning Area                  | \$0                                   | \$1,710,700           | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$1,710,700                         |
| 361 TIMP - Parks                         | \$0                                   | \$440,900             | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$440,900                           |
| 362 TIMP - Storm Drainage                | \$0                                   | \$1,192,900           | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$1,192,900                         |
| 363 TIMP - Traffic                       | \$0                                   | \$8,085,900           | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$8,085,900                         |
| 364 TIMP - Wastewater                    | \$0                                   | \$3,659,400           | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$3,659,400                         |
| 365 TIMP - Water                         | \$0                                   | \$5,077,100           | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$5,077,100                         |
| 366 TIMP - Public Facilities             | \$0                                   | \$204,200             | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$204,200                           |
| 367 TIMP - Public Safety                 | \$0                                   | \$249,000             | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$249,000                           |
| 391 UMP Facilities                       | \$2,514,090                           | \$9,300,900           | \$0             | \$0                       | \$7,259,453               | \$0             | \$0              | \$4,555,537                         |
| 395 CIP Deposits                         | \$4,703,710                           | \$10,200,000          | \$0             | \$0                       | \$10,294,777              | \$0             | \$0              | \$4,608,933                         |
| CIP In-Kind Contributions                | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| Total                                    | \$80,231,930                          | \$43,111,960          | \$10,314,000    | \$0                       | \$61,374,333              | \$0             | \$0              | \$72,283,557                        |
| <b>DEBT SERVICE FUNDS</b>                |                                       |                       |                 |                           |                           |                 |                  |                                     |
| 402 Land COP Debt                        | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| 407 2007 Lease Rev Bonds                 | \$277,440                             | \$0                   | \$279,100       | \$0                       | \$0                       | \$279,100       | \$0              | \$277,440                           |
| 408 2008 Lease Rev Bonds                 | \$655,240                             | \$0                   | \$1,324,900     | \$0                       | \$0                       | \$1,324,900     | \$0              | \$655,240                           |
| 495 Successor Agency Debt                | \$2,630,620                           | \$4,100,000           | \$0             | \$135,000                 | \$0                       | \$3,727,800     | \$400,000        | \$2,467,820                         |
| Total                                    | \$3,563,300                           | \$4,100,000           | \$1,604,000     | \$135,000                 | \$0                       | \$5,331,800     | \$400,000        | \$3,400,500                         |
| <b>ENTERPRISE FUNDS</b>                  |                                       |                       |                 |                           |                           |                 |                  |                                     |
|  | <u>Working Capital</u>                |                       |                 |                           |                           |                 |                  | <u>Working Capital</u>              |
| 511 Water - Operating                    | \$5,838,360                           | \$13,608,800          | \$0             | \$13,416,740              | \$250,000                 | \$4,340,470     | \$0              | \$1,439,950                         |
| 513 Water - Capital                      | \$1,972,650                           | \$3,238,700           | \$20,880        | \$0                       | \$2,521,254               | \$0             | \$0              | \$2,710,976                         |
| 521 Wastewater - Operating               | \$14,761,990                          | \$8,948,500           | \$0             | \$7,902,740               | \$0                       | \$1,903,900     | \$0              | \$13,903,850                        |
| 523 Wastewater - Capital                 | \$1,898,520                           | \$10,885,100          | \$0             | \$0                       | \$26,484,539              | \$0             | \$0              | (\$13,700,919)                      |
| 531 Solid Waste                          | \$7,425,960                           | \$22,137,400          | \$0             | \$19,642,630              | \$0                       | \$0             | \$0              | \$9,920,730                         |
| 541 Drainage                             | \$215,350                             | \$574,150             | \$0             | \$566,160                 | \$166,578                 | \$0             | \$0              | \$56,762                            |
| 561 Airport - Operating                  | (\$612,880)                           | \$564,300             | \$0             | \$474,520                 | \$0                       | \$22,860        | \$20,880         | (\$566,840)                         |
| 563 Airport - Capital                    | (\$545,860)                           | \$7,613,800           | \$0             | \$0                       | \$8,106,813               | \$0             | \$0              | (\$1,038,873)                       |
| 571 Transit                              | \$301,400                             | \$2,053,040           | \$0             | \$2,354,420               | \$0                       | \$0             | \$0              | \$20                                |
| 573 Transit - Capital                    | \$60,010                              | \$295,710             | \$0             | \$0                       | \$355,712                 | \$0             | \$0              | \$8                                 |
| Total                                    | \$31,315,500                          | \$69,919,500          | \$20,880        | \$44,357,210              | \$37,884,896              | \$6,267,230     | \$20,880         | \$12,725,664                        |

For Enterprise & Internal Service Funds, Working Capital, current assets minus current liabilities, is used in lieu of Fund Balance.

| Funds     | FY15-16 Projected  |              |                        |                        |              |               | Projected Ending Fund Balance 6/30/2016 | Changes in Fund Balance over 2 years | % Change |
|-----------|--------------------|--------------|------------------------|------------------------|--------------|---------------|---|--------------------------------------|----------|
|           | Estimated Revenues | Transfers In | Operating Expenditures | Capital Appropriations | Debt Service | Transfers Out |   |                                      |          |
|           | --- plus ---       |              |                        | --- minus ---          |              |               | --- equals ---                          |                                      |          |
| 356       | \$100,000          | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$257,665                               | (\$2,848,425)                        | -91.7%   |
| 357       | \$200,000          | \$0          | \$0                    | \$180,000              | \$0          | \$0           | \$5,511,497                             | (\$2,512,743)                        | -31.3%   |
| 358       | \$3,421,400        | \$0          | \$0                    | \$4,270,680            | \$0          | \$0           | \$861,420                               | \$861,420                            |          |
| 361       | \$1,580,700        | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$2,021,600                             | \$2,021,600                          |          |
| 362       | \$1,810,700        | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$3,003,600                             |                                      |          |
| 363       | \$10,582,800       | \$0          | \$0                    | \$5,474,100            | \$0          | \$0           | \$13,194,600                            |                                      |          |
| 364       | \$5,622,430        | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$9,281,830                             |                                      |          |
| 365       | \$7,774,300        | \$0          | \$0                    | \$7,027,200            | \$0          | \$0           | \$5,824,200                             |                                      |          |
| 366       | \$662,500          | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$866,700                               |                                      |          |
| 367       | \$520,600          | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$769,600                               |                                      |          |
| 391       | \$1,560,000        | \$0          | \$0                    | \$1,295,000            | \$0          | \$0           | \$4,820,537                             | \$2,306,447                          | 91.7%    |
| 395       | \$1,250,000        | \$0          | \$0                    | \$3,629,500            | \$0          | \$1,250,000   | \$979,433                               | (\$3,724,277)                        | -79.2%   |
|           | \$0                | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$0                                     | \$0                                  |          |
| Total 300 | \$38,239,030       | \$0          | \$0                    | \$28,381,230           | \$0          | \$1,250,000   | \$80,891,357                            | (\$32,281,103)                       | -40.2%   |
| 402       | \$0                | \$0          | \$0                    | \$0                    | \$0          | \$0           | \$0                                     | \$0                                  |          |
| 407       | \$0                | \$279,700    | \$0                    | \$0                    | \$279,700    | \$0           | \$277,440                               | \$0                                  | 0.0%     |
| 408       | \$0                | \$1,342,320  | \$0                    | \$0                    | \$1,342,320  | \$0           | \$655,240                               | \$0                                  | 0.0%     |
| 495       | \$4,300,000        | \$0          | \$250,000              | \$0                    | \$6,053,570  | \$400,000     | \$64,250                                | (\$2,566,370)                        |          |
| Total 400 | \$4,300,000        | \$1,622,020  | \$250,000              | \$0                    | \$7,675,590  | \$400,000     | \$996,930                               | -\$2,566,370                         | -72.0%   |
|           |                    |              |                        |                        |              |               | <u>Working Capital</u>                  |                                      |          |
| 511       | \$16,120,000       | \$0          | \$13,990,410           | \$250,000              | \$1,258,020  | \$0           | \$2,061,520                             | (\$3,776,840)                        | -64.7%   |
| 513       | \$750,530          | \$20,880     | \$0                    | (\$2,777,350)          | \$0          | \$0           | \$6,259,736                             | \$4,287,086                          | 217.3%   |
| 521       | \$13,720,000       | \$0          | \$8,803,020            | \$0                    | \$1,903,900  | \$0           | \$16,916,930                            | \$2,154,940                          | 14.6%    |
| 523       | \$15,207,100       | \$0          | \$0                    | \$1,138,060            | \$0          | \$0           | \$368,121                               | (\$1,530,399)                        | -80.6%   |
| 531       | \$18,454,200       | \$0          | \$20,917,260           | \$0                    | \$0          | \$0           | \$7,457,670                             | \$31,710                             | 0.4%     |
| 541       | \$592,000          | \$0          | \$634,150              | \$0                    | \$0          | \$0           | \$14,612                                | (\$200,738)                          | -93.2%   |
| 561       | \$349,000          | \$0          | \$558,020              | \$0                    | \$12,560     | \$20,880      | (\$809,300)                             | (\$196,420)                          | 32.0%    |
| 563       | \$120,000          | \$0          | \$0                    | \$105,000              | \$0          | \$0           | (\$1,023,873)                           | (\$478,013)                          | 87.6%    |
| 571       | \$2,356,640        | \$0          | \$2,356,660            | \$0                    | \$0          | \$0           | \$0                                     | (\$301,400)                          |          |
| 573       | \$1,430,000        | \$0          | \$0                    | \$1,430,000            | \$0          | \$0           | \$8                                     | (\$60,002)                           | -100.0%  |
| Total 500 | \$69,099,470       | \$20,880     | \$47,259,520           | \$145,710              | \$3,174,480  | \$20,880      | \$31,245,424                            | -\$70,076                            | -0.2%    |

| Funds                         | Actual                                | FY14-15 Estimated     |                     |                           |                           |                     |                     | Projected                           |
|-------------------------------|---------------------------------------|-----------------------|---------------------|---------------------------|---------------------------|---------------------|---------------------|-------------------------------------|
|                               | Beginning<br>Fund Balance<br>7/1/2014 | Estimated<br>Revenues | Transfers<br>In     | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service     | Transfers<br>Out    | Ending<br>Fund Balance<br>6/30/2015 |
|                               |                                       | --- plus ---          |                     |                           | --- minus ---             |                     |                     | --- equals ---                      |
| <b>INTERNAL SERVICE FUNDS</b> | <u>Working Capital</u>                |                       |                     |                           |                           |                     |                     | <u>Working Capital</u>              |
| 601 Central Garage            | \$710,950                             | \$1,258,100           | \$0                 | \$1,450,800               | \$0                       | \$0                 | \$0                 | \$518,250                           |
| 602 Central Services          | \$28,250                              | \$1,837,770           | \$0                 | \$1,493,500               | \$0                       | \$0                 | \$0                 | \$372,520                           |
| 605 Equipment Acquisition     | \$4,017,320                           | \$1,043,400           | \$0                 | \$297,460                 | \$1,031,010               | \$0                 | \$0                 | \$3,732,250                         |
| 606 Vehicle Acquisition       | \$5,428,430                           | \$787,700             | \$22,000            | \$1,195,490               | \$0                       | \$112,730           | \$0                 | \$4,929,910                         |
| 615 Building Maintenance      | \$428,500                             | \$772,500             | \$0                 | \$892,400                 | \$0                       | \$0                 | \$0                 | \$308,600                           |
| 627 Self Insurance            | \$2,032,130                           | \$4,280,400           | \$0                 | \$3,796,320               | \$0                       | \$0                 | \$0                 | \$2,516,210                         |
| <b>Total</b>                  | <b>\$12,645,580</b>                   | <b>\$9,979,870</b>    | <b>\$22,000</b>     | <b>\$9,125,970</b>        | <b>\$1,031,010</b>        | <b>\$112,730</b>    | <b>\$0</b>          | <b>\$12,377,740</b>                 |
| <b>FIDUCIARY FUNDS</b>        |                                       |                       |                     |                           |                           |                     |                     |                                     |
| 808 Reg Transportation Impact | \$2,562,070                           | \$1,232,000           | \$0                 | \$0                       | \$268,920                 | \$0                 | \$0                 | \$3,525,150                         |
| 813 Post Employment Benefits  | \$8,360                               | \$700,000             | \$0                 | \$0                       | \$0                       | \$700,000           | \$0                 | \$8,360                             |
| 831 AD87-3 Water RSP          | \$30                                  | \$0                   | \$0                 | \$0                       | \$0                       | \$0                 | \$0                 | \$30                                |
| 834 AD84-1 Sewer RSP          | \$10,650                              | \$0                   | \$0                 | \$0                       | \$0                       | \$0                 | \$0                 | \$10,650                            |
| 835 CFD89-1 ISP-NE            | \$3,826,370                           | \$1,214,900           | \$0                 | \$0                       | \$0                       | \$1,857,280         | \$0                 | \$3,183,990                         |
| 837 CFD99-1 NE Indus #1       | \$1,026,830                           | \$760,000             | \$0                 | \$0                       | \$0                       | \$1,111,180         | \$0                 | \$675,650                           |
| 840 CFD00-01 Presidio         | \$864,160                             | \$960,600             | \$0                 | \$0                       | \$0                       | \$947,000           | \$0                 | \$877,760                           |
| 841 AD94-1 Auto Mall          | \$531,340                             | \$405,000             | \$0                 | \$0                       | \$0                       | \$416,700           | \$0                 | \$519,640                           |
| 844 AD93-1 Tracy Mktpl        | \$3,792,360                           | \$347,500             | \$0                 | \$0                       | \$0                       | \$263,700           | \$0                 | \$3,876,160                         |
| 846 CFD98-1 Plan "C"          | \$2,857,890                           | \$4,990,000           | \$0                 | \$0                       | \$0                       | \$4,915,000         | \$0                 | \$2,932,890                         |
| 847 CFD 98-3 Souza/Citation   | \$2,227,260                           | \$309,100             | \$0                 | \$0                       | \$0                       | \$321,600           | \$0                 | \$2,214,760                         |
| 850 I205 RRA Debt Refinancing | \$1,588,460                           | \$959,000             | \$0                 | \$0                       | \$0                       | \$1,521,300         | \$0                 | \$1,026,160                         |
| 851 AD00-03 Larch Clover Area | \$16,390                              | \$0                   | \$0                 | \$0                       | \$0                       | \$0                 | \$0                 | \$16,390                            |
| 852 AD03-01 Berg Ave Area     | \$129,280                             | \$78,000              | \$0                 | \$0                       | \$0                       | \$76,970            | \$0                 | \$130,310                           |
| 853 CFD06-1 NE Indus #2       | \$2,558,420                           | \$780,000             | \$0                 | \$0                       | \$0                       | \$699,410           | \$0                 | \$2,639,010                         |
| 854 TOPJPA Rev Bonds 2011A    | \$2,149,790                           | \$1,221,000           | \$0                 | \$0                       | \$0                       | \$1,192,000         | \$0                 | \$2,178,790                         |
| 855 CFD11-1 Tracy 580 Bus Pa  | \$0                                   | \$37,500              | \$0                 | \$0                       | \$0                       | \$0                 | \$0                 | \$37,500                            |
| 8xx New Financing Districts   | \$0                                   | \$0                   | \$0                 | \$0                       | \$0                       | \$0                 | \$0                 | \$0                                 |
| <b>Total</b>                  | <b>\$24,149,660</b>                   | <b>\$13,994,600</b>   | <b>\$0</b>          | <b>\$0</b>                | <b>\$268,920</b>          | <b>\$14,022,140</b> | <b>\$0</b>          | <b>\$23,853,200</b>                 |
| <b>Totals - All Funds</b>     | <b>\$197,999,510</b>                  | <b>\$266,886,110</b>  | <b>\$11,960,880</b> | <b>\$120,612,320</b>      | <b>\$156,574,765</b>      | <b>\$25,733,900</b> | <b>\$11,960,880</b> | <b>\$161,964,635</b>                |

- Notes:
- The Operating Expenditures figures for FY14-15 include anticipated expenditures through June 30, as well as any outstanding encumbrances on June 30th. Any unencumbered or unexpended appropriations in the operating budget will be returned to the respective fund balance.
  - The Capital Appropriations figures for FY14-15 are the total appropriations for budgeted projects. It is estimated that about \$43,999,250 of the budget amount will be expended in FY14-15 on CIP projects.
  - Any outstanding encumbrances against the operating budget or unexpended appropriations for uncompleted projects in the capital budget will be determined during the 1st quarter of FY15-16 and then be carried forward into FY15-16 and added to the new appropriations shown for the following year.
  - Such encumbrances and unexpended capital appropriations will result in a higher than projected ending fund balances, which can then also be carried forward to provide for the added appropriations.

| Funds     | FY15-16 Projected     |                 |                           |                           |                 |                  | Projected<br>Ending<br>Fund Balance<br>6/30/2016 | Changes in<br>Fund Balance<br>over<br>2 years | %<br>Change |
|-----------|-----------------------|-----------------|---------------------------|---------------------------|-----------------|------------------|--|---|-------------|
|           | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out |  |   |             |
|           | --- plus ---          |                 | ----- minus -----         |                           |                 | --- equals ---   |  |   |             |
|           |                       |                 |                           |                           |                 |                  | <u>Working Capital</u>                           |   |             |
| 601       | \$1,435,270           | \$0             | \$1,640,410               | \$0                       | \$0             | \$0              | \$313,110  | (\$397,840)                                   | -56.0%      |
| 602       | \$1,816,270           | \$0             | \$1,633,040               | \$0                       | \$0             | \$0              | \$555,750  | \$527,500                                     | 1867.3%     |
| 605       | \$734,400             | \$0             | \$855,930                 | \$50,000                  | \$0             | \$0              | \$3,560,720                                      | (\$456,600)                                   | -11.4%      |
| 606       | \$797,680             | \$66,000        | \$702,890                 | \$0                       | \$112,730       | \$0              | \$4,977,970                                      | (\$450,460)                                   | -8.3%       |
| 615       | \$726,000             | \$0             | \$995,450                 | \$0                       | \$0             | \$0              | \$39,150   | (\$389,350)                                   | -90.9%      |
| 627       | \$3,965,230           | \$0             | \$4,481,910               | \$0                       | \$0             | \$0              | \$1,999,530                                      | (\$32,600)                                    | -1.6%       |
| Total 600 | \$9,474,850           | \$66,000        | \$10,309,630              | \$50,000                  | \$112,730       | \$0              | \$11,446,230                                     | -\$1,199,350                                  | -9.5%       |
| 805       | \$232,000             | \$0             | \$0                       | \$3,024,000               | \$0             | \$0              | \$733,150  | (\$1,828,920)                                 | -71.4%      |
| 813       | \$750,000             | \$0             | \$0                       | \$0                       | \$750,000       | \$0              | \$8,360  | \$0   | 0.0%        |
| 831       | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$30   | \$0   | 0.0%        |
| 834       | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$10,650   | \$0   | 0.0%        |
| 835       | \$1,300,000           | \$0             | \$0                       | \$0                       | \$2,229,090     | \$0              | \$2,254,900                                      | (\$1,571,470)                                 | -41.1%      |
| 837       | \$760,000             | \$0             | \$0                       | \$0                       | \$1,402,450     | \$0              | \$33,200   | (\$993,630)                                   | -96.8%      |
| 840       | \$960,600             | \$0             | \$0                       | \$0                       | \$967,000       | \$0              | \$871,360  | \$7,200                                       | 0.8%        |
| 841       | \$405,000             | \$0             | \$0                       | \$0                       | \$421,900       | \$0              | \$502,740  | (\$28,600)                                    | -5.4%       |
| 844       | \$350,000             | \$0             | \$0                       | \$0                       | \$263,800       | \$0              | \$3,962,360                                      | \$170,000                                     | 4.5%        |
| 846       | \$4,990,000           | \$0             | \$0                       | \$0                       | \$5,072,800     | \$0              | \$2,850,090                                      | (\$7,800)                                     | -0.3%       |
| 847       | \$309,100             | \$0             | \$0                       | \$0                       | \$325,800       | \$0              | \$2,198,060                                      | (\$29,200)                                    | -1.3%       |
| 850       | \$959,000             | \$0             | \$0                       | \$0                       | \$1,867,640     | \$0              | \$117,520  | (\$1,470,940)                                 | -92.6%      |
| 851       | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$16,390   | \$0   | 0.0%        |
| 852       | \$78,000              | \$0             | \$0                       | \$0                       | \$79,780        | \$0              | \$128,530  | (\$750)                                       | -0.6%       |
| 853       | \$780,000             | \$0             | \$0                       | \$0                       | \$721,000       | \$0              | \$2,698,010                                      | \$139,590                                     | 5.5%        |
| 854       | \$1,223,000           | \$0             | \$0                       | \$0                       | \$1,205,800     | \$0              | \$2,195,990                                      | \$46,200                                      | 2.1%        |
| 855       | \$38,000              | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$75,500   | \$75,500                                      |             |
| 8xx       | \$6,000,000           | \$0             | \$0                       | \$0                       | \$208,000       | \$0              | \$5,792,000                                      | \$5,792,000                                   |             |
| Total 800 | \$19,134,700          | \$0             | \$0                       | \$3,024,000               | \$15,515,060    | \$0              | \$24,448,840                                     | \$299,180                                     | 1.2%        |
| Totals    | \$217,246,150         | \$3,658,030     | \$126,477,420             | \$35,189,140              | \$26,477,860    | \$3,658,030      | \$191,066,365                                    | (\$39,856,505)                                | -20.1%      |

includes \$1,000,000 Budget Savings

- Notes:
1. The Operating Expenditures and Capital Appropriations figures for FY15-16 reflect the total of only new appropriations for the new fiscal year.
  2. Any outstanding encumbrances against the FY14-15 operating budget or unexpended appropriations for uncompleted projects in the FY14-15 capital budget will be determined during the 1st quarter of FY15-16 and then be carried forward into FY15-16 and added to the new appropriations shown above. These carryovers are estimated to be about \$750,000 for the operating budget and about \$110,232,000 for the capital budget.
  3. Such encumbrances and unexpended capital appropriations will result in a higher than projected beginning fund balances, which then provide for the added appropriations.

| Funds by<br>Budget Component  | Actual                                | FY14-15 Estimated     |                 |                           |                           |                 |                  | Projected                           |
|-------------------------------|---------------------------------------|-----------------------|-----------------|---------------------------|---------------------------|-----------------|------------------|-------------------------------------|
|                               | Beginning<br>Fund Balance<br>7/1/2014 | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out | Fund Balance<br>Ending<br>6/30/2015 |
| <b>Operating Budget</b>       |                                       |                       |                 |                           |                           |                 |                  |                                     |
| General Fund                  | \$35,071,340                          | \$59,882,020          | \$0             | \$53,299,840              | \$0                       | \$0             | \$11,518,000     | \$30,135,520                        |
| Special Revenue Funds         | \$8,171,620                           | \$11,021,840          | \$0             | \$13,694,300              | \$0                       | \$0             | \$22,000         | \$5,477,160                         |
| Capital Projects Funds        | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| Enterprise Funds              | \$27,930,180                          | \$41,202,380          | \$0             | \$44,357,210              | \$0                       | \$0             | \$20,880         | \$24,754,470                        |
| Internal Service Funds        | \$12,645,580                          | \$8,836,130           | \$22,000        | \$9,125,970               | \$0                       | \$0             | \$0              | \$12,377,740                        |
| Fiduciary Funds               | \$0                                   | \$135,000             | \$0             | \$135,000                 | \$0                       | \$0             | \$0              | \$0                                 |
| Total - Operating Budget      | \$83,818,720                          | \$121,077,370         | \$22,000        | \$120,612,320             | \$0                       | \$0             | \$11,560,880     | \$72,744,890                        |
| <b>Capital Budget</b>         |                                       |                       |                 |                           |                           |                 |                  |                                     |
| General Fund                  | \$0                                   | \$200,000             | \$0             | \$0                       | \$200,000                 | \$0             | \$0              | \$0                                 |
| Special Revenue Funds         | \$2,850,580                           | \$54,676,320          | \$0             | \$0                       | \$55,815,606              | \$0             | \$0              | \$1,711,294                         |
| <b>Capital Projects Funds</b> | \$80,231,930                          | \$43,111,960          | \$10,314,000    | \$0                       | \$61,374,333              | \$0             | \$0              | \$72,283,557                        |
| Enterprise Funds              | \$3,385,320                           | \$22,449,890          | \$20,880        | \$0                       | \$37,884,896              | \$0             | \$0              | (\$12,028,806)                      |
| Internal Service Funds        | \$0                                   | \$1,031,010           | \$0             | \$0                       | \$1,031,010               | \$0             | \$0              | \$0                                 |
| Fiduciary Funds               | \$2,562,070                           | \$1,232,000           | \$0             | \$0                       | \$268,920                 | \$0             | \$0              | \$3,525,150                         |
| Total - Capital Budget        | \$89,029,900                          | \$122,701,180         | \$10,334,880    | \$0                       | \$156,574,765             | \$0             | \$0              | \$65,491,195                        |
| <b>Debt Budget</b>            |                                       |                       |                 |                           |                           |                 |                  |                                     |
| General Fund                  | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| Special Revenue Funds         | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| Capital Projects Funds        | \$0                                   | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0                                 |
| <b>Debt Service Funds</b>     | \$3,563,300                           | \$3,965,000           | \$1,604,000     | \$0                       | \$0                       | \$5,331,800     | \$400,000        | \$3,400,500                         |
| Enterprise Funds              | \$0                                   | \$6,267,230           | \$0             | \$0                       | \$0                       | \$6,267,230     | \$0              | \$0                                 |
| Internal Service Funds        | \$0                                   | \$112,730             | \$0             | \$0                       | \$0                       | \$112,730       | \$0              | \$0                                 |
| <b>Fiduciary Funds</b>        | \$21,587,590                          | \$12,762,600          | \$0             | \$0                       | \$0                       | \$14,022,140    | \$0              | \$20,328,050                        |
| Total - Debt Budget           | \$25,150,890                          | \$23,107,560          | \$1,604,000     | \$0                       | \$0                       | \$25,733,900    | \$400,000        | \$23,728,550                        |
| Total - All Funds             | \$197,999,510                         | \$266,886,110         | \$11,960,880    | \$120,612,320             | \$156,574,765             | \$25,733,900    | \$11,960,880     | \$161,964,635                       |

See page D4 for a summary of each Budget Components Estimated Revenues by Funding Sources. The total revenues shown on page D4 are net of interfund transfers.

| Funds                   | FY15-16 Projected     |                 |                           |                           |                 |                  | Projected<br>Ending<br>Fund Balance<br>6/30/2016 | Changes in<br>Fund Balance<br>over<br>2 years | %<br>Change |
|-------------------------|-----------------------|-----------------|---------------------------|---------------------------|-----------------|------------------|--|---|-------------|
|                         | Estimated<br>Revenues | Transfers<br>In | Operating<br>Expenditures | Capital<br>Appropriations | Debt<br>Service | Transfers<br>Out |  |   |             |
| <b>Operating Budget</b> |                       |                 |                           |                           |                 |                  |  |   |             |
| Gen Fd                  | \$53,988,830          | \$1,250,000     | \$48,399,030              | \$0                       | \$0             | \$1,921,150      | \$35,054,170                                     | (\$17,170)                                    | 0.0%        |
| Sp Rev Fds              | \$20,586,130          | \$699,130       | \$20,259,240              | \$0                       | \$0             | \$66,000         | \$6,437,180                                      | (\$1,734,440)                                 | -21.2%      |
| Cap Pjt Fds             | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Ent Fds                 | \$46,737,360          | \$0             | \$47,259,520              | \$0                       | \$0             | \$20,880         | \$24,211,430                                     | (\$3,718,750)                                 | -13.3%      |
| IS Fds                  | \$9,312,120           | \$66,000        | \$10,309,630              | \$0                       | \$0             | \$0              | \$11,446,230                                     | (\$1,199,350)                                 | -9.5%       |
| Fid Fds                 | \$250,000             | \$0             | \$250,000                 | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Total-Op B              | \$130,874,440         | \$2,015,130     | \$126,477,420             | \$0                       | \$0             | \$2,008,030      | \$77,149,010                                     | (\$6,669,710)                                 | -8.0%       |
| <b>Capital Budget</b>   |                       |                 |                           |                           |                 |                  |  |   |             |
| Gen Fd                  | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Sp Rev Fds              | \$2,423,140           | \$0             | \$0                       | \$3,588,200               | \$0             | \$0              | \$546,234  | (\$2,304,346)                                 | -80.8%      |
| Cap Pjt Fds             | \$0                   | \$0             | \$0                       | \$3,588,200               | \$0             | \$0              | \$0  | \$0   |             |
| Ent Fds                 | \$44,239,030          | \$0             | \$0                       | \$28,381,230              | \$0             | \$1,250,000      | \$86,891,357                                     | \$6,659,427                                   | 8.3%        |
| IS Fds                  | \$19,187,630          | \$20,880        | \$0                       | \$145,710                 | \$0             | \$0              | \$7,033,994                                      | \$3,648,674                                   | 107.8%      |
| Fid Fds                 | \$1,680,000           | \$0             | \$0                       | (\$1,534,290)             | \$0             | \$0              | \$0  | \$0   |             |
| IS Fds                  | \$50,000              | \$0             | \$0                       | \$50,000                  | \$0             | \$0              | \$0  | \$0   |             |
| Fid Fds                 | \$232,000             | \$0             | \$0                       | \$3,024,000               | \$0             | \$0              | \$733,150  | (\$1,828,920)                                 | -71.4%      |
| Total-Cap B             | \$66,131,800          | \$20,880        | \$0                       | \$35,189,140              | \$0             | \$1,250,000      | \$95,204,735                                     | \$6,174,835                                   | 6.9%        |
| <b>Debt Budget</b>      |                       |                 |                           |                           |                 |                  |  |   |             |
| Gen Fd                  | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Sp Rev Fds              | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Cap Pjt Fds             | \$0                   | \$0             | \$0                       | \$0                       | \$0             | \$0              | \$0  | \$0   |             |
| Debt Ser Fds            | \$4,050,000           | \$1,622,020     | \$0                       | \$0                       | \$7,675,590     | \$400,000        | \$996,930  | (\$2,566,370)                                 | -72.0%      |
| Ent Fds                 | \$3,174,480           | \$0             | \$0                       | \$0                       | \$3,174,480     | \$0              | \$0  | \$0   |             |
| IS Fds                  | \$112,730             | \$0             | \$0                       | \$0                       | \$112,730       | \$0              | \$0  | \$0   |             |
| Fid Fds                 | \$12,902,700          | \$0             | \$0                       | \$0                       | \$15,515,060    | \$0              | \$17,715,690                                     | (\$3,871,900)                                 | -17.9%      |
| Total-DS B              | \$20,239,910          | \$1,622,020     | \$0                       | \$0                       | \$26,477,860    | \$400,000        | \$18,712,620                                     | (\$6,438,270)                                 | -25.6%      |
| Total<br>All Funds      | \$217,246,150         | \$3,658,030     | \$126,477,420             | \$35,189,140              | \$26,477,860    | \$3,658,030      | \$191,066,365                                    | (\$6,933,145)                                 | -3.5%       |

1. The combined fund balances of the Operating Budget will decrease from \$83.8 M to about \$77.1 M, or about \$6.7M or a 8.0% decrease. The various funds that support the operating budget are spending down their reserves, but also they are contributing monies to the capital budget.
2. The combined fund balances of the Capital Budget will increase from \$89.0 M to about \$95.2 M, or about \$6.2 M or a 6.9% increase. This increase is due to accumulation of development impact fees.
3. The combined fund balances of the Debt Budget will decrease from \$25.1 M to about \$18.7 M, or about \$6.4 M or a 25.6% decrease. This decrease is due to the down payment of CDA debt.
4. The combined fund balances of the all budgeted Funds will decrease from \$198.0 M to about \$191.1 M, or about \$6.9 M or a 3.5% decrease.

## Appropriation Limit

As per Article XIII B of the State Constitution, the City of Tracy is subject to an appropriations limit pertaining to the proceeds of taxes (Gann Initiative). The base year for the limit is Fiscal Year 1978-79 and it may be updated annually for growth and inflation. Proposition 111, approved by the voters in June of 1990, provided for certain modifications to the appropriations limit. The City now has two options each for calculating growth and inflation.

For growth, the options are:

- 1) City population growth, or
- 2) County's population growth. For inflation, the options are:
  - A. The California Per Capita Income, or
  - B. Percent change in the local assessment role from the preceding year due to the addition of local non-residential construction in the City.

The decision as to which options to select must be done by a recorded vote of the City Council.

In addition to establishing a new method with options for the annual update of the appropriations limit, Proposition 111 expanded the categories of expenditures exempt from the limit.

The attached worksheets illustrate the computation used to derive the appropriations limit for FY15-16. This limit is \$54,899,941. This is a 4.26% increase over the FY14-15 limit of \$52,658,761.

Attachments in the appendix (pages H12 to H16) show the calculation to determine the base for the appropriations limit, and the annual update of the limit under the original method. Then, the calculations of the annual update of the limit under the new Proposition 111 method, and the appropriations subject to the limit for FY15-16 are shown.

City staff has used the City's population growth and California Per Capita Income options in the computations and recommends these options for Council selection. These factors were 1.0042% and 1.0382% respectively for a combined factor of 1.0426%.

The City has used these two options every year since the FY90-91 update, except for FY00-01. In FY00-01, the limit was recalculated and amended after the start of the fiscal year. With the amendment, the second method for the second option was used for the inflation calculator, and provided for a larger increase in the limit for the year.

The population figure provided by the State of California, Department of Finance was 85,296 for the City as of January 1, 2015.

The City of Tracy is within its limit. For FY15-16, the margin is \$8,935,321 or 84% below the limit. This margin can be construed as the amount by which City tax revenues have been restrained since FY 78-79 when compared to City growth and inflation.

The following represents the City's "proceeds of taxes" by fiscal year.

|         |              |         |
|---------|--------------|---------|
| FY01-02 | \$27,115,610 |         |
| FY02-03 | \$28,909,770 | +6.60%  |
| FY03-04 | \$30,951,450 | +7.10%  |
| FY04-05 | \$33,833,590 | +10.60% |
| FY05-06 | \$35,601,660 | +5.20%  |
| FY06-07 | \$39,904,820 | +12.10% |
| FY07-08 | \$42,434,700 | +6.30%  |
| FY08-09 | \$43,709,400 | +3.00%  |
| FY09-10 | \$38,007,030 | -13.00% |
| FY10-11 | \$30,069,810 | -20.90% |
| FY11-12 | \$35,931,410 | +19.40% |
| FY12-13 | \$37,923,600 | +5.50%  |
| FY13-14 | \$41,002,610 | +8.10%  |
| FY14-15 | \$46,139,960 | +12.53% |
| FY15-16 | \$49,198,620 | +6.63%  |

The "proceed of taxes" figure of \$49,198,620 can be adjusted downward to an "appropriations subject to the limit" of \$45,964,620. This adjustment can be made due to \$1,204,000 budgeted for debt service in FY15-16 out of tax proceeds and \$2,030,000 tax proceeds either budgeted or reserved for capital outlays.

Long-Term Analysis

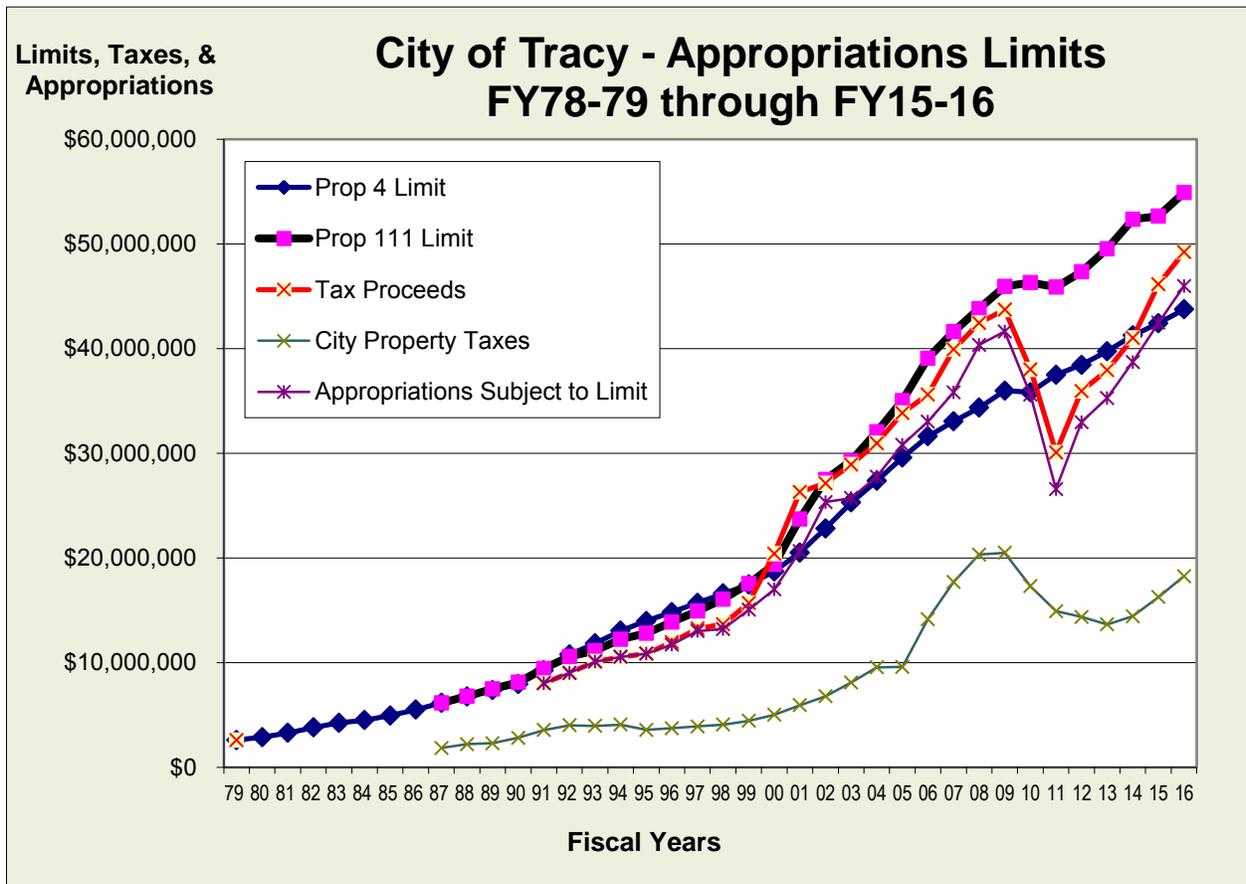
As the graph below shows, until FY98-99, the City's "proceed of taxes" and "appropriations subject to the limit" were below the appropriation limit. The difference or margin indicates the amount by which City tax revenues have been restrained since FY78-79 when compared to City growth and inflation.

However, since FY 99-00, "proceeds of taxes" have exceeded the limit due to significant fee revenues from prior fiscal years due to the City's high growth rate. But the "appropriations subject to the limit" were brought down to within the limit by debt service and capital outlays.

This situation of significant fee revenues might occur in the future, but is expected to stop as the City's growth rate drops. Then, it is expected that both the City's "proceeds of taxes" and "appropriations subject to the limit" will fall below the appropriations limit, and return to a margin of restrained revenues.

Also, the City does have the option of recalculating its limits for prior fiscal years using option 2 for inflation when the data for the recalculation becomes available. This would increase the limit and provide for a larger margin. This second option was only used once before FY 00-01.

A separate agenda item was submitted to the City Council for establishment of appropriation limits for FY15-16 on June 2, 2015. A copy of City Council Resolution 2015-084 is on page H11 in the appendix.

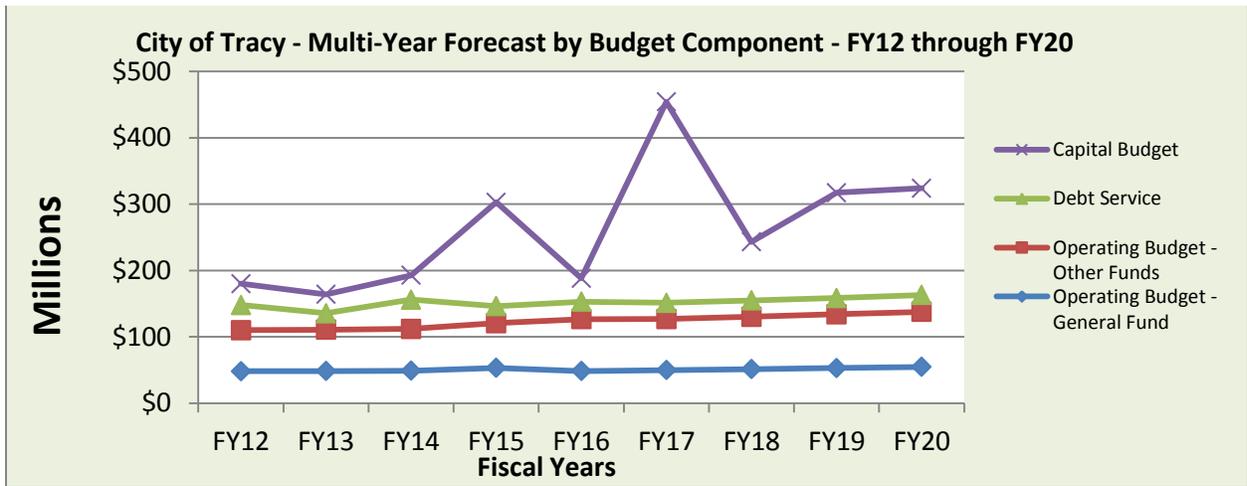


## Multi-Year Budget Forecast

This part of the budget document is a multi-year budget forecast. It is shown in the same format as the budget summaries seen on pages C3 to C6; however, it extends for an additional four years to FY19-20. It attempts to show a long-term baseline projection of the approved budget. Separate displays are provided for the Operating Budget, the General Fund Budget and the Capital Budget.

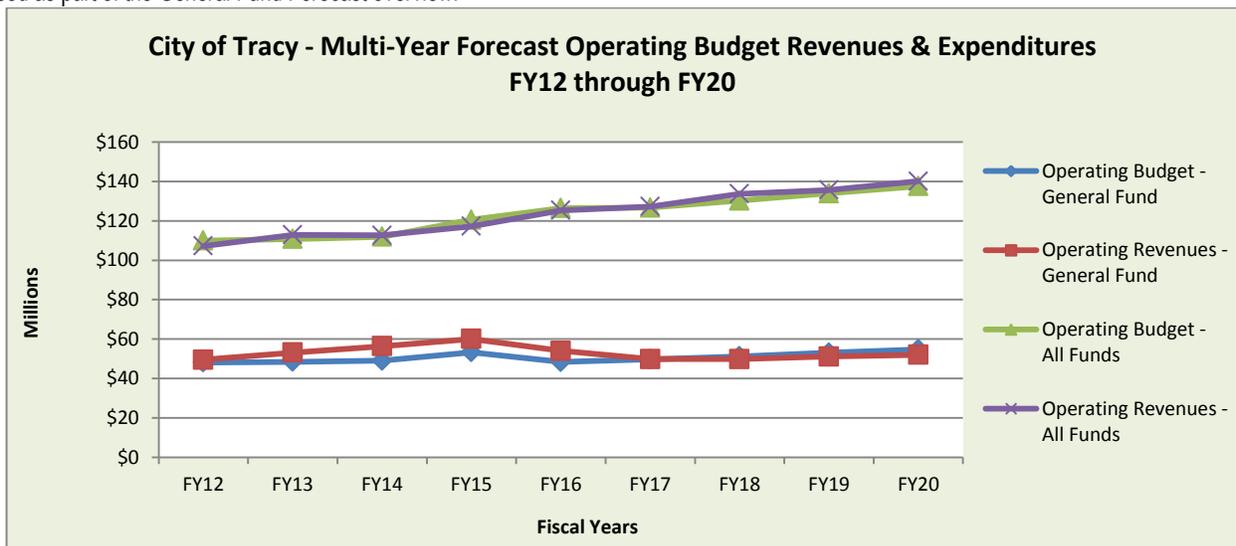
The assumptions for the long-term, multi-year forecast include:

- A 2% annual inflationary factor after FY15-16
- Payroll adjustments each year will include increases in PERS retirement and group insurance costs and merit pay raises. These are estimated between 2% and 3% annually. No pay raises for COLAs are included. No increases in staffing levels are anticipated.
- With a recovery in the economy, revenue growth is expected in FY14-15 through FY15-16. However, in FY16-17, revenues will decrease with the expiration of the Measure E temporary sales tax. Thereafter, only modest revenue growth is expected.



## Operating Budget Forecast

With anticipated excess revenue, the operating budget forecast (page C19) shows an increase in fund balances through FY16-17. However, thereafter, there will be a drawdown of fund balances. With expiration of Measure E, expenditures will exceed revenues. The projected deficit is discussed as part of the General Fund Forecast overview.



| Composite Summary<br>Operating Budget - All Funds | FY16-17<br>Projected | %           | FY17-18<br>Projected | %           | FY18-19<br>Projected | %           | FY19-20<br>Projected | %           |
|---|----------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|   |                      | Change      |                      | Change      |                      | Change      |                      | Change      |
| <b>BEGINNING FUND BALANCES</b>                    | \$104,278,830        | -1.1%       | \$104,832,399        | 0.5%        | \$108,297,182        | 3.3%        | \$110,026,695        | 1.6%        |
| <b>REVENUES</b>                                   |                      |             |                      |             |                      |             |                      |             |
| Property Taxes                                    | \$23,492,620         | 4.2%        | \$24,466,030         | 4.1%        | \$25,447,180         | 4.0%        | \$26,461,460         | 4.0%        |
| Sales Taxes                                       | 21,182,420           | -18.3%      | 20,433,730           | -3.5%       | 21,397,230           | 4.7%        | 22,215,030           | 3.8%        |
| Other Taxes                                       | 1,295,200            | -37.4%      | 2,010,800            | 55.3%       | 1,548,100            | -23.0%      | 2,285,000            | 47.6%       |
| Operating Assessments                             | 3,353,980            | 36.5%       | 3,408,980            | 1.6%        | 3,463,980            | 1.6%        | 3,518,980            | 1.6%        |
| Debt Assessments                                  | 9,360,600            | -23.0%      | 9,212,700            | -1.6%       | 9,319,100            | 1.2%        | 9,442,800            | 1.3%        |
| License & Permit Fees                             | 1,933,800            | 4.2%        | 1,813,100            | -6.2%       | 1,769,900            | -2.4%       | 1,707,000            | -3.6%       |
| Franchise Fees                                    | 2,960,000            | 1.9%        | 3,016,000            | 1.9%        | 3,072,000            | 1.9%        | 3,128,000            | 1.8%        |
| State Shared Taxes                                | 1,957,900            | -3.6%       | 1,999,960            | 2.1%        | 2,102,800            | 5.1%        | 2,147,100            | 2.1%        |
| Other Grants                                      | 7,577,380            | -19.7%      | 8,470,000            | 11.8%       | 7,509,000            | -11.3%      | 7,737,500            | 3.0%        |
| Current Charges                                   | 10,181,800           | -0.4%       | 10,209,770           | 0.3%        | 10,142,850           | -0.7%       | 10,183,050           | 0.4%        |
| Enterprise Charges                                | 59,546,800           | 10.2%       | 61,046,200           | 2.5%        | 62,627,200           | 2.6%        | 64,306,700           | 2.7%        |
| Fines & Forfeitures                               | 789,500              | -4.6%       | 790,500              | 0.1%        | 791,500              | 0.1%        | 793,000              | 0.2%        |
| Use of Money & Property                           | 827,400              | 1.7%        | 780,500              | -5.7%       | 728,022              | -6.7%       | 674,700              | -7.3%       |
| Other Revenues                                    | 1,459,000            | -32.7%      | 1,469,000            | 0.7%        | 1,477,000            | 0.5%        | 1,486,000            | 0.6%        |
| Other Financing Sources                           | 2,037,000            |             | 0                    | -100.0%     | 0                    |             | 0                    |             |
| Transfers to Cap Budget                           | 0                    | -100.0%     | 0                    |             | 0                    |             | 0                    |             |
| Transfers to Debt Service                         | (20,687,600)         |             | (15,385,450)         |             | (15,702,000)         |             | (15,967,750)         |             |
| <b>Total Revenues</b>                             | <b>\$127,267,800</b> | <b>1.6%</b> | <b>\$133,741,820</b> | <b>5.1%</b> | <b>\$135,693,862</b> | <b>1.5%</b> | <b>\$140,118,570</b> | <b>3.3%</b> |
| <b>EXPENDITURES</b>                               |                      |             |                      |             |                      |             |                      |             |
| <b>Operating Budget</b>                           |                      |             |                      |             |                      |             |                      |             |
| Police  | \$25,020,060         | 3.6%        | \$25,818,926         | 3.2%        | \$26,779,250         | 3.7%        | 27,776,782           | 3.7%        |
| Fire  | 14,835,170           | -1.6%       | 15,291,219           | 3.1%        | 15,763,327           | 3.1%        | 16,269,433           | 3.2%        |
| Public Works & Utilities                          |                      |             |                      |             |                      |             |                      |             |
| <i>Maintenance &amp; Operations</i>               | 10,708,980           | 1.9%        | 11,189,461           | 4.5%        | 11,503,702           | 2.8%        | 11,846,293           | 3.0%        |
| <i>Central Garage</i>                             | 1,643,090            | 2.6%        | 1,700,991            | 3.5%        | 1,743,135            | 2.5%        | 1,788,198            | 2.6%        |
| <i>Solid Waste</i>                                | 20,913,022           | 1.8%        | 21,352,916           | 2.1%        | 21,782,757           | 2.0%        | 22,221,906           | 2.0%        |
| <i>Water</i>                                      | 13,374,430           | 1.9%        | 13,704,619           | 2.5%        | 14,038,738           | 2.4%        | 14,396,314           | 2.5%        |
| <i>Wastewater</i>                                 | 8,161,110            | 1.9%        | 8,379,113            | 2.7%        | 8,595,907            | 2.6%        | 8,829,613            | 2.7%        |
| <i>Drainage</i>                                   | 569,100              | 3.5%        | 588,640              | 3.4%        | 607,344              | 3.2%        | 627,811              | 3.4%        |
| <i>Airport &amp; Transit</i>                      | 2,783,940            | 2.3%        | 2,861,819            | 2.8%        | 2,926,258            | 2.3%        | 2,993,827            | 2.3%        |
| Development Services                              |                      |             |                      |             |                      |             |                      |             |
| <i>Planning &amp; Building</i>                    | 4,786,450            | -1.2%       | 4,930,656            | 3.0%        | 4,973,213            | 0.9%        | 4,977,853            | 0.1%        |
| <i>Engineering</i>                                | 4,068,060            | -1.1%       | 4,141,386            | 1.8%        | 4,212,254            | 1.7%        | 4,294,262            | 1.9%        |
| <i>Economic Development</i>                       | 1,434,150            | 1.9%        | 1,414,885            | -1.3%       | 1,435,423            | 1.5%        | 1,457,886            | 1.6%        |
| Gen Govt Agencies                                 | 3,090,400            | 6.9%        | 3,067,806            | -0.7%       | 3,273,962            | 6.7%        | 3,249,496            | -0.7%       |
| Recreation & Cultural Arts                        | 3,847,640            | 2.6%        | 3,951,824            | 2.7%        | 4,055,805            | 2.6%        | 4,168,183            | 2.8%        |
| Administrative Services                           | 6,907,230            | 2.3%        | 7,111,056            | 3.0%        | 7,313,114            | 2.8%        | 7,533,948            | 3.0%        |
| Non-Departmental                                  | 5,571,400            | -25.1%      | 5,771,721            | 3.6%        | 5,960,158            | 3.3%        | 6,154,868            | 3.3%        |
| Budget Savings                                    | (1,000,000)          |             | (1,000,000)          |             | (1,000,000)          |             | (1,000,000)          |             |
| <b>Total</b>                                      | <b>\$126,714,232</b> | <b>0.2%</b> | <b>\$130,277,037</b> | <b>2.8%</b> | <b>\$133,964,349</b> | <b>2.8%</b> | <b>\$137,586,674</b> | <b>2.7%</b> |
| <b>ENDING FUND BALANCES</b>                       | <b>\$104,832,399</b> | <b>0.5%</b> | <b>\$108,297,182</b> | <b>3.3%</b> | <b>\$110,026,695</b> | <b>1.6%</b> | <b>\$112,558,590</b> | <b>2.3%</b> |

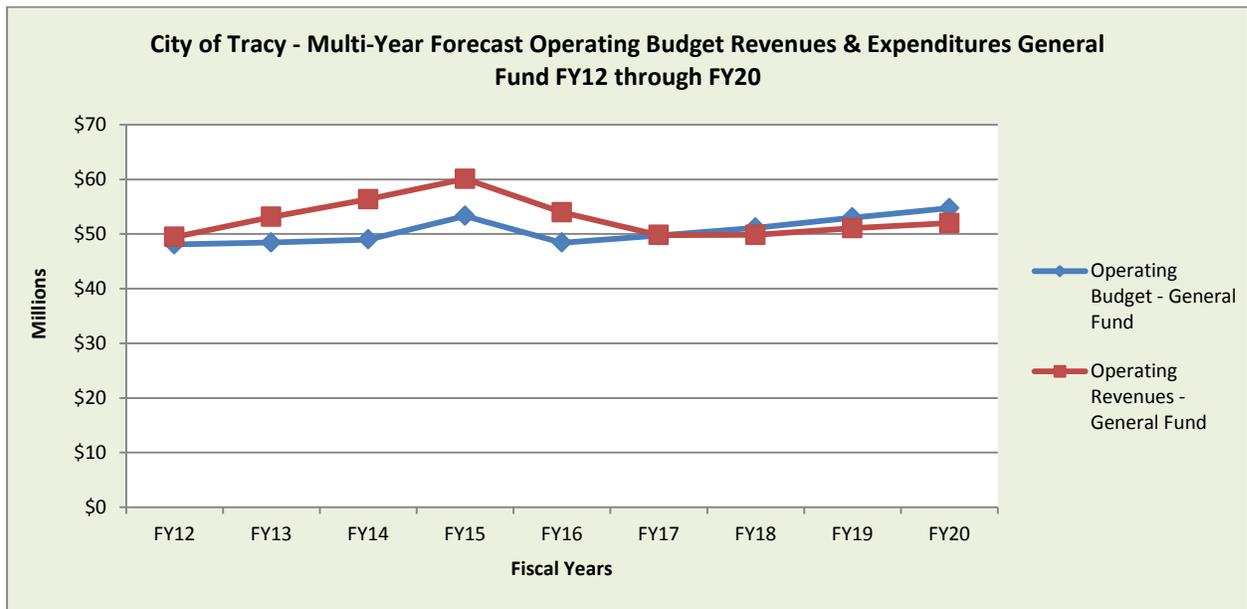
## General Fund Forecast

In response to the economic downturn, City voters, in November 2010, approved Measure E which authorized a half-cent local sales tax for five years. The Measure E sales tax became effective April 2011, and is anticipated to provide \$7.5 million in FY14-15, \$6.3 million in FY15-16, but will decline to \$1.5 million, upon its expiration in FY15-16. No future Measure E sales tax will be generated after that.

During the forecast period, expenditures and outlays will exceed operating revenues. In the forecast period, the General Fund will continue to cover debt service of over \$1,200,000 annually.

In the **General Fund budget forecast** (page C21), the total fund balance is positive through FY19-20 with an ending figure of about \$8.2 million. This will only be possible, however, with the drawdown from the Measure E Reserves, which will be \$7 million over 3 years. Also, \$1.25 million is anticipated for 3 years as a loan repayment.

With the expiration of Measure E, the General Fund will have a structural deficit of about \$4.9 million in FY19-20. Beyond the forecast period, the fund will have the same deficit problem with the expenditures rising faster than revenues.



## Capital Budget Forecast

The capital budget forecast (page C22) shows an annual deficit occurring in FY16-17 and each year thereafter.

Some future CIP projects require funding from future developments whose financial implementation plans still have to be completed, reviewed, and accepted by the City. Therefore, capital development fees and bond proceeds, which would come from such developments, are not included in the revenue figures. Expenditure plans may be optimistic compared to revenues. Thus, the projected deficit would appear in FY16-17 and beyond. The figures for FY19-20 include future projects that are projected for even further beyond FY19-20.

As shown in the CIP summary (pages F9 & F10), about \$900 million is needed for future developments. Also, \$22.4 million is needed for utilities projects, exclusive of grants for such projects.

Also, in some cases, it may be necessary to transfer added monies from the operating budget and General Fund balances to support future CIP projects. These would include \$11.7 million for public buildings CIP projects, and \$41.3 million for parks CIP projects.

|                      |   |  |  |  |  |  |           |  |
|----------------------|---|--|--|--|--|--|-----------|--|
| <b>CITY OF TRACY</b> | Multi-Year Budget Forecast - FY16-17 thru FY19-20 |  |  |  |  |  | 01-Jul-15 |  |
|----------------------|---|--|--|--|--|--|-----------|--|

| General Fund Summary                   | FY16-17<br>Projected | %<br>Change | FY17-18<br>Projected | %<br>Change | FY18-19<br>Projected | %<br>Change | FY19-20<br>Projected | %<br>Change |
|--|----------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| <b>BEGINNING FUND BALANCE</b>          | \$10,654,170         |             | \$11,904,170         |             | \$13,154,170         |             | \$13,080,051         |             |
| <b>REVENUES</b>                        |                      |             |                      |             |                      |             |                      |             |
| Property Taxes                         | \$18,992,620         | 4.1%        | \$19,766,030         | 4.1%        | \$20,547,180         | 4.0%        | \$21,361,460         | 4.0%        |
| Sales Taxes - General                  | 17,169,100           | -0.7%       | 17,835,730           | 3.9%        | 18,136,900           | 1.7%        | 18,137,000           | 0.0%        |
| Temporary Taxes - Measure E            | 1,537,020            | -75.7%      | 0                    |             | 0                    |             | 0                    |             |
| Other Taxes                            | 2,135,000            | 3.1%        | 2,190,000            | 2.6%        | 2,255,000            | 3.0%        | 2,285,000            | 1.3%        |
| Operating Assessments                  | 390,000              | 1.3%        | 395,000              | 1.3%        | 400,000              | 1.3%        | 405,000              | 1.3%        |
| License & Permit Fees                  | 142,700              | 8.4%        | 146,800              | 2.9%        | 150,900              | 2.8%        | 155,000              | 2.7%        |
| Franchise Fees                         | 2,755,000            | 1.9%        | 2,806,000            | 1.9%        | 2,857,000            | 1.8%        | 2,908,000            | 1.8%        |
| State Shared Taxes                     | 612,500              | 2.1%        | 625,000              | 2.0%        | 637,500              | 2.0%        | 650,000              | 2.0%        |
| Other Grants                           | 497,500              | -21.8%      | 505,500              | 1.6%        | 514,000              | 1.7%        | 522,500              | 1.7%        |
| Current Charges                        | 4,375,500            | -1.1%       | 4,417,500            | 1.0%        | 4,457,500            | 0.9%        | 4,497,500            | 0.9%        |
| Fines & Forfeitures                    | 162,500              | -8.7%       | 163,000              | 0.3%        | 163,500              | 0.3%        | 164,000              | 0.3%        |
| Interest Earnings                      | 692,400              | 1.9%        | 645,500              | -6.8%       | 593,022              | -8.1%       | 539,700              | -9.0%       |
| Other Revenues                         | 349,000              | 2.0%        | 357,000              | 2.3%        | 364,000              | 2.0%        | 372,000              | 2.2%        |
| Other Financing Sources                | 0                    |             | 0                    |             | 0                    |             | 0                    |             |
| Sub-total                              | \$49,810,840         | -7.7%       | \$49,853,060         | 0.1%        | \$51,076,502         | 2.5%        | \$51,997,160         | 1.8%        |
| Community Benefit Funding              | 1,250,000            |             | 1,250,000            |             | 1,250,000            |             | 0                    |             |
| Trfs In from Measure E Reserves        | 1,319,850            |             | 3,056,688            |             | 2,623,462            |             | 0                    |             |
| <b>Total Revenues</b>                  | \$52,380,690         | -5.2%       | \$54,159,748         | 3.4%        | \$54,949,964         | 1.5%        | \$51,997,160         | -5.4%       |
| <b>EXPENDITURES</b>                    |                      |             |                      |             |                      |             |                      |             |
| <b>Operating Budget</b>                |                      |             |                      |             |                      |             |                      |             |
| Police                                 | \$25,003,160         | 3.6%        | \$ 25,801,426        | 3.2%        | \$ 26,761,250        | 3.7%        | \$ 27,758,282        | 3.7%        |
| Fire                                   | 9,609,080            | 1.6%        | 9,905,383            | 3.1%        | 10,211,338           | 3.1%        | 10,539,066           | 3.2%        |
| Public Works                           | 4,482,810            | 5.1%        | 4,609,629            | 2.8%        | 4,738,366            | 2.8%        | 4,879,962            | 3.0%        |
| Utilities                              | 154,630              | 0.1%        | 177,198              | 14.6%       | 191,034              | 7.8%        | 206,343              | 8.0%        |
| Development Services                   | 695,940              | 2.6%        | 718,210              | 3.2%        | 741,193              | 3.2%        | 764,911              | 3.2%        |
| <i>Economic Development</i>            | 710,150              | 3.1%        | 730,885              | 2.9%        | 751,423              | 2.8%        | 773,886              | 3.0%        |
| Gen Govt Agencies                      | 2,983,660            | 7.1%        | 2,957,914            | -0.9%       | 3,160,952            | 6.9%        | 3,133,069            | -0.9%       |
| Recreation & Cultural Arts             | 3,847,640            | 2.6%        | 3,951,824            | 2.7%        | 4,055,805            | 2.6%        | 4,168,183            | 2.8%        |
| Administrative Services                | 3,665,670            | 2.7%        | 3,745,556            | 2.2%        | 3,850,159            | 2.8%        | 3,964,171            | 3.0%        |
| Non-Departmental                       | 862,000              | -23.5%      | 896,480              | 4.0%        | 931,923              | 4.0%        | 968,772              | 4.0%        |
| Indirect Cost Reimbursement            | (1,290,400)          | 6.5%        | (1,337,758)          | 3.7%        | (1,383,241)          | 3.4%        | (1,434,421)          | 3.7%        |
| Budget Savings                         | (1,000,000)          | 0.0%        | (1,000,000)          | 0.0%        | (1,000,000)          | 0.0%        | (1,000,000)          | 0.0%        |
| Sub-total                              | \$49,724,340         | 2.7%        | \$51,156,747         | 2.9%        | \$53,010,202         | 3.6%        | \$54,722,222         | 3.2%        |
| <b>Capital Projects</b>                | 0                    |             | 0                    |             | 0                    |             | 0                    |             |
| <b>Debt Service</b>                    | 1,238,400            | 1.3%        | 1,255,600            | 1.4%        | 1,277,300            | 1.7%        | 1,297,100            | 1.6%        |
| <b>Transfers to Community Developm</b> | 167,950              | -76.0%      | 497,401              | 196.2%      | 736,581              | 48.1%       | 858,873              | 16.6%       |
| <b>Transfers to Reserves</b>           | 0                    |             | 0                    |             | 0                    |             | 0                    |             |
| <b>Total Expenditures</b>              | \$51,130,690         | 1.6%        | \$52,909,748         | 3.5%        | \$55,024,084         | 4.0%        | \$56,878,195         | 3.4%        |
| <b>Excess Revenues/Expenditures</b>    | 1,250,000            |             | 1,250,000            |             | (74,120)             |             | (4,881,035)          |             |
| <b>ENDING FUND BALANCE</b>             | \$11,904,170         | 11.7%       | \$13,154,170         | 10.5%       | \$13,080,051         | -0.6%       | \$8,199,015          | -37.3%      |

|                      |   |  |  |  |  |  |           |  |
|----------------------|---|--|--|--|--|--|-----------|--|
| <b>CITY OF TRACY</b> | Multi-Year Budget Forecast - FY16-17 thru FY19-20 |  |  |  |  |  | 01-Jul-15 |  |
|----------------------|---|--|--|--|--|--|-----------|--|

| Composite Summary<br>Capital Budget - All Funds | FY16-17<br>Projected   | %<br>Change    | FY17-18<br>Projected   | %<br>Change   | FY18-19<br>Projected   | %<br>Change  | FY19-20<br>Projected   | %<br>Change   |
|---|------------------------|----------------|------------------------|---------------|------------------------|--------------|------------------------|---------------|
| <b>BEGINNING FUND BALANCES</b>                  | \$94,080,250           | 53.0%          | (\$142,697,126)        | -251.7%       | (\$171,209,426)        | 20.0%        | (\$268,596,946)        | 56.9%         |
| <b>REVENUES</b>                                 |                        |                |                        |               |                        |              |                        |               |
| Property Taxes                                  | \$0                    |                | \$0                    |               | \$0                    |              | \$0                    |               |
| Sales Taxes                                     | 1,300,000              | -33.0%         | 1,355,000              | 4.2%          | 1,416,000              | 4.5%         | 1,480,000              | 4.5%          |
| Other Taxes                                     | 839,800                |                | 179,200                |               | 706,900                |              | 0                      |               |
| Operating Assessments                           | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| Capital Development Fees                        | 36,820,500             | -1.5%          | 35,938,900             | -2.4%         | 34,451,970             | -4.1%        | 47,254,630             | 37.2%         |
| License, Permit, & Franchise                    | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| State Shared Taxes                              | 509,000                | 37.5%          | 535,000                | 5.1%          | 561,000                | 4.9%         | 590,000                | 5.2%          |
| Other Grants                                    | 20,110,470             | 976.2%         | 13,406,300             | -33.3%        | 5,720,200              | -57.3%       | 731,300                | -87.2%        |
| Current Charges                                 | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| Enterprise Charges                              | 2,606,700              | 1.5%           | 2,711,000              | 4.0%          | 2,819,500              | 4.0%         | 2,932,300              | 4.0%          |
| Internal Charges                                | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| Use of Money & Property                         | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| Other Revenues                                  | 0                      |                | 0                      |               | 0                      |              | 0                      |               |
| CIP Contributions                               | 3,979,000              |                | 5,491,000              |               | 15,725,000             |              | 1,250,000              |               |
| Debt Proceeds                                   | -37,000                | -100.2%        | 0                      | -100.0%       | 0                      |              | 0                      |               |
| Net Transfers                                   | 0                      | -100.0%        | 0                      |               | 0                      |              | 0                      |               |
| <b>Total Revenues</b>                           | <b>\$66,128,470</b>    | <b>-2.4%</b>   | <b>\$59,616,400</b>    | <b>-9.8%</b>  | <b>\$61,400,570</b>    | <b>3.0%</b>  | <b>\$54,238,230</b>    | <b>-11.7%</b> |
| <b>EXPENDITURES</b>                             |                        |                |                        |               |                        |              |                        |               |
| <b>Capital Budget</b>                           |                        |                |                        |               |                        |              |                        |               |
| Govt Bldgs & Pub Saf Fac                        | \$3,707,146            | 1115.5%        | \$5,260,400            | 702.8%        | \$15,802,920           | 200.4%       | \$29,760,900           | 88.3%         |
| Traffic Improvements                            | 14,050,150             | 579.7%         | 10,548,000             | -32.2%        | 20,569,800             | 95.0%        | 9,526,470              | -53.7%        |
| Streets & Highways                              | 143,818,000            | 1031.6%        | 37,849,400             | -4.6%         | 58,661,400             | 55.0%        | 137,185,500            | 133.9%        |
| Wastewater Improvements                         | 89,198,780             | 1753.3%        | 3,587,000              | 177.3%        | 14,369,900             | 300.6%       | 247,331,600            | 1621.2%       |
| Water Improvements                              | 8,600,630              | -19.6%         | 3,945,000              | 518.1%        | 1,425,000              | -63.9%       | 53,157,400             | 3630.3%       |
| Drainage Improvements                           | 14,237,900             | 1115.9%        | 6,311,600              | -16.1%        | 10,691,800             | 69.4%        | 11,952,200             | 11.8%         |
| Airport & Transit Improvements                  | 6,457,000              | 320.7%         | 1,702,800              | 408.6%        | 13,967,000             | 720.2%       | 32,839,050             | 135.1%        |
| Parks & Recreation Improvement                  | 20,377,000             | 27069.3%       | 16,879,500             | 73.5%         | 21,255,270             | 25.9%        | 35,357,500             | 66.3%         |
| Miscellaneous Projects                          | 2,459,240              | 35.7%          | 2,045,000              | 192.0%        | 2,045,000              | 0.0%         | 7,180,530              | 251.1%        |
| <b>Total Expenditures</b>                       | <b>\$302,905,846</b>   | <b>760.8%</b>  | <b>\$88,128,700</b>    | <b>-70.9%</b> | <b>\$158,788,090</b>   | <b>80.2%</b> | <b>\$564,291,150</b>   | <b>255.4%</b> |
| <b>ENDING FUND BALANCES</b>                     | <b>(\$142,697,126)</b> | <b>-251.7%</b> | <b>(\$171,209,426)</b> | <b>20.0%</b>  | <b>(\$268,596,946)</b> | <b>56.9%</b> | <b>(\$778,649,866)</b> | <b>189.9%</b> |

Some future projects require funding from future developments whose financial implementation plans still have to be completed, reviewed, and accepted by the City. Therefore, capital development fees and bond proceeds, which would come from such developments are not included in the revenue figures. Expenditure plans may be optimistic compared to revenues. Thus, the program deficits appearing in FY16-17 and beyond.

The figures for FY19-20 include future projects that are projected for even further beyond FY19-20.

# *Estimated Revenues*



Think Inside the Triangle™

## ESTIMATED REVENUES

This part of the budget document focuses on the resources that are anticipated to support the City's budget. It details the estimated revenues that are forecasted to be received to fund City expenditures in FY15-16.

For comparative purposes, the *projected* revenues for FY15-16 are shown and compared to *actual* revenues for the two prior years, FY12-13, and FY13-14 and to the budgeted and *estimated* revenues for the current FY14-15.

The first schedule, on Page D2 provides a summary of estimated revenues by major revenue categories and fund types.

The second schedule, on D3 breaks out the summary into the three major budget components: operating, capital, and debt service. This is followed by pages highlighting major revenues by component.

The third schedule, starting on Page D5, lists all revenue sources for the City by account title as they are classified into major and minor revenue categories. The list is complemented by narrative pages, which provide descriptions of the various revenue sources, as well as comments regarding recent trends and/or changes affecting these revenue sources.

At the end of the third schedule, on Page D24 details the inter-fund transfers between the various City funds as budgeted and estimated for FY14-15 and as proposed for FY15-16.

Finally, a fourth schedule, starting on Page D25, recaps the third, but it lists the revenues by their appropriate funds. Within this schedule, some accounts have been combined and grouped into their appropriate subcategories. The total estimated revenues shown for a Fund in this section correspond to the amounts shown for it in the Fiscal Overview schedules in the previous section of the budget document.

## Revenue Categories

For classification purposes, revenues are usually grouped into major and minor categories. For financial reporting purposes, the California State Controller's Office has established a classification scheme that local governments are required to use when reporting their financial affairs to the State. The presentation of estimated revenues in the third section is based upon the State Controller's classification scheme.

## Revenue Forecasts

The forecast of departmental revenues is done by department staff subjected to review by the Budget Officer. The forecast of all other revenues is done by the Budget Officer subjected to review by the Administrative Services Director and the City Manager.

Specific forecasts for different revenue sources can be found in the following pages D7 through D23.

## Annual Revenue Variation

Revenues to support the Operating Budget were fairly stable and showed modest to moderate increases over the years. Although these increases are less than the rate of inflation and growth combined.

However, in the last few fiscal years, operating revenues have decreased. But, in FY14-15 and in FY15-16, a recovery appears to be happening.

Revenues to support the Capital budget can vary from year- to-year due to the level of development and its impact on capital development fees and the schedule of capital project supported by debt proceeds and grant reimbursements. Due to the economic downturn, capital revenues have been down recently; but the recovery has also increased capital development fees.

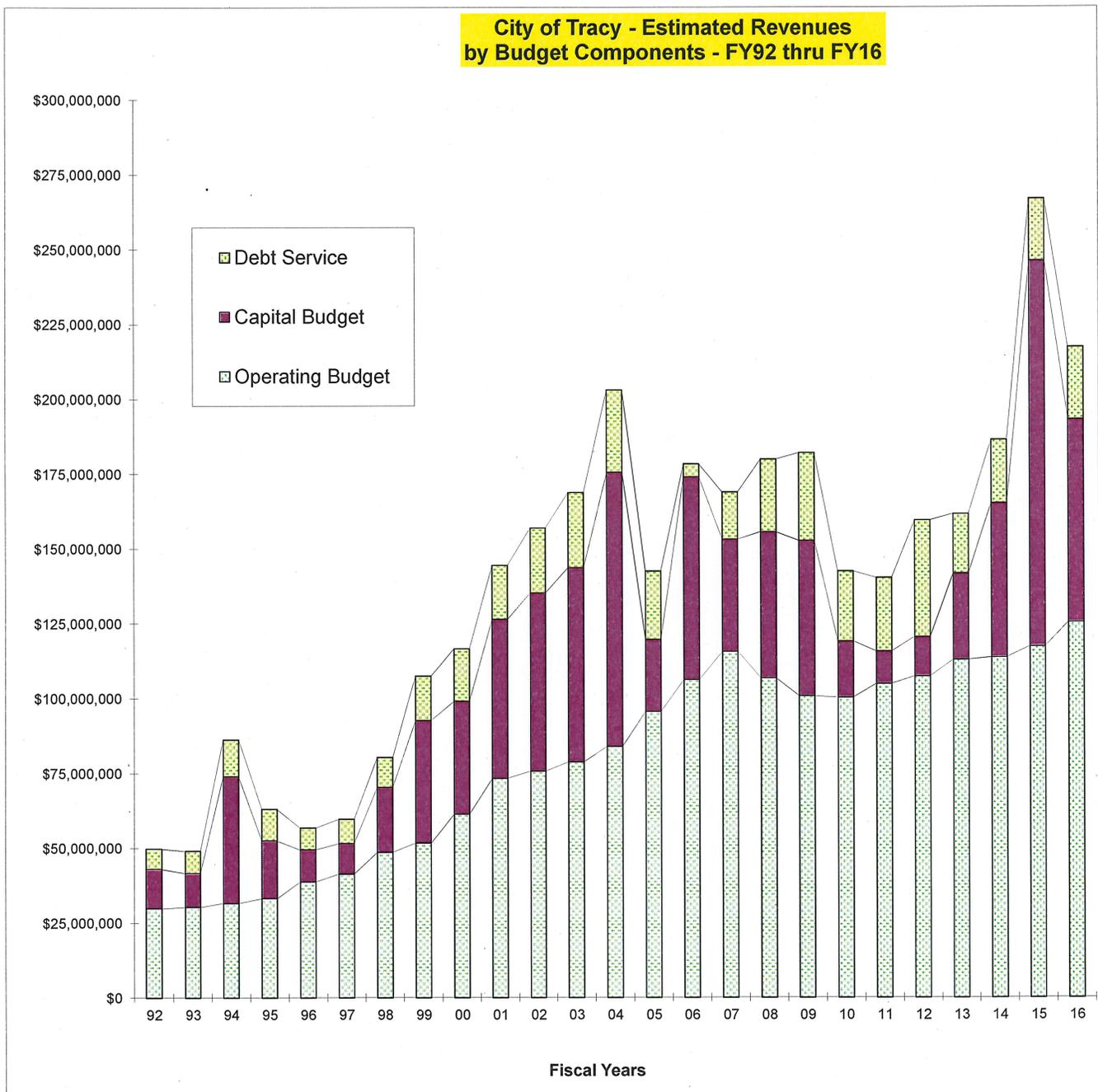
Revenues to support Debt Service come from special districts to finance new developments through debt and tax increment financing from the old redevelopment area.

| <b>ESTIMATED REVENUES<br/>Summary By Major Sources</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|--|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| 1. TAXES   | 45,476,948                   | 48,599,763                   | 6.9%                | 49,146,960                   | 50,755,940                      | 103.3%                 | 4.4%                | 52,468,520                       | 3.4%                |
| 2. SPECIAL ASSESSMENTS                                 | 25,752,221                   | 19,441,030                   | -24.5%              | 16,788,050                   | 40,259,080                      | 239.8%                 | 107.1%              | 52,820,360                       | 31.2%               |
| 3. LICENSE, PERMIT, & FRANCHISE FEES                   | 3,565,477                    | 4,063,176                    | 14.0%               | 4,239,280                    | 4,579,990                       | 108.0%                 | 12.7%               | 4,760,500                        | 3.9%                |
| 4. INTERGOVERNMENTAL REVENUES                          | 16,666,165                   | 14,303,849                   | -14.2%              | 51,303,120                   | 70,312,230                      | 137.1%                 | 391.6%              | 13,707,810                       | -80.5%              |
| 5. CURRENT CHARGES                                     | 65,863,531                   | 68,696,478                   | 4.3%                | 69,507,040                   | 71,631,660                      | 103.1%                 | 4.3%                | 67,316,960                       | -6.0%               |
| 6. OTHER REVENUES                                      | 2,635,195                    | 4,297,378                    | 63.1%               | 4,003,900                    | 4,249,310                       | 106.1%                 | -1.1%               | 3,810,000                        | -10.3%              |
| 7. OTHER FINANCING SOURCES                             | 1,640,658                    | 26,895,582                   |                     | 26,325,000                   | 25,097,900                      | 95.3%                  | -6.7%               | 22,362,000                       | -10.9%              |
| <b>TOTAL REVENUES</b>                                  | <b>161,600,195</b>           | <b>186,297,256</b>           | <b>15.3%</b>        | <b>221,313,350</b>           | <b>266,886,110</b>              | <b>120.6%</b>          | <b>43.3%</b>        | <b>217,246,150</b>               | <b>-18.6%</b>       |
| 8. INTERFUND TRANSFERS                                 | 21,427,398                   | 12,893,141                   | -39.8%              | 3,934,970                    | 11,960,880                      | 304.0%                 | -7.2%               | 3,658,030                        | -69.4%              |
| <b>TOTAL REVENUES<br/>&amp; TRANSFERS</b>              | <b>183,027,593</b>           | <b>199,190,397</b>           | <b>8.8%</b>         | <b>225,248,320</b>           | <b>278,846,990</b>              | <b>123.8%</b>          | <b>40.0%</b>        | <b>220,904,180</b>               | <b>-20.8%</b>       |

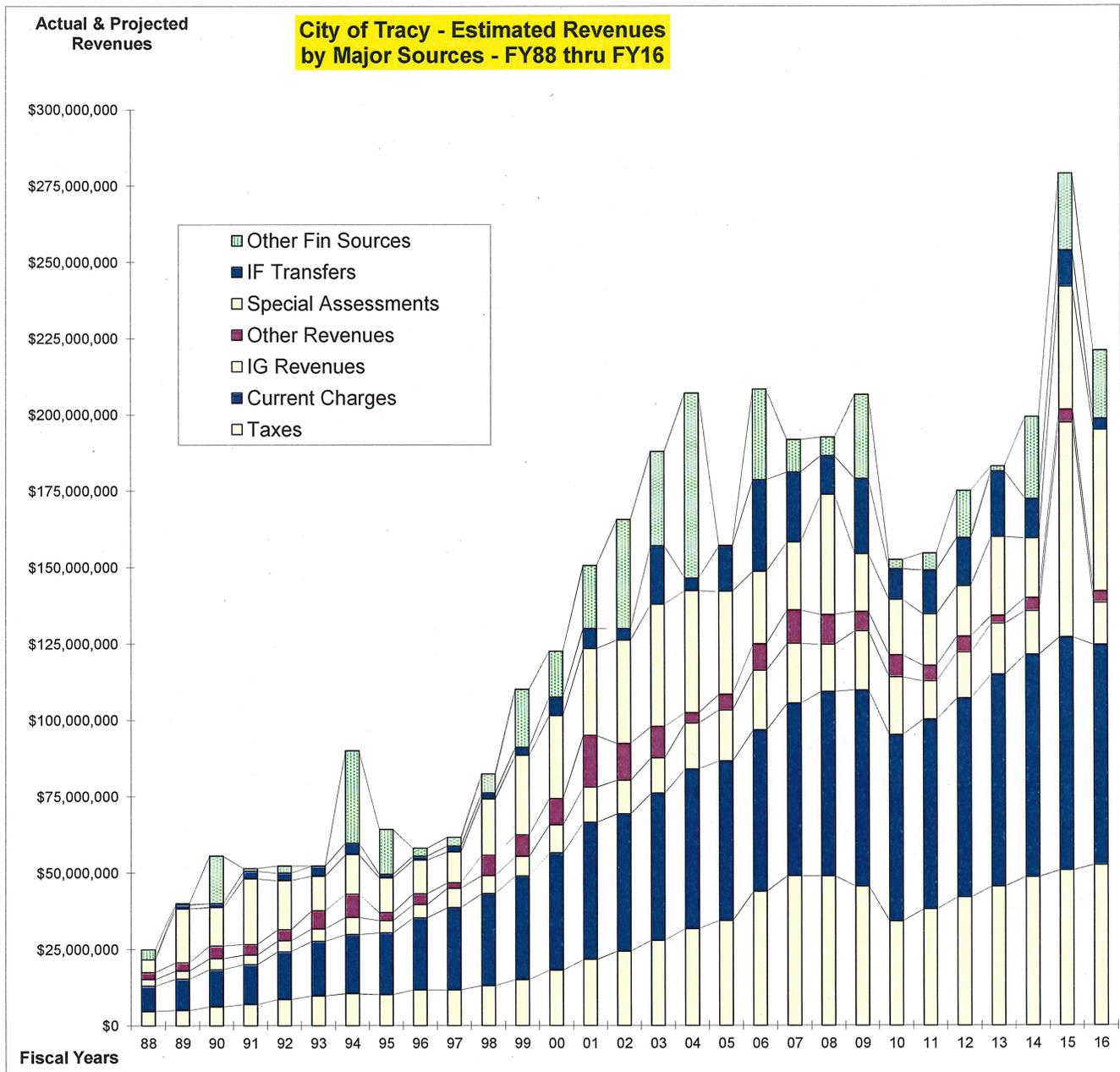
| <b>ESTIMATED REVENUES<br/>Summary By Fund Types</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| 1. GENERAL FUND                                     | 53,138,259                   | 56,367,565                   | 6.1%                | 58,300,740                   | 60,082,020                      | 103.1%                 | 6.6%                | 53,988,830                       | -10.1%              |
| 2. SPECIAL REVENUE FUNDS                            | 17,791,515                   | 18,151,972                   | 2.0%                | 55,009,570                   | 65,698,160                      | 119.4%                 | 261.9%              | 23,009,270                       | -65.0%              |
| 3. CAPITAL PROJECTS FUNDS                           | 12,707,633                   | 8,801,715                    | -30.7%              | 2,527,500                    | 43,111,960                      | 1705.7%                | 389.8%              | 38,239,030                       | -11.3%              |
| 4. DEBT SERVICE FUNDS                               | 4,346,950                    | 4,083,025                    | -6.1%               | 4,000,000                    | 4,100,000                       | 102.5%                 | 0.4%                | 4,300,000                        | 4.9%                |
| 5. ENTERPRISE FUNDS                                 | 52,579,528                   | 56,761,961                   | 8.0%                | 72,511,690                   | 69,919,500                      | 96.4%                  | 23.2%               | 69,099,470                       | -1.2%               |
| 6. INTERNAL SERVICE FUNDS                           | 8,678,326                    | 10,660,933                   | 22.8%               | 10,200,050                   | 9,979,870                       | 97.8%                  | -6.4%               | 9,474,850                        | -5.1%               |
| 7. FIDUCIARY FUNDS                                  | 12,357,984                   | 31,470,085                   | 154.7%              | 18,763,800                   | 13,994,600                      | 74.6%                  | -55.5%              | 19,134,700                       | 36.7%               |
| <b>TOTAL REVENUES</b>                               | <b>161,600,195</b>           | <b>186,297,256</b>           | <b>15.3%</b>        | <b>221,313,350</b>           | <b>266,886,110</b>              | <b>120.6%</b>          | <b>43.3%</b>        | <b>217,246,150</b>               | <b>-18.6%</b>       |
| Net of Interfund Transfers                          |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |

# ESTIMATED REVENUES BY BUDGET COMPONENTS



| <b>ESTIMATED REVENUES</b><br><b>Summary By Budget Component</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change  | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change  | FY15-16<br>\$ Projection | %<br>Change   |
|---|----------------------|----------------------|--------------|----------------------|-------------------------|----------------|--------------|--------------------------|---------------|
| <b>OPERATING BUDGET</b>   |                      |                      |              |                      |                         |                |              |                          |               |
| City Property Taxes   | 14,828,444           | 15,699,554           | 5.9%         | 16,259,400           | 17,482,000              | 107.5%         | 11.4%        | 18,239,650               | 4.3%          |
| General Sales Tax   | 20,553,264           | 22,721,973           | 10.6%        | 23,090,560           | 23,655,200              | 102.4%         | 4.1%         | 23,597,500               | -0.2%         |
| Special Sales Tax   | 2,855,251            | 3,037,150            | 6.4%         | 2,872,000            | 2,311,440               | 80.5%          | -23.9%       | 3,021,370                | 30.7%         |
| Other Taxes   | 1,753,019            | 1,860,580            | 6.1%         | 1,750,000            | 1,807,300               | 103.3%         | -2.9%        | 2,070,000                | 14.5%         |
| Operating Assessments   | 3,087,458            | 3,112,120            | 0.8%         | 2,909,850            | 2,181,540               | 75.0%          | -29.9%       | 3,289,000                | 50.8%         |
| Licenses, Permit, & Franchise Fees                              | 3,565,477            | 4,063,176            | 14.0%        | 4,239,280            | 4,579,990               | 108.0%         | 12.7%        | 4,760,500                | 3.9%          |
| State Shared Revenues   | 1,895,285            | 1,910,149            | 0.8%         | 1,913,000            | 1,610,600               | 84.2%          | -15.7%       | 2,250,280                | 39.7%         |
| Other Grants & Reimbursements                                   | 9,240,241            | 6,745,912            | -27.0%       | 7,672,250            | 10,772,146              | 140.4%         | 59.7%        | 10,221,390               | -5.1%         |
| General Charges   | 9,147,220            | 8,839,743            | -3.4%        | 10,786,500           | 9,933,890               | 92.1%          | 12.4%        | 10,223,410               | 2.9%          |
| Enterprise Charges  | 36,564,017           | 38,911,780           | 6.4%         | 37,628,390           | 34,297,594              | 91.1%          | -11.9%       | 41,971,090               | 22.4%         |
| Internal Charges  | 7,954,752            | 8,632,413            | 8.5%         | 9,183,450            | 8,134,360               | 88.6%          | -5.8%        | 8,608,250                | 5.8%          |
| Fines & Forfeitures   | 943,057              | 1,359,271            | 44.1%        | 1,314,200            | 932,000                 | 70.9%          | -31.4%       | 828,000                  | -11.2%        |
| Use of Money & Property   | 345,509              | 783,646              | 126.8%       | 532,500              | 792,550                 | 148.8%         | 1.1%         | 813,800                  | 2.7%          |
| Miscellaneous Revenues  | 1,346,629            | 2,154,461            | 60.0%        | 2,157,200            | 2,586,760               | 119.9%         | 20.1%        | 2,230,200                | -13.8%        |
| Debt Proceeds   | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |               |
| Interfund Transfers In  | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |               |
| Interfund Transfers Out   | (1,175,000)          | (6,204,000)          | 428.0%       | (1,204,000)          | (11,538,880)            | 958.4%         |              | (1,242,900)              | -89.2%        |
| Component Total   | 112,904,623          | 113,627,928          | 0.6%         | 121,104,580          | 109,538,490             | 90.4%          | -3.6%        | 130,881,540              | 19.5%         |
| <b>CAPITAL BUDGET</b>   |                      |                      |              |                      |                         |                |              |                          |               |
| Special Sales Tax   | 1,140,020            | 1,197,481            | 5.0%         | 1,175,000            | 1,200,000               | 102.1%         | 0.2%         | 1,240,000                | 3.3%          |
| Other Taxes   | 0                    | 0                    |              | 0                    | 335,000                 |                |              | 250,000                  | -25.4%        |
| Operating Assessments   | 26,990               | 30,000               |              | 238,000              | 1,024,440               | 430.4%         |              | 0                        | -100.0%       |
| Capital Development Fees  | 10,454,636           | 4,215,202            | -59.7%       | 1,556,400            | 24,990,500              | 1605.7%        | 492.9%       | 37,378,660               | 49.6%         |
| State Shared Revenues   | 541,379              | 1,268,408            | 134.3%       | 751,380              | 1,395,690               | 185.8%         | 10.0%        | 150,140                  | -89.2%        |
| Other Grants & Reimbursements                                   | 4,989,260            | 4,379,380            | -12.2%       | 40,946,490           | 56,533,794              | 138.1%         |              | 1,086,000                | -98.1%        |
| Enterprise Charges  | 8,254,540            | 8,313,540            | 0.7%         | 8,007,430            | 11,154,846              | 139.3%         | 34.2%        | 2,427,000                | -78.2%        |
| Internal Charges  | 212,320              | 212,320              | 0.0%         | 200,000              | 1,031,010               | 515.5%         | 385.6%       | 50,000                   | -95.2%        |
| CIP Contributions   | 1,542,535            | 6,989,189            | 353.1%       | 6,300,000            | 25,035,900              | 397.4%         |              | 2,300,000                | -90.8%        |
| Debt Proceeds   | 98,123               | 19,906,393           |              | 20,025,000           | 0                       | 0.0%           | -100.0%      | 20,000,000               |               |
| Interfund Transfers In  | 1,518,468            | 5,000,000            | 229.3%       | 20,000               | 10,334,880              |                | 106.7%       | 20,880                   |               |
| Interfund Transfers Out   | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |               |
| Component Total   | 28,778,271           | 51,511,913           | 79.0%        | 79,219,700           | 133,036,060             | 167.9%         | 158.3%       | 64,902,680               | -51.2%        |
| <b>DEBT SERVICE</b>   |                      |                      |              |                      |                         |                |              |                          |               |
| CDA Property Taxes  | 4,346,950            | 4,083,025            | -6.1%        | 4,000,000            | 3,965,000               | 99.1%          | -2.9%        | 4,050,000                | 2.1%          |
| Debt Assessments  | 12,183,137           | 12,083,708           | -0.8%        | 12,083,800           | 12,000,600              | 99.3%          | -0.7%        | 12,090,700               | 0.8%          |
| Enterprise Charges  | 3,730,682            | 3,786,682            | 1.5%         | 3,701,270            | 7,079,960               | 191.3%         | 87.0%        | 4,037,210                | -43.0%        |
| Debt Proceeds   | 0                    | 0                    |              | 0                    | 62,000                  |                |              | 62,000                   | 0.0%          |
| Interfund Transfers In  | 1,175,000            | 1,204,000            | 2.5%         | 1,204,000            | 1,204,000               | 100.0%         | 0.0%         | 1,222,020                | 1.5%          |
| Interfund Transfers Out   | (1,518,468)          | 0                    |              | 0                    | 0                       |                |              | 0                        |               |
| Component Total   | 19,917,301           | 21,157,415           | 6.2%         | 20,989,070           | 24,311,560              | 115.8%         | 14.9%        | 21,461,930               | -11.7%        |
| <b>TOTAL REVENUES</b>   | <b>161,600,195</b>   | <b>186,297,256</b>   | <b>15.3%</b> | <b>221,313,350</b>   | <b>266,886,110</b>      | <b>120.6%</b>  | <b>43.3%</b> | <b>217,246,150</b>       | <b>-18.6%</b> |

# ESTIMATED REVENUES BY SOURCES



| <b>ESTIMATED REVENUES<br/>By Revenue Source</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>1. TAXES</b>                                 |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>General Property Taxes</b>                   |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Current, Secured                                | 8,768,836                    | 9,574,405                    | 9.2%                | 10,073,000                   | 10,977,000                      | 109.0%                 | 14.6%               | 11,416,080                       | 4.0%                |
| Current, Unsecured                              | 554,079                      | 610,691                      | 10.2%               | 630,000                      | 670,800                         | 106.5%                 | 9.8%                | 711,000                          | 6.0%                |
| Prior Year                                      | 15,542                       | 10,378                       | -33.2%              | 16,000                       | 15,000                          | 93.8%                  | 44.5%               | 15,000                           | 0.0%                |
| Supplemental Roll                               | 83,566                       | 186,227                      | 122.9%              | 90,000                       | 100,000                         | 111.1%                 | -46.3%              | 150,000                          | 50.0%               |
| CDA Allocation                                  | 1,015,571                    | 556,631                      | -45.2%              | 500,000                      | 560,000                         | 112.0%                 | 0.6%                | 582,000                          | 3.9%                |
| State Shifts                                    | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| MVL in-lieu Offset                              | 4,390,850                    | 4,761,222                    | 8.4%                | 4,950,400                    | 5,159,200                       | 104.2%                 | 8.4%                | 5,365,570                        | 4.0%                |
| Sub-total                                       | 14,828,444                   | 15,699,554                   | 5.9%                | 16,259,400                   | 17,482,000                      | 107.5%                 | 11.4%               | 18,239,650                       | 4.3%                |
| <b>Com Dev Agency Property Taxes</b>            |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Current, Secured                                | 4,346,950                    | 4,083,025                    | -6.1%               | 4,000,000                    | 4,100,000                       | 102.5%                 | 0.4%                | 4,300,000                        | 4.9%                |
| Current, Unsecured                              | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| State Shifts                                    | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Supplemental Roll                               | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Sub-total                                       | 4,346,950                    | 4,083,025                    | -6.1%               | 4,000,000                    | 4,100,000                       | 102.5%                 | 0.4%                | 4,300,000                        | 4.9%                |
| <b>Sales &amp; Use Taxes</b>                    |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| General Sales Tax                               | 10,522,437                   | 11,820,747                   | 12.3%               | 11,788,920                   | 11,815,200                      | 100.2%                 | 0.0%                | 14,362,260                       | 21.6%               |
| GST in-lieu Offset                              | 3,561,760                    | 3,706,819                    | 4.1%                | 4,289,160                    | 4,373,800                       | 102.0%                 | 18.0%               | 2,920,340                        | -33.2%              |
| Temporary Tax-Measure E                         | 6,469,067                    | 7,194,407                    | 11.2%               | 7,012,480                    | 7,466,200                       | 106.5%                 | 3.8%                | 6,314,900                        | -15.4%              |
| Transp Devel Tax - Streets                      | 2,227,754                    | 2,324,814                    | 4.4%                | 1,620,000                    | 1,587,880                       | 98.0%                  | -31.7%              | 1,620,000                        | 2.0%                |
| Transp Devel Tax - Transit                      | 627,497                      | 712,336                      | 13.5%               | 1,252,000                    | 723,560                         | 57.8%                  | 1.6%                | 1,401,370                        | 93.7%               |
| Transp Sales Tax - Prop K                       | 1,140,020                    | 1,197,481                    | 5.0%                | 1,175,000                    | 1,200,000                       | 102.1%                 | 0.2%                | 1,240,000                        | 3.3%                |
| Sub-total                                       | 24,548,535                   | 26,956,604                   | 9.8%                | 27,137,560                   | 27,166,640                      | 100.1%                 | 0.8%                | 27,858,870                       | 2.5%                |
| <b>Business Taxes</b>                           |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Business License Tax                            | 603,399                      | 614,505                      | 1.8%                | 640,000                      | 672,300                         | 105.0%                 | 9.4%                | 690,000                          | 2.6%                |
| Transient Lodging Tax                           | 786,827                      | 974,314                      | 23.8%               | 860,000                      | 1,015,000                       | 118.0%                 | 4.2%                | 1,040,000                        | 2.5%                |
| <b>Other Taxes</b>                              |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Documentary Transfer Tax                        | 362,793                      | 271,761                      | -25.1%              | 250,000                      | 320,000                         | 128.0%                 | 17.8%               | 340,000                          | 6.3%                |
| <b>Total for<br/>TAXES</b>                      | <b>45,476,948</b>            | <b>48,599,763</b>            | <b>6.9%</b>         | <b>49,146,960</b>            | <b>50,755,940</b>               | <b>103.3%</b>          | <b>4.4%</b>         | <b>52,468,520</b>                | <b>3.4%</b>         |

## TAXES

Taxes are compulsory charges levied by a government for the general financing of government programs, activities and improvements which benefit the community-at-large. Property taxes and sales taxes are the two major tax sources for the City. But, their rates and yields are limited by state law.

### *Property Taxes*

Proposition 13 limits City Property Taxes to 1% of assessed valuation. However, on average, the City receives only 12.97 cents of every property tax dollar paid by the property owner for property within City limits. The balance goes to schools, the state and the county. The property tax is administered and collected by the county and then remitted to the City. The receipts from this tax may be spent on any lawful City activity. Receipts have dramatically declined due to the mortgage situation and resulting foreclosures and decrease in real estate prices. General Fund property tax receipts decreased from \$20.5 million in FY07-08 down to \$13.8 million in FY12-13. However, in FY13-14, they started to increase reaching \$15.2 million and in FY14-15 \$16.9 million. A 4% increase is expected in FY15-16.

Since FY91-92, the Community Development Agency has received an allocation of CDA property taxes based upon the tax increment in the City's redevelopment project area. However, the State legislation has abolished redevelopment agencies, effective January 31, 2012. So, any receipts in FY12-13 and afterward will be limited and only for debt service and a small administrative allocation. The City, as a local agency, has and will receive a share of the reallocations of the residual funds from the dissolved CDA and annual CDA property taxes.

### *Sales Tax*

State law allows the City to levy a 1% General Sales Tax on retail sales transactions occurring within the City limits. Actual collections are made by the State Board of Equalization which remits tax receipts to the City monthly. The receipts from this tax may be spent on any lawful City activity. The City enjoyed an expanded sales tax base during earlier robust economic times and as the City grew.

However, due to the economic downturn, General Sales Tax receipts have declined from \$13.2 million in FY06-07 down to \$9.3 million in FY09-10. In FY10-11, receipts started to increase reaching \$15.5 million in FY13-14 and \$16.2 million in FY14-15.

For FY15-16, they are expected to reach \$17.3 million. This is due to the end of the State imposed "Triple Flick". These revenues are projected to decrease to \$17.1 million in FY16-17.

In November 2010, the Tracy electorate approved Measure E which provides for a ½ cent increase over the 1% general levy. Receipts are greater than anticipated reaching over \$7 million in FY13-14 and FY14-15. Receipts will go down to about \$6.2 million in FY 15-16 with the sunset of Measure E on March 2016.

The city receives an allocation of the county 0.25% Transportation Development (TDA) Tax. In FY91-92, the City started to receive an allocation of the countywide Prop K 0.50% sales tax levy. Countywide receipts for both taxes are allocated to the City based upon population. As countywide yields and the City's population grow, so do the City's annual TDA allocation and Prop K tax receipts. But as special sales taxes TDA and Prop K tax receipts have also been declining recently due to the economic downturn. However, in FY12-13, they too started to rebound. In FY14-15, they have almost returned to pre-recession level. For FY15-16, modest growth of 2 to 3% is projected.

First claim on TDA monies, however, is for transit purposes with the balance restricted to streets. The City's annual TDA drawdown and allocation between transit and street varies yearly due to the availability of other transit funding and transit needs. Proposition K monies are received quarterly and must be used for street maintenance purposes.

### *Other Taxes*

The City levies a Transient Occupancy (Lodging) Tax, at a rate of 10%. Tax yields vary with economic conditions, and have increased due to inflation and the opening of new lodging facilities.

The city levies a Business License Tax, which chiefly is based upon the number of employees a business has. The tax is \$100 plus \$20 per employee but there is also a maximum tax of \$2,000 which means any business with 100 or more employees will still pay \$2,000. Business license tax revenue has flattened as the construction sector has slowed. There are fewer contractor type license revenues.

The City also receives a share of the Documentary Transfer Tax collected by the county on the transfer of realty property located within the City. Tax yields vary with real estate turnover and the level of development within the City.

In past years, yields for these other taxes showed major increases. But, since FY04-05, decreases have been seen due to the slow real estate market and economic downturn. However, some rebound has been seen since FY11-12. Continued rebounding is expected for FY14-15 and FY15-16.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b>     | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>2. SPECIAL ASSESSMENTS</b>                       |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Operating Assessments</b>                        |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Landscape Districts Assmts                          | 2,636,934                    | 2,657,498                    | 0.8%                | 2,650,700                    | 2,711,000                       | 102.3%                 | 2.0%                | 2,790,000                        | 2.9%                |
| Downtown Business Assmts                            | 113,979                      | 113,979                      | 0.0%                | 117,150                      | 113,980                         | 97.3%                  | 0.0%                | 114,000                          | 0.0%                |
| Pre-Annexation Assessments                          | 363,535                      | 370,643                      | 2.0%                | 380,000                      | 381,000                         | 100.3%                 | 2.8%                | 385,000                          | 1.0%                |
| Other Operating Assessments                         | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Sub-total   | 3,114,448                    | 3,142,120                    | 0.9%                | 3,147,850                    | 3,205,980                       | 101.8%                 | 2.0%                | 3,289,000                        | 2.6%                |
| <b>Capital Development Fees</b>                     |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Infill Areas</b>                                 |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Public Building Fees - Infill                       | 3,047                        | 4,519                        |                     | 45,000                       | 134,700                         | 299.3%                 |                     | 425,600                          | 216.0%              |
| Arterial Fees - Infill                              | 33,820                       | 29,354                       |                     | 110,000                      | 117,800                         | 107.1%                 |                     | 357,000                          | 203.1%              |
| Parking Impact Fees                                 | 3,212                        | 0                            |                     | 2,400                        | 3,500                           | 145.8%                 |                     | 2,400                            | -31.4%              |
| Drainage Fees - Infill                              | 14,731                       | 7,682                        |                     | 42,000                       | 61,400                          | 146.2%                 |                     | 186,400                          | 203.6%              |
| Park Fees - Infill                                  | 0                            | 5,429                        |                     | 25,000                       | 217,200                         | 868.8%                 |                     | 692,200                          | 218.7%              |
| Wastewater Capital Fees                             | 32,499                       | 22,733                       | -30.1%              | 30,000                       | 385,100                         | 1283.7%                |                     | 1,207,100                        | 213.5%              |
| Water Capital Fees                                  | 16,189                       | 17,816                       | 10.1%               | 20,000                       | 238,700                         | 1193.5%                |                     | 750,530                          | 214.4%              |
| <b>Residential Specific Plan (RSP) Areas</b>        |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Program Fees  | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| <b>Plan "C" Areas</b>                               |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Park Development Fees                               | 0                            | 87,388                       |                     | 0                            | 90,000                          |                        | 3.0%                | 0                                |                     |
| Drainage Fees                                       | 0                            | 0                            |                     | 0                            | 40,000                          |                        |                     | 0                                |                     |
| Arterial Fees                                       | 0                            | 148,638                      |                     | 0                            | 200,000                         |                        | 34.6%               | 0                                |                     |
| General Fees  | 0                            | 78,316                       |                     | 0                            | 145,000                         |                        | 85.1%               | 0                                |                     |
| Utilities Fees                                      | 0                            | 194,726                      |                     | 0                            | 320,000                         |                        | 64.3%               | 0                                |                     |
| Program Mgmt Fees                                   | 0                            | 0                            |                     | 0                            | 10,000                          |                        |                     | 0                                |                     |
| <b>Tracy Infrastructure Master Plan (TIMP) Fees</b> |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Park Fees - TIMP                                    | 0                            | 0                            |                     | 0                            | 440,900                         |                        |                     | 1,580,700                        | 258.5%              |
| Drainage Fees - TIMP                                | 0                            | 0                            |                     | 0                            | 1,192,900                       |                        |                     | 1,810,700                        | 51.8%               |
| Traffic Fees - TIMP                                 | 0                            | 0                            |                     | 0                            | 8,085,900                       |                        |                     | 10,582,800                       | 30.9%               |
| Wastewater Fees - TIMP                              | 0                            | 0                            |                     | 0                            | 3,659,400                       |                        |                     | 5,622,430                        | 53.6%               |
| Water Fees - TIMP                                   | 0                            | 0                            |                     | 0                            | 5,077,100                       |                        |                     | 7,774,300                        | 53.1%               |
| Public Facilities Fees - TIMP                       | 0                            | 0                            |                     | 0                            | 204,200                         |                        |                     | 662,500                          | 224.4%              |
| Public Safety Fees - TIMP                           | 0                            | 0                            |                     | 0                            | 249,000                         |                        |                     | 520,600                          | 109.1%              |
| Program Management Fees - TIMI                      | 0                            | 0                            |                     | 0                            | 450,000                         |                        |                     | 500,000                          | 11.1%               |

(Continued)

## SPECIAL ASSESSMENTS

Special assessments are compulsory charges levied by a government for the purpose of financing particular public services and/or improvements which benefit limited groups of property owners. Special assessments levied and collected by the City consist of three types: operating assessments, capital development fees, and debt assessments.

**Operating Assessments** These include the City Landscaping District (LD), the Downtown Tracy Public Benefit Improvement District (PBID) fees, and Downtown Parking District assessments. The use of these proceeds is limited to the special purposes for which they are levied. Since the late 1980s, all new developments have been placed within the City Landscaping District for the purpose of maintaining the public landscaping in and around these developments. The assessments for the LD are collected by the county along with the property taxes on the properties within the respective District. To raise the LD fees beyond an inflation factor, it requires a vote of the property owners. Some zones in the LD have opted for a higher fee to provide for additional services whereas others have not.

A special levy is collected by the City as a special assessment on businesses within the downtown area. The proceeds are used for promotional activities and events for the downtown area. In FY11-12, the Downtown Tracy Business Improvement Area was replaced by a new Downtown Tracy Public Benefit Improvement District.

The City also receives, by special agreements, pre annexation assessments from certain property owners. These assessments are in-lieu of taxes prior to annexation for major developments which receive the benefit of City services.

**Capital Development Fees** Capital development fees are collected by the City to finance capital improvements necessary to support new development in the City. These fees are paid by property owners/builders at various stages of the development and building approval process. The use of these fees is restricted to those capital improvement projects necessary to meet the impact of new growth upon the community. These fees support a "pay as you go" capital improvement program for the community. The collection of capital development fees is tied to development approvals and the issuance of building permits, particularly for single family homes.

When building and construction activities are on an upswing, the fees collected have been high; but when there is a downturn, they decrease. In FY07-08, these fees reached \$23.5 million and in FY12-13 \$10.4 million. They were down in FY13-14 to \$4.2 million. The projection for FY14-15 and FY15-16 are \$25.0 million and \$37.4 million respectively.

These fees are accounted for separately by development areas and by project categories. Also, separate fees are collected in the older or "infill" area of the community. Space limitation in this document does not allow for the listing of all accounts, so only a summary for each separate fund involved is shown here. Fees are also accounted for water and sewer infill purposes. The resale of excess Equivalent Consumer Units (ECUs) of utilities capacity are accounted for as capital fees.

In some cases, bond proceeds are used to finance the fees. To avoid a double counting of total revenues, an offset is shown.

At the start of FY14-15, fees that still needed to be collected from the various older areas totaled over \$14 million. However, in FY14-15, new fees based upon the Tracy Infrastructure Master Plan started to be collected. This plan calls for about \$563 million to be collected over 30 years. Also, new fees from the Ellis Planning Area are anticipated in FY15-16. This plan calls for about \$58 million to be collected over a 10 year period.

Recent collections have been from industrial and commercial development, rather than residential development.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>2. SPECIAL ASSESSMENTS (Continued)</b>       |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Capital Development Fees (Continued)</b>     |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Other Development Areas                         |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| I205 Area Specific Plan Fees                    | 67,572                       | 0                            | -100.0%             | 200,000                      | 30,000                          | 15.0%                  |                     | 200,000                          | 566.7%              |
| Northeast Industrial Area Fees                  | 8,343,968                    | 2,593,806                    | -68.9%              | 460,000                      | 460,000                         | 100.0%                 |                     | 460,000                          | 0.0%                |
| South MacArthur Area Fees                       | 1,879,920                    | 986,958                      | -47.5%              | 200,000                      | 200,000                         | 100.0%                 | -79.7%              | 200,000                          | 0.0%                |
| Industrial Spec Plan, South                     | 6,084                        | 1,266                        | -79.2%              | 80,000                       | 20,000                          | 25.0%                  |                     | 80,000                           | 300.0%              |
| Presidio Area Fees                              | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Tracy Gateway Area Fees                         | 0                            | 0                            |                     | 100,000                      | 10,000                          | 10.0%                  |                     | 100,000                          | 900.0%              |
| Ellis Area Fees                                 | 0                            | 0                            |                     | 0                            | 1,710,700                       |                        |                     | 3,421,400                        | 100.0%              |
| Kagehiro Area Fees                              | 0                            | 0                            |                     | 10,000                       | 5,000                           | 50.0%                  |                     | 10,000                           | 100.0%              |
| Regional Impact Fees                            | 53,594                       | 36,571                       | -31.8%              | 232,000                      | 1,232,000                       | 531.0%                 |                     | 232,000                          | -81.2%              |
| Bond Proceeds Offset                            | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Sub-total                                       | 10,454,636                   | 4,215,202                    | -59.7%              | 1,556,400                    | 24,990,500                      | 1605.7%                | 492.9%              | 37,378,660                       | 49.6%               |
| <b>Debt Assessments</b>                         |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| CFD 89-1 ISP-NE                                 | 1,300,812                    | 1,280,903                    | -1.5%               | 1,300,000                    | 1,214,900                       | 93.5%                  | -5.2%               | 1,300,000                        | 7.0%                |
| AD 93-1 Tracy Marketplace                       | 360,474                      | 347,474                      | -3.6%               | 362,300                      | 347,500                         | 95.9%                  | 0.0%                | 350,000                          | 0.7%                |
| AD 93-2 Woodfield Estates                       | 501,036                      | 409,253                      | -18.3%              | 449,000                      | 410,000                         | 91.3%                  | 0.2%                | 410,000                          | 0.0%                |
| AD 94-1 Auto Mall                               | 441,621                      | 401,663                      | -9.0%               | 441,600                      | 405,000                         | 91.7%                  | 0.8%                | 405,000                          | 0.0%                |
| AD 95-1 Pheasant Run                            | 63,241                       | 94,734                       | 49.8%               | 110,000                      | 98,000                          | 89.1%                  | 3.4%                | 98,000                           | 0.0%                |
| AD 96-1 Bridle Creek #1                         | 137,172                      | 124,393                      | -9.3%               | 138,000                      | 125,000                         | 90.6%                  | 0.5%                | 125,000                          | 0.0%                |
| AD 97-1 Heartland #1                            | 146,472                      | 131,893                      | -10.0%              | 150,000                      | 133,000                         | 88.7%                  | 0.8%                | 133,000                          | 0.0%                |
| AD 97-2 Bridle Crk #3 & #4                      | 214,028                      | 189,686                      | -11.4%              | 214,900                      | 193,000                         | 89.8%                  | 1.7%                | 193,000                          | 0.0%                |
| AD 98-1 Plan "C"                                | 4,885,018                    | 4,991,821                    | 2.2%                | 4,750,000                    | 4,990,000                       | 105.1%                 | 0.0%                | 4,990,000                        | 0.0%                |
| AD 98-3 Souza Citation                          | 309,050                      | 309,872                      | 0.3%                | 330,000                      | 309,100                         | 93.7%                  | -0.2%               | 309,100                          | 0.0%                |
| AD 98-4 Morrison Homes                          | 208,722                      | 198,937                      | -4.7%               | 209,000                      | 200,000                         | 95.7%                  | 0.5%                | 200,000                          | 0.0%                |
| CFD 99-1 NE Industrial Area #1                  | 761,341                      | 755,705                      | -0.7%               | 760,000                      | 760,000                         | 100.0%                 | 0.6%                | 760,000                          | 0.0%                |
| CFD 99-2 South MacArthur Plan A                 | 931,595                      | 946,067                      | 1.6%                | 930,000                      | 948,000                         | 101.9%                 | 0.2%                | 948,000                          | 0.0%                |
| CFD 00-1 Presidio                               | 959,747                      | 959,827                      | 0.0%                | 935,000                      | 960,600                         | 102.7%                 | 0.1%                | 960,600                          | 0.0%                |
| AD 00-2 Heartland #3                            | 73,012                       | 70,098                       | -4.0%               | 85,000                       | 73,000                          | 85.9%                  | 4.1%                | 75,000                           | 2.7%                |
| AD 03-1 Berg Avenue Area                        | 82,342                       | 76,358                       | -7.3%               | 81,000                       | 78,000                          | 96.3%                  | 2.2%                | 78,000                           | 0.0%                |
| CFD 06-1 NE Industrial Area #2                  | 807,454                      | 778,608                      | -3.6%               | 800,000                      | 780,000                         | 97.5%                  | 0.2%                | 780,000                          | 0.0%                |
| CFD 11-1 Tracy 580 Bus Park                     | 0                            | 16,416                       |                     | 38,000                       | 37,500                          | 98.7%                  | 128.4%              | 38,000                           | 1.3%                |
| Sub-total                                       | 12,183,137                   | 12,083,708                   | -0.8%               | 12,083,800                   | 12,062,600                      | 99.8%                  | -0.2%               | 12,152,700                       | 0.7%                |
| <b>Total for<br/>SPECIAL ASSESSMENTS</b>        | <b>25,752,221</b>            | <b>19,441,030</b>            | <b>-24.5%</b>       | <b>16,788,050</b>            | <b>40,259,080</b>               | <b>239.8%</b>          | <b>107.1%</b>       | <b>52,820,360</b>                | <b>31.2%</b>        |

## **SPECIAL ASSESSMENTS CONTINUED**

### *Debt Assessments*

Special assessments have been levied by the City against certain property owners for infrastructure improvements made in the past that benefited their properties. These assessments are collected by the county along with property taxes on the properties. The receipts from these assessments are used to pay the debt service obligations used to finance the improvements made.

The debt assessments are levied either through Assessment Districts (AD) or Community Facilities Districts (CFD). A District's name usually designates the year when it was first formed.

The City will have 18 special assessment levies and debt obligations to administer in FY15-16. The levies are set based on the payment schedules for the respective bond issues. Over \$12 million will be collected for these debts in both FY14-15 and FY15-16.

| ESTIMATED REVENUES<br>By Revenue Source                    | FY12-13   | FY13-14   | %      | FY14-15   | FY14-15      | % of             | %       | FY15-16       | %      |
|--|-----------|-----------|--------|-----------|--------------|------------------|---------|---------------|--------|
|  | \$ Actual | \$ Actual | Change | \$ Budget | \$ Estimated | Budget           | Change  | \$ Projection | Change |
| <b>3. LICENSE, PERMIT, &amp; FRANCHISE FEES</b>            | 47        | 85        |        | 300       | 300          | SFH Permit Level |         | 570           |        |
| <b>Building &amp; Construction Permits</b>                 |           |           |        |           |              |                  |         |               |        |
| Building Permits   | 565,196   | 781,294   | 38.2%  | 900,000   | 1,250,000    | 138.9%           | 60.0%   | 1,250,000     | 0.0%   |
| Electrical Permits   | 51,461    | 94,861    | 84.3%  | 85,000    | 105,000      | 123.5%           | 10.7%   | 105,000       | 0.0%   |
| Plumbing Permits   | 66,235    | 94,119    | 42.1%  | 90,000    | 120,000      | 133.3%           | 27.5%   | 120,000       | 0.0%   |
| Mechanical Permits   | 22,860    | 42,336    | 85.2%  | 35,000    | 47,000       | 134.3%           | 11.0%   | 47,000        | 0.0%   |
| Grading Permits  | 12,792    | 14,573    | 13.9%  | 147,100   | 28,000       | 19.0%            | 92.1%   | 147,100       | 425.4% |
| Encroachment Permits                                       | 17,055    | 47,851    | 180.6% | 28,640    | 20,000       | 69.8%            | -58.2%  | 29,000        | 45.0%  |
| Sign & Zoning Permits                                      | 23,427    | 70,782    | 202.1% | 23,940    | 25,800       | 107.8%           | -63.6%  | 26,800        | 3.9%   |
| Sub-total  | 759,026   | 1,145,816 | 51.0%  | 1,309,680 | 1,595,800    | 121.8%           | 39.3%   | 1,724,900     | 8.1%   |
| <b>License Fees</b>  |           |           |        |           |              |                  |         |               |        |
| Bicycle Licenses   | 12        | 42        |        | 100       | 100          | 100.0%           |         | 100           | 0.0%   |
| Animal Licenses - Rabies                                   | 2,595     | 2,220     | -14.5% | 2,500     | 2,200        | 88.0%            | -0.9%   | 2,500         | 13.6%  |
| Animal Licenses - Livestock                                | 26,515    | 32,231    | 21.6%  | 26,000    | 30,700       | 118.1%           | -4.8%   | 32,000        | 4.2%   |
| Business Licenses - New                                    | 32,835    | 36,195    | 10.2%  | 34,000    | 36,500       | 107.4%           | 0.8%    | 37,000        | 1.4%   |
| Business Licenses - Renewals                               | 53,005    | 55,903    | 5.5%   | 58,000    | 59,000       | 101.7%           | 5.5%    | 60,000        | 1.7%   |
| Other Licenses   | 3,650     | 3,288     |        | 0         | 0            |                  | -100.0% | 0             |        |
| Sub-total  | 118,612   | 129,879   | 9.5%   | 120,600   | 128,500      | 106.6%           | -1.1%   | 131,600       | 2.4%   |
| <b>Franchise Fees</b>                                      |           |           |        |           |              |                  |         |               |        |
| Franchise - Electric & Gas                                 | 541,028   | 581,380   | 7.5%   | 590,000   | 612,890      | 103.9%           | 5.4%    | 623,000       | 1.6%   |
| Franchise - Cable TV                                       | 810,137   | 823,064   | 1.6%   | 815,000   | 835,000      | 102.5%           | 1.5%    | 850,000       | 1.8%   |
| Ed/Govt Cable TV   | 187,893   | 194,256   | 3.4%   | 193,000   | 197,000      | 102.1%           | 1.4%    | 200,000       | 1.5%   |
| Franchise - Solid Waste                                    | 1,148,000 | 1,188,000 | 3.5%   | 1,210,000 | 1,210,000    | 100.0%           | 1.9%    | 1,230,000     | 1.7%   |
| Franchise - Others   | 781       | 781       |        | 1,000     | 800          | 80.0%            | 2.4%    | 1,000         | 25.0%  |
| Sub-total  | 2,687,839 | 2,787,481 | 3.7%   | 2,809,000 | 2,855,690    | 101.7%           | 2.4%    | 2,904,000     | 1.7%   |
| <b>Total for LICENSE,<br/>PERMIT, &amp; FRANCHISE FEES</b> | 3,565,477 | 4,063,176 | 160.2% | 4,239,280 | 4,579,990    | 108.0%           | 12.7%   | 4,760,500     | 3.9%   |

## PERMIT, LICENSE, AND FRANCHISE FEES

These fees are collected in exchange for the issuance of a license, a permit, or a franchise and are usually used to finance programs regulating the activities permitted or to mitigate their impact on the community.

***Building and Construction Permit Fees***

Permit fees are collected by the City for building and construction permits. These fees are used to cover the costs of processing permit applications and inspecting the building and construction work performed under the permits. These permits are primarily issued by the Building Division for projects on private properties. The Engineering Division also issues permits for grading and for projects on, under, or encroaching upon public streets or rights-of-way.

Since FY00-01, the number of building permits for new single family homes were as follows:

|          |       |          |    |
|----------|-------|----------|----|
| FY 00-01 | 1,364 | FY 07-08 | 19 |
| FY 01-02 | 838   | FY 08-09 | 27 |
| FY 02-03 | 1,466 | FY 09-10 | 22 |
| FY 03-04 | 1,183 | FY 10-11 | 9  |
| FY 04-05 | 693   | FY 11-12 | 15 |
| FY 05-06 | 220   | FY12-13  | 47 |
| FY 06-07 | 88    | FY13-14  | 85 |

When building and construction activities are on an upswing permit fees can be high having reached \$3.4 million and \$3.6 million in FY00-01 and FY03-04. However, since FY03-04, these permit fees have been dropping reaching a low of only \$346K in FY10-11. But since then there has been an increase up to \$1.1 million in FY13-14.

The recent slowdown in permits is due to the Measure A growth control initiative, as well as the general economic downturn. A major pick-up in building and construction started in FY13-14. These permit fees are expected to reach \$1.6 million and \$1.7 million in FY14-15 and FY15-16 respectively.

***License Fees***

Other license fees collected include animal and bicycle license fees, which usually generate about \$30,000 per year. These fees are used to cover the costs of the animal regulation program and the printing of bicycle licenses.

Also, the City generates fees for the cost of processing new business license applications and renewals. The revenue generated varies with the local economy; but about \$97,000 is expected annually.

***Franchise Fees***

Franchise fees are imposed by the City upon utility companies and other businesses for the privilege of using the City's rights-of-way. These fees may be spent on any lawful City activity. Currently, fees are in place for electric, gas, cable television, and solid waste collections.

The City levies a 0.5% rate for electricity and a 1.0% rate for natural gas on franchise receipts collected within the City. The City levies a 5% rate on cable TV franchise revenues plus 75 cents per month per subscriber to support the City's education & government cable TV channel.

Since FY08-09, franchise fees paid by electric and gas providers were down due to the poor economy. Since then, they have been slowly recovering. Increases are projected in FY14-15 and FY15-16.

Cable TV franchise fees have increased in recent years. Overall, in FY14-15 and FY15-16, modest increases are expected.

A review of franchise fees in FY11-12 revealed the contract for solid waste fee provided for a maximum of 10% for a franchise fee and the fee was adjusted accordingly.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Projection | %<br>Change   |
|---|----------------------|----------------------|---------------|----------------------|-------------------------|----------------|---------------|--------------------------|---------------|
| <b>4. INTERGOVERNMENTAL REVENUES</b>            |                      |                      |               |                      |                         |                |               |                          |               |
| <b>State Shared Taxes</b>                       |                      |                      |               |                      |                         |                |               |                          |               |
| Motor Vehicle In-Lieu Tax                       | 43,009               | 35,662               | -17.1%        | 36,000               | 34,900                  | 96.9%          | -2.1%         | 35,600                   | 2.0%          |
| State Fiscal Relief                             | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Property Tax Relief                             | 118,023              | 121,906              | 3.3%          | 123,000              | 123,000                 | 100.0%         | 0.9%          | 124,500                  | 1.2%          |
| Public Safety Tax                               | 388,507              | 406,835              | 4.7%          | 404,000              | 430,000                 | 106.4%         | 5.7%          | 440,000                  | 2.3%          |
| Gasoline Tax                                    | 1,887,125            | 2,614,154            | 38.5%         | 2,101,380            | 2,418,390               | 115.1%         | -7.5%         | 1,800,320                | -25.6%        |
| Sub-total                                       | 2,436,664            | 3,178,557            | 30.4%         | 2,664,380            | 3,006,290               | 112.8%         | -5.4%         | 2,400,420                | -20.2%        |
| <b>State Grants &amp; Reimbursements</b>        |                      |                      |               |                      |                         |                |               |                          |               |
| State Mandate Reimbursements                    | 16,052               | 18,583               | 15.8%         | 25,000               | 101,800                 | 407.2%         | 447.8%        | 23,000                   | -77.4%        |
| State POST Reimbursements                       | 83,260               | 47,199               | -43.3%        | 45,000               | 22,000                  | 48.9%          | -53.4%        | 25,000                   | 13.6%         |
| State Law Enforcement Grants                    | 209,995              | 350,264              | 66.8%         | 237,220              | 281,800                 | 118.8%         | -19.5%        | 281,820                  | 0.0%          |
| State Fire Aid                                  | 115,102              | 220,309              | 91.4%         | 206,000              | 206,000                 | 100.0%         |               | 212,000                  | 2.9%          |
| State Highways Grants                           | (4,787)              | 0                    |               | 0                    | 501,100                 |                |               | 0                        |               |
| SHG-Traffic Congestion Relief                   | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| SHG-Prop 1B                                     | 0                    | (19,651)             |               | 0                    | 0                       |                |               | 0                        |               |
| State Transit Assistance                        | 138,328              | 176,054              |               | 0                    | 125,940                 |                |               | 0                        |               |
| State Airport Grants                            | 335,039              | 20,000               | -94.0%        | 20,000               | 20,000                  |                | CIP           | 20,000                   | 0.0%          |
| State Park Grants                               | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Other State Grants                              | 163,287              | 174,405              | 6.8%          | 0                    | 0                       |                | -100.0%       | 0                        |               |
| <b>County Grants &amp; Reimbursements</b>       |                      |                      |               |                      |                         |                |               |                          |               |
| County Transit Operating                        | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Other County Grants                             | 41,020               | 37,320               | -9.0%         | 40,000               | 38,500                  | 96.3%          | 3.2%          | 40,000                   | 3.9%          |
| <b>Federal Grants &amp; Reimbursements</b>      |                      |                      |               |                      |                         |                |               |                          |               |
| Federal Law Enforcement Grants                  | 46,056               | 17,026               | -63.0%        | 6,300                | 6,300                   | 100.0%         | -63.0%        | 6,300                    |               |
| Federal Highway Grants                          | 2,113,739            | 1,395,887            | -34.0%        | 39,792,490           | 48,326,400              | 121.4%         |               | 966,000                  | -98.0%        |
| Federal Transit Grants                          | 1,973,621            | 940,197              | -52.4%        | 910,000              | 1,345,650               | 147.9%         | 43.1%         | 2,236,570                |               |
| FAA Airport Grants                              | 0                    | 400,149              |               | 0                    | 7,613,800               |                |               | 100,000                  |               |
| Community Devel Block Gt                        | 302,611              | 561,313              | 85.5%         | 523,400              | 944,100                 | 180.4%         | 68.2%         | 523,400                  | -44.6%        |
| Other Federal Grants                            | 57,687               | 0                    | -100.0%       | 0                    | 230,850                 |                |               | 400,000                  |               |
| <b>Other Grants &amp; Reimbursements</b>        |                      |                      |               |                      |                         |                |               |                          |               |
| Tracy Rural Fire District Proceeds              | 4,226,714            | 4,028,194            | -4.7%         | 3,923,800            | 3,923,800               | 100.0%         | -2.6%         | 4,969,500                | 26.7%         |
| Mt House CSD Fire Service Proce                 | 2,385,092            | 2,421,732            | 1.5%          | 2,434,700            | 2,434,700               | 100.0%         | 0.5%          | 494,100                  | -79.7%        |
| SJCOG - Prop K Reimbursements                   | 0                    | 0                    |               | 0                    | 905,300                 |                |               | 0                        | -100.0%       |
| School District Grants - Operating              | 253,727              | 253,727              | 0.0%          | 253,730              | 257,900                 | 101.6%         | 1.6%          | 259,700                  | 0.7%          |
| Schools CDA Repayment                           | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Other Grants & Reimbursements                   | 1,772,958            | 82,584               |               | 221,100              | 20,000                  | 9.0%           | -75.8%        | 750,000                  |               |
| Sub-total                                       | 14,229,501           | 11,125,292           | -21.8%        | 48,638,740           | 67,305,940              | 138.4%         | 505.0%        | 11,307,390               | -83.2%        |
| <b>Total for<br/>INTERGOVERNMENTAL REVENUES</b> | <b>16,666,165</b>    | <b>14,303,849</b>    | <b>-14.2%</b> | <b>51,303,120</b>    | <b>70,312,230</b>       | <b>137.1%</b>  | <b>391.6%</b> | <b>13,707,810</b>        | <b>-80.5%</b> |

## INTERGOVERNMENTAL REVENUES

This category includes all grants, subventions, and reimbursements received from other government entities.

### *State Shared Taxes*

The primary group in this category is state shared taxes which includes motor vehicle in-lieu taxes, property tax relief, public safety sales tax, and gasoline fuel taxes. Their receipts had been stable and reliable from year-to-year based primarily on the City's population relative to the population of the State and other communities. State shared taxes increased based upon inflation, State economic conditions, and City population growth. Population growth and booming State and local economies tend to push such revenues up. However, certain State legislation has had negative impacts on recent and future receipts.

Motor Vehicle in-Lieu Taxes are collected by the State in-lieu of a property tax. A portion of these taxes is allocated among cities by the State. Their use is unrestricted. These used to be a significant City General Fund revenue source reaching \$3.76 million in FY02-03. Since then, they decrease to about \$35,700 in FY13-14.

California voters approved a half cent Public Safety Sales Tax starting in FY93-94. Unfortunately, the distribution formula used in about a handful of counties (including San Joaquin County) directs most of these funds to the county. The small amount the City does receive is restricted to public safety purposes. These receipts have also declined in recent years due to the local economy. But, increases were seen in recent years. Modest increases are projected for FY14-15 and FY15-16.

Gasoline Fuel Taxes are collected by the State and then allocated to cities & counties. Their use is restricted to the maintenance and construction of streets and traffic improvements. While \$2.6 million was received in FY13-14, only \$2.4 million and \$1.8 million are projected for FY14-15 and FY15-16 respectively. This downturn is due to falling gas prices and consumption and State allocation rules.

### *Grants and Reimbursements*

This grouping includes special purpose grants from the Federal Government, the State, the County, and other local agencies. The receipts from these sources vary from year-to-year. Their use is usually restricted to certain purposes or certain projects. In some cases, they are reimbursements for expenditures already made by the City for a particular purpose.

The City participates in the South County Fire Authority (SCFA) and is the lead agency for the SCFA. Under the SCFA agreement two major sources in this grouping are the tax and assessment proceeds from the Tracy Rural Fire District (TRFD) to pay for consolidated fire services and the proceeds received from the Mountain House Community Services District (MHCS), located northwest of the City, as the City provides fire services to both of these areas under the SCFA agreement. The City receives most of the TRFD operating receipts for the year, except for a small TRFD administrative allocation. The MHCS proceeds are based upon their share of minimal staffing provided, which is 15.8% of consolidated SCFA operating costs.

Both the TRFD and the MHCS have experienced decline in local revenues due to the economic downturn. The FY09-10 Fire Department budget was reduced for the benefit of TRFD, and MHCS shared in the benefit of that reduction. The MHCS proceeds for FY09-10 were down due to the reduction, but also they were given a credit for the overpayment of their share of costs in the prior fiscal year. Since then, proceeds have been increasing.

However, in February 2015, the MHCS Board decided to drop their contract for services. So, they will only receive services for 75 days in FY15-16. Therefore, only about 20% of their annual proceeds will be received by the City.

The proceeds from TRFD will have a significant increase due to a cost reallocation under the SCFA agreement and the MHCS drop out.

Local schools provide annual support for the School Resources Officers and Crossing Guards services.

Others grants are based upon approved capital projects. These include parks, highways, transit, and airport grants. Also, Prop K grants are received from the SJCOG for specific street, bikeway, and transit projects.

| ESTIMATED REVENUES<br>By Revenue Source       | FY12-13          | FY13-14          | %            | FY14-15           | FY14-15          | % of         | %            | FY15-16           | %           |
|---|------------------|------------------|--------------|-------------------|------------------|--------------|--------------|-------------------|-------------|
|   | \$ Actual        | \$ Actual        | Change       | \$ Budget         | \$ Estimated     | Budget       | Change       | \$ Projection     | Change      |
| <b>5. CURRENT SERVICE CHARGES</b>             |                  |                  |              |                   |                  |              |              |                   |             |
| <b>General Government Charges</b>             |                  |                  |              |                   |                  |              |              |                   |             |
| Sales of Maps & Publications                  | 733              | 1,937            | 164.3%       | 3,000             | 2,000            | 66.7%        | 3.3%         | 2,500             | 25.0%       |
| Reproduction & Postage Fees                   | 7,068            | 5,520            | -21.9%       | 5,000             | 5,000            | 100.0%       | -9.4%        | 5,000             | 0.0%        |
| Financial Services Fees                       | 611,058          | 332,710          | -45.6%       | 400,000           | 330,000          | 82.5%        | -0.8%        | 330,000           | 0.0%        |
| Other Gen Govt Fees                           | 3,975            | 1,250            | -68.6%       | 5,000             | 2,500            | 50.0%        | 100.0%       | 3,000             | 20.0%       |
| <b>Public Safety Charges</b>                  |                  |                  |              |                   |                  |              |              |                   |             |
| Special Police Fees                           | 138,069          | 157,311          | 13.9%        | 146,540           | 149,550          | 102.1%       | -4.9%        | 150,000           | 0.3%        |
| Special Fire Fees                             | 204,558          | 241,111          | 17.9%        | 204,950           | 221,260          | 108.0%       | -8.2%        | 216,550           | -2.1%       |
| Animal Shelter Fees                           | 12,933           | 15,305           | 18.3%        | 15,000            | 14,500           | 96.7%        | -5.3%        | 14,500            | 0.0%        |
| Weed & Lot Cleaning Fees                      | 4,562            | 9,555            | 109.4%       | 5,000             | 5,000            | 100.0%       | -47.7%       | 5,000             | 0.0%        |
| Hazardous Waste Clean-up Fees                 | 0                | 0                |              | 2,000             | 2,000            | 100.0%       |              | 2,000             | 0.0%        |
| Demolition Fees                               | 0                | 528              |              | 2,000             | 2,000            | 100.0%       |              | 2,000             | 0.0%        |
| <b>Engineering Charges</b>                    |                  |                  |              |                   |                  |              |              |                   |             |
| Map Review & Plan Check Fees                  | 376,797          | 528,384          | 40.2%        | 2,000,000         | 1,150,000        | 57.5%        | 117.6%       | 1,360,000         | 18.3%       |
| Inspection Fees                               | 203,485          | 367,174          | 80.4%        | 500,000           | 520,000          | 104.0%       | 41.6%        | 600,000           | 15.4%       |
| Segregation Fees                              | 4,989            | 2,419            | -51.5%       | 8,200             | 3,500            | 42.7%        | 44.7%        | 8,200             | 134.3%      |
| <b>Planning &amp; Zoning Charges</b>          |                  |                  |              |                   |                  |              |              |                   |             |
| Planning Fees                                 | 396,839          | 31,474           | -92.1%       | 13,000            | 65,000           | 500.0%       | 106.5%       | 30,000            | -53.8%      |
| Environmental Review Fees                     | 3,395            | 5,010            | 47.6%        | 10,000            | 5,000            | 50.0%        | -0.2%        | 6,000             | 20.0%       |
| Zoning Fees                                   | 13,516           | 56,661           | 319.2%       | 55,000            | 36,300           | 66.0%        | -35.9%       | 44,200            | 21.8%       |
| Development Review Fees                       | 149,851          | 155,750          | 3.9%         | 175,000           | 175,000          | 100.0%       | 12.4%        | 175,000           | 0.0%        |
| <b>Building Regulation Charges</b>            |                  |                  |              |                   |                  |              |              |                   |             |
| Building Plan Check Fees                      | 561,795          | 806,403          | 43.5%        | 800,000           | 900,000          | 112.5%       | 11.6%        | 900,000           | 0.0%        |
| <b>Parks &amp; Recreation Charges</b>         |                  |                  |              |                   |                  |              |              |                   |             |
| General Recreation Fees                       | 213,770          | 180,480          | -15.6%       | 237,000           | 162,500          | 68.6%        | -10.0%       | 166,500           | 2.5%        |
| Aquatics Fees                                 | 168,977          | 182,279          | 7.9%         | 170,000           | 160,800          | 94.6%        | -11.8%       | 155,000           | -3.6%       |
| Athletics Fees                                | 211,334          | 232,439          | 10.0%        | 208,150           | 200,120          | 96.1%        | -13.9%       | 215,000           | 7.4%        |
| Youth Program Fees                            | 133,517          | 89,913           | -32.7%       | 124,000           | 86,000           | 69.4%        | -4.4%        | 86,000            | 0.0%        |
| Senior Center Fees                            | 21,306           | 19,333           | -9.3%        | 24,000            | 24,000           | 100.0%       | 24.1%        | 24,000            | 0.0%        |
| Teen Program Fees                             | 4,013            | 10,548           | 162.8%       | 7,500             | 5,300            | 70.7%        | -49.8%       | 7,950             | 50.0%       |
| Rental - Community Center                     | 51,993           | 62,525           | 20.3%        | 65,000            | 67,500           | 103.8%       | 8.0%         | 70,000            | 3.7%        |
| Rental - Other Facilities                     | 34,794           | 26,724           | -23.2%       | 27,900            | 26,800           | 96.1%        | 0.3%         | 27,900            | 4.1%        |
| Community Events Fees                         | 34,096           | 32,075           | -5.9%        | 40,000            | 40,000           | 100.0%       | 24.7%        | 40,000            | 0.0%        |
| <b>Cultural Arts Charges</b>                  |                  |                  |              |                   |                  |              |              |                   |             |
| Cultural Arts Fees                            | 303,735          | 262,685          | -13.5%       | 372,660           | 411,660          | 110.5%       | 56.7%        | 416,510           | 1.2%        |
| <b>Capital Project Charges</b>                |                  |                  |              |                   |                  |              |              |                   |             |
| CIP Project Charges                           | 5,276,062        | 5,022,240        | -4.8%        | 5,160,600         | 5,160,600        | 100.0%       | 2.8%         | 5,160,600         | 0.0%        |
| <b>Sub-total for<br/>Governmental Charges</b> | <b>9,147,220</b> | <b>8,839,743</b> | <b>-3.4%</b> | <b>10,786,500</b> | <b>9,933,890</b> | <b>92.1%</b> | <b>12.4%</b> | <b>10,223,410</b> | <b>2.9%</b> |

## CURRENT SERVICE CHARGES

These charges are collected by the City in exchange for a particular public service of limited and specific benefit and are used to finance the particular service provided.

Current service charges can be classified into three sub- categories: governmental charges, enterprise charges, and internal charges.

### *Governmental Charges*

Traditionally, City services have been funded through taxes. However, in certain areas, where there are limited and specific benefits for particular services, a fee or charge may be levied upon users to pay for the service. Over the years, a number of such user charges and fees have been authorized for certain services.

Applicable to all departments are General Charges for the sale of maps and publications and reproduction and postage costs. Financial service charges are the City's administrative charges for the formation and administration of assessment and community facilities districts. Also, the City Treasurer costs (see the Cash Management program) are recovered by charges, which are offset against interest earnings prior to their interfund allocation.

Public Safety Charges include special police fees for reports, fingerprinting, DUI recovery, and special events. Special fire fees include fire permit fees; fire systems plan check and inspection fees, and street addressing fees. Animal shelter fees include impoundment fees and processing charges for animal adoptions. Public Safety Charges, except for Special Fire fees, are based upon past receipts. Special Fire fees include charges which are related to the level of building and construction activities in the community.

Engineering, Planning and Zoning, and Building Fee revenues vary with the level of building and construction activities in the community. But, there are one-time windfalls due to large projects or the periodic peaks of rechecks after code revisions.

In FY01-02, Engineering revenues were over \$3,400,000. Since then, they have declined reaching \$339K in FY09-10. In FY11-12, they were up to about \$902K, due to a one-time receipt, but were down again afterward. They were up to \$899K in FY13-14 and \$1.7 million is expected for FY14-15. But, \$2.4 million is projected for FY15-16.

Planning and Zoning fees can vary from year to year. In FY09-10, fees were less than \$100,000. Since then, they were up, but declined again in FY13-14. They are expected to increase in FY14-15 and FY15-16.

Building fees reached \$1,179,000 in FY 03-04; but they have declined since then. However, in FY12-13, they showed a major increase due to industrial development. They reached \$806K in FY13-14 and \$900K is expected in both FY14-15 and FY15-16.

Parks & Recreation charges, while not set for 100% recovery, do play a significant role in the funding of recreation programs. While revenues were down in FY14-15, they are expected to increase in FY15-16.

In FY07-08, with the opening of the City's new Grand Theatre, Cultural Arts fees were a new revenue source for the City. While revenues were down in FY13-14, they are expected to increase in FY14-15 and FY15-16.

CIP Project Charges are paid by City capital projects to the General Fund as reimbursements for work hours and overhead charges for City staff effort devoted to such projects. The amount charged can vary from year-to-year depending on the progress made and staff effort in terms of design, inspections, and program management devoted to such projects. Also, these charges are paid by major development reviews, which are budgeted and accounted for like CIP projects. In such cases, the property owner pays not only for the contracted review, but also for City staff time and associated overhead.

In FY13-14, receipts were down from prior years. Receipts are expected to exceed \$5,000,000 in FY14-15 and FY15-16.

In FY15-16, new funds will be set up for Planning, Building and Engineering fees. See page B8. This will remove them from the General Fund.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>5. CURRENT SERVICE CHARGES (Continued)</b>   |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Enterprise Charges</b>                       |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Water Charges</b>                            |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Water Sales                                     | 14,545,473                   | 14,476,081                   | -0.5%               | 14,295,000                   | 14,701,000                      | 102.8%                 | 1.6%                | 15,239,000                       | 3.7%                |
| Bulk Water Sales                                | 780,200                      | 1,168,191                    | 49.7%               | 1,200,000                    | 1,300,000                       | 108.3%                 | 11.3%               | 500,000                          | -61.5%              |
| Other Water Fees                                | 95,059                       | 145,100                      | 52.6%               | 193,000                      | 387,800                         | 200.9%                 | 167.3%              | 156,000                          | -59.8%              |
| <b>Wastewater Charges</b>                       |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Wastewater Service Charges                      | 11,685,657                   | 12,662,664                   | 8.4%                | 12,320,000                   | 12,853,000                      | 104.3%                 | 1.5%                | 13,110,000                       | 2.0%                |
| Other Sewer Fees                                | 208,156                      | 201,339                      | -3.3%               | 210,000                      | 231,000                         | 110.0%                 | 14.7%               | 237,000                          | 2.6%                |
| <b>Solid Waste Charges</b>                      |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Waste Collection Fees                           | 4,438,152                    | 4,598,896                    | 3.6%                | 4,760,000                    | 4,680,000                       | 98.3%                  | 1.8%                | 4,820,000                        | 3.0%                |
| Waste Disposal Fees                             | 9,891,207                    | 10,308,859                   | 4.2%                | 10,050,000                   | 10,494,000                      | 104.4%                 | 1.8%                | 10,625,000                       | 1.2%                |
| Recycling Program Fees                          | 2,260,820                    | 2,231,308                    | -1.3%               | 2,375,000                    | 2,410,000                       | 101.5%                 | 8.0%                | 2,453,200                        | 1.8%                |
| Other Solid Waste Fees                          | 3,679,358                    | 4,171,660                    | 13.4%               | 2,375,000                    | 4,258,400                       | 179.3%                 | 2.1%                | 260,000                          | -93.9%              |
| <b>Drainage Charges</b>                         |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Drainage Fees                                   | 563,773                      | 546,908                      | -3.0%               | 580,000                      | 562,300                         | 96.9%                  | 2.8%                | 580,000                          | 3.1%                |
| <b>Airport Charges</b>                          |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Aircraft Tie-down Fees                          | 5,390                        | 5,353                        | -0.7%               | 5,000                        | 5,400                           | 108.0%                 | 0.9%                | 5,500                            | 1.9%                |
| Airport Hangar Rentals                          | 189,636                      | 181,265                      | -4.4%               | 192,000                      | 185,000                         | 96.4%                  | 2.1%                | 192,000                          | 3.8%                |
| Airport Ground Rentals                          | 14,934                       | 16,047                       | 7.5%                | 17,860                       | 16,500                          | 92.4%                  | 2.8%                | 18,000                           | 9.1%                |
| Airport FBO Lease                               | 26,350                       | 33,060                       | 25.5%               | 26,980                       | 26,900                          | 99.7%                  | -18.6%              | 27,000                           | 0.4%                |
| Airport Crop Income                             | 52,629                       | 59,208                       | 12.5%               | 65,000                       | 59,000                          | 90.8%                  | -0.4%               | 65,000                           | 10.2%               |
| Airport Fuel Sales                              | 31,025                       | 100,000                      | 222.3%              | 585,000                      | 250,000                         | 42.7%                  | 150.0%              | 40,000                           | -84.0%              |
| <b>Transit Charges</b>                          |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Fixed Route Fares                               | 55,875                       | 78,874                       | 41.2%               | 65,000                       | 83,500                          | 128.5%                 | 5.9%                | 80,000                           | -4.2%               |
| Para-Transit Fares                              | 23,245                       | 24,639                       | 6.0%                | 20,750                       | 24,800                          | 119.5%                 | 0.7%                | 25,000                           | 0.8%                |
| Taxi Ticket Sales                               | 2,300                        | 2,550                        | 10.9%               | 1,500                        | 3,800                           | 253.3%                 | 49.0%               | 2,600                            | -31.6%              |
| Sub-total for<br>Enterprise Charges             | 48,549,239                   | 51,012,002                   | 191.8%              | 49,337,090                   | 52,532,400                      | 106.5%                 | 3.0%                | 48,435,300                       | -7.8%               |
| <b>Internal Charges</b>                         |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Vehicle Fuel Charges                            | 731,727                      | 665,799                      | -9.0%               | 710,700                      | 590,000                         | 83.0%                  | -11.4%              | 680,430                          | 15.3%               |
| Vehicle Maintenance Charges                     | 767,234                      | 783,795                      | 2.2%                | 833,800                      | 667,700                         | 80.1%                  | -14.8%              | 754,240                          | 13.0%               |
| Building Maintenance Charges                    | 771,936                      | 801,442                      | 3.8%                | 898,100                      | 771,800                         | 85.9%                  | -3.7%               | 725,000                          | -6.1%               |
| Self-Insurance Charges                          | 3,383,408                    | 3,389,196                    | 0.2%                | 3,296,900                    | 3,468,400                       | 105.2%                 | 2.3%                | 3,153,230                        | -9.1%               |
| Equipment Charges                               | 1,179,280                    | 1,796,400                    | 52.3%               | 1,831,600                    | 1,830,400                       | 99.9%                  | 1.9%                | 1,530,080                        | -16.4%              |
| Central Services Charges                        | 78,407                       | 89,701                       | 14.4%               | 78,000                       | 77,600                          | 99.5%                  | -13.5%              | 90,000                           | 16.0%               |
| Information Systems Charges                     | 991,520                      | 1,043,400                    | 5.2%                | 1,434,350                    | 1,459,470                       | 101.8%                 | 39.9%               | 1,415,270                        | -3.0%               |
| TeleComm Systems Charges                        | 263,560                      | 275,000                      | 4.3%                | 300,000                      | 300,000                         | 100.0%                 | 9.1%                | 310,000                          | 3.3%                |
| Sub-total for<br>Internal Service Charges       | 8,167,072                    | 8,844,733                    | 8.3%                | 9,383,450                    | 9,165,370                       | 97.7%                  | 3.6%                | 8,658,250                        | -5.5%               |
| <b>Total for<br/>CURRENT SERVICE CHARGES</b>    | <b>65,863,531</b>            | <b>68,696,478</b>            | <b>4.3%</b>         | <b>69,507,040</b>            | <b>71,631,660</b>               | <b>103.1%</b>          | <b>4.3%</b>         | <b>67,316,960</b>                | <b>-6.0%</b>        |

## CURRENT SERVICE CHARGES (CONTINUED)

### *Enterprise Charges*

Enterprise funds are used to account for operations that are financed and managed in a manner similar to private business enterprises. The intent of such funds is that the costs of the services provided be recovered primarily through user charges. The City uses these funds for: transit, airport, solid waste, water, wastewater, and drainage. These charges represent a significant share of the City's operating budget. And, the last four impact almost every household and property within the City.

The growth in water charges reflects community growth and scheduled rate increases. Water rates were raised by 19% in April 2008. So, water revenues showed increases in FY07-08 and FY08-09. But, in FY09-10, revenues decreased reflecting the economic slowdown and vacant properties. Since FY11-12, revenues have shown modest ups and down. Modest increases are expected in FY14-15 and FY15-16.

Wastewater rates were raised 28% in FY03-04 and again 39% in FY05-06. Due to the rate increase, in FY06-07, revenues showed a major increase. Receipts have leveled off since then, due to the economic slowdown. Since FY11-12, revenues have only shown modest ups and downs. A 9% rate increase was effective in September 2013. So revenues were up 8.4% in FY13-14. More modest increases are expected in FY 14-15 and FY15-16.

Over the years, solid waste revenues have shown modest annual increases reflecting community growth. Rates were raised early in FY07-08, so revenues showed a major increase. But, thereafter, these revenues have also decreased due to the poor economy and vacant properties.

These rates were increased about 29% in January 2012. Revenues have been increasing since then. A decline in other fees is expected in FY15-16.

Drainage Fee rates were established in FY91-92. Since then, no rate changes have been made. Drainage revenues have increased due only to community growth, although commercial development does bring in more revenue due to the larger impervious surfaces involved. Receipts have leveled off in FY07-08 and FY08-09, due to the economic slowdown. Since then, they have shown modest increases over the years.

Over the years, Airport charges have shown moderate annual increases. In FY11-12, airport revenues went up, but decreased in FY12-13. Increases occurred in FY13-14 and FY14-15.

Transit Charges have shown increases in recent fiscal years with the advent of the City's fixed route transit service. However, these receipts can vary from year to year. They show a major increase in FY13-14, a minor increase is expected in FY14-15, and a slight decrease is projected for FY15-16.

### *Internal Charges*

Internal service funds are used to account for the financing of services provided by one City department or agency to others on a cost reimbursement basis. Internal Charges are the revenues received by these funds for the services rendered. For some City departments, they are a sizeable part of their operating budget. The City uses such funds for: self-insurance, vehicle operations and maintenance, equipment replacement, building maintenance, and central services (mail, telephone, copier services and information systems).

Internal Charges are determined by the Finance Division annually based on the projected budgets for the respective service programs. Overall, Internal Charges have shown moderate annual increases, but separate charges show annual variations.

Since FY08-09, some internal charges have been held down as a fiscal reduction measure. However, in FY11-12 they were allowed to increase again.

In FY13-14, while fuel charges were down, equipment charges were up. In FY14-15, information systems charges were the major cost increase, while fuel and vehicle maintenance costs were down.

As projected for FY15-16, fuel and vehicle maintenance costs are going up, while others are going down.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b>   | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>6. OTHER REVENUES</b>                          |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Fines &amp; Forfeitures</b>                    |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Vehicle Code Fines                                |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Vehicle Code Fines                                | 107,612                      | 120,802                      | 12.3%               | 95,000                       | 73,000                          | 76.8%                  | -39.6%              | 100,000                          | 37.0%               |
| General Fines                                     |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| City Fines & Forfeitures                          | 6,025                        | 22,574                       | 274.7%              | 6,200                        | 25,000                          | 403.2%                 | 10.7%               | 20,000                           | -20.0%              |
| Parking Penalties                                 | 56,831                       | 53,924                       | -5.1%               | 70,000                       | 50,000                          | 71.4%                  | -7.3%               | 50,000                           | 0.0%                |
| Penalties Receivables - General F                 | 392,630                      | 589,295                      | 50.1%               | 745,000                      | 16,000                          | 2.1%                   | -97.3%              | 5,000                            | -68.8%              |
| Admin Citations                                   | 3,375                        | 3,731                        | 10.5%               | 3,000                        | 3,000                           | 100.0%                 | -19.6%              | 3,000                            | 0.0%                |
| Other Fines & Forfeitures                         |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Penalties Receivables - Other Fds                 | 375,028                      | 496,282                      | 32.3%               | 380,000                      | 600,000                         | 157.9%                 | 20.9%               | 600,000                          | 0.0%                |
| Narcotics Asset Seizure Proceeds                  | 1,556                        | 72,663                       |                     | 15,000                       | 165,000                         | 1100.0%                |                     | 50,000                           | -69.7%              |
| Total for<br>Fines & Forfeitures                  | 943,057                      | 1,359,271                    | 44.1%               | 1,314,200                    | 932,000                         | 70.9%                  | -31.4%              | 828,000                          | -11.2%              |
| <b>Revenue from Use of Money &amp; Property</b>   |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Investment Earnings - City Treasurer              |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| General Fund                                      | 154,515                      | 651,796                      | 321.8%              | 230,000                      | 660,000                         | 287.0%                 | 1.3%                | 670,500                          | 1.6%                |
| Enterprise Funds                                  | 98,134                       | 64,324                       | -34.5%              | 251,000                      | 64,850                          | 25.8%                  | 0.8%                | 73,000                           | 12.6%               |
| Other Major Operating Funds                       | 61,625                       | 43,445                       | -29.5%              | 19,500                       | 43,000                          | 220.5%                 | -1.0%               | 45,000                           | 4.7%                |
| Sub-total   | 314,274                      | 759,565                      | 141.7%              | 500,500                      | 767,850                         | 153.4%                 | 1.1%                | 788,500                          | 2.7%                |
| Investment Earnings from Other Agencies           |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Fiscal Agent Earnings                             | 22,248                       | 14,886                       | -33.1%              | 23,000                       | 15,500                          | 67.4%                  | 4.1%                | 16,000                           | 3.2%                |
| Property Tax Interest (Gen Fd)                    | 8,987                        | 9,195                        | 2.3%                | 9,000                        | 9,200                           | 102.2%                 | 0.1%                | 9,300                            | 1.1%                |
| Property Tax Interest (CDA)                       | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Sub-total   | 31,235                       | 24,081                       | -22.9%              | 32,000                       | 24,700                          | 77.2%                  | 2.6%                | 25,300                           | 2.4%                |
| Rents & Concessions                               |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Rental - Other Facilities                         | 450,962                      | 390,251                      | -13.5%              | 463,000                      | 400,000                         | 86.4%                  | 2.5%                | 405,000                          | 1.3%                |
| Downtown Business Promotions                      | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Sub-total   | 450,962                      | 390,251                      | -13.5%              | 463,000                      | 400,000                         | 86.4%                  | 2.5%                | 405,000                          | 1.3%                |
| Total for Revenue from<br>Use of Money & Property | 796,471                      | 1,173,897                    | 47.4%               | 995,500                      | 1,192,550                       | 119.8%                 | 1.6%                | 1,218,800                        | 2.2%                |
| <b>Sale of Property</b>                           |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Sale of Real Property                             | 0                            | 0                            |                     | 1,000                        | 420,760                         |                        |                     | 1,000                            | -99.8%              |
| Sale of Personal Property                         | 44,018                       | 22,363                       | -49.2%              | 13,000                       | 500                             | 3.8%                   | -97.8%              | 13,000                           | 2500.0%             |
| Total for<br>Sale of Property                     | 44,018                       | 22,363                       | -49.2%              | 14,000                       | 421,260                         | 1783.7%                |                     | 14,000                           | -96.7%              |
| (Continued)                                       |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |

## OTHER REVENUES

This is the miscellaneous category for revenues. It includes fines & forfeitures, use of monies & property, the sale of property, contributions, refunds, and other income not classified elsewhere.

### *Fines & Forfeitures*

Fines and forfeitures are punitive assessments levied by the courts or government agencies upon violators of laws or regulations. The City receives from the county fines and forfeitures resulting adjudication of violations of the Vehicle Code and the City's Municipal Code. Vehicle Code fines are placed into a special fund and their use is restricted to traffic safety purposes. Other fines are unrestricted general revenue. While there was a decline in receipts compared to prior years and a backlog in county processing, the receipt of such fine monies appear to have recovered somewhat. However, only a nominal amount is projected for FY15-16.

By law parking violations are not criminalized and are not under the jurisdiction of the courts. The City is responsible for the adjudication and the collection of parking penalties. These activities have been contracted out, but the City will receive the net receipts from the penalties collected. Only nominal amounts are projected.

The City receives proceeds from the sale of assets seized in the enforcement of narcotics laws. These proceeds are placed into a special fund, and their use is restricted to law enforcement purposes. Due to changes in State law, these proceeds have declined.

The City also levies a penalty on unpaid receivables, primarily on utility billings.

### *Use of Monies & Property*

This category includes City income derived from Investment Earnings and the rental of City property. Monies not needed for immediate use are invested by the City Treasurer. Investment earnings are also realized from monies held by fiscal agents for various purposes. Investment earnings are credited to the funds which provided them. The City uses a pooled cash investment strategy. Interest earnings on pooled cash are allocated back to the contributing funds based upon the respective cash balances in each fund at the end of each month. For budgeting purposes, only investment earning for major operating funds are estimated and reported in the budget document. However, most City Funds earn interest if and when they have positive cash balance. Actual earnings for a particular fund are a function of its average fund balance and current interest rates. Due to the fluctuation in interest rates, GASB 31 adjustments for market value, and actual cash balances, investment earnings can fluctuate from year to year.

Since FY 09-10, interest earnings have been declining along with the economy and declining cash balances. No improvements in interest rates or earnings are expected in FY14-15 or FY 15-16.

Rental receipts are collected by the City for the use of the miscellaneous properties. Facilities rentals are budgeted at a nominal level because they tend to fluctuate from year to year.

### *Sale of Property*

The receipts in these categories can vary from year-to-year and are only a minor source of financing for the City.

### *Miscellaneous Revenues*

In some years, these revenues are high due to refunds from the PERS retirement system, the City's insurance pool, unclaimed deposits, and litigation awards. However, such high revenues cannot be expected every year. For FY15-16, only nominal amounts have been budgeted for.

| <b>ESTIMATED REVENUES<br/>By Revenue Source</b> | <b>FY12-13<br/>\$ Actual</b> | <b>FY13-14<br/>\$ Actual</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>% of<br/>Budget</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Projection</b> | <b>%<br/>Change</b> |
|---|------------------------------|------------------------------|---------------------|------------------------------|---------------------------------|------------------------|---------------------|----------------------------------|---------------------|
| <b>6. OTHER REVENUES (Continued)</b>            |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Miscellaneous Revenues</b>                   |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Operating Contributions                         | 173,212                      | 127,922                      | -26.1%              | 130,000                      | 130,000                         | 100.0%                 | 1.6%                | 130,000                          | 0.0%                |
| Refunds   | 469,043                      | 838,298                      | 78.7%               | 795,000                      | 795,000                         | 100.0%                 | -5.2%               | 795,000                          | 0.0%                |
| Damage Reimbursements                           | 1,348                        | 17,986                       |                     | 7,000                        | 7,000                           | 100.0%                 | -61.1%              | 7,000                            | 0.0%                |
| Post Employment Benefits Receipts               | 174,847                      | 716,899                      | 310.0%              | 680,000                      | 700,000                         | 102.9%                 | -2.4%               | 750,000                          | 7.1%                |
| Miscellaneous Revenues                          | 33,199                       | 40,742                       | 22.7%               | 68,200                       | 71,500                          | 104.8%                 | 75.5%               | 67,200                           | -6.0%               |
| <b>Total for<br/>OTHER REVENUES</b>             | <b>2,635,195</b>             | <b>4,297,378</b>             | <b>63.1%</b>        | <b>4,003,900</b>             | <b>4,249,310</b>                | <b>106.1%</b>          | <b>-1.1%</b>        | <b>3,810,000</b>                 | <b>-10.3%</b>       |
| <b>7. OTHER FINANCING SOURCES</b>               |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| <b>Debt Proceeds</b>                            |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Bond Proceeds                                   | 0                            | 0                            |                     | 6,000,000                    | 0                               | SD Fees                |                     | 6,000,000                        | SD Fees             |
| Bond Proceeds                                   | 0                            | 0                            |                     | 14,000,000                   | 0                               | WW Bonds               |                     | 14,000,000                       |                     |
| Bond Proceeds                                   | 0                            | 18,647,932                   |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| Principal Repayment                             | 98,123                       | 61,877                       |                     | 25,000                       | 62,000                          |                        |                     | 62,000                           |                     |
| Note Proceeds                                   | 0                            | 247,841                      |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| <b>Lease Proceeds</b>                           |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Lease Proceeds                                  | 0                            | 948,743                      |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| <b>Capital Contributions</b>                    |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| CIP Contributions                               | 1,542,535                    | 6,989,189                    | 353.1%              | 6,300,000                    | 25,035,900                      | 397.4%                 |                     | 2,300,000                        | -90.8%              |
| <b>Total for<br/>OTHER FINANCING SOURCES</b>    | <b>1,640,658</b>             | <b>26,895,582</b>            |                     | <b>26,325,000</b>            | <b>25,097,900</b>               | <b>95.3%</b>           |                     | <b>22,362,000</b>                | <b>-10.9%</b>       |
| <b>TOTAL REVENUES</b>                           | <b>161,600,195</b>           | <b>186,297,256</b>           | <b>15.3%</b>        | <b>221,313,350</b>           | <b>266,886,110</b>              | <b>120.6%</b>          | <b>43.3%</b>        | <b>217,246,150</b>               | <b>-18.6%</b>       |
| <b>8. INTERFUND TRANSFERS</b>                   |                              |                              |                     |                              |                                 |                        |                     |                                  |                     |
| Operating Transfers                             | 1,933,930                    | 6,246,261                    | 223.0%              | 674,090                      | 0                               | -65.1%                 |                     | 699,130                          |                     |
| Capital Transfers                               | 17,918,468                   | 0                            |                     | 1,614,000                    | 10,314,000                      |                        |                     | 0                                | -100.0%             |
| Debt Transfers                                  | 1,575,000                    | 1,604,000                    | 1.8%                | 1,604,000                    | 1,604,000                       | 100.0%                 | 0.0%                | 1,622,020                        | 1.1%                |
| Loan & Loan Repayments                          | 0                            | 5,042,880                    |                     | 42,880                       | 42,880                          |                        |                     | 1,336,880                        | 3017.7%             |
| Equity Transfers                                | 0                            | 0                            |                     | 0                            | 0                               |                        |                     | 0                                |                     |
| <b>Total Transfers</b>                          | <b>21,427,398</b>            | <b>12,893,141</b>            | <b>-39.8%</b>       | <b>3,934,970</b>             | <b>11,960,880</b>               | <b>304.0%</b>          | <b>-7.2%</b>        | <b>3,658,030</b>                 | <b>-69.4%</b>       |
| <b>TOTAL REVENUES<br/>&amp; TRANSFERS</b>       | <b>183,027,593</b>           | <b>199,190,397</b>           | <b>8.8%</b>         | <b>225,248,320</b>           | <b>278,846,990</b>              | <b>123.8%</b>          | <b>40.0%</b>        | <b>220,904,180</b>               | <b>-20.8%</b>       |

## OTHER FINANCING SOURCES

This category provides for the receipt of monies borrowed from others to finance City activities and projects. These receipts are not revenues, strictly speaking, because they must be repaid at a later date. However, for the short term of a fiscal year, they must be accounted for as receipts for budgeting purposes. These sources can vary from year-to-year depending upon the City's needs. Various types of debt issues can be used, under certain rules, to finance capital improvement projects, while various lease arrangements can be used to finance the acquisition of equipment and other capital assets.

- In FY13-14, debt worth \$18,650,000 was issued to refinance previous debt issues.
- For FY13-14, a lease purchase for \$948,750 was made for 2 fire engines.
- For FY14-15, no debt issues are planned.
- For FY15-16, debt issues worth \$6,000,000 are budgeted as a contingency for improvements in the development areas. Any such debt issues would be paid off from special assessments or community facilities districts, and not the General Fund. Also, a debt issue worth \$14,000,000 for Wastewater bonds is anticipated for wastewater improvements. Minor receipts of principal repayments are anticipated from various housing loans.

## ***CIP Contributions***

These are contributions toward capital projects by developers or property owners. They may be cash advances before or supplementing other funding or in-kind contributions rather than cash. CIP deposits are budgeted to balance with CIP project cost estimates. The major figures reflect primarily the anticipated dedication of arterial improvements to the City by the developer of the Tracy Gateway project.

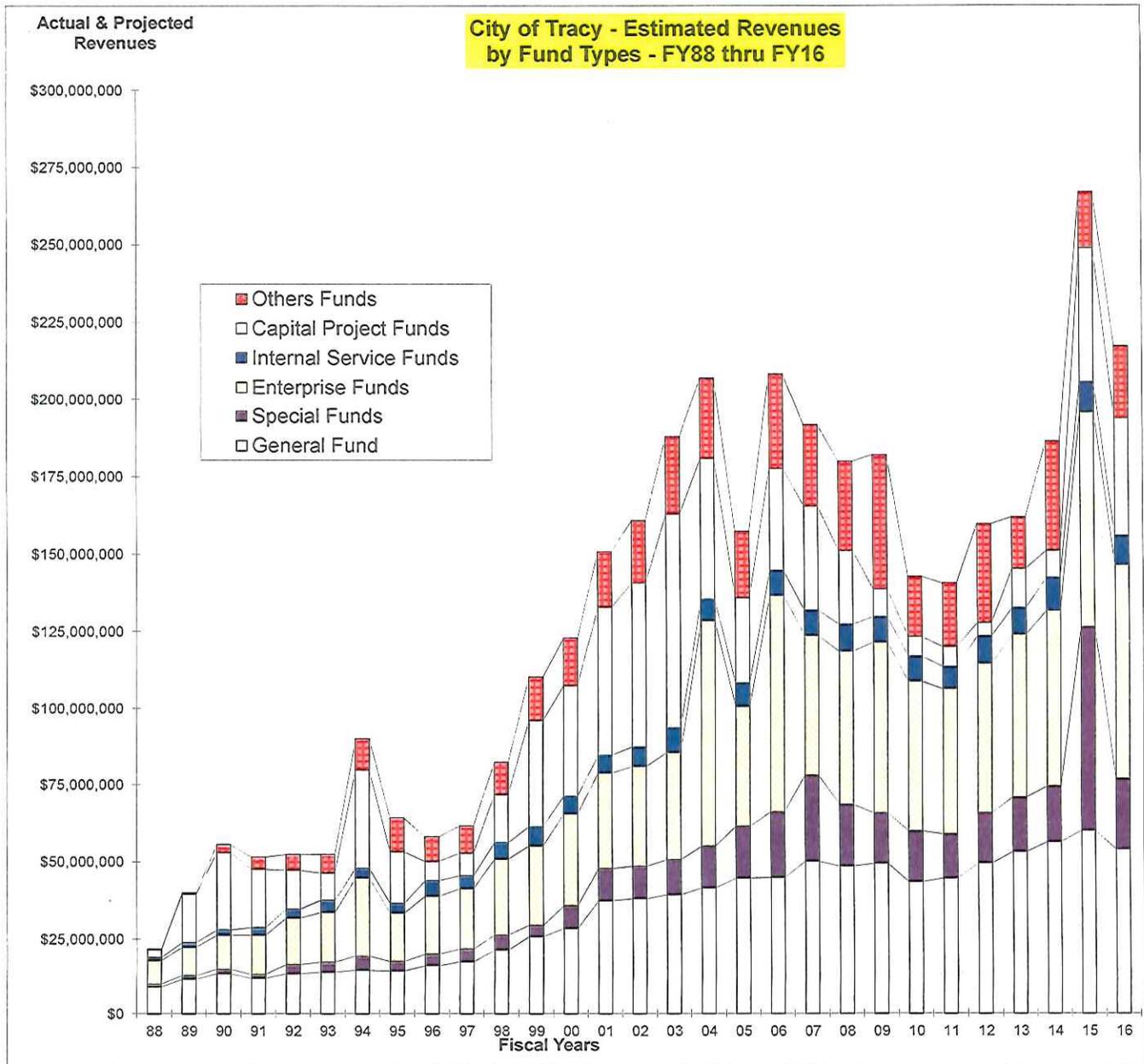
## INTERFUND TRANSFERS

This category accounts for the transfers of monies between different City funds. Interfund transfers do not represent any additional monies received by the City. But, they must be accounted for as receipts by the receiving funds for budgeting purposes. Transfers are made for debt service, capital project financing, agency support, and to closeout no longer needed funds. In addition, there are a number of interfund loans and repayments among different City funds.

Previously, the City indirect costs were treated as interfund transfers. But, they have now been reclassified as interfund reimbursements and are accounted for in the operating budget. Interfund transfers are determined by the Finance Department annually based on projected budgets. A detail listing of the transfers for FY14-15 and for FY15-16 is on page D24.

| INTERFUND TRANSFERS<br>By Fund & Purpose |                                | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected |
|--|--------------------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|
| Fund from                                | Fund to                        |                      |                      |                      |                       |                         |                         |
| <b>Operating Transfers</b>               |                                |                      |                      |                      |                       |                         |                         |
| 101 General                              | Economy Uncertainty Fund 299   | 0                    | 1,933,930            | 6,246,261            | 674,090               | 0                       | 0                       |
| 101 General                              | Community Development Fund 20x | 0                    | 0                    | 0                    | 0                     | 0                       | 669,130                 |
| 299 Economy Uncertainty                  | General Fund 101               | 52,330               | 0                    | 0                    | 0                     | 0                       | 0                       |
| Total                                    |                                | 52,330               | 1,933,930            | 6,246,261            | 674,090               | 0                       | 669,130                 |
| <b>Capital Transfers</b>                 |                                |                      |                      |                      |                       |                         |                         |
| 101 General                              | General Projects Fund 301      | 0                    | 0                    | 0                    | 0                     | 10,314,000              | 0                       |
| 299 Economy Uncertainty                  | General Projects Fund 301      | 0                    | 0                    | 0                    | 1,614,000             | 0                       | 0                       |
| 404 CDA Debt                             | CDA Housing Fund 281           | 871,833              | 0                    | 0                    | 0                     | 0                       | 0                       |
| AD & CFD Debt                            | General Projects Fund 301      | 2,565,712            | 1,518,468            | 0                    | 0                     | 0                       | 0                       |
| Total                                    |                                | 3,437,545            | 1,518,468            | 0                    | 1,614,000             | 10,314,000              | 0                       |
| <b>Debt Transfers</b>                    |                                |                      |                      |                      |                       |                         |                         |
| 101 General                              | Land COP Fund 402              | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |
| 101 General                              | 2008 Lease Rev Fund 408        | 889,000              | 893,000              | 924,900              | 924,900               | 924,900                 | 942,320                 |
| 101 General                              | 2007 Lease Rev Fund 407        | 284,000              | 282,000              | 279,100              | 279,100               | 279,100                 | 279,700                 |
| 495 CDA Debt                             | 2008 Lease Rev Fund 408        | 400,000              | 400,000              | 400,000              | 400,000               | 400,000                 | 400,000                 |
| Total                                    |                                | 1,573,000            | 1,575,000            | 1,604,000            | 1,604,000             | 1,604,000               | 1,622,020               |
| <b>Loans &amp; Loan Repayments</b>       |                                |                      |                      |                      |                       |                         |                         |
| 211 So Co Fire Authority                 | Repay to Gen Fund 101          | 250,000              | 0                    | 0                    | 0                     | 0                       | 0                       |
| 231 Asset Forefeiture                    | Vehicle Replacel Fund 606      | 0                    | 0                    | 22,000               | 22,000                | 22,000                  | 66,000                  |
| 395 CIP Deposits                         | Repay to Gen Fund 101          | 0                    | 0                    | 0                    | 0                     | 0                       | 1,250,000               |
| 513 Water >> Loan to                     | Airport Fund 563               | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |
| 521 Wastewater >> Loan to                | Water Fund 513                 | 0                    | 0                    | 5,000,000            | 0                     | 0                       | 0                       |
| 513 Water >> Loan to                     | Repay Wastewater Fund 521      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |
| 561 Airport                              | Repay Water Fund 513           | 20,000               | 0                    | 20,880               | 20,880                | 20,880                  | 20,880                  |
| Total                                    |                                | 270,000              | 0                    | 5,042,880            | 42,880                | 42,880                  | 1,336,880               |
| <b>Equity Transfers</b>                  |                                |                      |                      |                      |                       |                         |                         |
| 357 NE Indus Area #2                     | NE Indus Area Fund 351         | 0                    | 16,400,000           | 0                    | 0                     | 0                       | 0                       |
| 854 TOPJPA Rev Bd 2011A                  | CFD 99-2 Fund 838              | 10,358,958           | 0                    | 0                    | 0                     | 0                       | 0                       |
| Total                                    |                                | 10,358,958           | 16,400,000           | 0                    | 0                     | 0                       | 0                       |
| Total - All Funds                        |                                | 15,691,833           | 21,427,398           | 12,893,141           | 3,934,970             | 11,960,880              | 3,628,030               |

# ESTIMATED REVENUES BY FUNDS



| ESTIMATED REVENUES<br>By Fund        | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Projection | %<br>Change   |
|--------------------------------------|----------------------|----------------------|---------------|----------------------|-------------------------|----------------|---------------|--------------------------|---------------|
| <b>1. GENERAL FUND</b>               |                      |                      |               |                      |                         |                |               |                          |               |
| <b>101 - General Fund</b>            |                      |                      |               |                      |                         |                |               |                          |               |
| City Property Taxes                  | 14,828,444           | 15,699,554           | 5.9%          | 16,259,400           | 17,482,000              | 107.5%         | 11.4%         | 18,239,650               | 4.3%          |
| General Sales Tax                    | 14,084,197           | 15,527,566           | 10.2%         | 16,078,080           | 16,189,000              | 100.7%         | 4.3%          | 17,282,600               | 6.8%          |
| Temporary Tax - Measure E            | 6,469,067            | 7,194,407            | 11.2%         | 7,012,480            | 7,466,200               | 106.5%         | 3.8%          | 6,314,900                | -15.4%        |
| Transient Lodging Tax                | 786,827              | 974,314              | 23.8%         | 860,000              | 1,015,000               | 118.0%         | 4.2%          | 1,040,000                | 2.5%          |
| Business License Tax                 | 603,399              | 614,505              | 1.8%          | 640,000              | 672,300                 | 105.0%         | 9.4%          | 690,000                  | 2.6%          |
| Documentary Transfer Tax             | 362,793              | 271,761              | -25.1%        | 250,000              | 320,000                 | 128.0%         | 17.8%         | 340,000                  | 6.3%          |
| <b>Sub-total for Taxes</b>           | <b>37,134,727</b>    | <b>40,282,107</b>    | <b>8.5%</b>   | <b>41,099,960</b>    | <b>43,144,500</b>       | <b>105.0%</b>  | <b>7.1%</b>   | <b>43,907,150</b>        | <b>1.8%</b>   |
| Operating Assessments                | 363,535              | 370,643              | 2.0%          | 380,000              | 381,000                 | 100.3%         | 2.8%          | 385,000                  | 1.0%          |
| <b>Sub-total for Oper Assmts</b>     | <b>363,535</b>       | <b>370,643</b>       | <b>2.0%</b>   | <b>380,000</b>       | <b>381,000</b>          | <b>100.3%</b>  | <b>2.8%</b>   | <b>385,000</b>           | <b>1.0%</b>   |
| Bldg & Construction Permits          | 759,026              | 1,091,441            | 43.8%         | 1,309,680            | 1,595,800               | 121.8%         | 46.2%         | 0                        | -100.0%       |
| Special Licenses                     | 117,012              | 128,979              | 10.2%         | 120,600              | 128,500                 | 106.6%         | -0.4%         | 131,600                  | 2.4%          |
| Franchise Fees                       | 2,499,946            | 2,593,225            | 3.7%          | 2,616,000            | 2,658,690               | 101.6%         | 2.5%          | 2,704,000                | 1.7%          |
| <b>Sub-total for LP&amp;F Fees</b>   | <b>3,375,984</b>     | <b>3,813,645</b>     | <b>13.0%</b>  | <b>4,046,280</b>     | <b>4,382,990</b>        | <b>108.3%</b>  | <b>14.9%</b>  | <b>2,835,600</b>         | <b>-35.3%</b> |
| State Shared Taxes                   | 549,539              | 564,403              | 2.7%          | 563,000              | 587,900                 | 104.4%         | 4.2%          | 600,100                  | 2.1%          |
| State Grants & Reimbursements        | 314,307              | 577,996              | 83.9%         | 307,220              | 405,600                 | 132.0%         | -29.8%        | 329,820                  | -18.7%        |
| County Grants & Reimbursements       | 888,978              | 119,904              | -86.5%        | 40,000               | 38,500                  | 96.3%          | -67.9%        | 40,000                   | 3.9%          |
| Other Grants                         | 449,549              | 270,753              | -39.8%        | 260,030              | 264,200                 | 101.6%         | -2.4%         | 266,000                  | 0.7%          |
| <b>Sub-total for IG Revenues</b>     | <b>2,202,373</b>     | <b>1,533,056</b>     | <b>-30.4%</b> | <b>1,170,250</b>     | <b>1,296,200</b>        | <b>110.8%</b>  | <b>-15.4%</b> | <b>1,235,920</b>         | <b>-4.7%</b>  |
| General Government Charges           | 622,502              | 341,249              | -45.2%        | 412,500              | 339,000                 | 82.2%          | -0.7%         | 340,000                  | 0.3%          |
| Public Safety Charges                | 151,002              | 173,144              | 14.7%         | 163,540              | 166,050                 | 101.5%         | -4.1%         | 166,500                  | 0.3%          |
| Engineering Charges                  | 585,271              | 897,977              | 53.4%         | 2,008,200            | 1,673,500               | 83.3%          | 86.4%         | 0                        | -100.0%       |
| Planning & Zoning Charges            | 563,601              | 248,895              | -55.8%        | 253,000              | 281,300                 | 111.2%         | 13.0%         | 0                        | -100.0%       |
| Building Regulation Fees             | 554,295              | 806,403              | 45.5%         | 800,000              | 900,000                 | 112.5%         | 11.6%         | 0                        | -100.0%       |
| Parks & Recreation Charges           | 873,800              | 836,316              | -4.3%         | 903,550              | 773,020                 | 85.6%          | -7.6%         | 792,350                  | 2.5%          |
| Cultural Arts Charges                | 303,735              | 262,685              | -13.5%        | 372,660              | 411,660                 | 110.5%         | 56.7%         | 416,510                  | 1.2%          |
| CIP Project Charges                  | 5,276,062            | 5,022,240            | -4.8%         | 5,160,600            | 5,160,600               | 100.0%         | 2.8%          | 2,710,000                | -47.5%        |
| <b>Sub-total for Current Charges</b> | <b>8,930,268</b>     | <b>8,588,909</b>     | <b>-3.8%</b>  | <b>10,074,050</b>    | <b>9,705,130</b>        | <b>96.3%</b>   | <b>13.0%</b>  | <b>4,425,360</b>         | <b>-54.4%</b> |
| Fines & Forfeitures                  | 566,473              | 790,326              | 39.5%         | 919,200              | 167,000                 | 18.2%          | -78.9%        | 178,000                  | 6.6%          |
| Investment Earnings                  | 163,502              | 660,991              | 304.3%        | 239,000              | 669,200                 | 280.0%         | 1.2%          | 679,800                  | 1.6%          |
| Rents & Concessions                  | 206,912              | 171,267              | -17.2%        | 210,000              | 175,000                 | 83.3%          | 2.2%          | 180,000                  | 2.9%          |
| Sale of Property                     | 0                    | 0                    |               | 2,000                | 1,000                   | 50.0%          |               | 2,000                    | 100.0%        |
| Non-Governmental Contributions       | 173,212              | 127,922              | -26.1%        | 130,000              | 130,000                 | 100.0%         | 1.6%          | 130,000                  | 0.0%          |
| Miscellaneous Revenues               | 21,273               | 28,699               | 34.9%         | 30,000               | 30,000                  | 100.0%         | 4.5%          | 30,000                   | 0.0%          |
| <b>Sub-total for Other Revenues</b>  | <b>1,131,372</b>     | <b>1,779,205</b>     | <b>57.3%</b>  | <b>1,530,200</b>     | <b>1,172,200</b>        | <b>76.6%</b>   | <b>-34.1%</b> | <b>1,199,800</b>         | <b>2.4%</b>   |
| (Continued)                          |                      |                      |               |                      |                         |                |               |                          |               |

| ESTIMATED REVENUES<br>By Fund                  | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Projection | %<br>Change |
|--|----------------------|----------------------|-------------|----------------------|-------------------------|----------------|-------------|--------------------------|-------------|
| <b>101 - General Fund (Continued)</b>          |                      |                      |             |                      |                         |                |             |                          |             |
| <b>Total Revenues</b>                          | 53,138,259           | 56,367,565           | 6.1%        | 58,300,740           | 60,082,020              | 103.1%         | 6.6%        | 53,988,830               | -10.1%      |
| Contributions                                  | 0                    | 0                    |             | 0                    | 0                       |                |             | 0                        |             |
| Interfund Transfers                            | 0                    | 0                    |             | 0                    | 0                       |                |             | 1,250,000                |             |
| Total of Other Financing Sources               | 0                    | 0                    |             | 0                    | 0                       |                |             | 1,250,000                |             |
| <b>Total for General Fund 101</b>              | 53,138,259           | 56,367,565           | 6.1%        | 58,300,740           | 60,082,020              | 103.1%         | 6.6%        | 55,238,830               | -8.1%       |
| <b>2. SPECIAL REVENUE FUNDS</b>                |                      |                      |             |                      |                         |                |             |                          |             |
| <b>20X - Community Development</b>             |                      |                      |             |                      |                         |                |             |                          |             |
| Planning & Zoning Charges                      | 0                    | 0                    |             | 0                    | 0                       |                |             | 282,000                  |             |
| Building Fees                                  | 0                    | 0                    |             | 0                    | 0                       |                |             | 2,422,000                |             |
| Engineering Charges                            | 0                    | 0                    |             | 0                    | 0                       |                |             | 2,144,300                |             |
| CIP Project Charges                            | 0                    | 0                    |             | 0                    | 0                       |                |             | 2,450,600                |             |
| Interfund Transfers                            | 0                    | 0                    |             | 0                    | 0                       |                |             | 699,130                  |             |
|  | 0                    | 0                    |             | 0                    | 0                       |                |             | 7,998,030                |             |
| <b>211 - South County Fire Authority</b>       |                      |                      |             |                      |                         |                |             |                          |             |
| TRFD & MHCS D Proceeds                         | 6,611,806            | 6,449,926            | -2.4%       | 6,358,500            | 6,358,500               | 100.0%         | -1.4%       | 5,463,600                | -14.1%      |
| Grant Revenues                                 | 190,895              | 232,764              | 21.9%       | 206,000              | 436,850                 | 212.1%         | 87.7%       | 612,000                  | 40.1%       |
| Fee Revenues                                   | 216,620              | 250,666              | 15.7%       | 211,950              | 228,260                 | 107.7%         | -8.9%       | 223,550                  | -2.1%       |
| Fund Total                                     | 7,019,321            | 6,933,356            | -1.2%       | 6,776,450            | 7,023,610               | 103.6%         | 1.3%        | 6,299,150                | -10.3%      |
| <b>221 - Downtown Improvement District</b>     |                      |                      |             |                      |                         |                |             |                          |             |
| Downtown Business Assessments                  | 113,979              | 113,979              | 0.0%        | 117,150              | 113,980                 | 97.3%          | 0.0%        | 114,000                  | 0.0%        |
| <b>231 - Asset Forfeiture</b>                  |                      |                      |             |                      |                         |                |             |                          |             |
| Narcotics Enforcement Forfeitures              | 1,556                | 72,663               |             | 15,000               | 165,000                 | 1100.0%        |             | 50,000                   | -69.7%      |
| <b>241 - Transportation Development Act</b>    |                      |                      |             |                      |                         |                |             |                          |             |
| Transp Devel Tax - Streets                     | 2,227,754            | 2,324,814            | 4.4%        | 1,620,000            | 1,587,880               | 98.0%          | -31.7%      | 1,620,000                | 2.0%        |
| <b>242 - Transportation Sales Tax</b>          |                      |                      |             |                      |                         |                |             |                          |             |
| Transp Sales Tax - Prop K                      | 1,140,020            | 1,197,481            | 5.0%        | 1,175,000            | 1,200,000               | 102.1%         | 0.2%        | 1,240,000                | 3.3%        |
| COG - Prop K Reimbursement                     | 0                    | 0                    |             | 0                    | 905,300                 |                |             | 0                        |             |
| <b>244 - State Prop 1B Road Bonds</b>          |                      |                      |             |                      |                         |                |             |                          |             |
| State Grants                                   | 0                    | -19,651              |             | 0                    | 0                       |                |             | 0                        |             |
| <b>24x - Gas Taxes</b>                         |                      |                      |             |                      |                         |                |             |                          |             |
| Gasoline Taxes                                 | 1,887,125            | 2,614,154            | 38.5%       | 2,101,380            | 2,418,390               | 115.1%         | -7.5%       | 1,800,320                | -25.6%      |
| <b>261 - ISTE A Grants</b>                     |                      |                      |             |                      |                         |                |             |                          |             |
| Federal Highway Grants                         | 2,113,739            | 1,395,887            | -34.0%      | 39,792,490           | 48,326,400              | 121.4%         |             | 966,000                  | -98.0%      |
| <b>26x - Community Development Block Grant</b> |                      |                      |             |                      |                         |                |             |                          |             |
| CDBG Allocation                                | 302,611              | 561,313              | 85.5%       | 523,400              | 944,100                 | 180.4%         | 68.2%       | 523,400                  | -44.6%      |
| <b>271 - Landscaping Districts</b>             |                      |                      |             |                      |                         |                |             |                          |             |
| Landscape District Fees                        | 2,637,437            | 2,658,230            | 0.8%        | 2,650,700            | 2,711,000               | 102.3%         | 2.0%        | 2,790,000                | 2.9%        |
| Investment Earnings                            | 50,000               | 37,927               | -24.1%      | 19,000               | 38,000                  | 200.0%         | 0.2%        | 40,000                   | 5.3%        |
| Fund Total                                     | 2,687,437            | 2,696,157            | 0.3%        | 2,669,700            | 2,749,000               | 103.0%         | 2.0%        | 2,830,000                | 2.9%        |

| ESTIMATED REVENUES<br>By Fund                                  | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Projection | %<br>Change   |
|--|----------------------|----------------------|---------------|----------------------|-------------------------|----------------|---------------|--------------------------|---------------|
| <b>2. SPECIAL REVENUE FUNDS (Continued)</b>                    |                      |                      |               |                      |                         |                |               |                          |               |
| 28x - CDA Housing & Successor<br>CDA Property Taxes (20%)      | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Debt Proceeds & Others   | 98,123               | 61,877               | -36.9%        | 25,000               | 62,000                  | 248.0%         | 0.2%          | 62,000                   | 0.0%          |
| Investment Earnings  | 11,625               | 5,518                | -52.5%        | 500                  | 5,000                   | 1000.0%        | -9.4%         | 5,000                    | 0.0%          |
| <b>Fund Total</b>  | <b>109,748</b>       | <b>67,395</b>        | <b>-38.6%</b> | <b>25,500</b>        | <b>67,000</b>           | <b>262.7%</b>  | <b>-0.6%</b>  | <b>67,000</b>            | <b>0.0%</b>   |
| 295 - Cable TV<br>CTV Franchise Fees                           | 188,225              | 194,424              | 3.3%          | 193,500              | 197,500                 | 102.1%         | 1.6%          | 200,500                  | 1.5%          |
| 299 - Economic Uncertainty<br>Investment Earnings              | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Interfund Transfers  | 1,933,930            | 6,246,261            |               | 674,090              | 0                       | 0.0%           |               | 0                        |               |
| <b>Total for<br/>Special Revenue Funds</b>                     | <b>19,725,445</b>    | <b>24,398,233</b>    | <b>23.7%</b>  | <b>55,683,660</b>    | <b>65,698,160</b>       | <b>118.0%</b>  | <b>169.3%</b> | <b>23,708,400</b>        | <b>-63.9%</b> |
| <b>3. CAPITAL PROJECTS FUNDS</b>                               |                      |                      |               |                      |                         |                |               |                          |               |
| 301 - General Projects<br>Interfund Transfers                  | 1,518,468            | 0                    | -100.0%       | 1,614,000            | 10,314,000              | 639.0%         |               | 0                        |               |
| Grants & Other Revenues  | 1,035,613            | 346,966              | -66.5%        | 453,100              | 521,100                 | 115.0%         |               | 750,000                  | 43.9%         |
| 311 - Infill Parks<br>Capital Development Fees                 | 0                    | 5,429                |               | 25,000               | 217,200                 | 868.8%         |               | 692,200                  | 218.7%        |
| 312 - Infill Drainage<br>Capital Development Fees              | 14,731               | 7,682                |               | 42,000               | 61,400                  | 146.2%         | 699.3%        | 186,400                  | 203.6%        |
| 313 - Infill Arterials<br>Capital Development Fees             | 33,820               | 29,354               |               | 110,000              | 117,800                 | 107.1%         | 301.3%        | 357,000                  | 203.1%        |
| 314 - Infill Buildings & Equipment<br>Capital Development Fees | 3,047                | 4,519                |               | 45,000               | 134,700                 | 299.3%         |               | 425,600                  | 216.0%        |
| 316 - Downtown Improvements<br>Capital Development Fees        | 3,212                | 0                    |               | 2,400                | 3,500                   | 145.8%         |               | 2,400                    |               |
| 318 - Redevelopment Obligations<br>Interfund Transfers         | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| Grants & Other Revenues  | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| 321 - Plan "C" Parks<br>Capital Development Fees               | 0                    | 87,388               |               | 0                    | 90,000                  |                |               | 0                        |               |
| 322 - Plan "C" Drainage<br>Capital Development Fees            | 0                    | 0                    |               | 0                    | 40,000                  |                |               | 0                        |               |
| 323 - Plan "C" Arterials<br>Capital Development Fees           | 0                    | 148,638              |               | 0                    | 200,000                 |                |               | 0                        |               |
| 324 - Plan "C" General<br>Capital Development Fees             | 0                    | 78,316               |               | 0                    | 145,000                 |                |               | 0                        |               |
| 325 - Plan "C" Utilities<br>Capital Development Fees           | 0                    | 194,726              |               | 0                    | 320,000                 |                |               | 0                        |               |
| 345 - RSP Program Management<br>Capital Development Fees       | 0                    | 0                    |               | 0                    | 420,260                 |                |               | 0                        |               |
| (Continued)  |                      |                      |               |                      |                         |                |               |                          |               |

| ESTIMATED REVENUES<br>By Fund                   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Projection | %<br>Change   |
|---|----------------------|----------------------|---------------|----------------------|-------------------------|----------------|---------------|--------------------------|---------------|
| <b>3. CAPITAL PROJECTS FUNDS (Continued)</b>    |                      |                      |               |                      |                         |                |               |                          |               |
| 351 - Northeast Industrial Area #1              |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 277,330              | 300,000              |               | 260,000              | 260,000                 | 100.0%         | -13.3%        | 260,000                  | 0.0%          |
| Interfund Transfers                             | 16,400,000           | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| 352 - South MacArthur Planning Area             |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 1,879,920            | 986,958              |               | 200,000              | 200,000                 | 100.0%         | -79.7%        | 200,000                  | 0.0%          |
| 353 - I-205 Area Development                    |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 67,572               | 0                    |               | 200,000              | 30,000                  | 15.0%          |               | 200,000                  | 566.7%        |
| 354 - Industrial SP, South                      |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 6,084                | 1,266                |               | 80,000               | 20,000                  | 25.0%          |               | 80,000                   | 300.0%        |
| 355 - Presidio Planning Area                    |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 0                       |                |               | 0                        |               |
| 356 - Tracy Gateway Area                        |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 100,000              | 10,000                  | 10.0%          |               | 100,000                  | 900.0%        |
| 357 - Northeast Industrial Area #2              |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 8,066,638            | 2,593,805            |               | 200,000              | 200,000                 | 100.0%         | -92.3%        | 200,000                  | 0.0%          |
| 358 - Ellis Area                                |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 1,710,700               |                |               | 3,421,400                | 100.0%        |
| 361 - TIMP - Parks                              |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 440,900                 |                |               | 1,580,700                | 258.5%        |
| 362 - TIMP - Storm Drainage                     |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 1,192,900               |                |               | 1,810,700                | 51.8%         |
| 363 - TIMP - Traffic                            |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 8,085,900               |                |               | 10,582,800               | 30.9%         |
| 364 - TIMP - Wastewater                         |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 3,659,400               |                |               | 5,622,430                | 53.6%         |
| 365 - TIMP - Water                              |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 5,077,100               |                |               | 7,774,300                | 53.1%         |
| 366 - TIMP - Public Facilities                  |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 204,200                 |                |               | 662,500                  | 224.4%        |
| 367 - TIMP - Public Safety                      |                      |                      |               |                      |                         |                |               |                          |               |
| Capital Development Fees                        | 0                    | 0                    |               | 0                    | 249,000                 |                |               | 520,600                  | 109.1%        |
| 391 - UMP Facilities                            |                      |                      |               |                      |                         |                |               |                          |               |
| CIP Contributions                               | 1,279,666            | 4,016,668            |               | 810,000              | 9,300,900               |                | 131.6%        | 1,560,000                | -83.2%        |
| 395 - CIP Deposits                              |                      |                      |               |                      |                         |                |               |                          |               |
| CIP Contributions                               | 40,000               | 0                    |               | 0                    | 10,200,000              |                |               | 1,250,000                | -87.7%        |
| <b>Total for<br/>    Capital Projects Funds</b> | <b>30,626,101</b>    | <b>8,801,715</b>     | <b>-71.3%</b> | <b>4,141,500</b>     | <b>53,425,960</b>       | <b>1290.0%</b> | <b>507.0%</b> | <b>38,239,030</b>        | <b>-28.4%</b> |
| <b>4. DEBT SERVICE FUNDS</b>                    |                      |                      |               |                      |                         |                |               |                          |               |
| 407 - 2007 Lease Revenue Bonds                  |                      |                      |               |                      |                         |                |               |                          |               |
| Debt Transfer                                   | 282,000              | 279,100              | -1.0%         | 279,100              | 279,100                 | 100.0%         | 0.0%          | 279,700                  | 0.2%          |
| 408 - 2008 Lease Revenue Bonds                  |                      |                      |               |                      |                         |                |               |                          |               |
| Debt Transfer                                   | 1,293,000            | 1,324,900            | 2.5%          | 1,324,900            | 1,324,900               | 100.0%         | 0.0%          | 1,342,320                | 1.3%          |
| 495 - Successor Agency to CDA                   |                      |                      |               |                      |                         |                |               |                          |               |
| CDA Tax Increment                               | 4,346,950            | 4,083,025            | -6.1%         | 4,000,000            | 4,100,000               | 102.5%         | 0.4%          | 4,300,000                | 4.9%          |
| <b>Total for Debt Service Funds</b>             | <b>5,921,950</b>     | <b>5,687,025</b>     | <b>-4.0%</b>  | <b>5,604,000</b>     | <b>5,704,000</b>        | <b>101.8%</b>  | <b>0.3%</b>   | <b>5,922,020</b>         | <b>3.8%</b>   |

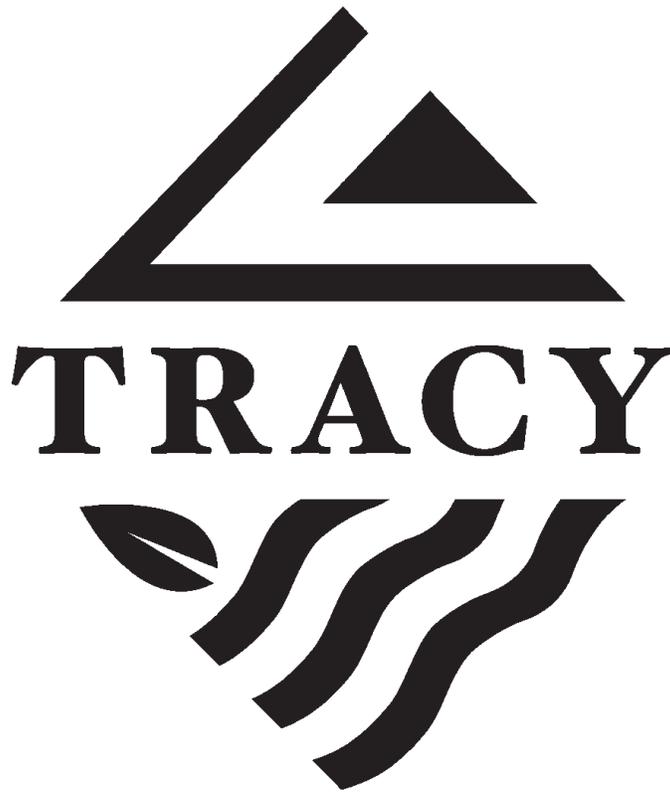
| ESTIMATED REVENUES<br>By Fund           | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change  | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Projection | %<br>Change   |
|---|----------------------|----------------------|--------------|----------------------|-------------------------|----------------|---------------|--------------------------|---------------|
| <b>5. ENTERPRISE FUNDS</b>              |                      |                      |              |                      |                         |                |               |                          |               |
| <b>511 &amp; 513 - Water Funds</b>      |                      |                      |              |                      |                         |                |               |                          |               |
| Operating Charges                       | 15,420,732           | 15,789,372           | 2.4%         | 15,688,000           | 16,388,800              | 104.5%         | 3.8%          | 15,895,000               | -3.0%         |
| Capital Development Fees                | 16,189               | 20,130               | 24.3%        | 20,000               | 238,700                 | 1193.5%        |               | 750,530                  | 214.4%        |
| Investment Earnings                     | 65,880               | 14,153               | -78.5%       | 125,000              | 15,000                  | 12.0%          | 6.0%          | 20,000                   | 33.3%         |
| Fiscal Agent Earnings                   | 0                    | 0                    |              | 0                    | 0                       |                |               | 0                        |               |
| Miscellaneous Revenues                  | 236,128              | 249,538              | 5.7%         | 245,000              | 205,000                 | 83.7%          | -17.8%        | 205,000                  | 0.0%          |
| Loan Repayments                         | 0                    | 20,880               |              | 20,880               | 20,880                  | 100.0%         |               | 20,880                   |               |
| Grants                                  | 0                    | 2,640,483            |              | 0                    | 0                       |                |               | 0                        |               |
| Debt Proceeds                           | 0                    | 5,000,000            |              | 0                    | 0                       |                |               | 0                        |               |
| <b>Fund Total</b>                       | <b>15,738,929</b>    | <b>23,734,556</b>    | <b>50.8%</b> | <b>16,098,880</b>    | <b>16,868,380</b>       | <b>104.8%</b>  | <b>-28.9%</b> | <b>16,891,410</b>        | <b>0.1%</b>   |
| <b>521 &amp; 523 - Wastewater Funds</b> |                      |                      |              |                      |                         |                |               |                          |               |
| Operating Charges                       | 11,893,813           | 12,864,003           | 8.2%         | 12,530,000           | 13,084,000              | 104.4%         | 1.7%          | 13,347,000               | 2.0%          |
| Capital Development Fees                | 185,369              | 22,733               | -87.7%       | 6,030,000            | 6,385,100               | 105.9%         |               | 1,207,100                | -81.1%        |
| Investment Earnings                     | 25,000               | 26,823               | 7.3%         | 100,000              | 27,000                  | 27.0%          | 0.7%          | 30,000                   | 11.1%         |
| Fiscal Agent Earnings                   | 2,502                | 2,242                | -10.4%       | 3,000                | 2,500                   | 83.3%          | 11.5%         | 3,000                    | 20.0%         |
| Miscellaneous Revenues                  | 150,189              | 143,500              | -4.5%        | 165,000              | 335,000                 | 203.0%         | 133.4%        | 340,000                  | 1.5%          |
| Debt Proceeds                           | 0                    | 0                    |              | 14,000,000           | 0                       | 0.0%           |               | 14,000,000               |               |
| <b>Fund Total</b>                       | <b>12,256,873</b>    | <b>13,059,301</b>    | <b>6.5%</b>  | <b>32,828,000</b>    | <b>19,833,600</b>       | <b>60.4%</b>   | <b>51.9%</b>  | <b>28,927,100</b>        | <b>45.8%</b>  |
| <b>53X - Solid Waste Funds</b>          |                      |                      |              |                      |                         |                |               |                          |               |
| Operating Charges                       | 20,269,537           | 21,310,723           | 5.1%         | 19,560,000           | 21,842,400              | 111.7%         | 2.5%          | 18,158,200               | -16.9%        |
| Investment Earnings                     | 7,254                | 19,216               | 164.9%       | 20,000               | 20,000                  | 100.0%         | 4.1%          | 20,000                   | 0.0%          |
| Fiscal Agent Earnings                   | 19,746               | 12,644               | -36.0%       | 20,000               | 13,000                  | 65.0%          | 2.8%          | 13,000                   | 0.0%          |
| Miscellaneous Revenues                  | 208,288              | 317,757              | 52.6%        | 213,000              | 262,000                 | 123.0%         | -17.5%        | 263,000                  | 0.4%          |
| <b>Fund Total</b>                       | <b>20,504,825</b>    | <b>21,660,340</b>    | <b>5.6%</b>  | <b>19,813,000</b>    | <b>22,137,400</b>       | <b>111.7%</b>  | <b>2.2%</b>   | <b>18,454,200</b>        | <b>-16.6%</b> |
| <b>541 - Drainage Enterprise Fund</b>   |                      |                      |              |                      |                         |                |               |                          |               |
| Operating Charges                       | 563,773              | 546,908              | -3.0%        | 580,000              | 562,300                 | 96.9%          | 2.8%          | 580,000                  | 3.1%          |
| Investment Earnings                     | 0                    | 1,773                |              | 5,000                | 1,850                   | 37.0%          |               | 2,000                    | 8.1%          |
| Miscellaneous Revenues                  | 0                    | 0                    |              | 1,000                | 10,000                  | 1000.0%        |               | 10,000                   | 0.0%          |
| <b>Fund Total</b>                       | <b>563,773</b>       | <b>548,681</b>       | <b>-2.7%</b> | <b>586,000</b>       | <b>574,150</b>          | <b>98.0%</b>   | <b>4.6%</b>   | <b>592,000</b>           | <b>3.1%</b>   |
| <b>561 &amp; 563 - Airport Funds</b>    |                      |                      |              |                      |                         |                |               |                          |               |
| Operating Charges                       | 319,964              | 394,933              | 23.4%        | 891,840              | 542,800                 | 60.9%          | 37.4%         | 347,500                  | -36.0%        |
| Airport Grants                          | 335,039              | 420,149              | 25.4%        | 20,000               | 7,633,800               |                |               | 120,000                  | -98.4%        |
| Investment Earnings                     | 0                    | 0                    |              | 500                  | 500                     | 100.0%         |               | 500                      | 0.0%          |
| Miscellaneous Revenues                  | 2,300                | 0                    | -100.0%      | 4,000                | 1,000                   | 25.0%          |               | 1,000                    | 0.0%          |
| <b>Fund Total</b>                       | <b>657,303</b>       | <b>815,082</b>       | <b>24.0%</b> | <b>916,340</b>       | <b>8,178,100</b>        | <b>892.5%</b>  |               | <b>469,000</b>           | <b>-94.3%</b> |

(Continued)

| ESTIMATED REVENUES<br>By Fund                     | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change  | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change  | FY15-16<br>\$ Projection | %<br>Change  |
|---|----------------------|----------------------|--------------|----------------------|-------------------------|----------------|--------------|--------------------------|--------------|
| <b>5. ENTERPRISE FUNDS (Continued)</b>            |                      |                      |              |                      |                         |                |              |                          |              |
| 571 & 573 - Transit Funds                         |                      |                      |              |                      |                         |                |              |                          |              |
| Transp Devel Tax - Transit                        | 627,497              | 712,336              | 13.5%        | 1,252,000            | 723,560                 | 57.8%          | 1.6%         | 1,401,370                | 93.7%        |
| Operating Grants                                  | 949,610              | 831,129              | -12.5%       | 910,000              | 1,175,880               | 129.2%         | 41.5%        | 1,096,570                | -6.7%        |
| Capital Grant                                     | 1,162,339            | 285,122              | -75.5%       | 0                    | 295,710                 |                | 3.7%         | 1,140,000                | 285.5%       |
| Operating Fares & Sales                           | 81,420               | 106,063              | 30.3%        | 87,250               | 112,100                 | 128.5%         | 5.7%         | 107,600                  | -4.0%        |
| Investment Earnings                               | 0                    | 2,359                |              | 500                  | 500                     | 100.0%         |              | 500                      | 0.0%         |
| Miscellaneous Revenues                            | 36,959               | 49,872               | 34.9%        | 40,600               | 41,000                  | 101.0%         | -17.8%       | 40,600                   | -1.0%        |
| Fund Total  | 2,857,825            | 1,986,881            | -30.5%       | 2,290,350            | 2,348,750               | 102.5%         | 18.2%        | 3,786,640                | 61.2%        |
| <b>Total for Enterprise Funds</b>                 | <b>52,579,528</b>    | <b>61,804,841</b>    | <b>17.5%</b> | <b>72,532,570</b>    | <b>69,940,380</b>       | <b>96.4%</b>   | <b>13.2%</b> | <b>69,120,350</b>        | <b>-1.2%</b> |
| <b>6. INTERNAL SERVICE FUNDS</b>                  |                      |                      |              |                      |                         |                |              |                          |              |
| 601 - Central Garage Fund                         |                      |                      |              |                      |                         |                |              |                          |              |
| Fuel & VM Charges                                 | 1,498,961            | 1,449,594            | -3.3%        | 1,544,500            | 1,257,700               | 81.4%          | -13.2%       | 1,434,670                | 14.1%        |
| Lease Proceeds/IF Transfers                       | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |              |
| Investment Earnings                               | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |              |
| Miscellaneous Revenues                            | 0                    | 3,824                |              | 600                  | 400                     | 66.7%          |              | 600                      | 50.0%        |
| Fund Total  | 1,498,961            | 1,453,418            | -3.0%        | 1,545,100            | 1,258,100               | 81.4%          | -13.4%       | 1,435,270                | 14.1%        |
| 602 - Central Services Fund                       |                      |                      |              |                      |                         |                |              |                          |              |
| Central Services Charges                          | 78,407               | 89,701               | 14.4%        | 78,000               | 77,600                  | 99.5%          | -13.5%       | 90,000                   | 16.0%        |
| Information Systems Charges                       | 991,520              | 1,043,400            | 5.2%         | 1,434,350            | 1,459,470               | 101.8%         | 39.9%        | 1,415,270                | -3.0%        |
| TeleComm Systems Charges                          | 263,560              | 275,000              | 4.3%         | 300,000              | 300,000                 | 100.0%         | 9.1%         | 310,000                  | 3.3%         |
| Investment Earnings                               | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |              |
| Miscellaneous Revenues                            | 481                  | 9,130                |              | 1,000                | 700                     | 70.0%          | -92.3%       | 1,000                    | 42.9%        |
| Fund Total  | 1,333,968            | 1,417,231            | 6.2%         | 1,813,350            | 1,837,770               | 101.3%         | 29.7%        | 1,816,270                | -1.2%        |
| 605 & 606 - Equipment & Vehicle Acquisition Funds |                      |                      |              |                      |                         |                |              |                          |              |
| Equipment Charges                                 | 1,179,280            | 1,796,400            | 52.3%        | 1,831,600            | 1,830,400               | 99.9%          | 1.9%         | 1,530,080                | -16.4%       |
| Investment Earnings                               | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |              |
| Miscellaneous Revenues                            | 42,816               | 9,604                | -77.6%       | 2,000                | 700                     | 35.0%          | -92.7%       | 2,000                    | 185.7%       |
| Lease Proceeds/IF Transfers                       | 0                    | 970,743              |              | 22,000               | 22,000                  |                |              | 66,000                   | 200.0%       |
| Fund Total  | 1,222,096            | 2,776,747            | 127.2%       | 1,855,600            | 1,853,100               | 99.9%          | -33.3%       | 1,598,080                | -13.8%       |
| 615 - Building Maintenance Fund                   |                      |                      |              |                      |                         |                |              |                          |              |
| Building Maintenance Charges                      | 771,936              | 801,442              | 3.8%         | 898,100              | 771,800                 | 85.9%          | -3.7%        | 725,000                  | -6.1%        |
| Investment Earnings                               | 0                    | 0                    |              | 0                    | 0                       |                |              | 0                        |              |
| Miscellaneous Revenues                            | 63                   | 0                    |              | 1,000                | 700                     | 70.0%          |              | 1,000                    |              |
| Fund Total  | 771,999              | 801,442              | 3.8%         | 899,100              | 772,500                 | 85.9%          | -3.6%        | 726,000                  | -6.0%        |
| (Continued)                                       |                      |                      |              |                      |                         |                |              |                          |              |

| ESTIMATED REVENUES<br>By Fund                         | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Budget | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Projection | %<br>Change |
|---|----------------------|----------------------|-------------|----------------------|-------------------------|----------------|-------------|--------------------------|-------------|
| <b>6. INTERNAL SERVICE FUNDS (Continued)</b>          |                      |                      |             |                      |                         |                |             |                          |             |
| 627 - Self-Insurance Fund                             |                      |                      |             |                      |                         |                |             |                          |             |
| Self-Insurance Charges                                | 3,383,408            | 3,389,196            | 0.2%        | 3,296,900            | 3,468,400               | 105.2%         | 2.3%        | 3,153,230                | -9.1%       |
| Investment Earnings                                   | 0                    | 0                    |             | 0                    | 0                       |                |             | 0                        |             |
| Miscellaneous Revenues                                | 467,894              | 822,899              | 75.9%       | 812,000              | 812,000                 | 100.0%         | -1.3%       | 812,000                  | 0.0%        |
| Fund Total  | 3,851,302            | 4,212,095            | 9.4%        | 4,108,900            | 4,280,400               | 104.2%         | 1.6%        | 3,965,230                | -7.4%       |
| <b>Total for<br/>        Internal Service Funds</b>   | 8,678,326            | 10,660,933           | 22.8%       | 10,222,050           | 10,001,870              | 97.8%          | -6.2%       | 9,540,850                | -4.6%       |
| <b>7. FIDUCIARY FUNDS</b>                             |                      |                      |             |                      |                         |                |             |                          |             |
| 808 - Reg Transp Impact                               | 0                    | 0                    |             | 0                    | 1,232,000               |                |             | 232,000                  |             |
| 812 - Post Employ Bft Trust                           | 174,847              | 716,899              | 310.0%      | 680,000              | 700,000                 | 102.9%         | -2.4%       | 750,000                  | 7.1%        |
| 835 - Community Facilities District 89-1              |                      |                      |             |                      |                         |                |             |                          |             |
| Bond Proceeds for AD or CFD                           | 0                    | 6,182,359            |             | 0                    | 0                       |                |             | 0                        |             |
| Assessment Charges, CFD 89-1                          | 1,300,812            | 1,280,903            | -1.5%       | 1,300,000            | 1,214,900               | 93.5%          | -5.2%       | 1,300,000                | 7.0%        |
| 837 - Community Facilities District 99-1              |                      |                      |             |                      |                         |                |             |                          |             |
| Bond Proceeds for AD or CFD                           | 0                    | 5,896,762            |             | 0                    | 0                       |                |             | 0                        |             |
| Assessment Charges, CFD 99-1                          | 761,341              | 755,705              | -0.7%       | 760,000              | 760,000                 | 100.0%         | 0.6%        | 760,000                  | 0.0%        |
| 840 - Community Facilities District 00-1              |                      |                      |             |                      |                         |                |             |                          |             |
| Assessment Charges, AD 00-1                           | 959,747              | 959,827              | 0.0%        | 935,000              | 960,600                 | 102.7%         | 0.1%        | 960,600                  | 0.0%        |
| 841 - Assessment District 94-1                        |                      |                      |             |                      |                         |                |             |                          |             |
| Bond Proceeds for AD or CFD                           | 0                    | 1,927,741            |             | 0                    | 0                       |                |             | 0                        |             |
| Assessment Charges, CFD 94-1                          | 441,621              | 401,663              | -9.0%       | 441,600              | 405,000                 | 91.7%          | 0.8%        | 405,000                  | 0.0%        |
| 844 - Assessment District 93-1                        | 360,474              | 347,474              | -3.6%       | 362,300              | 347,500                 | 95.9%          | 0.0%        | 350,000                  | 0.7%        |
| 846 - Assessment District 98-1                        | 4,885,018            | 4,991,821            | 2.2%        | 4,750,000            | 4,990,000               | 105.1%         | 0.0%        | 4,990,000                | 0.0%        |
| 847 - Assessment District 98-2                        | 309,050              | 309,872              | 0.3%        | 330,000              | 309,100                 | 93.7%          | -0.2%       | 309,100                  | 0.0%        |
| 850 - I205 RAA Debt Refinancing                       |                      |                      |             |                      |                         |                |             |                          |             |
| Bond Proceeds for AD or CFD                           | 0                    | 4,641,070            |             | 0                    | 0                       |                |             | 0                        |             |
| Assessment Charges, i205 RAA                          | 1,061,949            | 949,959              | -10.5%      | 1,061,900            | 959,000                 | 90.3%          | 1.0%        | 959,000                  | 0.0%        |
| 852 - Assessment District 03-1                        |                      |                      |             |                      |                         |                |             |                          |             |
| Assessment Charges, AD 03-1                           | 82,342               | 76,358               | -7.3%       | 81,000               | 78,000                  | 96.3%          | 2.2%        | 78,000                   | 0.0%        |
| 853 - Assessment District 06-1                        |                      |                      |             |                      |                         |                |             |                          |             |
| Assessment Charges, AD 06-1                           | 807,454              | 778,608              | -3.6%       | 800,000              | 780,000                 | 97.5%          | 0.2%        | 780,000                  | 0.0%        |
| 854 - TOPJPA Rev Bonds 2011A                          |                      |                      |             |                      |                         |                |             |                          |             |
| Assessment Charges                                    | 1,213,329            | 1,215,102            |             | 1,224,000            | 1,221,000               | 99.8%          | 0.5%        | 1,223,000                | 0.2%        |
| 855 - Community Facilities District 11-1              |                      |                      |             |                      |                         |                |             |                          |             |
| Assessment Charges, AD 11-1                           | 0                    | 37,962               |             | 38,000               | 37,500                  | 98.7%          | -1.2%       | 38,000                   | 1.3%        |
| 8xx - New Financing Districts                         |                      |                      |             |                      |                         |                |             |                          |             |
| Bond Proceeds for AD or CFD                           | 0                    | 0                    |             | 6,000,000            | 0                       | 0.0%           |             | 6,000,000                |             |
| <b>Total for<br/>        Trust &amp; Agency Funds</b> | 12,357,984           | 31,470,085           | 154.7%      | 18,763,800           | 13,994,600              | 74.6%          | -55.5%      | 19,134,700               | 36.7%       |
| <b>TOTAL - Budgeted Funds</b>                         | 183,027,593          | 199,190,397          | 8.8%        | 225,248,320          | 278,846,990             | 123.8%         | 40.0%       | 220,904,180              | -20.8%      |

# *Operating Programs*



Think Inside the Triangle™

## OPERATING PROGRAMS

The core of the budget is presented within the operating programs. Appropriations for FY15-16 are detailed by operating programs within these pages.

For comparative purposes, the budget data is shown as *Proposed* for FY15-16 along with the *Actual* data for FY12-13, FY13-14, and with the adopted budget and *Estimated* data for the current FY14-15.

### Program Structure

Most citizens understand that their City government is organized into a number of departments, each rendering a particular service. For example, the Public Works Department maintains city streets and parks, while the Police Department provides a variety of police services. Each City department in turn is organized into a number of divisions or offices.

For budget purposes, each department consists of a number of operating programs, which serve as the basic units of the City's operating budget. Under the City's program budget, the budget is organized around programs, which focus upon particular services provided by the City. This arrangement of operating programs to build the operating budget is called the program structure. In this budget, the structure consists of departments, divisions, and the operating programs.

The City's budget is not organized by funding sources. Funding sources can extend across the departments and operating programs. However, separate tallies are made so that funding sources can be summarized at the citywide and departmental level.

### Operating Budget Summary

The Operating Budget Summary on pages E3 and E4 show the total of the operating budget for FY15-16. Page E3 shows the operating budget by department. Page E4 shows the operating budget by objects and by funding sources respectively. Also, on page E3, a summary is provided of the Full-Time Equivalent (FTE) count of total City staffing by department.

#### Operating Budget Graphs

Graphs are provided, showing the operating budget trends and proportional allocations by department, object category, funding sources, and staffing.

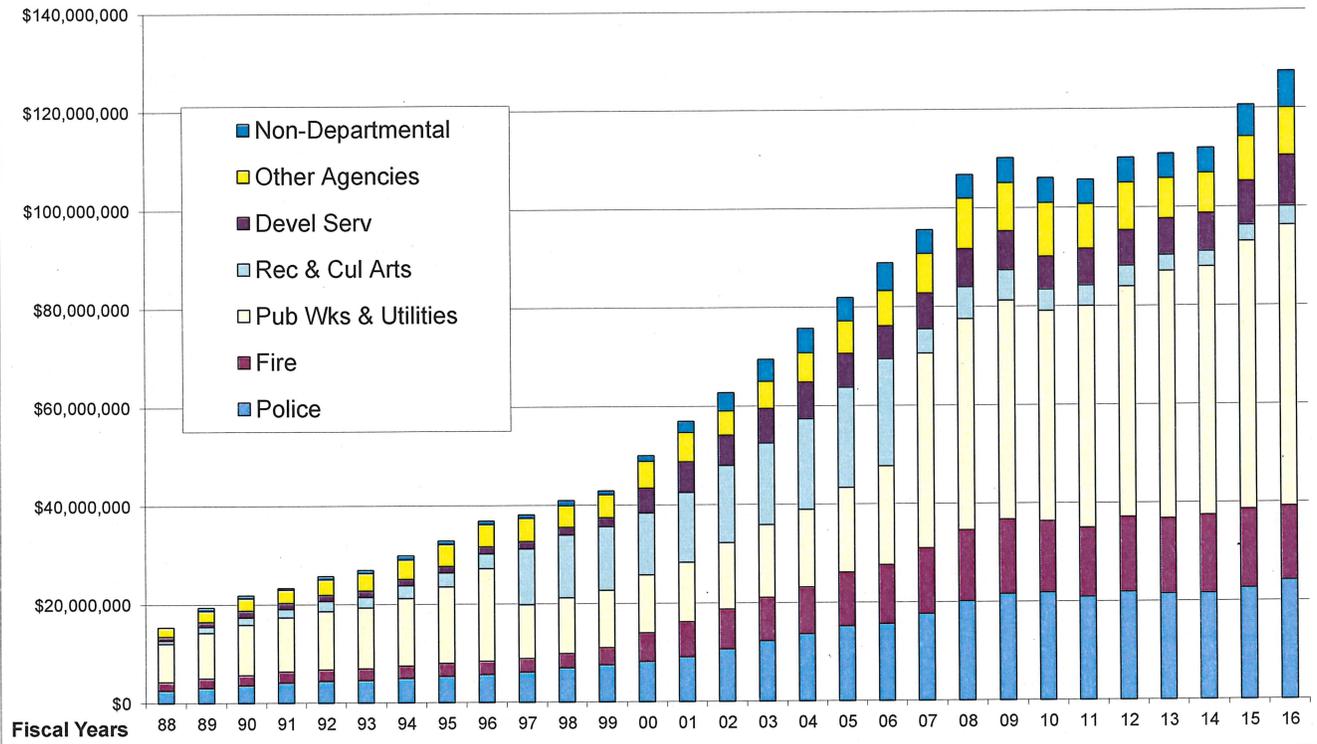
#### City Organizational Chart

Complementing the Operating Budget Summary, an organizational chart for the City government is provided on page E7.

| OPERATING BUDGET HISTORY                             |                |                |             |                     |               |                 |
|--|----------------|----------------|-------------|---------------------|---------------|-----------------|
| All Funds  | Adopted Budget | Amended Budget | %<br>Change | Actual Expenditures |               | % of<br>Amended |
| FY11-12  | \$113,907,350  | \$114,679,942  | <b>0.7%</b> | \$110,037,962       |               | 96.0%           |
| <i>Annual Change</i>                                 | <b>-0.1%</b>   | <b>0.4%</b>    |             | <b>0.7%</b>         |               |                 |
| FY12-13  | \$113,836,710  | \$115,195,848  | <b>1.2%</b> | \$110,827,885       |               | 96.2%           |
| <i>Annual Change</i>                                 | <b>4.1%</b>    | <b>4.7%</b>    |             | <b>1.0%</b>         |               |                 |
| FY 13-14   | \$118,474,750  | \$120,604,185  | <b>1.8%</b> | \$111,975,733       |               | 92.8%           |
| <i>Annual Change</i>                                 | <b>6.03%</b>   | <b>9.1%</b>    |             | <b>7.7%</b>         |               |                 |
| FY14-15  | \$125,615,560  | \$131,635,183  | <b>4.8%</b> | \$120,612,320       | <sup>1*</sup> | 92.0%           |
| <i>Annual Change</i>                                 | <b>1.5%</b>    |                |             |                     |               |                 |
| FY15-16  | \$127,477,420  | <sup>2*</sup>  |             |                     |               |                 |
| <b>General Fund</b>                                  |                |                |             |                     |               |                 |
| FY11-12  | \$49,408,150   | \$49,779,317   | <b>0.8%</b> | \$48,098,159        |               | 96.6%           |
| <i>Annual Change</i>                                 | <b>0.6%</b>    | <b>2.1%</b>    |             | <b>0.7%</b>         |               |                 |
| FY12-13  | \$49,703,820   | \$50,845,495   | <b>2.3%</b> | \$48,441,005        |               | 97.3%           |
| <i>Annual Change</i>                                 | <b>2.2%</b>    | <b>2.1%</b>    |             | <b>1.1%</b>         |               |                 |
| FY 13-14   | \$50,775,440   | \$51,900,281   | <b>2.2%</b> | \$48,981,094        |               | 94.4%           |
| <i>Annual Change</i>                                 | <b>11.1%</b>   | <b>12.3%</b>   |             | <b>8.8%</b>         |               |                 |
| FY14-15  | \$56,422,650   | \$58,273,537   | <b>3.3%</b> | \$53,299,840        | <sup>1*</sup> | 91.5%           |
| <i>Annual Change</i>                                 | <b>-12.6%</b>  |                |             |                     |               |                 |
| FY15-16  | \$49,399,030   | <sup>2*</sup>  |             |                     |               |                 |
| 1* - Estimated Expenditures (year not yet completed) |                |                |             |                     |               |                 |
| 2* - Adopted Budget for New Year                     |                |                |             |                     |               |                 |

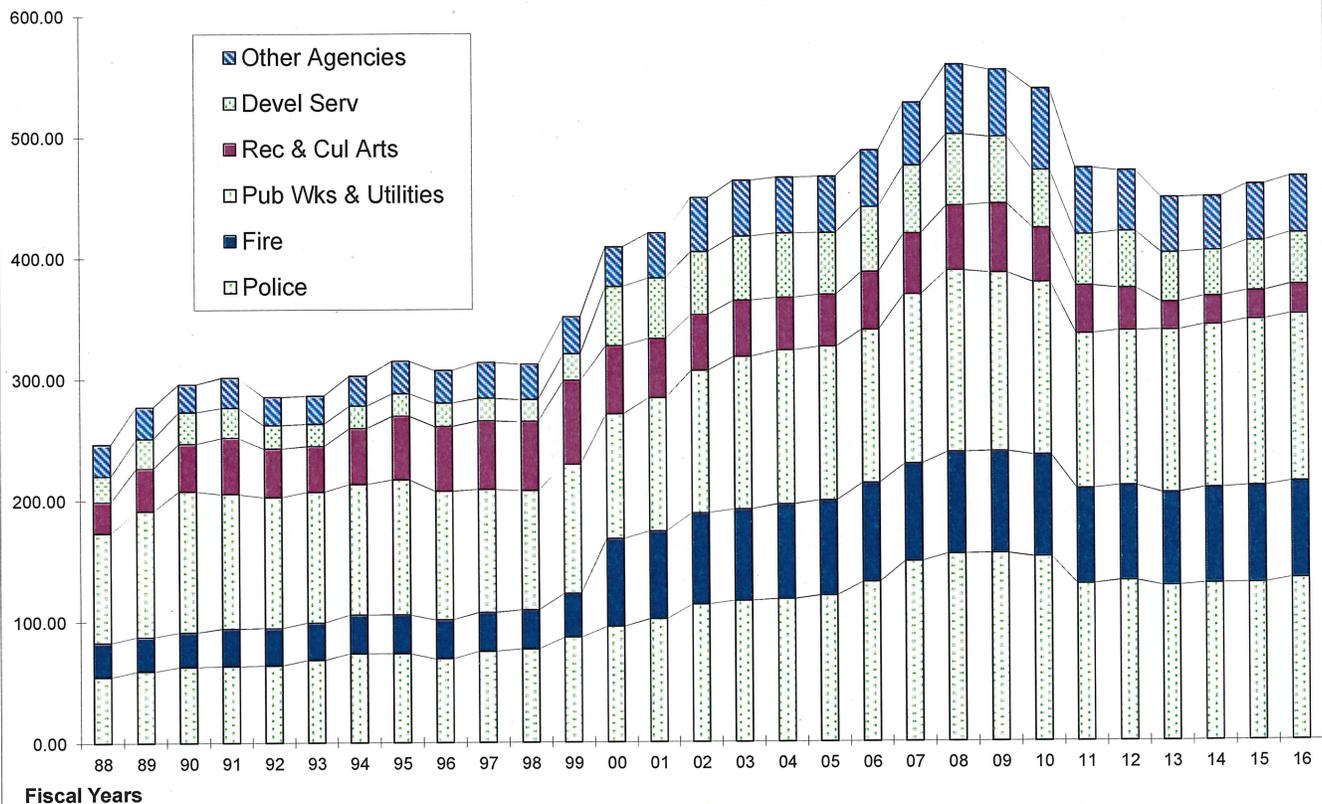
Actual & Projected Expenditures

City of Tracy - Operating Budget Expenditures by Departments - FY88 to FY16



Full-Time Equivalents

City of Tracy - Citywide Staffing Authorized FTEs by Departments - FY88 to FY16



| OPERATING EXPENDITURES<br>BY DEPARTMENT | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| 21000 - Police                          | 21,487,013           | 21,592,245           | 0.5%             | 23,883,090            | 22,616,680              | 94.7%            | 4.7%             | 24,148,870             | 1.1%               |
| 22000 - Fire                            | 15,325,322           | 15,882,817           | 3.6%             | 16,456,970            | 16,003,150              | 97.2%            | 0.8%             | 15,083,290             | -8.3%              |
| 23000 - Public Works                    | 35,745,515           | 36,207,044           | 1.3%             | 40,532,390            | 39,332,220              | 97.0%            | 8.6%             | 40,782,500             | 0.6%               |
| 25000 - Utilities                       | 14,471,295           | 14,239,290           | -1.6%            | 15,897,000            | 15,080,400              | 94.9%            | 5.9%             | 16,274,170             | 2.4%               |
| 31000 - Development Services            | 7,380,415            | 7,721,493            | 4.6%             | 9,732,900             | 8,982,640               | 92.3%            | 16.3%            | 10,364,610             | 6.5%               |
| 41000 - Recreation & Cultural Arts      | 3,284,804            | 3,111,764            | -5.3%            | 3,664,250             | 3,224,700               | 88.0%            | 3.6%             | 3,749,630              | 2.3%               |
| 61100 - City Council                    | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%            | 22.2%            | 135,660                | 7.7%               |
| 62100 - City Attorney's Office          | 751,680              | 738,500              | -1.8%            | 879,560               | 814,210                 | 92.6%            | 10.3%            | 869,580                | -1.1%              |
| 63100 - City Manager's Office           | 1,852,239            | 1,837,850            | -0.8%            | 1,991,630             | 2,059,450               | 103.4%           | 12.1%            | 1,884,580              | -5.4%              |
| 65000 - Administrative Services         | 5,432,917            | 5,468,535            | 0.7%             | 6,611,690             | 5,950,560               | 90.0%            | 8.8%             | 6,750,730              | 2.1%               |
| 69000 - Non-Departmental Group          | 5,003,156            | 5,074,649            | 1.4%             | 5,840,080             | 6,424,180               | 110.0%           | 26.6%            | 7,433,800              | 27.3%              |
| Operating Budget Total                  | 110,827,885          | 111,975,733          | 1.0%             | 125,615,560           | 120,612,320             | 96.0%            | 7.7%             | 127,477,420            | 1.5%               |
| Amended Budget                          | 116,195,848          | 120,604,185          | 3.8%             |                       | 131,635,183             |                  | 9.1%             | over 2 years           | 5.7%               |
| % of Amended Spent                      | 95.38%               | 92.85%               |                  |                       | 91.63%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 123,586,040            | -1.6%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 3,891,380              | 3.1%               |

| CITY STAFFING<br>BY DEPARTMENT     | FY12-13<br>Approved | FY13-14<br>Approved | %<br>Change | FY14-15<br>Adopted | FY14-15<br>Approved | %<br>Change | FY15-16<br>Approved | %<br>Change |
|------------------------------------|---------------------|---------------------|-------------|--------------------|---------------------|-------------|---------------------|-------------|
| 21000 - Police                     | 127.95              | 129.51              | 1.2%        | 129.86             | 129.86              | 0.3%        | 133.36              |             |
| 22000 - Fire                       | 76.45               | 78.70               | 2.9%        | 79.70              | 79.70               | 1.3%        | 79.70               |             |
| 23000 - Public Works               | 85.29               | 85.09               | -0.2%       | 88.05              | 88.05               | 3.5%        | 89.15               |             |
| 25000 - Utilities                  | 48.81               | 49.31               | 1.0%        | 49.35              | 49.35               | 0.1%        | 48.85               |             |
| 31000 - Development Services       | 40.90               | 37.90               | -7.3%       | 38.35              | 41.35               | 9.1%        | 42.65               |             |
| 41000 - Recreation & Cultural Arts | 22.95               | 23.45               | 2.2%        | 23.25              | 23.40               | 0.7%        | 24.40               |             |
| 61100 - City Council               | 1.00                | 1.00                | 0.0%        | 1.00               | 1.00                | 0.0%        | 1.00                |             |
| 62100 - City Attorney's Office     | 4.00                | 4.00                | 0.0%        | 4.00               | 4.00                | 0.0%        | 4.00                |             |
| 63100 - City Manager's Office      | 9.15                | 9.00                | -1.6%       | 9.00               | 9.00                | 0.0%        | 9.00                |             |
| 65000 - Administrative Services    | 31.45               | 30.45               | -3.2%       | 32.70              | 32.70               | 7.4%        | 32.70               |             |
| Total - Full-Time Equivalents      | 447.95              | 448.41              | 0.1%        | 455.26             | 458.41              | 2.2%        | 464.81              | 2.2%        |

**COMMENTARY****City Staffing**

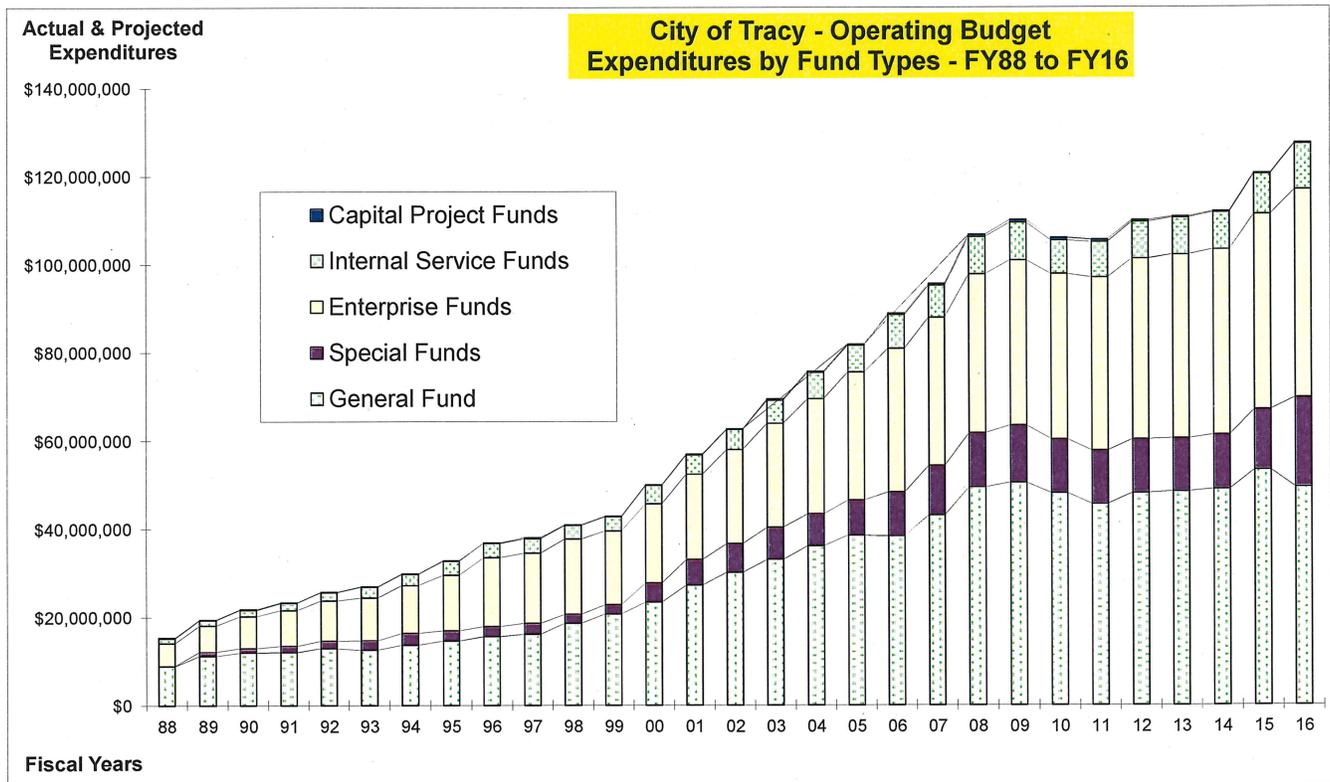
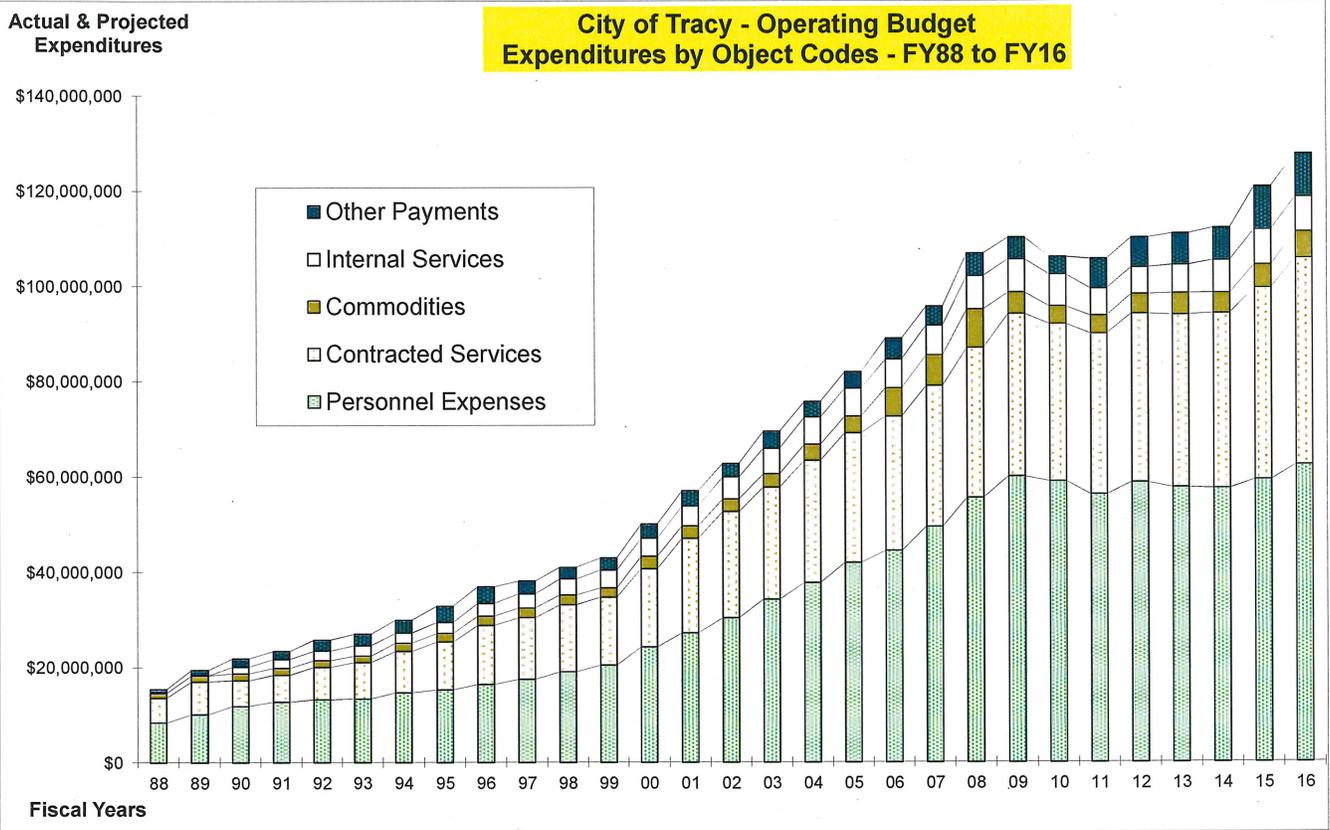
As adopted for FY14-15, the City operating budget represents a 12.2% increase over FY13-14 expenditures.

As adopted for FY15-16, the City operating budget would increase about 1.5% over the adopted budget for FY14-15. But also, it would represent 5.7% increase over the FY13-14 amended budget.

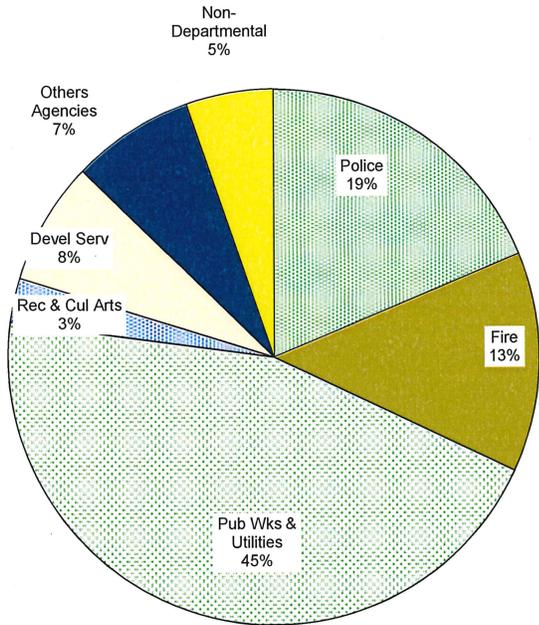
The base component of the proposed budget represents a 1.6% decrease from the adopted FY14-15 budget. Budget augmentations, including equipment acquisition items, total to \$3,891,380 and represent a 3.1% increase over the base component.

|            | Full-Time Regulars | Others Staffing | Total FTEs |
|------------|--------------------|-----------------|------------|
| FY11-12    | 429.00             | 41.34           | 470.34     |
| Net Change | -15.00             | -7.39           |            |
| FY12-13    | 414.00             | 33.95           | 447.95     |
| Net Change | 0.00               | 0.46            |            |
| FY13-14    | 414.00             | 34.41           | 448.41     |
| Net Change | 8.00               | 2.00            |            |
| FY14-15    | 420.00             | 35.26           | 455.26     |
| Net Change | 2.00               | 1.15            |            |
| Amended    | 422.00             | 36.41           | 458.41     |
| Net Change | 5.00               | 1.40            |            |
| FY15-16    | 427.00             | 37.81           | 464.81     |

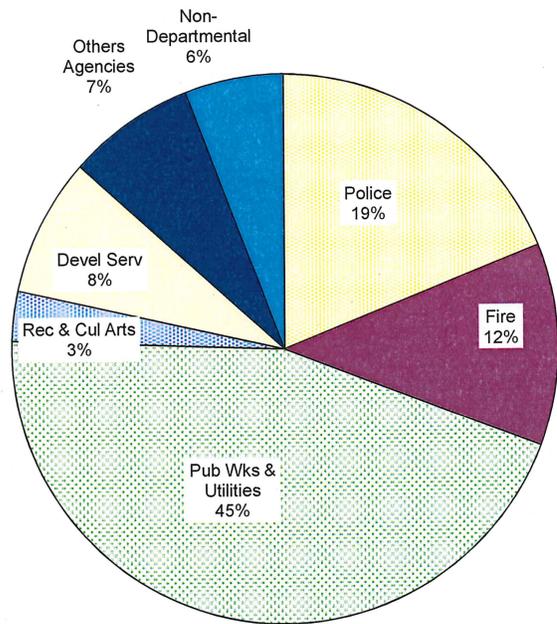
| <b>OPERATING EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|--|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                   | 57,645,108           | 57,408,244           | -0.4%            | 62,885,980            | 59,241,780              | 94.2%          | 3.2%             | 62,305,330             | -0.9%              |
| Contracted Services                                  | 36,164,611           | 36,678,663           | 1.4%             | 41,661,580            | 40,193,220              | 96.5%          | 9.6%             | 43,325,070             | 4.0%               |
| Commodities  | 4,498,251            | 4,252,047            | -5.5%            | 5,770,270             | 4,827,510               | 83.7%          | 13.5%            | 5,486,300              | -4.9%              |
| Internal Charges                                     | 5,935,377            | 6,872,084            | 15.8%            | 7,406,860             | 7,336,500               | 99.1%          | 6.8%             | 7,361,080              | -0.6%              |
| Other Payments                                       | 6,584,538            | 6,764,695            | 2.7%             | 7,890,870             | 9,013,310               | 114.2%         | 33.2%            | 8,999,640              | 14.1%              |
| <b>Operating Budget Total</b>                        | <b>110,827,885</b>   | <b>111,975,733</b>   | <b>1.0%</b>      | <b>125,615,560</b>    | <b>120,612,320</b>      | <b>96.0%</b>   | <b>7.7%</b>      | <b>127,477,420</b>     | <b>1.5%</b>        |
| <b>OPERATING EXPENDITURES<br/>BY FUNDING SOURCE</b>  | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
| <b>General Fund</b>                                  |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 101 - General Fund                                   | 48,441,005           | 48,981,094           | 1.1%             | 56,422,650            | 53,299,840              | 94.5%          | 8.8%             | 49,399,030             | -12.4%             |
| <b>Special Revenue Funds</b>                         |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 201 - Community Development                          | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 7,898,910              |                    |
| 211 - South County Fire Authority                    | 6,767,408            | 6,887,466            | 1.8%             | 6,811,420             | 6,855,930               | 100.7%         | -0.5%            | 6,235,420              | -8.5%              |
| 221 - Downtown Improvement Dist                      | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000                | -2.7%              |
| 231 - Asset Seizure Fund                             | 79,008               | 16,299               | -79.4%           | 16,900                | 16,000                  | 94.7%          | -1.8%            | 16,900                 | 0.0%               |
| 24x - TDA & Gas Tax Funds                            | 2,843,796            | 2,742,306            | -3.6%            | 3,012,910             | 2,605,430               | 86.5%          | -5.0%            | 3,090,740              | 2.6%               |
| 26x - Com Devel Block Grant                          | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         | 41.8%            | 354,130                | 13.4%              |
| 271 - Landscaping Districts                          | 1,958,522            | 2,047,277            | 4.5%             | 2,601,120             | 3,378,530               | 129.9%         | 65.0%            | 2,390,850              | -8.1%              |
| 295 - Pub ED/Govt Cable TV                           | 118,340              | 126,766              | 7.1%             | 139,320               | 157,430                 | 113.0%         | 24.2%            | 158,290                | 13.6%              |
| <b>Capital Project Funds</b>                         |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 318 - CDA & Suc Ag Funds 3xx                         | 233,446              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%          | -36.8%           | 250,000                | 0.0%               |
| 3xx - Other Capital Projects Funds                   | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| <b>Enterprise Funds</b>                              |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 511 - Water Fund                                     | 12,914,240           | 13,020,626           | 0.8%             | 13,901,070            | 13,416,740              | 96.5%          | 3.0%             | 13,990,410             | 0.6%               |
| 521 - Wastewater Fund                                | 7,314,805            | 7,374,830            | 0.8%             | 8,525,070             | 7,902,740               | 92.7%          | 7.2%             | 8,803,020              | 3.3%               |
| 531 - Solid Waste Fund                               | 18,822,159           | 18,922,247           | 0.5%             | 20,196,940            | 19,642,630              | 97.3%          | 3.8%             | 20,917,260             | 3.6%               |
| 541 - Drainage Fund                                  | 592,645              | 478,915              | -19.2%           | 636,600               | 566,160                 | 88.9%          | 18.2%            | 634,150                | -0.4%              |
| 561 - Airport Fund                                   | 357,185              | 377,092              | 5.6%             | 882,050               | 474,520                 | 53.8%          | 25.8%            | 558,020                | -36.7%             |
| 571 - Transit Fund                                   | 1,685,138            | 1,837,413            | 9.0%             | 2,330,210             | 2,354,420               | 101.0%         | 28.1%            | 2,356,660              | 1.1%               |
| <b>Internal Service Funds</b>                        |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 601 - Central Garage Fund                            | 1,440,230            | 1,427,625            | -0.9%            | 1,566,760             | 1,450,800               | 92.6%          | 1.6%             | 1,640,410              | 4.7%               |
| 602 - Central Services Fund                          | 1,500,122            | 1,439,847            | -4.0%            | 1,647,710             | 1,493,500               | 90.6%          | 3.7%             | 1,633,040              | -0.9%              |
| 605 - Eqpt Acquisition Fund                          | 207,774              | 304,981              | 46.8%            | 627,910               | 297,460                 | 47.4%          | -2.5%            | 855,930                | 36.3%              |
| 606 - Vehicle Acquisition Fund                       | 665,221              | 526,751              | -20.8%           | 342,960               | 1,195,490               | 348.6%         | 127.0%           | 702,890                | 104.9%             |
| 615 - Building Maintenance Fund                      | 741,963              | 804,146              | 8.4%             | 925,050               | 892,400                 | 96.5%          | 11.0%            | 995,450                | 7.6%               |
| 627 - Self Insurance Fund                            | 3,857,868            | 3,932,412            | 1.9%             | 4,349,340             | 3,796,320               | 87.3%          | -3.5%            | 4,481,910              | 3.0%               |
| <b>Operating Budget Total</b>                        | <b>110,827,885</b>   | <b>111,975,733</b>   | <b>1.0%</b>      | <b>125,615,560</b>    | <b>120,612,320</b>      | <b>96.0%</b>   | <b>7.7%</b>      | <b>127,477,420</b>     | <b>1.5%</b>        |



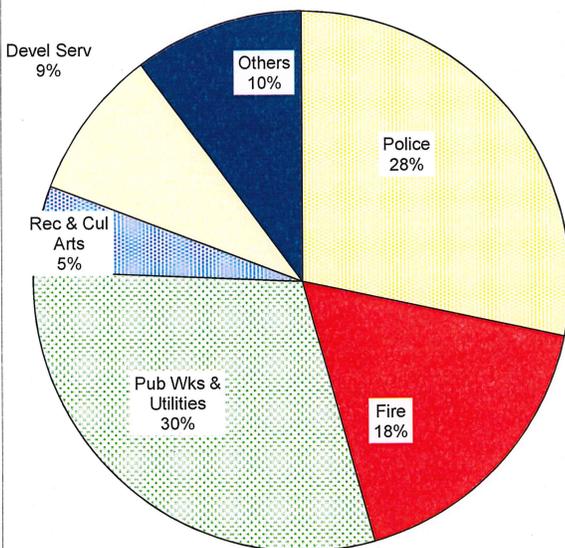
**City of Tracy - Operating Budget Expenditures by Departments - FY14-15**



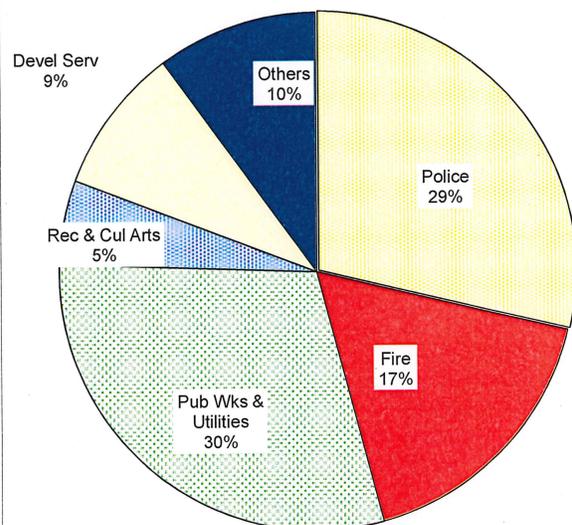
**City of Tracy - Operating Budget Expenditures by Departments - FY15-16**



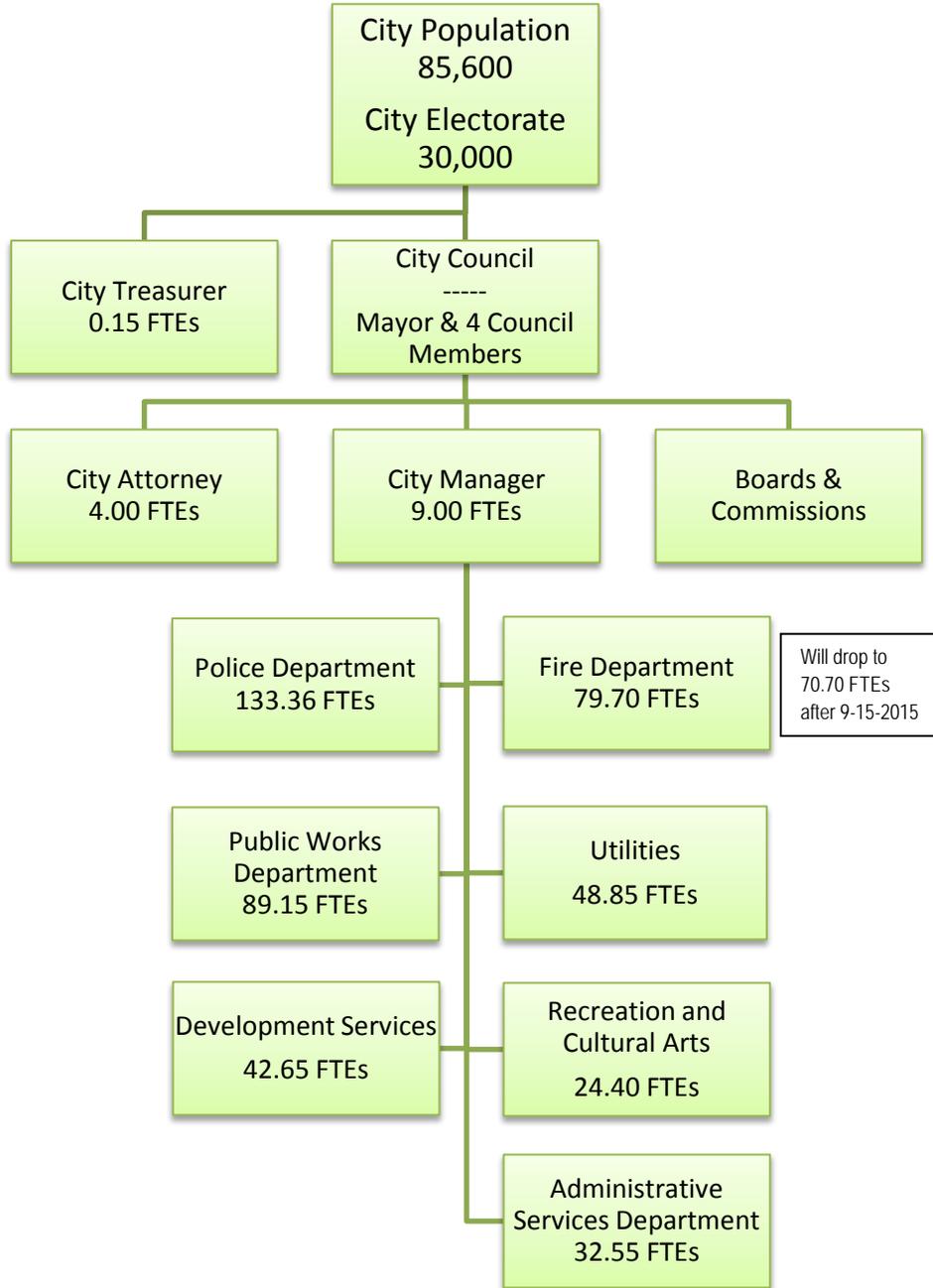
**City of Tracy - Citywide Staffing Authorized FTEs - FY14-15**



**City of Tracy - Citywide Staffing Authorized FTEs - FY15-16**



# City of Tracy ORGANIZATIONAL CHART Fiscal Year 15-16



## Operating Budget Analysis – Part A

The Operating Budget Analysis-Part A on page E9. This table shows the historical incremental growth/change of the City's operating budget from the FY12-13 adopted budget through to the proposed FY15-16 budget. It details the City's budget by objects showing annual increments in terms of base increments and augmentations which then become first the base budget and then the adopted budget. Also, it shows the actual budget used at year-end compared to the adopted budget.

The key elements of the increments are as follows:

- The base increment for personnel expenses represent annual pay raises and salary and benefit adjustments.
- The base increments for other objects represent annual cost adjustments for inflation and usage.
- Normally, operating budgets have annual increases. But, due to the economic downturn, the increment for FY12-13 and FY13-14 show cutbacks in base budget. However, in FY14-15 and FY15-16, there are increases in personnel expenses for PERS and Health Insurance.
- Base augmentation for personnel expenses represent the cost of new staffing, reclassifications, or added overtime or temporary hours.
- Base augmentations for other objects represent added monies for operational enhancements for program expansion, work improvements, and/or new activities.
- Negative figures mean base reductions. The negative figures for equipment outlays in the base reflect one- time major equipment purchases which are treated as augmentations.

## Operating Budget Analysis - Part B

The Operating Budget Analysis - Part B on page E10. This table is similar to Part A. It shows the historical incremental growth/change of the City's operating budget from the FY12-13 adopted budget through to the proposed FY15-16 budget, but it details the budget by the fund groups of the City's operating budget, instead of by objects.

The decrease in General Fund base increment is due to a \$6,589,740 transfer of Development Services expenditures from the General Fund into the new Community Development Funds.

## Operating Budget Analysis – Part C

The Operating Budget Analysis Part C on pages E11 and E12 is similar to Part A and Part B. However, the budget is detailed by department.

## Operating Budget Analysis - Part D

The table on page E13 provides an analysis of the proposed budget which contrasts the base budget and budget augmentations which increase the budget beyond normal increment; and thus highlight the major changes in the proposed operating budget.

The table "Operating Budget Analysis-Part D" is organized by department, broken down by their key components, by object category, and by fund groups. The base budget figures for FY15-16 are shown along with the proposed budget augmentations and compared to the current year adopted budget and two prior fiscal years of actual expenditures. The analysis highlights the base budget of \$123,586,040 contrasted with \$3,891,380 in augmentations.

In FY15-16, as the analysis shows, the base budget for the total City operating budget represents a 1.6% decrease from the FY14-15 adopted budget. Usually, the base budget shows an increase. But, in FY15-16, the base is down in all categories. The General Fund base is down 14.0%. This is due to the transfer of Planning, Building and Engineering Divisions from the General Fund to separate funds.

Budget augmentations for FY15-16 for the total City operating budget show a 3.1% increase over the base budget; personnel expenses will show a 0.2% increase. For the General Fund, budget augmentations show a 0.4% increase over the base. These increases are due to added positions. The total of budget augmentations is offset by a \$1,382,750 reduction in the Fire Department due to the MHCS drop out. So, gross budget augmentations are actually \$5,274,130.

As the table shows, the biggest augmentation for FY15-16 is for the solid waste programs, and then in the Utilities and Police Departments. In terms of objects, the biggest augmentation is other payments and contracted services. In terms of funding source, the biggest augmentations are in the Enterprise and Internal Services Funds.

Operating Budget - All Departments Historical Budget Increments, Augmentations, and Usage

| Operating Budget<br>By Object              | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |              |                    |                       |             | New Year<br>Adopted Budgets |              | Actual<br>Budget Use |              |
|--|-------------------------------|--|--------------|--------------------|-----------------------|-------------|-----------------------------|--------------|----------------------|--------------|
|  |                               | Base<br>Increment                          | %<br>Change  | \$ Base<br>Budget  | \$ Budget<br>Augments | %<br>Base   | FY12-13<br>\$ Budget        | %<br>Change  | FY12-13<br>\$ Actual | %<br>Used    |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |              |                    |                       |             |                             |              |                      |              |
| Personnel Expenses                         | 59,848,450                    | 1,445,500                                  | 2.4%         | 61,293,950         | -1,818,800            | -3.0%       | 59,475,150                  | -0.6%        | 57,645,108           | 96.9%        |
| Contracted Services                        | 38,658,020                    | -3,249,070                                 | -8.4%        | 35,408,950         | 760,140               | 2.1%        | 36,169,090                  | -6.4%        | 36,164,611           | 100.0%       |
| Commodities                                | 4,780,200                     | -244,980                                   | -5.1%        | 4,535,220          | 175,390               | 3.9%        | 4,710,610                   | -1.5%        | 4,498,251            | 95.5%        |
| Internal Charges                           | 6,020,270                     | -81,220                                    | -1.3%        | 5,939,050          | 44,150                | 0.7%        | 5,983,200                   | -0.6%        | 5,935,377            | 99.2%        |
| Other Payments                             | 3,372,180                     | 2,323,680                                  | 68.9%        | 5,695,860          | 174,940               | 3.1%        | 5,870,800                   | 74.1%        | 5,286,766            | 90.1%        |
| Equipment Outlays                          | 1,228,230                     | -858,230                                   | -69.9%       | 370,000            | 1,257,860             | 340.0%      | 1,627,860                   | 32.5%        | 1,297,772            | 79.7%        |
| <b>Oper Budget Total</b>                   | <b>113,907,350</b>            | <b>-664,320</b>                            | <b>-0.6%</b> | <b>113,243,030</b> | <b>593,680</b>        | <b>0.5%</b> | <b>113,836,710</b>          | <b>-0.1%</b> | <b>110,827,885</b>   | <b>97.4%</b> |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          |  |              |                    |                       |             |                             |              |                      |              |
| Personnel Expenses                         | 59,475,150                    | 333,220                                    | 0.6%         | 59,808,370         | 431,410               | 0.7%        | 60,239,780                  | 1.3%         | 57,408,244           | 95.3%        |
| Contracted Services                        | 36,169,090                    | -129,570                                   | -0.4%        | 36,039,520         | 2,539,510             | 7.0%        | 38,579,030                  | 6.7%         | 36,678,663           | 95.1%        |
| Commodities                                | 4,710,610                     | 130,620                                    | 2.8%         | 4,841,230          | 102,050               | 2.1%        | 4,943,280                   | 4.9%         | 4,252,047            | 86.0%        |
| Internal Charges                           | 5,983,200                     | 853,880                                    | 14.3%        | 6,837,080          | 9,800                 | 0.1%        | 6,846,880                   | 14.4%        | 6,872,084            | 100.4%       |
| Other Payments                             | 5,870,800                     | -188,040                                   | -3.2%        | 5,682,760          | 280,830               | 4.9%        | 5,963,590                   | 1.6%         | 5,686,254            | 95.3%        |
| Equipment Outlays                          | 1,627,860                     | -1,245,860                                 | -76.5%       | 382,000            | 1,520,190             | 398.0%      | 1,902,190                   | 16.9%        | 1,078,441            | 56.7%        |
| <b>Oper Budget Total</b>                   | <b>113,836,710</b>            | <b>-245,750</b>                            | <b>-0.2%</b> | <b>113,590,960</b> | <b>4,883,790</b>      | <b>4.3%</b> | <b>118,474,750</b>          | <b>4.1%</b>  | <b>111,975,733</b>   | <b>94.5%</b> |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          |  |              |                    |                       |             |                             |              |                      |              |
| Personnel Expenses                         | 60,239,780                    | 2,320,160                                  | 3.9%         | 62,559,940         | 981,040               | 1.6%        | 63,540,980                  | 5.5%         | 59,241,780           | 93.2%        |
| Contracted Services                        | 38,579,030                    | -1,246,590                                 | -3.2%        | 37,332,440         | 4,329,140             | 11.6%       | 41,661,580                  | 8.0%         | 40,193,220           | 96.5%        |
| Commodities                                | 4,943,280                     | 49,980                                     | 1.0%         | 4,993,260          | 777,010               | 15.6%       | 5,770,270                   | 16.7%        | 4,827,510            | 83.7%        |
| Internal Charges                           | 6,846,880                     | 339,840                                    | 5.0%         | 7,186,720          | 220,140               | 3.1%        | 7,406,860                   | 8.2%         | 7,336,500            | 99.1%        |
| Other Payments                             | 5,963,590                     | -166,300                                   | -2.8%        | 5,797,290          | 944,500               | 16.3%       | 6,741,790                   | 13.0%        | 6,745,130            | 100.0%       |
| Equipment Outlays                          | 1,902,190                     | -1,490,190                                 | -78.3%       | 412,000            | 737,080               | 178.9%      | 1,149,080                   | -39.6%       | 2,268,180            | 197.4%       |
| <b>Oper Budget Total</b>                   | <b>118,474,750</b>            | <b>-193,100</b>                            | <b>-0.2%</b> | <b>118,281,650</b> | <b>7,988,910</b>      | <b>6.8%</b> | <b>126,270,560</b>          | <b>6.6%</b>  | <b>120,612,320</b>   | <b>95.5%</b> |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY13-14<br>\$ Budget          |  |              |                    |                       |             |                             |              |                      |              |
| Personnel Expenses                         | 63,540,980                    | -1,334,380                                 | -2.1%        | 62,206,600         | 98,730                | 0.2%        | 62,305,330                  | -1.9%        |                      |              |
| Contracted Services                        | 41,661,580                    | 158,820                                    | 0.4%         | 41,820,400         | 1,504,670             | 3.6%        | 43,325,070                  | 4.0%         |                      |              |
| Commodities                                | 5,770,270                     | -319,860                                   | -5.5%        | 5,450,410          | 35,890                | 0.7%        | 5,486,300                   | -4.9%        |                      |              |
| Internal Charges                           | 7,406,860                     | 26,820                                     | 0.4%         | 7,433,680          | -72,600               | -1.0%       | 7,361,080                   | -0.6%        |                      |              |
| Other Payments                             | 6,741,790                     | -476,840                                   | -7.1%        | 6,264,950          | 338,890               | 5.4%        | 6,603,840                   | -2.0%        |                      |              |
| Equipment Outlays                          | 1,149,080                     | -739,080                                   | -64.3%       | 410,000            | 1,985,800             |             | 2,395,800                   | 108.5%       |                      |              |
| <b>Oper Budget Total</b>                   | <b>126,270,560</b>            | <b>-2,684,520</b>                          | <b>-2.1%</b> | <b>123,586,040</b> | <b>3,891,380</b>      | <b>3.1%</b> | <b>127,477,420</b>          | <b>1.0%</b>  |                      |              |

## Operating Budget - All Funds

## Historical Budget Increments, Augmentations, and Usage

| Operating Budget<br>By Fund Groups         | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |             |                   |                       |           | New Year<br>Adopted Budgets |             | Actual<br>Budget Use |           |
|--|-------------------------------|--|-------------|-------------------|-----------------------|-----------|-----------------------------|-------------|----------------------|-----------|
|  |                               | Base<br>Increment                          | %<br>Change | \$ Base<br>Budget | \$ Budget<br>Augments | %<br>Base | FY12-13<br>\$ Budget        | %<br>Change | FY12-13<br>\$ Actual | %<br>Used |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |             |                   |                       |           |                             |             |                      |           |
| General Fund                               | 49,408,150                    | 453,040                                    | 0.9%        | 49,861,190        | -157,370              | -0.3%     | 49,703,820                  | 0.6%        | 48,441,005           | 97.5%     |
| Special Revenue Fund                       | 13,120,990                    | 68,000                                     | 0.5%        | 13,188,990        | -585,600              | -4.4%     | 12,603,390                  | -3.9%       | 12,054,084           | 95.6%     |
| Enterprise Funds                           | 42,245,180                    | -434,770                                   | -1.0%       | 41,810,410        | 503,160               | 1.2%      | 42,313,570                  | 0.2%        | 41,686,172           | 98.5%     |
| Internal Service Funds                     | 8,659,210                     | -632,750                                   | -7.3%       | 8,026,460         | 939,470               | 11.7%     | 8,965,930                   | 3.5%        | 8,413,178            | 93.8%     |
| Capital & Other Funds                      | 473,820                       | -117,840                                   | -24.9%      | 355,980           | -105,980              | -29.8%    | 250,000                     | -47.2%      | 233,446              | 93.4%     |
| Oper Budget Total                          | 113,907,350                   | -664,320                                   | -0.6%       | 113,243,030       | 593,680               | 0.5%      | 113,836,710                 | -0.1%       | 110,827,885          | 97.4%     |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          |  |             |                   |                       |           |                             |             |                      |           |
| General Fund                               | 49,703,820                    | 133,800                                    | 0.3%        | 49,837,620        | 937,820               | 1.9%      | 50,775,440                  | 2.2%        | 48,981,094           | 96.5%     |
| Special Revenue Fund                       | 12,603,390                    | -70,590                                    | -0.6%       | 12,532,800        | 855,750               | 6.8%      | 13,388,550                  | 6.2%        | 12,334,068           | 92.1%     |
| Enterprise Funds                           | 42,313,570                    | 276,460                                    | 0.7%        | 42,590,030        | 1,290,430             | 3.0%      | 43,880,460                  | 3.7%        | 42,011,123           | 95.7%     |
| Internal Service Funds                     | 8,965,930                     | -585,420                                   | -6.5%       | 8,380,510         | 1,799,790             | 21.5%     | 10,180,300                  | 13.5%       | 8,435,762            | 82.9%     |
| Capital & Other Funds                      | 250,000                       | 0  | 0.0%        | 250,000           | 0                     | 0.0%      | 250,000                     | 0.0%        | 213,686              | 85.5%     |
| Oper Budget Total                          | 113,836,710                   | -245,750                                   | -0.2%       | 113,590,960       | 4,883,790             | 4.3%      | 118,474,750                 | 4.1%        | 111,975,733          | 94.5%     |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          |  |             |                   |                       |           |                             |             |                      |           |
| General Fund                               | 50,775,440                    | 1,940,990                                  | 3.8%        | 52,716,430        | 3,706,220             | 7.0%      | 56,422,650                  | 11.1%       | 53,299,840           | 94.5%     |
| Special Revenue Fund                       | 13,388,550                    | -979,070                                   | -7.3%       | 12,409,480        | 601,760               | 4.8%      | 13,011,240                  | -2.8%       | 13,694,300           | 105.2%    |
| Enterprise Funds                           | 43,880,460                    | -190,060                                   | -0.4%       | 43,690,400        | 2,781,540             | 6.4%      | 46,471,940                  | 5.9%        | 44,357,210           | 95.4%     |
| Internal Service Funds                     | 10,180,300                    | -964,960                                   | -9.5%       | 9,215,340         | 899,390               | 9.8%      | 10,114,730                  | -0.6%       | 9,125,970            | 90.2%     |
| Capital & Other Funds                      | 250,000                       | 0  | 0.0%        | 250,000           | 0                     | 0.0%      | 250,000                     | 0.0%        | 135,000              | 54.0%     |
| Oper Budget Total                          | 118,474,750                   | -193,100                                   | -0.2%       | 118,281,650       | 7,988,910             | 6.8%      | 126,270,560                 | 6.6%        | 120,612,320          | 95.5%     |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY13-14<br>\$ Budget          |  |             |                   |                       |           |                             |             |                      |           |
| General Fund                               | 56,422,650                    | -7,874,530                                 | -14.0%      | 48,548,120        | 850,910               | 1.8%      | 49,399,030                  | -12.4%      |                      |           |
| Special Revenue Fund                       | 13,011,240                    | 7,022,260                                  | 54.0%       | 20,033,500        | 225,740               | 1.1%      | 20,259,240                  | 55.7%       |                      |           |
| Enterprise Funds                           | 46,471,940                    | -864,370                                   | -1.9%       | 45,607,570        | 1,651,950             | 3.6%      | 47,259,520                  | 1.7%        |                      |           |
| Internal Service Funds                     | 10,114,730                    | -967,880                                   | -9.6%       | 9,146,850         | 1,162,780             | 12.7%     | 10,309,630                  | 1.9%        |                      |           |
| Capital & Other Funds                      | 250,000                       | 0  | 0.0%        | 250,000           | 0                     | 0.0%      | 250,000                     | 0.0%        |                      |           |
| Oper Budget Total                          | 126,270,560                   | -2,684,520                                 | -2.1%       | 123,586,040       | 3,891,380             | 3.1%      | 127,477,420                 | 1.0%        |                      |           |

## Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

Operating Budget - All Departments Historical Budget Increments, Augmentations, and Usage

| Operating Budget<br>By Department | Prior Year<br>Adopted Budgets | <<<< Base Increment & Augmentations >>>> |                     |                           |                               |                     | New Year<br>Adopted Budgets  |                     | Actual<br>Budget Use            |                   |
|-----------------------------------|-------------------------------|--|---------------------|---------------------------|-------------------------------|---------------------|------------------------------|---------------------|---------------------------------|-------------------|
|                                   |                               | Base<br>Increment                        | %<br>Change         | \$ Base<br>Budget         | \$ Budget<br>Augments         | %<br>Base           | FY12-13<br>\$ Budget         | %<br>Change         | FY12-13<br>\$ Actual            | %<br>Used         |
| <b>FY12-13 Budget &gt;&gt;</b>    | FY11-12<br>\$ Budget          |  |                     |                           |                               |                     |                              |                     |                                 |                   |
| Police                            | 22,430,850                    | 401,330                                  | 1.8%                | 22,832,180                | -600,690                      | -2.6%               | 22,231,490                   | -0.9%               | 21,487,013                      | 96.7%             |
| Fire                              | 15,227,710                    | 186,570                                  | 1.2%                | 15,414,280                | -185,080                      | -1.2%               | 15,229,200                   | 0.0%                | 15,325,322                      | 100.6%            |
| Public Works                      | 48,574,500                    | 346,100                                  | 0.7%                | 48,920,600                | 2,406,370                     | 4.9%                | 51,326,970                   | 5.7%                | 35,745,515                      | 69.6%             |
| Parks & Comm Serv                 | 4,796,540                     | -2,791,820                               | -58.2%              | 2,004,720                 | -2,004,720                    | -100.0%             | 0                            | -100.0%             | 0                               |                   |
| Development Services              | 8,112,900                     | -351,580                                 | -4.3%               | 7,761,320                 | -484,740                      | -6.2%               | 7,276,580                    | -10.3%              | 7,380,415                       | 101.4%            |
| City Manager's Office             | 2,907,480                     | -13,200                                  | -0.5%               | 2,894,280                 | -1,024,060                    | -35.4%              | 1,870,220                    | -35.7%              | 1,852,239                       | 99.0%             |
| Recreation & Cul Arts             | 0                             | 2,422,750                                |                     | 2,422,750                 | 967,320                       | 39.9%               | 3,390,070                    |                     | 1,092,690                       | 32.2%             |
| Admin Services                    | 5,868,590                     | 195,560                                  | 3.3%                | 6,064,150                 | 17,610                        | 0.3%                | 6,081,760                    | 3.6%                | 5,432,917                       | 89.3%             |
| Other Agencies                    | 5,988,780                     | -1,060,030                               | -17.7%              | 4,928,750                 | 1,501,670                     | 30.5%               | 6,430,420                    | 7.4%                | 22,511,774                      | 350.1%            |
| <b>Oper Budget Total</b>          | <b>113,907,350</b>            | <b>-664,320</b>                          | <b>-0.6%</b>        | <b>113,243,030</b>        | <b>593,680</b>                | <b>0.5%</b>         | <b>113,836,710</b>           | <b>-0.1%</b>        | <b>110,827,885</b>              | <b>97.4%</b>      |
| <b>FY13-14 Budget &gt;&gt;</b>    | FY12-13<br>\$ Budget          | <b>Base<br/>Increment</b>                | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Actual</b>    | <b>%<br/>Used</b> |
| Police                            | 22,231,490                    | 394,480                                  | 1.8%                | 22,625,970                | 179,380                       | 0.8%                | 22,805,350                   | 2.6%                | 21,592,245                      | 94.7%             |
| Fire                              | 15,229,200                    | 170,830                                  | 1.1%                | 15,400,030                | 182,170                       | 1.2%                | 15,582,200                   | 2.3%                | 15,882,817                      | 101.9%            |
| Public Works                      | 51,326,970                    | 486,560                                  | 0.9%                | 51,813,530                | 2,005,800                     | 3.9%                | 53,819,330                   | 4.9%                | 50,446,334                      | 93.7%             |
| Devel & Engineering               | 7,276,580                     | -121,040                                 | -1.7%               | 7,155,540                 | 541,290                       | 7.6%                | 7,696,830                    | 5.8%                | 7,721,493                       | 100.3%            |
| City Manager's Office             | 1,870,220                     | -29,210                                  | -1.6%               | 1,841,010                 | 0                             | 0.0%                | 1,841,010                    | -1.6%               | 2,677,896                       | 145.5%            |
| Recreation & Cul Arts             | 3,390,070                     | 142,490                                  |                     | 3,532,560                 | 16,000                        | 0.5%                | 3,548,560                    |                     | 3,111,764                       | 87.7%             |
| Admin Services                    | 6,081,760                     | -345,730                                 | -5.7%               | 5,736,030                 | 53,260                        | 0.9%                | 5,789,290                    | -4.8%               | 5,468,535                       | 94.5%             |
| Other Agencies                    | 6,430,420                     | -944,130                                 | -14.7%              | 5,486,290                 | 1,905,890                     | 34.7%               | 7,392,180                    | 15.0%               | 5,074,649                       | 68.6%             |
| <b>Oper Budget Total</b>          | <b>113,836,710</b>            | <b>-245,750</b>                          | <b>-0.2%</b>        | <b>113,590,960</b>        | <b>4,883,790</b>              | <b>4.3%</b>         | <b>118,474,750</b>           | <b>4.1%</b>         | <b>111,975,733</b>              | <b>94.5%</b>      |
| <b>FY14-15 Budget &gt;&gt;</b>    | FY13-14<br>\$ Budget          | <b>Base<br/>Increment</b>                | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>%<br/>Used</b> |
| Police                            | 22,805,350                    | 394,480                                  | 1.7%                | 23,199,830                | 195,490                       | 0.8%                | 23,395,320                   | 2.6%                | 22,616,680                      | 96.7%             |
| Fire                              | 15,582,200                    | 170,830                                  | 1.1%                | 15,753,030                | 207,240                       | 1.3%                | 15,960,270                   | 2.4%                | 16,003,150                      | 100.3%            |
| Public Works                      | 53,819,330                    | 486,560                                  | 0.9%                | 54,305,890                | 2,005,800                     | 3.7%                | 56,311,690                   | 4.6%                | 54,412,620                      | 96.6%             |
| Devel & Engineering               | 7,696,830                     | -121,040                                 | -1.6%               | 7,575,790                 | 541,290                       | 7.1%                | 8,117,080                    | 5.5%                | 8,982,640                       | 110.7%            |
| City Manager's Office             | 1,841,010                     | -29,210                                  | -1.6%               | 1,811,800                 | 0                             | 0.0%                | 1,811,800                    | -1.6%               | 2,997,790                       | 165.5%            |
| Recreation & Cul Arts             | 3,548,560                     | 142,490                                  | 4.0%                | 3,691,050                 | 16,000                        | 0.4%                | 3,707,050                    | 4.5%                | 3,224,700                       | 87.0%             |
| Admin Services                    | 5,789,290                     | -345,730                                 | -6.0%               | 5,443,560                 | 53,260                        | 1.0%                | 5,496,820                    | -5.1%               | 5,950,560                       | 108.3%            |
| Other Agencies                    | 7,392,180                     | -944,130                                 | -12.8%              | 6,448,050                 | 1,905,890                     | 29.6%               | 8,353,940                    | 13.0%               | 6,424,180                       | 76.9%             |
| <b>Oper Budget Total</b>          | <b>118,474,750</b>            | <b>-245,750</b>                          | <b>-0.2%</b>        | <b>118,229,000</b>        | <b>4,924,970</b>              | <b>4.2%</b>         | <b>123,153,970</b>           | <b>3.9%</b>         | <b>120,612,320</b>              | <b>97.9%</b>      |

|                            | FY14-15<br>\$ Budget | Base<br>Increment | %<br>Change | \$ Base<br>Budget | \$ Budget<br>Augments | %<br>Change | FY 15-16<br>\$ Budget | %<br>Change |
|----------------------------|----------------------|-------------------|-------------|-------------------|-----------------------|-------------|-----------------------|-------------|
| Police                     | \$23,395,320         | \$438,750         | 1.9%        | \$23,834,070      | \$314,800             | 1.3%        | 24,148,870            | 3.2%        |
| Fire                       | 15,960,270           | 383,370           | 2.4%        | 16,343,640        | -1,260,350            | -7.7%       | 15,083,290            | -5.5%       |
| Public Works               | 41,957,680           | -2,406,860        | -5.7%       | 39,550,820        | 1,231,680             | 3.1%        | 40,782,500            | -2.8%       |
| Utilities                  | 14,354,010           | 81,760            | 0.6%        | 14,435,770        | 630,580               | 4.4%        | 15,066,350            | 5.0%        |
| Development Services       | 8,117,080            | 1,592,740         | 19.6%       | 9,709,820         | 654,790               | 6.7%        | 10,364,610            | 27.7%       |
| City Manager's Office      | 1,811,800            | 66,370            | 3.7%        | 1,878,170         | 6,410                 | 0.3%        | 1,884,580             | 4.0%        |
| Recreation & Cultural Arts | 3,707,050            | -294,570          | -7.9%       | 3,412,480         | 337,150               | 9.9%        | 3,749,630             | 1.1%        |
| Administrative Services    | 5,496,820            | 991,770           | 18.0%       | 6,488,590         | 262,140               | 4.0%        | 6,750,730             | 22.8%       |
| Other Agencies             | 8,353,940            | -421,260          | -5.0%       | 7,932,680         | 1,714,180             | 21.6%       | 9,646,860             | 15.5%       |
| Operating Budget Total     | \$123,153,970        | \$432,070         | 0.4%        | \$123,586,040     | \$3,891,380           | 3.1%        | 127,477,420           | 3.5%        |

### Operating Budget Carryovers

As mentioned on page C7, outstanding encumbrances from the prior fiscal year's operating budget are eligible to be carried over at the end of the fiscal year. However, the proposed expenditure figures for FY15-16, shown on the following pages, reflect only new appropriations and do not include any such carryovers.

During the first quarter of the new fiscal year, a determination will be made as to what encumbrances must be carried forward in the financial system into the new fiscal year. Also, a separate determination is made for what amount of budget authority is to be carried forward for these encumbrances and then added to the approved operating budget. Generally, operating budget carry forwards of budget authority are allowed for only onetime items such as equipment purchases and special projects. Encumbrances for routine purchases and services are carried forward but without budget authority. They must be paid for out of the new appropriations of the new fiscal years.

It is anticipated that such carryovers, from FY14-15 into FY15-16 will not exceed \$750,000. Such carryovers are generally assumed within the estimated expenditure figures for FY14-15.

Budget authority, and their percent over the adopted budget, carried over for the operating budget into prior fiscal years, and their percent of the new adopted operating budget were as follows:

|          |               |       |
|----------|---------------|-------|
| FY 07-08 | \$1,608,1844  | 1.40% |
| FY 08-09 | \$1,031,2077  | 0.85% |
| FY 09-10 | \$1,298,3633  | 1.08% |
| FY 10-11 | \$733,3911    | 0.66% |
| FY 11-12 | \$526,9233    | 0.46% |
| FY 12-13 | \$184,8833    | 0.16% |
| FY 13-14 | \$842,4155    | 0.71% |
| FY 14-15 | \$1,780,430\$ | 1.41% |

### Operating Budget Supplementals

After adoption and after the carryovers have been made, the operating budget can be increased by supplemental appropriations. Supplementals are usually needed to cover expenses for unforeseen events, unanticipated grants, higher than expected work volumes and mid-year adjustments. Supplemental appropriations must be approved by the City Council. In prior years, supplemental appropriations, and their percent of the adopted operating budget, were as follows:

|          |             |       |
|----------|-------------|-------|
| FY 07-08 | \$2,524,779 | 2.20% |
| FY 08-09 | \$1,237,469 | 1.00% |
| FY 09-10 | \$980,70    | 0.80% |
| FY 10-11 | \$1,569,460 | 1.40% |
| FY 11-12 | \$203,93    | 0.18% |
| FY 12-13 | \$2,152,855 | 1.90% |
| FY 13-14 | \$1,153,840 | 0.97% |
| FY 14-15 | \$3,118,620 | 2.47% |

| OPERATING BUDGET<br>BY DEPARTMENTS     | FY12-13          | FY13-14          | %             | FY14-15           | %             | FY15-16               | %             | FY15-16               | % over        |
|--|------------------|------------------|---------------|-------------------|---------------|-----------------------|---------------|-----------------------|---------------|
|  | \$ Actual        | \$ Actual        | Change        | \$ Adopted        | Change        | \$ Base Budget        | Change        | \$ Bud Augment        | Base          |
| 21000 - Police                         | 21,487,013       | 21,592,245       | 0.5%          | 23,883,090        | 10.6%         | 23,834,070            | -0.2%         | 314,800               | 1.3%          |
| 22000 - Fire                           | 15,325,322       | 15,882,817       | 3.6%          | 16,456,970        | 3.6%          | 16,343,640            | -0.7%         | -1,260,350            | -7.7%         |
| 23000 - Public Works                   |                  |                  |               |                   |               |                       |               |                       |               |
| Pub Wks Admin                          | 836,520          | 664,041          | -20.6%        | 669,130           | 0.8%          | 749,900               | 12.1%         | 0                     | 0.0%          |
| Internal Maintenance                   | 2,087,462        | 2,081,084        | -0.3%         | 2,372,360         | 14.0%         | 2,376,930             | 0.2%          | 41,000                | 1.7%          |
| Street Maintenance                     | 2,369,106        | 2,124,607        | -10.3%        | 2,281,580         | 7.4%          | 2,608,700             | 14.3%         | 102,940               | 3.9%          |
| Parks & Ldsp Maintenance               | 4,771,218        | 4,994,292        | 4.7%          | 5,953,960         | 19.2%         | 5,758,870             | -3.3%         | 88,620                | 1.5%          |
| Water & Wastewater                     | 5,660,833        | 5,977,529        | 5.6%          | 6,289,570         | 5.2%          | 6,189,200             | -1.6%         | 0                     | 0.0%          |
| Solid Waste                            | 18,138,379       | 18,295,379       | 0.9%          | 19,924,130        | 8.9%          | 19,181,860            | -3.7%         | 963,800               | 5.0%          |
| Airport & Transit                      | 1,881,997        | 2,070,112        | 10.0%         | 3,041,660         | 46.9%         | 2,685,360             | -11.7%        | 35,320                | 1.3%          |
| 25000 - Utilities                      | 14,471,295       | 14,239,290       | -1.6%         | 15,897,000        | 11.6%         | 15,922,430            | 0.2%          | 351,740               | 2.2%          |
| 31000 - Development Services           |                  |                  |               |                   |               |                       |               |                       |               |
| Admin & Planning                       | 1,411,328        | 1,320,859        | -6.4%         | 1,425,860         | 7.9%          | 1,432,660             | 0.5%          | 287,300               | 20.1%         |
| Building & Code Enforce                | 1,983,912        | 2,328,290        | 17.4%         | 3,265,710         | 40.3%         | 3,122,610             | -4.4%         | -1,200                | 0.0%          |
| Engineering                            | 3,171,270        | 2,907,631        | -8.3%         | 3,819,230         | 31.4%         | 3,924,820             | 2.8%          | 188,200               | 4.8%          |
| Economic Development                   | 293,450          | 436,893          | 48.9%         | 542,530           | 24.2%         | 547,530               | 0.9%          | 141,360               | 25.8%         |
| CDA, Housing, & Downtowr               | 347,419          | 327,845          | -5.6%         | 367,200           | 12.0%         | 367,200               | 0.0%          | 0                     | 0.0%          |
| CDBG Program                           | 173,036          | 399,975          | 131.2%        | 312,370           | -21.9%        | 315,000               | 0.8%          | 39,130                | 12.4%         |
| 61000 - Gen Govt Ag                    |                  |                  |               |                   |               |                       |               |                       |               |
| City Council                           | 93,529           | 101,546          | 8.6%          | 126,000           | 24.1%         | 128,440               | 1.9%          | 7,220                 | 5.6%          |
| City Attorney's Office                 | 751,680          | 738,500          | -1.8%         | 879,560           | 19.1%         | 869,580               | -1.1%         | 0                     | 0.0%          |
| City Manager's Office                  | 1,852,239        | 1,837,850        | -0.8%         | 1,991,630         | 8.4%          | 1,878,170             | -5.7%         | 6,410                 | 0.3%          |
| 41000 Cultural Arts                    | 1,479,822        | 1,309,673        | -11.5%        | 1,651,060         | 26.1%         | 1,468,850             | -11.0%        | 80,170                | 5.5%          |
| Recreation                             | 1,804,982        | 1,802,091        | -0.2%         | 2,013,190         | 11.7%         | 1,943,630             | -3.5%         | 256,980               | 13.2%         |
| 65000 - Administrative Services        |                  |                  |               |                   |               |                       |               |                       |               |
| Human Resources                        | 1,140,563        | 1,068,455        | -6.3%         | 1,492,510         | 39.7%         | 1,500,720             | 0.6%          | 105,940               | 7.1%          |
| Finance                                | 2,901,628        | 3,038,531        | 4.7%          | 3,514,370         | 15.7%         | 3,399,440             | -3.3%         | 156,200               | 4.6%          |
| Information Technology                 | 1,390,726        | 1,361,549        | -2.1%         | 1,604,810         | 17.9%         | 1,588,430             | -1.0%         | 0                     | 0.0%          |
| 69000 - Non-Departmental Group         |                  |                  |               |                   |               |                       |               |                       |               |
| Equipment Acquisition                  | 1,297,772        | 1,078,441        | -16.9%        | 1,149,080         | 6.6%          | 410,000               | -64.3%        | 1,985,800             | 484.3%        |
| Self-Insurance                         | 3,443,483        | 3,201,231        | -7.0%         | 3,946,000         | 23.3%         | 4,048,000             | 2.6%          | 0                     | 0.0%          |
| Others                                 | 261,901          | 794,977          | 203.5%        | 745,000           | -6.3%         | 990,000               | 32.9%         | 0                     | 0.0%          |
| Operating Budget Total                 | 110,827,885      | 111,975,733      | 1.0%          | 125,615,560       | 12.2%         | 123,586,040           | -1.6%         | 3,891,380             | 3.1%          |
| <b>OPERATING BUDGET<br/>BY OBJECTS</b> | <b>FY12-13</b>   | <b>FY13-14</b>   | <b>%</b>      | <b>FY14-15</b>    | <b>%</b>      | <b>FY15-16</b>        | <b>%</b>      | <b>FY15-16</b>        | <b>% over</b> |
|  | <b>\$ Actual</b> | <b>\$ Actual</b> | <b>Change</b> | <b>\$ Adopted</b> | <b>Change</b> | <b>\$ Base Budget</b> | <b>Change</b> | <b>\$ Bud Augment</b> | <b>Base</b>   |
| Personnel Expenses                     | 57,645,108       | 57,408,244       | -0.4%         | 62,885,980        | 9.5%          | 62,206,600            | -1.1%         | 98,730                | 0.2%          |
| Contracted Services                    | 36,164,611       | 36,678,663       | 1.4%          | 41,661,580        | 13.6%         | 41,820,400            | 0.4%          | 1,504,670             | 3.6%          |
| Commodities                            | 4,498,251        | 4,252,047        | -5.5%         | 5,770,270         | 35.7%         | 5,450,410             | -5.5%         | 35,890                | 0.7%          |
| Internal Charges                       | 5,935,377        | 6,872,084        | 15.8%         | 7,406,860         | 7.8%          | 7,433,680             | 0.4%          | -72,600               | -1.0%         |
| Other Payments                         | 6,584,538        | 6,764,695        | 2.7%          | 7,890,870         | 16.6%         | 6,674,950             | -15.4%        | 2,324,690             | 34.8%         |
| Operating Budget Total                 | 110,827,885      | 111,975,733      | 1.0%          | 125,615,560       | 12.2%         | 123,586,040           | -1.6%         | 3,891,380             | 3.1%          |

| OPERATING BUDGET<br>BY FUND GROUPS | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | %<br>Change | FY15-16<br>\$ Base<br>Budget | %<br>Change | FY15-16<br>Budget<br>Augmentations | %<br>Over<br>Base |
|------------------------------------|----------------------|----------------------|-------------|-----------------------|-------------|------------------------------|-------------|------------------------------------|-------------------|
| General Fund                       | \$48,441,005         | \$48,981,094         | 1.1%        | \$56,422,650          | 15.2%       | \$48,548,120                 | -14.0%      | \$225,740                          | 0.5%              |
| Special Revenue Funds              | 12,054,084           | 12,334,068           | 2.3%        | 13,011,240            | 5.5%        | 20,033,500                   | 54.0%       | 850,910                            | 4.3%              |
| Capital Projects Fund              | 233,446              | 213,686              | -8.5%       | 250,000               | 17.0%       | 250,000                      | 0.0%        | 0                                  | 0.0%              |
| Enterprise Funds                   | 41,686,172           | 42,011,123           | 0.8%        | 46,471,940            | 10.6%       | 45,607,570                   | -1.9%       | 1,651,950                          | 3.6%              |
| Internal Service Funds             | 8,413,178            | 8,435,762            | 0.3%        | 9,459,730             | 12.1%       | 9,146,850                    | -3.3%       | 1,162,780                          | 12.7%             |
| Operating Budget Total             | \$110,827,885        | \$111,975,733        | 1.0%        | \$125,615,560         | 12.2%       | 123,586,040                  | -1.6%       | 3,891,380                          | 3.1%              |

## Departmental Sections

Each City department has its own section within this part of the budget document. A mission statement, a departmental budget summary, budget graph, an organizational chart, recent budget history, departmental accomplishments and projections, base analysis, and key indicators are provided. Afterward, program budget data forms for the departmental operating programs are presented.

### Departmental Budget Summary

A Departmental Budget Summary for each department is presented which summarizes the total operating budget for the department for FY15-16. Expenditure data is shown for four fiscal years and detailed by operating program, object category, and funding sources. The summary also provides various graphs highlighting departmental expenditure trends. Also, it details the full-time equivalent count of departmental staffing by position and/or job series. Finally, through the use of meaningful and measurable objectives, each program may later be reviewed in order to determine what objectives were achieved.

### Program Budget Data Forms

For each operating program, there is also a program budget data form. This form provides a program description and lists the program's performance objectives for FY 15-16. The form also provides fiscal and staffing data, and a commentary for the program.

### Performance Objectives

Each program lists a number of performance objectives regarding the activities of that program. Performance objectives are to clearly set forth the goals and objectives of the program. Through the use of meaningful and measurable objectives, each program may later be reviewed in order to determine what objectives were achieved.

### Commentary

The program budget data form provides a brief commentary on any budget trends and changes occurring in FY14-15 or anticipated for FY15-16 that would significantly affect the program.

### Fiscal & Staffing Data

In addition to the performance objectives and commentary, the program budget data form provides fiscal and staffing data pertaining to the program. It summarizes the operating expenditures for the program by object category and funding sources. Also, it provides the equivalency factor for program expenditures. Then, it provides a listing of the program staffing.

## Departmental Budget Analysis

The Departmental Budget Analysis-Part I tables are similar to the Operating Budget Analysis-Part A on page E9. These tables focus on the budgets showing the historical increment growth/change of the operating budgets of the various departments, detailed by objects.

Also, there are Departmental Budget Analysis-Part II tables included in this document. These tables are similar to the Operating Budget Analysis-Part D table on page E13. They detail a department's budget, broken down by its divisions and/or programs by objects, and funding sources. These tables provide an analysis which contrast the department's base budget and budget augmentations with current year adopted budget and two prior fiscal years of actual expenditures.

# POLICE DEPARTMENT

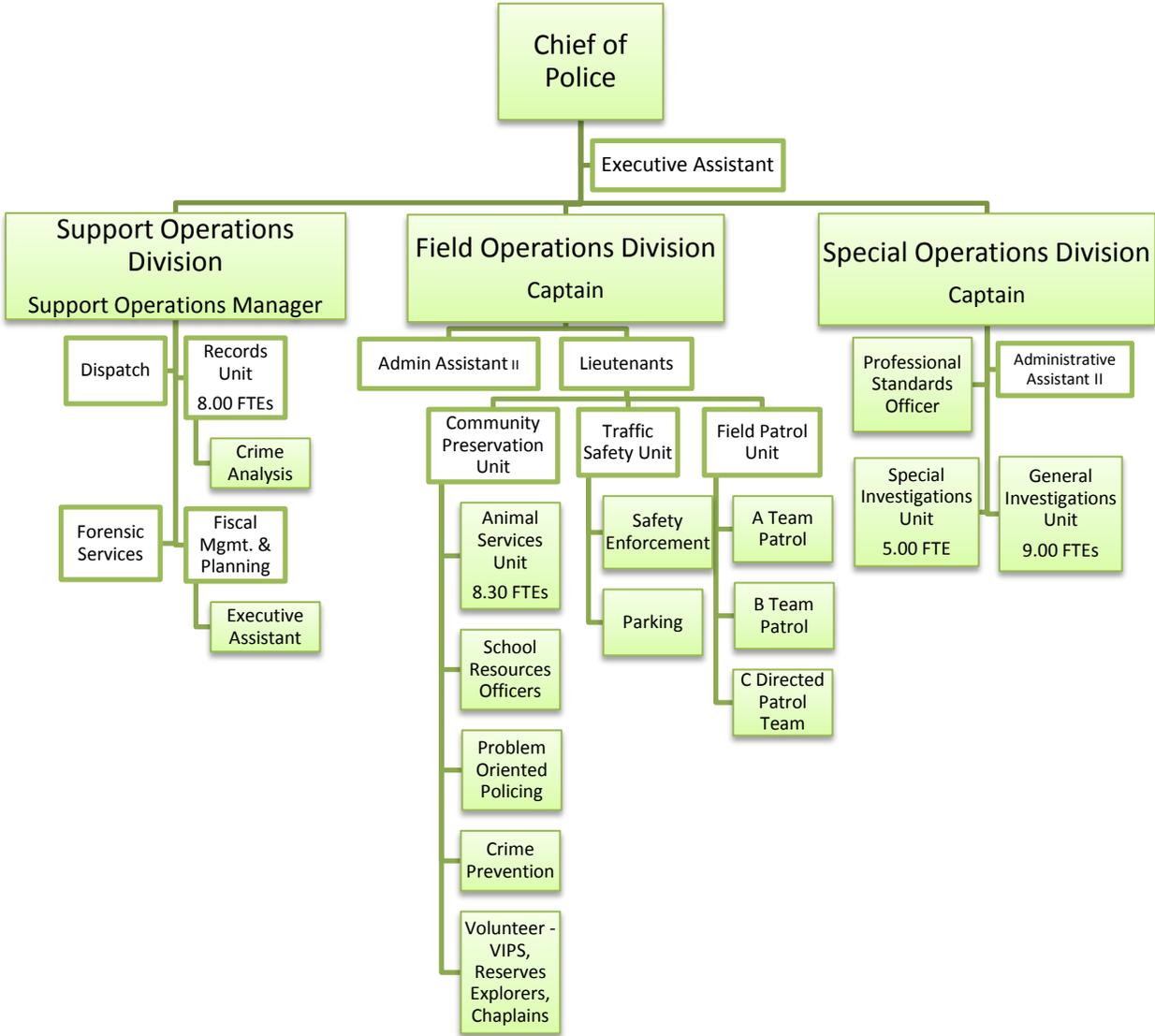
## Mission Statement

Committed to Community Partnerships

## **Department Head**

Gary Hampton, Director of Public Safety

**City of Tracy**  
**POLICE DEPARTMENT**  
**Fiscal Year 15-16**



Department: **21000 - Police Department**

The Police Department provides for law enforcement and public safety within the City through patrol, traffic, investigations, animal control, and communication services.

**COMMENTARY**

As adopted for FY15-16, the departmental budget will increase about 1.1% over the current year adopted budget, and this represents a 5.1% increase over the FY13-14 amended budget.

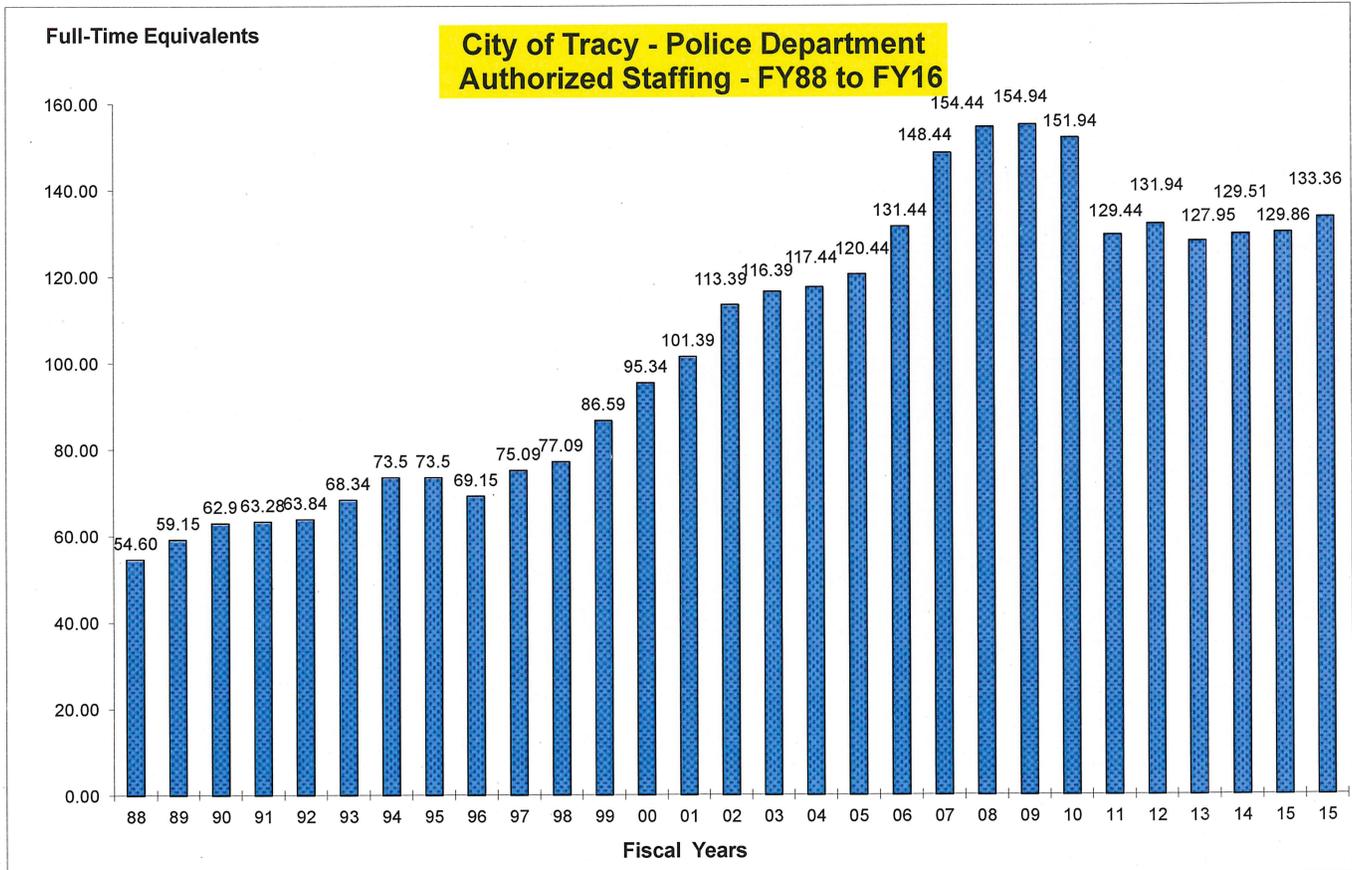
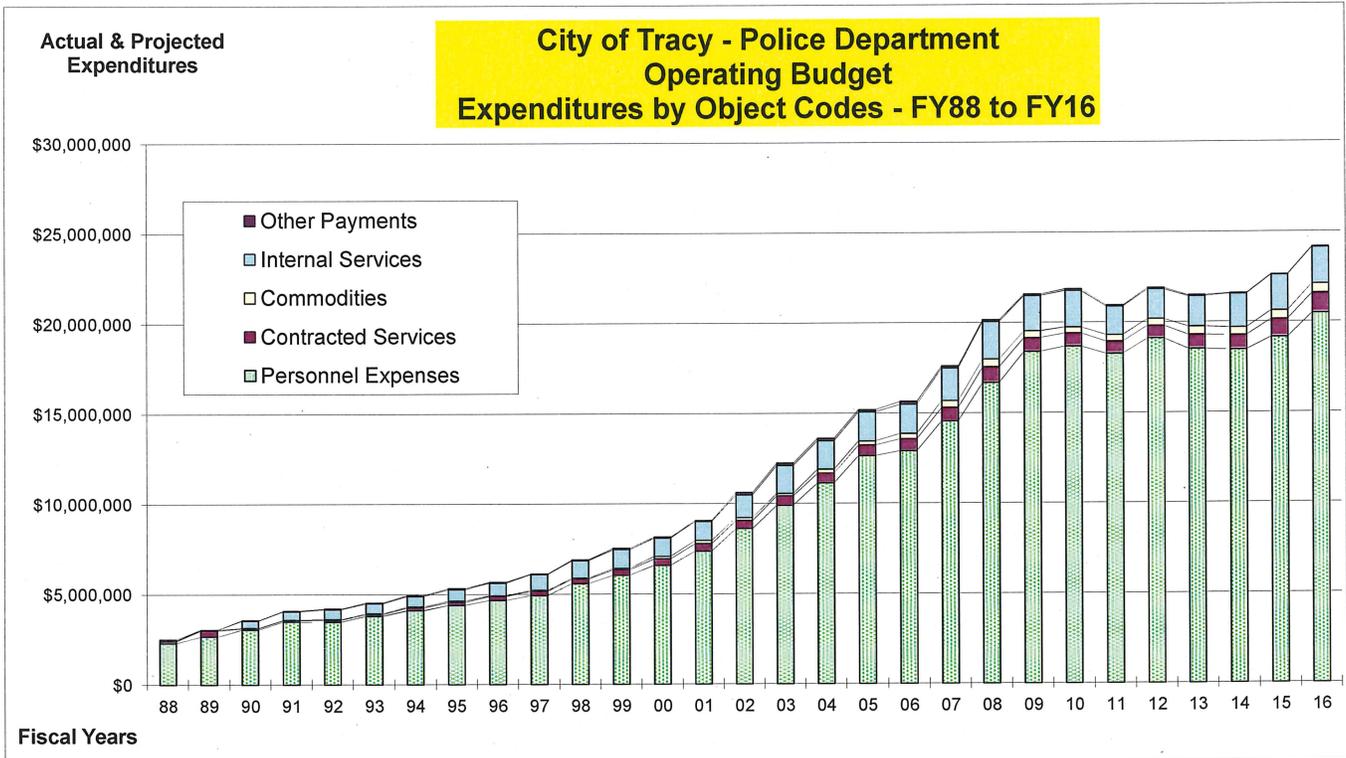
The base component of the budget represents a 0.2% decrease from the current year adopted budget, while budget augmentations will show a 1.3% increase over the base budget.

In FY14-15, departmental staffing added only 0.35 FTEs in other staffing. In FY15-16, departmental staffing will add 3.00 full-time regular positions and 0.50 FTEs in other staffing.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>Chief's Office</b>                   |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 21101 - Police Administration           | 680,834              | 680,460              | -0.1%            | 718,830               | 713,990                 | 99.3%            | 4.9%             | 730,470                | 1.6%               |
| <b>Field Operations Division</b>        |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 21201 - Patrol Force                    | 11,108,227           | 10,782,196           | -2.9%            | 11,489,970            | 10,871,410              | 94.6%            | 0.8%             | 11,539,250             | 0.4%               |
| 21202 - Traffic Enforcement             | 775,135              | 899,161              | 16.0%            | 1,005,970             | 1,062,850               | 105.7%           | 18.2%            | 1,032,020              | 2.6%               |
| 21203 - Parking Enforcement             | 149,427              | 159,509              | 6.7%             | 191,130               | 171,790                 | 89.9%            | 7.7%             | 182,850                | -4.3%              |
| 21204 - Police Reserves                 | 0                    | 0                    |                  | 31,000                | 10,790                  | 34.8%            |                  | 31,300                 | 1.0%               |
| 21206 - Animal Services                 | 636,215              | 595,881              | -6.3%            | 701,510               | 659,100                 | 94.0%            | 10.6%            | 909,410                | 29.6%              |
| 21207 - Youth Services                  | 569,391              | 641,353              | 12.6%            | 679,340               | 624,350                 | 91.9%            | -2.7%            | 670,480                | -1.3%              |
| 21208 - Crime Prevention                | 523,069              | 526,189              | 0.6%             | 557,660               | 530,060                 | 95.1%            | 0.7%             | 559,290                | 0.3%               |
| 21209 - School Crossing Guards          | 202,728              | 204,209              | 0.7%             | 240,000               | 235,000                 | 97.9%            | 15.1%            | 240,000                | 0.0%               |
| <b>Special Operations Division</b>      |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 21301 - General Investigations          | 1,886,934            | 2,038,761            | 8.0%             | 2,265,950             | 2,104,930               | 92.9%            | 3.2%             | 2,259,350              | -0.3%              |
| 21303 - Special Investigations          | 1,115,071            | 1,208,699            | 8.4%             | 1,293,610             | 1,232,550               | 95.3%            | 2.0%             | 1,255,240              | -3.0%              |
| 21304 - Forensic Services               | 699,312              | 698,596              | -0.1%            | 894,380               | 830,010                 | 92.8%            | 18.8%            | 876,410                | -2.0%              |
| <b>Support Operations Division</b>      |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 21401 - Police Records                  | 962,903              | 1,031,023            | 7.1%             | 1,238,600             | 1,243,740               | 100.4%           | 20.6%            | 1,275,010              | 2.9%               |
| 21402 - Communications                  | 2,177,767            | 2,126,208            | -2.4%            | 2,575,140             | 2,326,110               | 90.3%            | 9.4%             | 2,587,790              | 0.5%               |
| Department Total                        | 21,487,013           | 21,592,245           | 0.5%             | 23,883,090            | 22,616,680              | 94.7%            | 4.7%             | 24,148,870             | 1.1%               |
| Amended Budget                          | 22,378,102           | 22,975,915           | 2.7%             |                       | 23,977,890              |                  | 4.4%             |                        |                    |
| % of Amended Spent                      | 96.02%               | 93.98%               |                  |                       | 94.32%                  |                  |                  | over 2 years           | 5.1%               |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 23,834,070             | -0.2%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 314,800                | 1.3%               |

Department: 21000 - Police Department (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 18,519,785           | 18,464,826           | -0.3%            | 20,343,620            | 19,157,680              | 94.2%          | 3.8%             | 20,489,180             | 0.7%               |
| Contracted Services                                     | 763,852              | 793,716              | 3.9%             | 993,180               | 983,860                 | 99.1%          | 24.0%            | 1,089,590              | 9.7%               |
| Commodities   | 457,579              | 434,855              | -5.0%            | 515,710               | 491,400                 | 95.3%          | 13.0%            | 526,340                | 2.1%               |
| Internal Charges  | 1,705,215            | 1,872,131            | 9.8%             | 2,023,080             | 1,978,740               | 97.8%          | 5.7%             | 2,036,260              | 0.7%               |
| Other Payments  | 40,582               | 26,717               | -34.2%           | 7,500                 | 5,000                   | 66.7%          | -81.3%           | 7,500                  | 0.0%               |
| <b>Department Total</b>                                 | <b>21,487,013</b>    | <b>21,592,245</b>    | <b>0.5%</b>      | <b>23,883,090</b>     | <b>22,616,680</b>       | <b>94.7%</b>   | <b>4.7%</b>      | <b>24,148,870</b>      | <b>1.1%</b>        |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101 - Taxes                                | 20,659,851           | 20,471,321           | -0.9%            | 23,084,450            | 21,735,030              | 94.2%          | 6.2%             | 23,309,970             | 1.0%               |
| Fee Revenues  | 180,112              | 207,067              | 15.0%            | 171,000               | 194,750                 | 113.9%         | -5.9%            | 196,500                | 14.9%              |
| Grant Revenues  | 437,748              | 705,535              | 61.2%            | 392,740               | 475,900                 | 121.2%         | -32.5%           | 427,500                | 8.9%               |
| Parking Assessments                                     | 57,294               | 53,924               | -5.9%            | 70,000                | 50,000                  | 71.4%          | -7.3%            | 50,000                 | -28.6%             |
| Traffic Fines Sub-fund 105                              | 145,000              | 145,000              | 0.0%             | 148,000               | 145,000                 | 98.0%          | 0.0%             | 148,000                | 0.0%               |
| Asset Seizure Fund 231                                  | 7,008                | 9,398                | 34.1%            | 16,900                | 16,000                  | 94.7%          | 70.2%            | 16,900                 | 0.0%               |
| <b>Department Total</b>                                 | <b>21,487,013</b>    | <b>21,592,245</b>    | <b>0.5%</b>      | <b>23,883,090</b>     | <b>22,616,680</b>       | <b>94.7%</b>   | <b>4.7%</b>      | <b>24,148,870</b>      | <b>1.1%</b>        |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>Approved  | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     | %<br>Change    |                  | FY15-16<br>Approved    | %<br>Change        |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Chief of Police   | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Police Mid-Managers                                     | 7.00                 | 7.00                 |                  | 7.00                  | 7.00                    |                |                  | 7.00                   |                    |
| Police Sergeants  | 10.00                | 10.00                |                  | 10.00                 | 10.00                   |                |                  | 10.00                  |                    |
| Police Corporals  | 12.00                | 12.00                |                  | 12.00                 | 12.00                   |                |                  | 12.00                  |                    |
| Police Officers   | 56.00                | 56.00                |                  | 56.00                 | 56.00                   |                |                  | 56.00                  |                    |
| Auxiliaries   | 7.00                 | 7.00                 |                  | 7.00                  | 7.00                    |                |                  | 7.00                   |                    |
| Civilian Supervisors                                    | 5.00                 | 5.00                 |                  | 5.00                  | 5.00                    |                |                  | 5.00                   |                    |
| Communication Operators                                 | 13.00                | 14.00                |                  | 14.00                 | 14.00                   |                |                  | 14.00                  |                    |
| Secretarial & Clerical                                  | 11.00                | 11.00                |                  | 11.00                 | 11.00                   |                |                  | 12.00                  |                    |
| Animal Control  | 4.00                 | 4.00                 |                  | 4.00                  | 4.00                    |                |                  | 6.00                   |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Communication Operators                                 | 0.35                 | 0.35                 |                  | 0.35                  | 0.35                    |                |                  | 0.35                   |                    |
| Reserve Officers  | 0.00                 | 0.00                 |                  | 0.35                  | 0.35                    |                |                  | 0.35                   |                    |
| Parking Enforcement                                     | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Auxiliaries   | 0.60                 | 1.16                 |                  | 1.16                  | 1.16                    |                |                  | 1.16                   |                    |
| Project Specialist                                      | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.50                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>127.95</b>        | <b>129.51</b>        | <b>1.2%</b>      | <b>129.86</b>         | <b>129.86</b>           | <b>100.0%</b>  | <b>0.3%</b>      | <b>133.36</b>          | <b>2.7%</b>        |



## Budget Narrative - Police Department

### Recent Budget Changes

#### *FY 10-11*

- Reduction in base budget by \$2,891,420 or 12.1% from FY09-10 Adopted Budget.
- Departmental staffing reduced by 17 full-time regular positions.

#### *FY 11-12*

- Increase in base budget by \$1,086,700 or 5.2% over FY10-11 Adopted Budget.
- Departmental staffing reduced by 1 full-time regular position.
- Overtime budget of \$717,260, including a \$125,000 increase for gang enforcement efforts.
- Budget augmentations of \$344,360.
- Midyear reorganization into 3 divisions with 3.5 net new positions added: Captain, Lieutenant, and Support Operations Manager. A Records Supervisor and a part-time Performance Standards Officer were added.
- An Administrative Assistant II Position was deleted.

#### *FY 12-13*

- Increase in base budget of \$401,330 or 1.8% over FY11-12 adopted budget.
- Departmental staffing was reduced by 5 positions.
- Budget savings of \$600,690 due to retirements of 5 employees.
- A Communications Operator II position was added while a CSO position was deleted.
- New Equipment of \$314,000, including \$280,000 for a special purpose vehicle.
- Equipment Replacement of \$731,970 including \$375,000 for 10 patrol vehicles, and \$150,000 for in-car video systems.

- A temporary Police Officer Position added with grant funding.

#### *FY 13-14*

- Increase in base budget of \$394,480 or 1.8% over FY12-13 Adopted Budget.
- Departmental staffing to add 1 full-time regular and .56 FTEs of other staffing.
- Budget Augmentations of \$179,380.
- A Communication Dispatcher was added at mid-year, while 5 dispatcher positions were upgraded to Lead Dispatcher.
- A part-time Range Master and a DARE Officer were added.
- Equipment replacement of \$416,040 including \$164,000 for 4 patrol vehicles a \$93,380 for firearms.

#### *FY 14-15*

- Increase in base budget of \$882,250 or 3.9% over FY13-14 Adopted Budget. Increase in personnel expenditures of \$781,020.
- Budget augmentation of \$195,490
- Department staffing added .35 FTEs for Police Reserves.
- Equipment replacement of \$313,940

### Proposed Budget Changes for FY15-16

- Decrease in base budget of \$44,020 from FY14-15 Adopted Budget
- Budget augmentations of \$314,800
- Departmental staffing will add 3 new positions: an Administrative Assistant and 2 Animal Service Aides.
- Contract of \$95,000 annually for body camera image storage.
- Equipment replacements of \$676,740, new equipment for \$84,680, and \$140,000 contingency for State COPS grant.

| Major Non-Personnel Expenses | FY13-14   | %Change | FY14-15   | % Change | FY15-16   |
|------------------------------|-----------|---------|-----------|----------|-----------|
| School Crossing Guards       | \$230,000 | 0.00%   | \$240,000 | 0.00%    | \$240,000 |
| Police Training              | 107,020   | 0.00%   | 107,020   | 0.00%    | 107,020   |
| Vehicle Rental               | 33,680    | 0.00%   | 33,680    | -27.00%  | 24,600    |
| Laboratory Testing           | 65,540    | 0.00%   | 65,540    | -7.00%   | 61,180    |
| Computer System Maintenance  | 109,560   | 45.00%  | 159,560   | 1.00%    | 160,550   |
| Data Services                | 63,380    | 14.00%  | 73,880    | 109.00%  | 154,730   |
| Comm. Systems Maintenance    | 57,780    | -8.00%  | 52,780    | 12.00%   | 59,130    |
| New Equipment Acquisition    | \$13,000  |         | \$57,660  |          | \$84,680  |
| Equipment Replacement        | \$416,040 |         | \$313,940 |          | \$676,740 |

21000 - Police Department

Historical Budget Increments, Augmentations, and Usage

| Department Budget<br>By Object             | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |                     |                           |                               |                     | New Year<br>Adopted Budgets  |                     | Actual<br>Budget Use            |                   |
|--|-------------------------------|--|---------------------|---------------------------|-------------------------------|---------------------|------------------------------|---------------------|---------------------------------|-------------------|
|  |                               | Base<br>Increment                          | %<br>Change         | \$ Base<br>Budget         | \$ Budget<br>Augments         | %<br>Base           | FY12-13<br>\$ Budget         | %<br>Change         | FY12-13<br>\$ Actual            | %<br>Used         |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |                     |                           |                               |                     |                              |                     |                                 |                   |
| Personnel Expenses                         | 19,347,700                    | 528,280                                    | 2.7%                | 19,875,980                | -615,360                      | -3.1%               | 19,260,620                   | -0.5%               | 18,519,785                      | 96.2%             |
| Contracted Services                        | 858,050                       | -3,250                                     | -0.4%               | 854,800                   | 14,670                        | 1.7%                | 869,470                      | 1.3%                | 763,852                         | 87.9%             |
| Commodities                                | 363,050                       | -2,500                                     | -0.7%               | 360,550                   | 0                             | 0.0%                | 360,550                      | -0.7%               | 457,579                         | 126.9%            |
| Internal Charges                           | 1,688,350                     | 0  | 0.0%                | 1,688,350                 | 0                             | 0.0%                | 1,688,350                    | 0.0%                | 1,705,215                       | 101.0%            |
| Other Payments                             | 173,700                       | -121,200                                   | -69.8%              | 52,500                    | 0                             | 0.0%                | 52,500                       | -69.8%              | 40,582                          | 77.3%             |
| <b>Department Total</b>                    | <b>22,430,850</b>             | <b>401,330</b>                             | <b>1.8%</b>         | <b>22,832,180</b>         | <b>-600,690</b>               | <b>-2.6%</b>        | <b>22,231,490</b>            | <b>-0.9%</b>        | <b>21,487,013</b>               | <b>96.7%</b>      |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Actual</b>    | <b>%<br/>Used</b> |
| Personnel Expenses                         | 19,260,620                    | 137,800                                    | 0.7%                | 19,398,420                | 149,180                       | 0.8%                | 19,547,600                   | 1.5%                | 18,464,826                      | 94.5%             |
| Contracted Services                        | 869,470                       | -10,760                                    | -1.2%               | 858,710                   | 55,000                        | 6.4%                | 913,710                      | 5.1%                | 793,716                         | 86.9%             |
| Commodities                                | 360,550                       | 99,330                                     | 27.5%               | 459,880                   | 20,200                        | 4.4%                | 480,080                      | 33.2%               | 434,855                         | 90.6%             |
| Internal Charges                           | 1,688,350                     | 168,110                                    | 10.0%               | 1,856,460                 | 0                             | 0.0%                | 1,856,460                    | 10.0%               | 1,872,131                       | 100.8%            |
| Other Payments                             | 52,500                        | 0  | 0.0%                | 52,500                    | -45,000                       | -85.7%              | 7,500                        | -85.7%              | 26,717                          | 356.2%            |
| <b>Department Total</b>                    | <b>22,231,490</b>             | <b>394,480</b>                             | <b>1.8%</b>         | <b>22,625,970</b>         | <b>179,380</b>                | <b>0.8%</b>         | <b>22,805,350</b>            | <b>2.6%</b>         | <b>21,592,245</b>               | <b>94.7%</b>      |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>%<br/>Used</b> |
| Personnel Expenses                         | 19,547,600                    | 781,020                                    | 4.0%                | 20,328,620                | 15,000                        | 0.1%                | 20,343,620                   | 4.1%                | 19,157,680                      | 94.2%             |
| Contracted Services                        | 913,710                       | -15,100                                    | -1.7%               | 898,610                   | 94,570                        | 10.5%               | 993,180                      | 8.7%                | 983,860                         | 99.1%             |
| Commodities                                | 480,080                       | 9,980                                      | 2.1%                | 490,060                   | 25,650                        | 5.2%                | 515,710                      | 7.4%                | 491,400                         | 95.3%             |
| Internal Charges                           | 1,856,460                     | 106,350                                    | 5.7%                | 1,962,810                 | 60,270                        | 3.1%                | 2,023,080                    | 9.0%                | 1,978,740                       | 97.8%             |
| Other Payments                             | 7,500                         | 0  | 0.0%                | 7,500                     | 0                             | 0.0%                | 7,500                        | 0.0%                | 5,000                           | 66.7%             |
| <b>Department Total</b>                    | <b>22,805,350</b>             | <b>882,250</b>                             | <b>3.9%</b>         | <b>23,687,600</b>         | <b>195,490</b>                | <b>0.8%</b>         | <b>23,883,090</b>            | <b>4.7%</b>         | <b>22,616,680</b>               | <b>94.7%</b>      |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY14-15<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Budget</b> | <b>%<br/>Change</b> |                                 |                   |
| Personnel Expenses                         | 20,343,620                    | -74,240                                    | -0.4%               | 20,269,380                | 219,800                       | 1.1%                | 20,489,180                   | 0.7%                |                                 |                   |
| Contracted Services                        | 993,180                       | 410  | 0.0%                | 993,590                   | 96,000                        | 9.7%                | 1,089,590                    | 9.7%                |                                 |                   |
| Commodities                                | 515,710                       | 11,630                                     | 2.3%                | 527,340                   | -1,000                        | -0.2%               | 526,340                      | 2.1%                |                                 |                   |
| Internal Charges                           | 2,023,080                     | 13,180                                     | 0.7%                | 2,036,260                 | 0                             | 0.0%                | 2,036,260                    | 0.7%                |                                 |                   |
| Other Payments                             | 7,500                         | 0  | 0.0%                | 7,500                     | 0                             | 0.0%                | 7,500                        | 0.0%                |                                 |                   |
| <b>Department Total</b>                    | <b>23,883,090</b>             | <b>-49,020</b>                             | <b>-0.2%</b>        | <b>23,834,070</b>         | <b>314,800</b>                | <b>1.3%</b>         | <b>24,148,870</b>            | <b>1.1%</b>         |                                 |                   |

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 21000 - Police Department

| Department Budget<br>By Program            | FY12-13    | FY13-14    | %      | FY14-15    | %      | FY15-16        | %       | FY15-16        | % over |
|--|------------|------------|--------|------------|--------|----------------|---------|----------------|--------|
|  | \$ Actual  | \$ Actual  | Change | \$ Adopted | Change | \$ Base Budget | Change  | \$ Bud Augment | Base   |
| 21101 - Police Administration              | 680,834    | 680,460    | -0.1%  | 718,830    | 5.6%   | 730,470        | 1.6%    | 0              | 0.0%   |
| 21201 - Patrol Force                       | 11,108,227 | 10,782,196 | -2.9%  | 11,489,970 | 6.6%   | 11,544,250     | 0.5%    | -5,000         | 0.0%   |
| 21202 - Traffic Enforcement                | 775,135    | 899,161    | 16.0%  | 1,005,970  | 11.9%  | 1,032,020      | 2.6%    | 0              | 0.0%   |
| 21203 - Parking Enforcement                | 149,427    | 159,509    | 6.7%   | 191,130    | 19.8%  | 182,850        | -4.3%   | 0              | 0.0%   |
| 21204 - Police Reserves                    | 0          | 0          |        | 31,000     |        | 31,300         | 1.0%    | 0              | 0.0%   |
| 21206 - Animal Services                    | 636,215    | 595,881    | -6.3%  | 701,510    | 17.7%  | 689,610        | -1.7%   | 219,800        | 31.9%  |
| 21207 - Youth Services                     | 569,391    | 641,353    | 12.6%  | 679,340    | 5.9%   | 670,480        | -1.3%   | 0              | 0.0%   |
| 21208 - Crime Prevention                   | 523,069    | 526,189    | 0.6%   | 557,660    | 6.0%   | 554,290        | -0.6%   | 5,000          | 0.9%   |
| 21209 - School Crossing Guard              | 202,728    | 204,209    | 0.7%   | 240,000    | 17.5%  | 240,000        | 0.0%    | 0              | 0.0%   |
| 21304 - Forensic Services                  | 699,312    | 698,596    | -0.1%  | 894,380    | 28.0%  | 876,410        | -2.0%   | 0              | 0.0%   |
| 21301 - General Investigations             | 1,886,934  | 2,038,761  | 8.0%   | 2,265,950  | 11.1%  | 2,259,350      | -0.3%   | 0              | 0.0%   |
| 21303 - Special Investigations             | 1,115,071  | 1,208,699  | 8.4%   | 1,293,610  | 7.0%   | 1,255,240      | -3.0%   | 0              | 0.0%   |
| 21401 - Police Records                     | 962,903    | 1,031,023  | 7.1%   | 1,238,600  | 20.1%  | 1,180,010      | -4.7%   | 95,000         | 8.1%   |
| 21402 - Communications                     | 2,177,767  | 2,126,208  | -2.4%  | 2,575,140  | 21.1%  | 2,587,790      | 0.5%    | 0              | 0.0%   |
| Department Total                           | 21,487,013 | 21,592,245 | 0.5%   | 23,883,090 | 10.6%  | 23,834,070     | -0.2%   | 314,800        | 1.3%   |
| <b>Department Budget by Object</b>         |            |            |        |            |        |                |         |                |        |
| Personnel Expenses                         | 18,519,785 | 18,464,826 | -0.3%  | 20,343,620 | 10.2%  | 20,269,380     | -0.4%   | 219,800        | 1.1%   |
| Contracted Services                        | 763,852    | 793,716    | 3.9%   | 993,180    | 25.1%  | 993,590        | 0.0%    | 96,000         | 9.7%   |
| Commodities                                | 457,579    | 434,855    | -5.0%  | 515,710    | 18.6%  | 527,340        | 2.3%    | -1,000         | -0.2%  |
| Internal Charges                           | 1,705,215  | 1,872,131  | 9.8%   | 2,023,080  | 8.1%   | 2,036,260      | 0.7%    | 0              | 0.0%   |
| Other Payments                             | 40,582     | 26,717     | -34.2% | 7,500      | -71.9% | 7,500          | 0.0%    | 0              | 0.0%   |
| Department Total                           | 21,487,013 | 21,592,245 | 0.5%   | 23,883,090 | 10.6%  | 23,834,070     | -0.2%   | 314,800        | 1.3%   |
| <b>Department Budget by Funding Source</b> |            |            |        |            |        |                |         |                |        |
| General Fund 101 - Taxes                   | 20,659,851 | 20,471,321 | -0.9%  | 23,084,450 | 12.8%  | 23,035,430     | -0.2%   | 274,540        | 1.2%   |
| Fee Revenues                               | 180,112    | 207,067    | 15.0%  | 171,000    | -17.4% | 171,000        | 0.0%    | 25,500         | 14.9%  |
| Grant Revenues                             | 437,748    | 705,535    | 61.2%  | 392,740    | -44.3% | 392,740        | 0.0%    | 34,760         | 8.9%   |
| Parking Assessments                        | 57,294     | 53,924     | -5.9%  | 70,000     | 29.8%  | 70,000         | 0.0%    | -20,000        | -28.6% |
| Traffic Fines Sub-fund 105                 | 145,000    | 145,000    | 0.0%   | 148,000    | 2.1%   | 148,000        | 0.0%    | 0              | 0.0%   |
| Asset Seizure Fund 231                     | 7,008      | 9,398      | 34.1%  | 16,900     | 79.8%  | 16,900         | 0.0%    | 0              |        |
| Department Total                           | 21,487,013 | 21,592,245 | 0.5%   | 23,883,090 | 10.6%  | 23,834,070     | -0.2%   | 314,800        | 1.3%   |
| <b>Department Staffing</b>                 |            |            |        |            |        |                |         |                |        |
| Total - Full Time Equivalent               | 127.95     | 129.51     | 1.2%   | 129.86     | 0.3%   | 129.86         | 0.0%    | 3.50           | 2.7%   |
| <b>Department Equipment Purchase</b>       |            |            |        |            |        |                |         |                |        |
| Replacement Equipment                      | 619,613    | 619,613    | 0.0%   | 313,940    | -49.3% | 70,000         | -77.7%  | 699,740        | 999.6% |
| New Equipment                              | 370,591    | 370,591    | 0.0%   | 57,660     | -84.4% | 0              | -100.0% | 201,680        |        |

## CORE MEASURES and Supporting Data for POLICE Department

| from<br>ICMA-CPM Data Templates                   | FY10-11<br>Actual | FY11-12<br>Actual | FY12-13<br>Actual | FY13-14<br>Actual | %<br>Change | FY14-15<br>Projected | FY14-15<br>Estimate | %<br>Change | FY15-16<br>Projected | %<br>Change |
|---|-------------------|-------------------|-------------------|-------------------|-------------|----------------------|---------------------|-------------|----------------------|-------------|
| Resident Population                               | 83,242            | 83,562            | 84,466            | 84,937            | 0.56%       | 86,400               | 85,296              | 0.42%       | 85,600               | 0.36%       |
| Area Served (Square Miles)                        | 23.0              | 23.0              | 26.3              | 29.1              | 10.65%      | 29.1                 | 29.1                | 0.00%       | 29.1                 | 0.00%       |
| Sworn and Civilian FTEs                           | 129.44            | 131.94            | 127.95            | 129.51            | 1.22%       | 129.86               | 129.86              | 0.27%       | 133.36               | 2.70%       |
| per 1,000 Population                              | 1.56              | 1.58              | 1.52              | 1.54              | 1.22%       | 1.53                 | 1.54                | -0.09%      | 1.57                 | 2.21%       |
| Departmental Costs (\$1,000)                      | \$20,950          | \$21,893          | \$21,487          | \$21,592          | 0.49%       | \$23,883             | \$22,616            | 4.74%       | \$24,149             | 6.78%       |
| per Capita  | \$251.68          | \$262.00          | \$254.39          | \$254.21          | -0.07%      | \$276.42             | \$265.15            | 4.30%       | \$282.11             | 6.40%       |
| Total Comm Center Calls                           | 114,890           | 115,283           | 117,199           | 120,084           | 2.46%       | 126,736              | 122,485             | 2.00%       | 123,036              | 0.45%       |
| Calls for Police Services                         | 22,599            | 35,164            | 41,969            | 43,844            | 4.47%       | 45,788               | 45,863              | 4.60%       | 46,783               | 2.01%       |
| Dispatched Police Calls                           | 26,713            | 26,554            | 27,858            | 29,206            | 4.84%       | 30,187               | 30,631              | 4.88%       | 32,003               | 4.48%       |
| Police Initiated Actions                          | 22,318            | 21,089            | 17,354            | 18,273            | 5.30%       | 19,072               | 19,104              | 4.55%       | 19,839               | 3.85%       |
| Dispatched Calls/1,000 Populat                    | 320.9             | 317.8             | 329.8             | 343.9             | 4.26%       | 349.4                | 359.1               | 4.44%       | 373.9                | 4.11%       |
| # of Top Priority Calls                           | 3,062             | 3,863             | 9,094             | 10,138            | 11.48%      | 10,642               | 11,022              | 8.72%       | 11,784               | 6.91%       |
| as a % of all Dispatched Polic                    | 11.46%            | 14.55%            | 32.64%            | 34.71%            | 6.33%       | 35.25%               | 35.98%              | 3.66%       | 36.82%               | 2.33%       |
| per 1,000 Population                              | 36.8              | 46.2              | 107.7             | 119.4             | 10.86%      | 123.2                | 129.7               | 8.66%       | 137.7                | 6.14%       |
| Average Response Time (Seco                       | 382               | 369               | 354               | 376               | 6.21%       | 390                  | 385                 | 2.39%       | 393                  | 2.08%       |
| Receipt to Dispatch (Seconds)                     | 121               | 118               | 112               | 122               | 8.93%       | 128                  | 125                 | 2.46%       | 128                  | 2.40%       |
| Dispatch to Arrive (Seconds)                      | 261               | 251               | 241               | 254               | 5.39%       | 250                  | 260                 | 2.36%       | 265                  | 1.92%       |
| UCR Part I Crimes Reported                        | 2,556             | 2,250             | 2,520             | 2,458             | -2.46%      | 2,778                | 2,100               | -14.56%     | 2,310                | 10.00%      |
| Violent Crimes                                    | 128               | 139               | 153               | 147               | -3.92%      | 168                  | 118                 | -19.73%     | 130                  | 10.17%      |
| Property Crimes                                   | 2,428             | 2,111             | 2,367             | 2,311             | -2.37%      | 2,609                | 1,982               | -14.24%     | 2,180                | 9.99%       |
| UCR Part I Crimes / 1,000 Popu                    | 30.7              | 26.9              | 29.8              | 28.9              | -3.00%      | 32.2                 | 24.6                | -14.92%     | 27.0                 | 9.61%       |
| assigned to Investigators                         | 159               | 250               | 170               | 238               | 40.00%      | 276                  | 255                 | 7.14%       | 270                  | 5.88%       |
| % assigned to Investigators                       | 6.22%             | 11.11%            | 6.75%             | 9.68%             | 43.53%      | 9.94%                | 12.14%              | 25.41%      | 11.69%               | -3.74%      |
| UCR Part I Crimes Cleared                         | 497               | 447               | 400               | 540               | 35.00%      | 402                  | 648                 | 20.00%      | 713                  | 10.03%      |
| % of UCR Part I Crimes Clear                      | 19.44%            | 19.87%            | 15.87%            | 21.97%            | 38.41%      | 14.47%               | 30.86%              | 40.46%      | 30.87%               | 0.03%       |
| UCR Part I Crimes Arrests                         | 471               | 452               | 609               | 407               | -33.17%     | 671                  | 586                 | 43.98%      | 645                  | 10.07%      |
| Total Arrests                                     | 2,935             | 2,897             | 2,929             | 2,541             | -13.25%     | 3,229                | 2,274               | -10.51%     | 2,501                | 9.98%       |
| Juvenile Arrests                                  | 437               | 383               | 212               | 211               | -0.47%      | 233                  | 244                 | 15.64%      | 268                  | 9.84%       |
| Drug Arrests                                      | 550               | 365               | 341               | 394               | 15.54%      | 376                  | 376                 | -4.57%      | 414                  | 10.11%      |
| DUI Arrests                                       | 232               | 126               | 150               | 96                | -36.00%     | 165                  | 72                  | -25.00%     | 79                   | 9.72%       |
| Total Arrests per 1,000 Populat                   | 35.3              | 34.7              | 34.7              | 29.9              | -13.73%     | 37.4                 | 26.7                | -10.88%     | 29.2                 | 9.59%       |
| Traffic Incidents                                 | 967               | 1,004             | 1,060             | 884               | -16.60%     | 1,169                | 974                 | 10.18%      | 1,071                | -19.10%     |
| Fatal / Injuries                                  | 1 / 117           | 1 / 187           | 2 / 176           | 2 / 200           | 5.62%       | 1 / 195              | 2 / 216             | 0.02%       | 2 / 237              | -39.80%     |
| Citations Issued for Moving Violat                | 6,669             | 5,429             | 3,519             | 4,217             | 19.84%      | 3,880                | 5,016               | 18.95%      | 5,518                | -19.10%     |
| <b>Citizen Survey Ratings</b> (Safe or Very Safe) |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Neighborhood Safety During Day                    |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Neighborhood Safety After Dark                    |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Business Area Safety During Day                   |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Business Area Safety After Dark                   |                   |                   |                   |                   |             |                      |                     |             |                      |             |

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Completed the design of the New Animal Services Facility.
2. Implemented year two of the department's three year Strategic Plan.
3. Continued collaboration with other county, state and federal law enforcement partners in the pursuit of criminals in Tracy by sharing resources during joint operations.
4. Enhanced community reporting of criminal activities, thereby improving focused deployment of proactive resources.
5. Began planning phase for training for implementation of the new CAD/RMS system.

### Current Projections: FY 14-15

1. Complete year three of the department's three year Strategic Plan.
2. Begin construction of Phase I of the new Animal Services Facility.
3. Implemented first phase of the CAD/RMS project. Due to implementation difficulties, department reverted back to previous system.
4. Completed Firearms Range Facility CIP.
5. Designed and began phasing new radio system equipment and infrastructure as part of the County-wide Communications Master Plan.
6. Remodel of radio equipment room for new radio communications equipment.

### Future Projections: FY 15-16

1. Begin construction on 180 foot radio communications tower with road and fencing to provide radio communications in new development to provide inter-operability with surrounding public safety agencies.
2. Continue to use crime analyst to collect and analyze criminal activities and to identify crime patterns and assist with active deployment.
3. Install new radio consoles in Communications center.
4. Continue with collaboration with other county, state and federal law enforcement partners in the pursuit of criminals in Tracy by sharing resources.
5. Complete 12 month evaluation of CAD/RMS to determine if the system meets the needs of the department.
6. Implement body worn cameras for patrol personnel.

Department: **21000 - Police Department**  
 Division: **21100 - Chief's Office**  
 Program: **21101 - Police Administration**

Administer and direct the Police Department and provide the necessary administrative support for its operations and activities.

**PERFORMANCE OBJECTIVES**

1. To administer the 13 programs of the department at an Admin cost cost of 3.0% or less of the department operating budget.
2. To oversee a departmental budget of over \$24,148,870 and with an authorized staffing of 133.36 full-time equivalents.
3. To implement Year Three of the Five Year Strategic Plan.
4. To increase the department's efficiency and effectiveness, thereby reducing crime and enhancing community safety.

**COMMENTARY**

This program provides for the Police Chief and supporting administrative staff for the Department.  
 In FY11-12 and FY12-13, program staffing was reduced with reallocations to other departmental programs and staff retirements. So, program costs decrease, particularly personnel costs. Also, in FY13-14, program costs a showed a slight decrease. But, in FY14-15, a moderate increase is expected.  
 For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges and commodities, and some minimal adjustments for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 577,463              | 563,432              | -2.4%            | 586,940               | 586,230                 | 99.9%          | 4.0%             | 596,770               | 1.7%               |
| Contracted Services                | 12,454               | 19,959               | 60.3%            | 32,090                | 28,460                  | 88.7%          | 42.6%            | 29,910                | -6.8%              |
| Commodities                        | 29,080               | 31,735               | 9.1%             | 29,970                | 29,500                  | 98.4%          | -7.0%            | 31,780                | 6.0%               |
| Internal Charges                   | 61,837               | 65,334               | 5.7%             | 69,830                | 69,800                  | 100.0%         | 6.8%             | 72,010                | 3.1%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                      | 680,834              | 680,460              | -0.1%            | 718,830               | 713,990                 | 99.3%          | 4.9%             | 730,470               | 1.6%               |
| Amended Budget                     | 659,161              | 695,770              |                  |                       | 718,830                 |                |                  |                       |                    |
| % of Amended Spent                 | 103.3%               | 97.8%                |                  |                       | 99.3%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |         |         |       |         |         |       |      |         |      |
|--------------------------|---------|---------|-------|---------|---------|-------|------|---------|------|
| General Fund 101 - Taxes | 680,834 | 680,460 | -0.1% | 718,830 | 713,990 | 99.3% | 4.9% | 730,470 | 1.6% |
| State Grants             | 0       | 0       |       | 0       | 0       |       |      | 0       |      |
| Program Total            | 680,834 | 680,460 | -0.1% | 718,830 | 713,990 | 99.3% | 4.9% | 730,470 | 1.6% |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Chief of Police                | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Exec Asst II -Senior Secretary | 1.20 | 1.20 |  | 1.20 | 1.20 |  |  | 1.20 |  |
| Support Ops Manager            | 0.20 | 0.20 |  | 0.20 | 0.20 |  |  | 0.20 |  |

*Other Staffing (Full-Time Equivalents)*

|                             |      |      |  |      |      |  |  |      |  |
|-----------------------------|------|------|--|------|------|--|--|------|--|
| Prof Standards Officer      | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Police Chaplain (Volunteer) | 0.10 | 0.10 |  | 0.10 | 0.10 |  |  | 0.10 |  |

|                               |      |      |      |      |      |  |      |      |      |
|-------------------------------|------|------|------|------|------|--|------|------|------|
| Total - Full-Time Equivalents | 3.00 | 3.00 | 0.0% | 3.00 | 3.00 |  | 0.0% | 3.00 | 0.0% |
|-------------------------------|------|------|------|------|------|--|------|------|------|

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21201 - Patrol Force**

**PERFORMANCE OBJECTIVES**

Provide a uniformed patrol force for first level response to the community with emphasis on crime prevention & suppression, law enforcement, order maintenance, traffic flow, and public safety.

1. To provide adequate levels of police coverage by deploying a minimum force to cover six beats, 24 hours per day, 7 days a week.
2. To respond to Priority 1 assignments with an average response time of below 7 minutes.
3. To respond to 60,000 service calls and to handle 20,000 incidents requiring officer-initiated action.
4. To increase traffic safety through a collaborative effort between Patrol and Traffic units by providing additional DUI patrols, DUI checkpoints, and directed traffic safety enforcement.
5. To increase our efforts towards property crime reduction through enforcement and directed patrols, collaborating with the Special Operations Division and Crime Analyst.

**COMMENTARY**

This program provides for 47 Patrol Officers working 6 beats and their supervisory and support staff.

In FY13-14, program costs showed a decrease; due to vacancies, personnel costs were down for the year.

In FY14-15, program costs are expected to show a minimal increase; again due to vacancies, personnel costs are below budget.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing, some cost increments for contracted services, and some minimal adjustments for other cost items.

|   | FY12-13           | FY13-14           | % Cost       | FY14-15           | FY14-15           | % of         | % Cost      | FY15-16           | % Budget    |
|---|-------------------|-------------------|--------------|-------------------|-------------------|--------------|-------------|-------------------|-------------|
| <b>PROGRAM EXPENDITURES</b>                   | \$ Actual         | \$ Actual         | Change       | \$ Adopted        | \$ Estimated      | Budget       | Change      | \$ Adopted        | Change      |
| Personnel Expenses                            | 9,804,905         | 9,486,979         | -3.2%        | 10,082,620        | 9,524,800         | 94.5%        | 0.4%        | 10,130,910        | 0.5%        |
| Contracted Services                           | 141,693           | 146,141           | 3.1%         | 145,860           | 142,730           | 97.9%        | -2.3%       | 151,660           | 4.0%        |
| Commodities                                   | 329,569           | 283,826           | -13.9%       | 345,500           | 328,880           | 95.2%        | 15.9%       | 340,500           | -1.4%       |
| Internal Charges                              | 832,060           | 865,250           | 4.0%         | 915,990           | 875,000           | 95.5%        | 1.1%        | 916,180           | 0.0%        |
| Other Payments                                | 0                 | 0                 |              | 0                 | 0                 |              |             | 0                 |             |
| <b>Program Total</b>                          | <b>11,108,227</b> | <b>10,782,196</b> | <b>-2.9%</b> | <b>11,489,970</b> | <b>10,871,410</b> | <b>94.6%</b> | <b>0.8%</b> | <b>11,539,250</b> | <b>0.4%</b> |
| Amended Budget                                | 10,987,336        | 11,025,726        |              |                   | 11,489,970        |              |             |                   |             |
| % of Amended Spent                            | 101.1%            | 97.8%             |              |                   | 94.6%             |              |             |                   |             |
| <b>FUNDING SOURCES</b>                        |                   |                   |              |                   |                   |              |             |                   |             |
| General Fund 101 - Taxes                      | 10,977,703        | 10,584,204        | -3.6%        | 11,344,970        | 10,714,410        | 94.4%        | 1.2%        | 11,382,450        | 0.3%        |
| State POST Reimbursements                     | 83,260            | 47,199            | -43.3%       | 45,000            | 22,000            | 48.9%        | -53.4%      | 25,000            | -44.4%      |
| State Grants                                  | 47,264            | 150,793           | 219.0%       | 100,000           | 135,000           | 135.0%       | -10.5%      | 131,800           | 31.8%       |
| <b>Program Total</b>                          | <b>11,108,227</b> | <b>10,782,196</b> | <b>-2.9%</b> | <b>11,489,970</b> | <b>10,871,410</b> | <b>94.6%</b> | <b>0.8%</b> | <b>11,539,250</b> | <b>0.4%</b> |
| <b>PROGRAM STAFFING</b>                       |                   |                   |              |                   |                   |              |             |                   |             |
| <i>Regular Positions</i>                      |                   |                   |              |                   |                   |              |             |                   |             |
| Deputy Chief/Police Captain                   | 0.65              | 0.65              |              | 0.65              | 0.65              |              |             | 0.65              |             |
| Police Lieutenant                             | 2.60              | 2.60              |              | 2.60              | 2.60              |              |             | 2.60              |             |
| Police Sergeant                               | 7.00              | 7.00              |              | 7.00              | 7.00              |              |             | 7.00              |             |
| Police Corporals/Officers                     | 47.00             | 47.00             |              | 47.00             | 47.00             |              |             | 47.00             |             |
| Police Asst/Comm Service Officer              | 1.00              | 1.00              |              | 1.00              | 1.00              |              |             | 1.00              |             |
| Executive Asst I/Admin Asst II                | 0.65              | 0.65              |              | 0.65              | 0.65              |              |             | 0.65              |             |
| Crime Analyst                                 | 0.30              | 0.30              |              | 0.30              | 0.30              |              |             | 0.30              |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                   |                   |              |                   |                   |              |             |                   |             |
| Range Master                                  | 0.00              | 0.11              |              | 0.11              | 0.11              |              |             | 0.11              |             |
| <b>Total - Full-Time Equivalents</b>          | <b>59.20</b>      | <b>59.31</b>      | <b>0.2%</b>  | <b>59.31</b>      | <b>59.31</b>      |              | <b>0.0%</b> | <b>59.31</b>      | <b>0.0%</b> |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21202 - Traffic Enforcement**

**PERFORMANCE OBJECTIVES**

Enforce Vehicle Code requirements and restrictions by uniformed vehicle and motorcycle patrol; investigate traffic accidents.

1. To make 8,000 citizen contacts regarding traffic safety violations during vehicle and pedestrian stops.
2. To provide 50% of work hours to enforcement / patrol time.
3. To participate in traffic safety operations funded by grants such as AVOID.
4. To conduct 550 hours of traffic safety enforcement in school zones.

**COMMENTARY**

This program provides for 4 Motorcycle Traffic Officers and their supervisory and support staff.

In FY13-14, program costs increased. Personnel costs were up, due to grant activities, but were below budget due to the vacancies.

In FY14-15, program costs will increase. Personnel costs are up, due to grant activities, but with full program staffing for the year.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

|   | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
| <b><u>PROGRAM EXPENDITURES</u></b>            | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 717,859   | 821,837   | 14.5%  | 931,550    | 991,890      | 106.5% | 20.7%  | 957,600    | 2.8%     |
| Contracted Services                           | 795       | 2,767     | 248.1% | 1,590      | 2,500        | 157.2% | -9.6%  | 1,590      | 0.0%     |
| Commodities                                   | 10,211    | 17,286    | 69.3%  | 12,750     | 12,700       | 99.6%  | -26.5% | 15,110     | 18.5%    |
| Internal Charges                              | 46,270    | 44,972    | -2.8%  | 60,080     | 55,760       | 92.8%  | 24.0%  | 57,720     | -3.9%    |
| Other Payments                                | 0         | 12,299    |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 775,135   | 899,161   | 16.0%  | 1,005,970  | 1,062,850    | 105.7% | 18.2%  | 1,032,020  | 2.6%     |
| Amended Budget                                | 1,046,825 | 1,086,069 |        |            | 1,005,970    |        |        |            |          |
| % of Amended Spent                            | 74.0%     | 82.8%     |        |            | 105.7%       |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 758,677   | 830,375   | 9.5%   | 997,970    | 1,002,850    | 100.5% | 20.8%  | 1,022,020  | 2.4%     |
| State Grant                                   | 16,458    | 68,786    | 317.9% | 8,000      | 60,000       |        | -12.8% | 10,000     |          |
| Program Total                                 | 775,135   | 899,161   | 16.0%  | 1,005,970  | 1,062,850    | 105.7% | 18.2%  | 1,032,020  | 2.6%     |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Deputy Chief/Police Captain                   | 0.15      | 0.15      |        | 0.15       | 0.15         |        |        | 0.15       |          |
| Police Lieutenant                             | 0.60      | 0.60      |        | 0.60       | 0.60         |        |        | 0.60       |          |
| Police Sergeant                               | 0.75      | 0.75      |        | 0.75       | 0.75         |        |        | 0.75       |          |
| Police Corporals/Officers                     | 4.00      | 4.00      |        | 4.00       | 4.00         |        |        | 4.00       |          |
| Admin Asst III-Secretary                      | 0.15      | 0.15      |        | 0.15       | 0.15         |        |        | 0.15       |          |
| Executive Asst I                              | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| Police Officer (Overhires)                    | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| Total - Full-Time Equivalents                 | 5.65      | 5.65      | 0.0%   | 5.65       | 5.65         |        | 0.0%   | 5.65       | 0.0%     |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21203 - Parking Enforcement**

Enforce regulations pertaining to parked vehicles on public streets and abandoned vehicle abatement on private properties. Contract for the collection of parking penalties.

**PERFORMANCE OBJECTIVES**

1. To conduct at least 160 vehicle abatements from private and public property reducing blight and traffic safety.
2. To assist with traffic control at City permitted events and festivals.
3. To work along side the sworn traffic officers at DUI checkpoints and during abatement or warrant sweeps.
4. To announce abandoned vehicle abatement via social media.

**COMMENTARY**

The program provides for 2 half-time enforcement positions and the contracted collection of parking penalties. Also, a county grant provides funding for abandoned vehicle abatement.

In FY13-14, program costs showed moderate increase. In FY14-15, program costs are expected to increase, due to full staffing.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing, some cost increases for internal services, and some minimal increases for other cost items.

|   | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
| <b>PROGRAM EXPENDITURES</b>                   | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 120,038   | 124,318   | 3.6%   | 153,930    | 137,020      | 89.0%  | 10.2%  | 145,220    | -5.7%    |
| Contracted Services                           | 10,188    | 12,613    | 23.8%  | 18,040     | 14,200       | 78.7%  | 12.6%  | 13,650     | -24.3%   |
| Commodities                                   | 1,976     | 4,777     | 141.8% | 3,280      | 3,200        | 97.6%  | -33.0% | 5,310      | 61.9%    |
| Internal Charges                              | 17,225    | 17,801    | 3.3%   | 15,880     | 17,370       | 109.4% | -2.4%  | 18,670     | 17.6%    |
| Other Payments                                | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 149,427   | 159,509   | 6.7%   | 191,130    | 171,790      | 89.9%  | 7.7%   | 182,850    | -4.3%    |
| Amended Budget                                | 180,850   | 187,200   |        |            | 191,130      |        |        |            |          |
| % of Amended Spent                            | 82.6%     | 85.2%     |        |            | 89.9%        |        |        |            |          |
| <b>FUNDING SOURCES</b>                        |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 51,113    | 68,265    | 33.6%  | 81,130     | 83,290       | 102.7% |        | 92,850     | 14.4%    |
| Parking Assessments                           | 57,294    | 53,924    | -5.9%  | 70,000     | 50,000       | 71.4%  | -7.3%  | 50,000     | -28.6%   |
| County Grant                                  | 41,020    | 37,320    | -9.0%  | 40,000     | 38,500       | 96.3%  | 3.2%   | 40,000     | 0.0%     |
| Program Total                                 | 149,427   | 159,509   | 6.7%   | 191,130    | 171,790      | 89.9%  | 7.7%   | 182,850    | -4.3%    |
| <b>PROGRAM STAFFING</b>                       |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Deputy Chief/Police Captain                   | 0.05      | 0.05      |        | 0.05       | 0.05         |        |        | 0.05       |          |
| Police Lieutenant                             | 0.20      | 0.20      |        | 0.20       | 0.20         |        |        | 0.20       |          |
| Police Sergeant                               | 0.25      | 0.25      |        | 0.25       | 0.25         |        |        | 0.25       |          |
| Admin Asst III-Secretary                      | 0.05      | 0.05      |        | 0.05       | 0.05         |        |        | 0.05       |          |
| Executive Asst I                              | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| Parking Enforcement Intern                    | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Total - Full-Time Equivalents                 | 1.55      | 1.55      |        | 1.55       | 1.55         |        | 0.0%   | 1.55       | 0.0%     |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21204 - Police Reserves**

**PERFORMANCE OBJECTIVES**

Provide a uniformed force of trained volunteer Reserve Officers to supplement the regular patrol force with deployment for special events, emergency circumstances, and selective preventive patrols.

1. Provide 500 field hours of reserve officer service to supplement the patrol force.
2. To hire 5 certified reserve officers.
3. To utilize reserve officers at City and school sponsored events such as parades, community events, and school sporting events.
4. To use reserve officers for prisoner transport from other jurisdictions and to the San Joaquin County jail.

**COMMENTARY**

In FY10-11, the Police Reserves program was discontinued. So, program staffing and budget were deleted.

In FY14-15, the program was reactivated. Program cost are below budget due to a slow start up.

For FY15-16, the program budget will have only a slight increase over the current year.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 0                    | 0                    |                  | 15,000                | 3,900                   | 26.0%          |                  | 15,300                | 2.0%               |
| Contracted Services                           | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Commodities                                   | 0                    | 0                    |                  | 14,000                | 5,000                   | 35.7%          |                  | 14,000                | 0.0%               |
| Internal Charges                              | 0                    | 0                    |                  | 2,000                 | 1,890                   | 94.5%          |                  | 2,000                 | 0.0%               |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 0                    | 0                    |                  | 31,000                | 10,790                  | 34.8%          |                  | 31,300                | 1.0%               |
| Amended Budget                                |                      |                      |                  |                       | 31,000                  |                |                  |                       |                    |
| % of Amended Spent                            |                      |                      |                  |                       | 34.8%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 0                    | 0                    |                  | 31,000                | (4,760)                 | -15.4%         |                  | 15,300                | -50.6%             |
| Fee Revenues                                  | 0                    | 0                    |                  | 0                     | 15,550                  |                |                  | 16,000                |                    |
| Program Total                                 | 0                    | 0                    |                  | 31,000                | 10,790                  | 34.8%          |                  | 31,300                | 1.0%               |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Police Captain                                | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Police Lieutenant                             | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Police Sergeant                               | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Police Corporal                               | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Police Reserve Officers                       | 0.00                 | 0.00                 |                  | 0.35                  | 0.35                    |                |                  | 0.35                  |                    |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 |                  | 0.35                  | 0.35                    |                |                  | 0.35                  | 0.0%               |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21206 - Animal Services**

**PERFORMANCE OBJECTIVES**

Provide enforcement of the City's animal control ordinances and laws. Investigate complaints and issue licenses. Provide an animal shelter to handle impounded, stray and relinquished animals.

1. To assist 2,205 animals during the year and provide shelter for 15,435 kennel days during the year.
2. To have the new animal shelter operational by start of fiscal year.
3. To provide two training topics to animal services staff.
4. To increase dog license sales 2% over prior year.
5. To provide 5 community outreach events.

**COMMENTARY**

This program provides for 4 Animal Services Officers and their supervisory and support staff, as well as for operations of the City's Animal Shelter. In FY13-14, program costs showed a decrease, due primarily to staff vacancies. In FY14-15, program costs are expected to increase with full staffing. Late, in FY14-15, construction was completed upon a new Animal Shelter for ther City.  
 For FY15-16, program staffing will add 3 new positions: a Admin Asst and 2 AC Aides, all to work in the new shelter. The program budget provides for current and added staffing and some minimal adjustments for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 523,935              | 480,439              | -8.3%            | 563,700               | 534,770                 | 94.9%          | 11.3%            | 771,600               | 36.9%              |
| Contracted Services                           | 39,272               | 38,418               | -2.2%            | 51,040                | 42,540                  | 83.3%          | 10.7%            | 49,220                | -3.6%              |
| Commodities                                   | 18,271               | 15,795               | -13.6%           | 22,240                | 17,890                  | 80.4%          | 13.3%            | 22,240                | 0.0%               |
| Internal Charges                              | 54,737               | 61,229               | 11.9%            | 64,530                | 63,900                  | 99.0%          | 4.4%             | 66,350                | 2.8%               |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 636,215              | 595,881              | -6.3%            | 701,510               | 659,100                 | 94.0%          | 10.6%            | 909,410               | 29.6%              |
| Amended Budget                                | 662,520              | 675,110              |                  |                       | 701,510                 |                |                  |                       |                    |
| % of Amended Spent                            | 96.0%                | 88.3%                |                  |                       | 94.0%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 594,172              | 526,125              | -11.5%           | 660,510               | 613,900                 | 92.9%          | 16.7%            | 862,910               | 30.6%              |
| Fee Revenues                                  | 42,043               | 69,756               | 65.9%            | 41,000                | 45,200                  | 110.2%         | -35.2%           | 46,500                | 13.4%              |
| Program Total                                 | 636,215              | 595,881              | -6.3%            | 701,510               | 659,100                 | 94.0%          | 10.6%            | 909,410               | 29.6%              |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Deputy Chief/Police Captain                   | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| Police Lieutenant                             | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                  |                    |
| Animal Services Supervisor                    | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Animal Services Officer                       | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                  |                    |
| Animal Services Assistant                     | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                  |                    |
| Exec Asst I/Admin Asst III                    | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                |                  | 1.05                  |                    |
| Animal Services Aide - FT                     | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 2.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Animal Services Aide - PT                     | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Total - Full-Time Equivalents                 | 5.30                 | 5.30                 | 0.0%             | 5.30                  | 5.30                    |                | 0.0%             | 8.30                  | 56.6%              |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21207 - Youth Services**

**PERFORMANCE OBJECTIVES**

Conduct follow-up investigations on all cases involving juveniles as suspects or victims; coordinate efforts at juvenile delinquency prevention and truancy reduction.

1. To provide 5,000 staff hours of field school site specific deployment throughout the year.
2. To collaborate with site staff to provide district employee training and emergency preparedness training.
3. To provide police services as requested by T.U.S.D. for extra-curricular activities.
4. To participate in at least 90 hours of school safety-related training.
5. DARE officer to instruct 65 fifth grade classes with approximately 2,000 students.

**COMMENTARY**

This program provides for 3 School Resources Officers, a PT DARE Officer, and their supervisory staff.  
 In FY12-13, program costs showed a moderate decrease due to staff vacancies. In FY13-14, DARE activities changed from a contractual basis to a part-time DARE Officer. So, program costs showed a major increase.  
 In FY14-15, program costs are expected to show a modest decrease, again due to vacancies.  
 For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 500,359              | 582,153              | 16.3%            | 621,820               | 567,950                 | 91.3%          | -2.4%            | 612,420               | -1.5%              |
| Contracted Services         | 605                  | 4,277                | 606.9%           | 2,470                 | 2,400                   | 97.2%          | -43.9%           | 3,880                 | 57.1%              |
| Commodities                 | 3,927                | 16,745               | 326.4%           | 19,800                | 19,500                  | 98.5%          | 16.5%            | 20,340                | 2.7%               |
| Internal Charges            | 28,918               | 28,760               | -0.5%            | 35,250                | 34,500                  | 97.9%          | 20.0%            | 33,840                | -4.0%              |
| Other Payments              | 35,582               | 9,418                | -73.5%           | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>569,391</b>       | <b>641,353</b>       | <b>12.6%</b>     | <b>679,340</b>        | <b>624,350</b>          | <b>91.9%</b>   | <b>-2.7%</b>     | <b>670,480</b>        | <b>-1.3%</b>       |
| Amended Budget              | 640,380              | 671,940              |                  |                       | 679,340                 |                |                  |                       |                    |
| % of Amended Spent          | 88.9%                | 95.4%                |                  |                       | 91.9%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |                |                |              |                |                |              |              |                |              |
|--------------------------|----------------|----------------|--------------|----------------|----------------|--------------|--------------|----------------|--------------|
| General Fund 101 - Taxes | 362,708        | 434,670        | 19.8%        | 472,650        | 417,670        | 88.4%        | -3.9%        | 463,780        | -1.9%        |
| School District Grants   | 206,683        | 206,683        | 0.0%         | 206,690        | 206,680        | 100.0%       | 0.0%         | 206,700        | 0.0%         |
| County Grants            | 0              | 0              |              | 0              | 0              |              |              | 0              |              |
| Federal & State Grants   | 0              | 0              |              | 0              | 0              |              |              | 0              |              |
| <b>Program Total</b>     | <b>569,391</b> | <b>641,353</b> | <b>12.6%</b> | <b>679,340</b> | <b>624,350</b> | <b>91.9%</b> | <b>-2.7%</b> | <b>670,480</b> | <b>-1.3%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                                   |      |      |  |      |      |  |  |      |  |
|-----------------------------------|------|------|--|------|------|--|--|------|--|
| Police Captain                    | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |
| Police Lieutenant                 | 0.20 | 0.20 |  | 0.20 | 0.20 |  |  | 0.20 |  |
| Police Officer (School Resources) | 3.00 | 3.00 |  | 3.00 | 3.00 |  |  | 3.00 |  |
| Admin Asst II                     | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |

*Other Staffing (Full-Time Equivalents)*

|              |      |      |  |      |      |  |  |      |  |
|--------------|------|------|--|------|------|--|--|------|--|
| DARE Officer | 0.00 | 0.45 |  | 0.45 | 0.45 |  |  | 0.45 |  |
|--------------|------|------|--|------|------|--|--|------|--|

|                                      |             |             |              |             |             |  |             |             |             |
|--------------------------------------|-------------|-------------|--------------|-------------|-------------|--|-------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>3.30</b> | <b>3.75</b> | <b>13.6%</b> | <b>3.75</b> | <b>3.75</b> |  | <b>0.0%</b> | <b>3.75</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|--------------|-------------|-------------|--|-------------|-------------|-------------|

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21208 - Crime Prevention**

Coordinate public education involvement efforts to reduce crime and promote community awareness.

**PERFORMANCE OBJECTIVES**

1. To maintain and oversee the following department programs: "Are You Okay" and Neighborhood Watch.
2. To monitor and appropriately delegate calls into the graffiti hotline.
3. To promote crime prevention via educational presentations like Stranger Danger and CPTED.
4. To manage logistics for major Tracy PD crime prevention events; Safety Fair, National Night Out and Citation's Academy.
5. To increase the number of volunteers by 10%.
6. To present 2 Citizens Academies.

**COMMENTARY**

This program provides for 2 Police Officers, a Crime Prevention Specialist, and their supervisory and support staff.

In FY12-13, program costs showed a major decrease, due to a reduction of program staffing. In FY13-14 and FY14-15, program costs showed only slight increases.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 459,474              | 468,039              | 1.9%             | 500,100               | 473,370                 | 94.7%          | 1.1%             | 490,820               | -1.9%              |
| Contracted Services                           | 2,575                | 1,967                | -23.6%           | 2,630                 | 2,530                   | 96.2%          | 28.6%            | 3,220                 | 22.4%              |
| Commodities                                   | 9,635                | 9,243                | -4.1%            | 10,540                | 9,840                   | 93.4%          | 6.5%             | 15,610                | 48.1%              |
| Internal Charges                              | 51,385               | 46,940               | -8.7%            | 44,390                | 44,320                  | 99.8%          |                  | 49,640                | 11.8%              |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 523,069              | 526,189              | 0.6%             | 557,660               | 530,060                 | 95.1%          | 0.7%             | 559,290               | 0.3%               |
| Amended Budget                                | 530,910              | 543,750              |                  |                       | 557,660                 |                |                  |                       |                    |
| % of Amended Spent                            | 98.5%                | 96.8%                |                  |                       | 95.1%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 523,069              | 526,189              | 0.6%             | 557,660               | 530,060                 | 95.1%          | 0.7%             | 559,290               | 0.3%               |
| Federal Grants                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| State Grants                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 523,069              | 526,189              | 0.6%             | 557,660               | 530,060                 | 95.1%          | 0.7%             | 559,290               | 0.3%               |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Police Captain                                | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| Police Lieutenant                             | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                  |                    |
| Police Officer                                | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                  |                    |
| Crime Prevention Specialist                   | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Exec Asst I/Admin Asst III                    | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 3.30                 | 3.30                 | 0.0%             | 3.30                  | 3.30                    |                | 0.0%             | 3.30                  | 0.0%               |

Department: **21000 - Police Department**  
 Division: **21200 - Field Operations Division**  
 Program: **21209 - School Crossing Guards**

**PERFORMANCE OBJECTIVES**

Provide adult crossing guards at school crossing sites.  
 Since FY95-96, this service has been provided by a contractor.

1. To provide Crossing Guard services at 26 posts for 37 weeks during the school year.
2. To ensure 100% of contract provisions are met by contractor.

**COMMENTARY**

Since FY95-96, program activities were contracted out, instead of using City employees. The increase in program costs over the year reflects a normal cost increment and added crossing being covered.

In FY13-14, program costs showed a modest increase. In FY14-15, program costs will show a major increase.

For FY15-16, the program budget provides for 26 posts during the school year. No major cost change is anticipated.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Contracted Services                           | 202,728              | 204,209              | 0.7%             | 240,000               | 235,000                 | 97.9%          | 15.1%            | 240,000               | 0.0%               |
| Commodities                                   | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Internal Charges                              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 202,728              | 204,209              | 0.7%             | 240,000               | 235,000                 | 97.9%          | 15.1%            | 240,000               | 0.0%               |
| Amended Budget                                | 230,000              | 230,000              |                  |                       | 240,000                 |                |                  |                       |                    |
| % of Amended Spent                            | 88.1%                | 88.8%                |                  |                       | 97.9%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 10,684               | 12,165               | 13.9%            | 44,950                | 38,780                  | 86.3%          | 218.8%           | 39,000                | -13.2%             |
| Traffic Fines Sub-fund 105                    | 145,000              | 145,000              | 0.0%             | 148,000               | 145,000                 | 98.0%          | 0.0%             | 148,000               | 0.0%               |
| School District Grants                        | 47,044               | 47,044               | 0.0%             | 47,050                | 51,220                  | 108.9%         | 8.9%             | 53,000                | 12.6%              |
| Program Total                                 | 202,728              | 204,209              | 0.7%             | 240,000               | 235,000                 | 97.9%          | 15.1%            | 240,000               | 0.0%               |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Police Captain                                | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Police Sergeant                               | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| School Crossing Guards                        | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |

Department: **21000 - Police Department**  
 Division: **21300 - Special Operations Division**  
 Program: **21301 - General Investigations**

**PERFORMANCE OBJECTIVES**

Conduct follow-up investigations on all Part I crimes, felonies, sex & pornography offenses, misdemeanors, and missing persons cases, not completed by patrol officers.

1. To provide 16,000 work hours for general investigations reviewing 3,340 cases and work 650 cases assigned.
2. To increase the case clearance rate by 5% over the previous year.
3. To continue to partner with County wide law enforcement agencies for development of information and collaboration on leads.
4. To initiate at least three public awareness campaigns to mitigate and help reduce property crime in Tracy.
5. Begin an educational and proactive program to reduce metal theft and the illegal purchase of recyclables within the City.

**COMMENTARY**

This program provides for 8 Investigators and their supervisory and support staff.

In FY12-13, program costs showed a modest increase. In FY13-14, program costs showed a major increase. While less than budget, costs were up in all categories. Full year staffing is driving up personnel costs.

In FY14-15, program costs are expected to show a moderate increase, again due to vacancies.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 1,726,201            | 1,847,915            | 7.1%             | 2,060,800             | 1,904,980               | 92.4%          | 3.1%             | 2,054,200             | -0.3%              |
| Contracted Services         | 23,836               | 18,197               | -23.7%           | 33,620                | 28,450                  | 84.6%          | 56.3%            | 29,170                | -13.2%             |
| Commodities                 | 18,674               | 23,480               | 25.7%            | 17,710                | 17,700                  | 99.9%          | -24.6%           | 19,150                | 8.1%               |
| Internal Charges            | 118,223              | 149,169              | 26.2%            | 153,820               | 153,800                 | 100.0%         | 3.1%             | 156,830               | 2.0%               |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>1,886,934</b>     | <b>2,038,761</b>     | <b>8.0%</b>      | <b>2,265,950</b>      | <b>2,104,930</b>        | <b>92.9%</b>   | <b>3.2%</b>      | <b>2,259,350</b>      | <b>-0.3%</b>       |
| Amended Budget              | 1,996,700            | 2,125,040            |                  |                       | 2,265,950               |                |                  |                       |                    |
| % of Amended Spent          | 94.5%                | 95.9%                |                  |                       | 92.9%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |                  |                  |             |                  |                  |              |             |                  |              |
|--------------------------|------------------|------------------|-------------|------------------|------------------|--------------|-------------|------------------|--------------|
| General Fund 101 - Taxes | 1,861,591        | 2,038,761        | 9.5%        | 2,265,950        | 2,104,930        | 92.9%        | 3.2%        | 2,259,350        | -0.3%        |
| County Grant             | 0                | 0                |             | 0                | 0                |              |             | 0                |              |
| Federal & State Grants   | 25,343           | 0                |             | 0                | 0                |              |             | 0                |              |
| <b>Program Total</b>     | <b>1,886,934</b> | <b>2,038,761</b> | <b>8.0%</b> | <b>2,265,950</b> | <b>2,104,930</b> | <b>92.9%</b> | <b>3.2%</b> | <b>2,259,350</b> | <b>-0.3%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                             |      |      |  |      |      |  |  |      |  |
|-----------------------------|------|------|--|------|------|--|--|------|--|
| Police Captain              | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Police Lieutenant           | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Police Sergeant             | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Police Officer (Detectives) | 8.00 | 8.00 |  | 8.00 | 8.00 |  |  | 8.00 |  |
| Admin Asst II               | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Crime Analyst               | 0.40 | 0.40 |  | 0.40 | 0.40 |  |  | 0.40 |  |

*Other Staffing (Full-Time Equivalents)*

|                                      |              |              |             |              |              |               |             |              |             |
|--------------------------------------|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>10.90</b> | <b>10.90</b> | <b>0.0%</b> | <b>10.90</b> | <b>10.90</b> | <b>100.0%</b> | <b>0.0%</b> | <b>10.90</b> | <b>0.0%</b> |
|--------------------------------------|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|-------------|

Department: 21000 - Police Department  
 Division: 21300 - Special Operations Division  
 Program: 21303 - Special Investigations

Prevention of gambling, prostitution, illegal sale and use of narcotics and alcoholic beverages. Investigation of human trafficking and commercialized vice. Departmental SWAT expenses.

**PERFORMANCE OBJECTIVES**

1. To conduct at least 4 internal and external presentations and training sessions on the topics of narcotics, human trafficking, and emerging drug trends.
2. To participate in the investigation of at least 12 multi-agency cases to promote and encourage interagency cooperation and information sharing.
3. To conduct at least 20 joint investigations involving other Tracy PD units to encourage internal exchange of information and facilitate inter-unit planning.
4. To conduct at least 30 interdiction operations with regional entities in order to disrupt narcotics trafficking in our area.
5. To conduct at least 5 operations targeting new drugs or "designer drugs."

**COMMENTARY**

This program provides for 4 Officers and their supervisory and support staff.

In FY13-14, program costs showed a moderate increase. Full year staffing is driving personnel costs up.

In FY14-15, program costs are expected to show a modest increase, again due to vacancies.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 978,118              | 1,059,812            | 8.4%             | 1,108,900             | 1,060,370               | 95.6%          | 0.1%             | 1,077,450             | -2.8%              |
| Contracted Services         | 30,625               | 39,781               | 29.9%            | 56,880                | 47,630                  | 83.7%          | 19.7%            | 49,920                | -12.2%             |
| Commodities                 | 17,462               | 15,049               | -13.8%           | 19,590                | 18,850                  | 96.2%          | 25.3%            | 19,630                | 0.2%               |
| Internal Charges            | 83,866               | 89,057               | 6.2%             | 100,740               | 100,700                 | 100.0%         | 13.1%            | 100,740               | 0.0%               |
| Other Payments              | 5,000                | 5,000                | 0.0%             | 7,500                 | 5,000                   | 66.7%          | 0.0%             | 7,500                 | 0.0%               |
| <b>Program Total</b>        | <b>1,115,071</b>     | <b>1,208,699</b>     | <b>8.4%</b>      | <b>1,293,610</b>      | <b>1,232,550</b>        | <b>95.3%</b>   | <b>2.0%</b>      | <b>1,255,240</b>      | <b>-3.0%</b>       |
| Amended Budget              | 1,137,420            | 1,208,699            |                  |                       | 1,293,610               |                |                  |                       |                    |
| % of Amended Spent          | 98.0%                | 100.0%               |                  |                       | 95.3%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |                  |                  |             |                  |                  |              |             |                  |              |
|--------------------------|------------------|------------------|-------------|------------------|------------------|--------------|-------------|------------------|--------------|
| General Fund 101 - Taxes | 1,099,603        | 1,203,151        | 9.4%        | 1,276,710        | 1,216,550        | 95.3%        | 1.1%        | 1,238,340        | -3.0%        |
| County Grant             | 0                | 0                |             | 0                | 0                |              |             | 0                |              |
| Federal & State Grants   | 8,460            | 0                |             | 0                | 0                |              |             | 0                |              |
| Asset Seizure Fund 231   | 7,008            | 5,548            | -20.8%      | 16,900           | 16,000           | 94.7%        | 188.4%      | 16,900           | 0.0%         |
| <b>Program Total</b>     | <b>1,115,071</b> | <b>1,208,699</b> | <b>8.4%</b> | <b>1,293,610</b> | <b>1,232,550</b> | <b>95.3%</b> | <b>2.0%</b> | <b>1,255,240</b> | <b>-3.0%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                                      |             |             |             |             |             |               |             |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| Police Captain                       | 0.25        | 0.25        |             | 0.25        | 0.25        |               |             | 0.25        |             |
| Police Lieutenant                    | 0.25        | 0.25        |             | 0.25        | 0.25        |               |             | 0.25        |             |
| Police Sergeant                      | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |             |
| Police Officer                       | 4.00        | 4.00        |             | 4.00        | 4.00        |               |             | 4.00        |             |
| Admin Asst II                        | 0.25        | 0.25        |             | 0.25        | 0.25        |               |             | 0.25        |             |
| Crime Analyst                        | 0.30        | 0.30        |             | 0.30        | 0.30        |               |             | 0.30        |             |
| <b>Total - Full-Time Equivalents</b> | <b>6.05</b> | <b>6.05</b> | <b>0.0%</b> | <b>6.05</b> | <b>6.05</b> | <b>100.0%</b> | <b>0.0%</b> | <b>6.05</b> | <b>0.0%</b> |

Department: **21000 - Police Department**  
 Division: **21300 - Special Operations Division**  
 Program: **21304 - Forensic Services**

**PERFORMANCE OBJECTIVES**

Process and store departmental property, supplies, and evidence.  
 Provide crime scene assistance in evidence collection and photography services.

1. To work 250 crime scene investigations during the year and process 12,500 pieces of evidence.
2. To maintain less than \$2,500 in evidence currency at all times.
3. To maintain less than 2,000 pounds in illegal narcotics and other drugs at all times.
4. To purge and dispose of 20% more firearms and dangerous weapon evidence.
5. To solve 20% more crimes through DNA and Fingerprint evidence.

**COMMENTARY**

This program provides for 4 Crime Scene Technicians and their supervisory and support staff.

In FY13-14, program costs showed a slight increase. In FY14-15, program costs will show a major increase, although remaining within budget. The increase is due to full staffing and higher lab analysis costs with outside agencies.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

|   | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
| <b><u>PROGRAM EXPENDITURES</u></b>            | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 594,881   | 589,952   | -0.8%  | 745,060    | 706,310      | 94.8%  | 19.7%  | 727,090    | -2.4%    |
| Contracted Services                           | 41,747    | 36,759    | -11.9% | 69,330     | 45,800       | 66.1%  | 24.6%  | 69,310     | 0.0%     |
| Commodities                                   | 8,530     | 9,043     | 6.0%   | 9,250      | 9,200        | 99.5%  | 1.7%   | 11,590     | 25.3%    |
| Internal Charges                              | 54,154    | 62,842    | 16.0%  | 70,740     | 68,700       | 97.1%  | 9.3%   | 68,420     | -3.3%    |
| Other Payments                                | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 699,312   | 698,596   | -0.1%  | 894,380    | 830,010      | 92.8%  | 18.8%  | 876,410    | -2.0%    |
| Amended Budget                                | 814,320   | 867,500   |        |            | 894,380      |        |        |            |          |
| % of Amended Spent                            | 85.9%     | 80.5%     |        |            | 92.8%        |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 699,312   | 698,596   | -0.1%  | 894,380    | 830,010      | 92.8%  | 18.8%  | 876,410    | -2.0%    |
| Federal & State Grants                        | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 699,312   | 698,596   | -0.1%  | 894,380    | 830,010      | 92.8%  | 18.8%  | 876,410    | -2.0%    |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Police Captain                                | 0.25      | 0.25      |        | 0.25       | 0.25         |        |        | 0.25       |          |
| Police Lieutenant                             | 0.25      | 0.25      |        | 0.25       | 0.25         |        |        | 0.25       |          |
| Crime Scene Tech Supervisor                   | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Crime Scene Technician                        | 4.00      | 4.00      |        | 4.00       | 4.00         |        |        | 4.00       |          |
| Admin Asst II                                 | 0.25      | 0.25      |        | 0.25       | 0.25         |        |        | 0.25       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| Total - Full-Time Equivalents                 | 5.75      | 5.75      | 0.0%   | 5.75       | 5.75         | 100.0% | 0.0%   | 5.75       | 0.0%     |

Department: **21000 - Police Department**  
 Division: **21400 - Support Operations Division**  
 Program: **21401 - Police Records**

**PERFORMANCE OBJECTIVES**

Process and maintain police records and reports. Provide information services for the public and other agencies and clerical assistance for other units of the Department.

1. To provide 14,000 staff hours of records support for the department.
2. To assist officers with field reporting.
3. To purge documents per City approved schedule, thus saving labor costs and storage space.
4. To support patrol and investigations with statistical information and management of reports.
5. To continue to enter 2014 report/cites/FI's into West Covina and update names in Records Management System.

**COMMENTARY**

This program provides for 8 Police Records Assistants and their supervisory and support staff.

In FY13-14, program costs showed an increase. Costs were up due to full staffing and internal systems charges. In FY14-15, program costs will show a major increase. Personnel costs are up, although within budget; but a \$94,800 supplemental was needed for body camera image storage.

For FY15-16, program staffing will add a 0.50 FTE Project Specialist to assist with and be paid for from the CAD/RMS system project. Otherwise, the program budget provides for current staffing and some minimal adjustments for other cost items. A major increase is for image storage.

|   | FY12-13        | FY13-14          | % Cost      | FY14-15          | FY14-15          | % of          | % Cost       | FY15-16          | % Budget    |
|---|----------------|------------------|-------------|------------------|------------------|---------------|--------------|------------------|-------------|
| <b>PROGRAM EXPENDITURES</b>                   | \$ Actual      | \$ Actual        | Change      | \$ Adopted       | \$ Estimated     | Budget        | Change       | \$ Adopted       | Change      |
| Personnel Expenses                            | 735,882        | 763,307          | 3.7%        | 919,500          | 840,060          | 91.4%         | 10.1%        | 846,400          | -7.9%       |
| Contracted Services                           | 74,509         | 74,191           | -0.4%       | 98,380           | 183,750          | 186.8%        | 147.7%       | 207,890          | 111.3%      |
| Commodities                                   | 6,242          | 5,255            | -15.8%      | 7,100            | 6,930            | 97.6%         | 31.9%        | 7,100            | 0.0%        |
| Internal Charges                              | 146,270        | 188,270          | 28.7%       | 213,620          | 213,000          | 99.7%         | 13.1%        | 213,620          | 0.0%        |
| Other Payments                                | 0              | 0                |             | 0                | 0                |               |              | 0                |             |
| <b>Program Total</b>                          | <b>962,903</b> | <b>1,031,023</b> | <b>7.1%</b> | <b>1,238,600</b> | <b>1,243,740</b> | <b>100.4%</b> | <b>20.6%</b> | <b>1,275,010</b> | <b>2.9%</b> |
| Amended Budget                                | 1,151,760      | 1,159,350        |             |                  | 1,333,400        |               |              |                  |             |
| % of Amended Spent                            | 83.6%          | 88.9%            |             |                  | 93.3%            |               |              |                  |             |
| <b>FUNDING SOURCES</b>                        |                |                  |             |                  |                  |               |              |                  |             |
| General Fund 101 - Taxes                      | 839,971        | 892,688          | 6.3%        | 1,108,600        | 1,014,940        | 91.6%         | 13.7%        | 1,141,010        | 2.9%        |
| Fee Revenues                                  | 122,932        | 138,335          | 12.5%       | 130,000          | 134,000          | 103.1%        | -3.1%        | 134,000          | 3.1%        |
| Federal & State Grants                        | 0              | 0                |             | 0                | 94,800           |               |              | 0                |             |
| <b>Program Total</b>                          | <b>962,903</b> | <b>1,031,023</b> | <b>7.1%</b> | <b>1,238,600</b> | <b>1,243,740</b> | <b>100.4%</b> | <b>20.6%</b> | <b>1,275,010</b> | <b>2.9%</b> |
| <b>PROGRAM STAFFING</b>                       |                |                  |             |                  |                  |               |              |                  |             |
| <i>Regular Positions</i>                      |                |                  |             |                  |                  |               |              |                  |             |
| Support Ops Manager                           | 0.40           | 0.40             |             | 0.40             | 0.40             |               |              | 0.40             |             |
| Records Supervisor                            | 1.00           | 1.00             |             | 1.00             | 1.00             |               |              | 1.00             |             |
| Police Records Assts                          | 7.00           | 7.00             |             | 7.00             | 7.00             |               |              | 7.00             |             |
| Admin Asst II-Secretary                       | 0.00           | 0.00             |             | 0.00             | 0.00             |               |              | 0.00             |             |
| Executive Asst I                              | 0.40           | 0.40             |             | 0.40             | 0.40             |               |              | 0.40             |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                |                  |             |                  |                  |               |              |                  |             |
| Project Specialist                            | 0.00           | 0.00             |             | 0.00             | 0.00             |               |              | 0.50             |             |
| Temp Clerk                                    | 0.00           | 0.00             |             | 0.00             | 0.00             |               |              | 0.00             |             |
| <b>Total - Full-Time Equivalents</b>          | <b>8.80</b>    | <b>8.80</b>      | <b>0.0%</b> | <b>8.80</b>      | <b>8.80</b>      | <b>100.0%</b> | <b>0.0%</b>  | <b>9.30</b>      | <b>5.7%</b> |

Department: **21000 - Police Department**  
 Division: **21400 - Support Operations Division**  
 Program: **21406 - Communications**

Provides public safety communications by processing service requests, dispatch service responses, and providing information to field units.  
 Maintains linkage with County's Criminal Justice Information System.

**PERFORMANCE OBJECTIVES**

1. To operate the public safety communication center 24 hours per day, 366 days in the year, at a costs of \$294.60 per hour or less.
2. To answer 123,0368 Emergency and Non-emergency calls in the Communications Center and to dispatch 46,783 calls for service.
3. To handle and process 19,839 officer initiated service calls.
4. To transition to a new simulcast radio system.
5. To implement a Quality Improvement Program for Communications.

**COMMENTARY**

This program provides for 14 Public Safety Dispatchers and their supervisory and support staff.

In both FY12-13 and FY13-14, program staffing added new positions, while program costs showed a modest increase but then a decrease.

In FY14-15, program costs will show major increase. These are due to full staffing, as well as increases in systems costs.

For FY15-16, program staffing will remain at its current level. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b>                   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 1,780,670            | 1,676,643            | -5.8%            | 2,053,700             | 1,826,030               | 88.9%          | 8.9%             | 2,063,400             | 0.5%               |
| Contracted Services                           | 182,825              | 194,437              | 6.4%             | 236,830               | 207,870                 | 87.8%          | 6.9%             | 240,170               | 1.4%               |
| Commodities                                   | 4,002                | 2,621                | -34.5%           | 3,980                 | 12,210                  | 306.8%         | 365.9%           | 3,980                 | 0.0%               |
| Internal Charges                              | 210,270              | 252,507              | 20.1%            | 280,630               | 280,000                 | 99.8%          | 10.9%            | 280,240               | -0.1%              |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 2,177,767            | 2,126,208            | -2.4%            | 2,575,140             | 2,326,110               | 90.3%          | 9.4%             | 2,587,790             | 0.5%               |
| Amended Budget                                | 2,300,190            | 2,422,030            |                  |                       | 2,575,140               |                |                  |                       |                    |
| % of Amended Spent                            | 94.7%                | 87.8%                |                  |                       | 90.3%                   |                |                  |                       |                    |
| <b>FUNDING SOURCES</b>                        |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 2,177,767            | 2,126,208            | -2.4%            | 2,574,140             | 2,325,110               | 90.3%          | 9.4%             | 2,586,790             | 0.5%               |
| State 911 Reimbursement                       | 0                    | 0                    |                  | 1,000                 | 1,000                   | 100.0%         |                  | 1,000                 | 0.0%               |
| Fee Revenues                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Federal & State Grants                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 2,177,767            | 2,126,208            | -2.4%            | 2,575,140             | 2,326,110               | 90.3%          | 9.4%             | 2,587,790             | 0.5%               |
| <b>PROGRAM STAFFING</b>                       |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Support Ops Manager                           | 0.40                 | 0.40                 |                  | 0.40                  | 0.40                    |                |                  | 0.40                  |                    |
| Communications Supervisor                     | 0.00                 | 0.00                 |                  | 0.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Lead Dispatcher/Supervisor                    | 1.00                 | 6.00                 |                  | 6.00                  | 5.00                    |                |                  | 5.00                  |                    |
| Public Safety Dispatcher II                   | 13.00                | 9.00                 |                  | 9.00                  | 9.00                    |                |                  | 9.00                  |                    |
| Executive Asst I                              | 0.40                 | 0.40                 |                  | 0.40                  | 0.40                    |                |                  | 0.40                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Public Safety Dispatcher I                    | 0.35                 | 0.35                 |                  | 0.35                  | 0.35                    |                |                  | 0.35                  |                    |
| Total - Full-Time Equivalents                 | 15.15                | 16.15                | 6.6%             | 16.15                 | 16.15                   | 100.0%         | 0.0%             | 16.15                 | 0.0%               |

# FIRE DEPARTMENT

## Mission Statement

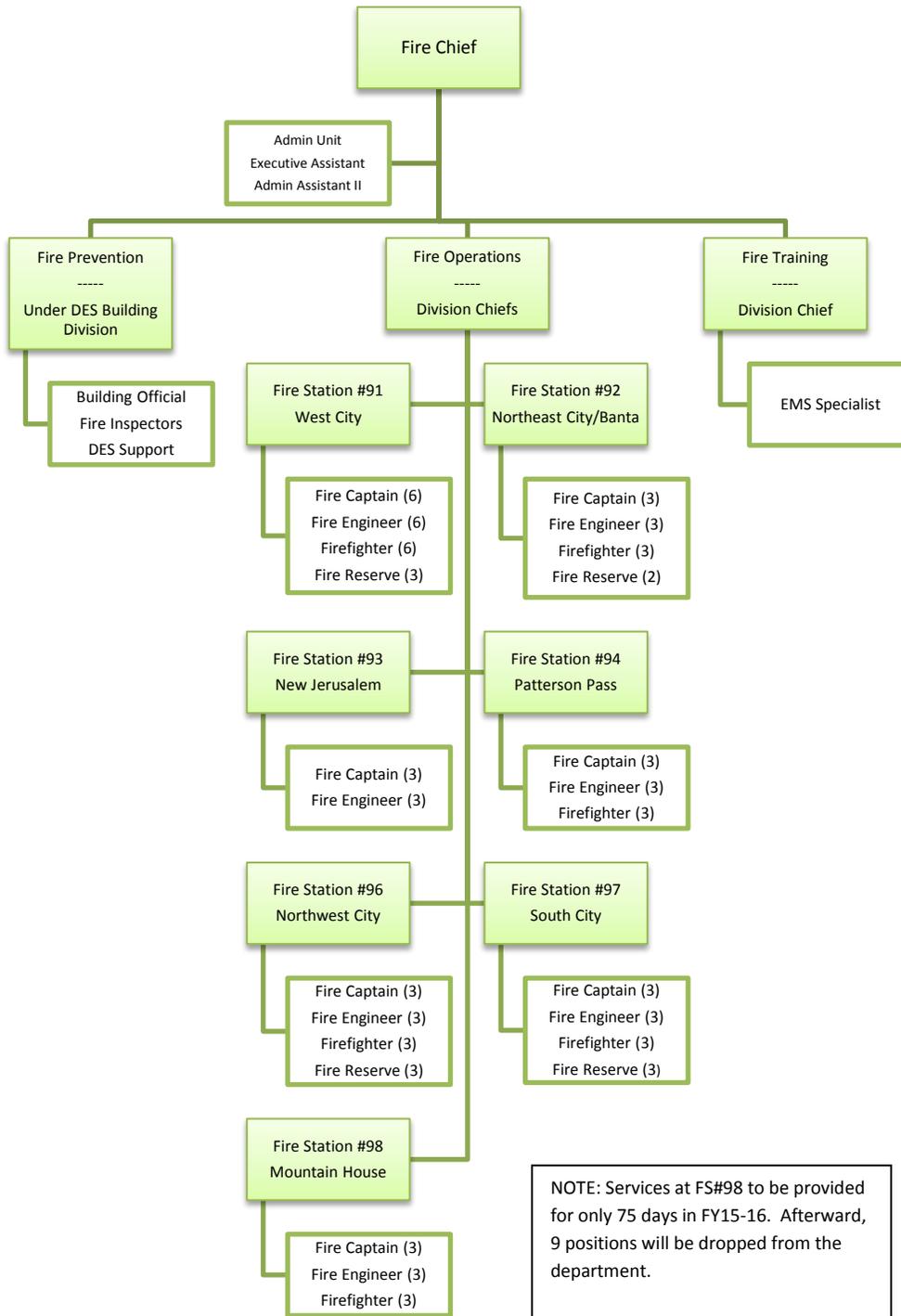
Committed to Providing a Diversity of Services  
for a Better Quality of Life

## Department Head

Gary Hampton, Director of Public Safety

Dave Bramell, Interim Fire Chief

# City of Tracy FIRE DEPARTMENT Fiscal Year 15-16



Department: **22000 - Fire Department**

The Fire Department provides fire prevention, fire suppression and rescue services within the City limits.

Since 1999, the Department also provides services for the Tracy Rural Fire District (TRFD) and, since 2006, the Mountain House Community Services District (MHCS D). The total service area is 167 square miles, 29.0 in the City, and the populations served are about 84,500 in the City and 26,200 outside.

**COMMENTARY**

As approved for FY15-16, the departmental budget will decrease about 8.3% from the current year adopted budget, and this represents a 3.4% increase from the FY13-14 amended budget.

The base component of the budget represents a 0.7% decrease from the current year adopted budget, while budget augmentations will show a 7.7% decrease from the base budget.

In FY14-15, departmental staffing added 1 new full-time regular positions. In FY15-16, departmental staffing will deleted 9 full-time regular positions.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| 22101 - Fire Administration             | 568,830              | 586,316              | 3.1%             | 607,060               | 451,030                 | 74.3%            | -23.1%           | 612,620                | 0.9%               |
| 22105 - Fire Prevention & Education     | 355,538              | 341,808              | -3.9%            | 429,840               | 412,740                 | 96.0%            | 20.8%            | 417,440                | -2.9%              |
| 22201 - Fire Operations                 | 14,174,379           | 14,616,413           | 3.1%             | 15,050,420            | 14,848,770              | 98.7%            | 1.6%             | 13,702,970             | -9.0%              |
| 22205 - Fire Training & Safety          | 226,575              | 338,280              | 49.3%            | 369,650               | 290,610                 | 78.6%            | -14.1%           | 350,260                | -5.2%              |
| <b>Department Total</b>                 | <b>15,325,322</b>    | <b>15,882,817</b>    | <b>3.6%</b>      | <b>16,456,970</b>     | <b>16,003,150</b>       | <b>97.2%</b>     | <b>0.8%</b>      | <b>15,083,290</b>      | <b>-8.3%</b>       |
| Amended Budget                          | 15,285,700           | 15,618,964           | 2.2%             |                       | 16,556,970              |                  | 6.0%             | over 2 years           | -3.4%              |
| % of Amended Spent                      | 100.26%              | 101.69%              |                  |                       | 96.66%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 16,343,640             | -0.7%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | (1,260,350)            | -7.7%              |

NOTE: The MHCS D will be terminating its contract with the TRFD. So, services to the MHCS D will only be provided for 75 days or about 20% of FY15-16.

Departmental augmentations for FY15-16 are actually \$122,400. But, the departmental budget was reduced by \$1,382,750 due to the MHCS D termination. Most of the reductions were to the Fire Operations program.

The deletion of 9 positions from departmental staffing (see below page E42) will only be effective after the termination of services to the MHCS D.

Department: 22000 - Fire Department (Continued)

| DEPARTMENTAL EXPENDITURES<br>BY OBJECT CATEGORY | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                              | 13,592,085           | 13,816,951           | 1.7%             | 14,394,140            | 13,874,540              | 96.4%          | 0.4%             | 13,137,860             | -8.7%              |
| Contracted Services                             | 726,974              | 843,848              | 16.1%            | 638,960               | 815,490                 | 127.6%         | -3.4%            | 637,820                | -0.2%              |
| Commodities                                     | 294,047              | 290,043              | -1.4%            | 412,790               | 302,320                 | 73.2%          | 4.2%             | 363,560                | -11.9%             |
| Internal Charges                                | 712,216              | 931,975              | 30.9%            | 1,011,080             | 1,010,800               | 100.0%         | 8.5%             | 944,050                | -6.6%              |
| Other Payments                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Department Total                                | 15,325,322           | 15,882,817           | 3.6%             | 16,456,970            | 16,003,150              | 97.2%          | 0.8%             | 15,083,290             | -8.3%              |

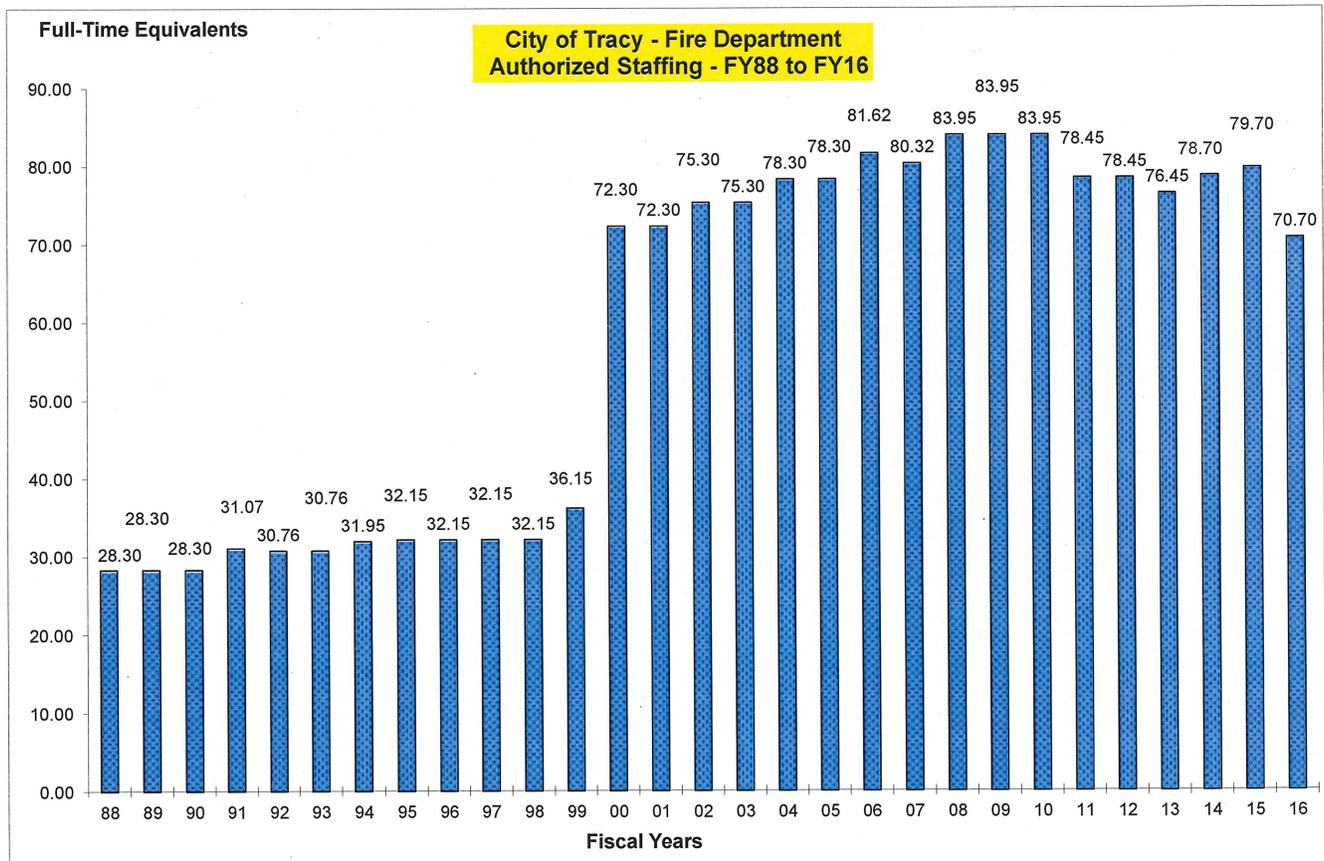
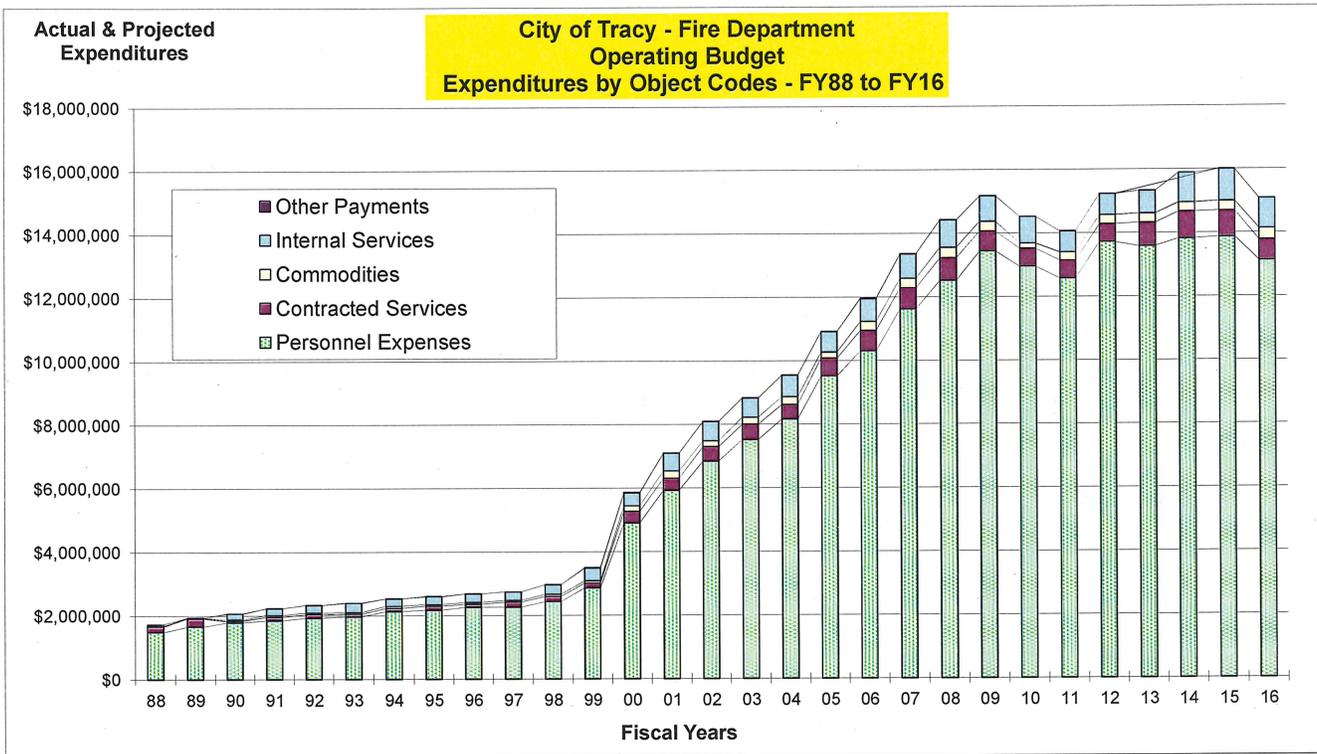
**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

|  |            |            |       |            |            |        |        |            |        |
|--|------------|------------|-------|------------|------------|--------|--------|------------|--------|
| General Fund 101 - Taxes               | 8,751,942  | 9,231,257  | 5.5%  | 9,897,450  | 9,642,100  | 97.4%  | 4.5%   | 9,461,780  | -4.4%  |
| South County Fire Authority - Fund 211 |            |            |       |            |            |        |        |            |        |
| Fee Revenues                           | 216,620    | 250,666    | 15.7% | 211,950    | 221,260    | 104.4% | -11.7% | 216,550    | 2.2%   |
| Grant Revenues                         | 102,391    | 232,764    |       | 212,000    | 182,000    | 85.8%  | -21.8% | 210,000    | -0.9%  |
| Mt House CSD                           | 2,309,230  | 2,356,333  | 2.0%  | 2,362,990  | 2,292,580  | 97.0%  | -2.7%  | 480,880    | -79.6% |
| Tracy Rural Fire District              | 3,945,139  | 3,811,797  | -3.4% | 3,772,580  | 3,665,210  | 97.2%  | -3.8%  | 4,714,080  | 25.0%  |
| Department Total                       | 15,325,322 | 15,882,817 | 3.6%  | 16,456,970 | 16,003,150 | 97.2%  | 0.8%   | 15,083,290 | -8.3%  |

**DEPARTMENTAL STAFFING**

|   | FY12-13<br>\$ Actual | FY13-14<br>Approved | %<br>Change | FY14-15<br>Adopted | FY14-15<br>Approved | %<br>Change | FY15-16<br>Approved | %<br>Change |
|---|----------------------|---------------------|-------------|--------------------|---------------------|-------------|---------------------|-------------|
| <i>Regular Positions</i>                      |                      |                     |             |                    |                     |             |                     |             |
| Fire Chief                                    | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Executive Asst/Sr Secretary                   | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Fire Batt Chief                               | 0.00                 | 0.00                |             | 0.00               | 0.00                |             | 0.00                |             |
| Fire Captain                                  | 24.00                | 24.00               |             | 24.00              | 24.00               |             | 24.00               | to 21.00 *1 |
| Fire Engineer                                 | 24.00                | 24.00               |             | 24.00              | 24.00               |             | 24.00               | to 21.00 *1 |
| Firefighter                                   | 19.00                | 21.00               |             | 21.00              | 21.00               |             | 21.00               | to 18.00 *1 |
| Fire Inspector                                | 2.00                 | 2.00                |             | 2.00               | 2.00                |             | 2.00                |             |
| Admin Asst/Sr Admin Clerk                     | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Fire Division Chief                           | 3.00                 | 3.00                |             | 3.00               | 3.00                |             | 3.00                |             |
| EMS Specialist                                | 0.00                 | 0.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Allocation from Dev Services                  | 0.30                 | 0.30                |             | 0.30               | 0.30                |             | 0.30                |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                     |             |                    |                     |             |                     |             |
| Firefighter Reserves                          | 1.15                 | 1.40                |             | 1.40               | 1.40                |             | 1.40                |             |
| Total - Full Time Equivalents                 | 76.45                | 78.70               | 2.9%        | 79.70              | 79.70               | 100.0%      | 79.70               | 0.0%        |

\*1 - Effective 9-15-2015



## Budget Narrative - Fire Department

### Historical Milestones

- Consolidation agreement with Tracy Rural Fire District (TRFD) approved and implemented on September 16, 1999.
- With consolidation, the department becomes the primary operating agency under the South County Fire Authority which serves both the City and the surrounding area, approximately 215 square miles.
- With consolidation, department staffing increased by 29 full-time regular positions.

### Recent Budget Changes

#### *FY 10-11*

- Reduction in base budget by \$1,712,140 or 10.5% from FY09-10 Adopted Budget.
- Departmental staffing reduced by 3 full-time regular positions and .80 FTEs in other staffing.

#### *FY 11-12*

- Increase in base budget by \$582,040 or 4.0% over FY10-11 Adopted Budget, entirely in personnel expenses.
- Only \$31,880 in budget augmentations for other costs.
- No change in departmental staffing.
- Overtime budget \$1,281,000 for Fire Operations Program.

#### *FY 12-13*

- Increase in base budget of \$186,570 or 1.2% over FY11-12 Adopted Budget. This is in personnel expenses.
- Departmental staffing will delete 2 Firefighters. This reduction is due to a TRFD reduction in station manning due to their inability to provide funding.

#### *FY 12-13 Continued*

- Budget augmentations of \$129,520.
- New equipment for \$14,000 and \$146,680 for equipment replacements.
- Consolidated operating budget split changed to: 5.9% City, 27.5% TRFD, and 16.6% MHCS D.

#### *FY 13-14*

- Increase in base budget of \$486,560 or 0.9%. Major increase in internal service charges for equipment replacement.
- Budget augmentations of \$182,170.
- Departmental staffing added 2 Firefighters in the latter part of the year. Also, Fire Reserves hours were increased.
- Equipment replacements of \$636,950.
- During the year, the department initiated the lease purchase of two fire engines for \$948,750.
- Late in the year, the department will move into two new fire stations, #92 and #96, replacing older fire stations.

#### *FY 14-15*

- Base budget increase of \$667,530 or 4.3% over the adopted FY13-14 budget. Increase in personnel expenses of \$553,670.
- Budget augmentations of \$207,240.
- Departmental staffing added an EMS Manager.
- Equipment replacement of \$131,730, including \$48,000 for a Records Management System.

#### Proposed Budget Changes in FY15-16

- Base budget decrease of \$113,330 or 0.7% from adopted budget.
- Budget augmentations of \$122,400
- Equipment replacements of \$528,290, with a \$400,000 grant to help. New equipment for \$15,000.
- Because termination of services to the MHCS D, for about 80% of the fiscal year, \$1,382,750 was cut from the departmental budget, including \$1,165,000 in personnel expenses.
- Due to the MHCS D termination, departmental staffing will drop by 9 positions after 75 days. These will be 3 Fire Captains, 3 Fire Engineers and 3 Firefighters.

| Major Non Personnel Expense | FY 13-14  | %Change | FY 14-15  | %Change | FY 15-16  |
|-----------------------------|-----------|---------|-----------|---------|-----------|
| Vehicle Maintenance         | \$207,000 | 0.00%   | \$207,000 | 9.00%   | \$226,200 |
| Dispatch Service            | 113,550   | 0.00%   | 113,550   | 2.00%   | 115,500   |
| Plan Checking               | 16,070    | 0.00%   | 16,070    | 27.00%  | 20,400    |
| Weed Abatement              | 12,100    | 0.00%   | 12,100    | 17.00%  | 10,000    |
| Equipment Acquisition       | \$0       |         | \$73,000  |         | \$15,000  |
| Equipment Replacement       | \$636,950 |         | \$131,730 |         | \$528,290 |

22000 - Fire Department

Historical Budget Increments, Augmentations, and Usage

| Department Budget<br>By Object             | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |              |                   |                       |              | New Year<br>Adopted Budgets |              | Actual<br>Budget Use |               |
|--|-------------------------------|--|--------------|-------------------|-----------------------|--------------|-----------------------------|--------------|----------------------|---------------|
|  |                               | Base<br>Increment                          | %<br>Change  | \$ Base<br>Budget | \$ Budget<br>Augments | %<br>Base    | FY12-13<br>\$ Budget        | %<br>Change  | FY12-13<br>\$ Actual | %<br>Used     |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 13,632,930                    | 214,570                                    | 1.6%         | 13,847,500        | -259,500              | -1.9%        | 13,588,000                  | -0.3%        | 13,592,085           | 100.0%        |
| Contracted Services                        | 615,360                       | -28,000                                    | -4.6%        | 587,360           | 45,000                | 7.7%         | 632,360                     | 2.8%         | 726,974              | 115.0%        |
| Commodities                                | 309,920                       | 0  | 0.0%         | 309,920           | -3,500                | -1.1%        | 306,420                     | -1.1%        | 294,047              | 96.0%         |
| Internal Charges                           | 669,500                       | 0  | 0.0%         | 669,500           | 32,920                | 4.9%         | 702,420                     | 4.9%         | 712,216              | 101.4%        |
| Other Payments                             | 0                             | 0  |              | 0                 | 0                     |              | 0                           |              | 0                    |               |
| <b>Department Total</b>                    | <b>15,227,710</b>             | <b>186,570</b>                             | <b>1.2%</b>  | <b>15,414,280</b> | <b>-185,080</b>       | <b>-1.2%</b> | <b>15,229,200</b>           | <b>0.0%</b>  | <b>15,325,322</b>    | <b>100.6%</b> |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 13,588,000                    | -28,800                                    | -0.2%        | 13,559,200        | 163,570               | 1.2%         | 13,722,770                  | 1.0%         | 13,816,951           | 100.7%        |
| Contracted Services                        | 632,360                       | 0  | 0.0%         | 632,360           | 6,000                 | 0.9%         | 638,360                     | 0.9%         | 843,848              | 132.2%        |
| Commodities                                | 306,420                       | 0  | 0.0%         | 306,420           | 12,600                | 4.1%         | 319,020                     | 4.1%         | 290,043              | 90.9%         |
| Internal Charges                           | 702,420                       | 199,630                                    | 28.4%        | 902,050           | 0                     | 0.0%         | 902,050                     | 28.4%        | 931,975              | 103.3%        |
| Other Payments                             | 0                             | 0  |              | 0                 | 0                     |              | 0                           |              | 0                    |               |
| <b>Department Total</b>                    | <b>15,229,200</b>             | <b>170,830</b>                             | <b>1.1%</b>  | <b>15,400,030</b> | <b>182,170</b>        | <b>1.2%</b>  | <b>15,582,200</b>           | <b>2.3%</b>  | <b>15,882,817</b>    | <b>101.9%</b> |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 13,722,770                    | 553,670                                    | 4.0%         | 14,276,440        | 117,700               | 0.8%         | 14,394,140                  | 4.9%         | 13,874,540           | 96.4%         |
| Contracted Services                        | 638,360                       | -2,400                                     | -0.4%        | 635,960           | 3,000                 | 0.5%         | 638,960                     | 0.1%         | 815,490              | 127.6%        |
| Commodities                                | 319,020                       | 35,000                                     | 11.0%        | 354,020           | 58,770                | 16.6%        | 412,790                     | 29.4%        | 302,320              | 73.2%         |
| Internal Charges                           | 902,050                       | 81,260                                     | 9.0%         | 983,310           | 27,770                | 2.8%         | 1,011,080                   | 12.1%        | 1,010,800            | 100.0%        |
| Other Payments                             | 0                             | 0  |              | 0                 | 0                     |              | 0                           |              | 0                    |               |
| <b>Department Total</b>                    | <b>15,582,200</b>             | <b>667,530</b>                             | <b>4.3%</b>  | <b>16,249,730</b> | <b>207,240</b>        | <b>1.3%</b>  | <b>16,456,970</b>           | <b>5.6%</b>  | <b>16,003,150</b>    | <b>97.2%</b>  |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY14-15<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 14,394,140                    | -191,280                                   | -1.3%        | 14,202,860        | -1,065,000            | -7.5%        | 13,137,860                  | -8.7%        |                      |               |
| Contracted Services                        | 638,960                       | 67,860                                     | 10.6%        | 706,820           | -69,000               | -9.8%        | 637,820                     | -0.2%        |                      |               |
| Commodities                                | 412,790                       | -1,480                                     | -0.4%        | 411,310           | -47,750               | -11.6%       | 363,560                     | -11.9%       |                      |               |
| Internal Charges                           | 1,011,080                     | 11,570                                     | 1.1%         | 1,022,650         | -78,600               | -7.7%        | 944,050                     | -6.6%        |                      |               |
| Other Payments                             | 0                             | 0  |              | 0                 | 0                     |              | 0                           |              |                      |               |
| <b>Department Total</b>                    | <b>16,456,970</b>             | <b>-113,330</b>                            | <b>-0.7%</b> | <b>16,343,640</b> | <b>-1,260,350</b>     | <b>-7.7%</b> | <b>15,083,290</b>           | <b>-8.3%</b> |                      |               |

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 22000 - Fire Department

| Department Budget<br>By Program | FY12-13    | FY13-14    | %      | FY14-15    | %      | FY15-16        | %      | FY15-16        | % over |
|---------------------------------|------------|------------|--------|------------|--------|----------------|--------|----------------|--------|
|                                 | \$ Actual  | \$ Actual  | Change | \$ Adopted | Change | \$ Base Budget | Change | \$ Bud Augment | Base   |
| 22101 - Fire Administration     | 568,830    | 586,316    | 3.1%   | 607,060    | 3.5%   | 619,620        | 2.1%   | -7,000         | -1.1%  |
| 22105 - Fire Prevention & Educ  | 355,538    | 341,808    | -3.9%  | 429,840    | 25.8%  | 434,440        | 1.1%   | -17,000        | -3.9%  |
| 22201 - Fire Operations         | 14,174,379 | 14,616,413 | 3.1%   | 15,050,420 | 3.0%   | 14,920,420     | -0.9%  | -1,217,450     | -8.2%  |
| 22205 - Fire Training & Safety  | 226,575    | 338,280    | 49.3%  | 369,650    | 9.3%   | 369,160        | -0.1%  | -18,900        | -5.1%  |
| Department Total                | 15,325,322 | 15,882,817 | 3.6%   | 16,456,970 | 3.6%   | 16,343,640     | -0.7%  | -1,260,350     | -7.7%  |

## Department Budget by Object

|                     |            |            |       |            |        |            |       |            |        |
|---------------------|------------|------------|-------|------------|--------|------------|-------|------------|--------|
| Personnel Expenses  | 13,592,085 | 13,816,951 | 1.7%  | 14,394,140 | 4.2%   | 14,202,860 | -1.3% | -1,065,000 | -7.5%  |
| Contracted Services | 726,974    | 843,848    | 16.1% | 638,960    | -24.3% | 706,820    | 10.6% | -69,000    | -9.8%  |
| Commodities         | 294,047    | 290,043    | -1.4% | 412,790    | 42.3%  | 411,310    | -0.4% | -47,750    | -11.6% |
| Internal Charges    | 712,216    | 931,975    | 30.9% | 1,011,080  | 8.5%   | 1,022,650  | 1.1%  | -78,600    | -7.7%  |
| Other Payments      | 0          | 0          |       | 0          |        | 0          |       | 0          |        |
| Department Total    | 15,325,322 | 15,882,817 | 3.6%  | 16,456,970 | 3.6%   | 16,343,640 | -0.7% | -1,260,350 | -7.7%  |

## Department Budget by Funding Source

|  |            |            |       |            |        |            |       |            |        |
|--|------------|------------|-------|------------|--------|------------|-------|------------|--------|
| General Fund 101 - Taxes               | 8,751,942  | 9,231,257  | 5.5%  | 9,897,450  | 7.2%   | 9,067,490  | -8.4% | 394,290    | 4.3%   |
| Grant & Fee Revenues                   | 0          | 0          |       | 0          |        | 0          |       | 0          |        |
| South County Fire Authority - Fund 211 |            |            |       |            |        |            |       |            |        |
| Fee Revenues                           | 216,620    | 250,666    | 15.7% | 211,950    | -15.4% | 218,900    | 3.3%  | -2,350     | -1.1%  |
| Grant Revenues                         | 102,391    | 232,764    |       | 212,000    | -8.9%  | 210,000    | -0.9% | 0          | 0.0%   |
| Mt House CSD                           | 2,309,230  | 2,356,333  | 2.0%  | 2,362,990  | 0.3%   | 2,346,530  | -0.7% | -1,865,650 | -79.5% |
| Tracy Rural Fire District              | 3,945,139  | 3,811,797  | -3.4% | 3,772,580  | -1.0%  | 4,500,720  | 19.3% | 213,360    | 4.7%   |
| Department Total                       | 15,325,322 | 15,882,817 | 3.6%  | 16,456,970 | 3.6%   | 16,343,640 | -0.7% | -1,260,350 | -7.7%  |

## Department Staffing

|                              |       |       |      |       |      |       |      |      |      |
|------------------------------|-------|-------|------|-------|------|-------|------|------|------|
| Total - Full Time Equivalent | 76.45 | 78.70 | 2.9% | 79.70 | 1.3% | 79.70 | 0.0% | 0.00 | 0.0% |
|------------------------------|-------|-------|------|-------|------|-------|------|------|------|

## Department Equipment Purchase

|                       |        |         |       |         |        |        |        |         |         |
|-----------------------|--------|---------|-------|---------|--------|--------|--------|---------|---------|
| Replacement Equipment | 99,318 | 169,656 | 70.8% | 131,730 | -22.4% | 40,000 | -69.6% | 528,290 | 1320.7% |
| New Equipment         | 16,128 | 0       |       | 73,000  |        | 0      |        | 15,000  |         |

## CORE MEASURES and Supporting Data for FIRE Department

| ICMA-CPM Data Templates  | FY10-11<br>Actual | FY11-12<br>Actual | FY12-13<br>Actual | FY13-14<br>Actual | %<br>Change | FY14-15<br>Projected | FY14-15<br>Estimate | %<br>Change | FY15-16<br>Projected | %<br>Change |
|--|-------------------|-------------------|-------------------|-------------------|-------------|----------------------|---------------------|-------------|----------------------|-------------|
| City Resident Population   | 83,242            | 83,562            | 84,466            | 84,937            | 0.56%       | 86,400               | 85,296              | 0.42%       | 85,600               | 0.36%       |
| City Area Served (Square Miles)  | 23.0              | 23.0              | 26.3              | 29.1              | 10.65%      | 23.0                 | 29.0                | -0.34%      | 29.1                 | 0.34%       |
| Outside City Resident Population   | 25,369            | 25,369            | 25,491            | 25,949            | 1.80%       | 26,341               | 26,417              | 1.80%       | 18,108               | -31.45%     |
| Outside Area Served (Square Mil)   | 144.0             | 144.0             | 140.7             | 137.9             | -1.99%      | 144.0                | 137.9               | 0.00%       | 130.4                | -5.44%      |
| Sworn and Civilian FTEs  | 78.45             | 78.45             | 76.45             | 78.70             | 2.94%       | 79.70                | 79.70               | 1.27%       | 72.50                | -9.03%      |
| per 1,000 Population   | 0.72              | 0.72              | 0.70              | 0.71              | 2.08%       | 0.71                 | 0.71                | 0.52%       | 0.70                 | -2.01%      |
| Fire Stations / 1st Line Units   | 7 / 8             | 7 / 8             | 7 / 8             | 7 / 8             |             | 7 / 8                | 7 / 8               | 0.00%       | 6 / 7                | 0.00%       |
| Departmental Costs (\$1,000)   | \$14,058          | \$15,230          | \$15,325          | \$15,883          | 3.64%       | \$16,457             | \$16,003            | 0.76%       | \$15,083             | -5.75%      |
| per Capita   | \$129.43          | \$139.81          | \$139.37          | \$143.24          | 2.77%       | \$145.97             | \$143.25            | 0.01%       | \$145.44             | 1.53%       |
| <b>Incidents</b>   |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Structural Fire  | 35                | 61                | 58                | 62                | 6.90%       | 61                   | 54                  | -12.90%     | 54                   | 0.00%       |
| Non-Structural Fire  | 445               | 359               | 366               | 239               | -34.70%     | 356                  | 399                 | 66.95%      | 399                  | 0.00%       |
| Emergency Medical  | 3,034             | 3,196             | 3,190             | 3,569             | 11.88%      | 3,428                | 3,251               | -8.91%      | 3,251                | 0.00%       |
| Others   | 2,212             | 2,330             | 2,709             | 2,373             | -12.40%     | 2,480                | 2,829               | 19.22%      | 2,829                | 0.00%       |
| Fire Incidents / 1,000 Population  | 4.42              | 3.86              | 3.86              | 2.71              | -29.60%     | 3.70                 | 4.06                | 49.38%      | 4.37                 | 7.72%       |
| EMS Incidents / 1,000 Populatio  | 27.93             | 29.34             | 29.01             | 32.19             | 10.94%      | 30.41                | 29.10               | -9.58%      | 31.35                | 7.72%       |
| Other Incidents / 1,000 Populatio  | 20.37             | 21.39             | 24.64             | 21.40             | -13.14%     | 22.00                | 25.32               | 18.33%      | 27.28                | 7.72%       |
| Fire Incidents per Week  | 1.35              | 2.35              | 2.23              | 2.38              | 6.90%       | 2.35                 | 2.08                | -12.90%     | 2.08                 | 0.00%       |
| EMS Incidents per Day  | 8.31              | 8.76              | 8.74              | 9.78              | 11.88%      | 9.39                 | 8.91                | -8.91%      | 8.91                 | 0.00%       |
| Other Incidents per Day  | 6.06              | 6.38              | 7.42              | 6.50              | -12.40%     | 6.79                 | 7.75                | 19.22%      | 7.75                 | 0.00%       |
| % of Calls within a 5 Minute Re  | 74.0%             | 70.0%             | 70.0%             | 67.0%             | -4.29%      | 76.0%                | 67.0%               | 0.00%       | 67.0%                | 0.00%       |
| Average EMS Response (Secor  | 235               | 240               | 260               | 277               | 6.54%       | 240                  | 279                 | 0.72%       | 279                  | 0.00%       |
| <b>Fire Prevention</b>   |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Field Activities   | 3,498             | 3,492             | 8,202             | 6,970             | 69.59%      | 1,501                | 7,756               | 11.28%      | 8,330                | 7.40%       |
| Field Activities per 1,000 populæ  | 32.21             | 32.06             | 74.59             | 62.86             | 57.15%      | 13.31                | 69.43               | 10.45%      | 80.32                | 15.69%      |
| Population and FTEs prorate for 20% in FY15-16 due to the MHCS D dropping fire services thru the SCFA. |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| <b>Citizen Survey Ratings</b>  |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| <b>Fire Services</b>   |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Quality: Good or Better  |                   |                   |                   |                   |             | 92.0%                |                     |             | 92.0%                |             |
| Quality: Fair  |                   |                   |                   |                   |             | 6.5%                 |                     |             | 6.5%                 |             |
| Timeliness: Good or Better   |                   |                   |                   |                   |             | 91.0%                |                     |             | 91.0%                |             |
| Timeliness: Fair   |                   |                   |                   |                   |             | 6.1%                 |                     |             | 6.1%                 |             |
| <b>EMS Services</b>  |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Quality: Good or Better  |                   |                   |                   |                   |             | 96.0%                |                     |             | 96.0%                |             |
| Quality: Fair  |                   |                   |                   |                   |             | 3.0%                 |                     |             | 3.0%                 |             |
| Timeliness: Good or Better   |                   |                   |                   |                   |             | 93.0%                |                     |             | 93.0%                |             |
| Timeliness: Fair   |                   |                   |                   |                   |             | 5.1%                 |                     |             | 5.1%                 |             |

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Completed construction and relocation of Fire Stations 92 and 96.
2. Construction of quarter's modification at Fire Station 91 – carried over to FY 14-15.
3. Review of Consolidation Governance model – carried over to FY 14-15.
4. Completed recruitment, hiring and training of new firefighters.
5. Implemented Hydrant Maintenance program.
6. Implement Company Inspection program – carried over to FY 14-15.

### Current Projections: FY 14-15

1. Implementation of Governance model – Phase 1 completed. Consultant to be hired for additional scope of work.
2. Construction of quarter's modification at Fire Station 91 – Additional funding added, project need to be rebid by DS.
3. Oversee construction of 3 (2 City, 1 Rural) Type 1 triple combination fire pumpers – Delivery anticipated in March 2015.
4. Implement Company Inspection program – ongoing.
5. Implement inventory tracking system – Dept. to evaluate the new City ERP software for possible use.

### Future Projections: FY 15-16

1. Oversee the Fire Governance model feasibility study.
2. Recruitment and hiring of Division Chief, Captain, Engineer and Firefighter ranks.
3. Implementation of Joint Powers Agreement for dispatching services moving to a regional public dispatch center.
4. Implement inventory tracking system.

Department: **22000 - Fire Department**  
 Division: **22100 - Chief's Office**  
 Program: **52101 - Fire Administration**

**PERFORMANCE OBJECTIVES**

Administer and direct the Fire Department and provide the necessary administrative support for its programs and operations.

1. To oversee the 4 programs of the Department at an admin cost of 3.7% or less of the department operating budget.
2. To oversee a departmental budget of over \$15,083,290 and with an authorized staffing of 70.70 full-time equivalents.
3. To oversee the recruitment and hiring of following ranks: Engineer, Captain, and Division Chief.
4. To oversee the transition of dispatch services to a regional public dispatch center.
5. To oversee the Fire Governance feasibility study, working with the City consultant and TRFD Board members.

**COMMENTARY**

This program provides for the Fire Chief and secretarial support and for facility costs for the Fire Administration Building.  
 In FY13-14, program costs showed a modest increase. In FY14-15, program costs will show a major decrease. The vacancy of the Fire Chief position is the primary reason, but also prior costs were higher due to special studies.  
 For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments to other costs items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 477,022              | 470,252              | -1.4%            | 492,620               | 342,030                 | 69.4%          | -27.3%           | 493,880               | 0.3%               |
| Contracted Services                           | 19,350               | 34,953               | 80.6%            | 29,270                | 29,000                  | 99.1%          | -17.0%           | 32,100                | 9.7%               |
| Commodities                                   | 14,871               | 13,270               | -10.8%           | 20,150                | 15,000                  | 74.4%          | 13.0%            | 16,670                | -17.3%             |
| Internal Charges                              | 57,587               | 67,841               | 17.8%            | 65,020                | 65,000                  | 100.0%         | -4.2%            | 69,970                | 7.6%               |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                                 | 568,830              | 586,316              | 3.1%             | 607,060               | 451,030                 | 74.3%          | -23.1%           | 612,620               | 0.9%               |
| Amended Budget                                | 545,600              | 580,020              |                  |                       | 607,060                 |                |                  |                       |                    |
| % of Amended Spent                            | 104.3%               | 101.1%               |                  |                       | 74.3%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 320,140              | 336,544              | 5.1%             | 363,770               | 267,900                 | 73.6%          | -20.4%           | 380,850               | 4.7%               |
| Grant & Fee Revenues                          | 0                    | 0                    |                  | 0                     | 2,890                   |                |                  | 2,990                 |                    |
| Mt House CSD                                  | 93,986               | 95,323               | 1.4%             | 93,620                | 69,360                  | 74.1%          | -27.2%           | 19,670                | -79.0%             |
| Tracy Rural Fire District                     | 154,704              | 154,449              | -0.2%            | 149,670               | 110,880                 | 74.1%          | -28.2%           | 209,110               | 39.7%              |
| Program Total                                 | 568,830              | 586,316              | 3.1%             | 607,060               | 451,030                 | 74.3%          | -23.1%           | 612,620               | 0.9%               |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Fire Chief                                    | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Exec Asst II-Senior Secretary                 | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Admin Asst II-Sr Admin Clerk                  | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 3.00                 | 3.00                 | 0.0%             | 3.00                  | 3.00                    | 100.0%         | 0.0%             | 3.00                  | 0.0%               |

Department: **22000 - Fire Department**  
 Division: **22100 - Chief's Office**  
 Program: **22105 - Fire Prevention**

Conduct fire investigations and provide code enforcement, fire code plan checks, permit issuance, and business inspections. Provide fire safety education. Test and maintain fire hydrants.

**PERFORMANCE OBJECTIVES**

1. To conduct annual fire safety inspections within the South County Fire Authority's area for 888 licensed businesses, 859 operational fire permits, and 16 state-mandated occupancies.
2. To issue 80 fire permits for fire alarm systems, fire sprinkler systems, and hood & duct protection systems.
3. To perform 120 plan reviews for projects within the South County Fire Authority's area.
4. To review and provide comments/approval for 80 other development applications for projects within the SCFA's area.

**COMMENTARY**

In FY10-11, program supervision was changed from a Division Chief to the Development Services Building Official.

In FY12-13 and FY13-14, personnel costs were down due to vacancies. But, contracted inspectors were used to fill in for the vacancies and for extra work.

In FY14-15, program costs will show a major increase with both personnel going up while contracted work going down a little.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments to other costs items. It assumes no contracted inspections in the new fiscal year.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 170,252              | 42,787               | -74.9%           | 298,780               | 111,500                 | 37.3%          | 160.6%           | 303,380               | 1.5%               |
| Contracted Services         | 110,193              | 228,563              | 107.4%           | 29,230                | 217,140                 | 742.9%         | -5.0%            | 28,230                | -3.4%              |
| Commodities                 | 18,781               | 4,295                | -77.1%           | 29,710                | 12,100                  | 40.7%          | 181.7%           | 18,710                | -37.0%             |
| Internal Charges            | 56,312               | 66,163               | 17.5%            | 72,120                | 72,000                  | 99.8%          | 8.8%             | 67,120                | -6.9%              |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>355,538</b>       | <b>341,808</b>       | <b>-3.9%</b>     | <b>429,840</b>        | <b>412,740</b>          | <b>96.0%</b>   | <b>20.8%</b>     | <b>417,440</b>        | <b>-2.9%</b>       |
| Amended Budget              | 419,330              | 436,100              |                  |                       | 429,840                 |                |                  |                       |                    |
| % of Amended Spent          | 84.8%                | 78.4%                |                  |                       | 96.0%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>    | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes  | (15,539)             | (52,313)             | 236.7%           | 47,240                | 29,820                  | 63.1%          | -157.0%          | 49,020                | 3.8%               |
| Fee Revenues              | 216,620              | 250,666              | 15.7%            | 211,950               | 218,370                 | 103.0%         | -12.9%           | 213,560               | 0.8%               |
| Mt House CSD              | 58,373               | 54,748               | -6.2%            | 65,670                | 63,320                  | 96.4%          | 15.7%            | 13,910                | -78.8%             |
| Tracy Rural Fire District | 96,084               | 88,707               | -7.7%            | 104,980               | 101,230                 | 96.4%          | 14.1%            | 140,950               | 34.3%              |
| <b>Program Total</b>      | <b>355,538</b>       | <b>341,808</b>       | <b>-3.9%</b>     | <b>429,840</b>        | <b>412,740</b>          | <b>96.0%</b>   | <b>20.8%</b>     | <b>417,440</b>        | <b>-2.9%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Change    | FY14-15     | FY14-15     | % of Budget   | % Change    | FY15-16     | % Budget Change |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-----------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |                 |
| Fire Inspector                                | 2.00        | 2.00        |             | 2.00        | 2.00        |               |             | 2.00        |                 |
| Fire Division Chief                           | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| Fire Marshal                                  | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| Allocation from Dev Serv                      | 0.30        | 0.30        |             | 0.30        | 0.30        |               |             | 0.30        |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |                 |
| <b>Total - Full-Time Equivalents</b>          | <b>2.30</b> | <b>2.30</b> | <b>0.0%</b> | <b>2.30</b> | <b>2.30</b> | <b>100.0%</b> | <b>0.0%</b> | <b>2.30</b> | <b>0.0%</b>     |

Department: **22000 - Fire Department**  
 Division: **22200 - Operations Division**  
 Program: **22201 - Fire Operations**

**PERFORMANCE OBJECTIVES**

Responds to fire and emergency calls to provide fire suppression, rescue, emergency medical, and hazardous materials response services.

- 1a. To operate and maintain 7 stations, 7 Engine and 1 Truck companies, 24 hours per day, for 80 days, utilizing 3 platoons.
- 1b. Afterward, to operate and maintain 6 stations, 6 Engine and 1 Truck companies, 24 hours per day, for 285 days, utilizing 3 platoons.
2. To respond to all emergency calls within the City of Tracy within 6.5 minutes, 90% of the time.
3. To respond to all emergency calls within the Tracy Rural Fire District within 10 minutes, 90% of the time.
4. To respond to all emergency calls within the Mountain House CSD within 6.5 minutes, 90% of the time.
5. To perform hydrant maintenance in the SCFA service area on a continual basis per NFPA standards.

**COMMENTARY**

In FY12-13, program costs were reduced by 2.00 FTEs, due to a cutback in minimum staffing for Tracy Rural stations. In FY13-14, program staffing added 2.25 FTEs, with FS #92 relocating and going to 3 persons per shift. Also, Fire Reserves hours were increased. Program costs show a modest increase. In FY14-15, program costs will show a minor increase. For FY15-16, the MHCS D is terminating its contract with the TRFD, so MHCS D services will be provide for only 75 days or 20% of the fiscal year. Afterward, program staffing will delete 9 positions. The program budget provides for full, but then reduced staffing, and other pro-rated cost reductions.

| <b>PROGRAM EXPENDITURES</b> | FY12-13           | FY13-14           | % Cost      | FY14-15           | FY14-15           | % of         | % Cost      | FY15-16           | % Budget     |
|-----------------------------|-------------------|-------------------|-------------|-------------------|-------------------|--------------|-------------|-------------------|--------------|
|                             | \$ Actual         | \$ Actual         | Change      | \$ Adopted        | \$ Estimated      | Budget       | Change      | \$ Adopted        | Change       |
| Personnel Expenses          | 12,800,995        | 13,042,128        | 1.9%        | 13,337,950        | 13,225,560        | 99.2%        | 1.4%        | 12,076,300        | -9.5%        |
| Contracted Services         | 554,356           | 546,700           | -1.4%       | 517,550           | 515,780           | 99.7%        | -5.7%       | 527,580           | 1.9%         |
| Commodities                 | 239,908           | 252,357           | 5.2%        | 345,820           | 258,430           | 74.7%        | 2.4%        | 314,970           | -8.9%        |
| Internal Charges            | 579,119           | 775,227           | 33.9%       | 849,100           | 849,000           | 100.0%       | 9.5%        | 784,120           | -7.7%        |
| Other Payments              | 0                 | 0                 |             | 0                 | 0                 |              |             | 0                 |              |
| <b>Program Total</b>        | <b>14,174,378</b> | <b>14,616,412</b> | <b>3.1%</b> | <b>15,050,420</b> | <b>14,848,770</b> | <b>98.7%</b> | <b>1.6%</b> | <b>13,702,970</b> | <b>-9.0%</b> |
| Amended Budget              | 14,070,140        | 14,365,160        |             |                   | 15,160,240        |              |             |                   |              |
| % of Amended Spent          | 100.7%            | 101.7%            |             |                   | 97.9%             |              |             |                   |              |

| <b><u>FUNDING SOURCES</u></b> |                   |                   |             |                   |                   |              |             |                   |              |
|-------------------------------|-------------------|-------------------|-------------|-------------------|-------------------|--------------|-------------|-------------------|--------------|
| General Fund 101 - Taxes      | 8,325,924         | 8,735,624         | 4.9%        | 9,266,970         | 9,171,800         | 99.0%        | 5.0%        | 8,814,190         | -4.9%        |
| Grant Revenues - Mutual Aid   | 96,599            | 220,309           | 128.1%      | 212,000           | 182,000           | 85.8%        | -17.4%      | 210,000           | -0.9%        |
| Mt House CSD                  | 2,174,241         | 2,160,264         | -0.6%       | 2,145,910         | 2,114,480         | 98.5%        | -2.1%       | 435,450           | -79.7%       |
| Tracy Rural Fire District     | 3,577,614         | 3,500,215         | -2.2%       | 3,425,540         | 3,380,490         | 98.7%        | -3.4%       | 4,243,330         | 23.9%        |
| <b>Program Total</b>          | <b>14,174,378</b> | <b>14,616,412</b> | <b>3.1%</b> | <b>15,050,420</b> | <b>14,848,770</b> | <b>98.7%</b> | <b>1.6%</b> | <b>13,702,970</b> | <b>-9.0%</b> |

| <b><u>PROGRAM STAFFING</u></b>                |              |              |             |              |              |               |             |              |                        |
|---|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|------------------------|
| <i>Regular Positions</i>                      |              |              |             |              |              |               |             |              |                        |
| Fire Captain                                  | 24.00        | 24.00        |             | 24.00        | 24.00        |               |             | 24.00        | to 21 *1               |
| Fire Engineer                                 | 24.00        | 24.00        |             | 24.00        | 24.00        |               |             | 24.00        | to 21 *1               |
| Firefighter                                   | 19.00        | 21.00        |             | 21.00        | 21.00        |               |             | 21.00        | to 18 *1               |
| Fire Division Chief                           | 2.33         | 2.33         |             | 2.33         | 2.33         |               |             | 2.33         |                        |
|   |              |              |             |              |              |               |             |              | *1 effective 9-15-2015 |
| <i>Other Staffing (Full-Time Equivalents)</i> |              |              |             |              |              |               |             |              |                        |
| Firefighter/Reserves                          | 1.15         | 1.40         |             | 1.40         | 1.40         |               |             | 1.40         |                        |
| <b>Total - Full-Time Equivalents</b>          | <b>70.48</b> | <b>72.73</b> | <b>3.2%</b> | <b>72.73</b> | <b>72.73</b> | <b>100.0%</b> | <b>0.0%</b> | <b>72.73</b> | <b>0.0%</b>            |

Department: **22000 - Fire Department**  
 Division: **22200 - Operations Division**  
 Program: **52205 - Fire Training & Safety**

**PERFORMANCE OBJECTIVES**

1. To ensure that all personnel are appropriately trained to do their jobs.
2. To meet all local and state mandated training requirements.
3. To provide public education to citizens through school programs, public events, meetings, service clubs, etc., on fire and life safety.
4. To oversee live fire training twice per year, utilizing the burn trailer, and wildland live fire training once per year.

Provide and manage training program for the Department for career, reserve, and volunteer personnel. Training involves all facets of departmental functions and operations.

**COMMENTARY**

This program provides for a departmental Training Officer and budgets for staff training and physical fitness evaluations.  
 In FY13-14, program costs showed a major increase, with fire academy training for 3 new Firefighters paid through this program.  
 In FY14-15, program staffing added a EMS Manager. But, program costs will be down because the position has not been filled and no trainees.  
 For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing but with some pro-rated cost reductions due the MHCS D services being dropped for 80% of the fiscal year.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost       | FY14-15        | FY14-15        | % of         | % Cost        | FY15-16        | % Budget     |
|-----------------------------|----------------|----------------|--------------|----------------|----------------|--------------|---------------|----------------|--------------|
|                             | \$ Actual      | \$ Actual      | Change       | \$ Adopted     | \$ Estimated   | Budget       | Change        | \$ Adopted     | Change       |
| Personnel Expenses          | 143,816        | 261,784        | 82.0%        | 264,790        | 195,450        | 73.8%        | -25.3%        | 264,300        | -0.2%        |
| Contracted Services         | 43,075         | 33,632         | -21.9%       | 62,910         | 53,570         | 85.2%        | 59.3%         | 49,910         | -20.7%       |
| Commodities                 | 20,486         | 20,121         | -1.8%        | 17,110         | 16,790         | 98.1%        | -16.6%        | 13,210         | -22.8%       |
| Internal Charges            | 19,198         | 22,744         | 18.5%        | 24,840         | 24,800         | 99.8%        | 9.0%          | 22,840         | -8.1%        |
| Other Payments              | 0              | 0              |              | 0              | 0              |              |               | 0              |              |
| <b>Program Total</b>        | <b>226,575</b> | <b>338,281</b> | <b>49.3%</b> | <b>369,650</b> | <b>290,610</b> | <b>78.6%</b> | <b>-14.1%</b> | <b>350,260</b> | <b>-5.2%</b> |
| Amended Budget              | 251,170        | 237,684        |              |                | 359,650        |              |               |                |              |
| % of Amended Spent          | 90.2%          | 142.3%         |              |                | 80.8%          |              |               |                |              |

| <b>FUNDING SOURCES</b>    |                |                |              |                |                |              |               |                |              |
|---------------------------|----------------|----------------|--------------|----------------|----------------|--------------|---------------|----------------|--------------|
| General Fund 101 - Taxes  | 123,918        | 207,966        | 67.8%        | 219,470        | 172,580        | 78.6%        | -17.0%        | 217,720        | -0.8%        |
| Grant Revenues            | 5,793          | 12,455         |              | 0              | 0              |              |               | 0              |              |
| Mt House CSD              | 36,607         | 44,980         | 22.9%        | 57,790         | 45,420         | 78.6%        | 1.0%          | 11,850         | -79.5%       |
| Tracy Rural Fire District | 60,257         | 72,880         | 20.9%        | 92,390         | 72,610         | 78.6%        | -0.4%         | 120,690        | 30.6%        |
| <b>Program Total</b>      | <b>226,575</b> | <b>338,281</b> | <b>49.3%</b> | <b>369,650</b> | <b>290,610</b> | <b>78.6%</b> | <b>-14.1%</b> | <b>350,260</b> | <b>-5.2%</b> |

| <b>PROGRAM STAFFING</b>                       |             |             |             |             |             |               |               |             |             |
|---|-------------|-------------|-------------|-------------|-------------|---------------|---------------|-------------|-------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |               |             |             |
| Fire Division Chief                           | 0.67        | 0.67        |             | 0.67        | 0.67        |               |               | 0.67        |             |
| Fire Captain                                  | 0.00        | 0.00        |             | 0.00        | 0.00        |               |               | 0.00        |             |
| EMS Manager                                   | 0.00        | 0.00        |             | 1.00        | 1.00        |               |               | 1.00        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |               |             |             |
| Secretary                                     |             |             |             |             |             |               |               |             |             |
| <b>Total - Full-Time Equivalents</b>          | <b>0.67</b> | <b>0.67</b> | <b>0.0%</b> | <b>1.67</b> | <b>1.67</b> | <b>100.0%</b> | <b>149.3%</b> | <b>1.67</b> | <b>0.0%</b> |

# PUBLIC WORKS DEPARTMENT

## Mission Statement

Maintain and operate Tracy's public facilities and infrastructure in an efficient and cost effective manner while preserving the health, safety, and aesthetics of our community

## **Department Head**

David Ferguson, Public Works Director

City of Tracy  
**PUBLIC WORKS DEPARTMENT**  
 Fiscal Year 15-16



Department: **23000 - Public Works Department**

The Public Works Department provides maintenance services for City streets, traffic systems, parks, landscaping districts, buildings, and vehicles; and operates and maintains the water distribution, sewer collection, and drainage systems within the City. Also, it administers the City's solid waste and recycling programs.

In FY12-13, the Transit, Airport, and Community Facilities programs were transferred into the Department from P&CS. In FY14-15, the Utilities Division will be re-established as a new City Department.

**COMMENTARY**

As approved for FY15-16, the departmental budget will increase about 0.6% over the current year adopted budget, and this represents a 5.1% increase over the FY13-14 amended budget.

The base component of the budget represents a 2.4% decrease from the current year adopted budget, while budget augmentations will show a 3.1% increase over the base budget.

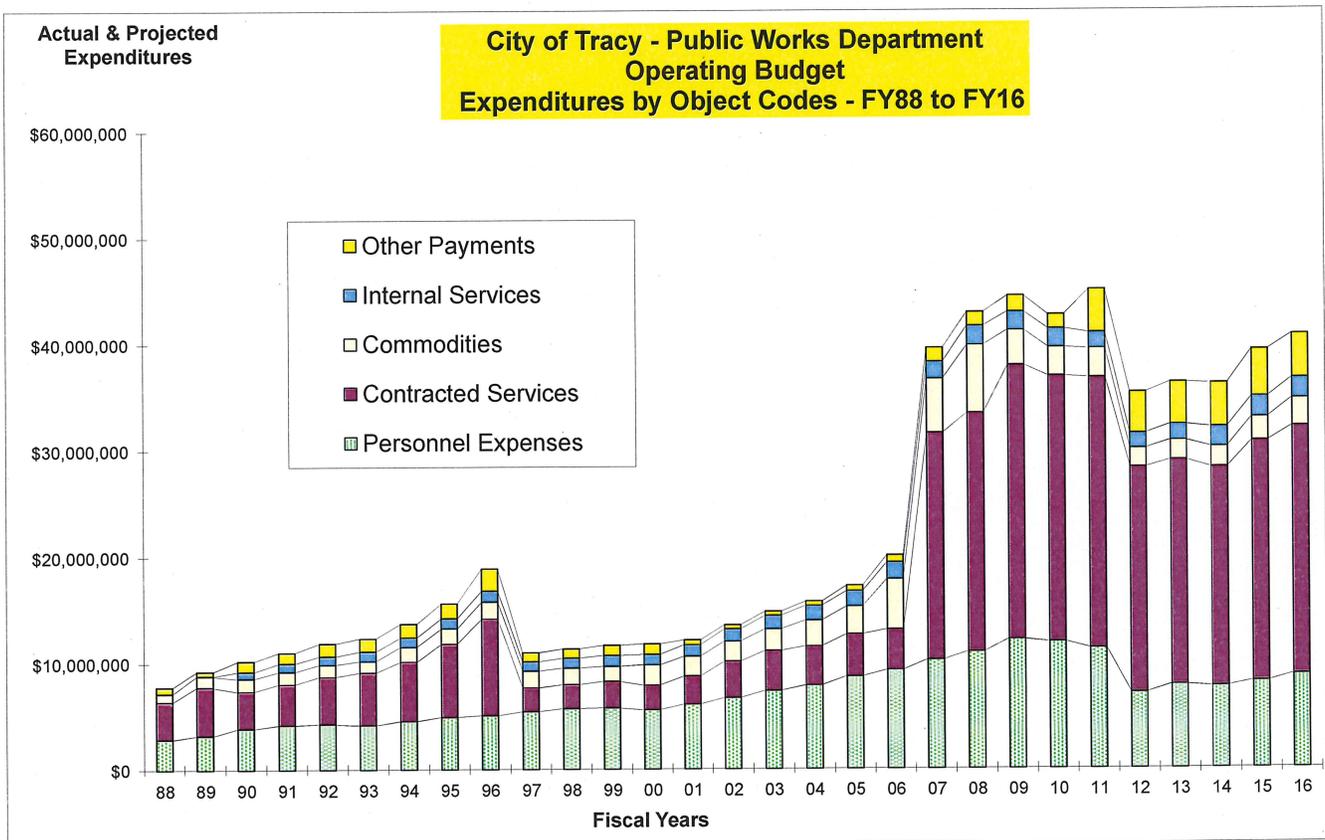
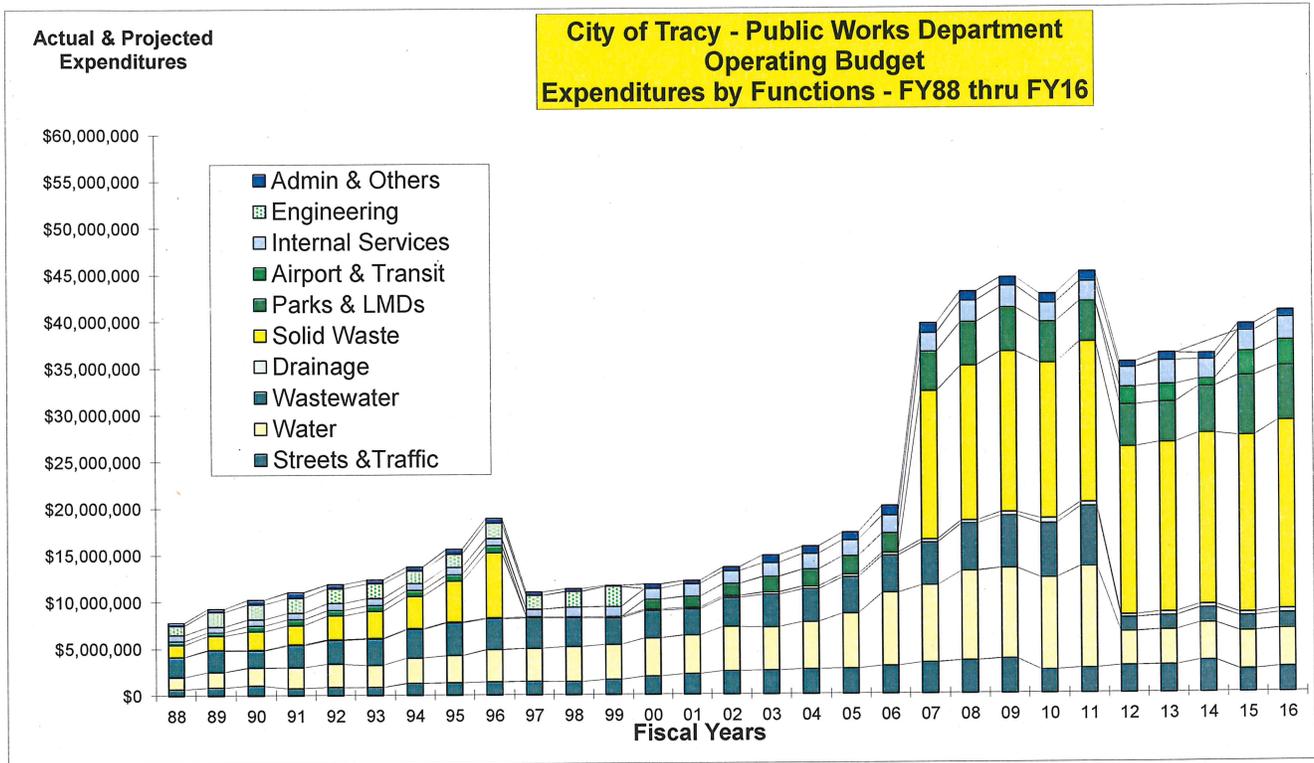
In FY13-14, departmental staffing added 1 new full-time regular positions and 1.00 FTE's in other staffing. In FY15-16, departmental staffing will add one full-time regular position and net 0.1 FTE's increase in other staffing.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| <b>Director's Office</b>                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 23101 - Public Works Administration     | 836,520              | 664,041              | -20.6%           | 669,130               | 727,710                 | 108.8%         | 9.6%             | 749,900                | 12.1%              |
| 23102 - Utilities Management            | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| <b>Maintenance Division</b>             |                      |                      |                  |                       |                         |                |                  |                        |                    |
| 23301 - Central Garage                  | 1,366,532            | 1,361,647            | -0.4%            | 1,492,460             | 1,380,550               | 92.5%          | 1.4%             | 1,559,250              | 4.5%               |
| 23302 - Building Maintenance            | 655,258              | 639,674              | -2.4%            | 768,070               | 746,900                 | 97.2%          | 16.8%            | 796,950                | 3.8%               |
| 23303 - Custodial Maintenance           | 65,672               | 79,764               | 21.5%            | 111,830               | 92,840                  | 83.0%          | 16.4%            | 111,430                | -0.4%              |
| 23401 - Roadway Maintenance             | 798,567              | 682,977              | -14.5%           | 941,860               | 795,530                 | 84.5%          | 16.5%            | 905,270                | -3.9%              |
| 23402 - Sidewalk & Median Maint         | 417,936              | 374,256              | -10.5%           | 528,650               | 437,340                 | 82.7%          | 16.9%            | 543,950                | 2.9%               |
| 23403 - Street Sweeping                 | 419,215              | 365,950              | -12.7%           | 409,330               | 439,310                 | 107.3%         | 20.0%            | 410,430                | 0.3%               |
| 23404 - Traffic Maintenance             | 289,668              | 316,652              | 9.3%             | 359,620               | 340,250                 | 94.6%          | 7.5%             | 374,950                | 4.3%               |
| 23406 - Street Tree Maintenance         | 296,151              | 299,725              | 1.2%             | 333,430               | 296,990                 | 89.1%          | -0.9%            | 333,130                | -0.1%              |
| 23407 - Graffiti Removal                | 80,556               | 85,045               | 5.6%             | 93,520                | 90,200                  | 96.4%          | 6.1%             | 94,210                 | 0.7%               |
| 23408 - Traffic & Lighting Electric     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| 23501 - Water Distribution              | 2,448,024            | 2,700,337            | 10.3%            | 2,650,150             | 2,681,570               | 101.2%         | -0.7%            | 2,722,570              | 2.7%               |
| 23502 - Water Meters                    | 1,288,969            | 1,313,981            | 1.9%             | 1,525,460             | 1,402,630               | 91.9%          | 6.7%             | 1,358,040              | -11.0%             |
| 23503 - Wastewater Collection           | 1,529,038            | 1,595,199            | 4.3%             | 1,648,580             | 1,629,260               | 98.8%          | 2.1%             | 1,644,880              | -0.2%              |
| 23504 - Storm Drain Maintenance         | 476,620              | 368,012              | -22.8%           | 465,380               | 379,160                 | 81.5%          | 3.0%             | 463,710                | -0.4%              |
| 23701 - Parks Maintenance               | 1,577,132            | 1,761,193            | 11.7%            | 1,962,000             | 1,786,550               | 91.1%          | 1.4%             | 2,041,300              | 4.0%               |
| 23702 - Sports Complex Maintenan        | 416,145              | 371,729              | -10.7%           | 395,690               | 305,530                 | 77.2%          | -17.8%           | 414,810                | 4.8%               |
| 23703 - Legacy Fields Maintenance       | 0                    | 19,894               |                  | 79,650                | 41,400                  | 52.0%          | 108.1%           | 99,200                 | 24.5%              |
| 23705 - Landscaping Districts           | 2,308,314            | 2,347,221            | 1.7%             | 2,920,190             | 3,700,380               | 126.7%         | 57.6%            | 2,708,170              | -7.3%              |
| Division Total                          | 14,433,797           | 14,683,256           | 1.7%             | 16,685,870            | 16,546,390              | 99.2%          | 12.7%            | 16,582,250             | -0.6%              |

(Continued on next page)

Department: 23000 - Public Works Department (Continued)

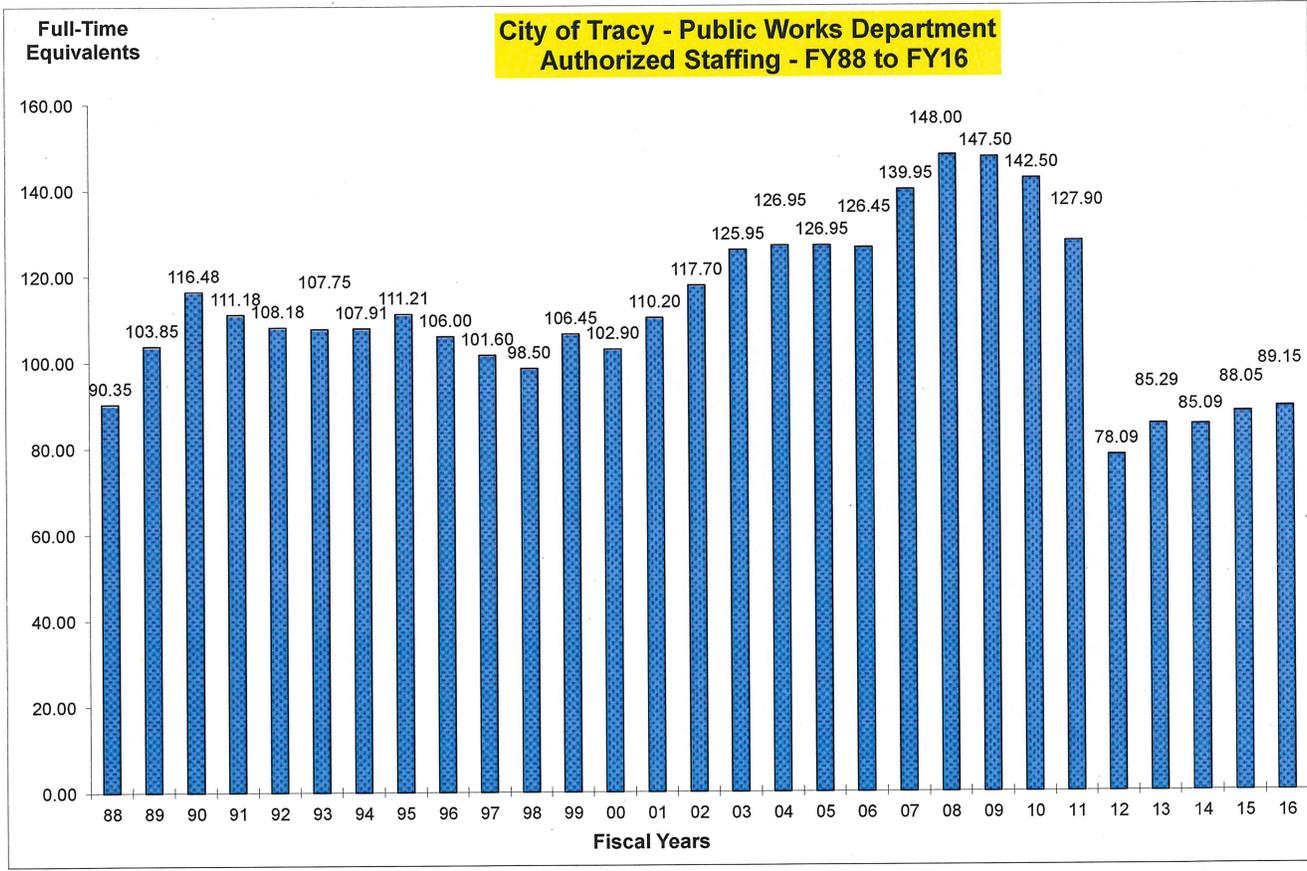
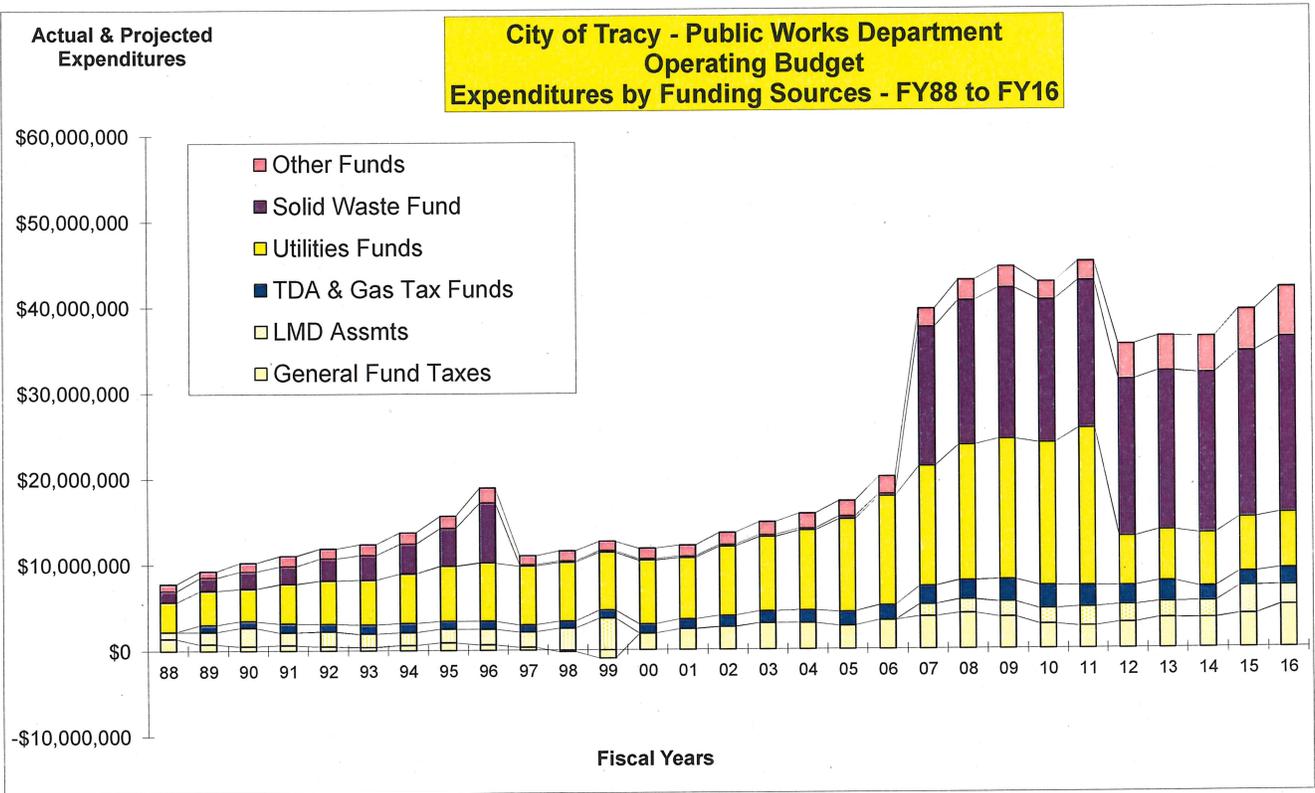
| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM                 | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>Solid Waste Programs</b>                             |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 23801 - SW Collection & Disposal                        | 15,692,609           | 15,813,412           | 0.8%             | 16,879,080            | 16,270,400              | 96.4%            | 2.9%             | 17,433,740             | 3.3%               |
| 23802 - SW Recycling                                    | 2,445,770            | 2,481,967            | 1.5%             | 2,660,220             | 2,649,800               | 99.6%            | 6.8%             | 2,711,920              | 1.9%               |
| Programs Total  | 18,138,379           | 18,295,379           | 0.9%             | 19,539,300            | 18,920,200              | 96.8%            | 3.4%             | 20,145,660             | 6.5%               |
| <b>Community Services Division</b>                      |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 23901 - Transit Operations                              | 1,597,406            | 1,775,747            | 11.2%            | 2,230,670             | 2,220,150               | 99.5%            | 25.0%            | 2,262,590              | 1.4%               |
| 23902 - Airport Operations                              | 284,591              | 294,365              | 3.4%             | 810,990               | 382,070                 | 47.1%            | 29.8%            | 458,090                | -43.5%             |
| 23708 - Community Facilities                            | 454,822              | 494,256              | 8.7%             | 596,430               | 535,700                 | 89.8%            | 8.4%             | 584,010                | -2.1%              |
| Programs Total  | 2,336,819            | 2,564,368            | 9.7%             | 3,638,090             | 3,137,920               | 86.3%            | 22.4%            | 3,304,690              | -9.2%              |
| Department Total  | 35,745,515           | 36,207,044           | 1.3%             | 40,532,390            | 39,332,220              | 97.0%            | 8.6%             | 40,782,500             | 0.6%               |
| Amended Budget  | 36,601,670           | 38,810,736           | 6.0%             |                       | 42,013,137              |                  | 8.3%             |                        |                    |
| % of Amended Spent                                      | 97.66%               | 93.29%               |                  |                       | 93.62%                  |                  |                  | over 2 years           | 5.1%               |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 39,550,820             | -2.4%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 1,231,680              | 3.1%               |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| Personnel Expenses                                      | 7,867,290            | 7,704,375            | -2.1%            | 8,836,280             | 8,157,380               | 92.3%            | 5.9%             | 8,787,730              | -0.5%              |
| Contracted Services                                     | 20,561,134           | 20,583,455           | 0.1%             | 22,485,710            | 22,597,960              | 100.5%           | 9.8%             | 23,319,580             | 3.7%               |
| Commodities   | 1,832,136            | 1,921,791            | 4.9%             | 2,851,800             | 2,228,160               | 78.1%            | 15.9%            | 2,601,650              | -8.8%              |
| Internal Charges  | 1,505,717            | 1,862,730            | 23.7%            | 1,941,680             | 1,924,760               | 99.1%            | 3.3%             | 1,935,140              | -0.3%              |
| Other Payments  | 3,979,238            | 4,134,693            | 3.9%             | 4,416,920             | 4,423,960               | 100.2%           | 7.0%             | 4,138,400              | -6.3%              |
| Department Total  | 35,745,515           | 36,207,044           | 1.3%             | 40,532,390            | 39,332,220              | 97.0%            | 8.6%             | 40,782,500             | 0.6%               |



Department: 23000 - Public Works Department (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| General Fund 101 - Taxes                                | 3,148,661            | 3,114,156            | -1.1%            | 3,853,430             | 3,537,370               | 91.8%          | 13.6%            | 3,940,510              | 2.3%               |
| Facility Fees   | 238,747              | 249,943              | 4.7%             | 222,050               | 215,100                 | 96.9%          | -13.9%           | 232,900                | 4.9%               |
| Traffic Fines Sub-fund 105                              | 90,000               | 90,000               | 0.0%             | 90,000                | 90,000                  | 100.0%         | 0.0%             | 90,000                 | 0.0%               |
| TDA & Gas Tax Funds 24x                                 | 1,810,157            | 1,728,421            | -4.5%            | 1,970,000             | 1,650,000               | 83.8%          | -4.5%            | 2,070,000              | 5.1%               |
| Landscaping Districts Fund 271                          | 1,846,492            | 1,935,477            | 4.8%             | 2,479,090             | 3,274,950               | 132.1%         | 69.2%            | 2,285,500              | -7.8%              |
| Water Fund 511  | 3,943,404            | 4,172,148            | 5.8%             | 4,341,210             | 4,257,180               | 98.1%          | 2.0%             | 4,259,950              | -1.9%              |
| Wastewater Fund 521                                     | 1,544,598            | 1,612,129            | 4.4%             | 1,673,880             | 1,647,820               | 98.4%          | 2.2%             | 1,664,130              | -0.6%              |
| Solid Waste Fund 531                                    | 18,545,801           | 18,650,283           | 0.6%             | 19,932,630            | 19,345,790              | 97.1%          | 3.7%             | 20,540,810             | 3.1%               |
| Drainage Fund 541                                       | 532,486              | 419,090              | -21.3%           | 506,380               | 429,210                 | 84.8%          | 2.4%             | 414,690                | -18.1%             |
| Airport Fund 561  | 284,591              | 294,365              | 3.4%             | 810,990               | 382,070                 | 47.1%          | 29.8%            | 458,090                | -43.5%             |
| Transit Fund 571  | 1,597,406            | 1,775,747            | 11.2%            | 2,230,670             | 2,220,150               | 99.5%          | 25.0%            | 2,262,590              | 1.4%               |
| Central Garage Fund 601                                 | 1,417,252            | 1,398,457            | -1.3%            | 1,529,560             | 1,420,900               | 92.9%          | 1.6%             | 1,601,090              | 4.7%               |
| Building Maintenance Fund 615                           | 745,920              | 766,828              | 2.8%             | 892,500               | 861,680                 | 96.5%          | 12.4%            | 962,240                | 7.8%               |
| <b>Department Total</b>                                 | <b>35,745,515</b>    | <b>36,207,044</b>    | <b>1.3%</b>      | <b>40,532,390</b>     | <b>39,332,220</b>       | <b>97.0%</b>   | <b>8.6%</b>      | <b>40,782,500</b>      | <b>0.6%</b>        |

| <b>DEPARTMENTAL STAFFING</b>                  | FY12-13<br>\$ Actual | FY13-14<br>Approved | %<br>Change  | FY14-15<br>Adopted | FY14-15<br>Approved | %<br>Change   | FY15-16<br>Approved | %<br>Change |
|---|----------------------|---------------------|--------------|--------------------|---------------------|---------------|---------------------|-------------|
| <i>Regular Positions</i>                      |                      |                     |              |                    |                     |               |                     |             |
| Director of Public Works                      | 2.00                 | 1.00                |              | 1.00               | 1.00                |               | 1.00                |             |
| Managers & Supervisors                        | 7.00                 | 7.00                |              | 8.00               | 8.00                |               | 7.00                |             |
| Secretarial & Clerical                        | 4.00                 | 4.00                |              | 4.00               | 4.00                |               | 4.00                |             |
| Street & Traffic Maintenance                  | 12.00                | 12.00               |              | 12.00              | 12.00               |               | 12.00               |             |
| Parks & Landscape Maintenance                 | 19.00                | 19.00               |              | 19.00              | 19.00               |               | 19.00               |             |
| Utilities Field Maintenance                   | 21.00                | 21.00               |              | 21.00              | 21.00               |               | 21.00               |             |
| Building & Eqpt Maintenance                   | 9.00                 | 9.00                |              | 9.00               | 9.00                |               | 9.00                |             |
| Solid Waste                                   | 1.00                 | 1.00                |              | 1.00               | 1.00                |               | 1.00                |             |
| Transit                                       | 1.00                 | 1.00                |              | 1.00               | 1.00                |               | 1.00                |             |
| Facilities                                    | 0.00                 | 0.00                |              | 0.00               | 0.00                |               | 2.00                |             |
| Airport                                       | 2.00                 | 2.00                |              | 2.00               | 2.00                |               | 2.00                |             |
| Allocated from Utilities                      | 0.55                 | 0.55                |              | 0.55               | 0.55                |               | 0.55                |             |
| Allocated to Utilities                        | -0.96                | -0.96               |              | 0.00               | 0.00                |               | 0.00                |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                     |              |                    |                     |               |                     |             |
| Parks & Landscape Maintenance                 | 4.50                 | 4.50                |              | 4.60               | 4.60                |               | 6.20                |             |
| Transportation Commissioners (7)              | 0.25                 | 0.25                |              | 0.25               | 0.25                |               | 0.25                |             |
| Transit                                       | 0.25                 | 0.25                |              | 0.25               | 0.25                |               | 0.25                |             |
| Facilities                                    | 2.70                 | 3.50                |              | 4.40               | 4.40                |               | 2.90                |             |
| <b>Total - Full Time Equivalents</b>          | <b>85.29</b>         | <b>85.09</b>        | <b>-0.2%</b> | <b>88.05</b>       | <b>88.05</b>        | <b>100.0%</b> | <b>89.15</b>        | <b>1.2%</b> |



23000 - Public Works Department Historical Budget Increments, Augmentations, and Usage

| Department Budget<br>By Object             | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |                     |                           |                               |                     | New Year<br>Adopted Budgets  |                     | Actual<br>Budget Use            |                   |
|--|-------------------------------|--|---------------------|---------------------------|-------------------------------|---------------------|------------------------------|---------------------|---------------------------------|-------------------|
|  |                               | Base<br>Increment                          | %<br>Change         | \$ Base<br>Budget         | \$ Budget<br>Augments         | %<br>Base           | FY12-13<br>\$ Budget         | %<br>Change         | FY12-13<br>\$ Actual            | %<br>Used         |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |                     |                           |                               |                     |                              |                     |                                 |                   |
| Personnel Expenses                         | 12,642,060                    | 802,320                                    | 6.3%                | 13,444,380                | 437,120                       | 3.3%                | 13,881,500                   | 9.8%                | 7,867,290                       | 56.7%             |
| Contracted Services                        | 26,809,040                    | -549,620                                   | -2.1%               | 26,259,420                | 1,555,480                     | 5.9%                | 27,814,900                   | 3.8%                | 20,561,134                      | 73.9%             |
| Commodities                                | 3,497,220                     | -202,950                                   | -5.8%               | 3,294,270                 | 313,200                       | 9.5%                | 3,607,470                    | 3.2%                | 1,832,136                       | 50.8%             |
| Internal Charges                           | 1,527,540                     | 24,230                                     | 1.6%                | 1,551,770                 | 333,060                       | 21.5%               | 1,884,830                    | 23.4%               | 1,505,717                       | 79.9%             |
| Other Payments                             | 4,098,640                     | -87,320                                    | -2.1%               | 4,011,320                 | 126,950                       | 3.2%                | 4,138,270                    | 1.0%                | 3,979,238                       | 96.2%             |
| <b>Department Total</b>                    | <b>48,574,500</b>             | <b>-13,340</b>                             | <b>0.0%</b>         | <b>48,561,160</b>         | <b>2,765,810</b>              | <b>5.7%</b>         | <b>51,326,970</b>            | <b>5.7%</b>         | <b>35,745,515</b>               | <b>69.6%</b>      |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY13-14<br/>\$ Actual</b>    | <b>%<br/>Used</b> |
| Personnel Expenses                         | 13,881,500                    | 103,500                                    | 0.7%                | 13,985,000                | 31,520                        | 0.2%                | 14,016,520                   | 1.0%                | 7,704,375                       | 55.0%             |
| Contracted Services                        | 27,814,900                    | 1,000                                      | 0.0%                | 27,815,900                | 1,670,070                     | 6.0%                | 29,485,970                   | 6.0%                | 20,583,455                      | 69.8%             |
| Commodities                                | 3,607,470                     | 34,290                                     | 1.0%                | 3,641,760                 | 78,390                        | 2.2%                | 3,720,150                    | 3.1%                | 1,921,791                       | 51.7%             |
| Internal Charges                           | 1,884,830                     | 347,770                                    | 18.5%               | 2,232,600                 | 9,800                         | 0.4%                | 2,242,400                    | 19.0%               | 1,862,730                       | 83.1%             |
| Other Payments                             | 4,138,270                     | 0  | 0.0%                | 4,138,270                 | 216,020                       | 5.2%                | 4,354,290                    | 5.2%                | 4,134,693                       | 95.0%             |
| <b>Department Total</b>                    | <b>51,326,970</b>             | <b>486,560</b>                             | <b>0.9%</b>         | <b>51,813,530</b>         | <b>2,005,800</b>              | <b>3.9%</b>         | <b>53,819,330</b>            | <b>4.9%</b>         | <b>36,207,044</b>               | <b>67.3%</b>      |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Budget</b> | <b>%<br/>Change</b> | <b>FY14-15<br/>\$ Estimated</b> | <b>%<br/>Used</b> |
| Personnel Expenses                         | 8,328,720                     | 288,870                                    | 3.5%                | 8,617,590                 | 218,690                       | 2.5%                | 8,836,280                    | 6.1%                | 8,157,380                       | 92.3%             |
| Contracted Services                        | 22,982,480                    | -775,870                                   | -3.4%               | 22,206,610                | 992,100                       | 4.5%                | 23,198,710                   | 0.9%                | 22,597,960                      | 97.4%             |
| Commodities                                | 2,204,160                     | 5,000                                      | 0.2%                | 2,209,160                 | 642,640                       | 29.1%               | 2,851,800                    | 29.4%               | 2,228,160                       | 78.1%             |
| Internal Charges                           | 1,840,590                     | 77,090                                     | 4.2%                | 1,917,680                 | 31,450                        | 1.6%                | 1,949,130                    | 5.9%                | 1,924,760                       | 98.7%             |
| Other Payments                             | 4,109,820                     | -9,500                                     | -0.2%               | 4,100,320                 | 316,600                       | 7.7%                | 4,416,920                    | 7.5%                | 4,423,960                       | 100.2%            |
| <b>Department Total</b>                    | <b>39,465,770</b>             | <b>-414,410</b>                            | <b>-1.1%</b>        | <b>39,051,360</b>         | <b>2,201,480</b>              | <b>5.6%</b>         | <b>41,252,840</b>            | <b>4.5%</b>         | <b>39,332,220</b>               | <b>95.3%</b>      |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY14-15<br>\$ Budget          | <b>Base<br/>Increment</b>                  | <b>%<br/>Change</b> | <b>\$ Base<br/>Budget</b> | <b>\$ Budget<br/>Augments</b> | <b>%<br/>Change</b> | <b>FY15-16<br/>\$ Budget</b> | <b>%<br/>Change</b> |                                 |                   |
| Personnel Expenses                         | 8,836,280                     | -102,790                                   | -1.2%               | 8,733,490                 | 54,240                        | 0.6%                | 8,787,730                    | -0.5%               |                                 |                   |
| Contracted Services                        | 23,198,710                    | -945,590                                   | -4.1%               | 22,253,120                | 1,066,460                     | 4.8%                | 23,319,580                   | 0.5%                |                                 |                   |
| Commodities                                | 2,851,800                     | -319,330                                   | -11.2%              | 2,532,470                 | 69,180                        | 2.7%                | 2,601,650                    | -8.8%               |                                 |                   |
| Internal Charges                           | 1,949,130                     | -13,990                                    | -0.7%               | 1,935,140                 | 0                             | 0.0%                | 1,935,140                    | -0.7%               |                                 |                   |
| Other Payments                             | 4,416,920                     | -320,320                                   | -7.3%               | 4,096,600                 | 41,800                        | 1.0%                | 4,138,400                    | -6.3%               |                                 |                   |
| <b>Department Total</b>                    | <b>41,252,840</b>             | <b>-1,702,020</b>                          | <b>-4.1%</b>        | <b>39,550,820</b>         | <b>1,231,680</b>              | <b>3.1%</b>         | <b>40,782,500</b>            | <b>-1.1%</b>        |                                 |                   |

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## Budget Narrative- Public Works Department

### Recent Budget Changes

#### *FY 10-11*

- 15 full-time positions were deleted from departmental staffing, but 0.40 FTEs in temporary staffing were added.
- Reduction in base budget of \$4,918,230 or 9.7% from FY09-10 Adopted Budget.
- Budget augmentation of \$2,241,060 for utilities and \$782,600 for solid waste. These include a \$2,025,000 increase in franchise fee payments for Utilities Funds and a \$782,600 increase for Solid Waste Fund.

#### *FY 11-12*

- Decrease in base budget of \$1,288,480 or 2.6% from the FY10-11 Adopted Budget. About \$225,350 of this decrease was in personnel expenses; while \$962,030 was in contracted services.
- Budget augmentations of \$939,770. These included \$500,000 for SSJID water supply, \$250,000 for water meters, and \$38,000 for a water fund rate study. Also, this included an added \$100,000 for fleet fuel purchase and an added \$23,270 for fleet vehicle repairs.
- Departmental staffing remained at its current level of 127.50 FTEs.

#### *FY 12-13*

- Decrease in base budget of \$13,340 from FY11-12 adopted budget.
- The Airport and Transit programs with a \$1,909,710 base budget and 4.50 FTEs transferred in from the Parks and Community Services Department.
- The Community Facilities program with a \$320,370 base budget and 2.70 FTEs also transferred in from the Parks and Community Services Department.
- The current Director of Parks and Community Services and department Executive Assistant were transferred to Public Works. The current Public Works Director retired during the year.
- The department transferred a Management Analyst to the Administrative Services Department.
- During the year, 3 Maintenance Workers and Plant Mechanic positions will be deleted. Budget savings of \$398,130 due to retirements.
- Budget augmentations of \$224,090 for Transit, \$445,700 for Utilities, \$100,000 for fuel, and \$114,300 for other programs.
- Department will move into new administrative offices at renovated City Hall Annex.

#### *FY 13-14*

- Base budget increase of \$315,460 or 0.8% over the FY12-13 Adopted Budget. Increases in all categories particularly internal service charges.
- Budget augmentations of \$1,834,700. Increases particularly in contracted services for solid waste and landscaping district maintenance.
- Departmental staffing will lose 1 full-time regular position due to retirement. Although there will be a .80 FTEs increase in Community Facilities.
- Solid waste contract will show a 4.5% increase reflecting new contract level based upon recent rate increases.
- Landscaping districts maintenance contract will show major increases due to periodic maintenance requirements.

#### *FY 14-15*

- Base budget decrease of \$413,860 or 1.0% from the FY12-13 Adopted Budget. However, personnel expenses increased \$288,870 or 3.5%. A major decrease of \$753,820 in landscaping district contracts offsets other increases in the department.
- Budget augmentations of \$2,061,080. These include \$837,660 for solid waste contracts, \$500,000 for airport fuel purchases, and \$338,890 for transit contracts.
- Departmental staffing added 1 FTE in part-time staffing for the Community Facilities Program.

### Proposed Budget Changes for FY 15-16

- Base budget decrease of \$1,131,570 from the FY14-15 Adopted Budget. Personnel cost decreases were only \$102,790 or a 1.2% decrease.
- Budget augmentations of \$1,257,730, with \$963,800 in one solid waste program
- Departmental staffing will add 1.10 FTEs
  - A PT 0.75 FTE Recreation Coordinator will be upgraded to Full-time.
  - Clerical hours of 0.75 FTE will be transferred to the Recreation Division
  - About 1.60 FTEs in temporary hours will be added for the sports field and LM maintenance.
- Equipment replacement of \$208,290. New equipment for \$130,000

## Budget Narrative- Public Works Department (continued)

| Major Non-Personnel Expenses          | FY 13-14   | %Change | FY 14-15   | %Change | FY15-16    |
|---------------------------------------|------------|---------|------------|---------|------------|
| Traffic and Street Light Electricity* | \$695,000  | 0.00%   | \$695,000  |         |            |
| Street Sweeping Contract              | 243,000    | 6.00%   | 257,800    | -15.00% | 216,800    |
| Street Trees Maintenance Contract     | 198,520    | 0.00%   | 198,520    | 1.90%   | 202,000    |
| Landscaping Districts Contracts       | 1,625,120  | -27.00% | 438,820    | 7.10%   | 470,020    |
| LD Utilities                          | 882,150    | 6.80%   | 941,900    | -8.70%  | 859,490    |
| Utilities ROW Maintenance Fees        | 2,721,400  | 0.00%   | 2,721,400  | 0.00%   | 2,721,400  |
| Solid Waste Contracts                 | 17,137,800 | 4.60%   | 17,923,000 | 4.70%   | 18,765,000 |
| Solid Waste Franchise Fees            | 1,188,000  | 3.00%   | 1,224,000  | 0.40%   | 1,230,000  |
| Building Maintenance Repairs          | 50,000     | 150.00% | 75,000     | 3.30%   | 77,500     |
| Building Maintenance Materials        | 41,430     | 0.00%   | 41,430     | 156.00% | 106,100    |
| Fuel for City Fleet                   | 610,000    | 0.00%   | 610,000    | 4.60%   | 638,200    |
| Fleet Repair Parts                    | 121,000    | 4.10%   | 126,000    | 7.00%   | 134,900    |
| Fleet Repair Contracts                | 63,000     | 0.00%   | 63,000     | 54.00%  | 97,500     |
| Transit Contracts                     | 1,018,430  | 33.00%  | 1,357,320  | 2.50%   | 1,390,670  |
| Transit Fuel                          | 90,080     | 0.00%   | 90,080     | 9.00%   | 98,100     |
| Equipment Acquisition                 | \$33,0000  |         | \$48,000   |         | \$130,000  |
| Equipment Replacement                 | \$665,600  |         | \$120,600  |         | \$208,290  |

\*To Utilities Dept.

## 23000 - Public Works Department

| Department Budget<br>By Division | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | %<br>Change  | FY15-16<br>\$ Base Budget | %<br>Change  | FY15-16<br>\$ Bud Augment | % over<br>Base |
|----------------------------------|----------------------|----------------------|-------------|-----------------------|--------------|---------------------------|--------------|---------------------------|----------------|
| 5311 - Pub Works Admin           | 836,520              | 664,041              | -20.6%      | 669,130               | 0.8%         | 749,900                   | 12.1%        | 0                         | 0.0%           |
| 533x - PW - Internal Maint       | 2,087,462            | 2,081,084            | -0.3%       | 2,372,360             | 14.0%        | 2,376,930                 | 0.2%         | 41,000                    | 1.7%           |
| 534x - PW - Street Maint         | 2,369,106            | 2,124,607            | -10.3%      | 2,281,580             | 7.4%         | 2,608,700                 | 14.3%        | 102,940                   | 3.9%           |
| 537x - PW - Park Maint           | 2,462,904            | 2,647,071            | 7.5%        | 3,033,770             | 14.6%        | 3,088,600                 | 1.8%         | 50,720                    | 1.6%           |
| 537x - PW - Ldsp Maint           | 2,308,314            | 2,347,221            | 1.7%        | 2,920,190             | 24.4%        | 2,670,270                 | -8.6%        | 37,900                    | 1.4%           |
| 535x - PW - Wtr & Swr Maint      | 5,660,833            | 5,977,529            | 5.6%        | 6,289,570             | 5.2%         | 6,189,200                 | -1.6%        | 0                         | 0.0%           |
| 538x - PW - Solid Waste          | 18,138,379           | 18,295,379           | 0.9%        | 19,924,130            | 8.9%         | 19,181,860                | -3.7%        | 963,800                   | 5.0%           |
| 555x - PW - Transit & Airport    | 1,881,997            | 2,070,112            |             | 3,041,660             |              | 2,685,360                 | -11.7%       | 35,320                    | 1.3%           |
| <b>Department Total</b>          | <b>35,745,515</b>    | <b>36,207,044</b>    | <b>1.3%</b> | <b>40,532,390</b>     | <b>11.9%</b> | <b>39,550,820</b>         | <b>-2.4%</b> | <b>1,231,680</b>          | <b>3.1%</b>    |

## Department Budget by Object

|                         |                   |                   |             |                   |              |                   |              |                  |             |
|-------------------------|-------------------|-------------------|-------------|-------------------|--------------|-------------------|--------------|------------------|-------------|
| Personnel Expenses      | 7,867,290         | 7,704,375         | -2.1%       | 8,836,280         | 14.7%        | 8,733,490         | -1.2%        | 54,240           | 0.6%        |
| Contracted Services     | 20,561,134        | 20,583,455        | 0.1%        | 22,485,710        | 9.2%         | 22,253,120        | -1.0%        | 1,066,460        | 4.8%        |
| Commodities             | 1,832,136         | 1,921,791         | 4.9%        | 2,851,800         | 48.4%        | 2,532,470         | -11.2%       | 69,180           | 2.7%        |
| Internal Charges        | 1,505,717         | 1,862,730         | 23.7%       | 1,941,680         | 4.2%         | 1,935,140         | -0.3%        | 0                | 0.0%        |
| Other Payments          | 3,979,238         | 4,134,693         | 3.9%        | 4,416,920         | 6.8%         | 4,096,600         | -7.3%        | 41,800           | 1.0%        |
| <b>Department Total</b> | <b>35,745,515</b> | <b>36,207,044</b> | <b>1.3%</b> | <b>40,532,390</b> | <b>11.9%</b> | <b>39,550,820</b> | <b>-2.4%</b> | <b>1,231,680</b> | <b>3.1%</b> |

## Department Budget by Funding Source

|                                |                   |                   |             |                   |              |                   |              |                  |             |
|--------------------------------|-------------------|-------------------|-------------|-------------------|--------------|-------------------|--------------|------------------|-------------|
| General Fund 101 - Taxes       | 3,148,661         | 3,114,156         | -1.1%       | 3,853,430         | 23.7%        | 3,903,920         | 1.3%         | 36,590           | 0.9%        |
| Facility Fees                  | 238,747           | 249,943           |             | 222,050           | -11.2%       | 222,050           | 0.0%         | 10,850           | 4.9%        |
| Traffic Fines Sub-fund 105     | 90,000            | 90,000            | 0.0%        | 90,000            | 0.0%         | 90,000            | 0.0%         | 0                | 0.0%        |
| TDA & Gas Tax Funds 24x        | 1,810,157         | 1,728,421         | -4.5%       | 1,970,000         | 14.0%        | 1,970,000         | 0.0%         | 100,000          | 5.1%        |
| Landscaping Districts Fund 271 | 1,846,492         | 1,935,477         | 4.8%        | 2,479,090         | 28.1%        | 2,228,270         | -10.1%       | 57,230           | 2.6%        |
| Water Fund 511                 | 3,943,404         | 4,172,148         | 5.8%        | 4,341,210         | 4.1%         | 4,245,610         | -2.2%        | 14,340           | 0.3%        |
| Wastewater Fund 521            | 1,544,598         | 1,612,129         | 4.4%        | 1,673,880         | 3.8%         | 1,669,880         | -0.2%        | -5,750           | -0.3%       |
| Solid Waste Fund 531           | 18,545,801        | 18,650,283        | 0.6%        | 19,932,630        | 6.9%         | 19,575,890        | -1.8%        | 964,920          | 4.9%        |
| Drainage Fund 541              | 532,486           | 419,090           | -21.3%      | 506,380           | 20.8%        | 504,710           | -0.3%        | -90,020          | -17.8%      |
| Airport Fund 561               | 284,591           | 294,365           | 3.4%        | 810,990           | 175.5%       | 458,090           | -43.5%       | 0                | 0.0%        |
| Transit Fund 571               | 1,597,406         | 1,775,747         | 11.2%       | 2,230,670         | 25.6%        | 2,227,270         | -0.2%        | 35,320           | 1.6%        |
| Central Garage Fund 601        | 1,417,252         | 1,398,457         | -1.3%       | 1,529,560         | 9.4%         | 1,554,750         | 1.6%         | 46,340           | 3.0%        |
| Building Maintenance Fund 615  | 745,920           | 766,828           | 2.8%        | 892,500           | 16.4%        | 900,380           | 0.9%         | 61,860           | 6.9%        |
| <b>Department Total</b>        | <b>35,745,515</b> | <b>36,207,044</b> | <b>1.3%</b> | <b>40,532,390</b> | <b>11.9%</b> | <b>39,550,820</b> | <b>-2.4%</b> | <b>1,231,680</b> | <b>3.1%</b> |

## Department Staffing

|                              |       |       |      |       |      |       |      |      |      |
|------------------------------|-------|-------|------|-------|------|-------|------|------|------|
| Total - Full Time Equivalent | 85.09 | 85.09 | 0.0% | 88.05 | 3.5% | 88.05 | 0.0% | 1.10 | 1.2% |
|------------------------------|-------|-------|------|-------|------|-------|------|------|------|

## Department Equipment Purchase

|                       |        |        |      |         |       |         |        |         |        |
|-----------------------|--------|--------|------|---------|-------|---------|--------|---------|--------|
| Replacement Equipment | 89,341 | 89,341 | 0.0% | 120,600 | 35.0% | 100,000 | -17.1% | 208,290 | 208.3% |
| New Equipment         | 0      | 0      |      | 8,000   |       | 0       |        | 130,000 |        |

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Department: **23000 - Public Works Department**  
 Division: **23100 - Director's Office**  
 Program: **23101 - Public Works Administration**

Administer and direct the Public Works Department and provide the necessary administrative support for its operations and activities.

**PERFORMANCE OBJECTIVES**

1. To administer the 23 programs of the department at an admin costs of 1.8% or less of the department operating budget.
2. To oversee a departmental budget of over \$40,782,500 and with an authorized staffing of 89.15 full-time equivalents.
3. To improve liveability through sustainable practices for cleaning, streets, trees, parks, sidewalks, and facilities.
4. To maintain the City's infrastructure to meet current and future needs of our neighborhoods, support development, and reduce the City's exposure to liability.
5. To leverage existing resources by seeking grants, private partnerships, and by enhancing volunteerism and sponsorship opportunities.

**COMMENTARY**

This program provided for the Director of Public Works and supporting staff.

In FY12-13, program costs showed a major increase, due to a temporary overhire in the Director position and other staffing changes. In FY13-14, program staffing returned to a regular level. Program costs showed a major decrease.

For FY14-15, program staffing will show an increase, as positions formerly allocated to Utilities Management are reallocated back to this program. The

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|-------------|-----------------------|--------------------|
| Personnel Expenses          | 731,317              | 550,351              | -24.7%        | 551,600               | 617,710                 | 112.0%         | 12.2%       | 627,290               | 13.7%              |
| Contracted Services         | 26,651               | 26,938               | 1.1%          | 20,300                | 15,570                  | 76.7%          | -42.2%      | 25,160                | 23.9%              |
| Commodities                 | 14,679               | 12,648               | -13.8%        | 15,790                | 13,030                  | 82.5%          | 3.0%        | 15,790                | 0.0%               |
| Internal Charges            | 63,873               | 74,104               | 16.0%         | 81,440                | 81,400                  | 100.0%         | 9.8%        | 81,660                | 0.3%               |
| Other Payments              | 0                    | 0                    |               | 0                     | 0                       |                |             | 0                     |                    |
| <b>Program Total</b>        | <b>836,520</b>       | <b>664,041</b>       | <b>-20.6%</b> | <b>669,130</b>        | <b>727,710</b>          | <b>108.8%</b>  | <b>9.6%</b> | <b>749,900</b>        | <b>12.1%</b>       |
| Amended Budget              | 647,790              | 626,350              |               |                       | 669,130                 |                |             |                       |                    |
| % of Amended Spent          | 129.1%               | 106.0%               |               |                       | 108.8%                  |                |             |                       |                    |

| <b>FUNDING SOURCES</b>    | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|-------------|-----------------------|--------------------|
| General Fund 101 - Taxes  | 433,020              | 305,731              | -29.4%        | 315,130               | 334,980                 | 106.3%         | 9.6%        | 342,680               | 8.7%               |
| Landscaping Dist Fund 271 | 66,590               | 68,040               | 2.2%          | 58,900                | 74,570                  | 126.6%         | 9.6%        | 77,330                | 31.3%              |
| Water Fund 511            | 206,410              | 157,830              | -23.5%        | 165,600               | 172,980                 | 104.5%         | 9.6%        | 179,340               | 8.3%               |
| Wastewater Fund 521       | 15,560               | 16,930               | 8.8%          | 25,300                | 18,560                  | 73.4%          | 9.6%        | 19,250                | -23.9%             |
| Solid Waste Fund 531      | 12,020               | 8,460                | -29.6%        | 8,500                 | 9,280                   | 109.2%         | 9.7%        | 9,620                 | 13.2%              |
| Drainage Fund 541         | 27,210               | 22,850               | -16.0%        | 16,000                | 25,050                  | 156.6%         | 9.6%        | 25,980                | 62.4%              |
| Central Garage Fund 601   | 50,720               | 36,810               | -27.4%        | 37,100                | 40,350                  | 108.8%         | 9.6%        | 41,840                | 12.8%              |
| Bldg Maint Fund 615       | 24,990               | 47,390               | 89.6%         | 42,600                | 51,940                  | 121.9%         | 9.6%        | 53,860                | 26.4%              |
| <b>Program Total</b>      | <b>836,520</b>       | <b>664,041</b>       | <b>-20.6%</b> | <b>669,130</b>        | <b>727,710</b>          | <b>108.8%</b>  | <b>9.6%</b> | <b>749,900</b>        | <b>12.1%</b>       |

| <b>PROGRAM STAFFING</b>              | FY12-13     | FY13-14     | %<br>Change   | FY14-15     | FY14-15     | % of<br>Budget | %<br>Change  | FY15-16     | % Budget<br>Change |
|--------------------------------------|-------------|-------------|---------------|-------------|-------------|----------------|--------------|-------------|--------------------|
| <i>Regular Positions</i>             |             |             |               |             |             |                |              |             |                    |
| Director of Public Works             | 2.00        | 1.00        |               | 1.00        | 1.00        |                |              | 1.00        |                    |
| Exec Asst II-Admin/Sr Secretary      | 1.00        | 1.00        |               | 1.00        | 1.00        |                |              | 1.00        |                    |
| Admin Assts                          | 1.36        | 1.36        |               | 2.00        | 2.00        |                |              | 2.00        |                    |
| Management Analyst                   | 0.68        | 0.68        |               | 1.00        | 1.00        |                |              | 1.00        |                    |
| <b>Total - Full-Time Equivalents</b> | <b>5.04</b> | <b>4.04</b> | <b>-19.8%</b> | <b>5.00</b> | <b>5.00</b> | <b>100.0%</b>  | <b>23.8%</b> | <b>5.00</b> | <b>0.0%</b>        |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Continued regular scheduled street sweeping every two weeks in the residential areas and twice a week in the downtown Business District.
2. Continued to provide Internal Services (Central Garage, Building Maintenance, and Custodial Services) to other city departments.
3. Continued the street and park tree trimming and replanting program and continued contract tree trimming.
4. LMD responded and completed the necessary repairs to conserve water.
5. Approaching the establishment of a programmed pruning cycle for the LMD zones
12. Addition of a separate small dog area to the established dog park at El Pescadero Park.
13. MacDonald Park has a new restroom building, shade structure, and small elevated pad for events.
14. The handball and basketball courts at MacDonald Park were resurfaced.
15. Maintenance of the City's portion of Legacy Fields is ongoing.

### Current Projections: FY 14-15

1. Continue to provide internal services (Central Garage, Building Maintenance, and Custodial Services) to other City departments.
2. Continue to provide service to the Development Services Department to stay abreast of any new improvements being made.
3. Street Division received and resolved 110 street related service requests.
4. Continue regularly scheduled street sweeping every two weeks in the residential areas and twice a week in the Downtown Business District.
5. Continue to provide street and park tree maintenance and replanting. Trimmed over 17,000 trees. Planted over 60 new trees.
6. Completed the GPS inventorying of trees.
7. Continue park and sports field maintenance, including turf enhancement.
8. Continue removal and replacement of signage and thermal plastic in the City.
9. All trees are now on a programmed pruning cycle.
10. All playgrounds are thoroughly inspected each month and safety checked each week.
11. A new restroom building and basketball court were installed at El Pescadero Park.

### Future Projections: FY 15-16

1. Reduce customer calls by taking proactive measures.
2. Continue programmed tree pruning cycle.
3. Prune approximately 9,000 LMD trees
4. Prune approximately 3,000 park and street trees
5. Replant approximately 40 trees on the streets.
6. Monitor and remove hazardous trees as they are identified and as the budget allows.
7. Maintain 24 parks and numerous other City landscape sites consisting of over 200 acres.
8. Continue the reduction of pesticide usage through the utilization of technological advances in equipment and materials, and IPM practices.
9. To reinstate the quantitative measure of sports field conditions through the use of the Sport Turf Managers Association's 'Playing Condition Index' (PCI).
10. Maintain the landscaped areas of the City's portion of Legacy Fields Sports Complex and manage the site irrigation systems to the fields while maintaining compliance with all State and local irrigation restrictions. Develop and implement initial renovation projects within the LMD parks and streetscapes.

## CORE MEASURES and Supporting Data for CENTRAL GARAGE Program

| from<br>ICMA-CPM Data Templates                           | FY10-11<br>Actual                    | FY11-12<br>Actual                    | FY12-13<br>Actual                    | FY13-14<br>Actual                    | %<br>Change                    | FY14-15<br>Projected                 | FY14-15<br>Estimate                  | %<br>Change                   | FY15-16<br>Projected                 | %<br>Change                    |
|---|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------|--------------------------------------|--------------------------------------|-------------------------------|--------------------------------------|--------------------------------|
| <b># of Vehicles &amp; Equipment Pieces</b>               |                                      |                                      |                                      |                                      |                                |                                      |                                      |                               |                                      |                                |
| Police Vehicles   | 30                                   | 49                                   | 46                                   | 46                                   | 0.00%                          | 52                                   | 46                                   | 0.00%                         | 44                                   | -4.35%                         |
| Light I Vehicles  | 153                                  | 132                                  | 150                                  | 150                                  | 0.00%                          | 150                                  | 148                                  | -1.33%                        | 148                                  | 0.00%                          |
| Medium Vehicles   | 38                                   | 31                                   | 32                                   | 32                                   | 0.00%                          | 16                                   | 32                                   | 0.00%                         | 33                                   | 3.13%                          |
| Heavy Vehicles  | 11                                   | 13                                   | 13                                   | 13                                   | 0.00%                          | 26                                   | 13                                   | 0.00%                         | 14                                   | 7.69%                          |
| Heavy Equipment   | 22                                   | 22                                   | 35                                   | 35                                   | 0.00%                          | 18                                   | 32                                   | -8.57%                        | 32                                   | 0.00%                          |
| Light Equipment   | 93                                   | 134                                  | 70                                   | 70                                   | 0.00%                          | 140                                  | 71                                   | 1.43%                         | 72                                   | 1.41%                          |
| <b>Total</b>  | <b>347</b>                           | <b>381</b>                           | <b>346</b>                           | <b>346</b>                           | <b>0.00%</b>                   | <b>402</b>                           | <b>342</b>                           | <b>-1.16%</b>                 | <b>343</b>                           | <b>0.29%</b>                   |
| <b>Vehicles Mileage</b>                                   |                                      |                                      |                                      |                                      |                                |                                      |                                      |                               |                                      |                                |
| Police Vehicles   | 414,324                              | 452,621                              | 459,208                              | 420,000                              | -8.54%                         | 504,510                              | 690,636                              | 64.44%                        | 730,636                              | 5.79%                          |
| Light I Vehicles  | 787,486                              | 692,155                              | 837,828                              | 806,754                              | -3.71%                         | 813,208                              | 942,512                              | 16.83%                        | 956,284                              | 1.46%                          |
| Medium Vehicles   | 89,824                               | 133,218                              | 133,158                              | 160,842                              | 20.79%                         | 43,291                               | 187,710                              | 16.70%                        | 197,095                              | 5.00%                          |
| Heavy Vehicles  | 9,513                                | 6,650                                | 5,700                                | 6,735                                | 18.16%                         | 9,675                                | 10,370                               | 53.97%                        | 12,500                               | 20.54%                         |
| <b>Total</b>  | <b>1,301,147</b>                     | <b>1,284,644</b>                     | <b>1,435,894</b>                     | <b>1,394,331</b>                     | <b>-2.89%</b>                  | <b>1,370,684</b>                     | <b>1,831,228</b>                     | <b>31.33%</b>                 | <b>1,896,515</b>                     | <b>3.57%</b>                   |
| <b>Equipment Hours Used</b>                               |                                      |                                      |                                      |                                      |                                |                                      |                                      |                               |                                      |                                |
| Heavy Equipment   | 1,190                                | 1,190                                | 2,769                                | 3,770                                | 36.15%                         | 750                                  | 1,052                                | -72.10%                       | 1,099                                | 4.47%                          |
| <b># of Work Orders Completed</b>                         |                                      |                                      |                                      |                                      |                                |                                      |                                      |                               |                                      |                                |
| Police Vehicles   | 283                                  | 270                                  | 291                                  | 283                                  | -2.75%                         | 331                                  | 241                                  | -14.84%                       | 286                                  | 18.67%                         |
| Light I Vehicles  | 543                                  | 403                                  | 477                                  | 425                                  | -10.90%                        | 425                                  | 521                                  | 22.59%                        | 520                                  | -0.19%                         |
| Medium Vehicles   | 131                                  | 102                                  | 78                                   | 77                                   | -1.28%                         | 60                                   | 75                                   | -2.60%                        | 78                                   | 4.00%                          |
| Heavy Vehicles  | 80                                   | 99                                   | 300                                  | 84                                   | -72.00%                        | 87                                   | 94                                   | 11.90%                        | 96                                   | 2.13%                          |
| Heavy Equipment   | 83                                   | 88                                   | 235                                  | 231                                  | -1.70%                         | 39                                   | 198                                  | -14.29%                       | 201                                  | 1.52%                          |
| Light Equipment   | 522                                  | 527                                  | 536                                  | 564                                  | 5.22%                          | 509                                  | 557                                  | -1.24%                        | 560                                  | 0.54%                          |
| <b>Total</b>  | <b>1,642</b>                         | <b>1,489</b>                         | <b>1,917</b>                         | <b>1,664</b>                         | <b>-13.20%</b>                 | <b>1,451</b>                         | <b>1,686</b>                         | <b>1.32%</b>                  | <b>1,741</b>                         | <b>3.26%</b>                   |
| <b>Program Costs (\$1,000)<br/>per Vehicle-Pieces</b>     | <b>\$1,227</b><br><b>\$3,536.02</b>  | <b>\$1,204</b><br><b>\$3,158.79</b>  | <b>\$1,367</b><br><b>\$3,949.42</b>  | <b>\$1,362</b><br><b>\$3,936.42</b>  | <b>-0.33%</b><br><b>-0.33%</b> | <b>\$1,420</b><br><b>\$3,531.59</b>  | <b>\$1,381</b><br><b>\$4,036.55</b>  | <b>1.36%</b><br><b>2.54%</b>  | <b>\$1,559</b><br><b>\$4,545.77</b>  | <b>12.94%</b><br><b>12.62%</b> |
| <b>Maintenance Costs (\$1,000)<br/>per Vehicle-Pieces</b> | <b>\$640.37</b><br><b>\$1,845.45</b> | <b>\$601.91</b><br><b>\$1,579.82</b> | <b>\$688.02</b><br><b>\$1,988.50</b> | <b>\$693.20</b><br><b>\$2,003.47</b> | <b>0.75%</b><br><b>0.75%</b>   | <b>\$718.20</b><br><b>\$1,786.57</b> | <b>\$692.33</b><br><b>\$2,024.36</b> | <b>-0.13%</b><br><b>1.04%</b> | <b>\$857.18</b><br><b>\$2,499.07</b> | <b>23.81%</b><br><b>23.45%</b> |
| <b>per Work Order</b>                                     | <b>\$389.99</b>                      | <b>\$404.24</b>                      | <b>\$358.90</b>                      | <b>\$416.59</b>                      | <b>16.07%</b>                  | <b>\$494.97</b>                      | <b>\$410.63</b>                      | <b>-1.43%</b>                 | <b>\$492.35</b>                      | <b>19.90%</b>                  |
| <b>Billable Hours</b>                                     | <b>3,490</b>                         | <b>3,614</b>                         | <b>3,900</b>                         | <b>3,667</b>                         | <b>-5.97%</b>                  | <b>3,751</b>                         | <b>3,950</b>                         | <b>7.72%</b>                  | <b>3,980</b>                         | <b>0.76%</b>                   |
| <b>Maintenance Cost/Billable Hour</b>                     | <b>\$183.49</b>                      | <b>\$166.55</b>                      | <b>\$176.42</b>                      | <b>\$189.04</b>                      | <b>7.15%</b>                   | <b>\$191.47</b>                      | <b>\$175.27</b>                      | <b>-7.28%</b>                 | <b>\$215.37</b>                      | <b>22.88%</b>                  |
| <b>Fuel Costs (\$1,000)</b>                               | <b>\$586.6</b>                       | <b>\$601.6</b>                       | <b>\$678.5</b>                       | <b>\$668.6</b>                       | <b>-1.46%</b>                  | <b>\$701.5</b>                       | <b>\$688.3</b>                       | <b>2.95%</b>                  | <b>\$702.0</b>                       | <b>2.00%</b>                   |
| <b>Fuel Purchased (Gallons)</b>                           | <b>127,865</b>                       | <b>153,531</b>                       | <b>153,928</b>                       | <b>149,173</b>                       | <b>-3.09%</b>                  | <b>159,178</b>                       | <b>208,000</b>                       | <b>39.44%</b>                 | <b>210,000</b>                       | <b>0.96%</b>                   |
| <b>Fuel Costs/Gallon</b>                                  | <b>\$4.59</b>                        | <b>\$3.92</b>                        | <b>\$4.41</b>                        | <b>\$4.48</b>                        | <b>1.68%</b>                   | <b>\$4.41</b>                        | <b>\$3.31</b>                        | <b>-26.17%</b>                | <b>\$3.34</b>                        | <b>1.03%</b>                   |
| <b>Fuel Costs/Mile</b>                                    | <b>\$0.45</b>                        | <b>\$0.47</b>                        | <b>\$0.47</b>                        | <b>\$0.48</b>                        | <b>1.48%</b>                   | <b>\$0.51</b>                        | <b>\$0.38</b>                        | <b>-21.62%</b>                | <b>\$0.37</b>                        | <b>-1.51%</b>                  |

Department: 23000 - Public Works Department  
 Division: 23300 - Maintenance >> Internal Services  
 Program: 23301 - Central Garage

Provide fuel, repairs, and preventative maintenance for City owned vehicles and equipment. Administer and monitor contracted repair work. Maintain vehicle operation & maintenance costs.

**PERFORMANCE OBJECTIVES**

1. To provide vehicle maintenance for a fleet of 235 vehicles and equipment maintenance for 114 pieces of equipment.
2. To perform preventative maintenance and repairs on City vehicles and equipment, including fixed generators.
3. To complete annual smog & opacity tests as required by State.
4. To maintain all fuel tanks, pumps, and vapor recovery systems.
5. To perform all crane and lift inspections and certificates.
6. To maintain compliance with all State and local regulations.
7. To provide fuel valued at \$610,000 for City operations.

**COMMENTARY**

In FY08-09, a fleet utilization study was done; and fleet size was reduced to from about 282 to 211. But now, the size is back up to about 235.  
 In FY12-13, program costs increased, primarily due to full staffing and higher fuel costs. In FY13-14, program costs decreased slightly; but in FY14-15, they are expected to increase slightly. While personnel costs are down, contracted repair costs are up.  
 For FY15-16, the program budget provides for the current staffing, added funding for contracted repairs, and with minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 458,878              | 443,233              | -3.4%            | 495,300               | 412,480                 | 83.3%          | -6.9%            | 488,900               | -1.3%              |
| Contracted Services         | 121,191              | 123,292              | 1.7%             | 163,960               | 158,920                 | 96.9%          | 28.9%            | 206,590               | 26.0%              |
| Commodities                 | 741,383              | 745,662              | 0.6%             | 763,800               | 740,150                 | 96.9%          | -0.7%            | 795,920               | 4.2%               |
| Internal Charges            | 45,080               | 49,460               | 9.7%             | 69,400                | 69,000                  | 99.4%          | 39.5%            | 67,840                | -2.2%              |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>1,366,532</b>     | <b>1,361,647</b>     | <b>-0.4%</b>     | <b>1,492,460</b>      | <b>1,380,550</b>        | <b>92.5%</b>   | <b>1.4%</b>      | <b>1,559,250</b>      | <b>4.5%</b>        |
| Amended Budget              | 1,410,650            | 1,419,730            |                  |                       | 1,497,460               |                |                  |                       |                    |
| % of Amended Spent          | 96.9%                | 95.9%                |                  |                       | 92.2%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>  | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Central Garage Fund 601 | 1,366,532            | 1,361,647            | -0.4%            | 1,492,460             | 1,380,550               | 92.5%          | 1.4%             | 1,559,250             | 4.5%               |
| <b>Program Total</b>    | <b>1,366,532</b>     | <b>1,361,647</b>     | <b>-0.4%</b>     | <b>1,492,460</b>      | <b>1,380,550</b>        | <b>92.5%</b>   | <b>1.4%</b>      | <b>1,559,250</b>      | <b>4.5%</b>        |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Change    | FY14-15     | FY14-15     | % of Budget   | % Change    | FY15-16     | % Budget Change |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-----------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |                 |
| Deputy Director of PW                         | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| Pub Wks Superintendent                        | 0.35        | 0.35        |             | 0.35        | 0.35        |               |             | 0.35        |                 |
| Senior Equipment Mechanic                     | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |                 |
| Equipment Mechanic                            | 2.00        | 2.00        |             | 2.00        | 2.00        |               |             | 2.00        |                 |
| Equipment Service Worker                      | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |                 |
| <b>Total - Full-Time Equivalents</b>          | <b>4.35</b> | <b>4.35</b> | <b>0.0%</b> | <b>4.35</b> | <b>4.35</b> | <b>100.0%</b> | <b>0.0%</b> | <b>4.35</b> | <b>0.0%</b>     |

## CORE MEASURES and Supporting Data for BUILDING MAINTENANCE Programs

from

ICMA-CPM Data Templates

|                                    | FY10-11<br>Actual | FY11-12<br>Actual | FY12-13<br>Actual | FY13-14<br>Actual | %<br>Change   | FY14-15<br>Projected | FY14-15<br>Estimate | %<br>Change   | FY15-16<br>Projected | %<br>Change   |
|------------------------------------|-------------------|-------------------|-------------------|-------------------|---------------|----------------------|---------------------|---------------|----------------------|---------------|
| <b># of Facilities Maintained</b>  |                   |                   |                   |                   |               |                      |                     |               |                      |               |
| Administrative Facilities          | 12                | 12                | 12                | 12                | 0.00%         | 12                   | 12                  | 0.00%         | 12                   | 0.00%         |
| Warehouse/Industrial Facilities    | 3                 | 3                 | 3                 | 3                 | 0.00%         | 3                    | 3                   | 0.00%         | 3                    | 0.00%         |
| Fire Stations                      | 7                 | 7                 | 7                 | 7                 | 0.00%         | 8                    | 8                   | 14.29%        | 8                    | 0.00%         |
| Animal Care Facilities             | 1                 | 1                 | 1                 | 1                 | 0.00%         | 1                    | 1                   | 0.00%         | 1                    | 0.00%         |
| Library/Cultural Facilities        | 5                 | 5                 | 5                 | 5                 | 0.00%         | 5                    | 5                   | 0.00%         | 5                    | 0.00%         |
| Recreation/Community Facilitie     | 5                 | 5                 | 5                 | 5                 | 0.00%         | 5                    | 5                   | 0.00%         | 5                    | 0.00%         |
| Others                             | 1                 | 1                 | 1                 | 1                 | 0.00%         | 3                    | 1                   | 0.00%         | 1                    | 0.00%         |
| <b>Total</b>                       | <b>34</b>         | <b>34</b>         | <b>34</b>         | <b>34</b>         | <b>0.00%</b>  | <b>37</b>            | <b>35</b>           | <b>2.94%</b>  | <b>35</b>            | <b>0.00%</b>  |
| <b>Facilities Square Footage</b>   |                   |                   |                   |                   |               |                      |                     |               |                      |               |
| Administrative Facilities          | 134,782           | 134,782           | 134,782           | 134,782           | 0.00%         | 134,782              | 134,782             | 0.00%         | 134,782              | 0.00%         |
| Warehouse/Industrial Facilities    | 4,563             | 4,563             | 4,563             | 4,563             | 0.00%         | 4,563                | 4,563               | 0.00%         | 4,563                | 0.00%         |
| Fire Stations                      | 35,786            | 35,786            | 35,786            | 35,786            | 0.00%         | 35,786               | 40,386              | 12.85%        | 40,366               | -0.05%        |
| Animal Care Facilities             | 4,494             | 4,494             | 4,494             | 4,494             | 0.00%         | 4,494                | 5,638               | 25.46%        | 5,638                | 0.00%         |
| Library/Cultural Facilities        | 67,989            | 67,989            | 67,989            | 67,989            | 0.00%         | 67,989               | 67,989              | 0.00%         | 67,989               | 0.00%         |
| Recreation/Community Facilitie     | 22,642            | 22,642            | 22,642            | 22,642            | 0.00%         | 21,296               | 21,296              | -5.94%        | 21,296               | 0.00%         |
| Others                             | 1,000             | 1,000             | 1,000             | 1,000             | 0.00%         | 1,000                | 1,000               | 0.00%         | 1,000                | 0.00%         |
| <b>Total</b>                       | <b>271,256</b>    | <b>271,256</b>    | <b>271,256</b>    | <b>271,256</b>    | <b>0.00%</b>  | <b>269,910</b>       | <b>275,654</b>      | <b>1.62%</b>  | <b>275,634</b>       | <b>-0.01%</b> |
| <b>Custodial Services</b>          |                   |                   |                   |                   |               |                      |                     |               |                      |               |
| Facilities In-house *1             | 0                 | 0                 | 0                 | 0                 |               | 0                    | 0                   |               | 0                    |               |
| Facilities Contracted              | 27                | 27                | 27                | 27                |               | 25                   | 25                  |               | 25                   |               |
| Square Footage In-house            | 0                 | 0                 | 0                 | 0                 |               | 0                    | 0                   |               | 0                    |               |
| Square Footage Contracted          | 230,730           | 230,730           | 230,730           | 230,730           | 0.00%         | 207,000              | 245,992             | 6.61%         | 245,992              | 0.00%         |
| <b>BM Program Costs (\$1,000)</b>  | <b>\$929</b>      | <b>\$1,032</b>    | <b>\$983</b>      | <b>\$975</b>      | <b>-0.81%</b> | <b>\$1,149</b>       | <b>\$1,140</b>      | <b>16.91%</b> | <b>\$1,218</b>       | <b>6.86%</b>  |
| <b>per Square Foot</b>             | <b>\$3.42</b>     | <b>\$3.80</b>     | <b>\$3.62</b>     | <b>\$3.60</b>     | <b>-0.81%</b> | <b>\$4.26</b>        | <b>\$4.14</b>       | <b>15.04%</b> | <b>\$4.42</b>        | <b>6.87%</b>  |
| <b>Maintenance Costs (\$1,000)</b> | <b>\$610.2</b>    | <b>\$636.5</b>    | <b>\$655.2</b>    | <b>\$639.7</b>    | <b>-2.37%</b> | <b>\$768.0</b>       | <b>\$746.9</b>      | <b>16.76%</b> | <b>\$796.9</b>       | <b>6.69%</b>  |
| <b>per Square Foot</b>             | <b>\$2.25</b>     | <b>\$2.35</b>     | <b>\$2.42</b>     | <b>\$2.36</b>     | <b>-2.37%</b> | <b>\$2.85</b>        | <b>\$2.71</b>       | <b>14.90%</b> | <b>\$2.89</b>        | <b>6.70%</b>  |
| <b>Custodial Costs (\$1,000)</b>   | <b>\$318.7</b>    | <b>\$295.7</b>    | <b>\$328.0</b>    | <b>\$335.5</b>    | <b>2.29%</b>  | <b>\$380.8</b>       | <b>\$393.2</b>      | <b>17.20%</b> | <b>\$421.4</b>       | <b>7.17%</b>  |
| <b>per Square Foot</b>             | <b>\$1.38</b>     | <b>\$1.28</b>     | <b>\$1.42</b>     | <b>\$1.45</b>     | <b>2.29%</b>  | <b>\$1.84</b>        | <b>\$1.60</b>       | <b>9.93%</b>  | <b>\$1.71</b>        | <b>7.17%</b>  |

\*1 In-House Custodial Services for only 3 months in FY09-10.

Department: **23000 - Public Works Department**  
 Division: **23300 - Maintenance >> Internal Services**  
 Program: **23302 - Building Maintenance**

Provide maintenance and repair services for all City buildings and facilities. Such services include: structural, electrical, plumbing, HVAC, and moving services.

**PERFORMANCE OBJECTIVES**

1. To provide building maintenance for 50 City buildings and leased facilities, with over 271,849 square feet to be maintained.
2. To perform preventative maintenance on City buildings, their HVAC equipment, and energy management systems.
3. To perform maintenance repairs on City buildings and other miscellaneous work tasks, as needed.
4. To establish a proactive maintenance system for facilities.
5. To maintain building repair cost data.

**COMMENTARY**

In both FY11-12 and FY12-13, program costs showed modest increases. In FY13-14, program costs showed a minor decrease. Contracted services were expected to exceed their budget.

In FY14-15, program cost are expected to show a major increase, with costs rising in all categories.

For FY15-16, the program budget provides for the current staffing, added funding for maintenance materials, and with minimal adjustments for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 453,907              | 429,526              | -5.4%            | 493,500               | 457,660                 | 92.7%          | 6.6%             | 489,200               | -0.9%              |
| Contracted Services                | 101,298              | 81,536               | -19.5%           | 123,640               | 83,910                  | 67.9%          | 2.9%             | 124,460               | 0.7%               |
| Commodities                        | 67,640               | 74,063               | 9.5%             | 79,500                | 127,200                 | 160.0%         | 71.7%            | 140,180               | 76.3%              |
| Internal Charges                   | 32,413               | 40,085               | 23.7%            | 41,430                | 41,400                  | 99.9%          | 3.3%             | 43,110                | 4.1%               |
| Other Payments                     | 0                    | 14,464               |                  | 30,000                | 36,730                  | 122.4%         | 153.9%           | 0                     |                    |
| Program Total                      | 655,258              | 639,674              | -2.4%            | 768,070               | 746,900                 | 97.2%          | 16.8%            | 796,950               | 3.8%               |
| Amended Budget                     | 683,910              | 683,090              |                  |                       | 774,800                 |                |                  |                       |                    |
| % of Amended Spent                 | 95.8%                | 93.6%                |                  |                       | 96.4%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 0                    | 0                    |                  | 30,000                | 30,000                  |                |                  | 0                     |                    |
| Building Maintenance Fund 615 | 655,258              | 639,674              | -2.4%            | 738,070               | 716,900                 | 97.1%          | 12.1%            | 796,950               | 8.0%               |
| Program Total                 | 655,258              | 639,674              | -2.4%            | 768,070               | 746,900                 | 97.2%          | 16.8%            | 796,950               | 3.8%               |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Deputy Director of PW                         | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Pub Wks Superintendent                        | 0.25                 | 0.25                 |                  | 0.25                  | 0.25                    |                |                  | 0.25                  |                    |
| Building Maintenance Worker                   | 3.00                 | 3.00                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                  |                    |
| Maintenance Worker II                         | 0.50                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Senior Building Maint Worker                  | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 4.75                 | 4.75                 | 0.0%             | 4.75                  | 4.75                    | 100.0%         | 0.0%             | 4.75                  | 0.0%               |

Department: **23000 - Public Works Department**  
 Division: **23300 - Maintenance >> Internal Services**  
 Program: **23303 - Custodial Maintenance**

Provide custodial service for City owned buildings: City Hall, Police Facility, Senior Center, Community Center, Library, Recreation Center, Old Jail, BSC, Tracy Trans, City Hall Annex, and others.

**PERFORMANCE OBJECTIVES**

1. To provide custodial maintenance for 25 City buildings and leased facilities, with over 245,992 feet to be maintained.
2. To maintain 21 City facilities 5 days per week, and 7 facilities 7 days per week.
3. To clean carpets, wash windows, and wax floors semi-annually.
4. To purchase all paper products and chemicals for the contracted services.
5. To contract for all custodial services at City buildings, administering contract of about \$300,000.

**COMMENTARY**

In FY09-10, 5 Custodian positions were deleted, while custodial work was contracted out. Since then, program costs have shown sizable decreases. In FY12-13, the various custodial contracts were moved from this program to the budgets of the departments using the facilities. This program provides management of the contracts, and funds custodial supplies.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and maintains current funding for other costs items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 38,164               | 37,368               | -2.1%            | 43,100                | 40,810                  | 94.7%          | 9.2%             | 42,700                | -0.9%              |
| Contracted Services         | 0                    | 0                    |                  | 20,490                | 8,600                   | 42.0%          |                  | 20,490                | 0.0%               |
| Commodities                 | 17,918               | 31,806               | 77.5%            | 37,650                | 32,930                  | 87.5%          | 3.5%             | 37,650                | 0.0%               |
| Internal Charges            | 9,590                | 10,590               |                  | 10,590                | 10,500                  | 99.2%          | -0.8%            | 10,590                | 0.0%               |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>65,672</b>        | <b>79,764</b>        | <b>21.5%</b>     | <b>111,830</b>        | <b>92,840</b>           | <b>83.0%</b>   | <b>16.4%</b>     | <b>111,430</b>        | <b>-0.4%</b>       |
| Amended Budget              | 82,900               | 103,530              |                  |                       | 111,830                 |                |                  |                       |                    |
| % of Amended Spent          | 79.2%                | 77.0%                |                  |                       | 83.0%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                               |               |               |              |                |               |              |              |                |              |
|-------------------------------|---------------|---------------|--------------|----------------|---------------|--------------|--------------|----------------|--------------|
| General Fund 101 - Taxes      | 0             | 0             |              | 0              | 0             |              |              | 0              |              |
| Building Maintenance Fund 615 | 65,672        | 79,764        | 21.5%        | 111,830        | 92,840        | 83.0%        | 16.4%        | 111,430        | -0.4%        |
| <b>Program Total</b>          | <b>65,672</b> | <b>79,764</b> | <b>21.5%</b> | <b>111,830</b> | <b>92,840</b> | <b>83.0%</b> | <b>16.4%</b> | <b>111,430</b> | <b>-0.4%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|   |             |             |             |             |             |               |             |             |             |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| Deputy Director of PW                         | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| Pub Wks Superintendent                        | 0.25        | 0.25        |             | 0.25        | 0.25        |               |             | 0.25        |             |
| Custodians                                    | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| Senior Custodian                              | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |             |
| Custodial Aides                               | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| <b>Total - Full-Time Equivalents</b>          | <b>0.25</b> | <b>0.25</b> | <b>0.0%</b> | <b>0.25</b> | <b>0.25</b> | <b>100.0%</b> | <b>0.0%</b> | <b>0.25</b> | <b>0.0%</b> |

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Department: **23000 - Public Works Department**  
 Division: **23400 - Maintenance >> Streets**  
 Program: **23401 - Roadway Maintenance**

Maintenance and repair of the roadway surface on City streets and the cleaning of catch basins within street right-of-ways. Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.

**PERFORMANCE OBJECTIVES**

1. To maintain the roadway on 533 lane miles of City streets.
2. To respond to service requests within 2 business days and resolve within ten working days.
3. To respond to spills as soon as possible and remove debris within one business day.
4. To repair potholes within 2 business days.
5. To provide traffic control for special events.

**COMMENTARY**

In FY12-13, program costs costs showed a modest increase, primarily due to full and added staffing. In FY13-14, program costs showed a major decrease. Personnel costs were are down, due to vacancies; but also, material costs were running less than budget.

In FY14-15, program cost are expected to show a major increase, with costs rising in all categories, although still running less than budget.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments for other costs items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 607,730              | 482,341              | -20.6%           | 666,000               | 561,340                 | 84.3%          | 16.4%            | 636,200               | -4.5%              |
| Contracted Services         | 5,639                | 3,940                | -30.1%           | 11,230                | 9,700                   | 86.4%          | 146.2%           | 11,330                | 0.9%               |
| Commodities                 | 43,067               | 44,492               | 3.3%             | 98,150                | 48,490                  | 49.4%          | 9.0%             | 98,150                | 0.0%               |
| Internal Charges            | 142,131              | 152,204              | 7.1%             | 166,480               | 176,000                 | 105.7%         | 15.6%            | 159,590               | -4.1%              |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>798,567</b>       | <b>682,977</b>       | <b>-14.5%</b>    | <b>941,860</b>        | <b>795,530</b>          | <b>84.5%</b>   | <b>16.5%</b>     | <b>905,270</b>        | <b>-3.9%</b>       |
| Amended Budget              | 913,710              | 901,650              |                  |                       | 935,130                 |                |                  |                       |                    |
| % of Amended Spent          | 87.4%                | 75.7%                |                  |                       | 85.1%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes | 50,410               | 34,556               | -31.5%           | 191,860               | 185,530                 | 96.7%          | 436.9%           | 155,270               | -19.1%             |
| TDA & Gas Tax Funds 24x  | 748,157              | 648,421              | -13.3%           | 750,000               | 610,000                 | 81.3%          | -5.9%            | 750,000               | 0.0%               |
| <b>Program Total</b>     | <b>798,567</b>       | <b>682,977</b>       | <b>-14.5%</b>    | <b>941,860</b>        | <b>795,530</b>          | <b>84.5%</b>   | <b>16.5%</b>     | <b>905,270</b>        | <b>-3.9%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Change    | FY14-15     | FY14-15     | % of Budget   | % Change    | FY15-16     | % Budget Change |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-----------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |                 |
| Pub Wks Superintendent                        | 0.20        | 0.20        |             | 0.30        | 0.30        |               |             | 0.30        |                 |
| Pub Wks Field Supervisor                      | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| Senior Maintenance Worker                     | 1.50        | 1.50        |             | 1.50        | 1.50        |               |             | 1.50        |                 |
| Maintenance Worker II                         | 4.00        | 4.00        |             | 4.00        | 4.00        |               |             | 4.00        |                 |
| Maintenance Worker I                          | 0.80        | 0.80        |             | 0.80        | 0.80        |               |             | 0.80        |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |                 |
| <b>Total - Full-Time Equivalents</b>          | <b>6.50</b> | <b>6.50</b> | <b>0.0%</b> | <b>6.60</b> | <b>6.60</b> | <b>100.0%</b> | <b>1.5%</b> | <b>6.60</b> | <b>0.0%</b>     |

Department: 23000 - Public Works Department  
 Division: 23400 - Maintenance >> Streets  
 Program: 23402 - Sidewalk & Median Maintenance

Maintenance and repair of concrete surfaces of City streets, including sidewalks, curbs, and gutters.

**PERFORMANCE OBJECTIVES**

1. To maintain the sidewalks, curbs, gutters on 533 lane miles of City streets.
2. To eliminate trip hazards within one business day of notification.
3. To respond to service requests within one business day and to resolve within 10 days.
4. To protect City trees where possible and minimize root damage.

**COMMENTARY**

Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.

In FY13-14, program costs showed a major decrease; personnel costs were down, due to vacancies.

In FY14-15, program cost are expected to show a major increase, with costs rising in all categories, although still running less than budget.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments for other costs. However, \$34,500 in added funding is provided for contract work.

| PROGRAM EXPENDITURES | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|----------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                      | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses   | 309,773   | 238,624   | -23.0% | 363,100    | 301,970      | 83.2%  | 26.5%  | 342,300    | -5.7%    |
| Contracted Services  | 3,543     | 12,418    | 250.5% | 25,730     | 16,700       | 64.9%  | 34.5%  | 60,260     | 134.2%   |
| Commodities          | 29,864    | 32,498    | 8.8%   | 54,770     | 33,670       | 61.5%  | 3.6%   | 54,770     | 0.0%     |
| Internal Charges     | 74,756    | 90,716    | 21.3%  | 85,050     | 85,000       | 99.9%  | -6.3%  | 86,620     | 1.8%     |
| Other Payments       | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total        | 417,936   | 374,256   | -10.5% | 528,650    | 437,340      | 82.7%  | 16.9%  | 543,950    | 2.9%     |
| Amended Budget       | 469,750   | 491,220   |        |            | 528,650      |        |        |            |          |
| % of Amended Spent   | 89.0%     | 76.2%     |        |            | 82.7%        |        |        |            |          |

| FUNDING SOURCES          |         |         |        |         |         |        |        |         |       |
|--------------------------|---------|---------|--------|---------|---------|--------|--------|---------|-------|
| General Fund 101 - Taxes | 15,936  | 24,256  | 52.2%  | 98,650  | 137,340 | 139.2% | 466.2% | 113,950 | 15.5% |
| TDA & Gas Tax Funds 24x  | 402,000 | 350,000 | -12.9% | 430,000 | 300,000 | 69.8%  | -14.3% | 430,000 | 0.0%  |
| Drainage Fund 541        | 0       | 0       |        | 0       | 0       |        |        | 0       |       |
| Program Total            | 417,936 | 374,256 | -10.5% | 528,650 | 437,340 | 82.7%  | 16.9%  | 543,950 | 2.9%  |

| PROGRAM STAFFING                              |      |      |      |      |      |        |      |      |      |
|---|------|------|------|------|------|--------|------|------|------|
| <i>Regular Positions</i>                      |      |      |      |      |      |        |      |      |      |
| Pub Wks Superintendent                        | 0.22 | 0.22 |      | 0.30 | 0.30 |        |      | 0.30 |      |
| Pub Wks Field Supervisor                      | 0.00 | 0.00 |      | 0.00 | 0.00 |        |      | 0.00 |      |
| Senior Maintenance Worker                     | 1.00 | 1.00 |      | 1.00 | 1.00 |        |      | 1.00 |      |
| Maintenance Worker II                         | 2.00 | 2.00 |      | 2.00 | 2.00 |        |      | 2.00 |      |
| Maintenance Worker I                          | 0.10 | 0.10 |      | 0.10 | 0.10 |        |      | 0.10 |      |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |      |      |      |        |      |      |      |
| Total - Full-Time Equivalents                 | 3.32 | 3.32 | 0.0% | 3.40 | 3.40 | 100.0% | 2.4% | 3.40 | 0.0% |

Department: 23000 - Public Works Department  
 Division: 23400 - Maintenance >> Streets  
 Program: 23403 - Street Sweeping

**PERFORMANCE OBJECTIVES**

Sweeping and cleaning of City streets, medians, and parking lots. Since 1-1-99, this service is carried out through a contractor. Staff costs for special events clean-ups and emergency spill clean-ups.

1. To sweep 12,800 miles of City streets annually.
2. To sweep all residential streets every 2 weeks.
3. To administer & monitor contract street sweeping and respond to citizen complaints.
4. To sweep all alleys and parking lots once each month.
5. To sweep the Downtown Business District twice a week.
6. To contract for \$200,000 worth of street sweeping and \$95,000 for the annual Leaf-Pick Up.

**COMMENTARY**

In FY98-99, program work was contracted out, and program workload has increased 77% from 7,230 miles up to 12,800 miles of streets swept annually. In FY12-13, program costs showed a major increase, due to higher contracted costs. Costs were over budget. In FY13-14, program costs decreased, with contracted costs down for the year. In FY14-15, program cost are expected to show a major increase, with contract costs rising and expected to exceed the budget. For FY15-16, the program budget provides for current level of funding.

| PROGRAM EXPENDITURES                          | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|   | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 41,890    | 37,939    | -9.4%  | 44,600     | 39,860       | 89.4%  | 5.1%   | 45,700     | 2.5%     |
| Contracted Services                           | 372,866   | 321,870   | -13.7% | 355,870    | 392,490      | 110.3% | 21.9%  | 355,850    | 0.0%     |
| Commodities                                   | 1,138     | 2,821     | 147.9% | 5,540      | 3,660        | 66.1%  |        | 5,540      | 0.0%     |
| Internal Charges                              | 3,320     | 3,320     | 0.0%   | 3,320      | 3,300        | 99.4%  | -0.6%  | 3,340      | 0.6%     |
| Other Payments                                | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 419,214   | 365,950   | -12.7% | 409,330    | 439,310      | 107.3% | 20.0%  | 410,430    | 0.3%     |
| Amended Budget                                | 382,030   | 404,430   |        |            | 449,330      |        |        |            |          |
| % of Amended Spent                            | 109.7%    | 90.5%     |        |            | 97.8%        |        |        |            |          |
| <b>FUNDING SOURCES</b>                        |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 23,812    | 19,506    | -18.1% | 24,500     | 23,000       | 93.9%  | 17.9%  | 24,900     | 1.6%     |
| TDA & Gas Tax Funds 24x                       | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Solid Waste Fund 531                          | 395,402   | 346,444   | -12.4% | 384,830    | 416,310      | 108.2% | 20.2%  | 385,530    | 0.2%     |
| Program Total                                 | 419,214   | 365,950   | -12.7% | 409,330    | 439,310      | 107.3% | 20.0%  | 410,430    | 0.3%     |
| <b>PROGRAM STAFFING</b>                       |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Pub Wks Superintendent                        | 0.10      | 0.10      |        | 0.10       | 0.10         |        |        | 0.10       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| Total - Full-Time Equivalents                 | 0.10      | 0.10      | 0.0%   | 0.10       | 0.10         | 100.0% | 0.0%   | 0.10       | 0.0%     |

Department: **23000 - Public Works Department**  
 Division: **23400 - Maintenance >> Streets**  
 Program: **23404 - Traffic Maintenance**

**PERFORMANCE OBJECTIVES**

1. To maintain traffic signs and markings on 533 lane miles of City streets.
2. To evaluate traffic markings for reapplication of thermal plastic.
3. To replace damaged "critical" signs within two hours of receiving notification.
4. To respond to customers concerns/service requests within one business day.

To maintain proper traffic marking and signing on City streets.  
 Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.

**COMMENTARY**

In FY12-13, program costs showed a moderate decrease with contracted work down for the year. In FY13-14, program cost increased, with contracted costs and internal charges up for the year.

In FY14-15, program cost are expected to show another increase, with personnel and material up, although with budget.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments for other costs. However, \$18,440 in added funding is provided for contract work.

|   | FY12-13        | FY13-14        | % Cost      | FY14-15        | FY14-15        | % of          | % Cost      | FY15-16        | % Budget    |
|---|----------------|----------------|-------------|----------------|----------------|---------------|-------------|----------------|-------------|
| <b>PROGRAM EXPENDITURES</b>                   | \$ Actual      | \$ Actual      | Change      | \$ Adopted     | \$ Estimated   | Budget        | Change      | \$ Adopted     | Change      |
| Personnel Expenses                            | 217,299        | 218,768        | 0.7%        | 250,000        | 234,950        | 94.0%         | 7.4%        | 243,300        | -2.7%       |
| Contracted Services                           | 861            | 21,228         | 2365.5%     | 19,350         | 19,000         | 98.2%         | -10.5%      | 41,050         | 112.1%      |
| Commodities                                   | 39,533         | 34,686         | -12.3%      | 45,680         | 42,300         | 92.6%         | 22.0%       | 45,800         | 0.3%        |
| Internal Charges                              | 31,975         | 41,970         | 31.3%       | 44,590         | 44,000         | 98.7%         | 4.8%        | 44,800         | 0.5%        |
| Other Payments                                | 0              | 0              |             | 0              | 0              |               |             | 0              |             |
| <b>Program Total</b>                          | <b>289,668</b> | <b>316,652</b> | <b>9.3%</b> | <b>359,620</b> | <b>340,250</b> | <b>94.6%</b>  | <b>7.5%</b> | <b>374,950</b> | <b>4.3%</b> |
| Amended Budget                                | 323,740        | 339,990        |             |                | 359,620        |               |             |                |             |
| % of Amended Spent                            | 89.5%          | 93.1%          |             |                | 94.6%          |               |             |                |             |
| <b>FUNDING SOURCES</b>                        |                |                |             |                |                |               |             |                |             |
| General Fund 101 - Taxes                      | 49,668         | 26,652         | -46.3%      | 69,620         | 50,250         | 72.2%         | 88.5%       | 84,950         | 22.0%       |
| Traffic Fines Sub-fund 105                    | 90,000         | 90,000         | 0.0%        | 90,000         | 90,000         | 100.0%        | 0.0%        | 90,000         | 0.0%        |
| TDA & Gas Tax Funds 24x                       | 150,000        | 200,000        | 33.3%       | 200,000        | 200,000        | 100.0%        | 0.0%        | 200,000        | 0.0%        |
| <b>Program Total</b>                          | <b>289,668</b> | <b>316,652</b> | <b>9.3%</b> | <b>359,620</b> | <b>340,250</b> | <b>94.6%</b>  | <b>7.5%</b> | <b>374,950</b> | <b>4.3%</b> |
| <b>PROGRAM STAFFING</b>                       |                |                |             |                |                |               |             |                |             |
| <i>Regular Positions</i>                      |                |                |             |                |                |               |             |                |             |
| Pub Wks Superintendent                        | 0.09           | 0.09           |             | 0.15           | 0.15           |               |             | 0.15           |             |
| Senior Maintenance Worker                     | 1.00           | 1.00           |             | 1.00           | 1.00           |               |             | 1.00           |             |
| Maintenance Worker II                         | 1.00           | 1.00           |             | 1.00           | 1.00           |               |             | 1.00           |             |
| Maintenance Worker I                          | 0.10           | 0.10           |             | 0.10           | 0.10           |               |             | 0.10           |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                |                |             |                |                |               |             |                |             |
| <b>Total - Full-Time Equivalents</b>          | <b>2.19</b>    | <b>2.19</b>    | <b>0.0%</b> | <b>2.25</b>    | <b>2.25</b>    | <b>100.0%</b> | <b>2.7%</b> | <b>2.25</b>    | <b>0.0%</b> |

Department: **23000 - Public Works Department**  
 Division: **23400 - Maintenance >> Streets**  
 Program: **23406 - Street Tree Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance of City owned trees, including inspections, trimming, replacements, removals, and new planting.

1. To provide maintenance for about 9,900 street trees.
2. To replant about 40 street trees during the year.
3. To remove hazardous trees as they are identified.
4. To establish a programmed pruning cycle for street trees.
5. To contract for \$202,000 worth of street tree trimming.

**COMMENTARY**

In FY09-10, maintenance crews were deleted, and tree trimming work was contracted out.  
 In FY13-14, program costs showed a moderate increase. Contracted costs are up for the year, while personnel costs are less than budgeted.  
 In FY14-15, program costs will show a slight decrease, while personnel costs are up, contracted costs are down.  
 For FY15-16, program staffing provides for contract management and a contingent level for City staff for emergency work. The program budget provides for this staffing, as well as \$202,000 for contract tree trimming.

| <u><b>PROGRAM EXPENDITURES</b></u> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 50,344               | 35,875               | -28.7%           | 78,200                | 37,660                  | 48.2%          | 5.0%             | 77,900                | -0.4%              |
| Contracted Services                | 192,822              | 217,318              | 12.7%            | 200,650               | 204,180                 | 101.8%         | -6.0%            | 203,790               | 1.6%               |
| Commodities                        | 1,549                | 2,103                | 35.8%            | 4,700                 | 2,350                   | 50.0%          | 11.7%            | 4,700                 | 0.0%               |
| Internal Charges                   | 51,436               | 44,429               | -13.6%           | 49,880                | 52,800                  | 105.9%         | 18.8%            | 46,740                | -6.3%              |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                      | 296,151              | 299,725              | 1.2%             | 333,430               | 296,990                 | 89.1%          | -0.9%            | 333,130               | -0.1%              |
| Amended Budget                     | 327,650              | 367,141              |                  |                       | 333,430                 |                |                  |                       |                    |
| % of Amended Spent                 | 90.4%                | 81.6%                |                  |                       | 89.1%                   |                |                  |                       |                    |

| <u><b>FUNDING SOURCES</b></u> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 46,151               | 49,725               | 7.7%             | 33,430                | 46,990                  | 140.6%         | -5.5%            | 33,130                | -0.9%              |
| TDA & Gas Tax Funds 24x       | 250,000              | 250,000              | 0.0%             | 300,000               | 250,000                 | 83.3%          | 0.0%             | 300,000               | 0.0%               |
| Program Total                 | 296,151              | 299,725              | 1.2%             | 333,430               | 296,990                 | 89.1%          | -0.9%            | 333,130               | -0.1%              |

| <u><b>PROGRAM STAFFING</b></u>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Parks Superintendent                          | 0.15                 | 0.15                 |                  | 0.15                  | 0.15                    |                |                  | 0.15                  |                    |
| Pub Wks Field Supervisor                      | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Senior Maintenance Worker                     | 0.10                 | 0.10                 |                  | 0.10                  | 0.10                    |                |                  | 0.10                  |                    |
| Maintenance Worker II                         | 0.30                 | 0.30                 |                  | 0.30                  | 0.30                    |                |                  | 0.30                  |                    |
| Maintenance Worker I                          | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Maint Worker I (Seasonal)                     | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Total - Full-Time Equivalents                 | 0.55                 | 0.55                 | 0.0%             | 0.55                  | 0.55                    | 100.0%         | 0.0%             | 0.55                  | 0.0%               |

Department: **23000 - Public Works Department**  
 Division: **23400 - Maintenance >> Streets**  
 Program: **23407 - Graffiti Removal**

**PERFORMANCE OBJECTIVES**

Daily patrol and removal of graffiti from public properties.

1. To remove identified graffiti from public property within 24 to 72 hours.
2. To report all graffiti to the Police Department for data recording.
3. To maintain adequate stock of paints & chemicals for graffiti removal.
4. To maintain graffiti removal cost data.
5. To recover clean-up costs whenever possible.
5. To educate public through outreach.

**COMMENTARY**

This program was established new in FY96-97 with a half-time Maintenance Worker as part of a special citywide effort devoted to the removal of graffiti from public properties.

In both FY13-14 and FY14-15, program costs have show moderate increases.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments for other costs items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|   | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 53,397    | 53,090    | -0.6%  | 56,150     | 56,500       | 100.6% | 6.4%   | 56,700     | 1.0%     |
| Contracted Services                           | 307       | 339       | 10.4%  | 940        | 840          | 89.4%  | 147.8% | 960        | 2.1%     |
| Commodities                                   | 9,718     | 11,800    | 21.4%  | 15,980     | 12,860       | 80.5%  | 9.0%   | 15,980     | 0.0%     |
| Internal Charges                              | 17,134    | 19,816    | 15.7%  | 20,450     | 20,000       | 97.8%  | 0.9%   | 20,570     | 0.6%     |
| Other Payments                                | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 80,556    | 85,045    | 5.6%   | 93,520     | 90,200       | 96.4%  | 6.1%   | 94,210     | 0.7%     |
| Amended Budget                                | 81,390    | 89,380    |        |            | 93,520       |        |        |            |          |
| % of Amended Spent                            | 99.0%     | 95.1%     |        |            | 96.4%        |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 80,556    | 85,045    | 5.6%   | 93,520     | 90,200       | 96.4%  | 6.1%   | 94,210     | 0.7%     |
| Program Total                                 | 80,556    | 85,045    | 5.6%   | 93,520     | 90,200       | 96.4%  | 6.1%   | 94,210     | 0.7%     |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Pub Wks Superintendent                        | 0.05      | 0.05      |        | 0.05       | 0.05         |        |        | 0.05       |          |
| Maintenance Worker II                         | 0.50      | 0.50      |        | 0.50       | 0.50         |        |        | 0.50       |          |
| Maintenance Worker I                          | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| General Laborer                               | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| Total - Full-Time Equivalents                 | 0.55      | 0.55      |        | 0.55       | 0.55         | 100.0% |        | 0.55       | 0.0%     |

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Department: 23000 - Public Works Department  
 Division: 23500 - Maintenance >> Utilities  
 Program: 23501 - Water Distribution

**PERFORMANCE OBJECTIVES**

Operate, maintain and repair the City's water distribution system including water mains, service connections, valves, and fire hydrants. Pays Right-of-Ways (ROWs) maintenance fee to the City.

1. To maintain a water distribution system of 420 miles of water lines and 23,500 water service connections.
2. To improve the system by repairing/replacing valves, and water services by performing 250 job orders.
3. To inspect and maintain approximately 2,000 fire hydrants.
4. To continue water main flushings and valve exercising.
5. To respond to water main breaks and service leaks within 1 hour.
6. To complete all USA (underground service alert) service requests within 48 hours.
7. To pay \$1,501,300 in ROW maintenance fees.

**COMMENTARY**

This program provides for a water distribution crew of 6 and their supervisors.

In FY13-14, program costs showed a major increase, with personnel costs showing a major increase due to full-year staffing. Also, costs were up for In FY14-15, program costs will show a slight decrease, while personnel costs are up; other costs are drop for commodities and internal charges. For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments to other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 711,827              | 840,987              | 18.1%            | 799,840               | 860,450                 | 107.6%         | 2.3%             | 870,600               | 8.8%               |
| Contracted Services         | 11,781               | 8,880                | -24.6%           | 18,170                | 16,540                  | 91.0%          | 86.3%            | 18,170                | 0.0%               |
| Commodities                 | 124,093              | 156,032              | 25.7%            | 164,070               | 137,280                 | 83.7%          | -12.0%           | 164,490               | 0.3%               |
| Internal Charges            | 142,824              | 193,238              | 35.3%            | 166,770               | 166,000                 | 99.5%          | -14.1%           | 168,010               | 0.7%               |
| Other Payments              | 1,457,500            | 1,501,200            | 3.0%             | 1,501,300             | 1,501,300               | 100.0%         | 0.0%             | 1,501,300             | 0.0%               |
| <b>Program Total</b>        | <b>2,448,025</b>     | <b>2,700,337</b>     | <b>10.3%</b>     | <b>2,650,150</b>      | <b>2,681,570</b>        | <b>101.2%</b>  | <b>-0.7%</b>     | <b>2,722,570</b>      | <b>2.7%</b>        |
| Amended Budget              | 2,446,630            | 2,598,260            |                  |                       | 2,650,150               |                |                  |                       |                    |
| % of Amended Spent          | 100.1%               | 103.9%               |                  |                       | 101.2%                  |                |                  |                       |                    |

| <b>FUNDING SOURCES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Water Fund 511         | 2,448,025            | 2,700,337            | 10.3%            | 2,650,150             | 2,681,570               | 101.2%         | -0.7%            | 2,722,570             | 2.7%               |
| <b>Program Total</b>   | <b>2,448,025</b>     | <b>2,700,337</b>     | <b>10.3%</b>     | <b>2,650,150</b>      | <b>2,681,570</b>        | <b>101.2%</b>  | <b>-0.7%</b>     | <b>2,722,570</b>      | <b>2.7%</b>        |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Util Lines Maint (PW) Supt                    | 0.40                 | 0.40                 |                  | 0.40                  | 0.40                    |                |                  | 0.40                  |                    |
| Pub Wks Field Supervisor                      | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Senior Maintenance Worker                     | 2.00                 | 2.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Maintenance Worker II                         | 4.00                 | 4.00                 |                  | 5.00                  | 5.00                    |                |                  | 5.00                  |                    |
| Maintenance Worker I                          | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>7.40</b>          | <b>7.40</b>          | <b>0.0%</b>      | <b>7.40</b>           | <b>7.40</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>7.40</b>           | <b>0.0%</b>        |

Department: **23000 - Public Works Department**  
 Division: **23500 - Maintenance >> Utilities**  
 Program: **23502 - Water Meters**

**PERFORMANCE OBJECTIVES**

Read, test, repair, and replace water meters on the City's water system and provide water turn-on & off services.

1. To read over 23,500 water meters on a monthly basis to provide data for regular service billing.
2. To improve the system by replacing about 2,000 meters with new standard meters, with radio read devices.
3. To maintain and test meters over 2".
4. To check and complete all service requests in a timely manner.
5. To purchase \$220,000 in meter replacements.

**COMMENTARY**

This program provides for a water meters crew of 10 and their supervisors.

In FY13-14, program costs showed a modest increase, with personnel costs also showing a modest increase; but internal charges had a major increase.

In FY14-15, program costs will show a moderate increase, with increases in all costs categories, except internal service charges.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments to other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 918,649              | 931,413              | 1.4%             | 1,098,700             | 1,002,800               | 91.3%          | 7.7%             | 929,800               | -15.4%             |
| Contracted Services                | 21,651               | 15,185               | -29.9%           | 34,760                | 29,670                  | 85.4%          | 95.4%            | 27,450                | -21.0%             |
| Commodities                        | 287,084              | 277,789              | -3.2%            | 305,280               | 285,600                 | 93.6%          | 2.8%             | 305,280               | 0.0%               |
| Internal Charges                   | 61,585               | 89,594               | 45.5%            | 86,720                | 84,560                  | 97.5%          | -5.6%            | 95,510                | 10.1%              |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                      | 1,288,969            | 1,313,981            | 1.9%             | 1,525,460             | 1,402,630               | 91.9%          | 6.7%             | 1,358,040             | -11.0%             |
| Amended Budget                     | 1,486,090            | 1,469,440            |                  |                       | 1,525,460               |                |                  |                       |                    |
| % of Amended Spent                 | 86.7%                | 89.4%                |                  |                       | 91.9%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Water Fund 511                | 1,288,969            | 1,313,981            | 1.9%             | 1,525,460             | 1,402,630               | 91.9%          | 6.7%             | 1,358,040             | -11.0%             |
| Program Total                 | 1,288,969            | 1,313,981            | 1.9%             | 1,525,460             | 1,402,630               | 91.9%          | 6.7%             | 1,358,040             | -11.0%             |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13 | FY13-14 | % Change | FY14-15 | FY14-15 | % of Budget | % Change | FY15-16 | % Budget Change |
|---|---------|---------|----------|---------|---------|-------------|----------|---------|-----------------|
| <i>Regular Positions</i>                      |         |         |          |         |         |             |          |         |                 |
| Util Lines Maint (PW) Supt                    | 0.25    | 0.25    |          | 0.25    | 0.25    |             |          | 0.25    |                 |
| Pub Wks Field Supervisor                      | 0.00    | 0.00    |          | 0.00    | 0.00    |             |          | 0.00    |                 |
| Senior Maintenance Worker                     | 1.00    | 1.00    |          | 1.00    | 1.00    |             |          | 1.00    |                 |
| Maintenance Worker II                         | 7.00    | 7.00    |          | 7.00    | 7.00    |             |          | 7.00    |                 |
| Meter Reader                                  | 3.00    | 3.00    |          | 3.00    | 3.00    |             |          | 3.00    |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |         |         |          |         |         |             |          |         |                 |
| Total - Full-Time Equivalents                 | 11.25   | 11.25   | 0.0%     | 11.25   | 11.25   | 100.0%      | 0.0%     | 11.25   | 0.0%            |

Department: **23000 - Public Works Department**  
 Division: **23500 - Maintenance >> Utilities**  
 Program: **23503 - Wastewater Collection**

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's sewer collection system including sewer mains and service laterals. Pays the Right-of-Ways (ROWs) maintenance fee to the City.

1. To maintain a wastewater collection system of 410 miles of sewer lines and 23,000 sewer service connections.
2. To provide preventive maintenance of the collection system by cleaning 20 miles of sewer mains.
3. To inspect all manholes and check hotspots.
4. To unplug laterals and mains quickly.
5. To respond to sewer complaints within one hour.
6. To continue sewer main TV inspection program.
7. To pay \$1,184,500 in ROW maintenance fees.

**COMMENTARY**

In FY12-13, program costs showed a modest increase. In FY13-14, program costs again showed a moderate increase.

In FY14-15, program costs will show a modest increase, with personnel costs showing a decrease.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments to other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 236,567              | 237,492              | 0.4%             | 233,000               | 236,380                 | 101.5%         | -0.5%            | 229,300               | -1.6%              |
| Contracted Services                | 18,285               | 13,906               | -23.9%           | 15,280                | 14,890                  | 97.4%          | 7.1%             | 18,220                | 19.2%              |
| Commodities                        | 19,411               | 37,750               | 94.5%            | 87,740                | 67,590                  | 77.0%          | 79.0%            | 87,740                | 0.0%               |
| Internal Charges                   | 70,275               | 86,051               | 22.4%            | 92,460                | 90,400                  | 97.8%          | 5.1%             | 89,520                | -3.2%              |
| Other Payments                     | 1,184,500            | 1,220,000            | 3.0%             | 1,220,100             | 1,220,000               | 100.0%         | 0.0%             | 1,220,100             | 0.0%               |
| <b>Program Total</b>               | <b>1,529,038</b>     | <b>1,595,199</b>     | <b>4.3%</b>      | <b>1,648,580</b>      | <b>1,629,260</b>        | <b>98.8%</b>   | <b>2.1%</b>      | <b>1,644,880</b>      | <b>-0.2%</b>       |
| Amended Budget                     | 1,524,690            | 1,583,520            |                  |                       | 1,630,080               |                |                  |                       |                    |
| % of Amended Spent                 | 100.3%               | 100.7%               |                  |                       | 99.9%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Wastewater Fund 521           | 1,529,038            | 1,595,199            | 4.3%             | 1,648,580             | 1,629,260               | 98.8%          | 2.1%             | 1,644,880             | -0.2%              |
| <b>Program Total</b>          | <b>1,529,038</b>     | <b>1,595,199</b>     | <b>4.3%</b>      | <b>1,648,580</b>      | <b>1,629,260</b>        | <b>98.8%</b>   | <b>2.1%</b>      | <b>1,644,880</b>      | <b>-0.2%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13     | FY13-14     | % Change    | FY14-15     | FY14-15     | % of Budget   | % Change    | FY15-16     | % Budget Change |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-----------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |                 |
| Util Lines Maint (PW) Supt                    | 0.20        | 0.20        |             | 0.20        | 0.20        |               |             | 0.20        |                 |
| Pub Wks Field Supervisor                      | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| Senior Maintenance Worker                     | 0.60        | 0.60        |             | 0.60        | 0.60        |               |             | 0.60        |                 |
| Maintenance Worker II                         | 1.20        | 1.20        |             | 1.20        | 1.20        |               |             | 1.20        |                 |
| Maintenance Worker I                          | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |                 |
| <b>Total - Full-Time Equivalents</b>          | <b>2.00</b> | <b>2.00</b> | <b>0.0%</b> | <b>2.00</b> | <b>2.00</b> | <b>100.0%</b> | <b>0.0%</b> | <b>2.00</b> | <b>0.0%</b>     |

Department: **23000 - Public Works Department**  
 Division: **23500 - Maintenance >> Utilities**  
 Program: **23504 - Drainage Maintenance**

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's storm drainage system including storm drains, catch basins, channels, ditches, and ponds. Program expenses includes electric costs for drainage pumps.

1. To maintain 180 miles of storm drains and and 20 miles of channels.
2. To maintain and clean catch basins and channelways.
3. To maintain routine weed abatement of all storm drain ponds and channels.
4. To respond to hazardous spills/dumping on a timely basis.
5. To inspect storm drain lines on a routine basis via TV.

**COMMENTARY**

In FY12-13, program staffing was increased, and program costs showed a major increase. In FY13-14, program costs showed a decrease, with personnel costs decreasing due to staff vacancies and lower contracted costs.

In FY14-15, program costs will show a moderate increase, costs showing a decrease.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some adjustments to other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 256,398              | 225,824              | -11.9%           | 294,200               | 239,660                 | 81.5%          | 6.1%             | 276,600               | -6.0%              |
| Contracted Services                | 157,531              | 45,483               | -71.1%           | 75,020                | 62,350                  | 83.1%          | 37.1%            | 97,270                | 29.7%              |
| Commodities                        | 21,958               | 25,275               | 15.1%            | 33,900                | 16,950                  | 50.0%          | -32.9%           | 33,900                | 0.0%               |
| Internal Charges                   | 36,743               | 40,457               | 10.1%            | 52,960                | 51,200                  | 96.7%          | 26.6%            | 51,940                | -1.9%              |
| Other Payments                     | 3,990                | 30,973               | 676.3%           | 9,300                 | 9,000                   | 96.8%          | -70.9%           | 4,000                 | -57.0%             |
| <b>Program Total</b>               | <b>476,620</b>       | <b>368,012</b>       | <b>-22.8%</b>    | <b>465,380</b>        | <b>379,160</b>          | <b>81.5%</b>   | <b>3.0%</b>      | <b>463,710</b>        | <b>-0.4%</b>       |
| Amended Budget                     | 426,450              | 477,000              |                  |                       | 465,380                 |                |                  |                       |                    |
| % of Amended Spent                 | 111.8%               | 77.2%                |                  |                       | 81.5%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Drainage Fund 541             | 406,620              | 278,012              | -31.6%           | 375,380               | 289,160                 | 77.0%          | 4.0%             | 273,710               | -27.1%             |
| TDA & Gas Tax Funds 24x       | 70,000               | 90,000               | 28.6%            | 90,000                | 90,000                  | 100.0%         |                  | 190,000               | 111.1%             |
| <b>Program Total</b>          | <b>476,620</b>       | <b>368,012</b>       | <b>-22.8%</b>    | <b>465,380</b>        | <b>379,160</b>          | <b>81.5%</b>   | <b>3.0%</b>      | <b>463,710</b>        | <b>-0.4%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13     | FY13-14     | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|-------------|-------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |             |             |                  |                       |                         |                |                  |                       |                    |
| Util Lines Maint (PW) Supt                    | 0.15        | 0.15        |                  | 0.15                  | 0.15                    |                |                  | 0.15                  |                    |
| Senior Maintenance Worker                     | 0.80        | 0.80        |                  | 0.80                  | 0.80                    |                |                  | 0.80                  |                    |
| Maintenance Worker II                         | 1.20        | 1.20        |                  | 1.20                  | 1.20                    |                |                  | 1.20                  |                    |
| Plant Mechanic                                | 0.25        | 0.25        |                  | 0.25                  | 0.25                    |                |                  | 0.25                  |                    |
| Maintenance Supervisor/Manager                | 0.10        | 0.10        |                  | 0.10                  | 0.10                    |                |                  | 0.10                  |                    |
| Senior Electrician                            | 0.05        | 0.05        |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| Instrumentation Technician                    | 0.05        | 0.05        |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| Admin Asst II-P&PM Clerk                      | 0.10        | 0.10        |                  | 0.10                  | 0.10                    |                |                  | 0.10                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>2.70</b> | <b>2.70</b> | <b>0.0%</b>      | <b>2.70</b>           | <b>2.70</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>2.70</b>           | <b>0.0%</b>        |

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Department: 23000 - Public Works Department  
 Division: 23700 - Maintenance >> Parks  
 Program: 23701 - Park Maintenance

Maintenance of City parks, grounds, and landscaped medians including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

**PERFORMANCE OBJECTIVES**

1. To maintain 24 City parks and other landscaped areas and medians, consisting of over 200 acres in a safe and aesthetically condition.
2. To inspect, maintain, and repair equipment at 27 playgrounds, in a safe condition and in adherence with all State and Federal guidelines.
3. To continue the programmed tree pruning cycle in City parks.
4. To increase irrigation efficiency in city parks.
5. To reduce pesticide usage through the utilization of technological advances in equipment, materials, and IPM practices.
6. To provide landscaping maintenance for the Civic Center complex and the new Downtown Plaza.

**COMMENTARY**

Since FY97-98, park acreage has increased from 131 up to over 200 acres. But since FY08-09, program staffing has been reduced by 6.35 FTEs. In FY13-14, program costs showed a major increase. However, in FY14-15, program costs will show a minimal increase.

For FY15-16, the program budget provides for the current staffing, with some added funding for materials, and some minimal adjustments for other cost items.

| PROGRAM EXPENDITURES                          | FY12-13   | FY13-14   | % Cost Change | FY14-15    | FY14-15      | % of Budget | % Cost Change | FY15-16    | % Budget Change |
|---|-----------|-----------|---------------|------------|--------------|-------------|---------------|------------|-----------------|
|   | \$ Actual | \$ Actual |               | \$ Adopted | \$ Estimated |             |               | \$ Adopted |                 |
| Personnel Expenses                            | 1,063,762 | 1,164,590 | 9.5%          | 1,276,200  | 1,180,260    | 92.5%       | 1.3%          | 1,329,400  | 4.2%            |
| Contracted Services                           | 86,826    | 123,043   | 41.7%         | 149,730    | 135,640      | 90.6%       | 10.2%         | 149,980    | 0.2%            |
| Commodities                                   | 192,293   | 205,827   | 7.0%          | 261,810    | 211,650      | 80.8%       | 2.8%          | 278,110    | 6.2%            |
| Internal Charges                              | 234,251   | 267,733   | 14.3%         | 274,260    | 259,000      | 94.4%       | -3.3%         | 283,810    | 3.5%            |
| Other Payments                                | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Program Total                                 | 1,577,132 | 1,761,193 | 11.7%         | 1,962,000  | 1,786,550    | 91.1%       | 1.4%          | 2,041,300  | 4.0%            |
| Amended Budget                                | 1,779,370 | 1,903,990 |               |            | 1,972,000    |             |               |            |                 |
| % of Amended Spent                            | 88.6%     | 92.5%     |               |            | 90.6%        |             |               |            |                 |
| <b>FUNDING SOURCES</b>                        |           |           |               |            |              |             |               |            |                 |
| General Fund 101 - Taxes                      | 1,577,132 | 1,761,193 | 11.7%         | 1,962,000  | 1,786,550    | 91.1%       | 1.4%          | 2,041,300  | 4.0%            |
| Program Total                                 | 1,577,132 | 1,761,193 | 11.7%         | 1,962,000  | 1,786,550    | 91.1%       | 1.4%          | 2,041,300  | 4.0%            |
| <b>PROGRAM STAFFING</b>                       |           |           |               |            |              |             |               |            |                 |
| <i>Regular Positions</i>                      |           |           |               |            |              |             |               |            |                 |
| Parks Superintendent                          | 0.75      | 0.75      |               | 0.50       | 0.50         |             |               | 0.50       |                 |
| Pub Wks Field Supervisor                      | 0.00      | 0.00      |               | 0.00       | 0.00         |             |               | 0.00       |                 |
| Senior Maintenance Worker                     | 3.70      | 3.70      |               | 3.70       | 3.70         |             |               | 3.70       |                 |
| Maintenance Worker II                         | 6.75      | 6.75      |               | 6.75       | 6.75         |             |               | 6.75       |                 |
| Maintenance Worker I                          | 0.00      | 0.00      |               | 0.00       | 0.00         |             |               | 0.00       |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |               |            |              |             |               |            |                 |
| Maint Worker (Part-time)                      | 1.50      | 1.40      |               | 1.50       | 1.50         |             |               | 1.50       |                 |
| Maint Worker I (Seasonal)                     | 1.50      | 1.50      |               | 1.50       | 1.50         |             |               | 1.50       |                 |
| Total - Full-Time Equivalents                 | 14.20     | 14.10     | -0.7%         | 13.95      | 13.95        | 100.0%      | -1.1%         | 13.95      | 0.0%            |

Department: 23000 - Public Works Department  
 Division: 23700 - Maintenance >> Parks  
 Program: 23702 - Sports Field Maintenance

**PERFORMANCE OBJECTIVES**

Maintenance of Tracy Sports Complex and other playing fields, including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

1. To maintain 48 acres of sports facility turf and infields at the highest standard of safety and appearance.
2. To implement a quantitative measure of sports field conditions through the use of Sports Turf Managers Association "Playing Condition Index" (PCI).
3. To increase bermuda grass cover at Placencia Fields and Tracy Sports Complex.
4. To build the reputation of our sports fields among the best in the region.
5. To build stronger lines of communications with various sports leagues throughout the City.

**COMMENTARY**

This program was established in FY02-03 to account for the maintenance of the Tracy Sports Complex.  
 In FY13-14, program costs showed decrease. Some costs are being reallocated to the new Legacy Fields program.  
 In FY13-14, program costs are again decreasing, with the reallocation of costs to the Legacy Fields program.  
 For FY15-16, program staffing will add 0.50 in temporary help. The program budget provides for current and added staffing and some minimal adjustments for other cost items.

| PROGRAM EXPENDITURES | FY12-13   | FY13-14   | % Cost Change | FY14-15    | FY14-15      | % of Budget | % Cost Change | FY15-16    | % Budget Change |
|----------------------|-----------|-----------|---------------|------------|--------------|-------------|---------------|------------|-----------------|
|                      | \$ Actual | \$ Actual |               | \$ Adopted | \$ Estimated |             |               | \$ Adopted |                 |
| Personnel Expenses   | 247,629   | 207,651   | -16.1%        | 211,800    | 152,170      | 71.8%       | -26.7%        | 210,810    | -0.5%           |
| Contracted Services  | 71,679    | 67,422    | -5.9%         | 48,980     | 45,800       | 93.5%       | -32.1%        | 87,940     | 79.5%           |
| Commodities          | 47,029    | 40,505    | -13.9%        | 66,620     | 42,360       | 63.6%       | 4.6%          | 58,730     | -11.8%          |
| Internal Charges     | 49,808    | 56,151    | 12.7%         | 68,290     | 65,200       | 95.5%       | 16.1%         | 57,330     | -16.0%          |
| Other Payments       | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Program Total        | 416,145   | 371,729   | -10.7%        | 395,690    | 305,530      | 77.2%       | -17.8%        | 414,810    | 4.8%            |
| Amended Budget       | 380,020   | 373,820   |               |            | 415,690      |             |               |            |                 |
| % of Amended Spent   | 109.5%    | 99.4%     |               |            | 73.5%        |             |               |            |                 |

**FUNDING SOURCES**

|                          |         |         |        |         |         |       |        |         |      |
|--------------------------|---------|---------|--------|---------|---------|-------|--------|---------|------|
| General Fund 101 - Taxes | 416,145 | 371,729 | -10.7% | 395,690 | 305,530 | 77.2% | -17.8% | 414,810 | 4.8% |
| Program Total            | 416,145 | 371,729 | -10.7% | 395,690 | 305,530 | 77.2% | -17.8% | 414,810 | 4.8% |

**PROGRAM STAFFING**

|   |      |      |       |      |      |        |       |      |       |
|---|------|------|-------|------|------|--------|-------|------|-------|
| <i>Regular Positions</i>                      |      |      |       |      |      |        |       |      |       |
| Parks Superintendent                          | 0.10 | 0.08 |       | 0.08 | 0.08 |        |       | 0.08 |       |
| Pub Wks Field Supervisor                      | 0.00 | 0.00 |       | 0.00 | 0.00 |        |       | 0.00 |       |
| Senior Maintenance Worker                     | 0.25 | 0.22 |       | 0.18 | 0.18 |        |       | 0.18 |       |
| Maintenance Worker II                         | 1.55 | 1.52 |       | 1.48 | 1.48 |        |       | 1.48 |       |
| Maintenance Worker I                          | 0.00 | 0.00 |       | 0.00 | 0.00 |        |       | 0.00 |       |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |       |      |      |        |       |      |       |
| General Laborer (Part-time)                   | 0.00 | 0.00 |       | 0.00 | 0.00 |        |       | 0.50 |       |
| General Laborer (Seasonal)                    | 0.00 | 0.00 |       | 0.00 | 0.00 |        |       | 0.00 |       |
| Total - Full-Time Equivalents                 | 1.90 | 1.82 | -4.2% | 1.74 | 1.74 | 100.0% | -4.4% | 2.24 | 28.7% |

Department: 23000 - Public Works Department  
 Division: 23700 - Maintenance >> Parks  
 Program: 23703 - Legacy Fields Maintenance

**PERFORMANCE OBJECTIVES**

1. To maintain landscaped areas of Legacy Fields at a base level, ensuring that the existing landscaping survives.
2. To keep weeds under control in non-landscape areas of the facility.

Maintenance of the Legacy Fields Complex and other playing fields, including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

**COMMENTARY**

This program was established in FY13-14 to account for the maintenance of the new Legacy Fields Sports Complex.

In FY13-14 and FY14-15, program costs are being reallocated from the Sports Field maintenance program.

For FY15-16, program staffing will add 0.50 in temporary help. The program budget provides for current and added staffing and some minimal adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 0                    | 2,077                |                  | 26,150                | 19,860                  | 75.9%          |                  | 36,700                | 40.3%              |
| Contracted Services         | 0                    | 15,211               |                  | 46,000                | 15,870                  | 34.5%          |                  | 55,000                | 19.6%              |
| Commodities                 | 0                    | 2,606                |                  | 7,500                 | 5,670                   | 75.6%          |                  | 7,500                 | 0.0%               |
| Internal Charges            | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total               | 0                    | 19,894               |                  | 79,650                | 41,400                  | 52.0%          |                  | 99,200                | 24.5%              |
| Amended Budget              | 0                    | 24,330               |                  |                       | 59,650                  |                |                  |                       |                    |
| % of Amended Spent          |                      |                      |                  |                       | 69.4%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes | 0                    | 19,894               |                  | 79,650                | 41,400                  | 52.0%          |                  | 99,200                | 24.5%              |
| Program Total            | 0                    | 19,894               |                  | 79,650                | 41,400                  |                |                  | 99,200                | 24.5%              |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Parks Superintendent                          | 0.00                 | 0.02                 |                  | 0.02                  | 0.02                    |                |                  | 0.02                  |                    |
| Pub Wks Field Supervisor                      | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Senior Maintenance Worker                     | 0.00                 | 0.03                 |                  | 0.07                  | 0.07                    |                |                  | 0.07                  |                    |
| Maintenance Worker II                         | 0.00                 | 0.03                 |                  | 0.07                  | 0.07                    |                |                  | 0.07                  |                    |
| Maintenance Worker I                          | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Laborer (Part-time)                   | 0.00                 | 0.10                 |                  | 0.10                  | 0.10                    |                |                  | 0.60                  |                    |
| General Laborer (Seasonal)                    | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Total - Full-Time Equivalents                 | 0.00                 | 0.18                 |                  | 0.26                  | 0.26                    |                |                  | 0.76                  | 192.3%             |

Department: **23000 - Public Works Department**  
 Division: **23700 - Maintenance >> Parks**  
 Program: **23705 - Landscaping Districts**

**PERFORMANCE OBJECTIVES**

1. To manage the Consolidated Landscaping Districts with 41 zones maintaining 51 mini-parks with 220 park acres.
2. To maintain Channel ways.
3. To repair irrigation controllers and mainlines in 41 zones.
4. To trim trees as needed to remove hazards.
5. To contract for \$470,000 or less for grounds maintenance and \$195,660 or less for tree maintenance in the City Landscaping Districts.
6. To levy and collect \$2,640,190 in District special assessments.

Maintenance of landscaping within the City's newer subdivisions, including street trees, parkways, medians, and mini-parks. Administer special assessment districts for landscaping.

**COMMENTARY**

In FY12-13, program costs showed a moderate decrease. Both contracted costs and internal charges were down. In FY13-14, program costs showed a minor increase, and costs were much less budget.

In FY14-15, program costs will show a major increase. Uncompleted work from FY13-14 must be completed. Also, program staffing added a Management Analyst.

For FY15-16, the program staffing will add some temporary hours. The program budget provides for the current and added staffing and provides for some minimal adjustments for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13          | FY13-14          | % Cost      | FY14-15          | FY14-15          | % of          | % Cost       | FY15-16          | % Budget      |
|------------------------------------|------------------|------------------|-------------|------------------|------------------|---------------|--------------|------------------|---------------|
|                                    | \$ Actual        | \$ Actual        | Change      | \$ Adopted       | \$ Estimated     | Budget        | Change       | \$ Adopted       | Change        |
| Personnel Expenses                 | 590,948          | 662,950          | 12.2%       | 835,800          | 820,450          | 98.2%         | 23.8%        | 873,000          | 4.5%          |
| Contracted Services                | 1,547,145        | 1,509,732        | -2.4%       | 1,565,370        | 2,392,800        | 152.9%        | 58.5%        | 1,571,100        | 0.4%          |
| Commodities                        | 52,294           | 44,318           | -15.3%      | 121,800          | 98,220           | 80.6%         | 121.6%       | 121,800          | 0.0%          |
| Internal Charges                   | 89,515           | 103,690          | 15.8%       | 120,000          | 118,700          | 98.9%         | 14.5%        | 114,270          | -4.8%         |
| Other Payments                     | 28,412           | 26,531           | -6.6%       | 277,220          | 270,210          | 97.5%         | 918.5%       | 28,000           | -89.9%        |
| <b>Program Total</b>               | <b>2,308,314</b> | <b>2,347,221</b> | <b>1.7%</b> | <b>2,920,190</b> | <b>3,700,380</b> | <b>126.7%</b> | <b>57.6%</b> | <b>2,708,170</b> | <b>-26.8%</b> |
| Amended Budget                     | 2,790,360        | 3,509,430        |             |                  | 4,336,390        |               |              |                  |               |
| % of Amended Spent                 | 82.7%            | 66.9%            |             |                  | 85.3%            |               |              |                  |               |

**FUNDING SOURCES**

|                              |                  |                  |             |                  |                  |               |              |                  |              |
|------------------------------|------------------|------------------|-------------|------------------|------------------|---------------|--------------|------------------|--------------|
| General Fund 101 - Taxes     | 239,756          | 171,556          | -28.4%      | 185,000          | 185,000          | 100.0%        | 7.8%         | 185,000          | 0.0%         |
| Landscape Dist Fund 271      | 1,779,902        | 1,867,437        | 4.9%        | 2,420,190        | 3,200,380        | 132.2%        | 71.4%        | 2,208,170        | -8.8%        |
| TDA & Gas Tax Funds 24x      | 190,000          | 190,000          | 0.0%        | 200,000          | 200,000          | 100.0%        | 5.3%         | 200,000          | 0.0%         |
| Drainage Enterprise Fund 541 | 98,656           | 118,228          | 19.8%       | 115,000          | 115,000          | 100.0%        | -2.7%        | 115,000          | 0.0%         |
| <b>Program Total</b>         | <b>2,308,314</b> | <b>2,347,221</b> | <b>1.7%</b> | <b>2,920,190</b> | <b>3,700,380</b> | <b>126.7%</b> | <b>57.6%</b> | <b>2,708,170</b> | <b>-7.3%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                           |      |      |  |      |      |  |  |      |  |
|---------------------------|------|------|--|------|------|--|--|------|--|
| Pub Wks Superintendent    | 0.49 | 0.49 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Senior Maintenance Worker | 1.00 | 1.05 |  | 1.05 | 1.05 |  |  | 1.05 |  |
| Maintenance Worker        | 5.00 | 5.00 |  | 5.00 | 5.00 |  |  | 5.00 |  |
| Management Analyst II     | 0.00 | 0.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |

*Other Staffing (Full-Time Equivalents)*

|          |      |      |  |      |      |  |  |      |  |
|----------|------|------|--|------|------|--|--|------|--|
| Laborers | 1.50 | 1.50 |  | 1.50 | 1.50 |  |  | 2.10 |  |
|----------|------|------|--|------|------|--|--|------|--|

|                                      |             |             |             |             |             |               |              |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|--------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>7.99</b> | <b>8.04</b> | <b>0.6%</b> | <b>9.05</b> | <b>9.05</b> | <b>100.0%</b> | <b>12.6%</b> | <b>9.65</b> | <b>6.6%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|--------------|-------------|-------------|

Department: **23000 - Public Works Department**  
 Division: **23700 - Maintenance Division**  
 Program: **23708 - Community Facilities**

**PERFORMANCE OBJECTIVES**

To offer a clean, usable, and safe facilities for community use.  
 These facilities include: the Community Center and the Historical Museum, and meeting and assembly areas at other City facilities.

1. To coordinate and schedule use of 6 meeting rooms, 2 banquet halls, 15 athletic sites, park sites, and sports fields for permitted use.
2. To provide quality facilities and services cost effectively by managing expenses and diversifying revenue sources.
3. To increase facility rentals by 5% through improved marketing efforts at the following: Tracy Community Center, TSC Meeting Room, Tracy Civic Center, and the Transit Station.
4. To continue the implementation of the facility reservation and recreation registration software to better manage operations.
5. To develop Legacy Fields
6. To generate over \$232,900 in program revenues.

**COMMENTARY**

In FY12-13, program staffing added 2.50 FTEs. So, program costs showed a major increase; both personnel and contracted costs were up.  
 In FY13-14 and FY14-15, program costs are showing a increase. However, program revenues are down in the latter.  
 For FY15-16, program will staffing convert a part-time Rec Corordinator position to full-time and transfer out 0.75 FTEs in clerical help to the Recreation Division. The program budget provides for this reduced staffing, some increases for electric costs, and some mimimal adjustments for other costs items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|-------------|-----------------------|-------------------------|----------------|-------------|-----------------------|--------------------|
| Personnel Expenses                 | 301,903              | 313,381              | 3.8%        | 407,940               | 350,270                 | 85.9%          | 11.8%       | 388,330               | -4.8%              |
| Contracted Services                | 62,380               | 73,604               | 18.0%       | 64,580                | 62,580                  | 96.9%          | -15.0%      | 74,070                | 14.7%              |
| Commodities                        | 2,095                | 1,713                | -18.2%      | 8,980                 | 8,950                   | 99.7%          | 422.5%      | 5,080                 | -43.4%             |
| Internal Charges                   | 88,444               | 105,558              | 19.4%       | 114,930               | 113,900                 | 99.1%          | 7.9%        | 116,530               | 1.4%               |
| Other Payments                     | 0                    | 0                    |             | 0                     | 0                       |                |             | 0                     |                    |
| <b>Program Total</b>               | <b>454,822</b>       | <b>494,256</b>       | <b>8.7%</b> | <b>596,430</b>        | <b>535,700</b>          | <b>89.8%</b>   | <b>8.4%</b> | <b>584,010</b>        | <b>-2.1%</b>       |
| Amended Budget                     | 486,380              | 537,460              |             |                       | 596,430                 |                |             |                       |                    |
| % of Amended Spent                 | 93.5%                | 92.0%                |             |                       | 89.8%                   |                |             |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|-------------|-----------------------|-------------------------|----------------|-------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 216,075              | 244,223              | 13.0%       | 374,380               | 320,600                 | 85.6%          | 31.3%       | 351,110               | -6.2%              |
| Facility Fees                 | 99,069               | 100,329              | 1.3%        | 92,900                | 94,300                  | 101.5%         | -6.0%       | 97,900                | 5.4%               |
| Ballfield Fees                | 139,678              | 149,704              | 7.2%        | 129,150               | 120,800                 | 93.5%          | -19.3%      | 135,000               | 4.5%               |
| <b>Program Total</b>          | <b>454,822</b>       | <b>494,256</b>       | <b>8.7%</b> | <b>596,430</b>        | <b>535,700</b>          | <b>89.8%</b>   | <b>8.4%</b> | <b>584,010</b>        | <b>-2.1%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                       | FY12-13<br>FTEs | FY13-14<br>FTEs | %<br>Change  | FY14-15<br>FTEs | FY14-15<br>FTEs | % of<br>Budget | %<br>Change  | FY15-16<br>FTEs | % Budget<br>Change |
|--|-----------------|-----------------|--------------|-----------------|-----------------|----------------|--------------|-----------------|--------------------|
| <b><i>Regular Positions</i></b>                      |                 |                 |              |                 |                 |                |              |                 |                    |
| Management Analyst                                   | 1.00            | 1.00            |              | 1.00            | 1.00            |                |              | 1.00            |                    |
| Admin Asst II-Sr Admin Clerk                         | 1.00            | 1.00            |              | 1.00            | 1.00            |                |              | 1.00            |                    |
| Recreation Program Coordinator                       | 0.00            | 0.00            |              | 0.00            | 0.00            |                |              | 1.00            |                    |
| <b><i>Other Staffing (Full-Time Equivalents)</i></b> |                 |                 |              |                 |                 |                |              |                 |                    |
| Recreation Program Coordinator                       | 0.00            | 0.00            |              | 0.75            | 0.75            |                |              | 0.00            |                    |
| Facility Attendant II                                | 0.00            | 1.90            |              | 2.15            | 2.15            |                |              | 2.15            |                    |
| Recreation Leader III                                | 0.75            | 0.65            |              | 0.00            | 0.00            |                |              | 0.00            |                    |
| Recreation Leader II                                 | 1.20            | 0.00            |              | 0.00            | 0.00            |                |              | 0.00            |                    |
| Clerical   | 0.75            | 0.95            |              | 1.50            | 1.50            |                |              | 0.75            |                    |
| <b>Total - Full-Time Equivalents</b>                 | <b>4.70</b>     | <b>5.50</b>     | <b>17.0%</b> | <b>6.40</b>     | <b>6.40</b>     | <b>100.0%</b>  | <b>16.4%</b> | <b>5.90</b>     | <b>-7.8%</b>       |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Recycling programs and waste reduction events continued to be offered through the Solid Waste and Recycling Division.
2. The City diverted 83,101 pounds of electronic waste through the quarterly drop off events during FY 13-14.
3. Two City-wide garage sales for residents were held to encourage reuse and recycling of old items. There were 43 participants in the fall of 2013 and 57 participants in the spring of 2014 garage sale.
4. Medical sharp drop off locations increased from one location to three throughout Tracy for Tracy residents.
5. Paint Care Program is offered by a few retail vendors in Tracy for paint drop off.
7. A Household Hazardous Waste Drop off event that was held on September 6, 2014 in Tracy had 618 cars come through and 54,669 lbs. of hazardous waste And 18,892 lbs. of e-waste collected. The next event is scheduled in Tracy for September 12, 2015.
8. In the process of negotiating a new service agreement between the City of Tracy and Tracy Material Recovery and Solid Waste Transfer Inc.

### Future Projections: FY 15-16

### Current Projections: FY 14-15

1. The Solid Waste and Recycling Division continue to promote recycling and waste reduction programs, such as battery recycling, used oil disposal, and tire disposal.
2. The City will continue to host quarterly electronic waste events for residents and businesses. The event that took place on July 12, 2014 collected 13,586 pounds, October 11, 2014 collected 13,840 pounds, and January 10, 2015 collected 21,667 pounds of electronic waste.
3. The City helped coordinate the final National Drug Drop Off Event for Tracy in October 2014.
4. The City held two City-wide garage sales for residents of Tracy to promote the reuse of items as an alternative to landfilling items that still have life left. The fall of 2014 garage sale had 40 participants and the one in the spring is scheduled for April 18, 2015.
5. The City approved a new three way agreement between the City, County and Tracy Material Recovery and Solid Waste Transfer, Inc. for the use of the material recovery facility.
6. The City is currently piloting six Big Belly Solar Compactors and Recycling units in various locations in Tracy. These are trash and recycling units that have software that will notify when they are ready to be serviced, thus reducing operating costs and staff time.
1. Continue to hold quarterly electronic waste events for residents and businesses of Tracy.
2. Evaluate the pilot program for the Big Belly units to determine if additional Big Belly units should be added around Tracy.
3. Host two City-wide garage sales for residents of Tracy.
4. Expand Earth Day celebration through outreach and events.
5. Analyze if there is a need to adopt a construction and demolition ordinance.
6. Create an Environmentally Preferable Purchasing Policy.

## CORE MEASURES and Supporting Data for SOLID WASTE Programs

| from<br>ICMA-CPM Data Templates         | FY10-11<br>Actual | FY11-12<br>Actual | FY12-13<br>Actual | FY13-14<br>Actual | %<br>Change | FY14-15<br>Projected | FY14-15<br>Estimate | %<br>Change | FY15-16<br>Projected | %<br>Change |
|---|-------------------|-------------------|-------------------|-------------------|-------------|----------------------|---------------------|-------------|----------------------|-------------|
| Resident Population                     | 83,242            | 83,562            | 84,466            | 84,937            | 0.56%       | 86,400               | 85,296              | 0.42%       | 85,600               | 0.36%       |
| Area Served (Square Miles)              | 23.0              | 23.0              | 26.3              | 26.3              | 0.00%       | 23.0                 | 29.1                | 10.65%      | 29.1                 | 0.00%       |
| <b>Solid Waste Collection</b>           |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Residential Customers                   | 21,503            | 21,521            | 20,647            | 21,803            | 5.60%       | 21,500               | 21,214              | -2.70%      | 21,626               | 1.94%       |
| Tons of Refuse Collected                | 22,454            | 22,202            | 22,300            | 22,840            | 2.42%       | 22,300               | 22,400              | -1.93%      | 22,500               | 0.45%       |
| <b>Average Ton/Customer</b>             | 1.04              | 1.03              | 1.08              | 1.05              | -3.01%      | 1.04                 | 1.06                | 0.80%       | 1.04                 | -1.47%      |
| Other Customers                         | 786               | 786               | 758               | 732               | -3.43%      | 850                  | 880                 | 20.22%      | 883                  | 0.34%       |
| Tons of Refuse Collected                | 28,103            | 29,314            | 29,200            | 31,989            | 9.55%       | 29,200               | 33,400              | 4.41%       | 33,400               | 0.00%       |
| <b>Average Ton/Customer</b>             | 35.75             | 37.30             | 38.52             | 43.70             | 13.44%      | 34.35                | 37.95               | -13.15%     | 37.83                | -0.34%      |
| Collection Costs (\$1,000)              | \$4,063           | \$4,253           | \$4,368           | \$4,674           | 7.01%       | \$4,670              | \$5,123             | 9.61%       | \$5,187              | 1.24%       |
| <b>per Customer</b>                     | \$182.29          | \$190.66          | \$204.06          | \$207.41          | 1.64%       | \$208.95             | \$231.87            | 11.79%      | \$230.42             | -0.63%      |
| <b>per Capita</b>                       | \$48.81           | \$50.90           | \$51.71           | \$55.03           | 6.41%       | \$54.05              | \$60.06             | 9.15%       | \$60.59              | 0.88%       |
| <b>per Ton Collected</b>                | \$80.36           | \$82.56           | \$84.82           | \$85.25           | 0.51%       | \$90.68              | \$91.81             | 7.70%       | \$92.78              | 1.06%       |
| <b>Recycling Activities</b>             |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Residential Customers                   | 20,893            | 21,521            | 20,647            | 21,803            | 5.60%       | 21,500               | 21,214              | -2.70%      | 21,628               | 1.95%       |
| Tons of Material Collected              | 17,156            | 16,535            | 18,000            | 17,076            | -5.13%      | 18,000               | 16,900              | -1.03%      | 17,100               | 1.18%       |
| <b>Average Ton/Customer</b>             | 0.82              | 0.77              | 0.87              | 0.78              | -10.16%     | 0.84                 | 0.80                | 1.72%       | 0.79                 | -0.75%      |
| Recycling Costs (\$1,000)               | \$2,016           | \$2,569           | \$2,709           | \$2,387           | -11.89%     | \$2,402              | \$2,635             | 10.37%      | \$2,646              | 0.43%       |
| <b>per Customer</b>                     | \$96.49           | \$119.37          | \$131.21          | \$109.48          | -16.56%     | \$111.72             | \$124.19            | 13.44%      | \$122.34             | -1.49%      |
| <b>per Ton Collected</b>                | \$117.51          | \$155.37          | \$150.50          | \$139.79          | -7.12%      | \$133.44             | \$155.89            | 11.52%      | \$154.74             | -0.74%      |
| <b>Material Recovery Facility (MRF)</b> |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Tons of Refuse from City                | 67,713            | 68,051            | 69,500            | 71,905            | 3.46%       | 69,500               | 72,700              | 1.11%       | 73,000               | 0.41%       |
| Tons of Refuse from Others              | 42,985            | 39,771            | 43,500            | 40,762            | -6.29%      | 43,500               | 39,700              | -2.61%      | 36,000               | -9.32%      |
| Tons of Refuse Diverted                 | 28,001            | 20,822            | 27,700            | 31,307            | 13.02%      | 27,700               | 27,900              | -10.88%     | 27,000               | -3.23%      |
| MRF Costs (\$1,000)                     | \$6,993           | \$7,370           | \$7,553           | \$7,164           | -5.15%      | \$7,691              | \$7,951             | 10.98%      | \$7,858              | -1.16%      |
| <b>per Ton Processed</b>                | \$63.17           | \$68.35           | \$66.84           | \$63.59           | -4.87%      | \$68.06              | \$70.74             | 11.25%      | \$72.10              | 1.92%       |
| <b>Waste Disposal from MRF</b>          |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Tons of Refuse to Landfill              | 82,697            | 81,404            | 85,300            | 81,360            | -4.62%      | 85,300               | 84,500              | 3.86%       | 82,000               | -2.96%      |
| Diposal Costs (\$1,000)                 | \$2,643           | \$2,647           | \$2,709           | \$2,870           | 5.94%       | \$2,741              | \$3,202             | 11.58%      | \$3,215              | 0.39%       |
| <b>per Ton Disposed of</b>              | \$31.96           | \$32.52           | \$31.76           | \$35.28           | 11.07%      | \$32.13              | \$37.90             | 7.44%       | \$39.21              | 3.45%       |
| <b>Citizen Survey Ratings</b>           |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| <b>Residential Collection</b>           |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Good or Better                          |                   |                   |                   |                   |             | 90.4%                |                     |             | 90.4%                |             |
| Fair                                    |                   |                   |                   |                   |             | 8.2%                 |                     |             | 8.2%                 |             |
| <b>Recycling</b>                        |                   |                   |                   |                   |             |                      |                     |             |                      |             |
| Good or Better                          |                   |                   |                   |                   |             | 87.8%                |                     |             | 87.8%                |             |
| Fair                                    |                   |                   |                   |                   |             | 9.6%                 |                     |             | 9.6%                 |             |

Department: 23000 - Public Works Department  
 Division: 23800 - Solid Waste Programs  
 Program: 23801 - Solid Waste Collection & Disposal

Contracted services for the collection and disposal of solid waste from within the City. Coordinate of the City's solid waste collection and disposal. Pay the City's franchise fee for solid waste.

**COMMENTARY**

In May 1995, a new material recovery facility came on-line. Since then, program costs have increased modestly reflecting community growth. In both FY12-13 and FY13-14, program costs showed modest increases. Contracted costs are driving program costs. In FY14-15, program costs will again show a modest increase. For FY15-16, the program budget provides for a modest increase in solid waste contracts. The budget includes \$1,200,000 to pay the City franchise fee.

**PERFORMANCE OBJECTIVES**

1. To manage the City's franchised solid waste collection and disposal services providing weekly collection of household solid waste.
2. To provide solid waste collection service to over 21,628 residences, 666 multi-family complexes, and 883 businesses within the City.
3. To collect and dispose of 73,000 tons of solid waste, while recycling 17,100 tons through curbside and yard waste programs and 55,900 tons through the transfer station.
4. To administer solid waste contracts as follows:  
 \$4,950,000 for waste collection by franchise hauler,  
 \$7,500,000 for solid waste processing at Tracy MRF, and  
 \$3,068,000 for waste disposal at County landfills.
5. To provide \$1,230,000 for City SW franchise fees.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 6,852                | 7,840                | 14.4%            | 9,600                 | 7,970                   | 83.0%          | 1.7%             | 9,900                 | 3.1%               |
| Contracted Services         | 14,384,543           | 14,452,907           | 0.5%             | 15,484,180            | 14,876,370              | 96.1%          | 2.9%             | 16,031,840            | 3.5%               |
| Commodities                 | 1,739                | 211                  | -87.9%           | 4,020                 | 3,500                   | 87.1%          | 1558.8%          | 4,020                 | 0.0%               |
| Internal Charges            | 5,426                | 16,923               | 211.9%           | 7,280                 | 7,200                   | 98.9%          | -57.5%           | 7,980                 | 9.6%               |
| Other Payments              | 1,294,049            | 1,335,531            | 3.2%             | 1,374,000             | 1,375,360               | 100.1%         | 3.0%             | 1,380,000             | 0.4%               |
| Program Total               | 15,692,609           | 15,813,412           | 0.8%             | 16,879,080            | 16,270,400              | 96.4%          | 2.9%             | 17,433,740            | 3.3%               |
| Amended Budget              | 15,556,330           | 16,173,445           |                  |                       | 16,914,127              |                |                  |                       |                    |
| % of Amended Spent          | 100.9%               | 97.8%                |                  |                       | 96.2%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Solid Waste Fund 53x   | 15,692,609           | 15,813,412           | 0.8%             | 16,879,080            | 16,270,400              | 96.4%          | 2.9%             | 17,433,740            | 3.3%               |
| Program Total          | 15,692,609           | 15,813,412           | 0.8%             | 16,879,080            | 16,270,400              | 96.4%          | 2.9%             | 17,433,740            | 3.3%               |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Solid Waste Coordinator                       | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                |                  | 0.05                  |                    |
| Deputy Director                               | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 0.05                 | 0.05                 |                  | 0.05                  | 0.05                    |                | 0.0%             | 0.05                  | 0.0%               |

Department: **23000 - Public Works Department**  
 Division: **23800 - Solid Waste Programs**  
 Program: **23802 - Solid Waste Recycling**

**PERFORMANCE OBJECTIVES**

1. To manage the City's franchised solid waste collection and disposal services providing bi-weekly collection of recyclables and yard waste.
2. To evaluate the Big Beelly solar compactor pilot program and decide whether it is feasible to add containers through the City.
3. To increase Awareness of AB341, which mandates commercial and multi-family recycling, through education and outreach.
4. To promote waste reduction, reuse, recycling and environmental awareness through social medias and community events.
5. To provide special events and special locations for waste disposals of electronic, hazardous, and medical wastes by the public.
5. To administer recycling contract for \$2,525,000.

Contracted services for the collection and disposal of recycleable materials from within the City. Coordinate of the City's recycling and waste reduction efforts.

**COMMENTARY**

Program costs increase reflecting community growth with modest annual increases over the years.  
 In both FY12-13 and FY13-14, program costs showed modest increases. Contract costs are the big cost item for this program.  
 In FY14-15, program costs will show a moderate increase, reflecting an increase in contract costs.  
 For FY15-16, no staffing changes are anticipated. The program budget provides for the current staffing but with a modest increase for the solid waste recycling contracts.

| PROGRAM EXPENDITURES | FY12-13          | FY13-14          | % Cost Change | FY14-15          | FY14-15          | % of Budget  | % Cost Change | FY15-16          | % Budget Change |
|----------------------|------------------|------------------|---------------|------------------|------------------|--------------|---------------|------------------|-----------------|
|                      | \$ Actual        | \$ Actual        |               | \$ Adopted       | \$ Estimated     |              |               | \$ Adopted       |                 |
| Personnel Expenses   | 111,979          | 108,822          | -2.8%         | 116,900          | 114,020          | 97.5%        | 4.8%          | 118,600          | 1.5%            |
| Contracted Services  | 2,321,200        | 2,357,392        | 1.6%          | 2,504,060        | 2,502,140        | 99.9%        | 6.1%          | 2,554,060        | 2.0%            |
| Commodities          | 1,021            | (687)            | -167.3%       | 16,740           | 11,240           | 67.1%        | -1736.1%      | 16,740           | 0.0%            |
| Internal Charges     | 11,570           | 13,440           | 16.2%         | 17,520           | 17,400           | 99.3%        | 29.5%         | 17,520           | 0.0%            |
| Other Payments       | 0                | 3,000            |               | 5,000            | 5,000            | 100.0%       |               | 5,000            |                 |
| <b>Program Total</b> | <b>2,445,770</b> | <b>2,481,967</b> | <b>1.5%</b>   | <b>2,660,220</b> | <b>2,649,800</b> | <b>99.6%</b> | <b>6.8%</b>   | <b>2,711,920</b> | <b>1.9%</b>     |
| Amended Budget       | 2,385,050        | 2,385,050        |               |                  | 2,660,220        |              |               |                  |                 |
| % of Amended Spent   | 102.5%           | 104.1%           |               |                  | 99.6%            |              |               |                  |                 |

**FUNDING SOURCES**

|                      |                  |                  |             |                  |                  |              |             |                  |             |
|----------------------|------------------|------------------|-------------|------------------|------------------|--------------|-------------|------------------|-------------|
| Solid Waste Fund 53x | 2,445,770        | 2,481,967        | 1.5%        | 2,660,220        | 2,649,800        | 99.6%        | 6.8%        | 2,711,920        | 1.9%        |
| <b>Program Total</b> | <b>2,445,770</b> | <b>2,481,967</b> | <b>1.5%</b> | <b>2,660,220</b> | <b>2,649,800</b> | <b>99.6%</b> | <b>6.8%</b> | <b>2,711,920</b> | <b>1.9%</b> |

**PROGRAM STAFFING**

|   |             |             |             |             |             |               |             |             |             |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |             |
| Solid Waste Coordinator                       | 0.95        | 0.95        |             | 0.95        | 0.95        |               |             | 0.95        |             |
| Community Services Supervisor                 | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| Deputy Director                               | 0.00        | 0.00        |             | 0.00        | 0.00        |               |             | 0.00        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |             |
| <b>Total - Full-Time Equivalents</b>          | <b>0.95</b> | <b>0.95</b> | <b>0.0%</b> | <b>0.95</b> | <b>0.95</b> | <b>100.0%</b> | <b>0.0%</b> | <b>0.95</b> | <b>0.0%</b> |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY13-14

1. Installation of security cameras at the Tracy Transit Station.
2. Complete installation of electric vehicle charging station at Tracy Transit Station.
3. Complete fiber optic installation from City Hall to Transit Station.
4. Finalize design and engineering for reconstruction of Runway 12/30 and associated taxiways at the Tracy Airport.

### Current Projections: FY 14-15

1. Purchase of City's first all-electric vehicle for use by Transit Division.
2. Complete installation of electric vehicle charging station at Tracy Transit Station.
3. Complete updated Airport Layout Plan for Tracy Airport.
4. Award construction contract for reconstruction of the runways and taxiways at the Tracy Airport.
5. Expansion of fixed route service.

### Future Projections: FY 15-16

1. Complete reconstruction of runways and taxiways at the Tracy Airport.
2. Purchase three replacement fixed route buses.
3. Expand fixed route service.

Department: 23000 - Public Works Department  
 Division: 23900 - Community Services Division  
 Program: 23901 - Transit Operations

**PERFORMANCE OBJECTIVES**

Provide Tracy area residents with public transit, involving fixed route, modified dial-a-ride, and subsidized taxi services. Provide support for the commute based trip reduction efforts. Operate Tracy Center.

1. To operate a fixed route and paratransit systems providing service 6 days per week for 12 hours per day, Monday through Friday, and 10 hours on Saturday.
2. To provide fixed route service for over 120,000 riders traveling over 220,000 service and paratransit service for over 15,000 riders traveling over 60,000 service miles.
3. To contract for \$1,385,307 in transit operating and bus maintenance services.
4. To operate the new Tracy Transit Center.
5. To generate at least \$35,000 in revenue from rentals at the Tracy Transit Center.

**COMMENTARY**

In FY12-13, program costs showed a modest increase. Contracted costs were less than budgeted. But, in FY13-14, program costs show a major increase, with commodities and internal service costs showing major increases.

In FY14-15, program costs are projected to show a major increase due to contracted services.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, increases in transit contract funding, but provides only minor adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change  | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change  | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|--------------|-----------------------|-------------------------|----------------|--------------|-----------------------|--------------------|
| Personnel Expenses          | 284,023              | 297,660              | 4.8%         | 309,600               | 305,840                 | 98.8%          | 2.7%         | 292,400               | -5.6%              |
| Contracted Services         | 1,001,249            | 1,041,010            | 4.0%         | 1,480,760             | 1,480,000               | 99.9%          | 42.2%        | 1,527,880             | 3.2%               |
| Commodities                 | 99,291               | 124,437              | 25.3%        | 123,450               | 117,510                 | 95.2%          | -5.6%        | 125,450               | 1.6%               |
| Internal Charges            | 205,050              | 312,640              | 52.5%        | 316,860               | 316,800                 | 100.0%         | 1.3%         | 316,860               | 0.0%               |
| Other Payments              | 7,793                | 0                    |              | 0                     | 0                       |                |              | 0                     |                    |
| <b>Program Total</b>        | <b>1,597,406</b>     | <b>1,775,747</b>     | <b>11.2%</b> | <b>2,230,670</b>      | <b>2,220,150</b>        | <b>99.5%</b>   | <b>25.0%</b> | <b>2,262,590</b>      | <b>1.4%</b>        |
| Amended Budget              | 1,724,830            | 1,878,650            |              |                       | 2,230,670               |                |              |                       |                    |
| % of Amended Spent          | 92.6%                | 94.5%                |              |                       | 99.5%                   |                |              |                       |                    |

**FUNDING SOURCES**

|                          |                  |                  |              |                  |                  |              |              |                  |             |
|--------------------------|------------------|------------------|--------------|------------------|------------------|--------------|--------------|------------------|-------------|
| Transit Fund 571 - Taxes | 781,395          | 800,771          | 2.5%         | 1,225,420        | 1,353,650        | 110.5%       | 69.0%        | 1,255,590        | 2.5%        |
| Transit Operating Grants | 699,241          | 831,129          | 18.9%        | 878,000          | 744,000          | 84.7%        | -10.5%       | 878,000          | 0.0%        |
| Transit Fares            | 81,420           | 106,063          | 30.3%        | 87,250           | 85,000           | 97.4%        | -19.9%       | 89,000           | 2.0%        |
| Transit Center Rentals   | 35,350           | 37,784           | 6.9%         | 40,000           | 37,500           | 93.8%        | -0.8%        | 40,000           | 0.0%        |
| <b>Program Total</b>     | <b>1,597,406</b> | <b>1,775,747</b> | <b>11.2%</b> | <b>2,230,670</b> | <b>2,220,150</b> | <b>99.5%</b> | <b>25.0%</b> | <b>2,262,590</b> | <b>1.4%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Sr Maintenance Worker          | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Recreation Program Coordinator | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Management Analyst II          | 0.90 | 0.90 |  | 0.90 | 0.90 |  |  | 0.90 |  |

*Other Staffing (Full-Time Equivalents)*

|                                  |      |      |  |      |      |  |  |      |  |
|----------------------------------|------|------|--|------|------|--|--|------|--|
| Intern                           | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Facility Attendant II            | 0.25 | 0.25 |  | 0.25 | 0.25 |  |  | 0.25 |  |
| Transportation Commissioners (7) | 0.13 | 0.13 |  | 0.13 | 0.13 |  |  | 0.13 |  |

|                                      |             |             |             |             |             |               |             |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>2.78</b> | <b>2.78</b> | <b>0.0%</b> | <b>2.78</b> | <b>2.78</b> | <b>100.0%</b> | <b>0.0%</b> | <b>2.78</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|

Department: **23000 - Public Works Department**  
 Division: **23900 - Community Services Division**  
 Program: **23902 - Airport Operations**

**PERFORMANCE OBJECTIVES**

Operate and maintain the Tracy Municipal Airport and the airfield at New Jerusalem. Administer contract with the Fixed Base Operator (FBO) and leases with various airport tenants.

1. To provide airport space for 100 tiedowns, 51 city hangars, and 24 private hangars.
2. To contract for Fixed Base Operations to provide service 7 days a week and for 10 hours per day.
3. To generate at least \$300,000 in direct use airport fees.
4. To contract out aviation fuel sales and generate at least \$40,000 in net revenues.

**COMMENTARY**

In FY12-13, program staffing reduced overhead hours. So, program costs showed a major decrease, particularly for personnel costs. In FY13-14, program showed a modest increase.

In FY14-15, program costs are projected to show a major increase because City had to terminate the FBO contractor and assume day-to-day operations, including \$500,000 the purchase of aviation fuel for resale.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments for other cost items. The budgeted for fuel purchases has been reduced to \$150,000.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|-------------|-----------------------|-------------------------|----------------|-------------|-----------------------|--------------------|
| Personnel Expenses                            | 174,054              | 176,573              | 1.4%        | 175,000               | 106,310                 | 60.7%          | -39.8%      | 172,100               | -1.7%              |
| Contracted Services                           | 51,686               | 50,801               | -1.7%       | 56,660                | 53,400                  | 94.2%          | 5.1%        | 56,660                | 0.0%               |
| Commodities                                   | 17,339               | 13,436               | -22.5%      | 528,330               | 165,000                 | 31.2%          |             | 178,330               | -66.2%             |
| Internal Charges                              | 38,518               | 50,561               | 31.3%       | 51,000                | 51,000                  | 100.0%         | 0.9%        | 51,000                | 0.0%               |
| Other Payments                                | 2,994                | 2,994                |             | 0                     | 6,360                   |                | 112.4%      | 0                     |                    |
| Program Total                                 | 284,591              | 294,365              | 3.4%        | 810,990               | 382,070                 | 47.1%          | 29.8%       | 458,090               | -43.5%             |
| Amended Budget                                | 291,950              | 298,880              |             |                       | 810,990                 |                |             |                       |                    |
| % of Amended Spent                            | 97.5%                | 98.5%                |             |                       | 47.1%                   |                |             |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |             |                       |                         |                |             |                       |                    |
| General Fund 101 - Taxes                      | 0                    | 0                    |             | 0                     | 0                       |                |             | 0                     |                    |
| Airport Fund 561                              | (95,373)             | (120,568)            | 26.4%       | (65,850)              | 12,020                  | -18.3%         | -110.0%     | (418,750)             | 535.9%             |
| Direct Use Fees                               | 267,335              | 335,725              | 25.6%       | 791,840               | 291,050                 | 36.8%          | -13.3%      | 791,840               | 0.0%               |
| State Grants                                  | 60,000               | 20,000               | -66.7%      | 20,000                | 20,000                  | 100.0%         | 0.0%        | 20,000                | 0.0%               |
| Agricultural Leases                           | 52,629               | 59,208               | 12.5%       | 65,000                | 59,000                  | 90.8%          | -0.4%       | 65,000                | 0.0%               |
| Program Total                                 | 284,591              | 294,365              | 3.4%        | 810,990               | 382,070                 | 47.1%          | 29.8%       | 458,090               | -43.5%             |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |             |                       |                         |                |             |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |             |                       |                         |                |             |                       |                    |
| Sr Maintenance Worker                         | 0.50                 | 0.50                 |             | 0.50                  | 0.50                    |                |             | 0.50                  |                    |
| Airport Coordinator                           | 1.00                 | 1.00                 |             | 1.00                  | 1.00                    |                |             | 1.00                  |                    |
| Management Analyst II                         | 0.10                 | 0.10                 |             | 0.10                  | 0.10                    |                |             | 0.10                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |             |                       |                         |                |             |                       |                    |
| Transportation Commissioners (7)              | 0.12                 | 0.12                 |             | 0.12                  | 0.12                    |                |             | 0.12                  |                    |
| Total - Full-Time Equivalents                 | 1.72                 | 1.72                 | 0.0%        | 1.72                  | 1.72                    | 100.0%         | 0.0%        | 1.72                  | 0.0%               |

# UTILITIES DEPARTMENT

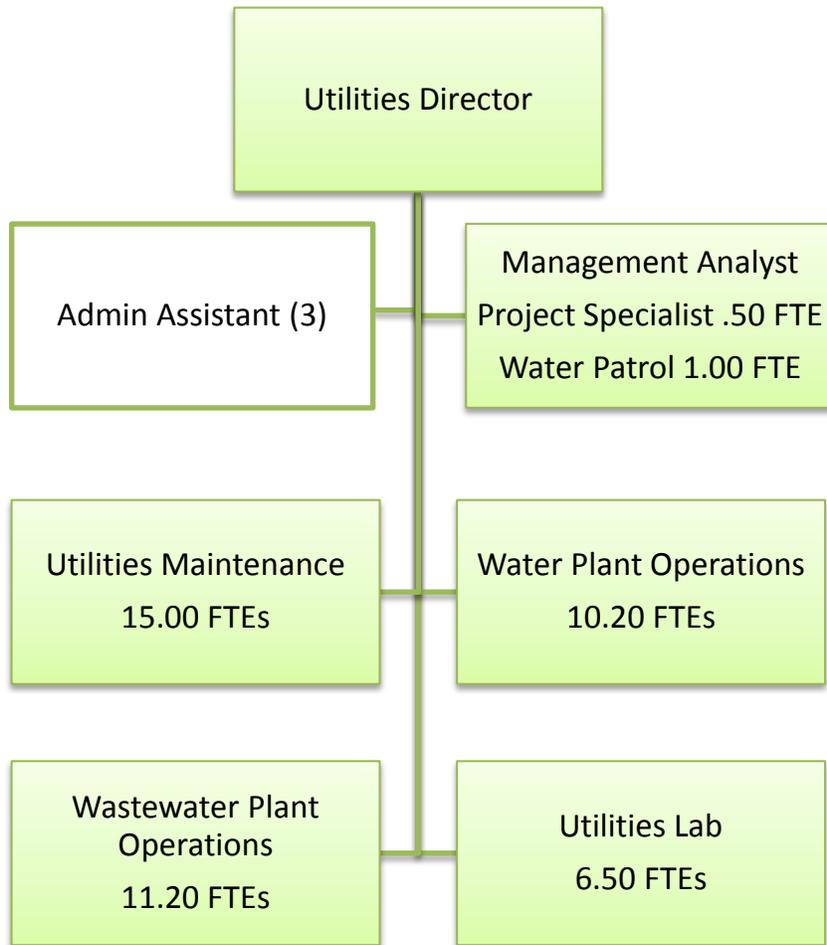
## Mission Statement

Maintain and operate Tracy's public facilities and infrastructure in an efficient and cost effective manner while preserving the health, safety, and aesthetics of our community

## Department Head

Kul Sharma, Utilities Director

City of Tracy  
UTILITIES DEPARTMENT  
Fiscal Year 15-16



Department: **25000 - Utilities Department**

The Utilities Department operates and maintains the City's water wells, water treatment plant, sewer lift stations, and wastewater plant.

In FY14-15, the Utilities Division was re-established as a City Department.

COMMENTARY

As approved for FY15-16, the departmental budget will increase about 2.4% over the current year adopted budget, and this represents a 6.5% increase over the FY13-14 amended budget.

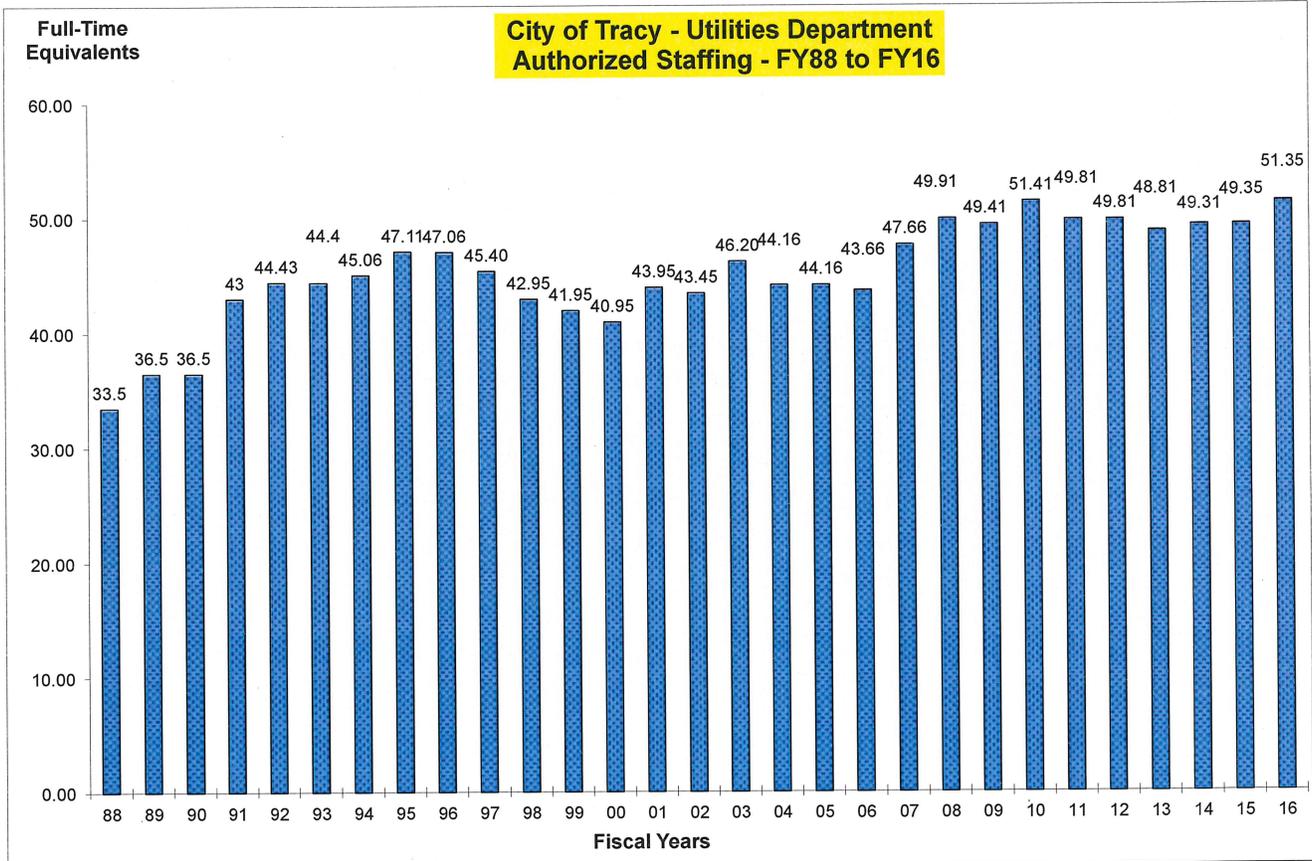
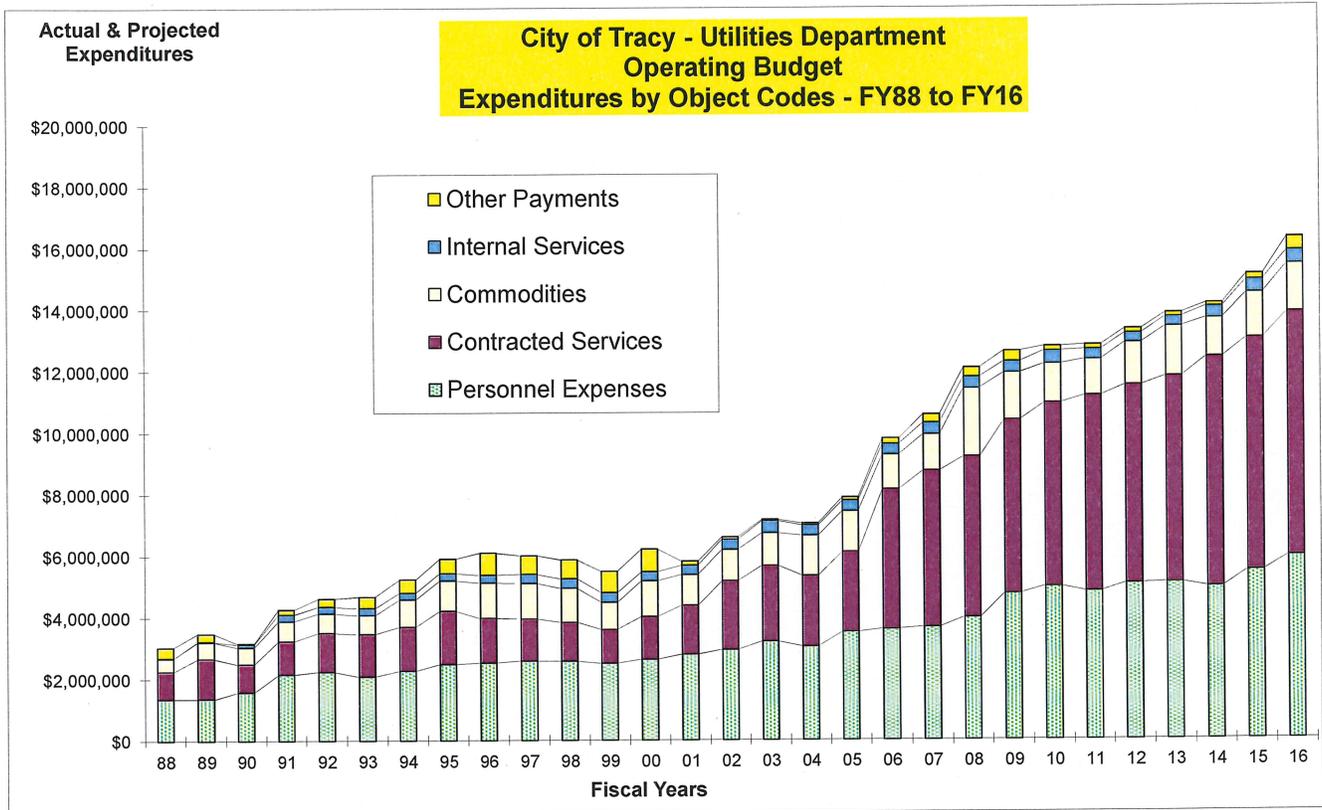
The base component of the budget represents a 0.2% increase over the current year adopted budget, while budget augmentations will show a 2.2% increase over the base budget.

In FY14-15, departmental staffing had minimal change. In FY15-16, departmental staffing will lose one full-time regular position but will add a 0.50 FTEs in other staffing.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>Director's Office</b>                |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 2510x - Utilities Management            | 416,912              | 299,465              | -28.2%           | 637,330               | 606,770                 | 95.2%            | 102.6%           | 560,570                | -12.0%             |
| 25105 - Water Management                | 191,447              | 197,006              | 2.9%             | 265,920               | 265,480                 | 99.8%            | 34.8%            | 363,220                | 36.6%              |
| 25201 - WW Lift Stations                | 132,997              | 120,556              | -9.4%            | 149,570               | 111,210                 | 74.4%            | -7.8%            | 224,850                | 50.3%              |
| 25202 - Water Wells                     | 553,011              | 560,246              | 1.3%             | 688,030               | 673,530                 | 97.9%            | 20.2%            | 707,660                | 2.9%               |
| 25203 - WWT Plant Maintenance           | 1,231,479            | 1,173,016            | -4.7%            | 1,443,120             | 1,235,240               | 85.6%            | 5.3%             | 1,507,520              | 4.5%               |
| 25204 - Water Plant Maintenance         | 760,854              | 676,468              | -11.1%           | 828,130               | 710,120                 | 85.7%            | 5.0%             | 847,340                | 2.3%               |
| 25205 - Electrical Maintenance          | 284,541              | 302,258              | 6.2%             | 338,280               | 264,250                 | 78.1%            | -12.6%           | 471,960                | 39.5%              |
| 25206 - Traffic & Lighting Electric     | 634,288              | 682,555              | 7.6%             | 720,450               | 717,000                 | 99.5%            | 5.0%             | 452,550                | -37.2%             |
| 25301 - WWT Plant Operations            | 3,014,840            | 3,139,230            | 4.1%             | 3,407,670             | 3,391,940               | 99.5%            | 8.1%             | 3,526,150              | 3.5%               |
| 2540x - Utilities Laboratory            | 1,051,945            | 937,238              | -10.9%           | 1,175,100             | 929,030                 | 79.1%            | -0.9%            | 1,169,890              | -0.4%              |
| 25501 - Water Plant Operations          | 6,198,981            | 6,151,252            | -0.8%            | 6,243,400             | 6,175,830               | 98.9%            | 0.4%             | 6,442,460              | 3.2%               |
| Department Total                        | 14,471,295           | 14,239,290           | -1.6%            | 15,897,000            | 15,080,400              | 94.9%            | 5.9%             | 16,274,170             | 2.4%               |
| Amended Budget                          | 14,960,563           | 15,287,820           | 2.2%             |                       | 15,946,770              |                  | 4.3%             |                        |                    |
| % of Amended Spent                      | 96.73%               | 93.14%               |                  |                       | 94.57%                  |                  |                  | over 2 years           | 6.5%               |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 15,922,430             | 0.2%               |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 351,740                | 2.2%               |

Department: 25000 - Utilities Department (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 5,098,178            | 4,954,212            | -2.8%            | 5,978,360             | 5,471,430               | 91.5%          | 10.4%            | 5,938,500              | -0.7%              |
| Contracted Services                                     | 7,301,639            | 7,451,140            | 2.0%             | 7,727,190             | 7,538,270               | 97.6%          | 1.2%             | 7,909,140              | 2.4%               |
| Commodities   | 1,623,705            | 1,350,291            | -16.8%           | 1,555,990             | 1,469,820               | 94.5%          | 8.9%             | 1,562,140              | 0.4%               |
| Internal Charges  | 317,653              | 375,375              | 18.2%            | 425,990               | 420,500                 | 98.7%          | 12.0%            | 429,870                | 0.9%               |
| Other Payments  | 130,120              | 108,272              | -16.8%           | 209,470               | 180,380                 | 86.1%          | 66.6%            | 434,520                | 107.4%             |
| <b>Department Total</b>                                 | <b>14,471,295</b>    | <b>14,239,290</b>    | <b>-1.6%</b>     | <b>15,897,000</b>     | <b>15,080,400</b>       | <b>94.9%</b>   | <b>5.9%</b>      | <b>16,274,170</b>      | <b>2.4%</b>        |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101 - Taxes                                | 110,528              | 195,093              | 76.5%            | 268,730               | 266,250                 | 99.1%          | 36.5%            | 154,510                | -42.5%             |
| TDA & Gas Tax Funds 24x                                 | 808,300              | 789,720              | -2.3%            | 790,000               | 715,000                 | 90.5%          | -9.5%            | 770,000                | -2.5%              |
| Water Fund 511  | 8,219,885            | 8,077,018            | -1.7%            | 8,630,130             | 8,336,760               | 96.6%          | 3.2%             | 8,869,280              | 2.8%               |
| Wastewater Fund 521                                     | 5,332,582            | 5,175,597            | -2.9%            | 6,143,140             | 5,697,390               | 92.7%          | 10.1%            | 6,345,380              | 3.3%               |
| Drainage Fund 541                                       | 0                    | 1,862                |                  | 65,000                | 65,000                  | 100.0%         | 3390.9%          | 135,000                | 107.7%             |
| <b>Department Total</b>                                 | <b>14,471,295</b>    | <b>14,239,290</b>    | <b>-1.6%</b>     | <b>15,897,000</b>     | <b>15,080,400</b>       | <b>94.9%</b>   | <b>5.9%</b>      | <b>16,274,170</b>      | <b>2.4%</b>        |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>\$ Actual | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     | %<br>Change    |                  | FY15-16<br>Approved    | %<br>Change        |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Utilities Director                                      | 0.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Managers & Supervisors                                  | 5.32                 | 4.32                 |                  | 4.00                  | 4.00                    |                |                  | 4.00                   |                    |
| Secretarial & Clerical                                  | 2.64                 | 2.64                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                   |                    |
| Street & Traffic Maintenance                            | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                   |                    |
| Utilities Plant Maintenance                             | 15.00                | 15.00                |                  | 15.00                 | 15.00                   |                |                  | 14.00                  |                    |
| Utilities Plant Operations                              | 17.00                | 17.00                |                  | 17.00                 | 17.00                   |                |                  | 17.00                  |                    |
| Laboratory  | 5.00                 | 5.00                 |                  | 5.00                  | 5.00                    |                |                  | 5.00                   |                    |
| Water Conservation                                      | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Allocated to Pub Works Dept                             | -0.55                | -0.55                |                  | -0.55                 | -0.55                   |                |                  | -0.55                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Utilities Plant Maintenance                             | 0.90                 | 0.90                 |                  | 0.90                  | 0.90                    |                |                  | 0.90                   |                    |
| Water Conservation                                      | 0.50                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 1.00                   |                    |
| Project Specialist                                      | 0.00                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.50                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>48.81</b>         | <b>49.31</b>         | <b>1.0%</b>      | <b>49.35</b>          | <b>49.35</b>            | <b>100.0%</b>  | <b>0.1%</b>      | <b>48.85</b>           | <b>-1.0%</b>       |



## Budget Narrative - Utilities Department

### Recent Budget Changes

#### *FY 13-14*

- Utilities Division re-established as a separate City department.
- Deputy Director position converted to Utilities Director. Former Deputy Director still employed as a part-time Project Specialist.
- Base budget increase was \$171,100 or 1.3%
- Budget augmentations were \$102,000.

#### *FY 14-15*

- Base budget increase of \$81,760 or 0.6% over the adopted FY12-13 budget. Personnel expenses increased \$200,400 or 3.5%. Reductions in other categories offset these increases.
- Budget augmentation for \$760,850.
- Departmental staffing added an Administrative Assistant position.
- Old Custodians position deleted from department.

### Proposed Budget Changes for FY15-16

- Base budget increase of \$25,430 over the FY14-15 budget
- Budget augmentations of \$619,740, which are offset by a \$268,000 anticipated savings on street lights electric costs
- Equipment replacements of \$252,900
- New equipment of \$30,000.
- Department staffing will add 0.50 FTEs for a Water Conservation Technician.
- Costs are being driven by equipment maintenance and replacement costs at the utilities plants and for street lights.
- Storm water regulations are also driving up costs.

| Major Non-Personnel Expenses        | FY 13-14  | %Change | FY 14-15  | %Change | FY 15-16  |
|-------------------------------------|-----------|---------|-----------|---------|-----------|
| Traffic & Street Lights Electricity | \$695,000 | 0.00%   | \$695,000 | -39.1%  | \$423,000 |
| Utilities Systems Electricity       | 1,846,200 | 9.70%   | 2,026,200 | 19.80%  | 2,427,200 |
| Bulk Water Purchase                 | 3,655,000 | 5.20%   | 3,847,000 | 0.30%   | 3,859,000 |
| Utilities Plant Chemicals           | 495,000   | 8.00%   | 535,200   | 0.00%   | 535,000   |
| Utilities Lab Testing               | 163,260   | 0.00%   | 163,260   | 21.50%  | 198,400   |
|                                     |           |         |           |         |           |
| Equipment Acquisition               | \$8,000   |         | \$35,000  |         | \$25,000  |
| Equipment Replacement               | \$289,000 |         | \$134,000 |         | \$252,900 |

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## 25000 - Utilities Department

| Department Budget<br>By Division           | FY12-13           | FY13-14           | %            | FY14-15           | %            | FY15-16           | %           | FY15-16        | % over      |
|--|-------------------|-------------------|--------------|-------------------|--------------|-------------------|-------------|----------------|-------------|
|  | \$ Actual         | \$ Actual         | Change       | \$ Adopted        | Change       | \$ Base Budget    | Change      | \$ Bud Augment | Base        |
| 53120 - Utilities Management               | 416,912           | 299,465           | -28.2%       | 637,330           | 112.8%       | 560,570           | -12.0%      | 0              | 0.0%        |
| 53690 - Water Management                   | 191,447           | 197,006           | 2.9%         | 265,920           | 35.0%        | 265,420           | -0.2%       | 97,800         | 36.8%       |
| 53610 - WW Lift Stations                   | 132,997           | 120,556           | -9.4%        | 149,570           | 24.1%        | 154,850           | 3.5%        | 70,000         | 45.2%       |
| 53620 - Water Wells                        | 553,011           | 560,246           | 1.3%         | 688,030           | 22.8%        | 676,660           | -1.7%       | 31,000         | 4.6%        |
| 53630 - WWT Plant Maintenan                | 1,231,479         | 1,173,016         | -4.7%        | 1,443,120         | 23.0%        | 1,422,520         | -1.4%       | 85,000         | 6.0%        |
| 53640 - Water Plant Maintenan              | 760,854           | 676,468           | -11.1%       | 828,130           | 22.4%        | 762,340           | -7.9%       | 85,000         | 11.1%       |
| 53650 - Electrical Maintenance             | 284,541           | 302,258           | 6.2%         | 338,280           | 11.9%        | 331,960           | -1.9%       | 140,000        | 42.2%       |
| 53480 - Traffic & Lights Electric          | 634,288           | 682,555           | 7.6%         | 720,450           | 5.6%         | 720,550           | 0.0%        | -268,000       | -37.2%      |
| 53660 - WWT Plant Operations               | 3,014,840         | 3,139,230         | 4.1%         | 3,407,670         | 8.6%         | 3,513,850         | 3.1%        | 12,300         | 0.4%        |
| 53670 - Utilities Laboratory               | 1,051,945         | 937,238           | -10.9%       | 1,175,100         | 25.4%        | 1,076,890         | -8.4%       | 93,000         | 8.6%        |
| 53680 - Water Plant Operations             | 6,198,981         | 6,151,252         | -0.8%        | 6,243,400         | 1.5%         | 6,436,820         | 3.1%        | 5,640          | 0.1%        |
| <b>Department Total</b>                    | <b>14,471,295</b> | <b>14,239,290</b> | <b>-1.6%</b> | <b>15,897,000</b> | <b>11.6%</b> | <b>15,922,430</b> | <b>0.2%</b> | <b>351,740</b> | <b>2.2%</b> |
| <b>Department Budget by Object</b>         |                   |                   |              |                   |              |                   |             |                |             |
| Personnel Expenses                         | 5,098,178         | 4,954,212         | -2.8%        | 5,978,360         | 20.7%        | 5,910,700         | -1.1%       | 27,800         | 0.5%        |
| Contracted Services                        | 7,301,639         | 7,451,140         | 2.0%         | 7,727,190         | 3.7%         | 7,880,200         | 2.0%        | 28,940         | 0.4%        |
| Commodities                                | 1,623,705         | 1,350,291         | -16.8%       | 1,555,990         | 15.2%        | 1,547,140         | -0.6%       | 15,000         | 1.0%        |
| Internal Charges                           | 317,653           | 375,375           | 18.2%        | 425,990           | 13.5%        | 429,870           | 0.9%        | 0              | 0.0%        |
| Other Payments                             | 130,120           | 108,272           | -16.8%       | 209,470           | 93.5%        | 154,520           | -26.2%      | 280,000        | 181.2%      |
| <b>Department Total</b>                    | <b>14,471,295</b> | <b>14,239,290</b> | <b>-1.6%</b> | <b>15,897,000</b> | <b>11.6%</b> | <b>15,922,430</b> | <b>0.2%</b> | <b>351,740</b> | <b>2.2%</b> |
| <b>Department Budget by Funding Source</b> |                   |                   |              |                   |              |                   |             |                |             |
| General Fund 101 - Taxes                   | 110,528           | 195,093           |              | 268,730           |              | 262,510           | -2.3%       | -108,000       | -41.1%      |
| TDA & Gas Tax Funds 24x                    | 808,300           | 789,720           |              | 790,000           |              | 790,000           | 0.0%        | -20,000        | -2.5%       |
| Water Fund 511                             | 8,219,885         | 8,077,018         |              | 8,630,130         |              | 8,737,280         | 1.2%        | 132,000        | 1.5%        |
| Wastewater Fund 521                        | 5,332,582         | 5,175,597         |              | 6,143,140         |              | 6,067,640         | -1.2%       | 277,740        | 4.6%        |
| Drainage Fund 541                          | 0                 | 1,862             |              | 65,000            |              | 65,000            | 0.0%        | 70,000         | 107.7%      |
| <b>Department Total</b>                    | <b>14,471,295</b> | <b>14,239,290</b> | <b>-1.6%</b> | <b>15,897,000</b> | <b>11.6%</b> | <b>15,922,430</b> | <b>0.2%</b> | <b>351,740</b> | <b>2.2%</b> |
| <b>Department Staffing</b>                 |                   |                   |              |                   |              |                   |             |                |             |
| Total - Full Time Equivalent               | 49.31             | 49.31             | 0.0%         | 49.35             | 0.1%         | 49.35             | 0.0%        | -0.50          | -1.0%       |
| <b>Department Equipment Purchase</b>       |                   |                   |              |                   |              |                   |             |                |             |
| Replacement Equipment                      | 48,199            | 48,199            | 0.0%         | 134,000           | 178.0%       | 80,000            | -40.3%      | 252,900        | 316.1%      |
| New Equipment                              | 0                 | 0                 |              | 35,000            |              | 0                 |             | 25,000         |             |

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## DEPARTMENT ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Secured additional water supply from South San Joaquin Irrigation District.
2. Secured additional water supply from the West Side Irrigation District.
3. Obtained approval from the RWQCB for a permanent Aquifer Storage and Recovery program.
4. Entered into an Interim Renewal Water Supply Contract with the US Bureau of Reclamation.
5. Downtown decorative LED up lights retrofit lamp replacement project.
6. City of Tracy and PG & E partnership to replace 682 HPSV luminaires with LED lights.
7. Larch Road Storm Water Pump Station Upgrade, Phase 2.

### Future Projections: FY 15-16

1. Remain in compliance with all NPDES permits.
2. Maintain streetlights and traffic signals.
3. Secure additional water supply from South San Joaquin Irrigation District.
4. Commence construction of new Wastewater Treatment Plant outfall pipeline.
5. Renew 40-year US Bureau of Reclamation water supply contract.
6. Construction by others of wastewater desalination facility.
7. Chaparral Storm Water Station Upgrade.
8. Hanson Sewage Pump Station Upgrade.

### Current Projections: FY 14-15

1. Secure right-of-way for new Wastewater Treatment Plant outfall pipeline.
2. Implemented Water Information Management System (WIMS) database for compliance reporting at the WWTP.
3. Implemented sampling program of waste-haulers to protect WWTP from hazardous discharge, as per the Industrial Pretreatment Program.
4. City of Tracy and PG & E LED Street Light Turnkey Replacement: number of lights to be replaced 37775.

Department: 25000 - Utilities Department  
 Division: 25100 - Director's Office  
 Program: 2510x - Utilities Management

**PERFORMANCE OBJECTIVES**

Administer and direct the Utilities Department and provide the necessary administrative support for its operations and activities.

1. To administer the 11 programs of the department at an admin cost of 3.5% or less of the department operating budget.
2. To oversee a department budget of over \$16,274,170 and with an authorized staffing of 48.85 full-time equivalents.
3. To establish water supplies through the Semitropic and Aquifer Storage and Recovery programs.
4. To research methods of reducing salinity in the City's wastewater effluent in order to meet Delta salinity standards.
5. To update and maintain Utilities data in the GIS.
6. To ensure regulatory reporting requirements related to water, wastewater, and storm water are met.

**COMMENTARY**

This program provided for the Deputy Director for Utilities and an allocation of support staff. Also, it provides for professional services for the utilities systems, such as legal, financial, and engineering matters. Therefore, program costs may vary from year-to-year depending on what professional work is undertaken. In FY13-14, the City re-established the Utilities Department. The Deputy Director position was converted to a Director, but the former is still employed as a part-time consultant. In FY14-15, an Admin Assistant was added to staffing. For FY15-16, program staffing will add full-time Administrative Assistant. The program budget provides for the current staffing, but with some reductions for professional services, and some minimal adjustments for other cost items.

| PROGRAM EXPENDITURES | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|----------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                      | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses   | 290,945   | 252,811   | -13.1% | 408,560    | 407,670      | 99.8%  | 61.3%  | 439,800    | 7.6%     |
| Contracted Services  | 106,248   | 24,584    | -76.9% | 201,370    | 170,000      | 84.4%  | 591.5% | 93,370     | -53.6%   |
| Commodities          | 0         | 0         |        | 700        | 3,000        | 428.6% |        | 700        | 0.0%     |
| Internal Charges     | 19,720    | 22,070    | 11.9%  | 26,700     | 26,100       | 97.8%  | 18.3%  | 26,700     | 0.0%     |
| Other Payments       | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total        | 416,913   | 299,465   | -28.2% | 637,330    | 606,770      | 95.2%  | 102.6% | 560,570    | -12.0%   |
| Amended Budget       | 511,650   | 511,650   |        |            | 637,330      |        |        |            |          |
| % of Amended Spent   | 81.5%     | 58.5%     |        |            | 95.2%        |        |        |            |          |

| FUNDING SOURCES          |         |         |        |         |         |       |        |         |        |
|--------------------------|---------|---------|--------|---------|---------|-------|--------|---------|--------|
| General Fund 101 - Taxes | 0       | 0       |        | 0       | 0       |       |        | 0       |        |
| Water Fund 511           | 172,106 | 154,728 | -10.1% | 304,980 | 285,000 | 93.4% | 84.2%  | 280,600 | -8.0%  |
| Wastewater Fund 521      | 244,807 | 144,737 | -40.9% | 332,350 | 321,770 | 96.8% | 122.3% | 279,970 | -15.8% |
| Program Total            | 416,913 | 299,465 | -28.2% | 637,330 | 606,770 | 95.2% | 102.6% | 560,570 | -12.0% |

| PROGRAM STAFFING              |      |      |       |      |      |        |      |      |      |
|-------------------------------|------|------|-------|------|------|--------|------|------|------|
| <i>Regular Positions</i>      |      |      |       |      |      |        |      |      |      |
| Utilities Director            | 0.00 | 1.00 |       | 1.00 | 1.00 |        |      | 1.00 |      |
| Deputy Director - Utilities   | 1.00 | 0.50 |       | 0.50 | 0.50 |        |      | 0.50 |      |
| Admin Asst II-Sr Admin Clerk  | 0.32 | 0.32 |       | 1.00 | 1.00 |        |      | 1.00 |      |
| Admin Asst III-Secretary      | 0.32 | 0.32 |       | 0.00 | 0.00 |        |      | 0.00 |      |
| Management Analyst            | 0.32 | 0.32 |       | 0.00 | 0.00 |        |      | 0.00 |      |
| Total - Full-Time Equivalents | 1.96 | 2.46 | 25.5% | 2.50 | 2.50 | 100.0% | 1.6% | 2.50 | 0.0% |

Department: **25000 - Utilities Department**  
 Division: **25200 - Facilities Maintenance Division**  
 Program: **25201 - WW Lift Stations**

**PERFORMANCE OBJECTIVES**

Operate, maintain, and repair the City's wastewater lift stations and provide safe sanitary services. Program expenses include electric costs for lift stations.

1. To operate and maintain 4 wastewater lift stations.
2. To operate lift stations for 35,040 operating hours during the year.
3. To minimize lift station downtime to no more than 1/2 hour.
4. To reduce call-outs after normal work hours.
5. To keep stations clean and operational.

**COMMENTARY**

Program costs can vary due to staffing allocations, the need for contracted repairs, and utilities for lift stations. Program staffing and personnel costs have remained stable over the past few years, while other costs have fluctuated.

In FY12-13, program costs were up; but in FY13-14, they went down, due to reduced contracted repairs. In FY14-15, program costs will again decrease, but due to less staff hours.

For FY15-16 program budget provides for a contingent level of maintenance and operations and includes \$46,200 for electric. Also, \$70,000 is budgeted for equipment replacements.

| <b>PROGRAM EXPENDITURES</b>                   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 47,121               | 46,201               | -2.0%            | 55,200                | 37,160                  | 67.3%          | -19.6%           | 54,200                | -1.8%              |
| Contracted Services                           | 75,017               | 48,632               | -35.2%           | 67,270                | 47,370                  | 70.4%          | -2.6%            | 73,000                | 8.5%               |
| Commodities                                   | 5,373                | 14,233               | 164.9%           | 14,450                | 14,400                  | 99.7%          | 1.2%             | 14,950                | 3.5%               |
| Internal Charges                              | 5,220                | 11,220               | 114.9%           | 12,400                | 12,000                  | 96.8%          | 7.0%             | 12,400                | 0.0%               |
| Other Payments                                | 266                  | 270                  | 1.5%             | 250                   | 280                     | 112.0%         | 3.7%             | 70,300                | 28020.0%           |
| Program Total                                 | 132,997              | 120,556              | -9.4%            | 149,570               | 111,210                 | 74.4%          | -7.8%            | 224,850               | 50.3%              |
| Amended Budget                                | 138,260              | 166,780              |                  |                       | 149,570                 |                |                  |                       |                    |
| % of Amended Spent                            | 96.2%                | 72.3%                |                  |                       | 74.4%                   |                |                  |                       |                    |
| <b>FUNDING SOURCES</b>                        |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Wastewater Fund 521                           | 132,997              | 120,556              | -9.4%            | 149,570               | 111,210                 | 74.4%          | -7.8%            | 224,850               | 50.3%              |
| Program Total                                 | 132,997              | 120,556              | -9.4%            | 149,570               | 111,210                 | 74.4%          | -7.8%            | 224,850               | 50.3%              |
| <b>PROGRAM STAFFING</b>                       |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Maintenance Supervisor/Manager                | 0.06                 | 0.06                 |                  | 0.06                  | 0.06                    |                |                  | 0.06                  |                    |
| Senior Electrician                            | 0.06                 | 0.06                 |                  | 0.06                  | 0.06                    |                |                  | 0.06                  |                    |
| Instrumentation Technician                    | 0.06                 | 0.06                 |                  | 0.06                  | 0.06                    |                |                  | 0.06                  |                    |
| Plant Mechanic                                | 0.30                 | 0.30                 |                  | 0.30                  | 0.30                    |                |                  | 0.30                  |                    |
| Maintenance Worker II                         | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Admin Asst II-P&PM Clerk                      | 0.12                 | 0.12                 |                  | 0.12                  | 0.12                    |                |                  | 0.12                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 0.60                 | 0.60                 | 0.0%             | 0.60                  | 0.60                    | 100.0%         | 0.0%             | 0.60                  | 0.0%               |

Department: **25000 - Utilities Department**  
 Division: **25200 - Facilities Maintenance Division**  
 Program: **25202 - Water Wells & Pumping**

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's water wells and booster water pump stations. Program expenses include electric costs for the water wells and pump stations.

1. To operate and maintain 9 water system wells.
2. To operate water wells for 35,040 operating hours during the year.
3. To flush water system wells on a monthly basis.
4. To carry out Phase II of the Aquifer Storage and Recovery demo project at the Tidewater Well.
5. To operate wells during the off-peak hours as a means of saving energy.

**COMMENTARY**

In FY12-13, program costs showed a major increase, due to higher electric costs. In FY13-14, program costs showed a minor increase, while contracted costs were down, materials costs were up.

In FY14-15, program costs will show a major increase. Again electric and repair costs appear to be driving program costs up.

For FY15-16, program budget provides for a contingent level of maintenance and operations and includes \$340,000 for electric. Also, \$31,000 is budgeted for equipment replacements.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 157,197              | 154,001              | -2.0%            | 177,500               | 169,970                 | 95.8%          | 10.4%            | 178,200               | 0.4%               |
| Contracted Services                | 348,153              | 321,669              | -7.6%            | 417,560               | 415,670                 | 99.5%          | 29.2%            | 405,490               | -2.9%              |
| Commodities                        | 29,101               | 63,324               | 117.6%           | 70,990                | 65,870                  | 92.8%          | 4.0%             | 70,990                | 0.0%               |
| Internal Charges                   | 18,354               | 21,044               | 14.7%            | 21,810                | 21,800                  | 100.0%         | 3.6%             | 21,810                | 0.0%               |
| Other Payments                     | 206                  | 208                  | 1.0%             | 170                   | 220                     | 129.4%         | 5.8%             | 31,170                | 18235.3%           |
| Program Total                      | 553,011              | 560,246              | 1.3%             | 688,030               | 673,530                 | 97.9%          | 20.2%            | 707,660               | 2.9%               |
| Amended Budget                     | 628,840              | 653,820              |                  |                       | 737,800                 |                |                  |                       |                    |
| % of Amended Spent                 | 87.9%                | 85.7%                |                  |                       | 91.3%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Water Fund 511                | 553,011              | 560,246              | 1.3%             | 688,030               | 673,530                 | 97.9%          | 20.2%            | 707,660               | 2.9%               |
| Program Total                 | 553,011              | 560,246              | 1.3%             | 688,030               | 673,530                 | 97.9%          | 20.2%            | 707,660               | 2.9%               |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Maintenance Supervisor/Manager                | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                  |                    |
| Senior Electrician                            | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                  |                    |
| Instrumentation Technician                    | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                  |                    |
| Plant Mechanic                                | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Maintenance Worker II                         | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Admin Asst II-P&PM Clerk                      | 0.40                 | 0.40                 |                  | 0.40                  | 0.40                    |                |                  | 0.40                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 2.00                 | 2.00                 | 0.0%             | 2.00                  | 2.00                    | 100.0%         | 0.0%             | 2.00                  | 0.0%               |

Department: **25000 - Utilities Department**  
 Division: **25200 - Facilities Maintenance Division**  
 Program: **25203 - WWT Plant Maintenance**

**PERFORMANCE OBJECTIVES**

Maintain, repair, and replace the mechanical and electrical systems and equipment at the City's Wastewater Treatment Plant (WWTP).

1. To perform maintenance and repair on plant equipment so the plant can operate 24 hours a day, 365 days a year.
2. To ensure plant equipment is running safely and efficiently.

**COMMENTARY**

Program costs can vary due to staffing allocations and the need for repair work at the WWTP. Also, whether repairs are contracted out or done in-house can vary annual changes in contracted repairs versus parts/materials costs.

In FY12-13, program costs were down, when program staffing lost 0.50 FTEs. In FY13-14, program costs showed modest decrease. But, in FY14-15, they will show an increase. Program staffing will lose 0.66 FTEs of a Custodian.

For FY15-16, the program budget provides for current staffing, some increases for contracted repairs, but some minor adjustments for other costs items. The City CIP provides \$200,000 for WWTP plant equipment replacements.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 887,382              | 758,304              | -14.5%           | 963,500               | 763,240                 | 79.2%          | 0.7%             | 950,900               | -1.3%              |
| Contracted Services                           | 111,106              | 177,020              | 59.3%            | 177,180               | 175,000                 | 98.8%          | -1.1%            | 292,400               | 65.0%              |
| Commodities                                   | 177,780              | 163,433              | -8.1%            | 184,880               | 182,800                 | 98.9%          | 11.9%            | 184,880               | 0.0%               |
| Internal Charges                              | 55,211               | 74,259               | 34.5%            | 77,560                | 77,500                  | 99.9%          | 4.4%             | 79,340                | 2.3%               |
| Other Payments                                | 0                    | 0                    |                  | 40,000                | 36,700                  | 91.8%          |                  | 0                     | -100.0%            |
| <b>Program Total</b>                          | <b>1,231,479</b>     | <b>1,173,016</b>     | <b>-4.7%</b>     | <b>1,443,120</b>      | <b>1,235,240</b>        | <b>85.6%</b>   | <b>5.3%</b>      | <b>1,507,520</b>      | <b>4.5%</b>        |
| Amended Budget                                | 1,363,910            | 1,359,680            |                  |                       | 1,443,120               |                |                  |                       |                    |
| % of Amended Spent                            | 90.3%                | 86.3%                |                  |                       | 85.6%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Wastewater Fund 521                           | 1,231,479            | 1,173,016            | -4.7%            | 1,443,120             | 1,235,240               | 85.6%          | 5.3%             | 1,507,520             | 4.5%               |
| <b>Program Total</b>                          | <b>1,231,479</b>     | <b>1,173,016</b>     | <b>-4.7%</b>     | <b>1,443,120</b>      | <b>1,235,240</b>        | <b>85.6%</b>   | <b>5.3%</b>      | <b>1,507,520</b>      | <b>4.5%</b>        |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Maintenance Supervisor/Manager                | 0.40                 | 0.40                 |                  | 0.40                  | 0.40                    |                |                  | 0.40                  |                    |
| Senior Electrician                            | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Instrumentation Technician                    | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Plant Mechanic                                | 3.00                 | 3.00                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                  |                    |
| Maintenance Worker II                         | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Admin Asst II-P&PM Clerk                      | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Custodian                                     | 0.66                 | 0.66                 |                  | 0.66                  | 0.66                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>8.06</b>          | <b>8.06</b>          | <b>0.0%</b>      | <b>8.06</b>           | <b>8.06</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>7.40</b>           | <b>-8.2%</b>       |

Department: **25000 - Utilities Department**  
 Division: **25200 - Facilities Maintenance Division**  
 Program: **25204 - Water Plant Maintenance**

**PERFORMANCE OBJECTIVES**

1. To perform maintenance and repair on plant equipment so the plant can operate 24 hours a day, 365 days a year.
2. To ensure plant equipment is running safely and efficiently.

Maintain, repair, and replace the mechanical and electrical systems and equipment at the City's Water Treatment Plant (WTP).

**COMMENTARY**

Program costs can vary due to staffing allocations, the need for repair work at the WTP. Also, whether repairs are contracted out or done in-house can vary annual changes in contracted repairs versus parts and materials costs. Program costs increased in FY12-13, although program staffing lost 0.50 FTEs. In FY13-14, program costs showed modest decrease. But, in FY14-15, they will show an increase. Program staffing will lose 0.34 FTEs of a Custodian. For FY15-16, the program budget provides for current staffing, some increases for contracted repairs, but some minor adjustments for other costs items. But also, it provides \$69,000 for WTP equipment replacements.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 661,087              | 591,833              | -10.5%           | 668,600               | 568,220                 | 85.0%          | -4.0%            | 647,200               | -3.2%              |
| Contracted Services                | 22,593               | 32,828               | 45.3%            | 69,780                | 54,600                  | 78.2%          | 66.3%            | 61,280                | -12.2%             |
| Commodities                        | 49,463               | 28,417               | -42.5%           | 43,240                | 42,900                  | 99.2%          | 51.0%            | 43,240                | 0.0%               |
| Internal Charges                   | 15,190               | 23,390               | 54.0%            | 26,510                | 25,900                  | 97.7%          | 10.7%            | 26,620                | 0.4%               |
| Other Payments                     | 12,521               | 0                    |                  | 20,000                | 18,500                  | 92.5%          |                  | 69,000                | 245.0%             |
| Program Total                      | 760,854              | 676,468              | -11.1%           | 828,130               | 710,120                 | 85.7%          | 5.0%             | 847,340               | 2.3%               |
| Amended Budget                     | 787,890              | 782,860              |                  |                       | 828,130                 |                |                  |                       |                    |
| % of Amended Spent                 | 96.6%                | 86.4%                |                  |                       | 85.7%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                |         |         |        |         |         |       |      |         |       |
|----------------|---------|---------|--------|---------|---------|-------|------|---------|-------|
| Water Fund 511 | 760,854 | 676,468 | -11.1% | 828,130 | 710,120 | 85.7% | 5.0% | 847,340 | 19.3% |
| Program Total  | 760,854 | 676,468 | -11.1% | 828,130 | 710,120 | 85.7% | 5.0% | 847,340 | 19.3% |

**PROGRAM STAFFING**

|   |      |      |      |      |      |        |      |      |       |
|---|------|------|------|------|------|--------|------|------|-------|
| <i>Regular Positions</i>                      |      |      |      |      |      |        |      |      |       |
| Maintenance Supervisor/Manager                | 0.19 | 0.19 |      | 0.19 | 0.19 |        |      | 0.19 |       |
| Senior Electrician                            | 0.69 | 0.69 |      | 0.69 | 0.69 |        |      | 0.69 |       |
| Instrumentation Technician                    | 0.69 | 0.69 |      | 0.69 | 0.69 |        |      | 0.69 |       |
| Plant Mechanic                                | 1.45 | 1.45 |      | 1.45 | 1.45 |        |      | 1.45 |       |
| Maintenance Worker II                         | 1.00 | 1.00 |      | 1.00 | 1.00 |        |      | 1.00 |       |
| Admin Asst II-P&PM Clerk                      | 0.38 | 0.38 |      | 0.38 | 0.38 |        |      | 0.38 |       |
| Custodian                                     | 0.34 | 0.34 |      | 0.34 | 0.34 |        |      | 0.00 |       |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |      |      |      |        |      |      |       |
| Total - Full-Time Equivalents                 | 4.74 | 4.74 | 0.0% | 4.74 | 4.74 | 100.0% | 0.0% | 4.40 | -7.2% |

Department: 25000 - Utilities Department  
 Division: 25200 - Facilities Maintenance Division  
 Program: 25205 - Electrical Maintenance

**PERFORMANCE OBJECTIVES**

Maintenance and repair of the City's traffic signals and street lights. Support to building maintenance for electrical systems in City Buildings.

1. To maintain 68 City traffic signals and over 4,350 City-owned street lights.
2. To report and replace stolen traffic signal equipment in a timely manner.
3. To traffic signal outages in a timely manner.

**COMMENTARY**

In FY12-13, program costs showed a major increase. Program staffing returned to full-time. So, both personnel and material costs were up. In FY13-14, program costs again increased primarily due to personnel costs.

In FY14-15, program costs are expected to show a decrease. Personnel costs are down.

For FY15-16, the program budget provides for current staffing, some increases for contracted repairs, but some minor adjustments for other costs items. But also, it provides \$110,000 for street lights replacements.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 200,080              | 214,277              | 7.1%             | 243,500               | 169,650                 | 69.7%          | -20.8%           | 235,800               | -3.2%              |
| Contracted Services         | 140                  | 3,395                | 2325.0%          | 1,970                 | 1,900                   | 96.4%          | -44.0%           | 34,620                | 1657.4%            |
| Commodities                 | 31,464               | 26,837               | -14.7%           | 26,220                | 26,200                  | 99.9%          | -2.4%            | 27,600                | 5.3%               |
| Internal Charges            | 51,500               | 57,749               | 12.1%            | 66,590                | 66,500                  | 99.9%          | 15.2%            | 63,940                | -4.0%              |
| Other Payments              | 1,356                | 0                    |                  | 0                     | 0                       |                |                  | 110,000               |                    |
| <b>Program Total</b>        | <b>284,540</b>       | <b>302,258</b>       | <b>6.2%</b>      | <b>338,280</b>        | <b>264,250</b>          | <b>78.1%</b>   | <b>-12.6%</b>    | <b>471,960</b>        | <b>39.5%</b>       |
| Amended Budget              | 327,640              | 327,640              |                  |                       | 338,280                 |                |                  |                       |                    |
| % of Amended Spent          | 86.8%                | 92.3%                |                  |                       | 78.1%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |                |                |             |                |                |              |               |                |              |
|--------------------------|----------------|----------------|-------------|----------------|----------------|--------------|---------------|----------------|--------------|
| General Fund 101 - Taxes | 86,540         | 102,258        | 18.2%       | 138,280        | 114,250        | 82.6%        | 11.7%         | 131,960        | -4.6%        |
| TDA & Gas Tax Funds 24x  | 198,000        | 200,000        | 1.0%        | 200,000        | 150,000        | 75.0%        | -25.0%        | 340,000        | 70.0%        |
| <b>Program Total</b>     | <b>284,540</b> | <b>302,258</b> | <b>6.2%</b> | <b>338,280</b> | <b>264,250</b> | <b>78.1%</b> | <b>-12.6%</b> | <b>471,960</b> | <b>39.5%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Senior Electrician             | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Electrician                    | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| General Laborer                | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Maintenance Supervisor/Manager | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |

*Other Staffing (Full-Time Equivalents)*

|                                      |             |             |             |             |             |               |             |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>2.05</b> | <b>2.05</b> | <b>0.0%</b> | <b>2.05</b> | <b>2.05</b> | <b>100.0%</b> | <b>0.0%</b> | <b>2.05</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|

Department: 25000 - Utilities Department  
 Division: 25200 - Facilities Maintenance Division  
 Program: 25206 - Traffic & Lighting Electric

**PERFORMANCE OBJECTIVES**

Electric costs for traffic signals and street lights. These costs are paid from the General, TDA, and Gas Tax Funds.

1. To pay electric bills for 68 City traffic signals and about 4,350 City-owned street lights and 1,080 PG&E owned street lights.

**COMMENTARY**

Program costs have increased over the last few years due to City growth, and the addition of new streets and subdivisions to the City. Since FY99-00, program workload has increased 56% from 3,583 up to 5,583 street lights.

In FY11-12, program costs showed a moderate decrease; but in FY12-13, a moderate increase occurred. In FY13-14, another moderate increase is expected.

For FY15-16, the program budget provides a contingent amount to pay electric bills for traffic signals and street lights. As budgeted, program costs are expected to show a minor increase.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Contracted Services                | 628,938              | 676,205              | 7.5%             | 713,000               | 710,000                 | 99.6%          | 5.0%             | 445,100               | -37.3%             |
| Commodities                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Internal Charges                   | 5,350                | 6,350                | 18.7%            | 7,450                 | 7,000                   | 94.0%          | 10.2%            | 7,450                 | 6.4%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                      | 634,288              | 682,555              | 7.6%             | 720,450               | 717,000                 | 99.5%          | 5.0%             | 452,550               | -36.9%             |
| Amended Budget                     | 693,350              | 719,350              |                  |                       | 720,450                 |                |                  |                       |                    |
| % of Amended Spent                 | 91.5%                | 94.9%                |                  |                       | 99.5%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 23,988               | 92,835               | 287.0%           | 130,450               | 152,000                 | 116.5%         | 63.7%            | 22,550                | -85.2%             |
| TDA & Gas Tax Funds 24x       | 610,300              | 589,720              | -3.4%            | 590,000               | 565,000                 | 95.8%          | -4.2%            | 430,000               | -23.9%             |
| Program Total                 | 634,288              | 682,555              | 7.6%             | 720,450               | 717,000                 | 99.5%          | 5.0%             | 452,550               | -36.9%             |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Adopted |
|---|----------------------|----------------------|-----------------------|-------------------------|-----------------------|
| <i>Regular Positions</i>                      |                      |                      |                       |                         |                       |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                       |                         |                       |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |

Department: **25000 - Utilities Department**  
 Division: **25300 - Wastewater Treatment Division**  
 Program: **25301 - WWT Plant Operations**

**PERFORMANCE OBJECTIVES**

Operate the City's Wastewater Treatment Plant to treat and dispose of the wastewater collected from within the City. Program expenses include plant electric and chemical costs.

1. To operate the City's wastewater treatment plant for 365 days for 24 hours per day.
2. To treat and disposal of 3,300 million gallons of wastewater during the year.
3. To ensure wastewater effluent is meeting State discharge standards.
4. To ensure proper treatment and disposal of waste solids.
5. To operate the plant in an energy efficient manner.

**COMMENTARY**

In FY12-13, program costs showed a slight decrease. While personnel costs were down, contracted costs were up. In FY13-14, program costs showed a moderate increase.

In FY14-15, program costs will show an increase. Costs are up in almost all categories.

For FY15-16, the program budget provides for current staffing, but with an augmentation for electric costs, and some minor adjustments for other cost items. The budget includes \$1,285,000 for electric, \$270,000 for chemicals, \$207,900 for sludge removals, and \$151,800 for State permits.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 1,042,668            | 1,092,751            | 4.8%             | 1,330,300             | 1,373,630               | 103.3%         | 25.7%            | 1,296,500             | -2.5%              |
| Contracted Services         | 1,506,097            | 1,631,588            | 8.3%             | 1,556,470             | 1,555,000               | 99.9%          | -4.7%            | 1,721,510             | 10.6%              |
| Commodities                 | 296,650              | 283,544              | -4.4%            | 340,110               | 304,010                 | 89.4%          | 7.2%             | 327,350               | -3.8%              |
| Internal Charges            | 63,580               | 63,594               | 0.0%             | 76,790                | 75,700                  | 98.6%          | 19.0%            | 76,790                | 0.0%               |
| Other Payments              | 105,845              | 67,753               | -36.0%           | 104,000               | 83,600                  | 80.4%          | 23.4%            | 104,000               | 0.0%               |
| <b>Program Total</b>        | <b>3,014,840</b>     | <b>3,139,230</b>     | <b>4.1%</b>      | <b>3,407,670</b>      | <b>3,391,940</b>        | <b>99.5%</b>   | <b>8.1%</b>      | <b>3,526,150</b>      | <b>3.5%</b>        |
| Amended Budget              | 3,206,074            | 3,238,960            |                  |                       | 3,407,670               |                |                  |                       |                    |
| % of Amended Spent          | 94.0%                | 96.9%                |                  |                       | 99.5%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                      |                  |                  |             |                  |                  |              |             |                  |             |
|----------------------|------------------|------------------|-------------|------------------|------------------|--------------|-------------|------------------|-------------|
| Wastewater Fund 521  | 3,014,840        | 3,139,230        | 4.1%        | 3,407,670        | 3,391,940        | 99.5%        | 8.1%        | 3,526,150        | 3.5%        |
| <b>Program Total</b> | <b>3,014,840</b> | <b>3,139,230</b> | <b>4.1%</b> | <b>3,407,670</b> | <b>3,391,940</b> | <b>99.5%</b> | <b>8.1%</b> | <b>3,526,150</b> | <b>3.5%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|   |              |              |             |              |              |               |             |              |             |
|---|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|-------------|
| Deputy Director - Utilities                   | 0.00         | 0.00         |             | 0.00         | 0.00         |               |             | 0.00         |             |
| Plant Supervisor                              | 0.00         | 0.00         |             | 0.00         | 0.00         |               |             | 0.00         |             |
| WW Oper Manager                               | 1.00         | 1.00         |             | 1.00         | 1.00         |               |             | 1.00         |             |
| Utility Plant Operator                        | 9.00         | 9.00         |             | 9.00         | 9.00         |               |             | 9.00         |             |
| Maintenance Worker I                          | 1.00         | 1.00         |             | 1.00         | 1.00         |               |             | 1.00         |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |              |              |             |              |              |               |             |              |             |
| Safety Specialist                             | 0.20         | 0.20         |             | 0.20         | 0.20         |               |             | 0.20         |             |
| <b>Total - Full-Time Equivalents</b>          | <b>11.20</b> | <b>11.20</b> | <b>0.0%</b> | <b>11.20</b> | <b>11.20</b> | <b>100.0%</b> | <b>0.0%</b> | <b>11.20</b> | <b>0.0%</b> |

Department: **25000 - Utilities Department**  
 Division: **25400 - Laboratory Division**  
 Program: **2540x - Utilities Laboratory**

**PERFORMANCE OBJECTIVES**

Provide laboratory and environmental control services for the City Utilities and other Departments. Laboratory testing is done both in-house and through contracted work.

1. To perform routine lab analysis to ensure wastewater, biosolids, and receiving water are meeting State standards.
2. To ensure safe laboratory hazardous materials management.
3. To work closely with operations staff to address plant process optimization.

**COMMENTARY**

The need for contracted lab testing varies from year to year; so, program costs can go up and down reflecting this need. In FY12-13, program costs showed a major increase, due to full staffing and contracted lab work. But, in FY13-14, program costs were down; while for FY14-15, a slight decrease is expected.

For FY15-16, the program budget provides for current staffing, a reduction in contract testing, increases in lab supplies, and minor adjustments in other cost items. It includes \$198,400 for contracted testing and \$75,490 for lab supplies.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 673,792              | 629,976              | -6.5%            | 728,300               | 580,130                 | 79.7%          | -7.9%            | 722,100               | -0.9%              |
| Contracted Services         | 232,718              | 171,069              | -26.5%           | 286,790               | 193,860                 | 67.6%          | 13.3%            | 266,750               | -7.0%              |
| Commodities                 | 102,307              | 86,798               | -15.2%           | 103,020               | 100,140                 | 97.2%          | 15.4%            | 118,020               | 14.6%              |
| Internal Charges            | 43,128               | 49,395               | 14.5%            | 56,990                | 54,900                  | 96.3%          | 11.1%            | 63,020                | 10.6%              |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>1,051,945</b>     | <b>937,238</b>       | <b>-10.9%</b>    | <b>1,175,100</b>      | <b>929,030</b>          | <b>79.1%</b>   | <b>-0.9%</b>     | <b>1,169,890</b>      | <b>-0.4%</b>       |
| Amended Budget              | 1,134,244            | 1,147,780            |                  |                       | 1,175,100               |                |                  |                       |                    |
| % of Amended Spent          | 92.7%                | 81.7%                |                  |                       | 79.1%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Water Fund 511         | 343,486              | 339,180              | -1.3%            | 364,670               | 291,800                 | 80.0%          | -14.0%           | 363,000               | -0.5%              |
| Wastewater Fund 521    | 708,459              | 598,058              | -15.6%           | 810,430               | 637,230                 | 78.6%          | 6.5%             | 806,890               | -0.4%              |
| <b>Program Total</b>   | <b>1,051,945</b>     | <b>937,238</b>       | <b>-10.9%</b>    | <b>1,175,100</b>      | <b>929,030</b>          | <b>79.1%</b>   | <b>-0.9%</b>     | <b>1,169,890</b>      | <b>-0.4%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Change    | FY14-15     | FY14-15     | % of Budget   | % Change    | FY15-16     | % Budget Change |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-----------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |                 |
| Laboratory Supervisor                         | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |                 |
| Envir Control Inspector                       | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |                 |
| Laboratory Technician                         | 4.00        | 4.00        |             | 4.00        | 4.00        |               |             | 4.00        |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |                 |
| Laborer                                       | 0.50        | 0.50        |             | 0.50        | 0.50        |               |             | 0.50        |                 |
| <b>Total - Full-Time Equivalents</b>          | <b>6.50</b> | <b>6.50</b> | <b>0.0%</b> | <b>6.50</b> | <b>6.50</b> | <b>100.0%</b> | <b>0.0%</b> | <b>6.50</b> | <b>0.0%</b>     |

Department: **25500 - Utilities Department**  
 Division: **25500 - Water Treatment Division**  
 Program: **25501 - Water Plant Operations**

**PERFORMANCE OBJECTIVES**

Operate the City's Water Treatment Plant to provide a potable water supply for the City. Program expenses include plant electric and chemicals costs, and bulk water purchases from other agencies.

1. To operate the City's water treatment plant for 365 days for 24 hours per day.
2. To treat and produce 6,100 million gallons of water during the year.
3. To treat and produce 100 million gallons of potable water during the year from the JJ Water Treatment Plant.
4. To purchase 6,000 million gallons of treated potable water from the South San Joaquin Irrigation District.
5. To ensure drinking water meets CA Department of Public Health water quality standards.
6. To operate the plant in an energy efficient mannner.

**COMMENTARY**

In FY12-13, program costs showed a moderate increase, with a major increase in bulk water purchases. In FY13-14, even though the budget was increased, program costs showed slight decrease. For FY14-15, program costs are expected to show only a minor increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, increases for electric costs, and some minimal adjustments for other cost items. The budget includes \$756,000 for electric, \$265,000 for chemicals, and \$3,859,000 for bulk water purchases.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 1,003,354            | 1,071,287            | 6.8%             | 1,265,800             | 1,248,830               | 98.7%          | 16.6%            | 1,248,600             | -1.4%              |
| Contracted Services                | 4,235,638            | 4,346,095            | 2.6%             | 4,159,770             | 4,150,000               | 99.8%          | -4.5%            | 4,369,270             | 5.0%               |
| Commodities                        | 930,193              | 681,065              | -26.8%           | 765,740               | 725,000                 | 94.7%          | 6.5%             | 768,570               | 0.4%               |
| Internal Charges                   | 29,796               | 33,685               | 13.1%            | 36,090                | 36,000                  | 99.8%          | 6.9%             | 35,020                | -3.0%              |
| Other Payments                     | 0                    | 19,120               |                  | 16,000                | 16,000                  | 100.0%         |                  | 21,000                | 31.3%              |
| <b>Program Total</b>               | <b>6,198,981</b>     | <b>6,151,252</b>     | <b>-0.8%</b>     | <b>6,243,400</b>      | <b>6,175,830</b>        | <b>98.9%</b>   | <b>0.4%</b>      | <b>6,442,460</b>      | <b>3.2%</b>        |
| Amended Budget                     | 5,958,810            | 6,090,750            |                  |                       | 6,243,400               |                |                  |                       |                    |
| % of Amended Spent                 | 104.0%               | 101.0%               |                  |                       | 98.9%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                      |                  |                  |              |                  |                  |              |             |                  |             |
|----------------------|------------------|------------------|--------------|------------------|------------------|--------------|-------------|------------------|-------------|
| Water Fund 511       | 6,198,981        | 6,151,252        | -0.8%        | 6,243,400        | 6,175,830        | 98.9%        | 0.4%        | 6,442,460        | 3.2%        |
| <b>Program Total</b> | <b>6,198,981</b> | <b>6,151,252</b> | <b>-0.8%</b> | <b>6,243,400</b> | <b>6,175,830</b> | <b>98.9%</b> | <b>0.4%</b> | <b>6,442,460</b> | <b>3.2%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                             |      |      |  |      |      |  |  |      |  |
|-----------------------------|------|------|--|------|------|--|--|------|--|
| Deputy Director - Utilities | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Plant Supervisor            | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Utility Plant Operator      | 8.00 | 8.00 |  | 8.00 | 8.00 |  |  | 8.00 |  |
| Maintenance Worker I        | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |

*Other Staffing (Full-Time Equivalents)*

|                   |      |      |  |      |      |  |  |      |  |
|-------------------|------|------|--|------|------|--|--|------|--|
| Safety Specialist | 0.20 | 0.20 |  | 0.20 | 0.20 |  |  | 0.20 |  |
|-------------------|------|------|--|------|------|--|--|------|--|

|                                      |              |              |             |              |              |               |             |              |             |
|--------------------------------------|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>10.20</b> | <b>10.20</b> | <b>0.0%</b> | <b>10.20</b> | <b>10.20</b> | <b>100.0%</b> | <b>0.0%</b> | <b>10.20</b> | <b>0.0%</b> |
|--------------------------------------|--------------|--------------|-------------|--------------|--------------|---------------|-------------|--------------|-------------|

Department: **25000 - Utilities Department**  
 Division: **25100 - Director's Office**  
 Program: **25105 - Water Management**

Plan and coordinate City water conservation and stormwater management efforts, including public education and monitoring and enforcement activities.

**PERFORMANCE OBJECTIVES**

1. To promote water conservation to meet the requirements of the State 20 X 2020 Plan.
2. To provide \$29,050 in rebates for residential toilets and washing machine retrofits.

**COMMENTARY**

Program costs vary depending upon the annual need for water conservation. In FY04-05, stormwater management was added to program activities. Program costs increase annually; but are less than budget. Major cost outlays are for program advertising and water conservation rebates.

For FY15-16, program staffing will add a 0.50 FTEs for a Water Conservation Technician. The program budget provides for current and added staffing and increasing in contracted services costs. Besides the added staffing, the budget is being augmented by \$70,000 for storm water compliance.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 134,552              | 142,771              | 6.1%             | 137,100               | 152,930                 | 111.5%         | 7.1%             | 165,200               | 20.5%              |
| Contracted Services         | 34,991               | 18,055               | -48.4%           | 76,030                | 64,870                  | 85.3%          | 259.3%           | 146,350               | 92.5%              |
| Commodities                 | 1,374                | 2,640                | 92.1%            | 6,640                 | 5,500                   | 82.8%          | 108.3%           | 5,840                 | -12.0%             |
| Internal Charges            | 10,604               | 12,619               | 19.0%            | 17,100                | 17,100                  | 100.0%         | 35.5%            | 16,780                | -1.9%              |
| Other Payments              | 9,926                | 20,921               | 110.8%           | 29,050                | 25,080                  | 86.3%          | 19.9%            | 29,050                | 0.0%               |
| Program Total               | 191,447              | 197,006              | 2.9%             | 265,920               | 265,480                 | 99.8%          | 34.8%            | 363,220               | 36.6%              |
| Amended Budget              | 209,895              | 209,895              |                  |                       | 265,920                 |                |                  |                       |                    |
| % of Amended Spent          | 91.2%                | 93.9%                |                  |                       | 99.8%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                   |         |         |      |         |         |        |         |         |        |
|-------------------|---------|---------|------|---------|---------|--------|---------|---------|--------|
| Water Fund 511    | 191,447 | 195,144 | 1.9% | 200,920 | 200,480 | 99.8%  | 2.7%    | 228,220 | 13.6%  |
| Drainage Fund 541 | 0       | 1,862   |      | 65,000  | 65,000  | 100.0% | 3390.9% | 135,000 | 107.7% |
| Program Total     | 191,447 | 197,006 | 2.9% | 265,920 | 265,480 | 99.8%  | 34.8%   | 363,220 | 36.6%  |

**PROGRAM STAFFING***Regular Positions*

|                             |      |      |  |      |      |  |  |      |  |
|-----------------------------|------|------|--|------|------|--|--|------|--|
| Water Resources Coordinator | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
|-----------------------------|------|------|--|------|------|--|--|------|--|

*Other Staffing (Full-Time Equivalents)*

|                               |      |      |  |      |      |  |  |      |  |
|-------------------------------|------|------|--|------|------|--|--|------|--|
| Water Conservation Technician | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.50 |  |
| Water Patrol                  | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |

|                               |      |      |      |      |      |        |      |      |       |
|-------------------------------|------|------|------|------|------|--------|------|------|-------|
| Total - Full-Time Equivalents | 1.50 | 1.50 | 0.0% | 1.50 | 1.50 | 100.0% | 0.0% | 2.00 | 33.3% |
|-------------------------------|------|------|------|------|------|--------|------|------|-------|

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# DEVELOPMENT SERVICES DEPARTMENT

Previously, Community Development Department  
& Development & Engineering Services

## Mission Statement

Through High Quality Service, Protect Public Health and  
Safety and Enhance Long-Term Development Character

### **Department Head**

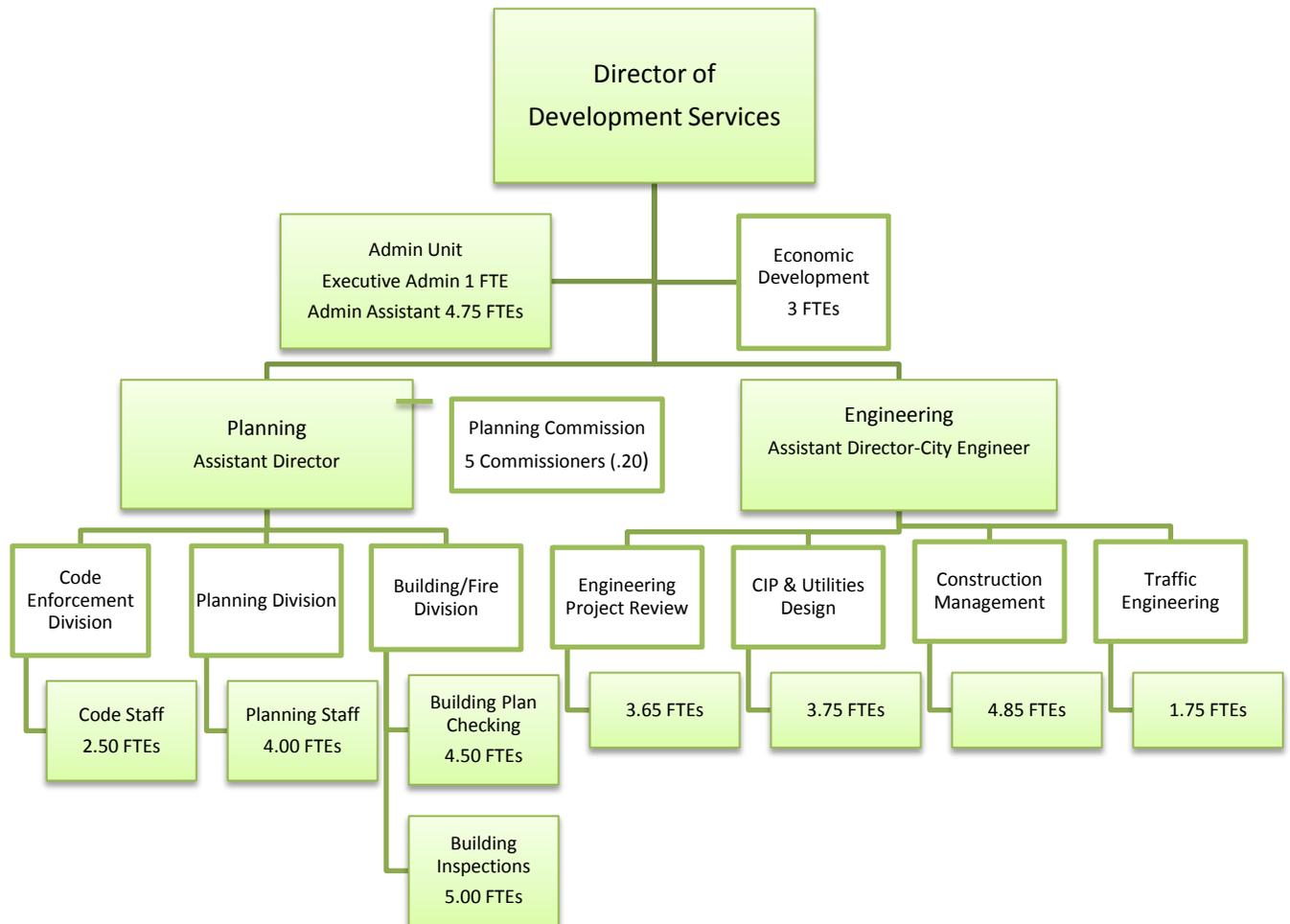
Andrew Malik

Development Services Director

William Dean

Interim Development Services Director

City of Tracy  
DEVELOPMENT SERVICES  
Fiscal Year 15-16



Department: **31000 - Development Services**

The Development Services Department provides planning and development services for the City including advance and current planning, building plan review and inspections, code enforcement, engineering services, and economic development.

Economic Development included redevelopment and housing. But, since the State abolished redevelopment agencies, these activities were discontinued.

**COMMENTARY**

As approved for FY15-16, the departmental budget will increase about 6.5% over the current year adopted budget, and this represents a 24.1% increase over the FY13-14 amended budget.

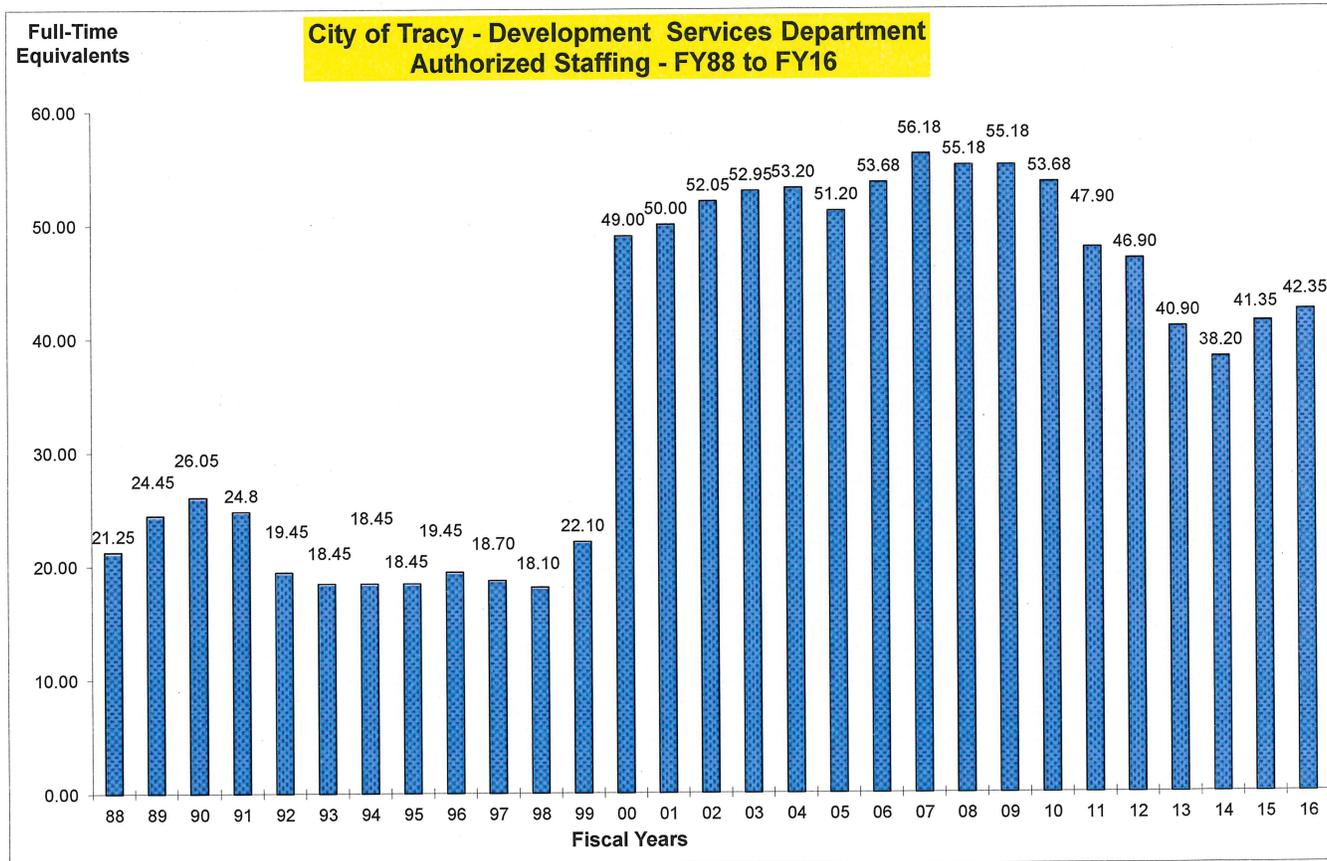
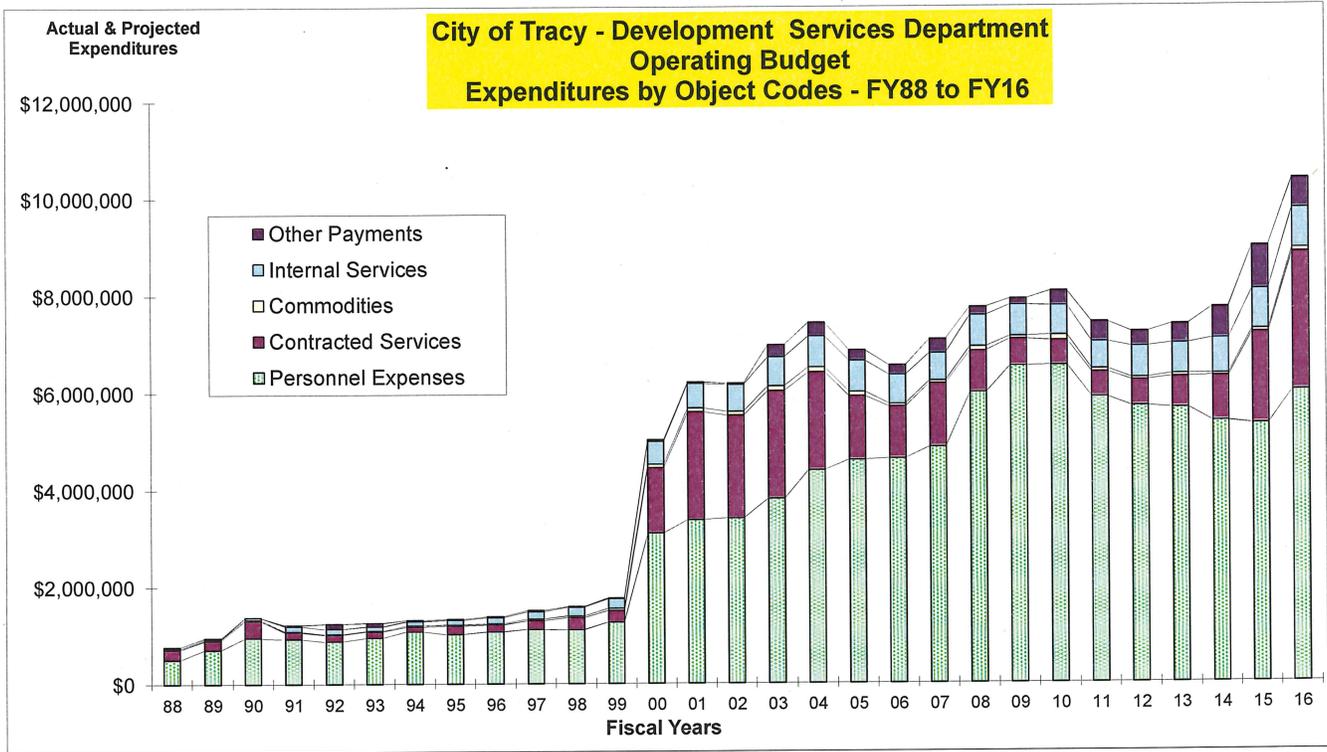
The base component of the budget represents a 0.2% decrease from the current year adopted budget, while budget augmentations will show a 6.7% increase over the base budget.

In FY14-15, departmental staffing added 3 full-time regular positions and 0.45 FTEs in other staffing. In FY15-16, departmental staffing will add one full-time regular position and a 0.30 FTEs in other staffing.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM        | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|--|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>Director's Office</b>                       |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31101 - Development Services<br>Administration | 383,053              | 371,336              | -3.1%            | 411,230               | 390,090                 | 94.9%            | 5.1%             | 529,430                | 28.7%              |
| <b>Planning Division</b>                       |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31210 - Advanced Planning                      | 0                    | 0                    |                  | 0                     | 0                       |                  |                  | 0                      |                    |
| 31220 - Current Planning                       | 0                    | 0                    |                  | 0                     | 0                       |                  |                  | 0                      |                    |
| 31201 - Planning Services                      | 1,028,273            | 949,703              | -7.6%            | 1,014,630             | 1,014,120               | 99.9%            | 6.8%             | 1,193,730              | 17.7%              |
| <b>Building Division</b>                       |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31401 - Building Plans Checking                | 783,655              | 848,391              | 8.3%             | 1,231,380             | 947,730                 | 77.0%            | 11.7%            | 1,214,480              | -1.4%              |
| 31402 - Building Inspections                   | 821,065              | 1,061,422            | 29.3%            | 1,506,560             | 1,223,090               | 81.2%            | 15.2%            | 1,459,960              | -3.1%              |
| <b>Code Enforcement Division</b>               |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31501 - Code Enforcement                       | 379,192              | 418,477              | 10.4%            | 527,770               | 507,050                 | 96.1%            | 21.2%            | 446,970                | -15.3%             |
| <b>Engineering Division</b>                    |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31601 - Engineering Review                     | 735,724              | 619,464              | -15.8%           | 1,343,560             | 1,501,090               | 111.7%           | 142.3%           | 1,518,520              | 13.0%              |
| 31602 - Capital Project Design                 | 1,085,318            | 872,468              | -19.6%           | 804,470               | 779,660                 | 96.9%            | -10.6%           | 829,790                | 3.1%               |
| 31603 - Utilities Engineering                  | 111,675              | 90,392               | -19.1%           | 199,530               | 135,350                 | 67.8%            | 49.7%            | 200,480                | 0.5%               |
| 31605 - Traffic Engineering                    | 255,571              | 290,554              | 13.7%            | 344,930               | 298,440                 | 86.5%            | 2.7%             | 344,930                | 0.0%               |
| 31606 - Construction Management                | 982,983              | 1,034,753            | 5.3%             | 1,126,740             | 903,640                 | 80.2%            | -12.7%           | 1,219,300              | 8.2%               |
| <b>Economic Development Division</b>           |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 31801 - Economic Development                   | 293,450              | 436,893              | 48.9%            | 542,530               | 466,400                 | 86.0%            | 6.8%             | 688,890                | 27.0%              |
| 31804 - Comm Dev Block Grant                   | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%           | 41.8%            | 354,130                | 13.4%              |
| 31805 - Downtown Promotion                     | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%            | 0.0%             | 114,000                | -2.7%              |
| 31806 - Downtown Parking                       | 0                    | 0                    |                  | 0                     | 0                       |                  |                  | 0                      |                    |
| 31802 - CDA-Successor Agency                   | 233,446              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%            | -36.8%           | 250,000                | 0.0%               |
| Department Total                               | 7,380,415            | 7,721,493            | 4.6%             | 9,732,900             | 8,982,640               | 92.3%            | 16.3%            | 10,364,610             | 6.5%               |
| Amended Budget                                 | 8,333,333            | 8,351,880            | 0.2%             |                       | 11,058,240              |                  | 32.4%            | over 2 years           | 24.1%              |
| % of Amended Spent                             | 88.56%               | 92.45%               |                  |                       | 81.23%                  |                  |                  |                        |                    |
|  |                      |                      |                  |                       |                         | Base Budget >>   |                  | 9,709,820              | -0.2%              |
|  |                      |                      |                  |                       |                         | Augmentations >> |                  | 654,790                | 6.7%               |

Department: 31000 - Development Services Department (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 5,659,662            | 5,380,981            | -4.9%            | 5,550,010             | 5,327,540               | 96.0%          | -1.0%            | 6,012,390              | 8.3%               |
| Contracted Services                                     | 629,723              | 926,346              | 47.1%            | 2,634,550             | 1,872,820               | 71.1%          | 102.2%           | 2,833,300              | 7.5%               |
| Commodities   | 61,603               | 43,614               | -29.2%           | 80,210                | 67,390                  | 84.0%          | 54.5%            | 81,910                 | 2.1%               |
| Internal Charges  | 632,807              | 727,394              | 14.9%            | 823,160               | 822,100                 | 99.9%          | 13.0%            | 825,020                | 0.2%               |
| Other Payments  | 396,620              | 643,158              | 62.2%            | 644,970               | 892,790                 | 138.4%         | 38.8%            | 611,990                | -5.1%              |
| <b>Department Total</b>                                 | <b>7,380,415</b>     | <b>7,721,493</b>     | <b>4.6%</b>      | <b>9,732,900</b>      | <b>8,982,640</b>        | <b>92.3%</b>   | <b>16.3%</b>     | <b>10,364,610</b>      | <b>6.5%</b>        |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101 - Taxes                                | 1,375,024            | 1,470,324            | 6.9%             | 2,156,070             | 1,445,110               | 67.0%          | -1.7%            | 2,066,220              | -4.2%              |
| Planning Fees   | 688,999              | 265,302              | -61.5%           | 272,860               | 307,100                 | 112.5%         | 15.8%            | 282,000                | 3.3%               |
| Building Fees   | 1,264,380            | 1,818,984            | 43.9%            | 1,910,000             | 2,022,000               | 105.9%         | 11.2%            | 2,422,000              | 26.8%              |
| Engineering Fees  | 617,677              | 960,402              | 55.5%            | 2,206,500             | 1,621,500               | 73.5%          | 68.8%            | 2,044,740              | -7.3%              |
| Capital Project Offset                                  | 2,631,916            | 2,222,874            | -15.5%           | 2,128,370             | 2,460,600               | 115.6%         | 10.7%            | 2,451,040              | 15.2%              |
| Downtown Impvmt Dist Fund 221                           | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000                | -2.7%              |
| TDA & Gas Tax Funds 24x                                 | 170,289              | 165,575              | -2.8%            | 180,000               | 175,000                 | 97.2%          | 5.7%             | 180,000                | 0.0%               |
| Com Devel Block Gt Fund 26x                             | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         | 41.8%            | 354,130                | 13.4%              |
| CDA & Suc Ag Project Fund 3xx                           | 233,446              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%          | -36.8%           | 250,000                | 0.0%               |
| Water Fund 511  | 55,018               | 49,806               | -8.5%            | 78,000                | 60,000                  | 76.9%          | -71.9%           | 78,500                 | 0.6%               |
| Wastewater Fund 521                                     | 46,656               | 35,586               | -9.5%            | 96,530                | 70,350                  | 72.9%          | 97.7%            | 96,980                 | 0.5%               |
| Drainage Fund 541                                       | 10,000               | 5,000                | -23.7%           | 25,000                | 5,000                   | 20.0%          | 0.0%             | 25,000                 | 0.0%               |
| <b>Department Total</b>                                 | <b>7,380,415</b>     | <b>7,721,493</b>     | <b>4.6%</b>      | <b>9,732,900</b>      | <b>8,982,640</b>        | <b>92.3%</b>   | <b>16.3%</b>     | <b>10,364,610</b>      | <b>6.5%</b>        |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>\$ Actual | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     | %<br>Change    |                  | FY15-16<br>Approved    | %<br>Change        |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Department Director                                     | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Planners  | 5.00                 | 5.00                 |                  | 5.00                  | 5.00                    |                |                  | 5.00                   |                    |
| Engineers   | 10.00                | 9.00                 |                  | 9.00                  | 10.00                   |                |                  | 10.00                  |                    |
| Program Analysts  | 1.00                 | 2.00                 |                  | 2.00                  | 3.00                    |                |                  | 3.00                   |                    |
| Engineering Technical                                   | 7.00                 | 5.00                 |                  | 5.00                  | 5.00                    |                |                  | 5.00                   |                    |
| Building Regulation                                     | 7.00                 | 7.00                 |                  | 7.00                  | 7.00                    |                |                  | 8.00                   |                    |
| Code Enforcement  | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                   |                    |
| Secretarial & Clerical                                  | 8.00                 | 7.00                 |                  | 7.00                  | 8.00                    |                |                  | 8.00                   |                    |
| Allocated to Fire Dept                                  | -0.30                | -0.30                |                  | -0.30                 | -0.30                   |                |                  | -0.30                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Commission & Board Members                              | 0.20                 | 0.20                 |                  | 0.20                  | 0.20                    |                |                  | 0.20                   |                    |
| Temp Clerk  | 0.00                 | 0.00                 |                  | 0.45                  | 0.45                    |                |                  | 0.75                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>40.90</b>         | <b>37.90</b>         | <b>-7.3%</b>     | <b>38.35</b>          | <b>41.35</b>            | <b>107.8%</b>  | <b>9.1%</b>      | <b>42.65</b>           | <b>11.2%</b>       |



## Budget Narrative - Development Services

### Historical Milestones

#### *FY 10-11*

- Departmental staffing deleted 5.5 full-time regular positions. About 0.60 of staff time was allocated to other departments.
- Reduction in base budget of \$986,160 compared to FY09-10 Adopted Budget.

#### *FY 11-12*

- Decrease in base budget of \$10,340 or 0.2% below the FY10-11 Adopted Budget, entirely in personnel expenses.
- \$95,000 in budget augmentations included \$20,000 for graffiti abatement and \$75,000 for system charges.
- The department was renamed Development Services.
- During the year, the department lost 4 full-time positions and 1.00 FTEs in temporary help.

#### *FY 12-13*

- Decrease in base budget of \$225,590 or 2.8% from the FY11-12 adopted budget.
- Departmental staffing deleted 4 positions.
- Savings of \$322,550 in the department budget due to retirements.
- No major budget augmentations. During the year, an Engineering Technician position was converted to a Junior Engineer.

#### *FY 13-14*

- Base budget decrease of \$121,040 or 1.7%. Decreases in all categories except internal service charges. Decreases in personnel expenses reflect staff retirements.
- Budget augmentations of \$541,290. The major increase is \$360,000 for temporary building inspectors. In addition, \$66,320 was added for economic development activities.

- Departmental staffing showed a net decrease of 3.00 FTEs: 2 Associate Engineers, 1 Engineering Technician, and 1 Executive Assistant. While 4 positions were deleted due to retirement; one new Analyst position was added in the Economic Development Division.

#### *FY 14-15*

- Decrease in the base budget of \$460,440 or 6.0% over the adopted FY13-14 budget. The decrease was in contracted services and grant outlays. However, personnel expenses did increase \$162,210 or 3.0%.
- Budget augmentations of \$2,476,710. These include: \$500,000 for building plan checks, \$670,000 for building inspectors, \$625,000 for engineering plan checks, \$160,000 for engineering inspectors, and \$56,030 for project testing.
- Departmental staffing will increase by .45 FTEs for added part-time clerical help.
- At midyear, departmental staffing added 3 positions: an Economic Development Manager, a Senior Civil Engineer for Land Development and an Administrative Assistant.

### Proposed Budget Changes for FY15-16

- Base budget adjustments of \$23,080 or 0.2% decrease from FY14-15 Adopted Budget.
- Budget augmentations of \$654,790.
- Departmental staffing will add a full-time Building / Fire Inspector position and 0.30 FTEs in clerical hours. An Assistant Planner will be upgraded to Senior Planner.
- The budget includes funding: contractual planning assistance, document scanning and GIS support.

| Major Non Personnel Expense    | FY 13-14 | %Change | FY 14-15  | % Change | FY 15-16  |
|--------------------------------|----------|---------|-----------|----------|-----------|
| Building Plan Check            | \$50,000 | 1100%   | \$550,000 | 0.00%    | \$550,000 |
| Building Inspections           | 360,000  | 200%    | 720,000   | -18%     | 590,000   |
| Engineering Plan Check         | 25,000   | 2600%   | 650,000   | 0%       | 650,000   |
| Project Contract Testing       | 43,970   | 227%    | 100,000   | 0%       | 100,000   |
| Project Contract Inspections   | 40,000   | 500%    | 200,000   | 0%       | 200,000   |
| Economic Development Contracts | 107,000  | 117%    | 125,000   | 12.3%    | 140,300   |
| Economic Development Grants    | 98,900   | 0.00%   | 98,900    | 3%       | 101,860   |
| Equipment Acquisition          | \$20,000 |         | \$15,000  |          | \$0       |
| Equipment Replacement          | \$0      |         | \$53,060  |          | \$20,000  |

31000 - Development Services

Historical Budget Increments, Augmentations, and Usage

| Department Budget<br>By Object             | Prior Year<br>Adopted Budgets | <<<<< Base Increment & Augmentations >>>>> |              |                   |                       |              | New Year<br>Adopted Budgets |              | Actual<br>Budget Use |               |
|--|-------------------------------|--|--------------|-------------------|-----------------------|--------------|-----------------------------|--------------|----------------------|---------------|
|  |                               | Base<br>Increment                          | %<br>Change  | \$ Base<br>Budget | \$ Budget<br>Augments | %<br>Base    | FY12-13<br>\$ Budget        | %<br>Change  | FY12-13<br>\$ Actual | %<br>Used     |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 5,372,880                     | -203,140                                   | -3.8%        | 5,169,740         | 268,940               | 5.2%         | 5,438,680                   | 1.2%         | 5,659,662            | 104.1%        |
| Contracted Services                        | 474,830                       | -125,140                                   | -26.4%       | 349,690           | 171,330               | 49.0%        | 521,020                     | 9.7%         | 629,723              | 120.9%        |
| Commodities                                | 69,650                        | -5,500                                     | -7.9%        | 64,150            | 20,060                | 31.3%        | 84,210                      | 20.9%        | 61,603               | 73.2%         |
| Internal Charges                           | 642,120                       | 0  | 0.0%         | 642,120           | 11,450                | 1.8%         | 653,570                     | 1.8%         | 632,807              | 96.8%         |
| Other Payments                             | 42,000                        | -17,800                                    | -42.4%       | 24,200            | 554,900               |              | 579,100                     | 1278.8%      | 396,620              | 68.5%         |
| <b>Department Total</b>                    | <b>6,601,480</b>              | <b>-351,580</b>                            | <b>-5.3%</b> | <b>6,249,900</b>  | <b>1,026,680</b>      | <b>16.4%</b> | <b>7,276,580</b>            | <b>10.2%</b> | <b>7,380,415</b>     | <b>101.4%</b> |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 5,438,680                     | -132,460                                   | -2.4%        | 5,306,220         | 49,780                | 0.9%         | 5,356,000                   | -1.5%        | 5,380,981            | 100.5%        |
| Contracted Services                        | 521,020                       | -10,000                                    | -1.9%        | 511,020           | 402,700               | 78.8%        | 913,720                     | 75.4%        | 926,346              | 101.4%        |
| Commodities                                | 84,210                        | -3,000                                     | -3.6%        | 81,210            | -1,000                | -1.2%        | 80,210                      | -4.8%        | 43,614               | 54.4%         |
| Internal Charges                           | 653,570                       | 92,460                                     | 14.1%        | 746,030           | 0                     | 0.0%         | 746,030                     | 14.1%        | 727,394              | 97.5%         |
| Other Payments                             | 579,100                       | -68,040                                    | -11.7%       | 511,060           | 89,810                |              | 600,870                     | 3.8%         | 643,158              | 107.0%        |
| <b>Department Total</b>                    | <b>7,276,580</b>              | <b>-121,040</b>                            | <b>-1.7%</b> | <b>7,155,540</b>  | <b>541,290</b>        | <b>7.6%</b>  | <b>7,696,830</b>            | <b>5.8%</b>  | <b>7,721,493</b>     | <b>100.3%</b> |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 5,356,000                     | 162,210                                    | 3.0%         | 5,518,210         | 31,800                | 0.6%         | 5,550,010                   | 3.6%         | 5,327,540            | 96.0%         |
| Contracted Services                        | 913,720                       | -302,960                                   | -33.2%       | 610,760           | 2,023,790             | 331.4%       | 2,634,550                   | 188.3%       | 1,872,820            | 71.1%         |
| Commodities                                | 80,210                        | 0  | 0.0%         | 80,210            | 0                     | 0.0%         | 80,210                      | 0.0%         | 67,390               | 84.0%         |
| Internal Charges                           | 746,030                       | 30,520                                     | 4.1%         | 776,550           | 46,610                | 6.0%         | 823,160                     | 10.3%        | 822,100              | 99.9%         |
| Other Payments                             | 600,870                       | -350,210                                   | -58.3%       | 250,660           | 394,310               | 157.3%       | 644,970                     |              | 892,790              | 138.4%        |
| <b>Department Total</b>                    | <b>7,696,830</b>              | <b>-460,440</b>                            | <b>-6.0%</b> | <b>7,236,390</b>  | <b>2,496,510</b>      | <b>34.5%</b> | <b>9,732,900</b>            | <b>26.5%</b> | <b>8,982,640</b>     | <b>92.3%</b>  |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY14-15<br>\$ Budget          |  |              |                   |                       |              |                             |              |                      |               |
| Personnel Expenses                         | 5,550,010                     | -68,320                                    | -1.2%        | 5,481,690         | 530,700               | 9.7%         | 6,012,390                   | 8.3%         |                      |               |
| Contracted Services                        | 2,634,550                     | 116,750                                    | 4.4%         | 2,751,300         | 82,000                | 3.0%         | 2,833,300                   | 7.5%         |                      |               |
| Commodities                                | 80,210                        | 1,700                                      | 2.1%         | 81,910            | 0                     | 0.0%         | 81,910                      | 2.1%         |                      |               |
| Internal Charges                           | 823,160                       | 1,860                                      | 0.2%         | 825,020           | 0                     | 0.0%         | 825,020                     | 0.2%         |                      |               |
| Other Payments                             | 644,970                       | -75,070                                    | -11.6%       | 569,900           | 42,090                | 7.4%         | 611,990                     | -5.1%        |                      |               |
| <b>Department Total</b>                    | <b>9,732,900</b>              | <b>-23,080</b>                             | <b>-0.2%</b> | <b>9,709,820</b>  | <b>654,790</b>        | <b>6.7%</b>  | <b>10,364,610</b>           | <b>6.5%</b>  |                      |               |

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 31000 - Development Services

| Department Budget<br>By Program            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | %<br>Change  | FY15-16<br>\$ Base Budget | %<br>Change  | FY15-16<br>\$ Bud Augment | % over<br>Base |
|--|----------------------|----------------------|-------------|-----------------------|--------------|---------------------------|--------------|---------------------------|----------------|
| 31101 - Devel Services Admin               | 383,053              | 371,336              | -3.1%       | 411,230               | 10.7%        | 440,130                   | 7.0%         | 89,300                    | 20.3%          |
| 31201 - Planning                           | 1,028,275            | 949,523              | -7.7%       | 1,014,630             | 6.9%         | 992,530                   | -2.2%        | 198,000                   | 19.9%          |
| 3140x - Building                           | 1,604,719            | 1,909,813            | 19.0%       | 2,737,940             | 43.4%        | 2,675,640                 | -2.3%        | -1,200                    | 0.0%           |
| 31501 - Code Enforcement                   | 379,192              | 418,477              | 10.4%       | 527,770               | 26.1%        | 446,970                   | -15.3%       | 0                         | 0.0%           |
| 31601 - Engineering Review                 | 735,724              | 619,464              | -15.8%      | 1,343,560             | 116.9%       | 1,355,320                 | 0.9%         | 163,200                   | 12.0%          |
| 31602 - Capital Project Design             | 1,085,318            | 872,468              | -19.6%      | 804,470               | -7.8%        | 804,790                   | 0.0%         | 25,000                    | 3.1%           |
| 31603 - Utilities Engineering              | 111,675              | 90,392               | -19.1%      | 199,530               | 120.7%       | 200,480                   | 0.5%         | 0                         | 0.0%           |
| 31605 - Traffic Engineering                | 255,571              | 290,554              | 13.7%       | 344,930               | 18.7%        | 344,930                   | 0.0%         | 0                         | 0.0%           |
| 31606 - Construction Managem               | 982,983              | 1,034,753            | 5.3%        | 1,126,740             | 8.9%         | 1,219,300                 | 8.2%         | 0                         | 0.0%           |
| 31801 - Economic Development               | 293,450              | 436,893              | 48.9%       | 542,530               | 24.2%        | 547,530                   | 0.9%         | 141,360                   | 25.8%          |
| 318xx - CDA, Housing & Others              | 520,455              | 727,820              | 39.8%       | 679,570               | -6.6%        | 682,200                   | 0.4%         | 39,130                    | 5.7%           |
| <b>Department Total</b>                    | <b>7,380,415</b>     | <b>7,721,493</b>     | <b>4.6%</b> | <b>9,732,900</b>      | <b>26.0%</b> | <b>9,709,820</b>          | <b>-0.2%</b> | <b>654,790</b>            | <b>6.7%</b>    |
| <b>Department Budget by Object</b>         |                      |                      |             |                       |              |                           |              |                           |                |
| Personnel Expenses                         | 5,659,662            | 5,380,981            | -4.9%       | 5,550,010             | 3.1%         | 5,481,690                 | -1.2%        | 530,700                   | 9.7%           |
| Contracted Services                        | 629,723              | 926,346              | 47.1%       | 2,634,550             | 184.4%       | 2,751,300                 | 4.4%         | 82,000                    | 3.0%           |
| Commodities                                | 61,603               | 43,614               | -29.2%      | 80,210                | 83.9%        | 81,910                    | 2.1%         | 0                         | 0.0%           |
| Internal Charges                           | 632,807              | 727,394              | 14.9%       | 823,160               | 13.2%        | 825,020                   | 0.2%         | 0                         | 0.0%           |
| Other Payments                             | 396,620              | 643,158              | 62.2%       | 644,970               | 0.3%         | 569,900                   | -11.6%       | 42,090                    | 7.4%           |
| <b>Department Total</b>                    | <b>7,380,415</b>     | <b>7,721,493</b>     | <b>4.6%</b> | <b>9,732,900</b>      | <b>26.0%</b> | <b>9,709,820</b>          | <b>-0.2%</b> | <b>654,790</b>            | <b>6.7%</b>    |
| <b>Department Budget by Funding Source</b> |                      |                      |             |                       |              |                           |              |                           |                |
| General Fund 101 - Taxes                   | 1,375,024            | 1,470,324            | 6.9%        | 2,156,070             | 46.6%        | 1,911,600                 | -11.3%       | 154,620                   | 8.1%           |
| Planning Fees                              | 688,999              | 265,302              | -61.5%      | 272,860               | 2.8%         | 282,000                   | 3.3%         | 0                         | 0.0%           |
| Building Fees                              | 1,264,380            | 1,818,984            | 43.9%       | 1,910,000             | 5.0%         | 1,972,000                 | 3.2%         | 450,000                   | 22.8%          |
| Engineering Fees                           | 617,677              | 960,402              | 55.5%       | 2,206,500             | 129.7%       | 2,284,740                 | 3.5%         | -240,000                  | -10.5%         |
| Capital Project Offset                     | 2,631,916            | 2,222,874            | -15.5%      | 2,128,370             | -4.3%        | 2,200,000                 | 3.4%         | 251,040                   | 11.4%          |
| CDA Project Fund 31x                       | 233,446              | 213,686              | -8.5%       | 250,000               | 17.0%        | 250,000                   | 0.0%         | 0                         | 0.0%           |
| Com Devel Block Gt Fund 26x                | 173,036              | 399,975              | 131.2%      | 312,370               | -21.9%       | 315,000                   | 0.8%         | 39,130                    | 12.4%          |
| Downtown Impt Dist Fund 221                | 113,974              | 113,979              | 0.0%        | 117,200               | 2.8%         | 114,000                   | -2.7%        | 0                         | 0.0%           |
| TDA & Gas Tax Funds 24x                    | 170,289              | 165,575              | -2.8%       | 180,000               | 8.7%         | 180,000                   | 0.0%         | 0                         | 0.0%           |
| Water Fund 511                             | 55,018               | 49,806               | -9.5%       | 78,000                | 56.6%        | 78,500                    | 0.6%         | 0                         | 0.0%           |
| Wastewater Fund 521                        | 46,656               | 35,586               | -23.7%      | 96,530                | 171.3%       | 96,980                    | 0.5%         | 0                         | 0.0%           |
| Drainage Fund 541                          | 10,000               | 5,000                |             | 25,000                |              | 25,000                    | 0.0%         | 0                         | 0.0%           |
| <b>Department Total</b>                    | <b>7,380,415</b>     | <b>7,721,493</b>     | <b>4.6%</b> | <b>9,732,900</b>      | <b>26.0%</b> | <b>9,709,820</b>          | <b>-0.2%</b> | <b>654,790</b>            | <b>6.7%</b>    |
| <b>Department Staffing</b>                 |                      |                      |             |                       |              |                           |              |                           |                |
| Total - Full Time Equivalent               | 40.90                | 37.90                | -7.3%       | 38.35                 | 1.2%         | 38.35                     | 0.0%         | 4.30                      | 11.2%          |
| <b>Department Equipment Purchase</b>       |                      |                      |             |                       |              |                           |              |                           |                |
| Replacement Equipment                      | 0                    | 0                    |             | 20,000                |              | 20,000                    | 0.0%         | 0                         | 0.0%           |
| New Equipment                              | 6,700                | 3,575                |             | 0                     |              | 0                         |              | 0                         |                |

Department: **31000 - Development Services**  
 Division: **31100 - Director's Office**  
 Program: **31101 - Development Services Administration**

Administer and direct the Development & Engineering Department and provide the necessary administrative support for its operations and activities. Provide secretarial support to the Planning Commission.

**PERFORMANCE OBJECTIVES**

1. To administer the 14 programs of the department at an admin cost of 5.0% or less of the department operating budget.
2. To oversee a departmental budget about \$10,364,610 and with an authorized staffing of 42.65 full-time equivalents.
3. To complete 90% of all plan reviews and permit inspections within the department's time standards.
4. To receive a rating of good or better on 90% of our customer satisfaction surveys.
5. To design and construction of all full funded CIP projects within schedule and budget.

**COMMENTARY**

This program provides for the Department Director, clerical support, and the City Planning Commission.  
 In FY11-12, program costs were down. And, again in FY13-14, they show a small decrease.  
 In FY14-15, program staffing added a full-time Admin Assistant at mid year and 0.45 FTEs in clerical help. So, program costs are going up.  
 In FY15-16, program staffing will add another 0.30 FTEs in clerical help. The program budget provides for current and added staffing, some added funding for telephone costs, but maintains current funding for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|   | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                            | 318,241   | 312,818   | -1.7%  | 349,400    | 335,950      | 96.2%  | 7.4%   | 460,400    | 31.8%    |
| Contracted Services                           | 35,965    | 27,709    | -23.0% | 21,450     | 16,780       | 78.2%  | -39.4% | 33,180     | 54.7%    |
| Commodities                                   | 4,658     | 5,421     | 16.4%  | 8,880      | 5,860        | 66.0%  | 8.1%   | 8,880      | 0.0%     |
| Internal Charges                              | 24,189    | 25,388    | 5.0%   | 31,500     | 31,500       | 100.0% | 24.1%  | 26,970     | -14.4%   |
| Other Payments                                | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 383,053   | 371,336   | -3.1%  | 411,230    | 390,090      | 94.9%  | 5.1%   | 529,430    | 28.7%    |
| Amended Budget                                | 375,090   | 377,940   |        |            | 428,730      |        |        |            |          |
| % of Amended Spent                            | 102.1%    | 98.3%     |        |            | 91.0%        |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 36,213    | 100,266   | 176.9% | 110,530    | 100,290      | 90.7%  | 0.0%   | 231,230    | 109.2%   |
| Building Fees                                 | 174,520   | 112,370   | -35.6% | 150,300    | 120,120      | 79.9%  | 6.9%   | 123,600    | -17.8%   |
| Engineering Fees                              | 86,160    | 79,350    | -7.9%  | 75,200     | 84,840       | 112.8% | 6.9%   | 87,300     | 16.1%    |
| Capital Project Offset                        | 86,160    | 79,350    | -7.9%  | 75,200     | 84,840       | 112.8% | 6.9%   | 87,300     | 16.1%    |
| CDA Project Fund 381                          | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                 | 383,053   | 371,336   | -3.1%  | 411,230    | 390,090      | 94.9%  | 5.1%   | 529,430    | 28.7%    |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Director of Development Servs                 | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Exec Asst II-Admin Secretary                  | 0.00      | 0.00      |        | 0.50       | 0.50         |        |        | 0.50       |          |
| Admin Assts-Sr Admin Clerk                    | 0.55      | 0.50      |        | 0.00       | 1.00         |        |        | 1.00       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| Planning Commissioners (5)                    | 0.20      | 0.20      |        | 0.20       | 0.20         |        |        | 0.20       |          |
| Temp Clerk                                    | 0.00      | 0.00      |        | 0.45       | 0.45         |        |        | 0.75       |          |
| Total - Full-Time Equivalents                 | 1.75      | 1.70      | -2.9%  | 2.15       | 3.15         | 146.5% | 85.3%  | 3.45       | 9.5%     |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Completed final review and permits for Amazon.com.
2. Completed Specific Plan, Draft EIR, Development Agreement and annexation of the 1,790 Cordes Ranch project.
3. Initiated work on Draft Amendment to Tracy Hills Specific Plan and environmental document.
4. Approved over 150 land use permit actions, including more than 15 major projects requiring Commission or Council action (such as Amazon.com, Prime Shine, McDonald's and three apartment projects) in the I-205 Corridor Specific Plan, Infill, Northeast Industrial Area, and South Industrial Specific Plan areas.
5. Completed a comprehensive update to the Growth Management Ordinance Guidelines.
6. Annexed the Legacy Park site to the City limits.
7. Completed review of various subdivision maps including Ellis, Kagehiro Phase III, Elissagaray Infill and Tiburon Village.
8. Initiated review of proposed senior housing project on a 130-acre site Valpico/Corral Hollow Roads.
9. Participate in San Joaquin County projects and processes including Regional Housing Needs Allocation process, Habitat Conservation technical advisory committee, and Regional Transportation Plan/Sustainable Communities Strategy.

### Current Projections: FY 14-15

1. Complete development and building permits for two major employers in the Cordes Ranch project – FedEx and Medline.
2. Publish Draft Amendment to the Tracy Hills Specific Plan and environmental documents for public review.
3. Negotiate and Process Development Agreement for the Tracy Hills Project.
4. Complete public hearings on the proposed Sutter Medical Office development.
5. Oversee preparation and monitoring of environmental documents such as Environmental Impact Reports and Negative Declarations in support of General Plan and specific development applications.
6. Approve over 150 land use permit actions, including more than 15 major projects requiring Commission or Council action in the I-205 Corridor Specific Plan, Infill, Northeast Industrial Area, and South Industrial Specific Plan areas.

### Current Projections: FY 14-15 Continued

7. Complete SB610 Water Supply Assessments for new development projects.
8. Conduct three Planning Commission workshops on the Tracy Hills project.
9. Initiate environmental review of 130-acre proposed senior housing project at Corral Hollow and Valpico Road.
10. Complete review and approvals for two apartment complexes in the I-205 Corridor Specific Plan area totaling over 750 multifamily units.
11. Initiate review of proposed 250-unit single family neighborhood on Lammers Road.
12. Initiate review of proposed development of townhomes/multi-family development at Joe Pombo Parkway/Grantline Road.
13. Participate in San Joaquin County projects and processes.

### Future Projections: FY 15-16

1. Initiate update to the City's General Housing Element.
2. Amend the I-205 Specific Plan to further streamline development approvals.
3. Complete review of various infill subdivision maps, including MacArthur/Valpico single family home project, Berg Road/Byron Road single family home project.
4. Complete review of major apartment projects at Middlefield Road, Joe Pombo Parkway, and Grantline Road.
5. Complete review of subdivision maps within the Tracy Hills project, and Ellis project.
6. Complete review of 130-acre senior housing community at Corral Hollow and Valpico Road.
7. Complete review of 250-unit single family neighborhood on Lammers Road.
8. Initiate work on Larch Clover area annexation application.
9. Initiate review of development proposals on Urban Reserve areas UR5 and UR7.
10. Approve over 150 land use permit actions, including more than 15 major projects requiring Commission or council action in the I-205 Corridor Specific Plan, Infill, Northeast Industrial Areas, and south Industrial Specific Plan areas.
11. Continue participating in San Joaquin County projects and processes

Department: 31000 - Development Services  
 Division: 31200 - Planning Division  
 Program: 31201 - Planning Services

**PERFORMANCE OBJECTIVES**

Coordinate long-range planning activities related to the General Plan, specific plans, rezoning, and annexations. Process and review plans and applications for zoning, subdivisions, and growth management.

1. To process 125 land use permit applications, including 15 major projects.
2. To provide accurate, timely information to the public regarding City land-use and development policies and standards.
3. To prepare and coordinate Planning Commission agenda and report preparation and distribution; and prepare and maintain Commission minutes and Reports of Action.
4. To complete amendment to Tracy Hills Specific Plan, zoning documents for 2 major residential projects, development permits for 2 major employers at Cordes Ranch, 3 subdivisions, and apartment complexes throughout the City.
5. To generate \$422,000 in program revenues and recover 40% of program costs.

**COMMENTARY**

In FY10-11, the Advanced Planning and the Current Planning programs were consolidated into this new program. In FY11-12 and FY12-13, program costs showed a modest decreases, while personnel costs were up, contracted costs were down. In FY13-14, program costs show a decrease, due to a reduction support staff.

In FY14-15, program costs are expected to show a moderate increase.

For FY15-16, an Assistant Planner will be upgrded to a Senior Planner. The program budget provides for current and revised staffing, some increase for special contracts, but maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b>                   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 845,420              | 802,312              | -5.1%            | 852,700               | 834,060                 | 97.8%          | 4.0%             | 844,800               | -0.9%              |
| Contracted Services                           | 58,833               | 18,124               | -69.2%           | 19,490                | 39,700                  | 203.7%         | 119.0%           | 206,490               | 959.5%             |
| Commodities                                   | 2,005                | 1,777                | -11.4%           | 5,250                 | 4,600                   | 87.6%          | 158.9%           | 5,250                 | 0.0%               |
| Internal Charges                              | 83,540               | 94,960               | 13.7%            | 105,690               | 105,600                 | 99.9%          | 11.2%            | 105,690               | 0.0%               |
| Other Payments                                | 38,475               | 32,530               | -15.5%           | 31,500                | 30,160                  | 95.7%          | -7.3%            | 31,500                | 0.0%               |
| <b>Program Total</b>                          | <b>1,028,273</b>     | <b>949,703</b>       | <b>-7.6%</b>     | <b>1,014,630</b>      | <b>1,014,120</b>        | <b>99.9%</b>   | <b>6.8%</b>      | <b>1,193,730</b>      | <b>17.7%</b>       |
| Amended Budget                                | 1,035,100            | 972,500              |                  |                       | 1,029,630               |                |                  |                       |                    |
| % of Amended Spent                            | 99.3%                | 97.7%                |                  |                       | 98.5%                   |                |                  |                       |                    |
| <b>FUNDING SOURCES</b>                        |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 240,250              | 368,829              | 53.5%            | 601,770               | 557,020                 | 92.6%          | 51.0%            | 771,730               | 28.2%              |
| Planning Fees                                 | 587,028              | 245,301              | -58.2%           | 272,860               | 307,100                 | 112.5%         | 25.2%            | 282,000               | 3.3%               |
| Capital Project Offset                        | 200,995              | 335,573              | 67.0%            | 140,000               | 150,000                 | 107.1%         | -55.3%           | 140,000               | 0.0%               |
| <b>Program Total</b>                          | <b>1,028,273</b>     | <b>949,703</b>       | <b>-7.6%</b>     | <b>1,014,630</b>      | <b>1,014,120</b>        | <b>99.9%</b>   | <b>6.8%</b>      | <b>1,193,730</b>      | <b>17.7%</b>       |
| <b>PROGRAM STAFFING</b>                       |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Senior Planner                                | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 3.00                  |                    |
| Associate Planner                             | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Assistant Planner                             | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 0.00                  |                    |
| Admin Assts                                   | 0.45                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.00                  |                    |
| Exec Assts-Sr Secretary/Secretary             | 1.00                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 1.00                  |                    |
| Assistant Director                            | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>6.45</b>          | <b>6.00</b>          | <b>-7.0%</b>     | <b>6.00</b>           | <b>6.00</b>             | <b>0.0%</b>    | <b>0.0%</b>      | <b>6.00</b>           | <b>0.0%</b>        |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Completed 9,128 building inspections.
2. Processed and issued 1,924 building permits.
3. Generated \$1,867,766 in building permit/plan review revenue.
4. Completed 3,310 fire code inspections.
5. Processed 76 fire construction permits.
6. Generated \$198,759 in fire permit (construction and operational)/plan review revenue.
7. Continued to develop capabilities and skills of plans examiners with mentoring process. Specialized instruction included building accessibility for persons with disabilities and plan review based upon the Fire Code including proprietary engineered fire suppression systems for commercial kitchen hoods and residential sprinkler systems, fire alarm plan review and development review applications.
8. Continued weekly in-house training for plans examiners, fire and building inspectors, and permit technicians.
9. Completed digitization building plan archives. 100% of plans and files digitized. Goal exceeded
10. Continue to digitize fire prevention plans/files. Thus far, 75% of plans and 5% of fire files have been digitized.
11. Continued to implement the goal of reaching 65% of all permits to be over the counter (OTC) permits via expanded plan review processes by Permit Technicians and to expand additional permit types for the OTC process through training and modified forms. 78.9% of issued permits were either OTC 70.4%) or instant permits over the internet (8.5%). Permit Technicians have been trained to perform OTC plan review in gas line sizing, residential swimming pools and most commercial signs in addition to reviewing and approving SOQ's for special inspection agencies.
12. Completed the redesign of the Building Division's website as it now encompasses Fire Prevention. Goal met.
13. Continued to involve inspection staff in plan review for larger projects.
14. Completed post-disaster building evaluation and assessment kit. Goal met
15. Completed 80% of all plan reviews targeted for a maximum of a 20-day review on time. Goal is 90%.
16. Completed 83.6% of all plan review targeted for a maximum of a 10-day review on time. Goal is 90%.
17. Completed 92% of all plan review targeted for same day service within that given day. Goal is 95%.
18. Goal was met to receive an "Excellent" or "Good" rating for services performed from 90% of our customers via the Building Safety Division Customer Satisfaction Survey. We received a mark of 90%.
19. Assisted Code Enforcement with combined inspections within 24 hours or received request. Our objective is to meet this target 95% of the time.
20. Completed 99% of all inspections within 24 hours of request.

21. Continued the Fire Prevention and Building Division consolidation, physically relocated inspection staff to City Hall
22. Met SB1608 provisions to ensure that a "sufficient number" of inspectors/plan reviewers are certified as CASp. Goal-Out of 6 employees, 3 are currently certified.

### Current Projections FY 14-15

1. Continue to develop capabilities of plan examiners with the mentoring process.
2. Continue to provide weekly in-house training for Plans Examiners, Fire and Building Inspectors and Permit Technicians.
3. Continue bi-weekly process system review meetings. These have also become team building meetings.
4. Continue to expand the use of the tracking software reporting systems to include Engineering, Planning, and Fire Prevention.
5. Continue to digitize fire prevention plan/files. Thus far 75% of plans have been digitized. Goal – 10% of files and 100% of plans by fiscal year's end.
6. Continue to exercise the efficiencies created to meet the goal of 65% of all permits to be issued over the counter (OTC) or instantly on-line. So far, the City is issuing 76.5% of its permits OTC (71% or on-line (5.5%).
7. Complete 90% of all plan review targeted for a maximum of a 20-day review on time. Current percentage tracked is 79% thus far. This included in-house and contracted review services for both building and fire prevention.
8. Complete 90% of all plan review targeted for a maximum of a 10-day review on time. Current percentage tracked is 84.6%. This includes in-house and contracted reviews for both building and fire prevention.
9. Complete 95% of all plan review targeted for same day service within that given day. Current percentage tracked is 83%.
10. Continue to receive an "Excellent" or "Good" rating for services performed and processing time from 90% of our customers who complete our survey. Current percentage tracked is 100%.
11. Complete 12,000 building inspections. 6,467 have been completed at the mid-point of the current fiscal year.
12. Process 3,000 building permits. 1,547 have been processed at the mid-point of the current fiscal year.
13. Generate \$1,972,000 in building permit/plan review revenue. \$1,046,995 has been collected at the mid-point of the current fiscal year.
14. Perform 3,000 fire code inspections. 1,586 have been completed at the mid-point of the current fiscal year.
15. Process 90 fire construction permits. 47 have been processed at the mid-point of the current fiscal year.

16. Generate \$217,000 in fire permit (construction and operational)/plan review revenue. \$117,764 has been collected at the mid-point of the current fiscal year.
17. Hire replacement Permit Technician and Building/Fire Inspector.
18. Continue to update the Building Safety and Fire Prevention Division's website.
19. Continue to develop list of historical dates associated with building code adoption dates mandated by the State and/or adopted locally by the City. Project is 50% complete.
20. Meet with SB1608 provisions to ensure that a "sufficient number" of inspectors/plan reviewers are certified as CASp. By end of FY14/15 there will be four certified.
21. Continue to involve inspection staff in plan review for larger projects.
22. Continue to conduct semi-annual meetings with stakeholders in the permit process. At each meeting the City relates how suggestions/recommendations from previous meetings have been implemented.
23. Continue to assist Code Enforcement with combined inspections within 24 hours of received request. Our objective is to provide this service 95% of the time.
24. Continue the Fire Prevention and Building Division consolidation and provide for training needs. Will continue weekly team building meetings.
25. Develop Fire Prevention Standards. Completed 8 this fiscal year.
26. Continue the "Green Review" process wherein the Building Division can implement electronic plan reviews in both Building and Fire. Goal – Ensure that Planning and Engineering can accommodate the electronic review by end of 2015 calendar year.
27. Continue to revise fire prevention handouts. Goal – 20% by end of fiscal year. Will not meet this goal.
28. Completed 99% of all inspections within 24 hours of request to date.
7. Consider expanding the permit types that are currently being offered on-line to include other simple permits.
8. Continue to update Building Safety and Fire Prevention Division's website.
9. Continue with Building and Fire Prevention Division consolidation and training needs.
10. Continue to involve inspection staff in plan review for larger projects.
11. Goal to ensure that both plans examiners become certified as CASp (Certified Access Specialists).
12. Complete 90% of all plan review targeted for a maximum of a 20-day review on time.
13. Complete 90% of all plan review targeted for a maximum of a 10-day review on time.
14. Complete 95% of all plan review targeted for same day service within that given day.
15. Continue to receive an "Excellent" or "Good" rating for services performed and processing time from 90% of our customers who complete our survey.
16. Continue to assist Code Enforcement with combined inspections within 24 hours of received request.
17. Continue to develop handouts that will assist to discourage permit avoidance by simplifying the process as well as reducing the cost for required designs.
18. Complete 99% of all inspections within 24 hours of received request.
19. Perform 12,000 building inspections.
20. Process 3,000 building permits.
21. Generate \$1,972,000 in building permit/plan review revenue.
22. Perform 3,000 fire code inspections.
23. Process 90 fire construction permits.
24. Generate \$217,000 in fire permit (construction and operational)/plan review revenue.
25. Complete 'Green Review' process wherein the Development Services Department will be able to perform electronic plan review.
26. Continue to conduct semi-annual meetings with our stakeholders to ensure quality services are being provided to our customer base and to make process adjustments where possible.
27. Continue to develop list of historical dates associated with building code adoption dates mandated by the State and/or adopted locally by the City of Tracy. Goal 70% complete by end of fiscal year.
28. Secure new Building/Fire Inspector position to accommodate for increased development.
29. Continue to develop Fire Prevention Standards. Complete 8 more for a total of 16.

Future Projections: FY 15-15

1. Continue to develop capabilities of plan examiners, fire and building inspectors and permit technicians.
2. Continue to provide weekly in-house training of staff.
3. Continue weekly process system review meetings with a team building component.
4. Complete implementation of new tracking software (Tyler) and reporting systems for the benefit of Building, Engineering, Planning, Code Enforcement, and Fire Prevention.
5. Digitize 25% of Fire Prevention files.
6. Continue to exercise the efficiencies created to meet the goal of 65% of all permits to be issued over the counter (OTC) or instantly (on-line permitting).

Department: 31000 - Development Services  
 Division: 31400 - Building Division  
 Program: 31401 - Building Plans Checking

**PERFORMANCE OBJECTIVES**

Process and review plans and applications for building and construction projects within the City. Issue building permits and maintain the plans and records for such projects.

1. To issue 2,000 building permits, including 300 for single family homes.
2. To complete 90% of plan checks for major permits within 20 working days for initial review. and 10 days for all subsequent reviews.
3. To receive a "good" or better ratings from 90% of customers.
4. To complete 90% of plan checks for major permits within 10 working days for subsequent reviews.
5. To generate at least \$900,000 in program revenues and recover 74% of program costs.

**COMMENTARY**

In both FY12-13 and FY13-14, program workload picked, so program costs and revenues showed major increases, particularly for contracted plan check costs.

In FY14-15, again program workload, costs, and revenues are showing major increases.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and maintains current funding for other cost items. Workload and revenues are expected to remain at their currently high level.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 531,191              | 549,857              | 3.5%             | 581,300               | 552,740                 | 95.1%          | 0.5%             | 564,400               | -2.9%              |
| Contracted Services         | 181,882              | 220,710              | 21.3%            | 562,380               | 307,990                 | 54.8%          | 39.5%            | 562,260               | 0.0%               |
| Commodities                 | 7,495                | 3,605                | -51.9%           | 5,200                 | 5,100                   | 98.1%          | 41.5%            | 5,200                 | 0.0%               |
| Internal Charges            | 63,087               | 74,219               | 17.6%            | 82,500                | 81,900                  | 99.3%          | 10.3%            | 82,620                | 0.1%               |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>783,655</b>       | <b>848,391</b>       | <b>8.3%</b>      | <b>1,231,380</b>      | <b>947,730</b>          | <b>77.0%</b>   | <b>11.7%</b>     | <b>1,214,480</b>      | <b>-1.4%</b>       |
| Amended Budget              | 1,054,870            | 911,840              |                  |                       | 1,231,380               |                |                  |                       |                    |
| % of Amended Spent          | 74.3%                | 93.0%                |                  |                       | 77.0%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes | 308,985              | 98,173               | -68.2%           | 506,530               | 107,790                 | 21.3%          | 9.8%             | 376,280               | -25.7%             |
| Building Fees            | 474,670              | 750,218              | 58.1%            | 724,850               | 839,940                 | 115.9%         | 12.0%            | 838,200               | 15.6%              |
| <b>Program Total</b>     | <b>783,655</b>       | <b>848,391</b>       | <b>8.3%</b>      | <b>1,231,380</b>      | <b>947,730</b>          | <b>77.0%</b>   | <b>11.7%</b>     | <b>1,214,480</b>      | <b>-1.4%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Building Official                             | 0.50                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Plan Examiner                                 | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                  |                    |
| Admin Assts-Sr Admin Clerk                    | 0.50                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Building Permit Technician                    | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                  |                    |
| Allocated to Fire Dept                        | -0.20                | -0.20                |                  | -0.20                 | -0.20                   |                |                  | -0.20                 |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>4.80</b>          | <b>4.80</b>          | <b>0.0%</b>      | <b>4.80</b>           | <b>4.80</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>4.80</b>           | <b>0.0%</b>        |

Department: **31000 - Development Services**  
 Division: **31400 - Building Division**  
 Program: **31402 - Building Inspections**

**PERFORMANCE OBJECTIVES**

Perform field inspections on permitted building and construction projects to ensure compliance to adopted codes and ordinances. Perform enforcement against any unpermitted projects.

1. To perform & record results of 8,000 building inspections.
2. To provide combination inspections within 24 hours of request.
3. To receive a "good" or better rating from 90% of customers.

**COMMENTARY**

In both FY12-13 and FY13-14, program workload picked, so program costs and revenues showed major increases, particularly for contracted inspection costs.

In FY14-15, again program workload, costs, and revenues are showing major increases.

For FY15-16, workload is expected to remain at its currently high level. So, program staffing will add new full-time Building Inspector. The program budget provides for current and added staffing, but reduces monies for contracted work, and maintains current funding for other cost items.

4. To generate at least \$1,522,000 in program revenues, and recover 100% of program costs.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 620,619              | 641,569              | 3.4%             | 640,800               | 675,750                 | 105.5%         | 5.3%             | 724,200               | 13.0%              |
| Contracted Services                | 118,815              | 331,424              | 178.9%           | 759,400               | 442,970                 | 58.3%          | 33.7%            | 629,400               | -17.1%             |
| Commodities                        | 14,265               | 6,964                | -51.2%           | 10,920                | 8,970                   | 82.1%          | 28.8%            | 10,920                | 0.0%               |
| Internal Charges                   | 67,366               | 81,465               | 20.9%            | 95,440                | 95,400                  | 100.0%         | 17.1%            | 95,440                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>               | <b>821,065</b>       | <b>1,061,422</b>     | <b>29.3%</b>     | <b>1,506,560</b>      | <b>1,223,090</b>        | <b>81.2%</b>   | <b>15.2%</b>     | <b>1,459,960</b>      | <b>-3.1%</b>       |
| Amended Budget                     | 836,300              | 1,472,840            |                  |                       | 1,601,560               |                |                  |                       |                    |
| % of Amended Spent                 | 98.2%                | 72.1%                |                  |                       | 76.4%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 202,510              | 105,026              | -48.1%           | 471,710               | 161,150                 | 34.2%          | 53.4%            | (240)                 | -100.1%            |
| Building Fees                 | 618,555              | 956,396              | 54.6%            | 1,034,850             | 1,061,940               | 102.6%         | 11.0%            | 1,460,200             | 41.1%              |
| <b>Program Total</b>          | <b>821,065</b>       | <b>1,061,422</b>     | <b>29.3%</b>     | <b>1,506,560</b>      | <b>1,223,090</b>        | <b>81.2%</b>   | <b>15.2%</b>     | <b>1,459,960</b>      | <b>-3.1%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13     | FY13-14     | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|-------------|-------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |             |             |                  |                       |                         |                |                  |                       |                    |
| Building Official                             | 0.50        | 0.50        |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Building Inspector                            | 2.50        | 2.50        |                  | 2.50                  | 2.50                    |                |                  | 3.50                  |                    |
| Admin Asst II-Sr Admin Clerk                  | 0.75        | 0.70        |                  | 0.70                  | 0.70                    |                |                  | 0.70                  |                    |
| Building Inspector Supervisor                 | 1.00        | 1.00        |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Allocated to Fire Dept                        | -0.10       | -0.10       |                  | -0.10                 | -0.10                   |                |                  | -0.10                 |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |                  |                       |                         |                |                  |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>4.65</b> | <b>4.60</b> | <b>-1.1%</b>     | <b>4.60</b>           | <b>4.60</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>5.60</b>           | <b>21.7%</b>       |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments FY 12-13

1. Continued participating in the Public Safety Strategy Program's implementation.
2. Conducted the City's 5<sup>th</sup> annual Free Mosquito Fish Program.
3. Continued working with Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
4. Conducted four IDEA Team meetings and neighborhood walkthroughs.
5. Worked with the City's Finance Division on loss prevention methods as a result of water theft.
6. Established more aggressive enforcement of illegally removed shopping carts.
7. Worked with disabled adults program and court ordered community service individuals on volunteer opportunities regarding removal of illegal signage, neighborhood clean-ups and vegetation abatement.
8. Continued education of Code Enforcement staff for building inspection and code enforcement certification.
9. Continued education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer and certification.

### Current Projections: FY 13-14

1. Participated in the Public Safety Strategy Program implementation.
2. Promote community education on code enforcement issues by way of literature, public service announcements on Access Channel 26, and presenting information to civic organizations and watch programs.
3. Conduct the City's 6<sup>th</sup> annual Free Mosquito Fish Program.
4. Implement a volunteer-based Graffiti Paint-Out Program to abate graffiti on private property (with owner's consent).
5. Continue education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer safety and certification.

### Current Projections: FY 13-14 Continued

6. Continue working with the Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
7. Conduct four IDEA Team meetings and neighborhood walkthroughs.
8. Continuing education of Code Enforcement staff for building inspection and code enforcement certification.
9. Work with receivership attorneys on receiver methods for problem properties.
10. Establish more aggressive enforcement of illegally removed shopping carts.

### Future Projections: FY 14-15

1. Conduct the City's 7<sup>th</sup> annual Free Mosquito Fish Program.
2. Provide education to elementary school aged children on building construction hazards, public nuisances, and graffiti.
3. Continue education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer safety and certification.
4. Continue working with the Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
5. Conduct four IDEA Team meetings and neighborhood walkthroughs.
6. Continue education of Code Enforcement staff for building inspection and code enforcement certification.
7. Work with receivership attorneys on receiver methods for problem properties.

Department: **31000 - Development Services**  
 Division: **31500 - Code Enforcement Division**  
 Program: **31501 - Code Enforcement**

**PERFORMANCE OBJECTIVES**

Perform field inspections and respond to complaints to enforce codes and ordinances related to zoning, land-use, and sub-standard dwellings.

1. To respond to 900 complaints within 48 hours of receipt.
2. To perform 1,200 field inspections.
3. To resolve 98% of the violations without court action.
4. To achieve voluntary compliance of 95% of code enforcement

**COMMENTARY**

In FY12-13, program staffing was reduced by 1.5 FTEs. Program costs showed a moderate decrease due to this staff reductions. In FY13-14, program costs showed an increase, due to contracted work on abatements.

In FY14-15, again program costs will show an increase; while contract costs are down, court costs are expected to be high.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, some minor adjustments for other costs items. The budget includes \$30,700 for abatements. The \$75,000 budgeted for court costs in FY14-15 will be carried into FY15-16, if not spent in FY14-15.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost       | FY14-15        | FY14-15        | % of         | % Cost       | FY15-16        | % Budget      |
|-----------------------------|----------------|----------------|--------------|----------------|----------------|--------------|--------------|----------------|---------------|
|                             | \$ Actual      | \$ Actual      | Change       | \$ Adopted     | \$ Estimated   | Budget       | Change       | \$ Adopted     | Change        |
| Personnel Expenses          | 322,936        | 335,581        | 3.9%         | 347,800        | 345,360        | 99.3%        | 2.9%         | 341,500        | -1.8%         |
| Contracted Services         | 7,366          | 22,153         | 200.7%       | 34,030         | 16,290         | 47.9%        | -26.5%       | 29,570         | -13.1%        |
| Commodities                 | 7,404          | 7,802          | 5.4%         | 11,220         | 9,800          | 87.3%        | 25.6%        | 11,220         | 0.0%          |
| Internal Charges            | 39,830         | 52,971         | 33.0%        | 49,720         | 49,700         | 100.0%       | -6.2%        | 54,180         | 9.0%          |
| Other Payments              | 1,656          | (30)           | -101.8%      | 85,000         | 85,900         | 101.1%       |              | 10,500         | -87.6%        |
| <b>Program Total</b>        | <b>379,192</b> | <b>418,477</b> | <b>10.4%</b> | <b>527,770</b> | <b>507,050</b> | <b>96.1%</b> | <b>21.2%</b> | <b>446,970</b> | <b>-15.3%</b> |
| Amended Budget              | 420,750        | 446,840        |              |                | 527,770        |              |              |                |               |
| % of Amended Spent          | 90.1%          | 93.7%          |              |                | 96.1%          |              |              |                |               |

| <b>FUNDING SOURCES</b>   |                |                |              |                |                |              |              |                |               |
|--------------------------|----------------|----------------|--------------|----------------|----------------|--------------|--------------|----------------|---------------|
| General Fund 101 - Taxes | 379,192        | 418,477        | 10.4%        | 527,770        | 507,050        | 96.1%        | 21.2%        | 446,970        | -15.3%        |
| CDA Project Fund         | 0              | 0              |              | 0              | 0              |              |              | 0              |               |
| <b>Program Total</b>     | <b>379,192</b> | <b>418,477</b> | <b>10.4%</b> | <b>527,770</b> | <b>507,050</b> | <b>96.1%</b> | <b>21.2%</b> | <b>446,970</b> | <b>-15.3%</b> |

| <b>PROGRAM STAFFING</b>                       |             |             |             |             |             |               |             |             |             |
|---|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |               |             |             |             |
| Comm Preservation Mgr                         | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |             |
| Code Enforcement Officer                      | 1.00        | 1.00        |             | 1.00        | 1.00        |               |             | 1.00        |             |
| Admin Asst II-Sr Admin Clerk                  | 0.20        | 0.20        |             | 0.20        | 0.20        |               |             | 0.20        |             |
| Building Inspector                            | 0.50        | 0.50        |             | 0.50        | 0.50        |               |             | 0.50        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |               |             |             |             |
| <b>Total - Full-Time Equivalents</b>          | <b>2.70</b> | <b>2.70</b> | <b>0.0%</b> | <b>2.70</b> | <b>2.70</b> | <b>100.0%</b> | <b>0.0%</b> | <b>2.70</b> | <b>0.0%</b> |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments Projections: FY 13-14

1. Construction of Fire Station #96 and 92, CIP 71061/71062
2. Design of Corral Hollow Road widening to 6 lanes from Eleventh Street to Schulte Road, CIP 73103
3. Traffic Loops Replacement – Various Locations.
4. Construction of Holly sugar Sports Complex, CIP 78115.
5. Construction of Lincoln Well Rehabilitation, CIP 75076.
6. Airport hangar improvements, CIP 77027.
7. Energy efficient street light conversion, CIP 72085
8. Construction of street patch and overlay FY13-14, CIP 73138
9. Construction of sidewalk, curb and gutter repairs, CIP 73134.
10. Wastewater line replacement FY13-14, CIP 74098.
11. Water line replacement FY13-14, CIP 75117
12. FY 13/14 Citywide Sidewalk, Curb and Gutter Repairs, CIP 73139
13. El Pescadero Park New Basketball Court, CIP 78146.
14. Street Patch and Overlay, CIP 73130.
15. Construction of Sidewalk along Valpico Road between Tracy Blvd & MacArthur Dr., CIP 73133.
16. Larch Road Pump Station Replacement, CIP 74016.
17. El Pescadero Park Restroom, CIP 78141.
18. Scout Hut Demolition, CIP 78142.
19. MacDonald Park Handball Court Refinish, CIP 78143.
20. MacDonald Park Restroom Replacement, CIP 78144.
21. Design of Tracy Municipal Airport Runways, CIP 77037.
6. Tracy Ball Park Renovation Phase 1, CIP 78053.
7. Fire Station 91 Quarters Modification, CIP 71075.
8. I-205 Direction Sign, CIP 73136.
9. Continue working on FY 14/15 projects including multi-year projects.
10. Fabian Road Improvement – Design.
11. FY 14/15 Citywide Sidewalk Replacement, CIP 73PP-0989.
12. FY 14/15 Water Line Replacement.
13. FY 14/15 Wastewater Line Replacement.
14. Design of Bessie Avenue Reconstruction (Eaton to Grant Line)
15. Design of Wastewater Line Replacement on Bessie Ave. (Emerson to Grant Line).
16. FY 14/15 Storm Drainage Line Replacement.
17. Arbor Avenue Drainage Improvement.
18. Chaparral Pump Station Rehabilitation.
19. City Buildings including Library Building Door Modification.
20. Design and Right of Way – Valpico Road Widening (Tracy Blvd. to Pebblebrook), CIP 73095.
21. Design and Right of Way – Valpico Road Widening (Pebblebrook to MacArthur Drive), CIP 73061.
22. Design of Force main line between Corral Hollow Road lift station and WWTP, CIP 73102.
23. Complete Traffic Signal @ Lammers Road and Schulte Road Intersection, CIP 72068.
24. /Design I-205/Chrisman Road Interchange – EIR, CIP 73109.
25. Design of Corral Hollow Road Widening between Byron Road and Grant Line Road, CIP 73102.
26. Eleventh Street and Old MacArthur Intersection Improvements Environmental and design, CIP 72069.
27. Larch Road Reconstruction – Holly Drive to Tracy Blvd., CIP 73125.
28. Design of Wastewater Treatment Plan Expansion – Phase 2A, CIP 74107.
29. Wastewater Line Upgrade – Eastside, Design, CIP 74084.
30. Corral Hollow Road & Golden Leaf Drive – Intersection Improvements, CIP 72071.
31. Wastewater Recycling Pipeline Phase 1, CIP 74091.
32. Wastewater Collection System Upgrade 0 Hansen Road, CIP 74097.

### Current Projections: FY 14-15

1. Design, environmental study and right of way- Eleventh Street bridge replacement. Completed EIR, right of way in progress, CIP 73096
2. Design, R/W – MacArthur Drive Widening – Valpico Road to Schulte Road, CIP 73126 – Completed EIR.
3. Construction of Larch Road Pump Station Upgrade (Storm), CIP 76054.
4. Construction of Storm Drainage Pipe Replacement FY 13/14, CIP 76062/76061.
5. Tracy Airport Pavement Rehabilitation, CIP 77037 – Waiting for FAA approval.

Future Projections: FY 15-16

1. Street Patch and Overlay FY 15/16, CIP 73PP-00/\_\_\_
2. Lowell Avenue Detention Basin Right of Way.
3. Traffic Signal at Tracy Blvd and Linne Road.
4. Tracy Blvd Advance Traffic Signal, CIP 72-PP-112
5. Site Improvement PS Tower Site, CIP 71081.
6. Traffic Signal @ Corral Hollow and Valpico, CIP 72PP-053
7. Street Patch & Overlay FY 15/16, CIP 73PP-0019
8. Widening of 11<sup>th</sup> Street (MacArthur to Chrisman), CIP 73PP-044.
9. Widening of Corral Hollow Road (Schulte to Linne), CIP 73PP-046.
10. Wastewater Recycling Pipe Line, Phase 1, CIP\_\_\_\_\_
11. Wastewater Line Replacement FY 15/16, CIP 74PP-0019
12. New Waterline Corral Hollow Rd., CIP 74PP-114
13. New Wastewater Eastside Sewer, CIP 74PP-115
14. Wastewater Line Upgrades East Grant Line Road, CIP 74PP-116
15. Water Line Replacement, CIP 75PP-0019
16. Storm Drainage Replacement FY 15/16, CIP 76PP-0019
17. Detention Basin 2B, CIP 76PP-024
18. Detention Basin Lowell, CIP 76PP-074

Department: **31000 - Development Services**  
 Division: **31600 - Engineering Division**  
 Program: **31601 - Engineering Project Review**

**PERFORMANCE OBJECTIVES**

Process and review applications and plans for the private development of subdivisions and for permits pertaining to the use of the City's right-of-ways.

1. To review and process applications for 12 final subdivision maps and 3 final parcel maps, 4 lot line adjustments, and 3 vacation of ROWs.
2. To review and process 24 preliminary site plans, grading, drainage and utility improvement plans and offsite improvement plans.
3. To review and process 14 development review, preliminary/final development plans and conditional use permit applications, lot line adjustment, and vacation of right of way.
4. To review and process 14 grading permits, 420 encroachment permits, 415 transportation permits, 36 residential building permits, 24 commercial building permits and 18 tenant improvement permits.
5. To generate at least \$1,260,000 in program revenues.
6. To contract \$650,000 for engineering plan checks.

**COMMENTARY**

In FY12-13, program costs showed a moderate increase, with added contract costs, while revenues were up. In FY13-14, program costs showed a major decrease, reflecting staff reductions due to retirements. Also, contracted costs were down, but revenues went up.

In FY14-15, workload, costs, and revenues are all increasing significantly. Program staffing added a new Senior Engineer position at mid-year. The budget was increased \$340,800 to provide for added costs and workload.

For FY15-16, no further staffing changes are anticipated. The program budget provides for current staffing, some increases for special studies, but hold the line on other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost Change | FY14-15          | FY14-15          | % of Budget   | % Cost Change | FY15-16          | % Budget Change |
|-----------------------------|----------------|----------------|---------------|------------------|------------------|---------------|---------------|------------------|-----------------|
|                             | \$ Actual      | \$ Actual      |               | \$ Adopted       | \$ Estimated     |               |               | \$ Adopted       |                 |
| Personnel Expenses          | 569,541        | 451,673        | -20.7%        | 573,400          | 633,900          | 110.6%        | 40.3%         | 714,400          | 24.6%           |
| Contracted Services         | 99,167         | 91,206         | -8.0%         | 676,700          | 774,190          | 114.4%        | 748.8%        | 711,280          | 5.1%            |
| Commodities                 | 2,469          | 1,611          | -34.8%        | 5,730            | 5,300            | 92.5%         | 229.0%        | 5,730            | 0.0%            |
| Internal Charges            | 64,546         | 74,974         | 16.2%         | 87,730           | 87,700           | 100.0%        | 17.0%         | 87,110           | -0.7%           |
| Other Payments              | 0              | 0              |               | 0                | 0                |               |               | 0                |                 |
| <b>Program Total</b>        | <b>735,723</b> | <b>619,464</b> | <b>-15.8%</b> | <b>1,343,560</b> | <b>1,501,090</b> | <b>111.7%</b> | <b>142.3%</b> | <b>1,518,520</b> | <b>13.0%</b>    |
| Amended Budget              | 763,870        | 687,080        |               |                  | 2,246,360        |               |               |                  |                 |
| % of Amended Spent          | 96.3%          | 90.2%          |               |                  | 66.8%            |               |               |                  |                 |

| <b>FUNDING SOURCES</b>   |                |                |               |                  |                  |               |               |                  |              |
|--------------------------|----------------|----------------|---------------|------------------|------------------|---------------|---------------|------------------|--------------|
| General Fund 101 - Taxes | 419,238        | 146,624        | -65.0%        | (103,800)        | 510,478          | -491.8%       | 248.2%        | 319,320          | -407.6%      |
| Engineering Fees         | 316,485        | 472,840        | 49.4%         | 1,447,360        | 990,612          | 68.4%         | 109.5%        | 1,199,200        | -17.1%       |
| Capital Project Offset   | 0              | 0              |               | 0                | 0                |               |               | 0                |              |
| <b>Program Total</b>     | <b>735,723</b> | <b>619,464</b> | <b>-15.8%</b> | <b>1,343,560</b> | <b>1,501,090</b> | <b>111.7%</b> | <b>142.3%</b> | <b>1,518,520</b> | <b>13.0%</b> |

| <b>PROGRAM STAFFING</b>                       |             |             |               |             |             |               |              |             |             |
|---|-------------|-------------|---------------|-------------|-------------|---------------|--------------|-------------|-------------|
| <i>Regular Positions</i>                      |             |             |               |             |             |               |              |             |             |
| Assistant Director of DES                     | 0.45        | 0.45        |               | 0.45        | 0.45        |               |              | 0.45        |             |
| Senior Civil Engineer                         | 1.00        | 1.00        |               | 1.00        | 2.00        |               |              | 2.00        |             |
| Associate Civil Engineer                      | 0.55        | 0.55        |               | 0.55        | 0.55        |               |              | 0.55        |             |
| Junior/Assistant Civil Engineer               | 1.00        | 0.05        |               | 0.05        | 0.05        |               |              | 0.05        |             |
| Engineering Technician                        | 1.50        | 1.05        |               | 1.05        | 1.05        |               |              | 1.05        |             |
| Exec Assts                                    | 0.85        | 0.45        |               | 0.45        | 0.45        |               |              | 0.45        |             |
| Admin Assts                                   | 0.55        | 0.50        |               | 0.50        | 0.50        |               |              | 0.50        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |               |             |             |               |              |             |             |
| <b>Total - Full-Time Equivalents</b>          | <b>5.90</b> | <b>4.05</b> | <b>-31.4%</b> | <b>4.05</b> | <b>5.05</b> | <b>124.7%</b> | <b>24.7%</b> | <b>5.05</b> | <b>0.0%</b> |

Department: **31000 - Development Services**  
 Division: **31600 - Engineering Division**  
 Program: **31602 - Capital Projects Design**

**PERFORMANCE OBJECTIVES**

Plan and design City capital improvement projects. Coordinate land acquisition activities necessary for City capital improvement projects; and prepare assessments maps.

1. To complete design on 4 major and 10 minor projects.
2. To prepare construction documents and award construction contracts for 10 projects.
3. To complete environmental work for the MacArthur Drive Widening and and I205/Chrisman Road Interchange projects.
4. To complete the design on the I205/Lammers Road Interchange project and on 11th Street Bridge project.
5. To complete the design and construction of all fully-funded street maintenance CIP's within the year they are approved.
6. To generate at least \$1,118,000 in program revenues from CIP charges and recover program costs and some overhead costs.

**COMMENTARY**

In FY12-13, program costs showed a modest decrease. In FY13-14, program costs showed a major decrease, reflecting staff reductions due to retirements. Also, program revenues were down,

In FY14-15, program costs are again projected to show a major decrease, particularly for personnel costs. Staff time is being reallocated to the Engineering Project Review program. Program revenues are expected to increase for the year.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, an increase for legal work, but maintains current funding for other costs items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13          | FY13-14        | % Cost        | FY14-15        | FY14-15        | % of         | % Cost        | FY15-16        | % Budget    |
|-----------------------------|------------------|----------------|---------------|----------------|----------------|--------------|---------------|----------------|-------------|
|                             | \$ Actual        | \$ Actual      | Change        | \$ Adopted     | \$ Estimated   | Budget       | Change        | \$ Adopted     | Change      |
| Personnel Expenses          | 976,967          | 756,161        | -22.6%        | 661,780        | 644,460        | 97.4%        | -14.8%        | 661,960        | 0.0%        |
| Contracted Services         | 5,126            | 4,729          | -7.7%         | 14,370         | 8,700          | 60.5%        | 84.0%         | 39,390         | 174.1%      |
| Commodities                 | 2,869            | 1,694          | -41.0%        | 8,650          | 6,900          | 79.8%        | 307.3%        | 8,650          | 0.0%        |
| Internal Charges            | 100,356          | 109,884        | 9.5%          | 119,670        | 119,600        | 99.9%        | 8.8%          | 119,790        | 0.1%        |
| Other Payments              | 0                | 0              |               | 0              | 0              |              |               | 0              |             |
| <b>Program Total</b>        | <b>1,085,318</b> | <b>872,468</b> | <b>-19.6%</b> | <b>804,470</b> | <b>779,660</b> | <b>96.9%</b> | <b>-10.6%</b> | <b>829,790</b> | <b>3.1%</b> |
| Amended Budget              | 855,750          | 776,800        |               |                | 779,470        |              |               |                |             |
| % of Amended Spent          | 126.8%           | 112.3%         |               |                | 100.0%         |              |               |                |             |

| <b>FUNDING SOURCES</b>   | FY12-13          | FY13-14        | % Cost        | FY14-15        | FY14-15        | % of         | % Cost        | FY15-16        | % Budget    |
|--------------------------|------------------|----------------|---------------|----------------|----------------|--------------|---------------|----------------|-------------|
|                          | \$ Actual        | \$ Actual      | Change        | \$ Adopted     | \$ Estimated   | Budget       | Change        | \$ Adopted     | Change      |
| General Fund 101 - Taxes | (235,158)        | 37,316         | -115.9%       | (151,090)      | (275,052)      | 182.0%       | -837.1%       | (228,210)      | 51.0%       |
| Capital Project Offset   | 1,315,487        | 832,733        | -36.7%        | 947,360        | 1,051,212      | 111.0%       | 26.2%         | 1,049,800      | 10.8%       |
| Engineering Fees         | 4,989            | 2,419          | -51.5%        | 8,200          | 3,500          | 42.7%        | 44.7%         | 8,200          | 0.0%        |
| <b>Program Total</b>     | <b>1,085,318</b> | <b>872,468</b> | <b>-19.6%</b> | <b>804,470</b> | <b>779,660</b> | <b>96.9%</b> | <b>-10.6%</b> | <b>829,790</b> | <b>3.1%</b> |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Cost        | FY14-15     | FY14-15     | % of          | % Cost      | FY15-16     | % Budget    |
|---|-------------|-------------|---------------|-------------|-------------|---------------|-------------|-------------|-------------|
|   |             |             |               |             |             |               |             |             |             |
| <i>Regular Positions</i>                      |             |             |               |             |             |               |             |             |             |
| Assistant Director of DES                     | 0.30        | 0.30        |               | 0.30        | 0.30        |               |             | 0.30        |             |
| Senior Civil Engineer                         | 1.10        | 1.10        |               | 1.10        | 1.10        |               |             | 1.10        |             |
| Associate Civil Engineer                      | 1.25        | 1.25        |               | 1.25        | 1.25        |               |             | 1.25        |             |
| Junior/Assistant Civil Engineer               | 0.80        | 0.05        |               | 0.05        | 0.05        |               |             | 0.05        |             |
| Engineering Technician                        | 1.30        | 0.85        |               | 0.85        | 0.85        |               |             | 0.85        |             |
| Exec Assts                                    | 0.15        | 0.15        |               | 0.15        | 0.15        |               |             | 0.15        |             |
| Admin Assts                                   | 0.45        | 0.45        |               | 0.45        | 0.45        |               |             | 0.45        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |               |             |             |               |             |             |             |
| Intern/Project Specialist                     | 0.00        | 0.00        |               | 0.00        | 0.00        |               |             | 0.00        |             |
| <b>Total - Full-Time Equivalents</b>          | <b>5.35</b> | <b>4.15</b> | <b>-22.4%</b> | <b>4.15</b> | <b>4.15</b> | <b>100.0%</b> | <b>0.0%</b> | <b>4.15</b> | <b>0.0%</b> |

Department: **31000 - Development Services**  
 Division: **31600 - Engineering Division**  
 Program: **31603 - Utilities Engineering**

**PERFORMANCE OBJECTIVES**

Conduct engineering studies and coordinate capital improvement projects for the City's water, sewer, and drainage systems. Contract production of utilities systems mapping.

1. To complete the design and construction documents for annual water and wastewater line replacement projects.
2. To complete water, wastewater, and storm drainage improvements for major roadways.
3. To complete the design and construction documents for the water line on MacArthur Drive from Linne Road to Valpico Road.
4. To complete the design and construction documents for the eastside wastewater trunk line (south of Grant Line Rd).
5. To complete the design and construction documents for the Larch Rd. Force Main, upgrade of Corral Hollow Road pump station.
6. To complete the design and construction documents for the South MacArthur Storm Channel.

**COMMENTARY**

This program provides staffing for engineering studies and work related to the City's water, wastewater, & drainage systems. Less hours are usually spent on the program than are budgeted, so program costs are less than budgeted, and staffing costs get charged to other programs or capital projects.

In FY14-15, as usually happens, program costs are less than budgeted, although they will show an increase over the prior fiscal year.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, but maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14       | % Cost        | FY14-15        | FY14-15        | % of         | % Cost       | FY15-16        | % Budget    |
|-----------------------------|----------------|---------------|---------------|----------------|----------------|--------------|--------------|----------------|-------------|
|                             | \$ Actual      | \$ Actual     | Change        | \$ Adopted     | \$ Estimated   | Budget       | Change       | \$ Adopted     | Change      |
| Personnel Expenses          | 60,775         | 51,032        | -16.0%        | 115,550        | 62,350         | 54.0%        | 22.2%        | 116,500        | 0.8%        |
| Contracted Services         | 8,000          | 0             | -100.0%       | 23,330         | 12,500         | 53.6%        |              | 23,330         | 0.0%        |
| Commodities                 | 0              | 0             |               | 1,590          | 1,500          | 94.3%        |              | 1,590          | 0.0%        |
| Internal Charges            | 42,900         | 39,360        | -8.3%         | 59,060         | 59,000         | 99.9%        | 49.9%        | 59,060         | 0.0%        |
| Other Payments              | 0              | 0             |               | 0              | 0              |              |              | 0              |             |
| <b>Program Total</b>        | <b>111,675</b> | <b>90,392</b> | <b>-19.1%</b> | <b>199,530</b> | <b>135,350</b> | <b>67.8%</b> | <b>49.7%</b> | <b>200,480</b> | <b>0.5%</b> |
| Amended Budget              | 208,730        | 186,220       |               |                | 199,530        |              |              |                |             |
| % of Amended Spent          | 53.5%          | 48.5%         |               |                | 67.8%          |              |              |                |             |

**FUNDING SOURCES**

|                      |                |               |               |                |                |              |              |                |             |
|----------------------|----------------|---------------|---------------|----------------|----------------|--------------|--------------|----------------|-------------|
| Water Fund 511       | 55,018         | 49,806        | -9.5%         | 78,000         | 60,000         | 76.9%        | 20.5%        | 78,500         | 0.6%        |
| Wastewater Fund 521  | 46,657         | 35,586        | -23.7%        | 96,530         | 70,350         | 72.9%        | 97.7%        | 96,980         | 0.5%        |
| Drainage Fund 541    | 10,000         | 5,000         | -50.0%        | 25,000         | 5,000          | 20.0%        | 0.0%         | 25,000         | 0.0%        |
| <b>Program Total</b> | <b>111,675</b> | <b>90,392</b> | <b>-19.1%</b> | <b>199,530</b> | <b>135,350</b> | <b>67.8%</b> | <b>49.7%</b> | <b>200,480</b> | <b>0.5%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                           |      |      |  |      |      |  |  |      |  |
|---------------------------|------|------|--|------|------|--|--|------|--|
| Assistant Director of DES | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |
| Senior Civil Engineer     | 0.20 | 0.20 |  | 0.20 | 0.20 |  |  | 0.20 |  |
| Associate Civil Engineer  | 0.20 | 0.20 |  | 0.20 | 0.20 |  |  | 0.20 |  |
| Assistant Civil Engineer  | 0.20 | 0.20 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Engineering Technician    | 0.20 | 0.20 |  | 0.10 | 0.10 |  |  | 0.10 |  |
| Admin Assts               | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |

*Other Staffing (Full-Time Equivalents)*

|                                      |             |             |             |             |             |               |               |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|---------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>0.90</b> | <b>0.90</b> | <b>0.0%</b> | <b>0.60</b> | <b>0.60</b> | <b>100.0%</b> | <b>-33.3%</b> | <b>0.60</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|---------------|-------------|-------------|

Department: **31000 - Development Services**  
 Division: **31600 - Engineering Division**  
 Program: **31605 - Traffic Engineering**

**PERFORMANCE OBJECTIVES**

Conduct traffic and parking studies; coordinate the implementation of approved traffic and parking control measures.

1. To maintain and update the Pavement Management System with 1,663 street segments and update the Traffic Collision Management System.
2. To acknowledge traffic complaints within 72 hours and respond with a traffic analysis within 45 days.
3. To update speed zone surveys as required to meet compliance for enforcement.
4. To respond to approximately 100 street addressing inquiries in a timely manner.
5. To update signal timings and upgrade the traffic control center.
6. To complete traffic related projects.
7. To implement the Traffic Calming Program in residential areas as new complaints are received.
8. To review development plans for traffic related issues and compliance with Transportation Master Plan.

**COMMENTARY**

This program provides engineering staff and contracts for traffic studies. In FY12-13, program costs showed an increase. In FY13-14, program costs were less than budgeted, although they showed a major increase over the prior fiscal year. Staff hours are sometimes charged to capital projects and other engineering projects. In FY14-15, program costs are expected to show a modest increase. Here again, budgeted staff hours are being charged elsewhere. For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, but maintains current funding for other cost items,

| PROGRAM EXPENDITURES | FY12-13        | FY13-14        | % Cost       | FY14-15        | FY14-15        | % of         | % Cost      | FY15-16        | % Budget    |
|----------------------|----------------|----------------|--------------|----------------|----------------|--------------|-------------|----------------|-------------|
|                      | \$ Actual      | \$ Actual      | Change       | \$ Adopted     | \$ Estimated   | Budget       | Change      | \$ Adopted     | Change      |
| Personnel Expenses   | 209,808        | 214,755        | 2.4%         | 271,500        | 225,240        | 83.0%        | 4.9%        | 271,500        | 0.0%        |
| Contracted Services  | 1,177          | 27,418         | 2229.5%      | 17,580         | 17,500         | 99.5%        | -36.2%      | 17,580         | 0.0%        |
| Commodities          | 1,455          | 591            | -59.4%       | 1,670          | 1,600          | 95.8%        | 170.7%      | 1,670          | 0.0%        |
| Internal Charges     | 37,790         | 47,790         | 26.5%        | 54,180         | 54,100         | 99.9%        | 13.2%       | 54,180         | 0.0%        |
| Other Payments       | 5,341          | 0              |              | 0              | 0              |              |             | 0              |             |
| <b>Program Total</b> | <b>255,571</b> | <b>290,554</b> | <b>13.7%</b> | <b>344,930</b> | <b>298,440</b> | <b>86.5%</b> | <b>2.7%</b> | <b>344,930</b> | <b>0.0%</b> |
| Amended Budget       | 336,320        | 335,510        |              |                | 319,930        |              |             |                |             |
| % of Amended Spent   | 76.0%          | 86.6%          |              |                | 93.3%          |              |             |                |             |

**FUNDING SOURCES**

|                          |                |                |              |                |                |              |             |                |             |
|--------------------------|----------------|----------------|--------------|----------------|----------------|--------------|-------------|----------------|-------------|
| General Fund 101 - Taxes | 95,571         | 124,979        | 30.8%        | 164,930        | 123,440        | 74.8%        | -1.2%       | 164,930        | 0.0%        |
| Engineering Fees         | 0              | 0              |              | 0              | 0              |              |             | 0              |             |
| TDA & Gas Tax Funds 24x  | 160,000        | 165,575        | 3.5%         | 180,000        | 175,000        | 97.2%        | 5.7%        | 180,000        | 0.0%        |
| <b>Program Total</b>     | <b>255,571</b> | <b>290,554</b> | <b>13.7%</b> | <b>344,930</b> | <b>298,440</b> | <b>86.5%</b> | <b>2.7%</b> | <b>344,930</b> | <b>0.0%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                           |      |      |  |      |      |  |  |      |  |
|---------------------------|------|------|--|------|------|--|--|------|--|
| Assistant Director of DES | 0.05 | 0.05 |  | 0.05 | 0.05 |  |  | 0.05 |  |
| Senior Civil Engineer     | 0.85 | 0.85 |  | 0.85 | 0.85 |  |  | 0.85 |  |
| Associate Civil Engineer  | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Engineering Technician    | 1.00 | 0.90 |  | 0.90 | 0.90 |  |  | 0.90 |  |
| Admin Assts               | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |

*Other Staffing (Full-Time Equivalents)*

|                                      |             |             |              |             |             |               |             |             |             |
|--------------------------------------|-------------|-------------|--------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>1.90</b> | <b>1.80</b> | <b>-5.3%</b> | <b>1.80</b> | <b>1.80</b> | <b>100.0%</b> | <b>0.0%</b> | <b>1.80</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|--------------|-------------|-------------|---------------|-------------|-------------|-------------|

Department: **31000 - Development Services**  
 Division: **31600 - Engineering Division**  
 Program: **31606 - Construction Management**

**PERFORMANCE OBJECTIVES**

Management of City capital improvement projects under construction; monitor and inspect private construction in new subdivisions and work done in the City's right-of-ways. Coordinate contracted inspections.

1. To provide construction inspections for 10 subdivisions and 10 private development projects.
2. To provide construction management and inspections for 5 major and 15 minor Capital Improvement Projects.
3. To perform 15 daily inspections on private projects and 15 daily inspections on City projects.
4. To provide inspections on 300 encroachment permits.
5. To coordinate status of construction with other departments through quarterly or as needed CIP meetings.
6. To generate at least \$1,976,000 in program revenues in Engineering permit and/or inspection fees and CIP charges.

**COMMENTARY**

In FY12-13, program costs were about the same as the year before, but staffing costs were up, while contracted costs were down. In FY13-14, program costs showed a moderate increase.

In FY14-15, program costs are expected to show a major decrease. While personnel costs are up, contract work is not as expected.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, but maintains current funding for other cost items. The budget provides a contingent \$300,000 contracted testing and inspections. Program revenues will show a moderate increase.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14          | % Cost Change | FY14-15          | FY14-15        | % of Budget  | % Cost Change | FY15-16          | % Budget Change |
|-----------------------------|----------------|------------------|---------------|------------------|----------------|--------------|---------------|------------------|-----------------|
|                             | \$ Actual      | \$ Actual        |               | \$ Adopted       | \$ Estimated   |              |               | \$ Adopted       |                 |
| Personnel Expenses          | 843,007        | 886,360          | 5.1%          | 671,280          | 698,940        | 104.1%       | -21.1%        | 759,830          | 13.2%           |
| Contracted Services         | 38,454         | 29,902           | -22.2%        | 328,750          | 78,100         | 23.8%        | 161.2%        | 328,750          | 0.0%            |
| Commodities                 | 7,769          | 10,058           | 29.5%         | 9,040            | 9,000          | 99.6%        | -10.5%        | 10,740           | 18.8%           |
| Internal Charges            | 93,753         | 108,433          | 15.7%         | 117,670          | 117,600        | 99.9%        | 8.5%          | 119,980          | 2.0%            |
| Other Payments              | 0              | 0                |               | 0                | 0              |              |               | 0                |                 |
| <b>Program Total</b>        | <b>982,983</b> | <b>1,034,753</b> | <b>5.3%</b>   | <b>1,126,740</b> | <b>903,640</b> | <b>80.2%</b> | <b>-12.7%</b> | <b>1,219,300</b> | <b>8.2%</b>     |
| Amended Budget              | 842,000        | 882,270          |               |                  | 1,016,740      |              |               |                  |                 |
| % of Amended Spent          | 116.7%         | 117.3%           |               |                  | 88.9%          |              |               |                  |                 |

**FUNDING SOURCES**

|                          |                |                  |             |                  |                |              |               |                  |             |
|--------------------------|----------------|------------------|-------------|------------------|----------------|--------------|---------------|------------------|-------------|
| General Fund 101 - Taxes | (304,067)      | (342,213)        | 12.5%       | (514,810)        | (813,456)      | 158.0%       | 137.7%        | (704,680)        | 36.9%       |
| Engineering Fees         | 212,584        | 405,793          | 90.9%       | 675,740          | 542,548        | 80.3%        | 33.7%         | 750,040          | 11.0%       |
| Capital Projects Offset  | 1,074,466      | 971,173          | -9.6%       | 965,810          | 1,174,548      | 121.6%       | 20.9%         | 1,173,940        | 21.5%       |
| <b>Program Total</b>     | <b>982,983</b> | <b>1,034,753</b> | <b>5.3%</b> | <b>1,126,740</b> | <b>903,640</b> | <b>80.2%</b> | <b>-12.7%</b> | <b>1,219,300</b> | <b>8.2%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                           |      |      |  |      |      |  |  |      |  |
|---------------------------|------|------|--|------|------|--|--|------|--|
| Assistant Director of DES | 0.15 | 0.15 |  | 0.15 | 0.15 |  |  | 0.15 |  |
| Senior Civil Engineer     | 0.85 | 0.85 |  | 0.85 | 0.85 |  |  | 0.85 |  |
| Associate Civil Engineer  | 1.00 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |
| Construction Inspector    | 3.00 | 3.00 |  | 3.00 | 3.00 |  |  | 3.00 |  |
| Admin Assts               | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |

*Other Staffing (Full-Time Equivalents)*

|                 |      |      |  |      |      |  |  |      |  |
|-----------------|------|------|--|------|------|--|--|------|--|
| Project Manager | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Temp Clerk      | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |

|                                      |             |             |             |             |             |               |             |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>5.50</b> | <b>5.50</b> | <b>0.0%</b> | <b>5.50</b> | <b>5.50</b> | <b>100.0%</b> | <b>0.0%</b> | <b>5.50</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|-------------|-------------|-------------|

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Current Projections: FY 12-13

1. Conducted 45+ business retention visits to local businesses.
2. Conducted a business forum event in conjunction with the Tracy Chamber – included an ED update, Grow Tracy Fund & Enterprise Zone presentations, as well as economist John Mitchell as the keynote speaker.
3. Conducted a marketing workshop for local businesses, in conjunction with the Tracy Chamber.
4. Attend 8 national trade shows focused on outreach and recruitment of industrial/office/retail businesses.
5. Continued to work with and support the Tracy City Center Association; and assisted in recruitment of business attraction specialist.
6. Issued a \$100,000 Grow Tracy loan to a local manufacturing business, with an additional loan in underwriting.
7. Completed the FY13-14 Economic Development Strategic Plan which was adopted by City Council.
8. Conducted Economic Development presentations to CVAR, Sunrise & Noon Rotary, and various Chamber events.
9. Represented the City at multiple Chamber ribbon cutting events, mixers and coffees.
10. Worked with Amazon representatives to assist with hiring needs and product procurement.
11. Conducted multiple presentations and tours to Cal Lutheran University.
12. Negotiated ENRA for two City-owned sites for potential retail/restaurant development.

### Current Projections: FY 13-14

1. Conduct 45+ business retention visits.
2. Conduct a business forum event.
3. Conduct an Enterprise Zone workshop.
4. Conduct a small business workshop.
5. Attend 4-6 trade shows focused on outreach and recruitment.
6. Develop and implement marketing and outreach plan for business recruitment of businesses in target industries.
7. Develop a business recognition program for top sales tax generators in the City.

### Current Projections: FY 13-14 Continued

8. Conduct co-op advertising with development partners for retail and industrial attraction.
9. Focus on higher education recruitment.
10. Develop a business tech incubator in the community and attract Silicon Valley start-ups.
11. Secure successful restaurant for Westside Market building.
12. Complete negotiations for retail and restaurant development on City-owned parcel adjacent to Texas Roadhouse & park-and-ride lot.
13. Continue to work with and support the Tracy City Center Association.
14. Issue a minimum of \$500,000 in Grow Tracy Fund loans.
15. Work with West Valley Mall to attract restaurants and retailers for vacant properties.

### Future Projections: FY 14-15

1. Complete renewal of TCCA Community Benefit District for downtown Tracy.
2. Conduct various business education workshops in conjunction with the Chamber of Commerce.
3. Conduct annual Business Forum event to include: ED update, along with guest speaker.
4. Conduct 45+ business retention visits to local businesses.
5. Attend 4-6 tradeshow focused on outreach and recruitment of industrial and retail businesses.
6. Continue focus on higher educational recruitment.
7. Complete negotiations for tenant(s) at City-owned Westside Market property.
8. Finalize sale of City-owned I-205 properties for restaurant use.
9. Issue a minimum of two Grow Tracy Fund Loans.
10. Continue pursuit of Regional Waterpark for Tracy, finalize negotiations with development partner.
11. Finalize marketing material related to tourism, and industrial recruitment.
12. Partner with West Valley Mall leasing agents for retail recruitment efforts.

Department: **31000 - Development Services**  
 Program: **31801 - Economic Development**

Promote and coordinate business attraction, expansion, and retention for the City. Evaluate the local business environment. Provide City grants supporting County and local economic development activities.

**PERFORMANCE OBJECTIVES**

1. To increase overall job growth by 5% citywide annually;
2. To approve 2 Grow Tracy Fund loans to new/existing businesses;
3. To attract 5 unique retailers that are not currently in the trade area;
4. To increase sales tax revenue by 8%;
5. To decrease downtown vacancy rate by 5%.

**COMMENTARY**

In FY12-13, with the close out of the Tracy Community Development Agency, all remaining redevelopment activities and staff were consolidated into this program.

In FY13-14, program staffing added a new Analyst position. And, in FY14-15, a new ED Manager was added. Thus program costs have and will show significant increases, due to new staffing and program contracts.

For FY15-16, no further staffing changes are anticipated. The program budget provides for current staffing, some increases for grants, but maintains current funding for other items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 138,082              | 219,456              | 58.9%            | 249,500               | 238,790                 | 95.7%          | 8.8%             | 392,900               | 57.5%              |
| Contracted Services                | 64,567               | 98,692               | 52.9%            | 162,070               | 103,100                 | 63.6%          | 4.5%             | 162,070               | 0.0%               |
| Commodities                        | 11,213               | 4,091                | -63.5%           | 12,060                | 8,760                   | 72.6%          | 114.1%           | 12,060                | 0.0%               |
| Internal Charges                   | 15,450               | 17,950               | 16.2%            | 20,000                | 20,000                  | 100.0%         | 11.4%            | 20,000                | 0.0%               |
| Other Payments                     | 64,138               | 96,704               | 50.8%            | 98,900                | 95,750                  | 96.8%          | -1.0%            | 101,860               | 3.0%               |
| Program Total                      | 293,450              | 436,893              | 48.9%            | 542,530               | 466,400                 | 86.0%          | 6.8%             | 688,890               | 27.0%              |
| Amended Budget                     | 397,420              | 532,610              |                  |                       | 625,150                 |                |                  |                       |                    |
| % of Amended Spent                 | 73.8%                | 82.0%                |                  |                       | 74.6%                   |                |                  |                       |                    |

**FUNDING SOURCES**

|                          |         |         |       |         |         |       |      |         |       |
|--------------------------|---------|---------|-------|---------|---------|-------|------|---------|-------|
| General Fund 101 - Taxes | 293,450 | 436,893 | 48.9% | 542,530 | 466,400 | 86.0% | 6.8% | 688,890 | 27.0% |
| Program Total            | 293,450 | 436,893 | 48.9% | 542,530 | 466,400 | 86.0% | 6.8% | 688,890 | 27.0% |

**PROGRAM STAFFING**

*Regular Positions*

|                              |      |      |  |      |      |  |  |      |  |
|------------------------------|------|------|--|------|------|--|--|------|--|
| Economic Development Manager | 0.00 | 0.00 |  | 0.00 | 1.00 |  |  | 1.00 |  |
| Economic Development Analyst | 1.00 | 2.00 |  | 2.00 | 2.00 |  |  | 2.00 |  |

*Other Staffing (Full-Time Equivalents)*

|                               |      |      |  |      |      |        |       |      |      |
|-------------------------------|------|------|--|------|------|--------|-------|------|------|
| Total - Full-Time Equivalents | 1.00 | 2.00 |  | 2.00 | 3.00 | 150.0% | 50.0% | 3.00 | 0.0% |
|-------------------------------|------|------|--|------|------|--------|-------|------|------|

Department: **31000 - Development Services**Program: **31802 - Redevelopment**

Effective January 31st, 2012, all redevelopment agencies in the State were disbanded by State legislation. A Sucessor Agency was established to close out of the Tracy Community Development Agency and oversee the payoff of its outstanding debt.

**PERFORMANCE OBJECTIVES**

1. To make debt service payments as scheduled on the outstanding debt of the closed Tracy Community Development Agency.
2. To completed the close out process for the Tracy CDA.

**COMMENTARY**

With the closed out of the Tracy CDA and the redevelopment program, any remaining staff and activities were transferred to the ED program.

Since FY12-13, program costs are for the Sucessor Agency activities to oversee the CDA litigation, close out and debt management.

As proposed for FY15-16, the program budget provides a contingency of \$250,000 for the Sucessor Agency to continue its activities. Staffing for the Agency is provided by City staff.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 218,923              | 159,407              | -27.2%           | 235,000               | 80,000                  | 34.0%          | -49.8%           | 160,000               | -31.9%             |
| Contracted Services                | 14,522               | 54,279               | 273.8%           | 15,000                | 55,000                  | 366.7%         | 1.3%             | 90,000                | 500.0%             |
| Commodities                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Internal Charges                   | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                      | 233,445              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%          | -36.8%           | 250,000               | 0.0%               |
| Amended Budget                     | 250,000              | 250,000              |                  |                       | 250,000                 |                |                  |                       |                    |
| % of Amended Spent                 | 93.4%                | 85.5%                |                  |                       | 54.0%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| CDA Project Fund              | 233,445              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%          | -36.8%           | 250,000               | 0.0%               |
| Project Reimbursement         | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
|                               | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                 | 233,445              | 213,686              | -8.5%            | 250,000               | 135,000                 | 54.0%          | -36.8%           | 250,000               | 85.2%              |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Adopted |
|---|----------------------|----------------------|-----------------------|-------------------------|-----------------------|
| <i>Regular Positions</i>                      |                      |                      |                       |                         |                       |
| Redevelopment & Housing Mgr                   | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| Comm Devel Analyst                            | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                       |                         |                       |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |

Department: **31000 - Development Services**  
 Program: **31804 - Community Development Block Grant**

**PERFORMANCE OBJECTIVES**

Administer the City's participation in the federal CDBG program.  
 Provide support to community agencies for social services  
 with Community Development Block Grant funds.

1. To administer the program in accordance with the Federal guidelines.
2. To assist outside agencies in making the best use of program funds.
3. To assist funded departments in the proper expenditure of funds.
4. To disburse \$354,130 to community groups.
5. To utilize CDBG to better serve the needs of the City, particularly for infrastructure.

**COMMENTARY**

Program outlays can vary from year-to-year depending upon how CDBG grants are allocated for the year. The City is a sub-grantee of the County for CDBG.

For FY14-15, the program budget has \$684,790 in CDBG grants to disburse. About \$372,420 was carryover from prior fiscal years for such grants.

For FY15-16, the program budget includes \$354,130 for new CDBG grants.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Contracted Services                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Commodities                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Internal Charges                   | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Other Payments                     | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         | 41.8%            | 354,130               | 13.4%              |
| Program Total                      | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         | 41.8%            | 354,130               | 13.4%              |
| Amended Budget                     | 840,023              | 402,770              |                  |                       | 684,790                 |                |                  |                       |                    |
| % of Amended Spent                 | 20.6%                | 99.3%                |                  |                       | 82.8%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| CDBG Fund 26x                 | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         | 41.8%            | 354,130               | 13.4%              |
| Program Total                 | 173,036              | 399,975              | 131.2%           | 312,370               | 567,000                 | 181.5%         |                  | 354,130               | 13.4%              |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Adopted |
|---|----------------------|----------------------|-----------------------|-------------------------|-----------------------|
| <i>Regular Positions</i>                      |                      |                      |                       |                         |                       |
| Redevelopment & Housing Mgr                   | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| Comm Devel Analyst                            | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                       |                         |                       |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |

Department: **31000 - Development Services**

Program: **31805 - Downtown Promotion**

**PERFORMANCE OBJECTIVES**

The Downtown Tracy Public Business Improvement District (DTPBID) promotes the economic viability and historical value of the Central Business District (CBD) of the City. This program is funded through special assessments collected by the County on properties located in the City's downtown core area.

1. To pass through to the Downtown Tracy PBID the special assessments collected by the County for its activities.

**COMMENTARY**

In FY10-11, the DTBIA was disbanded and replaced by a new Public Business Improvement District to serve the downtown area. The City now receives the assessments collected by the County and pass them forward to the new PBID. The City is not involved in the fiscal affairs of the PBID.

For FY15-16, the program budget provides for the pass thru of collected assessments to the Tracy PBID.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Contracted Services                | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Commodities                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Internal Charges                   | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Other Payments                     | 113,974              | 113,979              |                  | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000               | -2.7%              |
| Program Total                      | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000               | -2.7%              |
| Amended Budget                     | 117,200              | 117,200              |                  |                       | 117,200                 |                |                  |                       |                    |
| % of Amended Spent                 | 97.2%                | 97.3%                |                  |                       | 97.3%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| DID Fund 221 - Assessments    | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000               | -2.7%              |
| Promotional Income            | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| General Fund 101 - Taxes      | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total                 | 113,974              | 113,979              | 0.0%             | 117,200               | 113,980                 | 97.3%          | 0.0%             | 114,000               | 0.0%               |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |

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# CITY COUNCIL

Michael Macial, Mayor

Robert Rickman, Mayor pro Tem

Mary Mitracos, Council Member

Veronica Vargas, Council Member

Nancy Young, Council Member

| KEY INDICATORS<br>Citywide                     | FY10-11 | FY11-12 | FY12-13 | FY13-14 | %      | FY14-15   | FY14-15   | %      | FY15-16   | %      |
|--|---------|---------|---------|---------|--------|-----------|-----------|--------|-----------|--------|
|  | Actual  | Actual  | Actual  | Actual  | Change | Projected | Estimated | Change | Projected | Change |
| City Population as of Jan 1st                  | 83,242  | 83,562  | 84,466  | 84,937  | 0.6%   | 86,400    | 85,296    | 0.4%   | 85,600    | 0.4%   |
| City Area (Square Miles)                       | 23.0    | 23.0    | 26.3    | 29.1    | 10.6%  | 29.1      | 29.1      | 0.0%   | 29.1      | 0.0%   |
| Businesses within City                         | 4,437   | 4,343   | 4,410   | 4,430   | 0.5%   | 4,500     | 4,430     | 0.0%   | 4,500     | 1.6%   |
| Employment within City                         | 25,300  | 25,600  | 25,600  | 25,600  | 0.0%   | 26,500    | 25,600    | 0.0%   | 26,500    | 3.5%   |
| Registered Voters                              | 28,100  | 29,100  | 29,500  | 29,800  | 1.0%   | 30,000    | 29,800    | 0.0%   | 30,000    | 0.7%   |
| KEY INDICATORS<br>City Budget                  | FY10-11 | FY11-12 | FY12-13 | FY13-14 | %      | FY14-15   | FY14-15   | %      | FY15-16   | %      |
|  | Actual  | Actual  | Actual  | Actual  | Change | Projected | Estimated | Change | Projected | Change |
| Operating Budget (\$ million)                  | \$114.1 | \$114.6 | \$116.2 | \$115.8 | -0.4%  | \$127.0   | \$131.1   | 13.2%  | \$127.5   | -2.7%  |
| Capital Budget (\$ million)                    | \$115.4 | \$107.7 | \$94.3  | \$106.3 | 12.7%  | \$134.3   | \$156.6   | 47.3%  | \$146.1   | -6.7%  |
| Debt Budget (\$ million)                       | \$24.9  | \$38.4  | \$27.2  | \$27.2  | 0.0%   | \$27.6    | \$27.2    | 0.0%   | \$26.5    | -2.6%  |
| Authorized Staffing<br>(Full-Time Equivalents) | 472.64  | 470.34  | 447.95  | 448.41  | 0.1%   | 455.26    | 458.41    | 2.2%   | 464.81    | 1.4%   |
| KEY INDICATORS<br>City Staffing                | FY10-11 | FY11-12 | FY12-13 | FY13-14 | %      | FY14-15   | FY14-15   | %      | FY15-16   | %      |
|  | Actual  | Actual  | Actual  | Actual  | Change | Projected | Estimated | Change | Projected | Change |
| Authorized Staffing (Full-Time Equivalents)    |         |         |         |         |        |           |           |        |           |        |
| Full-Time Regular Employees                    | 427.00  | 429.00  | 414.00  | 414.00  | 0.0%   | 420.00    | 422.00    | 1.9%   | 427.00    | 1.2%   |
| Other Staffing (FTEs)                          | 45.64   | 41.34   | 33.95   | 34.41   | 1.4%   | 35.26     | 36.41     | 5.8%   | 37.81     | 3.8%   |
| KEY INDICATORS<br>City Expenditures            | FY10-11 | FY11-12 | FY12-13 | FY13-14 | %      | FY14-15   | FY14-15   | %      | FY15-16   | %      |
|  | Actual  | Actual  | Actual  | Actual  | Change | Projected | Estimated | Change | Projected | Change |
| Operating Expenditures (\$ million)            | \$105.9 | \$109.9 | \$111.4 | \$113.9 | 2.2%   | \$125.5   | \$120.6   | 5.9%   | \$125.5   | 4.1%   |
| as % of Operating Budget                       | 92.8%   | 95.9%   | 95.9%   | 98.4%   | 2.6%   | 98.8%     | 92.0%     | -6.5%  | 98.4%     | 7.0%   |
| Capital Expenditures (\$ million)              | \$31.8  | \$32.2  | \$27.5  | \$44.1  | 60.4%  | \$50.0    | \$44.0    | -0.2%  | \$50.0    | 13.6%  |
| as % of Capital Budget                         | 27.6%   | 29.9%   | 29.2%   | 41.5%   | 42.3%  | 37.2%     | 28.1%     | -32.3% | 34.2%     | 21.8%  |
| Debt Expenditures (\$ million)                 | \$25.1  | \$38.3  | \$24.3  | \$20.6  | -15.2% | \$26.8    | \$25.7    | 24.8%  | \$26.2    | 1.9%   |
| as % of Debt Budget                            | 100.7%  | 99.7%   | 89.3%   | 75.7%   | -15.2% | 97.1%     | 94.5%     | 24.8%  | 98.9%     | 4.6%   |

Department: **61100 - City Council**

The City Council, consisting of a Mayor and 4 Council Members, is the City's governing body and enacts City legislation and policies.

**COMMENTARY**

As approved for FY15-16, the departmental budget will increase about 7.7% over the current year adopted budget, and this represents a 14.6% increase over the FY13-14 amended budget.

The base component of the budget represents a 1.9% increase over the current year adopted budget, while budget augmentations will show a 5.6% increase over the base budget.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>City Council</b>                     |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 61101 - Legislation & Policy            | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%            | 22.2%            | 135,660                | 7.7%               |
| Department Total                        | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%            | 22.2%            | 135,660                | 7.7%               |
| Amended Budget                          | 100,400              | 118,400              | 17.9%            |                       | 126,000                 |                  | 6.4%             | over 2 years           | 14.6%              |
| % of Amended Spent                      | 93.16%               | 85.77%               |                  |                       | 98.52%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 128,440                | 1.9%               |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 7,220                  | 5.6%               |

| DEPARTMENTAL EXPENDITURES<br>BY OBJECT CATEGORY | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                              | 38,605               | 38,454               | -0.4%            | 57,410                | 56,670                  | 98.7%          | 47.4%            | 57,410                 | 0.0%               |
| Contracted Services                             | 7,830                | 10,569               | 35.0%            | 11,210                | 10,740                  | 95.8%          | 1.6%             | 20,870                 | 86.2%              |
| Commodities                                     | 5,764                | 4,193                | -27.3%           | 5,710                 | 5,120                   | 89.7%          | 22.1%            | 5,710                  | 0.0%               |
| Internal Charges                                | 41,330               | 48,330               | 16.9%            | 51,670                | 51,600                  | 99.9%          | 6.8%             | 51,670                 | 0.0%               |
| Other Payments                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Department Total                                | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%          | 22.2%            | 135,660                | 7.7%               |

| DEPARTMENTAL EXPENDITURES<br>BY FUNDING SOURCES | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| General Fund 101                                | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%          | 22.2%            | 135,660                | 7.7%               |
| Department Total                                | 93,529               | 101,546              | 8.6%             | 126,000               | 124,130                 | 98.5%          | 22.2%            | 135,660                | 7.7%               |

| DEPARTMENTAL STAFFING         | FY12-13<br>\$ Actual | FY13-14<br>Approved | %<br>Change | FY14-15<br>Adopted | FY14-15<br>Approved | %<br>Change | FY15-16<br>Approved | %<br>Change |
|-------------------------------|----------------------|---------------------|-------------|--------------------|---------------------|-------------|---------------------|-------------|
| <i>Elected Officials</i>      |                      |                     |             |                    |                     |             |                     |             |
| Mayor & 4 Council Members     | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Total - Full Time Equivalents | 1.00                 | 1.00                | 0.0%        | 1.00               | 1.00                | 100.0%      | 1.00                | 0.0%        |

Department: **61100 - City Council**  
 Program: **61101 - Legislation & Policy**

The City Council, consisting of a Mayor and 4 Council Members, is the City's governing body and has primary responsibility for enacting City legislation and policies.

**PERFORMANCE OBJECTIVES**

1. To enact legislation and policies which protect the health, safety, and general welfare of the citizenry.
2. To protect the City's environment while providing growth and economic development.
3. To oversee the financial affairs of the City.
4. To participate in regional issues.
5. To enhance the quality of life through recreational and cultural activities.

**COMMENTARY**

Most program costs are fairly stable from year-to-year. With the paperless agenda, there was a decrease in copying costs but an increase for computer system charges. Contracted costs increase when professional facilitators are hired for Council activities.

In FY15-16, program costs will show an increase. The program budget hold the line for personnel costs, but adds for travel costs, but maintains current funding for other cost items.

|                                    | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|------------------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
| <b><u>PROGRAM EXPENDITURES</u></b> | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                 | 38,605    | 38,454    | -0.4%  | 57,410     | 56,670       | 98.7%  | 47.4%  | 57,410     | 0.0%     |
| Contracted Services                | 7,830     | 10,569    | 35.0%  | 11,210     | 10,740       | 95.8%  | 1.6%   | 20,870     | 86.2%    |
| Commodities                        | 5,764     | 4,193     | -27.3% | 5,710      | 5,120        | 89.7%  | 22.1%  | 5,710      | 0.0%     |
| Internal Charges                   | 41,330    | 48,330    | 16.9%  | 51,670     | 51,600       | 99.9%  | 6.8%   | 51,670     | 0.0%     |
| Other Payments                     | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                      | 93,529    | 101,546   | 8.6%   | 126,000    | 124,130      | 98.5%  | 22.2%  | 135,660    | 7.7%     |
| Amended Budget                     | 100,400   | 118,400   |        |            | 126,000      |        |        |            |          |
| % of Amended Spent                 | 93.2%     | 85.8%     |        |            | 98.5%        |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>      |           |           |        |            |              |        |        |            |          |
| Central Admin Fund 125             | 93,529    | 101,546   | 8.6%   | 126,000    | 124,130      | 98.5%  | 22.2%  | 135,660    | 7.7%     |
| Program Total                      | 93,529    | 101,546   | 8.6%   | 126,000    | 124,130      | 98.5%  | 22.2%  | 135,660    | 9.3%     |
| <b><u>PROGRAM STAFFING</u></b>     |           |           |        |            |              |        |        |            |          |
| <i>Elected Officials</i>           |           |           |        |            |              |        |        |            |          |
| Mayor (1)                          | 0.20      | 0.20      |        | 0.20       | 0.20         |        |        | 0.20       |          |
| Council Members (4)                | 0.80      | 0.80      |        | 0.80       | 0.80         |        |        | 0.80       |          |
| <i>Full-Time Equivalents</i>       |           |           |        |            |              |        |        |            |          |
| Mayor & Council                    | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Total - Full-Time Equivalents      | 1.00      | 1.00      | 0.0%   | 1.00       | 1.00         | 100.0% | 0.0%   | 1.00       | 0.0%     |

# CITY ATTORNEY'S OFFICE

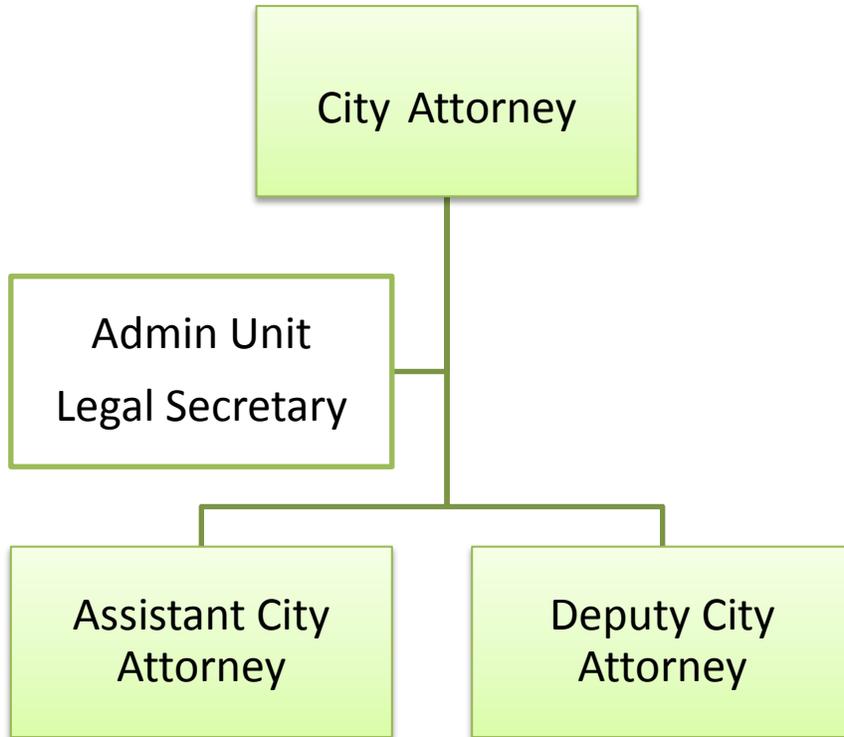
## Mission Statement

Safeguarding the Rights and Interests of Our Community

## **Department Head**

Dan Sodergren, City Attorney

City of Tracy  
CITY ATTORNEY'S OFFICE  
Fiscal Year 15-16



Department: **62100 - City Attorney's Office**

The City Attorney provides legal counsel to the City Council, the Planning Commission, and City Staff.

**COMMENTARY**

As approved for FY15-16, the departmental budget will decrease about 1.1% from the current year adopted budget, and this represents a 1.6% increase over the FY13-14 amended budget.

The base component of the budget represents a 1.1% decrease from the current year adopted budget, while budget augmentations will show a 0.0% increase over the base budget.

In FY14-15, and as proposed for FY15-16, departmental staffing will leave one full-time regular position vacant but backfill with temporary help.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>City Attorney's Office</b>           |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 62101 - Legal Counsel                   | 751,680              | 738,500              | -1.8%            | 879,560               | 814,210                 | 92.6%            | 10.3%            | 869,580                | -1.1%              |
| Department Total                        | 751,680              | 738,500              | -1.8%            | 879,560               | 814,210                 | 92.6%            | 10.3%            | 869,580                | -1.1%              |
| Amended Budget                          | 861,540              | 856,270              | -0.6%            |                       | 879,560                 |                  | 2.7%             | over 2 years           | 1.6%               |
| % of Amended Spent                      | 87.25%               | 86.25%               |                  |                       | 92.57%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 869,580                | -1.1%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 0                      | 0.0%               |

| DEPARTMENTAL EXPENDITURES<br>BY OBJECT CATEGORY | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                              | 644,049              | 619,494              | -3.8%            | 746,280               | 685,250                 | 91.8%          | 10.6%            | 736,300                | -1.3%              |
| Contracted Services                             | 63,626               | 66,488               | 4.5%             | 74,630                | 70,360                  | 94.3%          | 5.8%             | 74,630                 | 0.0%               |
| Commodities                                     | 12,945               | 14,518               | 12.2%            | 14,450                | 14,400                  | 99.7%          | -0.8%            | 14,450                 | 0.0%               |
| Internal Charges                                | 31,060               | 38,000               | 22.3%            | 44,200                | 44,200                  | 100.0%         | 16.3%            | 44,200                 | 0.0%               |
| Other Payments                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Department Total                                | 751,680              | 738,500              | -1.8%            | 879,560               | 814,210                 | 92.6%          | 10.3%            | 869,580                | -1.1%              |

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

|                  |         |         |       |         |         |       |       |         |       |
|------------------|---------|---------|-------|---------|---------|-------|-------|---------|-------|
| General Fund 101 | 751,680 | 738,500 | -1.8% | 879,560 | 814,210 | 92.6% | 10.3% | 869,580 | -1.1% |
| Department Total | 751,680 | 738,500 | -1.8% | 879,560 | 814,210 | 92.6% | 10.3% | 869,580 | -1.1% |

**DEPARTMENTAL STAFFING**

|                                   | FY12-13<br>\$ Actual | FY13-14<br>Approved | %<br>Change | FY14-15<br>Adopted | FY14-15<br>Approved | %<br>Change | FY15-16<br>Approved | %<br>Change |
|-----------------------------------|----------------------|---------------------|-------------|--------------------|---------------------|-------------|---------------------|-------------|
| <i>Regular Positions</i>          |                      |                     |             |                    |                     |             |                     |             |
| City Attorney                     | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Assistant & Deputy City Attorneys | 2.00                 | 2.00                |             | 2.00               | 2.00                |             | 2.00                |             |
| Secretarial & Clerical            | 1.00                 | 1.00                |             | 1.00               | 1.00                |             | 1.00                |             |
| Total - Full Time Equivalents     | 4.00                 | 4.00                | 0.0%        | 4.00               | 4.00                | 100.0%      | 4.00                | 0.0%        |

## Budget Narrative - City Attorney's Office

### Recent Budget Changes

#### *FY 10-11*

- The department budget showed a 18.3% decrease from the adjusted budget for FY10-11
- The Deputy City Attorney position remained vacant but temporary help was used to backfill the position.

#### *FY 11-12*

- Increase in base budget of \$10,710 or 1.3% over the FY10-11 Adopted Budget. This increase was personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No changes in departmental staffing.

#### *FY 12-13*

- Increase in base budget of \$44,510 or 5.4% over the FY11-12 Adopted Budget. This increase was personnel expenses; other costs were held at the FY11-12 base level.
- No budget augmentations.
- No changes in departmental staffing.

#### *FY 13-14*

- Base budget decrease of \$5,270 or 0.6% from the adopted FY12-13 Budget. This decrease was in personnel expenses; other costs were held at the FY12-13 level.
- No budget augmentations.
- No changes in departmental staffing.

#### *FY 14-15*

- Base budget decrease of \$20,140 or 2.4% over the adopted FY13-14 budget.
- Budget augmentations of \$3,150 for internal systems charges.
- No changes in departmental staffing.

### Proposed Budget Changes for FY15-16

- Base Budget decrease of \$9,980 or 0.1% from the FY14-15 adopted budget.
- No budget augmentation
- No changes in departmental staffing.
- \$60,720 budgeted for contracted legal services.

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments FY 13-14

1. Processed over 625 document reviews with a turnaround time of 10 days or less.
2. Reviewed and commented on over 250 staff reports.
3. Attended all City Council, Planning Commission, Successor Agency, and Fire Authority meetings.
4. Prepared and/or assisted in the preparation of and/or review all legal documents such as ordinances, resolutions, and leases.
5. Prepared and/or assisted in the negotiation of all contracts.
6. Provided legal advice to the City Council, Commissions, Boards and City staff.
7. Prosecuted Tracy Municipal Code violations.
8. Coordinated and supervised outside counsel.
9. Provided training on Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

### Future Projections: FY 15-16

1. Process over 700 document reviews.
2. Review and comment on over 300 staff reports.
3. Attend all City Council, Planning Commission, Successor Agency, and Fire Authority meetings.
4. Prepare and/or assist in the preparation of and/or review of all legal documents: ordinances, resolutions and leases.
5. Prepare and/or assist in the negotiation of all contracts.
6. Provide legal advice to the City Council, Commissions, Boards and City Staff.
7. Prosecute Tracy Municipal Code violations.
8. Coordinate and supervise outside counsel.
9. Provide training on Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

### Current Projections FY 14-15

1. Process over 675 document reviews.
2. Review and comment on over 275 staff reports.
3. Attend all City Council, Planning Commission, Successor Agency, and Fire Authority meetings.
4. Prepare and/or assist in the preparation of and/or review all legal documents such as ordinances, resolutions, and leases.
5. Prepare and/or assist in the negotiation of all contracts.
6. Provide legal advice to the City Council, Commissions, Boards, and City staff.
7. Prosecute Tracy Municipal Code violations.
8. Coordinate and supervise outside counsel.
9. Provide training on Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

Department: **62100 - City Attorney's Office**  
 Program: **62101 - Legal Counsel**

**CITY ATTORNEY'S OFFICE**  
**MISSION STATEMENT**

The City Attorney provides legal counsel to the City Council, Planning Commission, and all City staff.

Safeguarding the Rights and Interests of Our Community

**COMMENTARY**

This program provides for a City Attorney, with one Assistant CA, one Deputy CA, and a Legal Secretary.  
 In FY10-11, program staffing left a Deputy City Attorney position vacant but backfilled with temporary help. Since then, program staffing has remain the same with temporary help backfilling. In FY12-13, program costs showed a minor increase. In FY13-14, program costs showed a slight decrease. In FY14-15, program costs will show an increase.  
 For FY15-165, no staffing changes are anticipated. The program budget provides for current staffing and maintains current funding for other cost items.

**PERFORMANCE OBJECTIVES**

1. To attend all City Council, Planning Commission, and Successor Agency meetings.
2. To prepare and/or assist in the preparation of and/or review of all legal documents: ordinances, resolutions, and leases.
3. To prepare and/or assist in the negotiation of all contracts.
4. To give legal advice to the City Council, Commissions, Boards and City staff.
5. To prosecute Tracy Municipal Code violations.
6. To assist in the coordination and supervision of special counsel.
7. To provide training on Public Records Act, Brown Act, CEQA, and other regulatory statues as needed and/or requested.

| <b>PROGRAM EXPENDITURES</b>                   | FY12-13        | FY13-14        | % Cost       | FY14-15        | FY14-15        | % of          | % Cost       | FY15-16        | % Budget     |
|---|----------------|----------------|--------------|----------------|----------------|---------------|--------------|----------------|--------------|
|   | \$ Actual      | \$ Actual      | Change       | \$ Adopted     | \$ Estimated   | Budget        | Change       | \$ Adopted     | Change       |
| Personnel Expenses                            | 644,049        | 619,494        | -3.8%        | 746,280        | 685,250        | 91.8%         | 10.6%        | 736,300        | -1.3%        |
| Contracted Services                           | 63,626         | 66,488         | 4.5%         | 74,630         | 70,360         | 94.3%         | 5.8%         | 74,630         | 0.0%         |
| Commodities                                   | 12,945         | 14,518         | 12.2%        | 14,450         | 14,400         | 99.7%         | -0.8%        | 14,450         | 0.0%         |
| Internal Charges                              | 31,060         | 38,000         | 22.3%        | 44,200         | 44,200         | 100.0%        | 16.3%        | 44,200         | 0.0%         |
| Other Payments                                | 0              | 0              |              | 0              | 0              |               |              | 0              |              |
| <b>Program Total</b>                          | <b>751,680</b> | <b>738,500</b> | <b>-1.8%</b> | <b>879,560</b> | <b>814,210</b> | <b>92.6%</b>  | <b>10.3%</b> | <b>869,580</b> | <b>-1.1%</b> |
| Amended Budget                                | 861,540        | 856,270        |              |                | 879,560        |               |              |                |              |
| % of Amended Spent                            | 87.2%          | 86.2%          |              |                | 92.6%          |               |              |                |              |
| <b>FUNDING SOURCES</b>                        |                |                |              |                |                |               |              |                |              |
| Central Admin Fund 125                        | 751,680        | 738,500        | -1.8%        | 864,560        | 799,210        | 92.4%         | 8.2%         | 854,580        | -1.2%        |
| Project Reimbursement                         | 0              | 0              |              | 15,000         | 15,000         | 100.0%        |              | 15,000         | 0.0%         |
| <b>Program Total</b>                          | <b>751,680</b> | <b>738,500</b> | <b>-1.8%</b> | <b>879,560</b> | <b>814,210</b> | <b>92.6%</b>  | <b>10.3%</b> | <b>869,580</b> | <b>-1.1%</b> |
| <b>PROGRAM STAFFING</b>                       |                |                |              |                |                |               |              |                |              |
| <i>Regular Positions</i>                      |                |                |              |                |                |               |              |                |              |
| City Attorney                                 | 1.00           | 1.00           |              | 1.00           | 1.00           |               |              | 1.00           |              |
| Senior Secretary                              | 0.00           | 0.00           |              | 0.00           | 0.00           |               |              | 0.00           |              |
| Assistant/Deputy City Attorney                | 2.00           | 2.00           |              | 2.00           | 2.00           |               |              | 2.00           |              |
| Legal Secretary                               | 1.00           | 1.00           |              | 1.00           | 1.00           |               |              | 1.00           |              |
| <i>Other Staffing (Full-Time Equivalents)</i> |                |                |              |                |                |               |              |                |              |
| <b>Total - Full-Time Equivalents</b>          | <b>4.00</b>    | <b>4.00</b>    | <b>0.0%</b>  | <b>4.00</b>    | <b>4.00</b>    | <b>100.0%</b> | <b>0.0%</b>  | <b>4.00</b>    | <b>0.0%</b>  |

# CITY MANAGER'S OFFICE

## Department Head

Troy S. Brown, City Manager

Andrew Malik, Interim Assistant City Manager

City of Tracy  
CITY MANAGER'S OFFICE  
Fiscal Year 15-16



Department: **63100 - City Manager's Office**

The City Manager manages City affairs supervising policy and program implementation and enforcement activities and provides policy and program development support to the City Council.

Also, the CM Office supervises the City Clerk, Community Promotions, the City's Cable TV program, and the Recreation and Cultural Arts programs.

**COMMENTARY**

As approved for FY15-16, the departmental budget will decrease about 5.4% from the current year adopted budget, and this represents a 6.0% decrease from the FY13-14 amended budget.

The base component of the budget represents a 5.7% decrease from the current year adopted budget, while budget augmentations will show a 0.3% increase over the base budget.

In both FY13-14 and FY14-15, departmental staffing will remain at the same level.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY13-14<br>\$ Adopted | FY13-14<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>City Manager's Office</b>            |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 63101 - City Administration             | 880,330              | 882,282              | 0.2%             | 939,740               | 956,870                 | 101.8%           | 8.5%             | 944,420                | 0.5%               |
| 63102 - City Clerk                      | 367,316              | 289,405              | -21.2%           | 419,720               | 379,430                 | 90.4%            | 31.1%            | 309,270                | -26.3%             |
| 63105 - Education/Govt CTV              | 82,240               | 82,956               | 0.9%             | 100,970               | 94,780                  | 93.9%            | 14.3%            | 103,490                | 2.5%               |
| 63106 - Mayor's Community Youth         | 377,111              | 475,416              | 26.1%            | 372,050               | 474,070                 | 127.4%           | -0.3%            | 368,250                | -1.0%              |
| 58370 - Cultural Arts                   | 0                    | 0                    |                  | 0                     | 0                       |                  |                  | 0                      |                    |
| 63108 - Community Promotions            | 145,242              | 107,791              | -25.8%           | 159,150               | 154,300                 | 97.0%            | 43.1%            | 159,150                | 0.0%               |
| <b>Department Total</b>                 | <b>1,852,239</b>     | <b>1,837,850</b>     | <b>-0.8%</b>     | <b>1,991,630</b>      | <b>2,059,450</b>        | <b>103.4%</b>    | <b>12.1%</b>     | <b>1,884,580</b>       | <b>-5.4%</b>       |
| Amended Budget                          | 2,025,510            | 2,005,090            | -1.0%            |                       | 2,281,530               |                  | 13.8%            | over 2 years           | -6.0%              |
| % of Amended Spent                      | 91.45%               | 91.66%               |                  |                       | 90.27%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 1,878,170              | -5.7%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 6,410                  | 0.3%               |

Department: 63100 - City Manager's Office (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 1,189,109            | 1,215,068            | 2.2%             | 1,264,120             | 1,234,580               | 97.7%          | 1.6%             | 1,251,030              | -1.0%              |
| Contracted Services                                     | 279,458              | 155,014              | -44.5%           | 239,590               | 237,470                 | 99.1%          | 53.2%            | 266,800                | 11.4%              |
| Commodities   | 25,721               | 23,600               | -8.2%            | 25,530                | 24,000                  | 94.0%          | 1.7%             | 31,360                 | 22.8%              |
| Internal Charges  | 117,330              | 129,340              | 10.2%            | 144,890               | 144,700                 | 99.9%          | 11.9%            | 144,890                | 0.0%               |
| Other Payments  | 240,621              | 314,828              | 30.8%            | 317,500               | 418,700                 | 131.9%         | 33.0%            | 190,500                | -40.0%             |
| <b>Department Total</b>                                 | <b>1,852,239</b>     | <b>1,837,850</b>     | <b>-0.8%</b>     | <b>1,991,630</b>      | <b>2,059,450</b>        | <b>103.4%</b>  | <b>12.1%</b>     | <b>1,884,580</b>       | <b>-5.4%</b>       |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101  | 1,769,999            | 1,754,894            | -0.9%            | 1,890,660             | 1,964,670               | 103.9%         | 12.0%            | 1,781,090              | -5.8%              |
| Cable TV Fund 295                                       | 82,240               | 82,956               | 0.9%             | 100,970               | 94,780                  | 93.9%          | 14.3%            | 103,490                | 2.5%               |
| <b>Department Total</b>                                 | <b>1,852,239</b>     | <b>1,837,850</b>     | <b>-0.8%</b>     | <b>1,991,630</b>      | <b>2,059,450</b>        | <b>103.4%</b>  | <b>12.1%</b>     | <b>1,884,580</b>       | <b>-5.4%</b>       |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>\$ Actual | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     | %<br>Change    |                  | FY15-16<br>Approved    | %<br>Change        |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| City Manager  | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Assistant/Deputy City Manager                           | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Mid Managers  | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                   |                    |
| Secretarial & Clerical                                  | 3.00                 | 3.00                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                   |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Elected Officials                                       | 0.15                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                   |                    |
| Clerical  | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                   |                    |
| CCTV  | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>9.15</b>          | <b>9.00</b>          | <b>-1.6%</b>     | <b>9.00</b>           | <b>9.00</b>             | <b>100.0%</b>  | <b>0.0%</b>      | <b>9.00</b>            | <b>0.0%</b>        |

## Budget Narrative - City Manager's Office

- Recent Budget Changes

- *FY 10-11*

- Departmental staffing reduced by 5 full-time regular positions and 1.00 FTE in other staffing.
- Reduction in the base budget of \$1,105,500 compared to the FY09-10 Adopted Budget.

- *FY 11-12*

- Decrease in base budget of \$184,430 or 5.9% from FY10-11 Adopted Budget. The major decrease is \$150,000 for municipal elections. No elections were planned or anticipated in FY11-12. The balance of the decrease was in personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No change in departmental staffing.

- *FY 12-13*

- Increase in the base budget of \$1,630 or 0.1% over the FY11-12 Adopted Budget.
- No change in staffing in the City Manager's Office proper.
- \$80,000 budgeted for November 2012 municipal election.
- \$11,000 augmentation for upgrade of the City Council meeting display system.

*FY 13-14*

- Base budget decrease of \$29,210 or 1.6% from adopted FY12-13 budget. While personnel expenses and internal service charges are up; contracted services are down.

*FY 14-15*

- Base budget increase of \$33,270 or 1.8% from adopted FY13-14 budget.
- Budget augmentation for \$140,720, including \$102,000 for November, 2014 municipal elections.
- Equipment replacement of \$9,540 for a copier, and \$15,550 for new CTU equipment.

*Proposed Budget Changes for FY15-16*

- Base budget decrease of \$113,460 or 5.7% from FY14-15 adopted budget.
- Budget augmentation of \$6,410.
- No change in departmental staffing.

| Major Non-Personnel Expenses         | FY13-14  | %Change | FY14-15  | %Change  | FY15-16  |
|--------------------------------------|----------|---------|----------|----------|----------|
| City Manager's Professional Services | \$20,400 | 0.00%   | \$20,400 | 0.00%    | \$20,400 |
| Municipal Elections*                 | 0        | 0.00%   | 102,000  | -100.00% | 0        |
| MCYSN Professional Services          | 10,000   | 0.00%   | 10,000   | +25.00%  | 35,000   |
| MCYSN Grants                         | 200,000  | 0.00%   | 200,000  | -12.50%  | 175,000  |
| Chamber Support                      | 15,500   | 0.00%   | 15,500   | 0.00%    | 15,500   |
| Lobbyist Contract                    | 50,000   | 0.00%   | 50,000   | 0.00%    | 50,000   |
| Equipment Acquisition                | \$24,000 |         | \$49,090 |          | \$0      |

\*every second year

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 14-15

1. Successfully implemented the Council Strategic Priorities and Business Plans which include the four strategies: (1) Economic Development, (2) Public Safety, (3) Quality of Life, and (4) Governance.
2. In an effort to bring higher education to Tracy, worked with Notre Dame de Namur (NDNU) to secure programming to start fall 2015.
3. Held a productive Council/Department Head Retreat.
4. Conducted a smooth and systematic election process for the November 2014 municipal election.
5. The Community Events Division will host a total of two Girls Night Out events with approximately 1,200 in attendance, 70 vendors at each event, and generate of \$35,000 in revenue.
6. The Senior Center offered four new afternoon health & wellness and education classes and increased participation by 10%.
7. Presenting Season to expand performance and special events attendance by 27%.
8. Replaced outdated audio/visual technology to improve operational efficiencies.
9. Filled Program Assistant and two Intern positions.
10. Hosted a Joint meeting on bullying with TUSD, Tracy PD, Crime Stoppers and MCYN service providers.

### Future Projections: FY 15-16

1. Conduct a Department Head Retreat in summer 2015
2. Conduct a follow-up Council Retreat in fall 2015
3. Fill key vacant Department Head positions in Police, Fire and Administrative Services.
4. Monitor compliance/audit of the State's Campaign Disclosure Forms for elected officials.
5. Explore options to improve current contract management system.
6. The Senior Center will continue to be a resource hub and utilize the new Senior Link – Tracy program to assist over 100 new seniors in the Tracy community.
7. Continue to diversify marketing of the Grand Theatre Center for the Arts to increase awareness and attendance by 20%.
8. Update Channel 26 Administrative Policies and Procedures.
9. Film 5 public service announcements as part of the City's communications, outreach and transparency efforts.
10. Serve nearly 6,000 youth through the MCYSN program.
11. Utilize City marketing and outreach tools to promote MCYSN services through online and print marketing.

Department: **63100 - City Manager's Office**  
 Program: **63101 - City Administration**

The City Manager manages City affairs supervising policy and program implementation and enforcement activities and provides policy and program development support to the City Council.

**PERFORMANCE OBJECTIVES**

1. To manage City affairs while supervising policy and program implementation and enforcement activities.
2. To oversee and administer the various services provided by operational and support departments.
3. To provide policy and program development support to the City Council. Recommend action on legislation.
4. To respond to Council and constituency inquiries.
5. To manage the allocation of City's resources and administer a comprehensive and equitable personnel system.
6. To provide the fiscal foundation for municipal services through planning, budgeting, and reporting.

**COMMENTARY**

This program provides for a City Manager, an Assistant City Manager, and their support staff.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, some increases in contracts and commodities, but maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b>                  | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|--|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|  | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                           | 764,799   | 771,112   | 0.8%   | 812,000    | 830,540      | 102.3% | 7.7%   | 803,500    | -1.0%    |
| Contracted Services                          | 45,872    | 39,274    | -14.4% | 52,610     | 51,400       | 97.7%  | 30.9%  | 61,060     | 16.1%    |
| Commodities                                  | 9,939     | 10,176    | 2.4%   | 6,660      | 6,530        | 98.0%  | -35.8% | 11,390     | 71.0%    |
| Internal Charges                             | 59,720    | 61,720    | 3.3%   | 68,470     | 68,400       | 99.9%  | 10.8%  | 68,470     | 0.0%     |
| Other Payments                               | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total                                | 880,330   | 882,282   | 0.2%   | 939,740    | 956,870      | 101.8% | 8.5%   | 944,420    | 0.5%     |
| Amended Budget                               | 881,190   | 908,990   |        |            | 984,740      |        |        |            |          |
| % of Amended Spent                           | 99.9%     | 97.1%     |        |            | 97.2%        |        |        |            |          |
| <b><u>FUNDING SOURCES</u></b>                |           |           |        |            |              |        |        |            |          |
| Central Admin Fund 125                       | 880,330   | 882,282   | 0.2%   | 939,740    | 956,870      | 101.8% | 8.5%   | 944,420    | 0.5%     |
| Program Total                                | 880,330   | 882,282   | 0.2%   | 939,740    | 956,870      | 101.8% | 8.5%   | 944,420    | 0.5%     |
| <b><u>PROGRAM STAFFING</u></b>               |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                     |           |           |        |            |              |        |        |            |          |
| City Manager                                 | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Assistant City Manager                       | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Exec Asst-Secretary to the City Mgr          | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| Exec Asst II-Sr Secretary                    | 1.00      | 1.00      |        | 1.00       | 1.00         |        |        | 1.00       |          |
| <i>Other Staffing (Full-Time Equivalent)</i> |           |           |        |            |              |        |        |            |          |
| Total - Full-Time Equivalent                 | 4.00      | 4.00      | 0.0%   | 4.00       | 4.00         | 100.0% | 0.0%   | 4.00       | 0.0%     |

Department: **63100 - City Manager's Office**  
 Program: **63102 - City Clerk**

**PERFORMANCE OBJECTIVES**

The City Clerk prepares Council and agendas and minutes, maintains official records and documents, and provides general administrative support for the Mayor and City Council. The City Clerk also coordinates City elections.

1. To prepare and distribute agendas/minutes for 24 regular Council meetings and any special meetings of the Council or SCFA.
2. To ensure proper posting and noticing to comply with the Brown Act.
3. To maintain the City's filing system which consist of the legislative records.
4. To monitor compliance with campaign finance, statement of economic interest, and public disclosure requirements.
5. To conduct recruitments for all City Boards and Commissions and ensure compliance with the Maddy Act.
6. To respond to Public Records Requests in a timely manner.

**COMMENTARY**

Program costs vary from year-to-year depending upon whether or not a general and/or a special election is held. In FY12-13, program costs were up with contracted services and \$66,235 spent on the November 2012 election. In FY13-14, program costs were down, with no elections. In FY14-15, program costs are with \$102,000 budgeted for the November 2014 elections. For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing with some adjustments in other cost items; with no monies for elections.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 255,904              | 243,547              | -4.8%            | 259,150               | 226,740                 | 87.5%          | -6.9%            | 256,400               | -1.1%              |
| Contracted Services                           | 18,549               | 15,709               | -15.3%           | 25,610                | 17,890                  | 69.9%          | 13.9%            | 19,360                | -24.4%             |
| Commodities                                   | 2,498                | 1,019                | -59.2%           | 1,450                 | 1,300                   | 89.7%          | 27.6%            | 2,000                 | 37.9%              |
| Internal Charges                              | 24,130               | 29,130               | 20.7%            | 31,510                | 31,500                  | 100.0%         | 8.1%             | 31,510                | 0.0%               |
| Other Payments                                | 66,235               | 0                    |                  | 102,000               | 102,000                 | 100.0%         |                  | 0                     |                    |
| Program Total                                 | 367,316              | 289,405              | -21.2%           | 419,720               | 379,430                 | 90.4%          | 31.1%            | 309,270               | -26.3%             |
| Amended Budget                                | 383,610              | 297,590              |                  |                       | 372,120                 |                |                  |                       |                    |
| % of Amended Spent                            | 95.8%                | 97.2%                |                  |                       | 102.0%                  |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Central Admin Fund 125                        | 367,316              | 289,405              | -21.2%           | 419,720               | 379,430                 | 90.4%          | 31.1%            | 309,270               | -26.3%             |
| Program Total                                 | 367,316              | 289,405              | -21.2%           | 419,720               | 379,430                 | 90.4%          | 31.1%            | 309,270               | -26.3%             |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Elected Officials</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| City Clerk (1)                                | 0.15                 | 0.15                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| City Clerk                                    | 0.00                 | 0.42                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Assistant City Clerk                          | 1.00                 | 0.58                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Deputy City Clerk                             | 1.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Total - Full-Time Equivalents                 | 2.15                 | 2.15                 | 0.0%             | 2.00                  | 2.00                    | 100.0%         | -7.0%            | 2.00                  | 0.0%               |

Department: **63100 - City Manager's Office**  
 Program: **63105 - Education/Government CTV**

**PERFORMANCE OBJECTIVES**

Manage and coordinate the City's public education/government cable television activities. Record and televise City Council meetings. Funding is provided by the City's cable franchise fee.

1. To provide quality public access programs for the Tracy community.
2. To assist community organizations in the production of programs through the use of Community Access facilities and equipment.

**COMMENTARY**

In FY11-12, program costs showed a modest decrease. In FY12-13, they showed a modest increase, although personnel expenses were down. In FY13-14, program costs showed a minimal increase.

In FY14-15, program costs will show an increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing with some adjustments in other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                            | 51,362               | 48,261               | -6.0%            | 59,170                | 52,330                  | 88.4%          | 8.4%             | 61,130                | 3.3%               |
| Contracted Services                           | 3,696                | 1,486                | -59.8%           | 4,190                 | 3,750                   | 89.5%          | 152.4%           | 4,200                 | 0.2%               |
| Commodities                                   | 8,153                | 9,669                | 18.6%            | 9,750                 | 9,700                   | 99.5%          | 0.3%             | 10,300                | 5.6%               |
| Internal Charges                              | 19,030               | 23,540               | 23.7%            | 27,860                | 27,800                  | 99.8%          | 18.1%            | 27,860                | 0.0%               |
| Other Payments                                | 0                    | 0                    |                  | 0                     | 1,200                   |                |                  | 0                     |                    |
| Program Total                                 | 82,241               | 82,956               | 0.9%             | 100,970               | 94,780                  | 93.9%          | 14.3%            | 103,490               | 2.5%               |
| Amended Budget                                | 90,470               | 94,430               |                  |                       | 100,970                 |                |                  |                       |                    |
| % of Amended Spent                            | 90.9%                | 87.8%                |                  |                       | 93.9%                   |                |                  |                       |                    |
| <b><u>FUNDING SOURCES</u></b>                 |                      |                      |                  |                       |                         |                |                  |                       |                    |
| General Fund 101 - Taxes                      | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Cable TV Fund 295                             | 82,241               | 82,956               | 0.9%             | 100,970               | 94,780                  | 93.9%          | 14.3%            | 103,490               | 2.5%               |
| Program Total                                 | 82,241               | 82,956               | 0.9%             | 100,970               | 94,780                  | 93.9%          |                  | 103,490               | 2.5%               |
| <b><u>PROGRAM STAFFING</u></b>                |                      |                      |                  |                       |                         |                |                  |                       |                    |
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Assistant City Clerk                          | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Public Affairs Officer                        | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Comm Access Coordinator                       | 0.50                 | 0.50                 |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Intern & Program Asst                         | 1.50                 | 1.50                 |                  | 1.50                  | 1.50                    |                |                  | 1.50                  |                    |
|   | 2.00                 | 2.00                 | 0.0%             | 2.00                  | 2.00                    | 100.0%         | 0.0%             | 2.00                  | 0.0%               |

Department: **63100 - City Manager's Office**  
 Program: **63106 - Mayor's Community Youth Support**

**PERFORMANCE OBJECTIVES**

Coordinate the Mayor's Community Youth Support Network (MCYSN) multi-departmental and interagency efforts of prevention, intervention, and suppression. Administer MCYSN contracts and grants.

1. To complete a comprehensive community gang assessment in partnership with Tracy Police.
2. To open the "Reconnecting Our Youth" grant program, cycle 4, for local service providers who can fill gaps in services.
3. To coordinate at least two parent workshops, open to the public on social impacts like bullying, gangs, and drug abuse.
4. To identify service gaps and needs through the gang assessment process and update the ROY RFQ to mirror those needs.
5. To produce an updated youth & family guide both in print and on the web.

**COMMENTARY**

In both FY11-12 and FY12-13, program costs showed modest decreases, while grant outlays also dropped. In FY13-14, program costs showed a major increase, both personnel costs and grant outlays were up.

In FY14-15, program costs will remain at their prior year level.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing, some increases in contracted costs, but maintains current funding for other items, including \$175,000 for grant funding.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|------------------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                                    | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses                 | 117,044   | 152,148   | 30.0%  | 133,800    | 124,970      | 93.4%  | -17.9% | 130,000    | -2.8%    |
| Contracted Services                | 19,130    | 8,360     | -56.3% | 16,700     | 28,730       | 172.0% | 243.7% | 41,700     | 149.7%   |
| Commodities                        | 2,291     | 2,130     | -7.0%  | 4,500      | 3,370        | 74.9%  | 58.2%  | 4,500      | 0.0%     |
| Internal Charges                   | 14,450    | 14,950    | 3.5%   | 17,050     | 17,000       | 99.7%  | 13.7%  | 17,050     | 0.0%     |
| Other Payments                     | 224,196   | 297,828   | 32.8%  | 200,000    | 300,000      | 150.0% | 0.7%   | 175,000    | -12.5%   |
| Program Total                      | 377,111   | 475,416   | 26.1%  | 372,050    | 474,070      | 127.4% | -0.3%  | 368,250    | -1.0%    |
| Amended Budget                     | 511,090   | 534,850   |        |            | 577,050      |        |        |            |          |
| % of Amended Spent                 | 73.8%     | 88.9%     |        |            | 82.2%        |        |        |            |          |

| <b><u>FUNDING SOURCES</u></b> |         |         |       |         |         |        |         |         |       |
|-------------------------------|---------|---------|-------|---------|---------|--------|---------|---------|-------|
| General Fund 101 - Taxes      | 227,345 | 325,650 | 43.2% | 372,050 | 474,070 | 127.4% | 45.6%   | 368,250 | -1.0% |
| County Grant                  | 149,766 | 149,766 | 0.0%  | 0       | 0       |        | -100.0% | 0       |       |
| Program Total                 | 377,111 | 475,416 | 26.1% | 372,050 | 474,070 | 127.4% | -0.3%   | 368,250 | -1.0% |

| <b><u>PROGRAM STAFFING</u></b>                |      |      |      |      |      |  |      |      |      |
|---|------|------|------|------|------|--|------|------|------|
| <i>Regular Positions</i>                      |      |      |      |      |      |  |      |      |      |
| Management Analyst I                          | 1.00 | 1.00 |      | 1.00 | 1.00 |  |      | 1.00 |      |
| Admin Asst II                                 | 0.00 | 0.00 |      | 0.00 | 0.00 |  |      | 0.00 |      |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |      |      |      |  |      |      |      |
| Total - Full-Time Equivalents                 | 1.00 | 1.00 | 0.0% | 1.00 | 1.00 |  | 0.0% | 1.00 | 0.0% |

Department: **63100 - City Manager's Office**  
 Program: **63109 - Community Promotions**

**PERFORMANCE OBJECTIVES**

City support for promotional activities and events to enhance the City's image and prosperity.

1. To support community activities through fiscal contributions.
2. To support community activities through use of safety personnel.
3. To promote city services and awareness through various community functions.

**COMMENTARY**

Program outlays can vary from year-to-year depending upon community events and promotions. For FY15-16, the program budget includes:

- \$15,500 for City promotions through Chamber of Commerce
- \$15,800 for City Pride newsletters printing
- \$50,000 for City lobbyist.
- \$44,000 for City grant writer.
- \$23,700 for City membership in League of CA Cities

| <b><u>PROGRAM EXPENDITURES</u></b>            | FY12-13   | FY13-14   | % Cost Change | FY14-15    | FY14-15      | % of Budget | % Cost Change | FY15-16    | % Budget Change |
|---|-----------|-----------|---------------|------------|--------------|-------------|---------------|------------|-----------------|
|   | \$ Actual | \$ Actual |               | \$ Adopted | \$ Estimated |             |               | \$ Adopted |                 |
| Personnel Expenses                            | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Contracted Services                           | 125,976   | 90,185    | -28.4%        | 140,480    | 135,700      | 96.6%       | 50.5%         | 140,480    | 0.0%            |
| Commodities                                   | 2,840     | 606       | -78.7%        | 3,170      | 3,100        | 97.8%       | 411.6%        | 3,170      | 0.0%            |
| Internal Charges                              | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Other Payments                                | 16,425    | 17,000    | 3.5%          | 15,500     | 15,500       | 100.0%      | -8.8%         | 15,500     | 0.0%            |
| Program Total                                 | 145,241   | 107,791   | -25.8%        | 159,150    | 154,300      | 97.0%       | 43.1%         | 159,150    | 0.0%            |
| Amended Budget                                | 159,150   | 169,230   |               |            | 246,650      |             |               |            |                 |
| % of Amended Spent                            | 91.3%     | 63.7%     |               |            | 62.6%        |             |               |            |                 |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |               |            |              |             |               |            |                 |
| General Fund 101 - Taxes                      | 145,241   | 107,791   | -25.8%        | 159,150    | 154,300      | 97.0%       | 43.1%         | 159,150    | 0.0%            |
| Central Services Fund 602                     | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Comm Devel Block Gt Fund 269                  | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Project Reimbursement                         | 0         | 0         |               | 0          | 0            |             |               | 0          |                 |
| Program Total                                 | 145,241   | 107,791   | -25.8%        | 159,150    | 154,300      | 97.0%       | 43.1%         | 159,150    | 0.0%            |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |               |            |              |             |               |            |                 |
| <i>Regular Positions</i>                      |           |           |               |            |              |             |               |            |                 |
| <br>  |           |           |               |            |              |             |               |            |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |               |            |              |             |               |            |                 |
| <br>  |           |           |               |            |              |             |               |            |                 |
| Total - Full-Time Equivalents                 | 0.00      | 0.00      |               | 0.00       | 0.00         |             |               | 0.00       |                 |

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Department: **41000 - Recreation & Cultural Arts Programs**

The City Manager's Office oversees the City's Recreation and Cultural Arts Divisions, operates community facilities, and contract for the operators at the City's Library.

Prior to FY12-13, the Recreation Division was under the Parks & Community Services Department. The Cultural Arts Division has been under the City Manager's Office since FY09-10.

## COMMENTARY

As approved for FY15-16, the departmental budget will increase about 2.3% over the current year adopted budget, and this represents a 5.4% increase over the FY13-14 amended budget.

The base component of the budget represents a 6.9% decrease from the current year adopted budget, while budget augmentations will show a 9.9% increase over the base budget.

In FY14-15, programs staffing deleted a full-time regular position, but added 0.90 FTEs in other staffing. As proposed for FY15-16, programs staffing will add a new full-time regular position and reallocate some temporary staff hours.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| <b>Recreation Division</b>              |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 41101 - Recreation Management           | 171,789              | 174,068              | 1.3%             | 340,670               | 288,150                 | 84.6%            | 65.5%            | 576,420                | 69.2%              |
| 41103 - Library                         | 212,324              | 225,761              | 6.3%             | 183,360               | 178,760                 | 97.5%            | -20.8%           | 183,360                | 0.0%               |
| 41401 - Special Interest Classes        | 255,882              | 225,134              | -12.0%           | 240,760               | 207,830                 | 86.3%            | -7.7%            | 237,960                | -1.2%              |
| 41402 - Aquatics/Community Pool         | 264,677              | 308,646              | 16.6%            | 304,780               | 286,800                 | 94.1%            | -7.1%            | 259,750                | -14.8%             |
| 41403 - Athletics                       | 129,367              | 155,074              | 19.9%            | 151,410               | 133,360                 | 88.1%            | -14.0%           | 157,030                | 3.7%               |
| 41404 - Youth Development               | 188,700              | 151,083              | -19.9%           | 224,670               | 161,610                 | 71.9%            | 7.0%             | 216,910                | -3.5%              |
| 41405 - Senior Citizens                 | 245,877              | 249,184              | 1.3%             | 249,820               | 233,250                 | 93.4%            | -6.4%            | 246,000                | -1.5%              |
| 41406 - Community Youth Support         | 16,170               | 17,310               | 7.1%             | 25,660                | 16,820                  | 65.5%            | -2.8%            | 24,530                 | -4.4%              |
| 41408 - Community Events                | 219,808              | 211,261              | -3.9%            | 186,240               | 187,010                 | 100.4%           | -11.5%           | 205,700                | 10.4%              |
| 41409 - Teen Recreation                 | 100,388              | 84,570               | -15.8%           | 105,820               | 81,570                  | 77.1%            | -3.5%            | 92,950                 | -12.2%             |
| Division Total                          | 1,804,982            | 1,802,091            | -0.2%            | 2,013,190             | 1,775,160               | 88.2%            | -1.5%            | 2,200,610              | 9.3%               |
| <b>Cultural Arts Division</b>           |                      |                      |                  |                       |                         |                  |                  |                        |                    |
| 41701 - Cultural Arts                   | 679,393              | 536,567              | -21.0%           | 713,780               | 621,230                 | 87.0%            | 15.8%            | 623,580                | -12.6%             |
| 41702 - Arts Education                  | 293,992              | 296,089              | 0.7%             | 402,260               | 304,160                 | 75.6%            | 2.7%             | 365,230                | -9.2%              |
| 41703 - Art Gallery                     | 110,761              | 86,727               | -21.7%           | 110,200               | 106,980                 | 97.1%            | 23.4%            | 110,140                | -0.1%              |
| 41704 - Theatre Presentations           | 256,605              | 249,865              | -2.6%            | 263,570               | 278,900                 | 105.8%           | 11.6%            | 294,770                | 11.8%              |
| 41705 - Theatre Rentals                 | 139,071              | 140,425              | 1.0%             | 161,250               | 138,270                 | 85.7%            | -1.5%            | 155,300                | -3.7%              |
| Division Total                          | 1,479,822            | 1,309,673            | -11.5%           | 1,651,060             | 1,449,540               | 87.8%            | 10.7%            | 1,549,020              | -6.2%              |
| Department Total                        | 3,284,804            | 3,111,764            | -5.3%            | 3,664,250             | 3,224,700               | 88.0%            | 3.6%             | 3,749,630              | 2.3%               |
| Amended Budget                          | 3,451,546            | 3,558,560            | 3.1%             |                       | 3,726,236               |                  | 4.7%             | over 2 years           | 5.4%               |
| % of Amended Spent                      | 95.17%               | 87.44%               |                  |                       | 86.54%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 3,412,480              | -6.9%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 337,150                | 9.9%               |

Department: 41000 - Recreation &amp; Cultural Arts Programs (Continued)

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 1,314,145            | 1,244,786            | -5.3%            | 1,497,840             | 1,250,550               | 83.5%          | 0.5%             | 1,638,140              | 9.4%               |
| Contracted Services                                     | 1,322,969            | 1,209,809            | -8.6%            | 1,389,100             | 1,238,050               | 89.1%          | 2.3%             | 1,334,090              | -4.0%              |
| Commodities   | 119,515              | 88,568               | -25.9%           | 161,730               | 124,070                 | 76.7%          | 40.1%            | 152,820                | -5.5%              |
| Internal Charges  | 424,775              | 458,237              | 7.9%             | 480,150               | 478,600                 | 99.7%          | 4.4%             | 488,650                | 1.8%               |
| Other Payments  | 103,400              | 110,364              | 6.7%             | 135,430               | 133,430                 | 98.5%          | 20.9%            | 135,930                | 0.4%               |
| <b>Department Total</b>                                 | <b>3,284,804</b>     | <b>3,111,764</b>     | <b>-5.3%</b>     | <b>3,664,250</b>      | <b>3,224,700</b>        | <b>88.0%</b>   | <b>3.6%</b>      | <b>3,749,630</b>       | <b>2.3%</b>        |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101 - Taxes                                | 2,346,015            | 2,098,620            |                  | 2,606,390             | 2,230,160               | 85.6%          | 6.3%             | 2,773,670              | 6.4%               |
| Recreation Fees   | 635,054              | 726,406              |                  | 681,500               | 557,880                 | 81.9%          | -23.2%           | 559,450                | -17.9%             |
| Recreation Grants                                       | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Cultural Art Fees                                       | 303,735              | 286,738              |                  | 376,360               | 436,660                 | 116.0%         | 52.3%            | 416,510                | 10.7%              |
| Capital Projects Funds                                  | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| <b>Department Total</b>                                 | <b>3,284,804</b>     | <b>3,111,764</b>     |                  | <b>3,664,250</b>      | <b>3,224,700</b>        | <b>88.0%</b>   | <b>3.6%</b>      | <b>3,749,630</b>       | <b>2.3%</b>        |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>\$ Actual | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     |                | %<br>Change      | FY15-16<br>Approved    | %<br>Change        |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Parks & Comm Services Director                          | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 1.00                   |                    |
| Managers & Supervisors                                  | 3.00                 | 2.00                 |                  | 3.00                  | 2.00                    |                |                  | 2.00                   |                    |
| Secretarial & Clerical                                  | 2.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| Recreation  | 2.00                 | 2.00                 |                  | 2.00                  | 2.00                    |                |                  | 2.00                   |                    |
| Cultural Arts   | 1.00                 | 3.00                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                   |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Parks & Com Serv Commissioners (7)                      | 0.25                 | 0.25                 |                  | 0.25                  | 0.25                    |                |                  | 0.25                   |                    |
| Cultural Arts Commissioners (7)                         | 0.10                 | 0.10                 |                  | 0.10                  | 0.10                    |                |                  | 0.10                   |                    |
| Part-time Recreational                                  | 10.95                | 10.95                |                  | 9.50                  | 9.65                    |                |                  | 10.75                  |                    |
| Part-time Cultural Arts                                 | 3.65                 | 4.15                 |                  | 4.40                  | 5.40                    |                |                  | 4.30                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>22.95</b>         | <b>23.45</b>         | <b>2.2%</b>      | <b>23.25</b>          | <b>23.40</b>            | <b>100.6%</b>  | <b>-0.2%</b>     | <b>24.40</b>           | <b>4.9%</b>        |

## Budget Narrative - Recreation and Cultural Arts Program

### Recent Budget Changes

#### *FY 10-11*

- Department deleted 4 full-time regular positions and 1.07 FTEs in other staffing
- Reduction in base budget of \$939,880 or 17% from FY09-10 adopted Budget

#### *FY 11-12*

- Decrease in base budget by \$72,400 or 1.5% below the FY10-11 Adopted Budget. This is entirely in personnel expenses.
- There was \$126,130 in budget augmentations. These included \$19,000 for West High School Pool annual rent, which now will be \$49,000 per year, \$25,000 contingent for any repairs for West High Pool, and \$75,000 for community events.
- Parks & Community Services staffing was reduced by 4.80 FTEs in temporary staffing.
- The Cultural Arts Division staffing was reduced by 3.00 FTEs.

#### *FY 12-13*

- The Parks & Community Service Department was disbanded and the Recreation Division was transferred to the City Manager's Office
- The base budget for the Recreation Division is \$2,326,230, which is an 8.8% decrease from its FY11-12 adopted budget
- The base budget for the Cultural Arts Division is \$1,201,250, which is a 2% decrease from its FY11-12 adopted budget. Its base staffing was 6.10 FTEs.
- Budget augmentations for the Cultural Arts Division are \$234,970 and include 0.90 FTEs. The FTEs are transferred from other departments.

#### *FY 13-14*

- Base budget increase of \$142,490 or 4.29%, due primarily to personnel expenses.
- Budget augmentations of \$16,000.
- An Administrative Assistant position was re-classified to a Box Office Coordinator.
- An Arts Manager was deleted, while a second Theatre Technician was added.
- During the year, staffing temporarily increased by 0.50 FTEs.

#### *FY 14-15*

- Base budget increase of \$47,310 or 1.3% over adopted FY13-14 budget. Increase primarily in personnel expenses.
- Budget augmentations of \$68,380.
- Departmental staffing showed a net decrease of 0.05 FTEs from FY12-13.

### Proposed Budget Changes for FY15-16

- Base budget decrease of \$251,770 or 6.9% from FY14-15 adopted budget
- Budget augmentations of \$337,150
- Departmental staffing will add a new Department Director position. Also 0.75 FTEs in clerical hours will be transferred in from Public Works, other temporary staff will be reduced.

## 41000 - Recreation &amp; Cultural Arts Programs

| Department Budget<br>By Program | FY12-13   | FY13-14   | %      | FY14-15    | %      | FY15-16        | %      | FY15-16        | % over |
|---------------------------------|-----------|-----------|--------|------------|--------|----------------|--------|----------------|--------|
|                                 | \$ Actual | \$ Actual | Change | \$ Adopted | Change | \$ Base Budget | Change | \$ Bud Augment | Base   |
| 41101 - Recreation Managemer    | 171,789   | 174,068   | 1.3%   | 340,670    | 95.7%  | 341,370        | 0.2%   | 235,050        | 68.9%  |
| 41103 - Library                 | 212,324   | 225,761   | 6.3%   | 183,360    | -18.8% | 183,360        | 0.0%   | 0              | 0.0%   |
| 41401 - Special Interest Classe | 255,882   | 225,134   | -12.0% | 240,760    | 6.9%   | 237,960        | -1.2%  | 0              | 0.0%   |
| 41402 - Aquatics/Community Pr   | 264,677   | 308,646   | 16.6%  | 304,780    | -1.3%  | 259,750        | -14.8% | 0              | 0.0%   |
| 41403 - Athletics               | 129,367   | 155,074   | 19.9%  | 151,410    | -2.4%  | 157,030        | 3.7%   | 0              | 0.0%   |
| 41404 - Youth Development       | 188,700   | 151,083   | -19.9% | 224,670    | 48.7%  | 216,910        | -3.5%  | 0              | 0.0%   |
| 41405 - Senior Citizens         | 245,877   | 249,184   | 1.3%   | 249,820    | 0.3%   | 246,000        | -1.5%  | 0              | 0.0%   |
| 41406 - Community Youth Supp    | 16,170    | 17,310    | 7.1%   | 25,660     | 48.2%  | 24,530         | -4.4%  | 0              | 0.0%   |
| 41408 - Community Events        | 219,808   | 211,261   | -3.9%  | 186,240    | -11.8% | 189,170        | 1.6%   | 16,530         | 8.7%   |
| 41409 - Teen Recreation         | 100,388   | 84,570    | -15.8% | 105,820    | 25.1%  | 87,550         | -17.3% | 5,400          | 6.2%   |
| 41701 - Cultural Arts           | 679,393   | 536,567   | -21.0% | 713,780    | 33.0%  | 617,810        | -13.4% | 5,770          | 0.9%   |
| 41702 - Arts Education          | 293,992   | 296,089   | 0.7%   | 402,260    | 35.9%  | 324,280        | -19.4% | 40,950         | 12.6%  |
| 41703 - Art Gallery             | 110,761   | 86,727    | -21.7% | 110,200    | 27.1%  | 110,140        | -0.1%  | 0              | 0.0%   |
| 41704 - Theatre Presentations   | 256,605   | 249,865   | -2.6%  | 263,570    | 5.5%   | 286,770        | 8.8%   | 8,000          | 2.8%   |
| 41705 - Theatre Rentals         | 139,071   | 140,425   | 1.0%   | 161,250    | 14.8%  | 129,850        | -19.5% | 25,450         | 19.6%  |
| Department Total                | 3,284,804 | 3,111,764 | -5.3%  | 3,664,250  | 17.8%  | 3,412,480      | -6.9%  | 337,150        | 9.9%   |

## Department Budget by Object

|                     |           |           |        |           |       |           |        |         |       |
|---------------------|-----------|-----------|--------|-----------|-------|-----------|--------|---------|-------|
| Personnel Expenses  | 1,314,145 | 1,244,786 | -5.3%  | 1,497,840 | 20.3% | 1,317,890 | -12.0% | 320,250 | 24.3% |
| Contracted Services | 1,322,969 | 1,209,809 | -8.6%  | 1,389,100 | 14.8% | 1,324,690 | -4.6%  | 9,400   | 0.7%  |
| Commodities         | 119,515   | 88,568    | -25.9% | 161,730   | 82.6% | 151,320   | -6.4%  | 1,500   | 1.0%  |
| Internal Charges    | 424,775   | 458,237   | 7.9%   | 480,150   | 4.8%  | 482,650   | 0.5%   | 6,000   | 1.2%  |
| Other Payments      | 103,400   | 110,364   | 6.7%   | 135,430   | 22.7% | 135,930   | 0.4%   | 0       | 0.0%  |
| Department Total    | 3,284,804 | 3,111,764 | -5.3%  | 3,664,250 | 17.8% | 3,412,480 | -6.9%  | 337,150 | 9.9%  |

## Department Budget by Funding Source

|                          |           |           |        |           |       |           |       |          |        |
|--------------------------|-----------|-----------|--------|-----------|-------|-----------|-------|----------|--------|
| General Fund 101 - Taxes | 2,346,015 | 2,098,620 | -10.5% | 2,606,390 | 24.2% | 2,354,620 | -9.7% | 419,050  | 17.8%  |
| Recreation Fees          | 635,054   | 726,406   | 14.4%  | 681,500   | -6.2% | 681,500   | 0.0%  | -122,050 | -17.9% |
| Recreation Grants        | 0         | 0         |        | 0         |       | 0         |       | 0        |        |
| Cultural Arts Fees       | 303,735   | 286,738   |        | 376,360   | 31.3% | 376,360   | 0.0%  | 40,150   | 10.7%  |
| Department Total         | 3,284,804 | 3,111,764 | -5.3%  | 3,664,250 | 17.8% | 3,412,480 | -6.9% | 337,150  | 9.9%   |

## Department Staffing

|                               |       |       |      |       |       |       |      |      |      |
|-------------------------------|-------|-------|------|-------|-------|-------|------|------|------|
| Total - Full Time Equivalent: | 22.95 | 23.45 | 2.2% | 23.25 | -0.9% | 23.25 | 0.0% | 1.15 | 4.9% |
|-------------------------------|-------|-------|------|-------|-------|-------|------|------|------|

## Department Equipment Purchase

|                       |       |       |  |        |  |        |  |   |      |
|-----------------------|-------|-------|--|--------|--|--------|--|---|------|
| Replacement Equipment | 5,415 | 5,415 |  | 30,000 |  | 30,000 |  | 0 | 0.0% |
| New Equipment         | 1,871 | 1,871 |  | 0      |  | 0      |  | 0 |      |

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. The Adult Softball program has increase in the number of participants over the past two seasons by 10% and generated \$44,830 in revenue.
2. The Teen afterschool program Students Adding Fun to Education (SAFE) increased enrollment by 18% and served 71 participants for the 2013/2014 school year.
3. The Summer Series Movies on the Plaza reached of 2300 participants.
4. The MCYSN program continues to offer community services projects that encourage youth to make healthy choices through endorsement of the Youth Advisory Commission.

### Future Projections: FY 15-16

1. The City of Tracy has contracted World Events to help facilitate the Youth Hoops program and will increase participation by 30% and generate at least \$18,000 in revenue.
2. The Senior Center will continue to be a resource hub and utilize the new Senior Link – Tracy program to assist over 100 new seniors in the Tracy Community.
3. Host the Summer Downtown Block Party series for a total of 6 and increase participation by 20%.
4. Host a new event downtown Blues, Brew and BBQ and engage over 10 local vendors with over 500 participants.

### Current Projections: FY 14-15

1. The Community Events division will host two Girls Night Out events with 1200 in attendance, 70 vendors at each event and generate over \$35,000 in revenue.
2. The Senior Center offered 4 new afternoon health and wellness and education classes and increased participation by 10%.
3. The Special Interest program has expanded its course offerings by offering 27 new classes and camps and will increase new participants by 10%.
4. In partnership with the Giants Community Fund, the City of Tracy will continue to offer the Junior Giants program to reach over 600 participants and 75 volunteer coaches.

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41100 - Recreation & Cultural Arts**  
 Program: **41101 - Recreation Management**

**PERFORMANCE OBJECTIVES**

Manage and direct the Recreation Division and provide the necessary administrative support for its programs and activities. Pay advertising, registration, and software costs. Recreation fee rebates.

1. To administer the 10 programs of the department at an admin cost of 10.2% or less of the department operating budget.
2. To oversee a Division budget of over \$3,749,630 and with an authorized staffing of 24.40 full-time equivalents.
3. To provide staff support to 3 City advisory Commissions/Boards.
4. To support the Downtown Tracy City Center Association and to collaborate with them on downtown events.
5. To coordinate park planning, design, and programming.

**COMMENTARY**

In FY12-13, with the transfer of the Recreation Division and its programs to the City Manager's Office, this program was established to provide a cost center for the overhead costs of the Division.

In FY13-14, program costs will show a major increase, reflecting a full-year of operations. In FY14-15, program staffing added 0.50 FTEs for a Division Manager. So, program costs will show an increase.

For FY15-16, program staffing will add new Director position and 0.75 FTEs of clerical hours. The program budget provides for this added staffing and for some minor adjustments for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost      | FY14-15        | FY14-15        | % of         | % Cost       | FY15-16        | % Budget     |
|-----------------------------|----------------|----------------|-------------|----------------|----------------|--------------|--------------|----------------|--------------|
|                             | \$ Actual      | \$ Actual      | Change      | \$ Adopted     | \$ Estimated   | Budget       | Change       | \$ Adopted     | Change       |
| Personnel Expenses          | 72,016         | 90,356         | 25.5%       | 170,550        | 148,280        | 86.9%        | 64.1%        | 403,250        | 136.4%       |
| Contracted Services         | 60,122         | 59,659         | -0.8%       | 84,660         | 61,590         | 72.7%        | 3.2%         | 78,710         | -7.0%        |
| Commodities                 | 13,660         | 4,335          | -68.3%      | 10,610         | 4,580          | 43.2%        | 5.7%         | 12,510         | 17.9%        |
| Internal Charges            | 27,020         | 28,857         | 6.8%        | 33,780         | 32,700         | 96.8%        | 13.3%        | 40,880         | 21.0%        |
| Other Payments              | 39,506         | 42,554         | 7.7%        | 41,070         | 41,000         | 99.8%        | -3.7%        | 41,070         | 0.0%         |
| <b>Program Total</b>        | <b>212,324</b> | <b>225,761</b> | <b>6.3%</b> | <b>340,670</b> | <b>288,150</b> | <b>84.6%</b> | <b>27.6%</b> | <b>576,420</b> | <b>69.2%</b> |
| Amended Budget              | 166,889        | 252,670        |             |                | 340,670        |              |              |                |              |
| % of Amended Spent          | 127.2%         | 89.4%          |             |                | 84.6%          |              |              |                |              |

| <b>FUNDING SOURCES</b>   |                |                |             |                |                |               |       |                |               |
|--------------------------|----------------|----------------|-------------|----------------|----------------|---------------|-------|----------------|---------------|
| General Fund 101 - Taxes | 212,324        | 225,761        | 6.3%        | 159,580        | 288,150        | 180.6%        | 27.6% | 576,420        | 261.2%        |
| Recreation Fees          | 0              | 0              |             | 0              | 0              |               |       | 0              |               |
| <b>Program Total</b>     | <b>212,324</b> | <b>225,761</b> | <b>6.3%</b> | <b>159,580</b> | <b>288,150</b> | <b>180.6%</b> |       | <b>576,420</b> | <b>261.2%</b> |

| <b>PROGRAM STAFFING</b>                       |             |             |             |             |             |  |              |             |               |
|---|-------------|-------------|-------------|-------------|-------------|--|--------------|-------------|---------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |  |              |             |               |
| Admin Asst II-Sr Admin Clerk                  | 1.00        | 1.00        |             | 1.00        | 1.00        |  |              | 1.00        |               |
| Division Manager                              | 0.00        | 0.00        |             | 0.50        | 0.50        |  |              | 0.50        |               |
| Parks & Recreation Director                   | 0.00        | 0.00        |             | 0.00        | 0.00        |  |              | 1.00        |               |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |  |              |             |               |
| Parks & Rec Commissioners (7)                 | 0.25        | 0.25        |             | 0.25        | 0.25        |  |              | 0.25        |               |
| Clerical Aide                                 | 0.00        | 0.00        |             | 0.00        | 0.00        |  |              | 0.75        |               |
| <b>Total - Full-Time Equivalents</b>          | <b>1.25</b> | <b>1.25</b> | <b>0.0%</b> | <b>1.75</b> | <b>1.75</b> |  | <b>40.0%</b> | <b>3.50</b> | <b>100.0%</b> |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41100 - Recreation & Cultural Arts**  
 Program: **41103 - Library**

**PERFORMANCE OBJECTIVES**

Maintain City owned facility and coordinate Library activities with City functions. Library operations are provided under contract with the City of Stockton. City contributions to library operations.

1. To maintain Library facility and provide for operations for 42 hours per week.
2. To provide a \$15,000 contribution for Library materials and \$44,360 for Library operations.
3. To liaison with San Joaquin County and the City of Stockton on Tracy branch library matters.

**COMMENTARY**

The City provide facility maintenance and pays utilities costs. Since FY96-97, the City also provides funds for Library operations and materials. In FY12-13, program costs showed a moderate increase, with custodial and electric costs going up. In FY13-14, program costs showed a modest increase.

In FY14-15, program costs will again show a modest increase.

For FY15-16, the program budget will remain at its current level, but with some minor adjustments between accounts. Contributions will be at the same level.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Contracted Services                | 58,237               | 61,200               | 5.1%             | 68,040                | 65,390                  | 96.1%          | 6.8%             | 67,900                | -0.2%              |
| Commodities                        | 2,558                | 2,847                | 11.3%            | 3,290                 | 3,240                   | 98.5%          | 13.8%            | 3,290                 | 0.0%               |
| Internal Charges                   | 51,680               | 52,180               | 1.0%             | 52,670                | 52,600                  | 99.9%          | 0.8%             | 52,810                | 0.3%               |
| Other Payments                     | 59,314               | 57,841               | -2.5%            | 59,360                | 57,530                  | 96.9%          | -0.5%            | 59,360                | 0.0%               |
| <b>Program Total</b>               | <b>171,789</b>       | <b>174,068</b>       | <b>1.3%</b>      | <b>183,360</b>        | <b>178,760</b>          | <b>97.5%</b>   | <b>2.7%</b>      | <b>183,360</b>        | <b>0.0%</b>        |
| Amended Budget                     | 182,370              | 182,870              |                  |                       | 183,360                 |                |                  |                       |                    |
| % of Amended Spent                 | 94.2%                | 95.2%                |                  |                       | 97.5%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 171,789              | 174,068              | 1.3%             | 183,360               | 178,760                 | 97.5%          | 2.7%             | 183,360               | 0.0%               |
| <b>Program Total</b>          | <b>171,789</b>       | <b>174,068</b>       | <b>1.3%</b>      | <b>183,360</b>        | <b>178,760</b>          | <b>97.5%</b>   | <b>2.7%</b>      | <b>183,360</b>        | <b>0.0%</b>        |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Adopted |
|---|----------------------|----------------------|-----------------------|-------------------------|-----------------------|
| <i>Regular Positions</i>                      |                      |                      |                       |                         |                       |
| Recreation Supervisor                         | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                       |                         |                       |
| Custodial Aides                               | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| Bldg Maint Staff                              | 0.00                 | 0.00                 | 0.00                  | 0.00                    | 0.00                  |
| <b>Total - Full-Time Equivalents</b>          | <b>0.00</b>          | <b>0.00</b>          | <b>0.00</b>           | <b>0.00</b>             | <b>0.00</b>           |

Department: 41000 - Recreation & Cultural Arts Programs  
 Division: 41400 - Recreation Division  
 Program: 41401 - Special Interest Classes

**PERFORMANCE OBJECTIVES**

Manage and provide special interest recreation classes through contracted services at city facilities and local school sites.

1. To offer 700 recreational classes and serve 3,600 participants.
2. To increase the number of class offerings 15% from 600 to 700 annually by recruiting instructors to offer classes that the community wants as expressed through interest surveys.
3. To increase our customer base and number of participants by 5% from 2,000 to 2,100 with an average participation rate of 2 classes per year.
4. To expose class offering to a larger audience through increased marketing and advertising.
5. To generate at least \$166,500 in program revenues and recover 70% of program costs.

**COMMENTARY**

In FY12-13, program costs showed a moderate increase. Both staffing and contracted costs were up, as were program revenues. But, in FY13-14, program costs showed decrease. Both personnel and contracted costs were down, as were revenues.

In FY14-15, program costs will again show a decrease. There was a reduction in program supervisory hours.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing but maintains current funding for other cost items.

Program revenues will remain at their current level.

| PROGRAM EXPENDITURES | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|----------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                      | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses   | 91,540    | 89,060    | -2.7%  | 73,300     | 61,340       | 83.7%  | -31.1% | 70,500     | -3.8%    |
| Contracted Services  | 137,891   | 107,989   | -21.7% | 137,250    | 116,520      | 84.9%  | 7.9%   | 137,250    | 0.0%     |
| Commodities          | 331       | 425       | 28.4%  | 1,220      | 1,070        | 87.7%  | 151.8% | 1,220      | 0.0%     |
| Internal Charges     | 26,120    | 27,660    | 5.9%   | 28,990     | 28,900       | 99.7%  | 4.5%   | 28,990     | 0.0%     |
| Other Payments       | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total        | 255,882   | 225,134   | -12.0% | 240,760    | 207,830      | 86.3%  | -7.7%  | 237,960    | -1.2%    |
| Amended Budget       | 265,230   | 270,690   |        |            | 240,760      |        |        |            |          |
| % of Amended Spent   | 96.5%     | 83.2%     |        |            | 86.3%        |        |        |            |          |

**FUNDING SOURCES**

|                          |         |         |        |         |         |         |        |         |         |
|--------------------------|---------|---------|--------|---------|---------|---------|--------|---------|---------|
| General Fund 101 - Taxes | 54,393  | 58,613  | 7.8%   | 3,760   | 45,330  | 1205.6% | -22.7% | 71,460  | 1800.5% |
| Recreation Fees          | 201,489 | 166,521 | -17.4% | 237,000 | 162,500 | 68.6%   | -2.4%  | 166,500 | -29.7%  |
| Program Total            | 255,882 | 225,134 | -12.0% | 240,760 | 207,830 | 86.3%   | -7.7%  | 237,960 | -1.2%   |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Recreation Manager             | 0.25 | 0.25 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Recreation Supervisor          | 0.00 | 0.00 |  | 0.20 | 0.10 |  |  | 0.10 |  |
| Recreation Specialist          | 0.00 | 0.00 |  | 0.50 | 0.00 |  |  | 0.00 |  |
| Recreation Program Coordinator | 0.50 | 0.50 |  | 0.00 | 0.50 |  |  | 0.50 |  |

*Other Staffing (Full-Time Equivalents)*

|                       |      |      |  |      |      |  |  |      |  |
|-----------------------|------|------|--|------|------|--|--|------|--|
| Recreation Leader III | 0.40 | 0.40 |  | 0.40 | 0.40 |  |  | 0.40 |  |
| Recreation Leader II  | 0.10 | 0.10 |  | 0.10 | 0.10 |  |  | 0.10 |  |
| Clerical              | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |

|                               |      |      |      |      |      |       |        |      |      |
|-------------------------------|------|------|------|------|------|-------|--------|------|------|
| Total - Full-Time Equivalents | 1.25 | 1.25 | 0.0% | 1.20 | 1.10 | 91.7% | -12.0% | 1.10 | 0.0% |
|-------------------------------|------|------|------|------|------|-------|--------|------|------|

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41402 - Aquatics/Community Pool**

**PERFORMANCE OBJECTIVES**

Operate and maintain the Community Pool; provide swimming lessons, recreational swimming, aquatics special events and pool rentals. Provide staffing & programming at the new West High pool.

1. To offer 200 aquatic classes and teach 3,000 participants.
2. To offer 240 hours for recreational swimming and serve 4,000 participants.
3. To administer the agreement with the YMCA to operate the West High Pool.
4. To offer summer family events to educate families about swim safety and the benefits of basic swimming skills.
5. To generate at least \$170,000 in program revenues and recover 59% of program costs.

**COMMENTARY**

In FY11-12, most part-time workers were contracted out, so program staffing was reduced. Program costs showed a major decrease, while staffing costs were down, contracted costs were up. In FY12-13, program costs again decreased, although program revenues were up. In FY13-14, both program costs and revenues were up; but, in FY14-15, both are expected to decrease. Also, there was a reduction in program supervisory hours.

For FY15-16, no staffing changes are anticipated. The program budget providers for current staffing and some minor adjustments for other cost items. Program revenues are projected to show a decrease.

|   | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|---|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|   | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| <b>PROGRAM EXPENDITURES</b>                   |           |           |        |            |              |        |        |            |          |
| Personnel Expenses                            | 7,302     | 14,424    | 97.5%  | 21,300     | 6,800        | 31.9%  | -52.9% | 21,720     | 2.0%     |
| Contracted Services                           | 217,113   | 252,246   | 16.2%  | 211,320    | 211,300      | 100.0% | -16.2% | 165,290    | -21.8%   |
| Commodities                                   | 9,102     | 9,816     | 7.8%   | 13,960     | 10,500       | 75.2%  | 7.0%   | 14,540     | 4.2%     |
| Internal Charges                              | 31,160    | 32,160    | 3.2%   | 33,200     | 33,200       | 100.0% | 3.2%   | 33,200     | 0.0%     |
| Other Payments                                | 0         | 0         |        | 25,000     | 25,000       | 100.0% |        | 25,000     | 0.0%     |
| Program Total                                 | 264,677   | 308,646   | 16.6%  | 304,780    | 286,800      | 94.1%  | -7.1%  | 259,750    | -14.8%   |
| Amended Budget                                | 306,180   | 304,360   |        |            | 304,780      |        |        |            |          |
| % of Amended Spent                            | 86.4%     | 101.4%    |        |            | 94.1%        |        |        |            |          |
| <b>FUNDING SOURCES</b>                        |           |           |        |            |              |        |        |            |          |
| General Fund 101 - Taxes                      | 95,701    | 126,367   | 32.0%  | 134,780    | 126,000      | 93.5%  | -0.3%  | 104,750    | -22.3%   |
| Recreation Fees                               | 168,976   | 182,279   | 7.9%   | 170,000    | 160,800      | 94.6%  | -11.8% | 155,000    | -8.8%    |
| Program Total                                 | 264,677   | 308,646   | 16.6%  | 304,780    | 286,800      | 94.1%  | -7.1%  | 259,750    | -14.8%   |
| <b>PROGRAM STAFFING</b>                       |           |           |        |            |              |        |        |            |          |
| <i>Regular Positions</i>                      |           |           |        |            |              |        |        |            |          |
| Recreation Manager                            | 0.00      | 0.00      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| Recreation Supervisor                         | 0.00      | 0.00      |        | 0.05       | 0.00         |        |        | 0.00       |          |
| Recreation Program Coordinator                | 0.10      | 0.10      |        | 0.00       | 0.00         |        |        | 0.00       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |        |            |              |        |        |            |          |
| RS III/Pool Manager                           | 0.25      | 0.25      |        | 0.25       | 0.25         |        |        | 0.25       |          |
| Total - Full-Time Equivalents                 | 0.35      | 0.35      | 0.0%   | 0.40       | 0.25         | 62.5%  | -28.6% | 0.25       | 0.0%     |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41403 - Athletics**

**PERFORMANCE OBJECTIVES**

Conduct youth and adult sporting events, manage contracts, coordinate league & team scheduling, at the Tracy Ballpark and Tracy Sports Complex.

1. To conduct an adult softball league with 3 seasons, 75 teams, and 15 leagues, serving 1,200 participants.
2. To conduct our annual Youth Hoops basketball program and increase participation to serve over 275 participants.
3. To conduct a Youth and Adult Flag Football program to serve over 200 participants.
4. To conduct our Jr. Giants youth baseball program with over 500 participants and 75 volunteer coaches.
5. To generate at least \$164,000 in program revenues and recover 97% of program costs.

**COMMENTARY**

In FY12-13, program costs and revenues showed major decreases. Staffing costs were down but contracted costs were up. But, in FY13-14, program costs were up, as were revenues.

In FY14-15, program costs will show a decrease. Also, there was a reduction in program supervisory hours.

For FY15-16, program staffing will add some temporary staff hours. The program budget provides for this added staffing and some minimal adjustments for other cost items. Revenues will remain at their current level.

Program costs and revenues DO NOT cover the costs of sports field maintenance provided by the Public Works Department.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost       | FY14-15        | FY14-15        | % of         | % Cost        | FY15-16        | % Budget    |
|-----------------------------|----------------|----------------|--------------|----------------|----------------|--------------|---------------|----------------|-------------|
|                             | \$ Actual      | \$ Actual      | Change       | \$ Adopted     | \$ Estimated   | Budget       | Change        | \$ Adopted     | Change      |
| Personnel Expenses          | 47,630         | 65,382         | 37.3%        | 56,970         | 45,620         | 80.1%        | -30.2%        | 57,600         | 1.1%        |
| Contracted Services         | 56,120         | 65,205         | 16.2%        | 62,510         | 58,350         | 93.3%        | -10.5%        | 69,600         | 11.3%       |
| Commodities                 | 6,287          | 4,157          | -33.9%       | 9,300          | 6,790          | 73.0%        | 63.3%         | 7,140          | -23.2%      |
| Internal Charges            | 19,330         | 20,330         | 5.2%         | 22,630         | 22,600         | 99.9%        | 11.2%         | 22,690         | 0.3%        |
| Other Payments              | 0              | 0              |              | 0              | 0              |              |               | 0              |             |
| <b>Program Total</b>        | <b>129,367</b> | <b>155,074</b> | <b>19.9%</b> | <b>151,410</b> | <b>133,360</b> | <b>88.1%</b> | <b>-14.0%</b> | <b>157,030</b> | <b>3.7%</b> |
| Amended Budget              | 168,700        | 169,550        |              |                | 151,410        |              |               |                |             |
| % of Amended Spent          | 76.7%          | 91.5%          |              |                | 88.1%          |              |               |                |             |

| <b>FUNDING SOURCES</b>   |                |                |              |                |                |              |               |                |             |
|--------------------------|----------------|----------------|--------------|----------------|----------------|--------------|---------------|----------------|-------------|
| General Fund 101 - Taxes | 57,710         | 72,421         | 25.5%        | 72,410         | 54,040         | 74.6%        | -25.4%        | 77,030         | 6.4%        |
| Recreation Fees          | 71,657         | 82,653         | 15.3%        | 79,000         | 79,320         | 100.4%       | -4.0%         | 80,000         | 1.3%        |
| <b>Program Total</b>     | <b>129,367</b> | <b>155,074</b> | <b>19.9%</b> | <b>151,410</b> | <b>133,360</b> | <b>88.1%</b> | <b>-14.0%</b> | <b>157,030</b> | <b>3.7%</b> |

| <b>PROGRAM STAFFING</b>                       |             |             |             |             |             |              |               |             |             |
|---|-------------|-------------|-------------|-------------|-------------|--------------|---------------|-------------|-------------|
| <i>Regular Positions</i>                      |             |             |             |             |             |              |               |             |             |
| Recreation Manager                            | 0.00        | 0.00        |             | 0.00        | 0.00        |              |               | 0.00        |             |
| Recreation Program Coordinator                | 0.20        | 0.20        |             | 0.00        | 0.00        |              |               | 0.00        |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |             |             |             |              |               |             |             |
| Recreation Leader III                         | 0.80        | 0.80        |             | 0.00        | 0.00        |              |               | 0.00        |             |
| Recreation Leader II                          | 0.45        | 0.45        |             | 0.55        | 0.55        |              |               | 0.60        |             |
| Recreation Leader I                           | 0.20        | 0.20        |             | 0.00        | 0.00        |              |               | 0.00        |             |
| <b>Total - Full-Time Equivalents</b>          | <b>1.65</b> | <b>1.65</b> | <b>0.0%</b> | <b>1.05</b> | <b>0.55</b> | <b>52.4%</b> | <b>-66.7%</b> | <b>0.60</b> | <b>9.1%</b> |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41404 - Youth Development**

**PERFORMANCE OBJECTIVES**

Provide recreation activities at school sites before and after school hours during the school year. Provide day camps at schools and on City park sites during the school closures.

1. To offer supervised after school recreational activities at 3 sites during the school year for 18 hours per week, serving 1,000 registrants.
2. To offer at least 2 art and fitness activities to the afterschool program and summer camp.
3. To offer summer camp for 8 weeks serving 30 participants per camp.
4. To generate at least \$155,000 in program revenues and recover 69% of program costs.

**COMMENTARY**

In FY12-13, program costs showed as major increase. Staffing costs were up, as were revenues. But, in FY13-14, program costs showed a decrease; both personnel costs and revenues were down.

In FY14-15, program costs will show a minor increase. Staffing costs are up slightly; but revenues are down slightly. Also, there was a reduction in program supervisory hours.

For FY15-16, no staffing changes are anticipated. The program budget provides for the current staffing and some increases reductions in other cost items. Revenues are projected to decrease.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 142,125              | 111,105              | -21.8%           | 165,280               | 112,230                 | 67.9%          | 1.0%             | 160,800               | -2.7%              |
| Contracted Services                | 4,885                | 3,363                | -31.2%           | 10,710                | 4,680                   | 43.7%          | 39.2%            | 9,000                 | -16.0%             |
| Commodities                        | 11,220               | 5,145                | -54.1%           | 14,660                | 10,700                  | 73.0%          | 108.0%           | 13,090                | -10.7%             |
| Internal Charges                   | 30,470               | 31,470               | 3.3%             | 34,020                | 34,000                  | 99.9%          | 8.0%             | 34,020                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>               | <b>188,700</b>       | <b>151,083</b>       | <b>-19.9%</b>    | <b>224,670</b>        | <b>161,610</b>          | <b>71.9%</b>   | <b>7.0%</b>      | <b>216,910</b>        | <b>-3.5%</b>       |
| Amended Budget                     | 218,710              | 222,980              |                  |                       | 193,940                 |                |                  |                       |                    |
| % of Amended Spent                 | 86.3%                | 67.8%                |                  |                       | 83.3%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 55,183               | 61,170               | 10.8%            | 100,670               | 75,610                  | 75.1%          | 23.6%            | 130,910               | 30.0%              |
| Recreation Fees               | 133,517              | 89,913               | -32.7%           | 124,000               | 86,000                  | 69.4%          | -4.4%            | 86,000                | -30.6%             |
| <b>Program Total</b>          | <b>188,700</b>       | <b>151,083</b>       | <b>-19.9%</b>    | <b>224,670</b>        | <b>161,610</b>          | <b>71.9%</b>   | <b>7.0%</b>      | <b>216,910</b>        | <b>-3.5%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13     | FY13-14     | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|-------------|-------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |             |             |                  |                       |                         |                |                  |                       |                    |
| Recreation Manager                            | 0.10        | 0.10        |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Recreation Supervisor                         | 0.00        | 0.00        |                  | 0.20                  | 0.10                    |                |                  | 0.10                  |                    |
| Recreation Specialist                         | 0.00        | 0.00        |                  | 0.25                  | 0.00                    |                |                  | 0.00                  |                    |
| Recreation Program Coordinator                | 0.25        | 0.25        |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |                  |                       |                         |                |                  |                       |                    |
| Recreation Leader III                         | 0.50        | 0.50        |                  | 0.50                  | 0.50                    |                |                  | 0.50                  |                    |
| Recreation Leader II                          | 1.25        | 1.25        |                  | 1.25                  | 3.40                    |                |                  | 3.40                  |                    |
| Recreation Leader I                           | 2.15        | 2.15        |                  | 2.15                  | 0.00                    |                |                  | 0.00                  |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>4.25</b> | <b>4.25</b> | <b>0.0%</b>      | <b>4.35</b>           | <b>4.00</b>             | <b>92.0%</b>   | <b>-5.9%</b>     | <b>4.00</b>           | <b>0.0%</b>        |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41405 - Senior Citizens**

**PERFORMANCE OBJECTIVES**

Provide recreational, educational, and social service activities for the senior citizen population of the City and its environs. Operate and maintain the City's Senior Center.

1. To operate the Senior Center for 5 days and 30 hours per week, serving on the average 160 participants per day.
2. To continue to offer quarterly safety presentation in partnership with the Tracy Fire Department and other community agencies and increase participation by 10%
3. To provide recreational and educational programs utilizing the Senior Center Outdoor Recreation Area.
4. To offer recreation programs and special events that are successful and generate revenue and increase participation by 20%.
5. To generate at least \$21,000 in program revenues and recover 8.4% of program costs.

In FY12-13, both staffing and contracted costs were up. In FY13-14, program costs showed a minimal increase.

In FY14-15, program costs will show a decrease. Staffing costs are expected to show a decrease. Program staffing will show an increase in supervisory hours, but a decrease in other temporary hours.

For FY15-16, program staffing will add some temporary hours. The program budget provides for the current and added staffing, some minimal adjustments in other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 153,835              | 146,333              | -4.9%            | 131,840               | 122,250                 | 92.7%          | -16.5%           | 126,070               | -4.4%              |
| Contracted Services         | 33,128               | 41,678               | 25.8%            | 48,320                | 43,400                  | 89.8%          | 4.1%             | 50,270                | 4.0%               |
| Commodities                 | 5,764                | 6,023                | 4.5%             | 10,710                | 8,700                   | 81.2%          | 44.4%            | 10,710                | 0.0%               |
| Internal Charges            | 53,150               | 55,150               | 3.8%             | 58,950                | 58,900                  | 99.9%          | 6.8%             | 58,950                | 0.0%               |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| Program Total               | 245,877              | 249,184              | 1.3%             | 249,820               | 233,250                 | 93.4%          | -6.4%            | 246,000               | -1.5%              |
| Amended Budget              | 237,660              | 260,550              |                  |                       | 249,820                 |                |                  |                       |                    |
| % of Amended Spent          | 103.5%               | 95.6%                |                  |                       | 93.4%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes | 224,571              | 229,851              | 2.4%             | 225,820               | 209,250                 | 92.7%          | -9.0%            | 222,000               | -1.7%              |
| Recreation Fees          | 21,306               | 19,333               | -9.3%            | 24,000                | 24,000                  | 100.0%         | 24.1%            | 24,000                | 0.0%               |
| Program Total            | 245,877              | 249,184              | 1.3%             | 249,820               | 233,250                 | 93.4%          | -6.4%            | 246,000               | -1.5%              |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Recreation Manager                            | 0.30                 | 0.30                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Recreation Supervisor                         | 0.00                 | 0.00                 |                  | 0.25                  | 0.20                    |                |                  | 0.20                  |                    |
| Recreation Program Coordinator                | 0.30                 | 0.30                 |                  | 0.00                  | 0.25                    |                |                  | 0.25                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                  |                       |                         |                |                  |                       |                    |
| Recreation Leader III                         | 0.70                 | 0.70                 |                  | 0.70                  | 0.65                    |                |                  | 0.65                  |                    |
| Recreation Leader II                          | 1.45                 | 1.45                 |                  | 1.75                  | 1.75                    |                |                  | 2.05                  |                    |
| Clerical                                      | 0.00                 | 0.00                 |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Total - Full-Time Equivalents                 | 2.75                 | 2.75                 | 0.0%             | 2.70                  | 2.85                    | 105.6%         | 3.6%             | 3.15                  | 10.5%              |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41406 - Mayor's Community Youth Support**

**PERFORMANCE OBJECTIVES**

To develop and administer recreational youth activities that focus on the Youth Continuum of Care (Prevention and Intervention), as part of the Mayor's Community Youth Support Network.

1. To continue to offer an Arts Education, Leadership and Recreation program serving over 45 participants twice a week at SAFE.
2. To expose and engage youth in art, leadership and recreation programming by offering on site classes 2 times a week taught by professionals and accessibility to additional off site locations at least once a month.
3. To offer recreation program support at community events to increase community awareness of Parks and Community Services programming.
4. To offer a community event that inspires youth to make healthy choices.

**COMMENTARY**

The Mayor's Community Youth Support Network (MCYSN) provides youth services involving prevention, intervention and suppression activities. Program costs generally are less than anticipated.

In FY14-15, program costs will show a decrease; personnel costs are down.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minimal adjustments in other costs items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|-----------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                             | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses          | 5,376     | 4,450     | -17.2% | 10,700     | 3,860        | 36.1%  | -13.3% | 8,800      | -17.8%   |
| Contracted Services         | 0         | 682       |        | 1,500      | 1,060        | 70.7%  | 55.4%  | 1,670      | 11.3%    |
| Commodities                 | 1,559     | 2,328     | 49.3%  | 4,000      | 2,500        | 62.5%  | 7.4%   | 3,400      | -15.0%   |
| Internal Charges            | 9,235     | 9,850     | 6.7%   | 9,460      | 9,400        | 99.4%  | -4.6%  | 10,660     | 12.7%    |
| Other Payments              | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total               | 16,170    | 17,310    | 7.1%   | 25,660     | 16,820       | 65.5%  | -2.8%  | 24,530     | -4.4%    |
| Amended Budget              | 26,360    | 24,440    |        |            | 25,660       |        |        |            |          |
| % of Amended Spent          | 61.3%     | 70.8%     |        |            | 65.5%        |        |        |            |          |

**FUNDING SOURCES**

|                          |        |        |      |        |        |       |       |        |       |
|--------------------------|--------|--------|------|--------|--------|-------|-------|--------|-------|
| General Fund 101 - Taxes | 16,170 | 17,310 | 7.1% | 25,660 | 16,820 | 65.5% | -2.8% | 24,530 | -4.4% |
| Recreation Fees          | 0      | 0      |      | 0      | 0      |       |       | 0      |       |
| Recreation Grant         | 0      | 0      |      | 0      | 0      |       |       | 0      |       |
| Program Total            | 16,170 | 17,310 | 7.1% | 25,660 | 16,820 | 65.5% | -2.8% | 24,530 | -4.4% |

**PROGRAM STAFFING***Regular Positions*

|   |      |      |      |      |      |  |      |      |      |
|---|------|------|------|------|------|--|------|------|------|
| Recreation Supervisor                         | 0.00 | 0.00 |      | 0.00 | 0.00 |  |      | 0.00 |      |
| Recreation Specialist                         | 0.00 | 0.00 |      | 0.00 | 0.00 |  |      | 0.00 |      |
| Recreation Program Coordinator                | 0.05 | 0.05 |      | 0.05 | 0.05 |  |      | 0.05 |      |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |      |      |      |  |      |      |      |
| Recreation Leader III                         | 0.05 | 0.05 |      | 0.00 | 0.05 |  |      | 0.05 |      |
| Recreation Leader II                          | 0.10 | 0.10 |      | 0.10 | 0.10 |  |      | 0.10 |      |
| Recreation Leader I                           | 0.00 | 0.00 |      | 0.05 | 0.00 |  |      | 0.00 |      |
| Total - Full-Time Equivalents                 | 0.20 | 0.20 | 0.0% | 0.20 | 0.20 |  | 0.0% | 0.20 | 0.0% |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41408 - Community Events**

**PERFORMANCE OBJECTIVES**

Plan, develop, and coordinate community events that encompass activities for children and adults and increase community pride and involvement.

1. To increase the attendance at the 2 scheduled Girls Night Out events by 20% and increase sponsorship opportunities for local businesses.
2. To increase attendance at the Summer Block Party Series by 20% which will be held in the new Downtown Plaza at 6th St. and Central Ave.
3. To continue of offer and expand events in partnership with the Tracy City Center Association and the Tracy Chamber of Commerce.
4. To offer one new event and engage over 20 local businesses and 300 community members in Tracy to participate.
5. To continue to offer quality family entertainment at the Movies on the Civic Center Plaza and increase participation by 20%.

**COMMENTARY**

In FY12-13, program staffing was increased by 0.30 FTEs. Program costs showed a major increase, with staffing costs going over budget. In FY13-14, program costs showed a decrease, with staffing costs going down.

In FY14-15, program costs are expected to decrease again. Both supervisory hours and temporary hours are less than in the prior fiscal year.

For FY15-16, no staffing changes are anticipated. The program budget provides for the current staffing and maintains current funding for other cost items. Revenues are projected to remain at the current level.

| <b>PROGRAM EXPENDITURES</b> | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|-----------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                             | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses          | 133,461   | 125,563   | -5.9%  | 70,470     | 93,860       | 133.2% | -25.2% | 89,930     | 27.6%    |
| Contracted Services         | 54,591    | 58,173    | 6.6%   | 78,560     | 63,350       | 80.6%  | 8.9%   | 78,560     | 0.0%     |
| Commodities                 | 20,716    | 16,015    | -22.7% | 24,900     | 17,500       | 70.3%  | 9.3%   | 24,900     | 0.0%     |
| Internal Charges            | 11,040    | 11,510    | 4.3%   | 12,310     | 12,300       | 99.9%  | 6.9%   | 12,310     | 0.0%     |
| Other Payments              | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total               | 219,808   | 211,261   | -3.9%  | 186,240    | 187,010      | 100.4% | -11.5% | 205,700    | 10.4%    |
| Amended Budget              | 233,100   | 244,070   |        |            | 216,970      |        |        |            |          |
| % of Amended Spent          | 94.3%     | 86.6%     |        |            | 86.2%        |        |        |            |          |

**FUNDING SOURCES**

|                          |         |         |       |         |         |        |        |         |       |
|--------------------------|---------|---------|-------|---------|---------|--------|--------|---------|-------|
| General Fund 101 - Taxes | 185,712 | 179,186 | -3.5% | 146,240 | 147,010 | 100.5% | -18.0% | 165,700 | 13.3% |
| Recreation Fees          | 34,096  | 32,075  |       | 40,000  | 40,000  | 100.0% |        | 40,000  | 0.0%  |
| Program Total            | 219,808 | 211,261 | -3.9% | 186,240 | 187,010 | 100.4% | -11.5% | 205,700 | 10.4% |

**PROGRAM STAFFING***Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Recreation Manager             | 0.25 | 0.25 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Recreation Supervisor          | 0.00 | 0.00 |  | 0.20 | 0.50 |  |  | 0.50 |  |
| Recreation Specialist          | 0.00 | 0.00 |  | 0.50 | 0.00 |  |  | 0.00 |  |
| Recreation Program Coordinator | 0.40 | 0.40 |  | 0.00 | 0.00 |  |  | 0.00 |  |

*Other Staffing (Full-Time Equivalents)*

|                       |      |      |  |      |      |  |  |      |  |
|-----------------------|------|------|--|------|------|--|--|------|--|
| Recreation Leader III | 0.85 | 0.85 |  | 0.00 | 0.50 |  |  | 0.50 |  |
| Recreation Leader II  | 0.40 | 0.40 |  | 0.40 | 0.40 |  |  | 0.40 |  |

|                               |      |      |      |      |      |        |        |      |      |
|-------------------------------|------|------|------|------|------|--------|--------|------|------|
| Total - Full-Time Equivalents | 1.90 | 1.90 | 0.0% | 1.10 | 1.40 | 127.3% | -26.3% | 1.40 | 0.0% |
|-------------------------------|------|------|------|------|------|--------|--------|------|------|

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41400 - Recreation Division**  
 Program: **41409 - Teen Recreation**

**PERFORMANCE OBJECTIVES**

Provide programs and activities for Tracy teens, including operations of a Teen Center and collaboration with other community youth-serving organizations. Provide support to the Youth Advisory Commission.

1. To offer an on-campus middle school afterschool program with art, recreational activities, tutoring, and physical fitness programs serving at least 40 participants a day.
2. To maintain current participation at capacity at SAFE by offering a leadership component to increase leadership skills and self-esteem.
3. To offer 3 teen summer camps that are recreational and educational that engage teens to live a healthy lifestyle.
4. To increase the Youth Advisory Commission visibility in the community, to assist in marketing teen programs to teens in Tracy, and to conduct several service projects in Tracy that benefit the community.

**COMMENTARY**

In FY12-13, program costs showed a major increase, although they were less than budgeted. In FY13-14, program costs showed a decrease. Staffing costs showed a major decrease.

In FY14-15, program costs will show another decrease. Staffing costs will again show a decrease. Staffing will show a reduction in temporary hours.

For FY15-16, no staffing changes are anticipated. The program budget provides for the current staffing, a reduction in contracted services, and maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14       | % Cost        | FY14-15        | FY14-15       | % of         | % Cost       | FY15-16       | % Budget      |
|-----------------------------|----------------|---------------|---------------|----------------|---------------|--------------|--------------|---------------|---------------|
|                             | \$ Actual      | \$ Actual     | Change        | \$ Adopted     | \$ Estimated  | Budget       | Change       | \$ Adopted    | Change        |
| Personnel Expenses          | 73,268         | 58,489        | -20.2%        | 60,220         | 51,810        | 86.0%        | -11.4%       | 58,400        | -3.0%         |
| Contracted Services         | 7,451          | 8,478         | 13.8%         | 21,470         | 8,760         | 40.8%        | 3.3%         | 10,420        | -51.5%        |
| Commodities                 | 6,239          | 3,673         | -41.1%        | 9,250          | 6,200         | 67.0%        | 68.8%        | 9,250         | 0.0%          |
| Internal Charges            | 13,430         | 13,930        | 3.7%          | 14,880         | 14,800        | 99.5%        | 6.2%         | 14,880        | 0.0%          |
| Other Payments              | 0              | 0             |               | 0              | 0             |              |              | 0             |               |
| <b>Program Total</b>        | <b>100,388</b> | <b>84,570</b> | <b>-15.8%</b> | <b>105,820</b> | <b>81,570</b> | <b>77.1%</b> | <b>-3.5%</b> | <b>92,950</b> | <b>-12.2%</b> |
| Amended Budget              | 118,960        | 126,490       |               |                | 105,820       |              |              |               |               |
| % of Amended Spent          | 84.4%          | 66.9%         |               |                | 77.1%         |              |              |               |               |

**FUNDING SOURCES**

|                          |                |               |               |                |               |              |              |               |               |
|--------------------------|----------------|---------------|---------------|----------------|---------------|--------------|--------------|---------------|---------------|
| General Fund 101 - Taxes | 96,375         | 74,022        | -23.2%        | 98,320         | 76,310        | 77.6%        | 3.1%         | 85,000        | -13.5%        |
| Recreation Fees          | 4,013          | 10,548        | 162.8%        | 7,500          | 5,260         | 70.1%        | -50.1%       | 7,950         | 6.0%          |
| <b>Program Total</b>     | <b>100,388</b> | <b>84,570</b> | <b>-15.8%</b> | <b>105,820</b> | <b>81,570</b> | <b>77.1%</b> | <b>-3.5%</b> | <b>92,950</b> | <b>-12.2%</b> |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Recreation Manager             | 0.10 | 0.10 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Recreation Supervisor          | 0.00 | 0.00 |  | 0.00 | 0.10 |  |  | 0.10 |  |
| Recreation Specialist          | 0.00 | 0.00 |  | 0.20 | 0.00 |  |  | 0.00 |  |
| Recreation Program Coordinator | 0.20 | 0.20 |  | 0.00 | 0.20 |  |  | 0.20 |  |

*Other Staffing (Full-Time Equivalents)*

|                       |      |      |  |      |      |  |  |      |  |
|-----------------------|------|------|--|------|------|--|--|------|--|
| Recreation Leader III | 0.00 | 0.00 |  | 0.00 | 0.10 |  |  | 0.10 |  |
| Recreation Leader II  | 1.30 | 1.30 |  | 1.30 | 0.90 |  |  | 0.90 |  |

|                                      |             |             |             |             |             |              |               |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|--------------|---------------|-------------|-------------|
| <b>Total - Full-Time Equivalents</b> | <b>1.60</b> | <b>1.60</b> | <b>0.0%</b> | <b>1.50</b> | <b>1.30</b> | <b>86.7%</b> | <b>-18.8%</b> | <b>1.30</b> | <b>0.0%</b> |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|--------------|---------------|-------------|-------------|

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. GTCFTA/Grand Foundation Volunteer Program development collaboration.
2. Arts Education implementation of CA-VAPA Standards by summer 2014.
3. AEP cross collaboration with the Presenting Program (Diwali, Mariachi, Freedom Riders, Pacific Coast Horns) and Exhibitors Program (Angela Johal collage workshops)
4. Exhibition Season opened with Jim Lewis (Calligraphy/Letterform) Retrospective Exhibition.
5. 2014 Artist-In-Residence with Matt Rhoades (painter).
6. Presenting program opened three major acts and a touring Broadway musical.
7. Rental Program Marketing Plan Implementation.

### Future Projections: FY 15-16

1. Development of a feature exhibition to open the 2015-16 Exhibition Season with acclaimed and highly influential educator and ceramist Bruce Duke, Stockton, Ca
2. Development of a feature exhibit/project with Carolyn Lord, Livermore, CA, exploring the historical and architectural relationships of Tracy and Livermore.
3. Arts Education Coordinators and Staff Professional Development Plan.
4. AEP revised outreach strategies for the recruitment of Contract Instructors and marketing strategies for community awareness and audience development.
5. Presenting season to expand performances and special events.
6. Continued rental program target marketing and outreach to commercial rentals.
7. Continued program planning with Grand Foundation.

### Current Projections: FY 14-15

1. Arts Education Program Marketing Plan Implementation.
2. Arts Education Program Drama Programming Development Plan by winter 2015.
3. Arts Education Program Staff re-organization.
4. Art Co-Opted Holiday Exhibition sold the highest number of works historically for the month of December, and ACO sales for FY14 are at an all-time high in five years of operation.
5. Exhibitions Program to open season with Art and Everyday Life: Transforming the Written Word.
6. Feature exhibition with Tracy Photographer Mickey McGuire, Peace Corps Images from Bolivia 1967-69.
7. 2015 Artist-In-Residence with Jan Wurm, Berkeley, Ca (artist, educator, curator).
8. Presenting Season to expand performances and special events.
9. Rental Program target marketing and outreach to commercial rentals.
10. Continued program planning with Grand Foundation.

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41700 - Cultural Arts Division**  
 Program: **41701 - Cultural Arts**

**PERFORMANCE OBJECTIVES**

Manage and coordinate the City's cultural arts activities, including the planning and development of a new Cultural Arts Center for the City. Provide staff support to the City's Cultural Arts Commission.

1. To effectively manage business systems of the Division resulting in a high level of internal and external communications.
2. To provide Division level budget oversight, identifying expenditure and revenue trends and goals.
3. To provide a high level of internal and external customer service utilizing staff and users feedback systems.
4. To provide stewardship of the Center.
5. To maintain the Center as a celebrated arts re-development project in historic downtown Tracy.

**COMMENTARY**

In FY12-13, program staffing was increased, and program costs showed a major increase. Both personnel and contracted costs went up. But, in FY13-14, program staffing decrease, and program costs will show a decrease due to lower contract costs and the reallocation of internal charges to other programs.

In FY14-15, program costs will show an increase. Program staffing added 0.50 FTEs for a Division Manager but lost 0.30 FTEs of an Arts Manager.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments to other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13        | FY13-14        | % Cost        | FY14-15        | FY14-15        | % of         | % Cost       | FY15-16        | % Budget      |
|-----------------------------|----------------|----------------|---------------|----------------|----------------|--------------|--------------|----------------|---------------|
|                             | \$ Actual      | \$ Actual      | Change        | \$ Adopted     | \$ Estimated   | Budget       | Change       | \$ Adopted     | Change        |
| Personnel Expenses          | 131,795        | 125,741        | -4.6%         | 245,530        | 181,850        | 74.1%        | 44.6%        | 171,070        | -30.3%        |
| Contracted Services         | 369,098        | 314,581        | -14.8%        | 349,330        | 327,040        | 93.6%        | 4.0%         | 343,090        | -1.8%         |
| Commodities                 | 21,780         | 14,136         | -35.1%        | 32,660         | 26,240         | 80.3%        | 85.6%        | 22,660         | -30.6%        |
| Internal Charges            | 152,140        | 72,140         | -52.6%        | 76,260         | 76,200         | 99.9%        | 5.6%         | 76,260         | 0.0%          |
| Other Payments              | 4,580          | 9,969          | 117.7%        | 10,000         | 9,900          | 99.0%        | -0.7%        | 10,500         | 5.0%          |
| <b>Program Total</b>        | <b>679,393</b> | <b>536,567</b> | <b>-21.0%</b> | <b>713,780</b> | <b>621,230</b> | <b>87.0%</b> | <b>15.8%</b> | <b>623,580</b> | <b>-12.6%</b> |
| Amended Budget              | 696,040        | 666,130        |               |                | 713,780        |              |              |                |               |
| % of Amended Spent          | 97.6%          | 80.5%          |               |                | 87.0%          |              |              |                |               |

**FUNDING SOURCES**

|                          |                |                |               |                |                |              |              |                |               |
|--------------------------|----------------|----------------|---------------|----------------|----------------|--------------|--------------|----------------|---------------|
| General Fund 101 - Taxes | 678,147        | 527,341        | -22.2%        | 710,080        | 592,130        | 83.4%        | 12.3%        | 619,880        | -12.7%        |
| Cultural Arts Fees       | 1,246          | 9,226          |               | 3,700          | 4,100          |              | -55.6%       | 3,700          | 0.0%          |
| ALA Contribution         | 0              | 0              |               | 0              | 25,000         |              |              | 0              |               |
| <b>Program Total</b>     | <b>679,393</b> | <b>536,567</b> | <b>-21.0%</b> | <b>713,780</b> | <b>621,230</b> | <b>87.0%</b> | <b>15.8%</b> | <b>623,580</b> | <b>-12.6%</b> |

**PROGRAM STAFFING***Regular Positions*

|                          |      |      |  |      |      |  |  |      |  |
|--------------------------|------|------|--|------|------|--|--|------|--|
| Division Manager         | 0.00 | 0.00 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Box Office Coordinator   | 0.00 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |
| Admin Asst II            | 0.50 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Theatre Coordinator      | 0.50 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Cul Arts Mgr-Visual Arts | 0.40 | 0.40 |  | 0.10 | 0.10 |  |  | 0.10 |  |
| Theatre Oper& Tech Asst  | 0.25 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |

*Other Staffing (Full-Time Equivalents)*

|                            |      |      |  |      |      |  |  |      |  |
|----------------------------|------|------|--|------|------|--|--|------|--|
| Cultural Arts Commissioner | 0.10 | 0.10 |  | 0.10 | 0.10 |  |  | 0.10 |  |
| Program Assistant          | 0.00 | 0.00 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Clerical                   | 0.50 | 1.00 |  | 1.00 | 1.00 |  |  | 1.00 |  |

|  |      |      |        |      |      |        |       |      |      |
|--|------|------|--------|------|------|--------|-------|------|------|
|  | 2.25 | 2.00 | -11.1% | 2.20 | 2.20 | 100.0% | 10.0% | 2.20 | 0.0% |
|--|------|------|--------|------|------|--------|-------|------|------|

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41700 - Cultural Arts Division**  
 Program: **41702 - Arts Education**

**PERFORMANCE OBJECTIVES**

Manage and provide arts education classes through contracted services at the Grand Theatre, city facilities and local school sites. Classes are offered in visual arts, ceramics, dance, drama, and music.

1. To annually provide 100's of classes to 1,000's of students.
2. To provide high quality life-long learning opportunities in specialized studio environments.
3. To serve a diverse student population including children, teens, adults, seniors, and the disabled.
4. To compliment and supplement youth arts education in Tracy, in collaboration with the local school districts, the home school community, and youth organizations.
5. To emulate the State of California's Visual & Performing Arts (VAPA) Standards within curriculum content.

**COMMENTARY**

In FY12-13, program staffing was increased, but program costs went down, due to a reduction in contracted services. In FY13-14, program costs increased slightly; while personnel costs were down, the program started to pick up a share of building maintenance charges. Program revenues are down.

In FY14-15, program staffing increased. But, program costs will show only a minor increase. Program revenues are also projected to increase.

For FY15-16, program staffing will show a decrease in temporary hours. The program budget provides for the reduced staffing and some minor adjustments to other cost items. Program revenues will remain at their current level.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses          | 188,492              | 150,432              | -20.2%           | 216,280               | 149,750                 | 69.2%          | -0.5%            | 178,250               | -17.6%             |
| Contracted Services         | 100,154              | 103,538              | 3.4%             | 139,530               | 109,540                 | 78.5%          | 5.8%             | 140,530               | 0.7%               |
| Commodities                 | 5,346                | 9,119                | 70.6%            | 13,450                | 11,870                  | 88.3%          | 30.2%            | 13,450                | 0.0%               |
| Internal Charges            | 0                    | 33,000               |                  | 33,000                | 33,000                  | 100.0%         |                  | 33,000                | 0.0%               |
| Other Payments              | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>        | <b>293,992</b>       | <b>296,089</b>       | <b>0.7%</b>      | <b>402,260</b>        | <b>304,160</b>          | <b>75.6%</b>   | <b>2.7%</b>      | <b>365,230</b>        | <b>-9.2%</b>       |
| Amended Budget              | 344,840              | 336,030              |                  |                       | 415,160                 |                |                  |                       |                    |
| % of Amended Spent          | 85.3%                | 88.1%                |                  |                       | 73.3%                   |                |                  |                       |                    |

| <b>FUNDING SOURCES</b>   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes | 184,000              | 216,935              | 17.9%            | 282,260               | 184,160                 | 65.2%          | -15.1%           | 245,230               | -13.1%             |
| Arts Education Fees      | 109,992              | 79,154               | -28.0%           | 120,000               | 120,000                 | 100.0%         | 51.6%            | 120,000               | 0.0%               |
| <b>Program Total</b>     | <b>293,992</b>       | <b>296,089</b>       | <b>0.7%</b>      | <b>402,260</b>        | <b>304,160</b>          | <b>75.6%</b>   | <b>2.7%</b>      | <b>365,230</b>        | <b>-9.2%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13     | FY13-14     | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|-------------|-------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |             |             |                  |                       |                         |                |                  |                       |                    |
| Cul Arts Mgr-Visual Arts                      | 0.25        | 0.25        |                  | 0.35                  | 0.35                    |                |                  | 0.35                  |                    |
| Box Office Coordinator                        | 0.00        | 0.25        |                  | 0.25                  | 0.25                    |                |                  | 0.25                  |                    |
| Admin Asst II                                 | 0.25        | 0.00        |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |                  |                       |                         |                |                  |                       |                    |
| Recreation Leaders                            | 1.40        | 1.40        |                  | 1.40                  | 1.40                    |                |                  | 1.25                  |                    |
| Arts Education Coordinator                    | 0.75        | 0.75        |                  | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Program Assistant                             | 0.00        | 0.00        |                  | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
|   | <b>2.65</b> | <b>2.65</b> | <b>0.0%</b>      | <b>3.00</b>           | <b>3.00</b>             | <b>100.0%</b>  | <b>13.2%</b>     | <b>2.85</b>           | <b>-5.0%</b>       |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41700 - Cultural Arts Division**  
 Program: **41703 - Arts Gallery**

**PERFORMANCE OBJECTIVES**

Manage and provide arts exhibitions at the Grand Theatre and other City facilities.

1. To provide a diverse, professional Exhibition Season in relationship to rank, geography, media and content.
2. To collaborate with Artists, Arts Educators, Arts Organizations, Curators, Collectors and Lenders.
3. To present five exhibitions annually in the Grand Galleries, with opportunities for community inreach and outreach.
4. To operate a curated, co-operative in the Matthews Gallery offering original art, editions and products at low price points.
5. To provide training and mentorship to Gallery Staff, Docents and Interns utilizing the Galleries as a learning environment.

**COMMENTARY**

In FY12-13, program staffing decreased; but program costs showed a major increase with both personnel and contracted costs going up. But, in FY13-14, program costs showed a decrease. Both personnel and contracted were down; but the program started picking up a share of building maintenance charges.

In FY14-15, program staffing increased. So, program costs will show a major increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments to other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 75,905               | 57,918               | -23.7%           | 77,000                | 76,050                  | 98.8%          | 31.3%            | 77,600                | 0.8%               |
| Contracted Services                | 32,737               | 6,528                | -80.1%           | 11,230                | 7,350                   | 65.4%          | 12.6%            | 10,130                | -9.8%              |
| Commodities                        | 2,119                | 2,281                | 7.6%             | 1,970                 | 3,580                   | 181.7%         | 56.9%            | 2,410                 | 22.3%              |
| Internal Charges                   | 0                    | 20,000               |                  | 20,000                | 20,000                  | 100.0%         | 0.0%             | 20,000                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>               | <b>110,761</b>       | <b>86,727</b>        | <b>-21.7%</b>    | <b>110,200</b>        | <b>106,980</b>          | <b>97.1%</b>   | <b>23.4%</b>     | <b>110,140</b>        | <b>-0.1%</b>       |
| Amended Budget                     | 109,787              | 82,410               |                  |                       | 112,300                 |                |                  |                       |                    |
| % of Amended Spent                 | 100.9%               | 105.2%               |                  |                       | 95.3%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 104,739              | 85,732               | -18.1%           | 100,850               | 100,730                 | 99.9%          | 17.5%            | 103,640               | 2.8%               |
| Gallery Fees                  | 6,022                | 995                  | -83.5%           | 9,350                 | 6,250                   |                | 528.1%           | 6,500                 | -30.5%             |
| <b>Program Total</b>          | <b>110,761</b>       | <b>86,727</b>        | <b>-21.7%</b>    | <b>110,200</b>        | <b>106,980</b>          | <b>97.1%</b>   | <b>23.4%</b>     | <b>110,140</b>        | <b>-0.1%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13 | FY13-14 | % Change | FY14-15 | FY14-15 | % of Budget | % Change | FY15-16 | % Budget Change |
|---|---------|---------|----------|---------|---------|-------------|----------|---------|-----------------|
| <i>Regular Positions</i>                      |         |         |          |         |         |             |          |         |                 |
| Cul Arts Mgr-Visual Arts                      | 0.35    | 0.35    |          | 0.55    | 0.55    |             |          | 0.55    |                 |
| <i>Other Staffing (Full-Time Equivalents)</i> |         |         |          |         |         |             |          |         |                 |
|   | 0.35    | 0.35    | 0.0%     | 0.55    | 0.55    | 100.0%      | 57.1%    | 0.55    | 0.0%            |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41700 - Cultural Arts Division**  
 Program: **41704 - Theatre Presentations**

**PERFORMANCE OBJECTIVES**

Manage and present theatre presentations at the Grand Theatre and other City facilities.

1. To provide a diverse Presenting Season that brands the Center as a sought after regional venue and anchor in Downtown Tracy.
2. To increase the audience base for presentations by 10%.
3. To collaborate with other performing arts organizations to strengthen community co-present opportunities.
4. To diversify marketing strategies that will increase attendance and visibility in our region.
5. To increase the number of performances in the season by 25%.

**COMMENTARY**

In FY12-13, program staffing increased, and program costs showed a major increase. Both staffing and contracted costs were up, as were revenues. In FY13-14, program staffing was further increased. But, program costs showed a modest decrease. While personnel costs and internal service charges went up, contracted costs were down, as were revenues.

In FY14-15, program costs are expected to increase, particularly for contracted services. Revenues too are expected to increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and some minor adjustments to other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13   | FY13-14   | % Cost | FY14-15    | FY14-15      | % of   | % Cost | FY15-16    | % Budget |
|-----------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                             | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses          | 61,455    | 98,507    | 60.3%  | 84,900     | 100,830      | 118.8% | 2.4%   | 105,600    | 24.4%    |
| Contracted Services         | 188,143   | 124,307   | -33.9% | 152,420    | 151,870      | 99.6%  | 22.2%  | 160,420    | 5.2%     |
| Commodities                 | 7,007     | 7,051     | 0.6%   | 6,250      | 6,200        | 99.2%  | -12.1% | 8,750      | 40.0%    |
| Internal Charges            | 0         | 20,000    |        | 20,000     | 20,000       | 100.0% | 0.0%   | 20,000     | 0.0%     |
| Other Payments              | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total               | 256,605   | 249,865   | -2.6%  | 263,570    | 278,900      | 105.8% | 11.6%  | 294,770    | 11.8%    |
| Amended Budget              | 212,660   | 258,680   |        |            | 273,570      |        |        |            |          |
| % of Amended Spent          | 120.7%    | 96.6%     |        |            | 101.9%       |        |        |            |          |

**FUNDING SOURCES**

|                          |         |         |        |         |         |        |        |         |       |
|--------------------------|---------|---------|--------|---------|---------|--------|--------|---------|-------|
| General Fund 101 - Taxes | 121,070 | 128,253 | 5.9%   | 88,570  | 98,900  | 111.7% | -22.9% | 109,770 | 23.9% |
| Presentation Fees        | 135,535 | 121,612 | -10.3% | 175,000 | 180,000 | 102.9% | 48.0%  | 185,000 | 5.7%  |
| Program Total            | 256,605 | 249,865 | -2.6%  | 263,570 | 278,900 | 105.8% | 11.6%  | 294,770 | 11.8% |

**PROGRAM STAFFING***Regular Positions*

|   |      |      |       |      |      |        |      |      |      |
|---|------|------|-------|------|------|--------|------|------|------|
| Theatre Coordinator                           | 0.25 | 0.00 |       | 0.00 | 0.00 |        |      | 0.00 |      |
| Box Office Coordinator                        | 0.00 | 0.25 |       | 0.25 | 0.25 |        |      | 0.25 |      |
| Theatre Oper & Tech Asst                      | 0.25 | 1.00 |       | 1.00 | 1.00 |        |      | 1.00 |      |
| <i>Other Staffing (Full-Time Equivalents)</i> |      |      |       |      |      |        |      |      |      |
| Program Assistant                             | 0.30 | 0.30 |       | 0.30 | 0.30 |        |      | 0.30 |      |
|   | 0.80 | 1.55 | 93.8% | 1.55 | 1.55 | 100.0% | 0.0% | 1.55 | 0.0% |

Department: **41000 - Recreation & Cultural Arts Programs**  
 Division: **41700 - Cultural Arts Division**  
 Program: **41705 - Theatre Rentals**

**PERFORMANCE OBJECTIVES**

Manage theatre rentals at the Grand Theatre for performance and and community events, when otherwise available for usage.

1. To provide facility usage for community and commercial uses.
2. To increase marketing efforts to attract various types of users and rentals.
3. To provide technical assistance to our clients.
4. To increase commercial rentals by 10%.

**COMMENTARY**

In FY12-13, program staffing was decreased by 0.13 FTEs. Program costs though show a major increase; but program revenues decreased. In FY13-14, program costs showed a slight increase. Most costs were down, but the program started picking up a share of building maintenance programs.

In FY14-15, program staffing will show a temporary increase. But, program costs will show a minor decrease, although revenues are expected to be up.

For FY15-16, some minor staffing changes are anticipated. The program budget provides for this revised staffing and some minor adjustments to other cost items. Revenues are projected to remain at their current level.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| Personnel Expenses                 | 129,945              | 107,026              | -17.6%           | 113,500               | 96,020                  | 84.6%          | -10.3%           | 108,550               | -4.4%              |
| Contracted Services                | 3,299                | 2,182                | -33.9%           | 12,250                | 7,850                   | 64.1%          | 259.8%           | 11,250                | -8.2%              |
| Commodities                        | 5,827                | 1,217                | -79.1%           | 5,500                 | 4,400                   | 80.0%          | 261.5%           | 5,500                 | 0.0%               |
| Internal Charges                   | 0                    | 30,000               |                  | 30,000                | 30,000                  | 100.0%         | 0.0%             | 30,000                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                     |                    |
| <b>Program Total</b>               | <b>139,071</b>       | <b>140,425</b>       | <b>1.0%</b>      | <b>161,250</b>        | <b>138,270</b>          | <b>85.7%</b>   | <b>-1.5%</b>     | <b>155,300</b>        | <b>-3.7%</b>       |
| Amended Budget                     | 164,060              | 156,910              |                  |                       | 198,236                 |                |                  |                       |                    |
| % of Amended Spent                 | 84.8%                | 89.5%                |                  |                       | 69.8%                   |                |                  |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| General Fund 101 - Taxes      | 88,131               | 88,735               | 0.7%             | 92,940                | 36,960                  | 39.8%          | -58.3%           | 53,990                | -41.9%             |
| Rental Fees                   | 50,940               | 51,690               | 1.5%             | 68,310                | 101,310                 | 148.3%         | 96.0%            | 101,310               | 48.3%              |
| <b>Program Total</b>          | <b>139,071</b>       | <b>140,425</b>       |                  | <b>161,250</b>        | <b>138,270</b>          | <b>85.7%</b>   | <b>-1.5%</b>     | <b>155,300</b>        | <b>-3.7%</b>       |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13     | FY13-14     | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|-------------|-------------|-----------------------|-------------------------|----------------|------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |             |             |                       |                         |                |                  |                       |                    |
| Theatre Coordinator                           | 0.25        | 0.00        | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| Theatre Oper & Tech Asst                      | 0.50        | 1.00        | 1.00                  | 1.00                    |                |                  | 1.00                  |                    |
| Admin Asst II                                 | 0.25        | 0.00        | 0.00                  | 0.00                    |                |                  | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |             |             |                       |                         |                |                  |                       |                    |
| Program Assistant                             | 0.70        | 0.70        | 0.20                  | 0.70                    |                |                  | 0.25                  |                    |
| Theatre Tech                                  | 0.00        | 0.00        | 0.50                  | 1.00                    |                |                  | 0.50                  |                    |
| <b>Total</b>                                  | <b>1.70</b> | <b>1.70</b> | <b>1.70</b>           | <b>2.70</b>             | <b>158.8%</b>  | <b>58.8%</b>     | <b>1.75</b>           | <b>-35.2%</b>      |

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# ADMINISTRATIVE SERVICES DEPARTMENT

formerly the Human Resources and  
Finance Departments

## **Mission Statement**

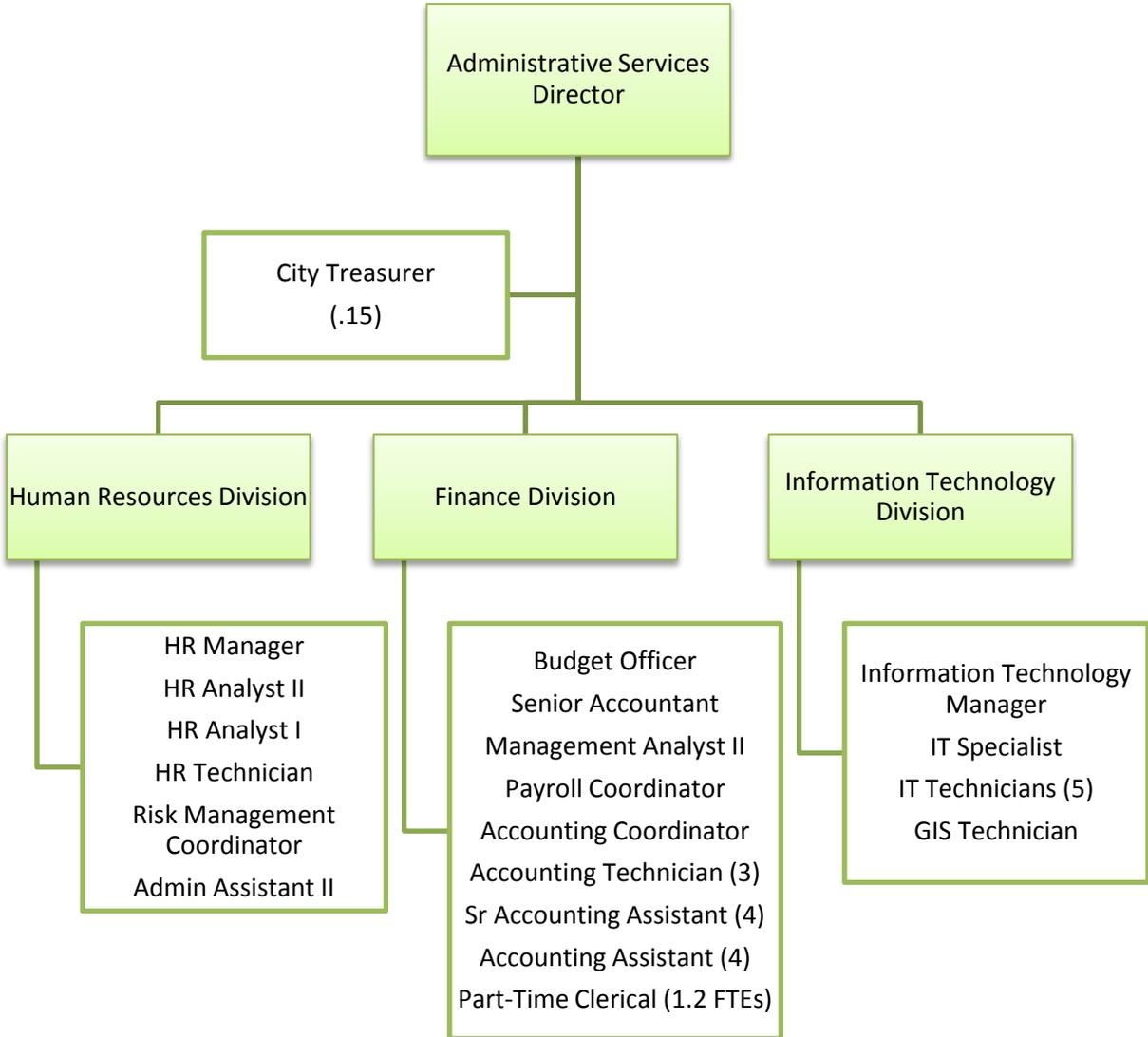
To Ensure the Fiscal Foundation and Information Systems  
Necessary to Deliver Community Services

### **Department Head**

Ray Durant

Interim Administrative Services Director

City of Tracy  
 ADMINISTRATIVE SERVICES DEPARTMENT  
 Fiscal Year 15-16



Department: **65000 - Administrative Services Department**

The **Human Resources Division** provides central personnel, employment, and risk management services for City Departments and employees. The **Finance Division** administers the City's financial system providing financial management, budget coordination, fiscal operations, accounting, and revenue collection services. The **Information Technology Division** provides computer and telecommunications services.

**COMMENTARY**

As approved for FY15-16, the departmental budget will increase about 2.1% over the current year adopted budget, and this represents a 14.0% increase over the FY13-14 amended budget.

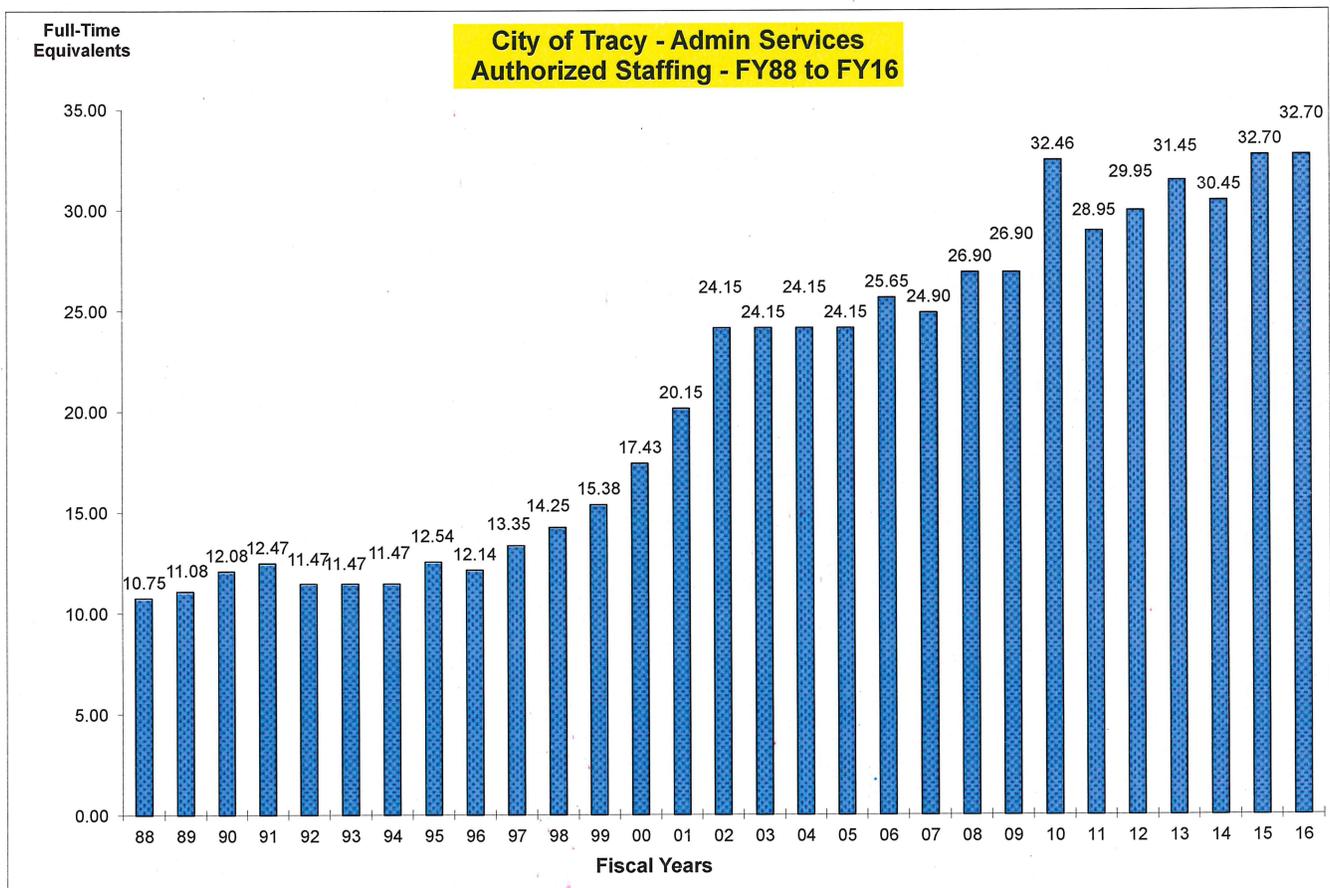
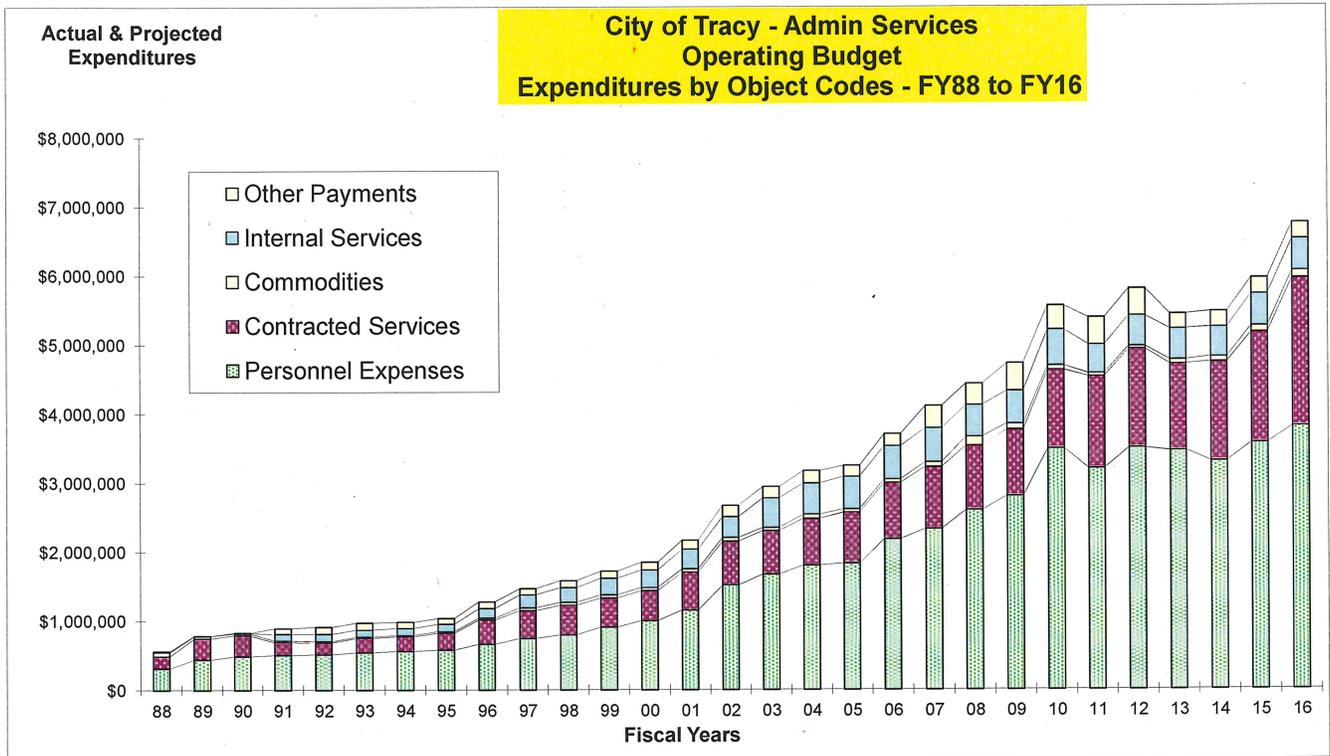
The base component of the budget represents a 1.9% decrease from current year adopted budget, while budget augmentations will show a 4.0% increase over the base budget.

In FY14-15, departmental staffing added two full-time regular positions and 0.25 FTEs of a temporary position. In FY15-16, departmental staffing will remain at its current level.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget                | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|-------------------------------|------------------|------------------------|--------------------|
| <b>Human Resources Division</b>         |                      |                      |                  |                       |                         |                               |                  |                        |                    |
| 65401 - Human Resources                 | 552,013              | 567,349              | 2.8%             | 889,170               | 717,520                 | 80.7%                         | 26.5%            | 972,750                | 9.4%               |
| 65402 - Risk Management                 | 458,378              | 501,106              | 9.3%             | 603,340               | 497,320                 | 82.4%                         | -0.8%            | 633,910                | 5.1%               |
| <b>Finance Division</b>                 |                      |                      |                  |                       |                         |                               |                  |                        |                    |
| 65701 - Central Services                | 93,115               | 77,784               | -16.5%           | 102,900               | 80,650                  | 78.4%                         | 3.7%             | 104,610                | 1.7%               |
| 65702 - Cash Management                 | 302,156              | 330,696              | 9.4%             | 342,970               | 323,800                 | 94.4%                         | -2.1%            | 359,780                | 4.9%               |
| 65703 - Budget Coordination             | 340,968              | 337,844              | -0.9%            | 433,370               | 410,870                 | 94.8%                         | 21.6%            | 446,340                | 3.0%               |
| 65704 - Fiscal Operations               | 418,929              | 528,614              | 26.2%            | 563,690               | 549,490                 | 97.5%                         | 3.9%             | 585,310                | 3.8%               |
| 65705 - Accounting Services             | 270,098              | 261,572              | -3.2%            | 317,590               | 293,800                 | 92.5%                         | 12.3%            | 333,160                | 4.9%               |
| 65706 - Revenue Collection              | 1,606,534            | 1,502,021            | -6.5%            | 1,753,850             | 1,604,260               | 91.5%                         | 6.8%             | 1,726,440              | -1.6%              |
| <b>Information Technology Division</b>  |                      |                      |                  |                       |                         |                               |                  |                        |                    |
| 6580x - Information Technology          | 1,390,726            | 1,361,549            | -2.1%            | 1,604,810             | 1,472,850               | 91.8%                         | 8.2%             | 1,588,430              | -1.0%              |
| <b>Department Total</b>                 | <b>5,432,917</b>     | <b>5,468,535</b>     | <b>0.7%</b>      | <b>6,611,690</b>      | <b>5,950,560</b>        | <b>90.0%</b>                  | <b>8.8%</b>      | <b>6,750,730</b>       | <b>2.1%</b>        |
| <b>Amended Budget</b>                   | <b>6,135,754</b>     | <b>5,922,860</b>     | <b>-3.5%</b>     |                       | <b>6,721,940</b>        |                               | <b>13.5%</b>     | <b>over 2 years</b>    | <b>14.0%</b>       |
| <b>% of Amended Spent</b>               | <b>88.55%</b>        | <b>92.33%</b>        |                  |                       | <b>88.52%</b>           |                               |                  |                        |                    |
|   |                      |                      |                  |                       |                         | <b>Base Budget &gt;&gt;</b>   |                  | <b>6,488,590</b>       | <b>-1.9%</b>       |
|   |                      |                      |                  |                       |                         | <b>Augmentations &gt;&gt;</b> |                  | <b>262,140</b>         | <b>4.0%</b>        |

Department: **65000 - Administrative Services Department (Continued)**

| <b>DEPARTMENTAL EXPENDITURES<br/>BY OBJECT CATEGORY</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 3,460,299            | 3,304,745            | -4.5%            | 3,972,920             | 3,571,160               | 89.9%          | 8.1%             | 3,806,790              | -4.2%              |
| Contracted Services                                     | 1,248,467            | 1,435,339            | 15.0%            | 1,871,460             | 1,594,200               | 85.2%          | 11.1%            | 2,141,250              | 14.4%              |
| Commodities   | 62,777               | 71,429               | 13.8%            | 106,350               | 90,830                  | 85.4%          | 27.2%            | 106,360                | 0.0%               |
| Internal Charges  | 447,274              | 428,572              | -4.2%            | 460,960               | 460,500                 | 99.9%          | 7.4%             | 461,330                | 0.1%               |
| Other Payments  | 214,100              | 228,450              | 6.7%             | 200,000               | 233,870                 | 116.9%         | 2.4%             | 235,000                | 17.5%              |
| <b>Department Total</b>                                 | <b>5,432,917</b>     | <b>5,468,535</b>     | <b>0.7%</b>      | <b>6,611,690</b>      | <b>5,950,560</b>        | <b>90.0%</b>   | <b>8.8%</b>      | <b>6,750,730</b>       | <b>2.1%</b>        |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101  | 2,617,218            | 2,673,418            | 2.1%             | 3,392,440             | 3,083,740               | 90.9%          | 15.3%            | 3,568,780              | 5.2%               |
| Com Devel Block Gt Fund 26x                             | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Landscaping Districts Fund 271                          | 38,350               | 36,980               |                  | 35,800                | 20,000                  | 55.9%          | -45.9%           | 15,000                 | -58.1%             |
| CDA & Suc Ag Project Fund 3xx                           | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Water Fund 511  | 395,690              | 388,260              | -1.9%            | 457,200               | 400,000                 | 87.5%          | 3.0%             | 415,000                | -9.2%              |
| Wastewater Fund 521                                     | 187,760              | 184,890              | -1.5%            | 221,500               | 180,000                 | 81.3%          | -2.6%            | 194,000                | -12.4%             |
| Solid Waste Fund 531                                    | 227,110              | 221,860              | -2.3%            | 229,700               | 240,000                 | 104.5%         | 8.2%             | 265,000                | 15.4%              |
| Drainage Fund 541                                       | 24,570               | 24,650               | 0.3%             | 24,000                | 36,000                  | 150.0%         | 46.0%            | 26,000                 | 8.3%               |
| Central Services Fund 602                               | 1,483,841            | 1,437,371            | -3.1%            | 1,647,710             | 1,493,500               | 90.6%          | 3.9%             | 1,633,040              | -0.9%              |
| Self Insurance Fund 627                                 | 458,378              | 501,106              | 9.3%             | 603,340               | 497,320                 | 82.4%          | -0.8%            | 633,910                | 5.1%               |
| <b>Department Total</b>                                 | <b>5,432,917</b>     | <b>5,468,535</b>     | <b>0.7%</b>      | <b>6,611,690</b>      | <b>5,950,560</b>        | <b>90.0%</b>   | <b>8.8%</b>      | <b>6,750,730</b>       | <b>2.1%</b>        |
| <b>DEPARTMENTAL STAFFING</b>                            |                      |                      |                  |                       |                         |                |                  |                        |                    |
|   | FY12-13<br>Approved  | FY13-14<br>Approved  | %<br>Change      | FY14-15<br>Adopted    | FY14-15<br>Approved     | %<br>Change    |                  | FY15-16<br>Approved    | %<br>Change        |
| <i>Elected Officials</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| City Treasurer  | [ 1.00 ]             | [ 1.00 ]             |                  | [ 1.00 ]              | [ 1.00 ]                |                |                  | [ 1.00 ]               |                    |
| <i>Regular Positions</i>                                |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Directors   | 2.00                 | 1.00                 |                  | 1.00                  | 1.00                    |                |                  | 1.00                   |                    |
| HR Professionals  | 3.00                 | 3.00                 |                  | 4.00                  | 4.00                    |                |                  | 4.00                   |                    |
| Finance Professionals                                   | 3.00                 | 3.00                 |                  | 3.00                  | 3.00                    |                |                  | 3.00                   |                    |
| Technicians & Clerks                                    | 15.00                | 15.00                |                  | 15.00                 | 15.00                   |                |                  | 15.00                  |                    |
| Information Technology                                  | 7.00                 | 7.00                 |                  | 8.00                  | 8.00                    |                |                  | 8.00                   |                    |
| <i>Other Staffing (Full-Time Equivalents)</i>           |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Elected Officials                                       | 0.15                 | 0.15                 |                  | 0.15                  | 0.15                    |                |                  | 0.15                   |                    |
| Temp Clerk/Intern                                       | 1.30                 | 1.30                 |                  | 1.55                  | 1.55                    |                |                  | 1.55                   |                    |
| <b>Total - Full Time Equivalents</b>                    | <b>31.45</b>         | <b>30.45</b>         | <b>-3.2%</b>     | <b>32.70</b>          | <b>32.70</b>            | <b>100.0%</b>  | <b>7.4%</b>      | <b>32.70</b>           | <b>0.0%</b>        |



## Budget Narrative – Administrative Services Department - Human Resources Division

### Recent Budget Changes

#### *FY 10-11*

- Departmental staffing was reduced by 1 full-time regular and 0.71 FTEs in other staffing.
- Reduction in base budget of \$371,910 or 23.5% compared to FY09-10 Adopted Budget.

#### *FY 11-12*

- Decrease in base budget of \$23,000 or 1.9% from FY10-11 Adopted Budget. This decrease is in personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No change in departmental staffing.

#### *FY 12-13*

- The department will become a division in the new Administrative Services Department.
- Increase in base budget of \$70,000 or 5.8% over the FY11-12 adopted budget.
- The new Director will have to spend part of her time overseeing and supporting a larger department.
- Division staffing will show only a 0.50 FTE reduction for the year.
- For the year, the department will show a savings of \$107,920.
- No budget augmentations.

#### *FY 13-14*

- Base budget increase of \$19,480 or 1.6%.
- Budget augmentation of \$23,360 primarily for contracted services.
- The Director will have to spend more time overseeing other programs of the Department, .50 FTE will be lost from the divisional staffing.

#### *FY 14-15*

- Increase in base budget of \$24,960 or 2.0%.
- Budget augmentation of \$244,630.
- Division staffing added HR Technician.
- \$100,000 increase in professional services costs.

### Proposed Budget Changes for FY15-16

- Increase in base budget of \$8,210 or 0.5%
- Budget augmentation of \$105,940
- Division staffing will remain at its current level.
- Added monies for executive recruitments and temporary help.

| Major Non-Personnel Expenses        | FY12-13   | %Change | FY13-14   | %Change | FY14-15   | %Change | FY15-16   |
|-------------------------------------|-----------|---------|-----------|---------|-----------|---------|-----------|
| Human Resources Advertising         | \$20,000  | 0.00%   | \$20,000  | 0.00%   | \$20,000  | 0.00%   | \$20,000  |
| Human Resources Consultants         | 175,440   | 3.90%   | 182,280   | 55.00%  | 282,380   | 24.5%   | 351,680   |
| Human Resources Training            | 64,000    | 0.00%   | 64,000    | 26.00%  | 80,500    | -6.00%  | 75,680    |
| Risk Management Insurance Premium*1 | 2,722,500 | 10.1%   | 2,998,300 | 8.00%   | 3,240,000 | 3.02%   | 3,338,000 |
| Claims and Litigation*2             | 506,000   | 19.8%   | 606,000   | 16.00%  | 706,000   | .57%    | 710,000   |
| Equipment Acquisition               | \$0       |         | \$0       |         | \$0       |         | \$0       |
| Equipment Replacement               | \$0       |         | \$0       |         | \$0       |         | \$0       |

\*1 Budgeted Separately. See Program 59410

\*2 Budgeted Separately. See Program 59420

## Budget Narrative - Administrative Services Department - Finance & IT Divisions

### Recent Budget Changes

#### *FY 10-11*

- Departmental staffing reduced by 1.95 FTEs in other staffing. While one full-time regular position was deleted, another was added.
- Reduction in base budget of \$453,760 or 9.5% compared to FY09-10 Adopted Budget.
- Budget augmentations were \$57,000 for software licensing and \$55,820 to convert a part-time position to full-time for the new GIS system.

#### *FY 11-12*

- Decrease in base budget of \$99,480 or 2.2% from FY10-11 Adopted Budget. This decrease is in personnel expenses; other costs were held at the FY10-11 base level.
- Budget augmentations of \$329,650 included \$95,250 for a new position, \$100,000 for sales tax auditing, \$79,000 for GIS software, and \$55,400 for other software licenses and maintenance.
- Departmental staffing added one full-time regular position in the Information Systems Division for the Police CAD/RMS development.
- During the year, added 3 over hire positions in anticipation of upcoming retirements. These were a Senior Accountant and 2 clerical positions.

#### *FY 12-13*

- The department will become part of the new Administrative Services Department, as the Finance Division and the Information Technology Division.
- Increase in base budget of \$118,050 or 2.5% over the adopted budget for FY11-12.
- Three retirements are anticipated in June 2012.

- The Senior Accountant will replace the Accounting Officer.
- The current Finance Director will remain with the City through January 2013. This will result in a half-year over hire.
- Departmental staffing will add a Management Analyst position being transferred over from Public Works.

#### *FY 13-14*

- Base budget decrease of \$365,210 or 7.4%. Decrease in personnel costs and tax administration fees.
- Budget augmentations of \$59,900 for contracted services fee to Information Technology Division and \$20,000 for audit costs in Finance Division.
- Division staffing lost 2.00 FTEs due to retirements: the former Finance Director and a Payroll Technician.

#### *FY 14-15*

- Increase in base budget of \$115,110 or 2.5, primarily personnel expenses.
- Budget augmentations of \$436,700.
- Division staffing added an Accounting Coordinator for development and a GIS Technician. Also, added temporary hours and overtime added for implementation of the new financial software.
- \$45,000 increase for a cost of service study.
- \$37,200 increase for IT contracted service costs.

### Proposed Budget Changes for FY 15-16

- Decrease of \$131,310 or 2.6% in base budget
- Budget augmentation of \$156,200
- Divisional staffing will remain at its current level.

| Major Non-Personnel Expenses | FY13-14   | %Change | FY14-15   | % Change | FY15-16   |
|------------------------------|-----------|---------|-----------|----------|-----------|
| Citywide Systems Maintenance | \$306,850 | 9.80%   | \$336,850 | -1.3%    | \$332,340 |
| Finance Systems Maintenance  | 83,740    | 17.60%  | 98,540    | 1.59%    | 254,740   |
| Data/Telecom Lines           | 181,490   | 4.00%   | 188,690   | 0.5%     | 195,700   |
| Treasurer's Investment Fees  | 256,000   | 0.00%   | 256,000   | 4.7%     | 268,000   |
| Property Tax Collection Fee  | 200,000   | 0.00%   | 200,000   | 17.3%    | 235,000   |
| Citywide Postage             | 44,000    | -5.20%  | 41,700    | -8.3%    | 38,240    |
| Audit Fees                   | 75,140    | 20.00%  | 90,140    | -1.3%    | 89,000    |
| Utilities Billing Services   | 134,860   | -11.30% | 119,660   | .85%     | 120,680   |
| Other Financial Services     | 139,600   | -7.20%  | 129,600   | 0.00%    | 129,600   |
| Equipment Acquisition        | \$0       |         | \$0       |          | \$0       |
| Equipment Replacement        | \$0       |         | \$0       |          | \$0       |

65000 - Administrative Services Historical Budget Increments, Augmentations, and Usage

| Department Budget<br>By Object             | Prior Year<br>Adopted Budgets | <<<< Base Increment & Augmentations >>>> |              |                   |                       |              | New Year<br>Adopted Budgets |              | Actual<br>Budget Use  |              |
|--|-------------------------------|--|--------------|-------------------|-----------------------|--------------|-----------------------------|--------------|---|--------------|
|  |                               | Base<br>Increment                        | %<br>Change  | \$ Base<br>Budget | \$ Budget<br>Augments | %<br>Base    | FY12-13<br>\$ Budget        | %<br>Change  | FY12-13<br>\$ Actual  | %<br>Used    |
| <b>FY12-13 Budget &gt;&gt;</b>             | FY11-12<br>\$ Budget          |  |              |                   |                       |              |                             |              |   |              |
| Personnel Expenses                         | 2,630,030                     | 237,010                                  | 9.0%         | 2,867,040         | 738,890               | 25.8%        | 3,605,930                   | 37.1%        | 3,460,299   | 96.0%        |
| Contracted Services                        | 1,249,780                     | -22,500                                  | -1.8%        | 1,227,280         | 307,330               | 25.0%        | 1,534,610                   | 22.8%        | 1,248,467   | 81.4%        |
| Commodities                                | 76,250                        | -19,000                                  | -24.9%       | 57,250            | 43,940                | 76.8%        | 101,190                     | 32.7%        | 62,777  | 62.0%        |
| Internal Charges                           | 348,040                       | 0  | 0.0%         | 348,040           | 91,990                | 26.4%        | 440,030                     | 26.4%        | 447,274   | 101.6%       |
| Other Payments                             | 356,000                       | 0  | 0.0%         | 356,000           | 44,000                | 12.4%        | 400,000                     | 12.4%        | 214,100   | 53.5%        |
| <b>Department Total</b>                    | <b>4,660,100</b>              | <b>195,510</b>                           | <b>4.2%</b>  | <b>4,855,610</b>  | <b>1,226,150</b>      | <b>25.3%</b> | <b>6,081,760</b>            | <b>30.5%</b> | <b>5,432,917</b>  | <b>89.3%</b> |
| <b>FY13-14 Budget &gt;&gt;</b>             | FY12-13<br>\$ Budget          |  |              |                   |                       |              |                             |              |   |              |
| Personnel Expenses                         | 3,605,930                     | -214,030                                 | -5.9%        | 3,391,900         | 0                     | 0.0%         | 3,391,900                   | -5.9%        | 3,304,745   | 97.4%        |
| Contracted Services                        | 1,534,610                     | 0  | 0.0%         | 1,534,610         | 131,850               | 8.6%         | 1,666,460                   | 8.6%         | 1,435,339   | 86.1%        |
| Commodities                                | 101,190                       | 0  | 0.0%         | 101,190           | 1,410                 | 1.4%         | 102,600                     | 1.4%         | 71,429  | 69.6%        |
| Internal Charges                           | 440,030                       | -11,700                                  | -2.7%        | 428,330           | 0                     | 0.0%         | 428,330                     | -2.7%        | 428,572   | 100.1%       |
| Other Payments                             | 400,000                       | -120,000                                 | -30.0%       | 280,000           | -80,000               | -28.6%       | 200,000                     | -50.0%       | 228,450   | 114.2%       |
| <b>Department Total</b>                    | <b>6,081,760</b>              | <b>-345,730</b>                          | <b>-5.7%</b> | <b>5,736,030</b>  | <b>53,260</b>         | <b>0.9%</b>  | <b>5,789,290</b>            | <b>-4.8%</b> | <b>5,468,535</b>  | <b>94.5%</b> |
| <b>FY14-15 Budget &gt;&gt;</b>             | FY13-14<br>\$ Budget          |  |              |                   |                       |              |                             |              |   |              |
| Personnel Expenses                         | 3,391,900                     | 126,650                                  | 3.7%         | 3,518,550         | 454,370               | 12.9%        | 3,972,920                   | 17.1%        | 3,571,160   | 89.9%        |
| Contracted Services                        | 1,666,460                     | -2,500                                   | -0.2%        | 1,663,960         | 207,500               | 12.5%        | 1,871,460                   | 12.3%        | 1,594,200   | 85.2%        |
| Commodities                                | 102,600                       | 0  | 0.0%         | 102,600           | 3,750                 | 3.7%         | 106,350                     | 3.7%         | 90,830  | 85.4%        |
| Internal Charges                           | 428,330                       | 14,920                                   | 3.5%         | 443,250           | 17,710                | 4.0%         | 460,960                     | 7.6%         | 460,500   | 99.9%        |
| Other Payments                             | 200,000                       | 0  | 0.0%         | 200,000           | 0                     | 0.0%         | 200,000                     | 0.0%         | 233,870   | 116.9%       |
| <b>Department Total</b>                    | <b>5,789,290</b>              | <b>139,070</b>                           | <b>2.4%</b>  | <b>5,928,360</b>  | <b>683,330</b>        | <b>11.5%</b> | <b>6,611,690</b>            | <b>14.2%</b> | <b>5,950,560</b>  | <b>90.0%</b> |
| <b>FY15-16 Adopted<br/>Budget &gt;&gt;</b> | FY14-15<br>\$ Budget          |  |              |                   |                       |              |                             |              |   |              |
| Personnel Expenses                         | 3,972,920                     | -177,070                                 | -4.5%        | 3,795,850         | 10,940                | 0.3%         | 3,806,790                   | -4.2%        | Human Resources Programs<br>with \$1,208,490 added to<br>Department for FY12-13 |              |
| Contracted Services                        | 1,871,460                     | 18,590                                   | 1.0%         | 1,890,050         | 251,200               | 13.3%        | 2,141,250                   | 14.4%        |   |              |
| Commodities                                | 106,350                       | 10                                       | 0.0%         | 106,360           | 0                     | 0.0%         | 106,360                     | 0.0%         |   |              |
| Internal Charges                           | 460,960                       | 370                                      | 0.1%         | 461,330           | 0                     | 0.0%         | 461,330                     | 0.1%         |   |              |
| Other Payments                             | 200,000                       | 35,000                                   | 17.5%        | 235,000           | 0                     | 0.0%         | 235,000                     | 17.5%        |   |              |
| <b>Department Total</b>                    | <b>6,611,690</b>              | <b>-123,100</b>                          | <b>-1.9%</b> | <b>6,488,590</b>  | <b>262,140</b>        | <b>4.0%</b>  | <b>6,750,730</b>            | <b>2.1%</b>  |   |              |

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 65000 - Administrative Services Department

| Department Budget<br>By Program            | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change | FY14-15<br>\$ Adopted | %<br>Change | FY15-16<br>\$ Base Budget | %<br>Change | FY15-16<br>\$ Bud Augment | % over<br>Base |
|--|----------------------|----------------------|-------------|-----------------------|-------------|---------------------------|-------------|---------------------------|----------------|
| 65401 - Human Resources                    | 552,013              | 567,349              | 2.8%        | 889,170               | 56.7%       | 885,570                   | -0.4%       | 87,180                    | 9.8%           |
| 65402 - Risk Management                    | 458,378              | 501,106              | 9.3%        | 603,340               | 20.4%       | 615,150                   | 2.0%        | 18,760                    | 3.0%           |
| 65701 - Central Services                   | 93,115               | 77,784               | -16.5%      | 102,900               | 32.3%       | 104,610                   | 1.7%        | 0                         | 0.0%           |
| 65702 - Cash Management                    | 302,156              | 330,696              | 9.4%        | 342,970               | 3.7%        | 354,780                   | 3.4%        | 5,000                     | 1.4%           |
| 65703 - Budget Coordination                | 340,968              | 337,844              | -0.9%       | 433,370               | 28.3%       | 426,340                   | -1.6%       | 20,000                    | 4.7%           |
| 65704 - Fiscal Operations                  | 418,929              | 528,614              | 26.2%       | 563,690               | 6.6%        | 560,310                   | -0.6%       | 25,000                    | 4.5%           |
| 65705 - Accounting Services                | 270,098              | 261,572              | -3.2%       | 317,590               | 21.4%       | 313,160                   | -1.4%       | 20,000                    | 6.4%           |
| 65706 - Revenue Collection                 | 1,606,534            | 1,502,021            | -6.5%       | 1,753,850             | 16.8%       | 1,640,240                 | -6.5%       | 86,200                    | 5.3%           |
| 6580x - Information Technology             | 1,390,726            | 1,361,549            | -2.1%       | 1,604,810             | 17.9%       | 1,588,430                 | -1.0%       | 0                         | 0.0%           |
| Department Total                           | 5,432,917            | 5,468,535            | 0.7%        | 6,611,690             | 20.9%       | 6,488,590                 | -1.9%       | 262,140                   | 4.0%           |
| <b>Department Budget by Object</b>         |                      |                      |             |                       |             |                           |             |                           |                |
| Personnel Expenses                         | 3,460,299            | 3,304,745            | -4.5%       | 3,972,920             | 20.2%       | 3,795,850                 | -4.5%       | 10,940                    | 0.3%           |
| Contracted Services                        | 1,248,467            | 1,435,339            | 15.0%       | 1,871,460             | 30.4%       | 1,890,050                 | 1.0%        | 251,200                   | 13.3%          |
| Commodities                                | 62,777               | 71,429               | 13.8%       | 106,350               | 48.9%       | 106,360                   | 0.0%        | 0                         | 0.0%           |
| Internal Charges                           | 447,274              | 428,572              | -4.2%       | 460,960               | 7.6%        | 461,330                   | 0.1%        | 0                         | 0.0%           |
| Other Payments                             | 214,100              | 228,450              | 6.7%        | 200,000               | -12.5%      | 235,000                   | 17.5%       | 0                         | 0.0%           |
| Department Total                           | 5,432,917            | 5,468,535            | 0.7%        | 6,611,690             | 20.9%       | 6,488,590                 | -1.9%       | 262,140                   | 4.0%           |
| <b>Department Budget by Funding Source</b> |                      |                      |             |                       |             |                           |             |                           |                |
| General Fund 101                           | 2,617,218            | 2,673,418            | 2.1%        | 3,392,440             | 26.9%       | 3,350,400                 | -1.2%       | 218,380                   | 6.5%           |
| Landscaping Districts Fund 271             | 0                    | 36,980               |             | 35,800                |             | 0                         | -100.0%     | 15,000                    | #DIV/0!        |
| Water Fund 511                             | 395,690              | 388,260              | -1.9%       | 457,200               | 17.8%       | 415,000                   | -9.2%       | 0                         | 0.0%           |
| Wastewater Fund 521                        | 187,760              | 184,890              | -1.5%       | 221,500               | 19.8%       | 194,000                   | -12.4%      | 0                         | 0.0%           |
| Solid Waste Fund 531                       | 227,110              | 221,860              | -2.3%       | 229,700               | 3.5%        | 245,000                   | 6.7%        | 20,000                    | 8.2%           |
| Drainage Fund 541                          | 24,570               | 24,650               | 0.3%        | 24,000                | -2.6%       | 36,000                    | 50.0%       | -10,000                   | -27.8%         |
| Central Services Fund 602                  | 1,483,841            | 1,437,371            | -3.1%       | 1,647,710             | 14.6%       | 1,633,040                 | -0.9%       | 0                         | 0.0%           |
| Self Insurance Fund 627                    | 458,378              | 501,106              | 9.3%        | 603,340               | 20.4%       | 615,150                   | 2.0%        | 18,760                    | 3.0%           |
| Department Total                           | 5,394,567            | 5,468,535            | 1.4%        | 6,611,690             | 20.9%       | 6,488,590                 | -1.9%       | 262,140                   | 4.0%           |
| <b>Department Staffing</b>                 |                      |                      |             |                       |             |                           |             |                           |                |
| Total - Full Time Equivalent               | 31.45                | 30.45                | -3.2%       | 32.70                 | 7.4%        | 32.70                     | 0.0%        | 0.00                      | 0.0%           |
| <b>Department Equipment Purchase</b>       |                      |                      |             |                       |             |                           |             |                           |                |
| Replacement Equipment                      | 2,394                | 2,394                | 0.0%        | 70,000                |             | 50,000                    | -28.6%      | 0                         | 0.0%           |
| New Equipment                              | 0                    | 0                    |             | 0                     |             | 0                         |             | 0                         |                |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Research and offer three (3) seminars in professional development with an emphasis on resume writing, interviewing skills and providing employees with tools and techniques to better demonstrate their preparedness for advancement.

Due to a shift in Division priorities and workload, these seminars have been temporarily tabled and timelines will be reviewed at a later date.

2. Implementation of new performance evaluation form to streamline the process of annual employee evaluations for supervisors.

The Talent Development dimension has been fully implemented and is available on the City's intranet: <http://www.ci.tracy.ca.us>, under "Documents/Human Resources." This evaluation tool further augments the connection to the City's Mission, Vision and Values.

3. Continue formalized staff training in web based application software to further increase efficiency and continually improve recruitment timelines.

In October 2013 staff attended targeted training on the web based application to further utilize software modules and automate and streamline recruitment processes. In-house and web-based training of modules is ongoing.

### Current Projections: FY 14-15

1. Complete labor negotiations to secure new collective bargaining agreements or Compensation and Benefits plans for 9 employee groups and 1 individual employment contract.

Agreements with 9 employees groups and 1 individual employment contract expire as of 6/30/15. Staff has begun negotiations with Public Safety and anticipates negotiations with non-public safety groups to begin in April 2015 or early May 2015.

2. Update and distribute five Administrative Policies and Procedures to employees. Credit Card Use Policy distributed, Gift Policy & Procedure distributed. Several other policies are currently under review and will be distributed to employees when complete.
3. Conduct Risk Review assessment to determine most cost effective provider for Workers Compensation & Liability Insurance programs.

Due to challenges obtaining analysis & competitive rates, combined with shifting priorities, this project has been temporarily tabled. Timelines will be reviewed at a later date.

### Future Projections: FY 15-16

1. Update and distribute three to five Administrative Policies and Procedures to employees.
2. Implement new HR modules of City-wide conversion (ERP).
3. City Hall's Emergency Evacuation Plan has been approved by the City Manager's Office. The Safety Committee is working to implement the inaugural drill in May 2015. The Safety Committee will also collaborate with safety wardens staffed at other facilities throughout the City, to ensure that each facility has an up-to-date and viable plan. Anticipated completion date for all plans is June 30, 2016.

Department: **65000 - Administrative Services**  
 Program: **65401 - Human Resources**

**PERFORMANCE OBJECTIVES**

Provide central personnel services including compensation review, recruitment, examinations, transaction processing, employee development, and records maintenance.

1. To provide support to City departments for 427 full-time regular positions and for 37.81 FTEs of other staffing.
2. To perform progressive outreach and timely recruitments that assure a well-qualified and diverse City workforce.
3. To provide leadership and expertise in identifying, evaluating, and resolving departmental personnel issues.
4. To enhance a variety of training opportunities at all levels of the organization and continue City-wide high performance training.
5. To implement a City wellness program that promotes employee health and well-being.

**COMMENTARY**

In FY12-13, program costs showed a major decrease, due to staff changes and lower contract costs. In FY13-14, program staffing showed a 0.15 FTE decrease; while program costs showed a minor increase.

In FY14-15, program staffing added 0.45 FTEs, while program costs showed a major increase. Both personnel and contracted costs were up.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added contracted services costs, but maintains current funding for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses                 | 315,614              | 314,403              | -0.4%             | 395,700               | 348,550                 | 88.1%          | 10.9%             | 394,280               | -0.4%              |
| Contracted Services                | 154,086              | 166,687              | 8.2%              | 386,640               | 271,640                 | 70.3%          | 63.0%             | 471,640               | 22.0%              |
| Commodities                        | 18,693               | 16,639               | -11.0%            | 28,290                | 18,830                  | 66.6%          | 13.2%             | 28,290                | 0.0%               |
| Internal Charges                   | 63,620               | 69,620               | 9.4%              | 78,540                | 78,500                  | 99.9%          | 12.8%             | 78,540                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| Program Total                      | 552,013              | 567,349              | 2.8%              | 889,170               | 717,520                 | 80.7%          | 26.5%             | 972,750               | 9.4%               |
| Amended Budget                     | 725,088              | 705,040              |                   |                       | 923,420                 |                |                   |                       |                    |
| % of Amended Spent                 | 76.1%                | 80.5%                |                   |                       | 77.7%                   |                |                   |                       |                    |

**FUNDING SOURCES**

|                        |         |         |      |         |         |       |       |         |      |
|------------------------|---------|---------|------|---------|---------|-------|-------|---------|------|
| Central Admin Fund 125 | 552,013 | 567,349 | 2.8% | 889,170 | 717,520 | 80.7% | 26.5% | 972,750 | 9.4% |
| Program Total          | 552,013 | 567,349 | 2.8% | 889,170 | 717,520 | 80.7% | 26.5% | 972,750 | 9.4% |

**PROGRAM STAFFING**

*Regular Positions*

|                                |      |      |  |      |      |  |  |      |  |
|--------------------------------|------|------|--|------|------|--|--|------|--|
| Admin Services Director        | 0.50 | 0.25 |  | 0.25 | 0.25 |  |  | 0.25 |  |
| Human Resources Manager        | 0.00 | 0.00 |  | 0.60 | 0.60 |  |  | 0.60 |  |
| Senior Human Resources Analyst | 0.40 | 0.40 |  | 0.00 | 0.00 |  |  | 0.00 |  |
| Human Resources Analyst        | 0.80 | 0.80 |  | 0.80 | 0.80 |  |  | 0.80 |  |
| Human Resources Technician     | 0.75 | 0.75 |  | 0.75 | 1.00 |  |  | 1.00 |  |
| Admin Asst II-Sr Admin Clerk   | 0.50 | 0.50 |  | 0.50 | 0.50 |  |  | 0.50 |  |

*Other Staffing (Full-Time Equivalents)*

|                               |      |      |       |      |      |        |       |      |      |
|-------------------------------|------|------|-------|------|------|--------|-------|------|------|
| Total - Full-Time Equivalents | 2.95 | 2.70 | -8.5% | 3.15 | 3.15 | 100.0% | 16.7% | 3.15 | 0.0% |
|-------------------------------|------|------|-------|------|------|--------|-------|------|------|

Department: **65000 - Administrative Services**  
 Program: **65402 - Risk Management**

**PERFORMANCE OBJECTIVES**

Manage workers compensation, public liability insurance, and safety and loss prevention activities. Costs covered by the Self-Insurance Fund, which is funded by charges against other City programs based upon their staffing and proportional risks.

1. To provide support to City departments for 427 full-time regular positions and for 37.81 FTEs of other staffing.
2. To actively pursue cost recovery through subrogation.
3. To increase safety awareness and enhance safe work practices which decrease the number of injuries in the workplace.
4. To provide risk management education in order to prevent or reduce potential litigation.
5. To actively participate on the Board of Directors of the Risk Management Authority and provide the Authority timely reports of injury.

**COMMENTARY**

In FY12-13, program costs showed a moderate decrease, while personnel costs were down, contracted costs were up. In FY13-14, program staffing loss 0.25 FTEs. Although personnel costs down, contracted costs went up. In FY14-15, program staffing added 0.55 FTEs. Program costs will show a slight decrease. While personnel are up, contracted costs were down. For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added contracted services costs, but maintains current funding for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses                 | 361,705              | 353,695              | -2.2%             | 468,990               | 390,720                 | 83.3%          | 10.5%             | 489,560               | 4.4%               |
| Contracted Services                | 65,576               | 98,113               | 49.6%             | 78,180                | 53,900                  | 68.9%          | -45.1%            | 88,180                | 12.8%              |
| Commodities                        | 2,727                | 15,928               | 484.1%            | 20,070                | 16,600                  | 82.7%          | 4.2%              | 20,070                | 0.0%               |
| Internal Charges                   | 28,370               | 33,370               | 17.6%             | 36,100                | 36,100                  | 100.0%         | 8.2%              | 36,100                | 0.0%               |
| Other Payments                     | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| <b>Program Total</b>               | <b>458,378</b>       | <b>501,106</b>       | <b>9.3%</b>       | <b>603,340</b>        | <b>497,320</b>          | <b>82.4%</b>   | <b>-0.8%</b>      | <b>633,910</b>        | <b>5.1%</b>        |
| Amended Budget                     | 511,000              | 544,450              |                   |                       | 603,340                 |                |                   |                       |                    |
| % of Amended Spent                 | 89.7%                | 92.0%                |                   |                       | 82.4%                   |                |                   |                       |                    |

| <b><u>FUNDING SOURCES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-------------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Self Insurance Fund 627       | 458,378              | 501,106              | 9.3%              | 603,340               | 497,320                 | 82.4%          | -0.8%             | 633,910               | 5.1%               |
| <b>Program Total</b>          | <b>458,378</b>       | <b>501,106</b>       | <b>9.3%</b>       | <b>603,340</b>        | <b>497,320</b>          | <b>82.4%</b>   | <b>-0.8%</b>      | <b>633,910</b>        | <b>5.1%</b>        |

| <b><u>PROGRAM STAFFING</u></b>                | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Admin Services Director                       | 0.50                 | 0.25                 |                   | 0.25                  | 0.25                    |                |                   | 0.25                  |                    |
| Human Resources Manager                       | 0.00                 | 0.00                 |                   | 0.40                  | 0.40                    |                |                   | 0.40                  |                    |
| Senior Human Resources Analyst                | 0.60                 | 0.60                 |                   | 0.00                  | 0.00                    |                |                   | 0.00                  |                    |
| Human Resources Analyst                       | 1.20                 | 1.20                 |                   | 1.20                  | 1.20                    |                |                   | 1.20                  |                    |
| Human Resources Technician                    | 0.25                 | 0.25                 |                   | 0.25                  | 1.00                    |                |                   | 1.00                  |                    |
| Risk Management Coordinator                   | 0.00                 | 0.00                 |                   | 0.75                  | 0.00                    |                |                   | 0.00                  |                    |
| Admin Asst II-Sr Admin Clerk                  | 0.50                 | 0.50                 |                   | 0.50                  | 0.50                    |                |                   | 0.50                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                   |                       |                         |                |                   |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>3.05</b>          | <b>2.80</b>          | <b>-8.2%</b>      | <b>3.35</b>           | <b>3.35</b>             | <b>100.0%</b>  | <b>19.6%</b>      | <b>3.35</b>           | <b>0.0%</b>        |

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 13-14

1. Prepare award winning CAFR and Budget.
2. Identify and implement long-term budget strategies to balance the City's budget in 2016 without Measure E Revenue.
3. Provide fiscal guidance for labor negotiations.
4. Work on formation of new financing districts and methods as the City begins next phase of industrial and commercial development associated with upcoming proposed annexations.
5. Continue close out of the Tracy Community Development Agency.
6. Initiate changes to Payroll and Human Resource systems for statutory changes due to pension reform legislation.
7. Initiate changes to business license process due to legislative changes.
8. Begin acquisition and installation of new Enterprise Resource Planning (ERP) software.
9. Phase 3 (final phase) of the new computer rollout (including Window 7 upgrade).
10. Deploy Wi-Fi to most City buildings.
11. Move new CAD/RMS from test phase to live.
12. Replace aging server infrastructure.

### Current Projections: FY 14-15

1. Prepare award winning CAFR and Budget
2. Continue to identify and implement long-term budget strategies to balance the City's budget in 2016 without Measure E Revenue.
3. Continue close out of Tracy Community Development Agency.
4. Initiate direct vendor payments through ACH processing.
5. Continue development of budget strategies to address City's long term fiscal condition.
6. Update and implement a General Fund Reserve Policy
7. Support Development through the creation of Community Facilities Districts (CFDs) and Assessment Districts (Ads).

8. Develop Request for Proposals (RFP) for new City auditors for FY14-15 including an independent auditor report.
9. Provide fiscal guidance to Labor Negotiation Team.
10. Coordinate with City Attorney's Office to develop City-wide contract review and routing practices
11. Implement Open Government Web Page.
12. Replace backup infrastructure – Complete
13. Continue Wi-Fi deployment
14. Deploy SharePoint – Complete
15. Replace Help Desk software – Complete
16. Update PCI compliance
17. Migrate to ArcGIS online – Complete
18. Upgrade network connectivity to remote City Buildings
19. Implement Mobile Device Management (MDM) – Complete
20. Create policy for mobile devices

### Future Projections: FY 15-16

1. Prepare award winning CAFR and Budget
2. Complete initial phases Enterprise Resource Planning (ERP) system.
3. Complete Internal Control Review Plan including city-wide Administrative Policy update.
4. Develop budget strategies to address the expiration of Measure E.
5. Complete Solid Waste Franchise Negotiations.
6. Update City budget development processes and document.
7. Final phase of Wi-Fi deployment
8. Complete PCI Compliance updates to all systems.
9. Install high speed microwave link to WWTP
10. Upgrade aging network infrastructure at several facilities
11. Upgrade Internet Bandwidth to meet anticipated future demand.
12. Fire Department CAD migration

Department: **65000 - Administrative Services**  
 Program: **65701 - Central Services**

Provide central duplicating and mail services for City Departments. Also, provides an overhead cost center for programs located at City Hall. Program costs are reimbursed by user departments.

**PERFORMANCE OBJECTIVES**

1. To process all incoming and outgoing mail prior to postal deadlines.
2. To account for telephone expenses in such a way as to provide effective control, identification, and review of the expenditures.
3. To account for duplicating expenses in such a way as to provide effective control, identification, and review of the expenditures.

**COMMENTARY**

Program costs have increased due to increased Citywide postage costs and electric costs for City Hall.  
 In FY12-13, program costs showed a modest increase. But, in FY13-14, program costs showed major decrease. Costs were down in all categories except for internal service charges.  
 In FY14-15, program cost will show a modest increase.  
 For FY15-16, the program budget provides continued current operations, which includes \$38,240 for City postage.

|   | FY12-13   | FY13-14   | % Costs | FY14-15    | FY14-15      | % of   | % Costs | FY15-16    | % Budget |
|---|-----------|-----------|---------|------------|--------------|--------|---------|------------|----------|
|   | \$ Actual | \$ Actual | Change  | \$ Adopted | \$ Estimated | Budget | Change  | \$ Adopted | Change   |
| <b>PROGRAM EXPENDITURES</b>                   |           |           |         |            |              |        |         |            |          |
| Personnel Expenses                            | 14,866    | 8,887     | -40.2%  | 19,510     | 8,370        | 42.9%  | -5.8%   | 20,220     | 3.6%     |
| Contracted Services                           | 42,329    | 38,798    | -8.3%   | 50,120     | 39,180       | 78.2%  | 1.0%    | 51,120     | 2.0%     |
| Commodities                                   | 19,250    | 11,429    | -40.6%  | 14,600     | 14,500       | 99.3%  | 26.9%   | 14,600     | 0.0%     |
| Internal Charges                              | 16,670    | 18,670    | 12.0%   | 18,670     | 18,600       | 99.6%  | -0.4%   | 18,670     | 0.0%     |
| Other Payments                                | 0         | 0         |         | 0          | 0            |        |         | 0          |          |
| Program Total                                 | 93,115    | 77,784    | -16.5%  | 102,900    | 80,650       | 78.4%  | 3.7%    | 104,610    | 1.7%     |
| Amended Budget                                | 99,940    | 102,090   |         |            | 102,900      |        |         |            |          |
| % of Amended Spent                            | 93.2%     | 76.2%     |         |            | 78.4%        |        |         |            |          |
| <b>FUNDING SOURCES</b>                        |           |           |         |            |              |        |         |            |          |
| General Fund 101 - Taxes                      | 0         | 0         |         | 0          | 0            |        |         | 0          |          |
| Central Services Fund 602                     | 93,115    | 75,822    | -18.6%  | 102,900    | 80,650       | 78.4%  | 6.4%    | 104,610    | 1.7%     |
| Central Admin Fund 125                        | 0         | 1,962     |         | 0          | 0            |        |         | 0          |          |
| Program Total                                 | 93,115    | 77,784    | -16.5%  | 102,900    | 80,650       | 78.4%  | 3.7%    | 104,610    | 1.7%     |
| <b>PROGRAM STAFFING</b>                       |           |           |         |            |              |        |         |            |          |
| <i>Regular Positions</i>                      |           |           |         |            |              |        |         |            |          |
| Admin Services Director                       | 0.05      | 0.02      |         | 0.02       | 0.02         |        |         | 0.02       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |         |            |              |        |         |            |          |
| Temp Clerk                                    | 0.15      | 0.15      |         | 0.15       | 0.15         |        |         | 0.15       |          |
| Total - Full-Time Equivalents                 | 0.20      | 0.17      | -15.0%  | 0.17       | 0.17         | 100.0% | 0.0%    | 0.17       | 0.0%     |

Department: **65000 - Administrative Services**  
 Program: **65702 - Cash Management**

The City Treasurer is responsible for the management of the City's cash and investment portfolio. Banking, Investment, and Credit Card charges are recorded as expenses of this program.

**COMMENTARY**

Program costs are offset by charges deducted from the City's investment earnings. The major expense items are banking, investment advisors, and credit card charges. These charges may vary reflecting on fluctuations in the City's cash portfolio.

In FY13-14, program costs showed an increase. In FY13-14, program costs will show a modest decrease. These decreases reflects a reduction in the City's cash portfolio, but also reduced staffing.

For FY15-16 the program budget provides continued current operations, which includes \$268,000 for investment and banking charges.

**PERFORMANCE OBJECTIVES**

1. To invest City funds, with a portfolio of over \$150 million, in accordance with sound treasury management, California Government Code, and City policy.
2. To insure safety of funds first, liquidity to meet cash flows second, and yield consistent with these others.
3. To make a monthly report of treasury activities within 45 days of the close of the month.
4. To realize investment earnings of at least \$6,000,000. of the close of the year.

| <b>PROGRAM EXPENDITURES</b>                   | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses                            | 45,533               | 44,938               | -1.3%             | 54,950                | 46,500                  | 84.6%          | 3.5%              | 54,760                | -0.3%              |
| Contracted Services                           | 243,348              | 269,528              | 10.8%             | 269,300               | 259,000                 | 96.2%          | -3.9%             | 286,300               | 6.3%               |
| Commodities                                   | 545                  | 500                  | -8.3%             | 1,740                 | 1,400                   | 80.5%          | 180.0%            | 1,740                 | 0.0%               |
| Internal Charges                              | 12,730               | 15,730               | 23.6%             | 16,980                | 16,900                  | 99.5%          | 7.4%              | 16,980                | 0.0%               |
| Other Payments                                | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| Program Total                                 | 302,156              | 330,696              | 9.4%              | 342,970               | 323,800                 | 94.4%          | -2.1%             | 359,780               | 4.9%               |
| Amended Budget                                | 335,315              | 362,990              |                   |                       | 348,970                 |                |                   |                       |                    |
| % of Amended Spent                            | 90.1%                | 91.1%                |                   |                       | 92.8%                   |                |                   |                       |                    |
| <b>FUNDING SOURCES</b>                        |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Central Admin Fund 125                        | 302,156              | 330,696              | 9.4%              | 342,970               | 323,800                 | 94.4%          | -2.1%             | 359,780               | 4.9%               |
| Program Total                                 | 302,156              | 330,696              | 9.4%              | 342,970               | 323,800                 | 94.4%          | -2.1%             | 359,780               | 4.9%               |
| <b>PROGRAM STAFFING</b>                       |                      |                      |                   |                       |                         |                |                   |                       |                    |
| <i>Elected Officials</i>                      |                      |                      |                   |                       |                         |                |                   |                       |                    |
| City Treasurer (1)                            | 0.15                 | 0.15                 |                   | 0.15                  | 0.15                    |                |                   | 0.15                  |                    |
| <i>Regular Positions</i>                      |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Admin Services Director                       | 0.05                 | 0.03                 |                   | 0.03                  | 0.03                    |                |                   | 0.03                  |                    |
| Accounting Technicians                        | 0.00                 | 0.05                 |                   | 0.05                  | 0.05                    |                |                   | 0.05                  |                    |
| Account Assts-Clerks                          | 0.00                 | 0.00                 |                   | 0.00                  | 0.00                    |                |                   | 0.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Total - Full-Time Equivalents                 | 0.25                 | 0.23                 | -8.0%             | 0.23                  | 0.23                    | 100.0%         | 0.0%              | 0.23                  | 0.0%               |

Department: **65000 - Administrative Services**  
 Program: **65703 - Budget Coordination**

Coordinate the preparation, review, and control of the City's operating budget and Capital Improvement Program; monitor fiscal transactions and trends; provide internal fiscal reports; and perform fiscal analysis.

**PERFORMANCE OBJECTIVES**

1. To prepare a FY16-17 program budget and capital improvement program, while maintaining GFOA & CSMFO award's status.
2. To prepare and distribution monthly and quarterly budget reports.
3. To prepare long-range forecasts and other analyses pertaining to the City's fiscal condition.
4. To develop and implement a scheduling and monitoring system for the Capital Improvement Program, which budgets over \$100 million for capital projects in FY16-17.
5. To process 150 budget adjustments; and to audit 1,500 budget related fiscal transactions.

**COMMENTARY**

In FY12-13, program staffing added 0.60 of a Management Analyst position. Program costs showed a major increase, due to personnel and professional studies costs. In FY13-14, program staffing lost 0.30 FTEs. So, program costs showed a modest decrease.  
 In FY14-15, program costs are expected to increase, due to a the costs for professional studies.  
 For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added system maintenance costs, but maintains current funding for other cost items.

|   | FY12-13        | FY13-14        | % Costs       | FY14-15        | FY14-15        | % of          | % Costs      | FY15-16        | % Budget    |
|---|----------------|----------------|---------------|----------------|----------------|---------------|--------------|----------------|-------------|
| <b>PROGRAM EXPENDITURES</b>                   | \$ Actual      | \$ Actual      | Change        | \$ Adopted     | \$ Estimated   | Budget        | Change       | \$ Adopted     | Change      |
| Personnel Expenses                            | 304,511        | 294,382        | -3.3%         | 336,910        | 318,820        | 94.6%         | 8.3%         | 328,400        | -2.5%       |
| Contracted Services                           | 12,443         | 16,661         | 33.9%         | 65,710         | 61,350         | 93.4%         | 268.2%       | 87,190         | 32.7%       |
| Commodities                                   | 2,864          | 1,651          | -42.4%        | 2,930          | 2,900          | 99.0%         | 75.7%        | 2,930          | 0.0%        |
| Internal Charges                              | 21,150         | 25,150         | 18.9%         | 27,820         | 27,800         | 99.9%         | 10.5%        | 27,820         | 0.0%        |
| Other Payments                                | 0              | 0              |               | 0              | 0              |               |              | 0              |             |
| <b>Program Total</b>                          | <b>340,968</b> | <b>337,844</b> | <b>-0.9%</b>  | <b>433,370</b> | <b>410,870</b> | <b>94.8%</b>  | <b>21.6%</b> | <b>446,340</b> | <b>3.0%</b> |
| Amended Budget                                | 404,580        | 359,990        |               |                | 463,370        |               |              |                |             |
| % of Amended Spent                            | 84.3%          | 93.8%          |               |                | 88.7%          |               |              |                |             |
| <b>FUNDING SOURCES</b>                        |                |                |               |                |                |               |              |                |             |
| Central Admin Fund 125                        | 340,968        | 337,844        | -0.9%         | 433,370        | 410,870        | 94.8%         | 21.6%        | 446,340        | 3.0%        |
| CDA Project Fund 381                          | 0              | 0              |               | 0              | 0              |               |              | 0              |             |
| <b>Program Total</b>                          | <b>340,968</b> | <b>337,844</b> | <b>-0.9%</b>  | <b>433,370</b> | <b>410,870</b> | <b>94.8%</b>  | <b>21.6%</b> | <b>446,340</b> | <b>3.0%</b> |
| <b>PROGRAM STAFFING</b>                       |                |                |               |                |                |               |              |                |             |
| <i>Regular Positions</i>                      |                |                |               |                |                |               |              |                |             |
| Budget Officer                                | 0.60           | 0.60           |               | 0.60           | 0.60           |               |              | 0.60           |             |
| Admin Services Director                       | 0.30           | 0.15           |               | 0.15           | 0.15           |               |              | 0.15           |             |
| Accounting Technicians                        | 0.95           | 0.80           |               | 0.80           | 0.80           |               |              | 0.80           |             |
| Account Assts-Clerks                          | 0.10           | 0.10           |               | 0.10           | 0.10           |               |              | 0.10           |             |
| Management Analyst II                         | 0.60           | 0.60           |               | 0.60           | 0.60           |               |              | 0.60           |             |
| <i>Other Staffing (Full-Time Equivalents)</i> |                |                |               |                |                |               |              |                |             |
| Temp Clerk                                    | 0.05           | 0.05           |               | 0.05           | 0.05           |               |              | 0.05           |             |
| <b>Total - Full-Time Equivalents</b>          | <b>2.60</b>    | <b>2.30</b>    | <b>-11.5%</b> | <b>2.30</b>    | <b>2.30</b>    | <b>100.0%</b> | <b>0.0%</b>  | <b>2.30</b>    | <b>0.0%</b> |

Department: **65000 - Administrative Services**  
 Program: **65704 - Fiscal Operations**

Process the City's payroll and payables; maintain supporting fiscal records; disburse City checks for employees, vendors, contractors, and other payees.

**PERFORMANCE OBJECTIVES**

1. To process fiscal transactions disbursing over \$150,000,000 authorized by the City's budget.
2. To process the City's payroll twice a month and issue over 8,500 payroll checks.
3. To process the City's payables weekly and process 21,000 invoices.

**COMMENTARY**

In FY12-13, program staffing added 0.20 FTEs in technical and clerical hours. But, program costs showed a major decrease. In FY13-14, program staffing added 0.10 FTEs. Program costs showed a major increase. In FY14-15, program costs will show a moderate increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added system maintenance costs, but maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses          | 320,700              | 422,076              | 31.6%             | 449,060               | 435,340                 | 96.9%          | 3.1%              | 442,200               | -1.5%              |
| Contracted Services         | 46,349               | 49,458               | 6.7%              | 51,570                | 51,500                  | 99.9%          | 4.1%              | 80,050                | 55.2%              |
| Commodities                 | 5,730                | 5,930                | 3.5%              | 7,850                 | 7,450                   | 94.9%          | 25.6%             | 7,850                 | 0.0%               |
| Internal Charges            | 46,150               | 51,150               | 10.8%             | 55,210                | 55,200                  | 100.0%         | 7.9%              | 55,210                | 0.0%               |
| Other Payments              | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| <b>Program Total</b>        | <b>418,929</b>       | <b>528,614</b>       | <b>26.2%</b>      | <b>563,690</b>        | <b>549,490</b>          | <b>97.5%</b>   | <b>3.9%</b>       | <b>585,310</b>        | <b>3.8%</b>        |
| Amended Budget              | 505,770              | 490,250              |                   |                       | 618,690                 |                |                   |                       |                    |
| % of Amended Spent          | 82.8%                | 107.8%               |                   |                       | 88.8%                   |                |                   |                       |                    |

| <b>FUNDING SOURCES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Central Admin Fund 125 | 418,929              | 528,614              | 26.2%             | 563,690               | 549,490                 | 97.5%          | 3.9%              | 585,310               | 3.8%               |
| CDA Project Fund 381   | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| <b>Program Total</b>   | <b>418,929</b>       | <b>528,614</b>       | <b>26.2%</b>      | <b>563,690</b>        | <b>549,490</b>          | <b>97.5%</b>   | <b>3.9%</b>       | <b>585,310</b>        | <b>3.8%</b>        |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Budget Officer                                | 0.40                 | 0.40                 |                   | 0.40                  | 0.40                    |                |                   | 0.40                  |                    |
| Admin Services Director                       | 0.20                 | 0.10                 |                   | 0.10                  | 0.10                    |                |                   | 0.10                  |                    |
| Account Assts-Clerks                          | 0.00                 | 0.00                 |                   | 0.00                  | 0.00                    |                |                   | 0.00                  |                    |
| Payroll/Accounting Technicians                | 1.25                 | 1.45                 |                   | 1.45                  | 1.45                    |                |                   | 1.45                  |                    |
| Senior Account Assts-Clerks                   | 1.60                 | 1.60                 |                   | 1.60                  | 1.60                    |                |                   | 1.60                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                   |                       |                         |                |                   |                       |                    |
| <b>Total - Full-Time Equivalents</b>          | <b>3.45</b>          | <b>3.55</b>          | <b>2.9%</b>       | <b>3.55</b>           | <b>3.55</b>             | <b>100.0%</b>  | <b>0.0%</b>       | <b>3.55</b>           | <b>0.0%</b>        |

Department: **65000 - Administrative Services**  
 Program: **65705 - Accounting Services**

Maintain the City's accounting records; prepare the City's financial reports; and coordinate the audits of the City's finances.

**PERFORMANCE OBJECTIVES**

1. To prepare the City's annual comprehensive financial report (CAFR).
2. To maintain CSMFO and GFOA award winning status for financial reporting.
3. To prepare other financial and accounting reports as required by auditors, the State of California, and other agencies.
4. To prepare cash reconciliations monthly.

**COMMENTARY**

In FY12-13, program staffing added 0.10 FTEs in technical and clerical hours. But, program costs show a decreased. In FY13-14, program staffing lost 0.35 FTEs. Program costs showed a modest decrease, with personnel costs down. In FY14-15, program costs will show a moderate increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added system maintenance costs, but maintains current funding for other cost items.

|   | FY12-13   | FY13-14   | % Costs | FY14-15    | FY14-15      | % of   | % Costs | FY15-16    | % Budget |
|---|-----------|-----------|---------|------------|--------------|--------|---------|------------|----------|
| <b><u>PROGRAM EXPENDITURES</u></b>            | \$ Actual | \$ Actual | Change  | \$ Adopted | \$ Estimated | Budget | Change  | \$ Adopted | Change   |
| Personnel Expenses                            | 158,985   | 134,617   | -15.3%  | 166,800    | 144,430      | 86.6%  | 7.3%    | 162,370    | -2.7%    |
| Contracted Services                           | 80,401    | 92,753    | 15.4%   | 112,040    | 110,970      | 99.0%  | 19.6%   | 132,040    | 17.9%    |
| Commodities                                   | 1,952     | 1,442     | -26.1%  | 2,860      | 2,600        | 90.9%  | 80.3%   | 2,860      | 0.0%     |
| Internal Charges                              | 28,760    | 32,760    | 13.9%   | 35,890     | 35,800       | 99.7%  | 9.3%    | 35,890     | 0.0%     |
| Other Payments                                | 0         | 0         |         | 0          | 0            |        |         | 0          |          |
| Program Total                                 | 270,098   | 261,572   | -3.2%   | 317,590    | 293,800      | 92.5%  | 12.3%   | 333,160    | 4.9%     |
| Amended Budget                                | 300,090   | 333,160   |         |            | 363,590      |        |         |            |          |
| % of Amended Spent                            | 90.0%     | 78.5%     |         |            | 80.8%        |        |         |            |          |
| <b><u>FUNDING SOURCES</u></b>                 |           |           |         |            |              |        |         |            |          |
| Central Admin Fund 125                        | 270,098   | 261,572   | -3.2%   | 317,590    | 293,800      | 92.5%  | 12.3%   | 333,160    | 4.9%     |
| Comm Devel Block Gt Fund 269                  | 0         | 0         |         | 0          | 0            |        |         | 0          |          |
| CDA Project Fund 381                          | 0         | 0         |         | 0          | 0            |        |         | 0          |          |
| Program Total                                 | 270,098   | 261,572   | -3.2%   | 317,590    | 293,800      | 92.5%  | 12.3%   | 333,160    | 4.9%     |
| <b><u>PROGRAM STAFFING</u></b>                |           |           |         |            |              |        |         |            |          |
| <i>Regular Positions</i>                      |           |           |         |            |              |        |         |            |          |
| Accounting Officer/Senior Accountant          | 0.65      | 0.65      |         | 0.65       | 0.65         |        |         | 0.65       |          |
| Accounting Technicians                        | 0.50      | 0.25      |         | 0.25       | 0.25         |        |         | 0.25       |          |
| Admin Services Director                       | 0.20      | 0.10      |         | 0.10       | 0.10         |        |         | 0.10       |          |
| Account Assts-Clerks                          | 0.10      | 0.10      |         | 0.10       | 0.10         |        |         | 0.10       |          |
| <i>Other Staffing (Full-Time Equivalents)</i> |           |           |         |            |              |        |         |            |          |
| Total - Full-Time Equivalents                 | 1.45      | 1.10      | -24.1%  | 1.10       | 1.10         | 100.0% | 0.0%    | 1.10       | 0.0%     |

Department: **65000 - Administrative Services**  
 Program: **65706 - Revenue Collection**

Receipt of all revenues received by the City including utility billings, delinquencies, business licenses, miscellaneous accounts receivables (MARS). City fee for County property tax collection.

**PERFORMANCE OBJECTIVES**

1. To identify and bill all businesses that required licenses and collect all fees and taxes billed. Estimate about 4,700 businesses.
2. To accurately and timely prepare 291,000 utility bills to utility system customers.
3. To maintain collection of active utility accounts at 98% of current bills.
4. To courteously assist customers regarding their utility accounts, other receivable accounts, or other inquiries of municipal services.

**COMMENTARY**

In FY12-13, program staffing added 0.55 FTEs, but program costs showed a major decrease. While personnel costs were up, contracted costs and the property tax collection fee were down. In FY13-14, program staffing added 0.10 FTEs. Program costs will show a decrease, with lower personnel costs. In FY14-15, program costs will show an increase.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing and added system maintenance costs and property tax collection fee, but maintains current funding for other cost items.

| <b><u>PROGRAM EXPENDITURES</u></b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|------------------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses                 | 1,108,862            | 882,335              | -20.4%            | 1,099,820             | 966,470                 | 87.9%          | 9.5%              | 951,200               | -13.5%             |
| Contracted Services                | 177,074              | 277,363              | 56.6%             | 323,790               | 274,820                 | 84.9%          | -0.9%             | 409,990               | 26.6%              |
| Commodities                        | 6,772                | 9,683                | 43.0%             | 17,750                | 16,700                  | 94.1%          | 72.5%             | 17,760                | 0.1%               |
| Internal Charges                   | 99,726               | 104,190              | 4.5%              | 112,490               | 112,400                 | 99.9%          | 7.9%              | 112,490               | 0.0%               |
| Other Payments                     | 214,100              | 228,450              | 6.7%              | 200,000               | 233,870                 | 116.9%         | 2.4%              | 235,000               | 17.5%              |
| Program Total                      | 1,606,534            | 1,502,021            | -6.5%             | 1,753,850             | 1,604,260               | 91.5%          | 6.8%              | 1,726,440             | 7.6%               |
| Amended Budget                     | 1,859,360            | 1,628,650            |                   |                       | 1,692,850               |                |                   |                       |                    |
| % of Amended Spent                 | 86.4%                | 92.2%                |                   |                       | 94.8%                   |                |                   |                       |                    |

**FUNDING SOURCES**

|                                   |           |           |        |           |           |        |        |           |        |
|-----------------------------------|-----------|-----------|--------|-----------|-----------|--------|--------|-----------|--------|
| Central Admin Fund 125            | 518,954   | 416,931   | -19.7% | 545,650   | 417,990   | 76.6%  | 0.3%   | 466,440   | 11.6%  |
| General Fund 101 - Property Taxes | 214,100   | 228,450   | 6.7%   | 300,000   | 233,870   | 78.0%  | 2.4%   | 335,000   | 43.2%  |
| Landscaping Districts Fund 271    | 38,350    | 36,980    | -3.6%  | 35,800    | 20,000    | 55.9%  | -45.9% | 15,000    | -58.1% |
| Enterprise Funds                  | 835,130   | 819,660   | -1.9%  | 872,400   | 932,400   | 106.9% | 13.8%  | 910,000   | -2.4%  |
| Program Total                     | 1,606,534 | 1,502,021 | -6.5%  | 1,753,850 | 1,604,260 | 91.5%  | 6.8%   | 1,726,440 | 7.6%   |

**PROGRAM STAFFING**

*Regular Positions*

|   |       |       |      |       |       |        |      |       |      |
|---|-------|-------|------|-------|-------|--------|------|-------|------|
| Senior Account Assts-Clerks                   | 2.20  | 2.20  |      | 2.20  | 2.20  |        |      | 2.20  |      |
| Account Assts-Clerks                          | 4.00  | 4.00  |      | 4.00  | 4.00  |        |      | 4.00  |      |
| Admin Services Director                       | 0.20  | 0.10  |      | 0.10  | 0.10  |        |      | 0.10  |      |
| Accounting Officer/Senior Accountant          | 0.35  | 0.35  |      | 0.35  | 0.35  |        |      | 0.35  |      |
| Accounting Technicians                        | 2.25  | 2.45  |      | 2.45  | 2.45  |        |      | 2.45  |      |
| Management Analyst II                         | 0.40  | 0.40  |      | 0.40  | 0.40  |        |      | 0.40  |      |
| <i>Other Staffing (Full-Time Equivalents)</i> |       |       |      |       |       |        |      |       |      |
| Temp Clerk                                    | 1.10  | 1.10  |      | 1.35  | 1.35  |        |      | 1.35  |      |
| Total - Full-Time Equivalents                 | 10.50 | 10.60 | 1.0% | 10.85 | 10.85 | 100.0% | 2.4% | 10.85 | 0.0% |

Department: **65000 - Administrative Services**  
 Program: **65801 - Information Technology**

Provide central coordination for the development and implementation of City information systems to support data and communications needs. System costs for City's computer and telephone systems.

**PERFORMANCE OBJECTIVES**

1. To maintain and enhance citywide networks, including all hardware and software.
2. To maintain and support City computer, telephone, and voice mail systems.
3. To assist the Police Department with county information systems and new mobile data terminals.
4. To assist the Fire Department with software for performance measurement.

**COMMENTARY**

Program costs have increased due to added staffing, higher operational and maintenance costs, and the leasing costs for many of City computers. In FY12-13, program costs showed a moderate increase, due to full staffing and added contract costs. In FY13-14, program costs showed a decrease. In FY14-15, program staffing added a GIS Technician. Program costs will show an increase. Both personnel and contract costs are going up.

For FY15-16, no staffing changes are anticipated. The program budget provides for current staffing but maintains current funding for other cost items.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| Personnel Expenses          | 829,523              | 849,412              | 2.4%              | 981,180               | 911,960                 | 92.9%          | 7.4%              | 963,800               | -1.8%              |
| Contracted Services         | 426,861              | 425,978              | -0.2%             | 534,110               | 471,840                 | 88.3%          | 10.8%             | 534,740               | 0.1%               |
| Commodities                 | 4,244                | 8,227                | 93.9%             | 10,260                | 9,850                   | 96.0%          | 19.7%             | 10,260                | 0.0%               |
| Internal Charges            | 130,098              | 77,932               | -40.1%            | 79,260                | 79,200                  | 99.9%          | 1.6%              | 79,630                | 0.5%               |
| Other Payments              | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| <b>Program Total</b>        | <b>1,390,726</b>     | <b>1,361,549</b>     | <b>-2.1%</b>      | <b>1,604,810</b>      | <b>1,472,850</b>        | <b>91.8%</b>   | <b>8.2%</b>       | <b>1,588,430</b>      | <b>-1.0%</b>       |
| Amended Budget              | 1,394,610            | 1,415,560            |                   |                       | 1,604,180               |                |                   |                       |                    |
| % of Amended Spent          | 99.7%                | 96.2%                |                   |                       | 91.8%                   |                |                   |                       |                    |

| <b>FUNDING SOURCES</b>    | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---------------------------|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| General Fund 101 - Taxes  | 0                    | 0                    |                   | 0                     | 0                       |                |                   | 0                     |                    |
| Central Services Fund 602 | 1,390,726            | 1,361,549            | -2.1%             | 1,544,810             | 1,412,850               | 91.5%          | 3.8%              | 1,528,430             | -1.1%              |
| Enterprise Funds          | 0                    | 0                    |                   | 60,000                | 60,000                  | 100.0%         |                   | 60,000                | 0.0%               |
| <b>Program Total</b>      | <b>1,390,726</b>     | <b>1,361,549</b>     | <b>-2.1%</b>      | <b>1,604,810</b>      | <b>1,472,850</b>        | <b>91.8%</b>   |                   | <b>1,588,430</b>      | <b>-1.0%</b>       |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Costs<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Costs<br>Change | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---|----------------------|----------------------|-------------------|-----------------------|-------------------------|----------------|-------------------|-----------------------|--------------------|
| <i>Regular Positions</i>                      |                      |                      |                   |                       |                         |                |                   |                       |                    |
| Information Technology Manager                | 0.00                 | 0.00                 |                   | 0.00                  | 0.00                    |                |                   | 1.00                  |                    |
| Information Systems Administrator             | 1.00                 | 1.00                 |                   | 1.00                  | 1.00                    |                |                   | 0.00                  |                    |
| Information Systems Technicians               | 6.00                 | 6.00                 |                   | 7.00                  | 7.00                    |                |                   | 7.00                  |                    |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                   |                       |                         |                |                   |                       |                    |
|   | 7.00                 | 7.00                 |                   | 8.00                  | 8.00                    | 100.0%         | 14.3%             | 8.00                  | 0.0%               |

Department: **69000 - Non-Departmental Group**

The Non-Departmental Group provides a miscellaneous category for indirect costs, equipment acquisition, special projects, and special reserves.

**COMMENTARY**

As approved for FY15-16, the departmental budget will increase about 27.3% over the current year adopted budget, and this represents a 4.7% increase over the FY13-14 amended budget.

The base component of the budget represents a 6.7% decrease from current year adopted budget, while budget augmentations will show a 36.5% increase over the base budget.

Equipment acquisitions, including replacement, generally range above \$1,000,000 per year.

| DEPARTMENTAL EXPENDITURES<br>BY PROGRAM | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget   | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|------------------|------------------|------------------------|--------------------|
| 69201 - Indirect Costs                  | 977,020              | 1,027,490            | 5.2%             | 1,139,070             | 1,148,200               | 100.8%           | 11.7%            | 1,211,650              | 6.4%               |
| 693xx - Equipment Acquisition           | 1,297,772            | 1,078,441            | -16.9%           | 1,149,080             | 2,268,180               | 197.4%           | 110.3%           | 2,395,800              | 108.5%             |
| 69401 - Insurance                       | 2,945,815            | 2,777,478            | -5.7%            | 3,240,000             | 2,984,000               | 92.1%            | 7.4%             | 3,338,000              | 3.0%               |
| 69402 - Claims & Litigation             | 497,668              | 423,753              | -14.9%           | 706,000               | 315,000                 | 44.6%            | -25.7%           | 710,000                | 0.6%               |
| 69500 - Special Reserves                | 261,901              | 794,977              | 203.5%           | 745,000               | 857,000                 | 115.0%           | 7.8%             | 990,000                | 32.9%              |
| Indirect Costs Reimbursements           | (977,020)            | (1,027,490)          | 5.2%             | (1,139,070)           | (1,148,200)             | 100.8%           | 11.7%            | (1,211,650)            | 6.4%               |
| Department Total                        | 5,003,156            | 5,074,649            | 1.4%             | 5,840,080             | 6,424,180               | 110.0%           | 26.6%            | 7,433,800              | 27.3%              |
| Amended Budget                          | 6,061,731            | 7,097,690            | 17.1%            |                       | 8,346,910               |                  | 17.6%            | over 2 years           | 4.7%               |
| % of Amended Spent                      | 82.54%               | 71.50%               |                  |                       | 76.96%                  |                  |                  |                        |                    |
|   |                      |                      |                  |                       |                         | Base Budget >>   |                  | 5,448,000              | -6.7%              |
|   |                      |                      |                  |                       |                         | Augmentations >> |                  | 1,985,800              | 36.5%              |

Department: 69000 - Non-Departmental Group (Continued)

| DEPARTMENTAL EXPENDITURES<br>BY OBJECT CATEGORY         | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | % Cost<br>Change | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | % Cost<br>Change | FY15-16<br>\$ Approved | % Budget<br>Change |
|---|----------------------|----------------------|------------------|-----------------------|-------------------------|----------------|------------------|------------------------|--------------------|
| Personnel Expenses                                      | 261,901              | 664,352              | 153.7%           | 245,000               | 455,000                 | 185.7%         | -31.5%           | 450,000                | 83.7%              |
| Contracted Services                                     | 3,258,939            | 3,202,939            | -1.7%            | 3,596,000             | 3,234,000               | 89.9%          | 1.0%             | 3,698,000              | 2.8%               |
| Commodities   | 2,459                | 9,145                | 271.9%           | 40,000                | 10,000                  | 25.0%          | 9.3%             | 40,000                 | 0.0%               |
| Capital Outlay  | 1,289,829            | 1,072,313            | -16.9%           | 1,149,080             | 2,268,180               | 197.4%         | 111.5%           | 2,395,800              | 108.5%             |
| Other Payments  | 1,167,048            | 1,153,390            | -1.2%            | 1,949,070             | 1,605,200               | 82.4%          | 39.2%            | 2,061,650              | 5.8%               |
| Indirect Costs Reimbursements                           | (977,020)            | (1,027,490)          | 5.2%             | (1,139,070)           | (1,148,200)             | 100.8%         | 11.7%            | (1,211,650)            | 6.4%               |
| Department Total  | 5,003,156            | 5,074,649            | 1.4%             | 5,840,080             | 6,424,180               | 110.0%         | 26.6%            | 7,433,800              | 27.3%              |
| <b>DEPARTMENTAL EXPENDITURES<br/>BY FUNDING SOURCES</b> |                      |                      |                  |                       |                         |                |                  |                        |                    |
| General Fund 101  |                      |                      |                  |                       |                         |                |                  |                        |                    |
| Expenditures  | 502,916              | 427,280              | -15.0%           | 737,160               | 1,028,780               | 139.6%         | 140.8%           | 1,127,180              | 52.9%              |
| Indirect Costs Reimbursements                           | (977,020)            | (1,027,490)          | 5.2%             | (1,139,070)           | (1,148,200)             | 100.8%         | 11.7%            | (1,211,650)            | 6.4%               |
| SC Fire Authority - Fund 211                            | 194,028              | 235,906              | 21.6%            | 251,900               | 494,880                 | 196.5%         | 109.8%           | 613,910                | 143.7%             |
| Transp Development Fund 241                             | 25,360               | 30,000               | 18.3%            | 36,770                | 33,500                  | 91.1%          | 11.7%            | 36,220                 | -1.5%              |
| Gas Tax Fund 247  | 29,690               | 28,590               | -3.7%            | 36,140                | 31,930                  | 88.4%          | 11.7%            | 34,520                 | -4.5%              |
| Com Devel Block Gt Fund 269                             | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Landscaping Districts Fund 271                          | 73,680               | 74,820               | 1.5%             | 86,230                | 83,580                  | 96.9%          | 11.7%            | 90,350                 | 4.8%               |
| CDA Housing & Suceessor Fund 28x                        | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Comm Access CTV Fund 295                                | 36,100               | 43,810               | 21.4%            | 38,350                | 62,650                  | 163.4%         | 43.0%            | 54,800                 | 42.9%              |
| CDA & Suc Ag Project Fund 3xx                           | 0                    | 0                    |                  | 0                     | 0                       |                |                  | 0                      |                    |
| Water Fund 511  | 300,243              | 333,394              | 11.0%            | 394,530               | 362,800                 | 92.0%          | 8.8%             | 367,680                | -6.8%              |
| Wastewater Fund 521                                     | 203,209              | 366,628              | 80.4%            | 390,020               | 307,180                 | 78.8%          | -16.2%           | 502,530                | 28.8%              |
| Solid Waste Fund 531                                    | 49,248               | 50,104               | 1.7%             | 34,610                | 56,840                  | 164.2%         | 13.4%            | 111,450                | 222.0%             |
| Drainage Fund 541                                       | 25,589               | 28,313               | 10.6%            | 16,220                | 30,950                  | 190.8%         | 9.3%             | 33,460                 | 106.3%             |
| Airport Fund 561  | 72,594               | 82,727               | 14.0%            | 71,060                | 92,450                  | 130.1%         | 11.8%            | 99,930                 | 40.6%              |
| Transit Fund 571  | 87,732               | 61,666               | -29.7%           | 99,540                | 134,270                 | 134.9%         | 117.7%           | 94,070                 | -5.5%              |
| Central Garage Fund 601                                 | 22,978               | 29,168               | 26.9%            | 37,200                | 29,900                  | 80.4%          | 2.5%             | 39,320                 | 5.7%               |
| Central Services Fund 602                               | 16,281               | 2,476                | -84.8%           | 0                     | 0                       |                | -100.0%          | 0                      |                    |
| Equipment Acquisition Fund 605                          | 207,774              | 304,981              | 46.8%            | 627,910               | 297,460                 | 47.4%          | -2.5%            | 855,930                | 36.3%              |
| Vehicle Acquisition Fund 605                            | 665,221              | 526,751              | -20.8%           | 342,960               | 1,195,490               | 348.6%         | 127.0%           | 702,890                | 104.9%             |
| Building Maintenance Fund 615                           | (3,957)              | 37,318               |                  | 32,550                | 30,720                  |                | -17.7%           | 33,210                 |                    |
| Self Insurance Fund 627                                 | 3,399,490            | 3,431,306            | 0.9%             | 3,746,000             | 3,299,000               | 88.1%          | -3.9%            | 3,848,000              | 2.7%               |
| Other Minor Funds                                       | 72,000               | 6,901                | -90.4%           | 0                     | 0                       |                | -100.0%          | 0                      |                    |
| Department Total  | 5,003,156            | 5,074,649            | 1.4%             | 5,840,080             | 6,424,180               | 110.0%         | 26.6%            | 7,433,800              | 27.3%              |

Department: **69000 - Non-Departmental Group**  
 Program: **69201 - Indirect Costs**

**PERFORMANCE OBJECTIVES**

Indirect costs are payments made by City Departments & programs for their share of the cost of Citywide overhead and support activities. Overall, they have a net effect of zero on the total City budget. But, they are charges to the Funds involved, and are reimbursements to the General Fund for General Government programs and activities.

- To make indirect costs payments for FY15-16 as budgeted with the appropriate adjustment for FY14-15 actual cost figures.

For FY14-15, estimate a 11.7% increase  
 For FY15-16, estimate a 6.4% increase

**COMMENTARY**

In FY97-98, a cost study was done to determine and validate City charges for indirect costs. An update of the calculations was done early in FY00-01. Since then, cost calculations have been maintained and updated yearly. In FY13-14, program costs increased 5.2%. For FY14-15, a 11.7% increase is expected over the prior year actual. For FY15-16, a 6.4% increase over the estimate is budgeted.

Indirect costs change proportionate primarily to personnel costs and relative share of non-General Funds as a part of the total City operating budget.

Note: Worksheets showing the calculation of the indirect costs charges are included in the Appendix of this budget document.

| <b>PROGRAM EXPENDITURES</b> | FY12-13   | FY13-14   | %      | FY14-15    | FY14-15      | % of   | %      | FY15-16    | % Budget |
|-----------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                             | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses          | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Contracted Services         | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Commodities                 | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Internal Charges            | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Other Payments              | 977,020   | 1,027,490 | 5.2%   | 1,139,070  | 1,148,200    | 100.8% | 11.7%  | 1,211,650  | 6.4%     |
| Program Total               | 977,020   | 1,027,490 | 5.2%   | 1,139,070  | 1,148,200    | 100.8% | 11.7%  | 1,211,650  | 6.4%     |
| Amended Budget              | 1,144,240 | 1,084,810 |        |            | 1,139,070    |        |        |            |          |
| % of Amended Spent          | 85.4%     | 94.7%     |        |            | 100.8%       |        |        |            |          |

| <b><u>FUNDING SOURCES</u></b>   |         |           |       |           |           |        |       |           |        |
|---------------------------------|---------|-----------|-------|-----------|-----------|--------|-------|-----------|--------|
| SC Fire Authority Fund 211      | 159,810 | 154,010   | -3.6% | 190,400   | 172,030   | 90.4%  | 11.7% | 156,410   | -17.9% |
| Transp Development Fund 241     | 25,360  | 30,000    | 18.3% | 36,770    | 33,500    | 91.1%  | 11.7% | 36,220    | -1.5%  |
| Gas Tax Fund 247                | 29,690  | 28,590    | -3.7% | 36,140    | 31,930    | 88.4%  | 11.7% | 34,520    | -4.5%  |
| Comm Devel Block Grant Fund 269 | 0       | 0         |       | 0         | 0         |        |       | 0         |        |
| Landscaping Districts Fund 271  | 73,680  | 74,820    | 1.5%  | 86,230    | 83,580    | 96.9%  | 11.7% | 90,350    | 4.8%   |
| CDA Housing & Successor Fund 28 | 0       | 0         |       | 0         | 0         |        |       | 0         |        |
| Community Access CTV Fund 295   | 36,100  | 43,810    | 21.4% | 22,800    | 48,940    | 214.6% | 11.7% | 52,900    | 132.0% |
| CDA Project Fund 3xx            | 0       | 0         |       | 0         | 0         |        |       | 0         |        |
| Water Fund 511                  | 224,800 | 233,680   | 4.0%  | 291,030   | 261,500   | 89.9%  | 11.9% | 282,680   | -2.9%  |
| Wastewater Fund 521             | 176,310 | 181,890   | 3.2%  | 224,520   | 203,180   | 90.5%  | 11.7% | 219,630   | -2.2%  |
| Solid Waste Fund 531            | 51,010  | 50,880    | -0.3% | 34,610    | 56,840    | 164.2% | 11.7% | 61,450    | 77.5%  |
| Drainage Fund 541               | 26,510  | 27,710    | 4.5%  | 16,220    | 30,950    | 190.8% | 11.7% | 33,460    | 106.3% |
| Airport Fund 561                | 77,120  | 82,770    | 7.3%  | 71,060    | 92,450    | 130.1% | 11.7% | 99,930    | 40.6%  |
| Transit Fund 571                | 71,600  | 65,070    | -9.1% | 59,540    | 72,680    | 122.1% | 11.7% | 78,570    | 32.0%  |
| Central Garage Fund 601         | 25,030  | 26,760    | 6.9%  | 37,200    | 29,900    | 80.4%  | 11.7% | 32,320    | -13.1% |
| Central Services Fund 602       | 0       | 0         |       | 0         | 0         |        |       | 0         |        |
| Building Maintenance Fund 615   | 0       | 27,500    |       | 32,550    | 30,720    | 94.4%  | 11.7% | 33,210    | 2.0%   |
| Program Total                   | 977,020 | 1,027,490 | 5.2%  | 1,139,070 | 1,148,200 | 100.8% | 11.7% | 1,211,650 | 6.4%   |

Department: **69000 - Non-Departmental Group**  
 Program: **693xx - Equipment Acquisition**

Acquisition of new and replacement furniture, vehicles, and equipment for use by City Departments.

Replacement items for governmental funded programs comes from the Equipment Acquisition Fund, which provides a reserve for replacements. New items are funded out of their primary program funding source. Enterprise programs use their own funds for both new and replacement items.

As proposed for FY15-16, equipment acquisitions will consist of \$2,022,220 for replacement items and \$373,580 for new equipment. The following pages provide a list of the equipment items by departments. Program outlays generally exceed the adopted budget due to carryovers from the prior year and new acquisitions approved during the fiscal year.

**PERFORMANCE OBJECTIVES**

1. To provide funding to acquire new and replacement equipment for City Departments, as follows:

|                            | <u>Replacement</u> | <u>New</u>       | <u>Total</u>       |
|----------------------------|--------------------|------------------|--------------------|
| Police Department          | \$769,740          | \$201,680        | \$971,420          |
| Fire Department            | 568,290            | 15,000           | 583,290            |
| Public Works Department    | 300,790            | 122,000          | 422,790            |
| Airport & Transit          | 7,500              | 8,000            | 15,500             |
| Utilities Department       | 252,900            | 25,000           | 277,900            |
| Development Services       | 20,000             | 0                | 20,000             |
| Administrative Services    | 50,000             | 0                | 50,000             |
| General Govt Agencies      | 23,000             | 1,900            | 24,900             |
| Recreation & Cultural Arts | 30,000             | 0                | 30,000             |
| <b>Total</b>               | <b>\$2,022,220</b> | <b>\$373,580</b> | <b>\$2,395,800</b> |

| <b>PROGRAM EXPENDITURES</b>     | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Adopted | % Budget<br>Change |
|---------------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|---------------|-----------------------|--------------------|
| for Police Department           | 990,204              | 426,477              | -56.9%        | 371,600               | 382,000                 | 102.8%         | -10.4%        | 971,420               | 161.4%             |
| for Fire Department             | 115,446              | 169,656              | 47.0%         | 204,730               | 1,448,080               | 707.3%         | 753.5%        | 583,290               | 184.9%             |
| for Public Works Department     | 89,341               | 305,224              | 241.6%        | 128,600               | 122,500                 | 95.3%          | -59.9%        | 422,790               | 228.8%             |
| for PWD, Utilities Division     | 48,199               | 148,521              | 208.1%        | 169,000               | 140,300                 | 83.0%          | -5.5%         | 277,900               | 64.4%              |
| for Airport & Transit           | 27,311               | 3,120                | -88.6%        | 40,000                | 61,590                  | 154.0%         | 1874.0%       | 15,500                | -61.3%             |
| for Development Services        | 3,575                | 25,443               | 611.7%        | 88,060                | 67,240                  | 76.4%          | 164.3%        | 20,000                | -77.3%             |
| for Administrative Services     | 16,410               | 0                    | -100.0%       | 70,000                | 32,760                  | 46.8%          |               | 50,000                | -28.6%             |
| for General Government Agencies | 0                    | 0                    |               | 47,090                | 13,710                  | 29.1%          |               | 24,900                | -47.1%             |
| for Recreation & Cultural Arts  | 7,286                | 0                    | -100.0%       | 30,000                | 0                       | 0.0%           |               | 30,000                | 0.0%               |
| <b>Program Total</b>            | <b>1,297,772</b>     | <b>1,078,441</b>     | <b>-16.9%</b> | <b>1,149,080</b>      | <b>2,268,180</b>        | <b>197.4%</b>  | <b>110.3%</b> | <b>2,395,800</b>      | <b>108.5%</b>      |
| Amended Budget                  | 1,910,791            | 2,509,100            |               |                       | 2,835,910               |                |               |                       |                    |
| % of Amended Spent              | 67.9%                | 43.0%                |               |                       | 80.0%                   |                |               |                       |                    |

| <b>FUNDING SOURCES</b>         | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|---------------|-----------------------|--------------------|
| General Fund 101               | 312,598              | 55,223               | -82.3%        | 117,160               | 276,780                 | 236.2%         | 401.2%        | 297,180               | 153.7%             |
| SC Fire Authority Fund 211     | 8,661                | 36,064               | 316.4%        | 36,500                | 282,850                 |                | 684.3%        | 407,500               | 1016.4%            |
| Landscaping Districts Fund 271 | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Water Fund 511                 | 831                  | 37,572               | 4421.3%       | 53,500                | 51,300                  | 95.9%          | 36.5%         | 30,000                | -43.9%             |
| Wastewater Fund 521            | 47,368               | 110,950              | 134.2%        | 115,500               | 89,000                  | 77.1%          | -19.8%        | 227,900               | 97.3%              |
| Solid Waste Fund 531           | 0                    | 0                    |               | 0                     | 0                       |                |               | 50,000                |                    |
| Drainage Fund 541              | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Airport Fund 561               | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Transit Fund 571               | 27,311               | 0                    | -100.0%       | 40,000                | 61,590                  | 154.0%         |               | 15,500                | -61.3%             |
| Central Garage Fund 601        | 0                    | 0                    |               | 0                     | 0                       |                |               | 7,000                 |                    |
| Equipment Acquisition Fund 605 | 163,782              | 304,980              | 86.2%         | 527,910               | 297,460                 | 56.3%          | -2.5%         | 755,930               | 43.2%              |
| Vehicle Acquisition Fund 606   | 665,221              | 526,751              | -20.8%        | 242,960               | 1,195,490               | 492.1%         | 127.0%        | 602,890               | 148.1%             |
| Building Maintenance Fund 615  | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Other Minor Funds              | 72,000               | 6,901                | -90.4%        | 15,550                | 13,710                  | 88.2%          | 98.7%         | 1,900                 | -87.8%             |
| <b>Program Total</b>           | <b>1,297,772</b>     | <b>1,078,441</b>     | <b>-16.9%</b> | <b>1,149,080</b>      | <b>2,268,180</b>        | <b>197.4%</b>  | <b>110.3%</b> | <b>2,395,800</b>      | <b>108.5%</b>      |



CITY OF TRACY  
 AUTHORIZED EQUIPMENT LIST  
 for FY15-16

Date: 01-Jul-15

| Acct #                           | Equipment Items           | \$ Amount | Estimated Equipment Allocations |                     |           |             |
|----------------------------------|---------------------------|-----------|---------------------------------|---------------------|-----------|-------------|
|                                  |                           |           | General                         | Vehicles            |           |             |
| <u>Department 51000 - Police</u> |                           |           | Police                          | Begin Balances      | \$489,530 | \$1,006,770 |
|                                  |                           |           |                                 | FY14-15 Allocations | \$294,400 | \$255,600   |
| 606-59310 -682-E1127             | R Patrol Cars (4)         | \$181,100 |                                 | Lease Obligations   | \$0       | \$0         |
| 606-59310 -681-E1128             | R Sedan (3)               | \$90,000  |                                 | Transfer            | \$0       | \$0         |
| 606-59310 -682-E1129             | R Motorcycles (2)         | \$26,000  |                                 | CIP Purchase        | \$0       | \$0         |
| 605-59310 -674-E1130             | R MDC (46)                | \$325,140 |                                 | Available Balances  | \$783,930 | \$1,262,370 |
| 605-59310 -684-E1131             | R Radar Trailers (2)      | \$25,000  |                                 | Proposed Outlays    | \$394,640 | \$352,100   |
| 605-59310 -673-E1132             | R Comm Headsets (16)      | \$0       |                                 | Remaining Balances  | \$389,290 | \$910,270   |
| 605-59310 -676-E1133             | R Long Rifles (2)         | \$10,500  |                                 |                     |           |             |
| 605-59310 -671-E1134             | R Dispatch Chairs (4)     | \$5,000   |                                 |                     |           |             |
| 605-59310 -676-E1135             | R Ballistic Vests (14)    | \$14,000  |                                 |                     |           |             |
| 605-59310                        | R x                       | \$0       |                                 |                     |           |             |
| 101-59310 -674-E1136             | N MDCs (2)                | \$11,470  |                                 |                     |           |             |
| 101-59310 -673-E1137             | N DVR & Accessories       | \$20,710  |                                 |                     |           |             |
| 101-59310 -675-E1138             | N Evidence Drying Cabinet | \$7,500   |                                 |                     |           |             |
| 101-59310 -678-E1139             | N Security Cameras (6)    | \$10,000  |                                 |                     |           |             |
| 101-59310 -678-E1140             | N Access Panels to Jail   | \$35,000  |                                 |                     |           |             |
| 114-59310                        | N X                       | \$0       |                                 |                     |           |             |
| 114-59310 -679-00000             | N State COPS              | \$140,000 |                                 |                     |           |             |
| 606-59310 -679-ER011             | R Emergency Reserve       | \$30,000  |                                 |                     |           |             |
| 231-59310 -679-ER018             | N Fund 231 Reserve        | \$0       |                                 |                     |           |             |
| 605-59310 -679-ER011             | R Emergency Reserve       | \$40,000  |                                 |                     |           |             |
| Department Total                 |                           | \$971,420 |                                 |                     |           |             |

Department 52000 - Fire

|                      |                          |           |      |                     |           |           |
|----------------------|--------------------------|-----------|------|---------------------|-----------|-----------|
| 605-59320 -676-E2066 | R Defibrillers           | \$19,140  | Fire | Begin Balances      | \$613,520 | \$712,790 |
| 605-59320 -676-E2067 | R SC Breathing Apparatus | \$478,200 |      | FY14-15 Allocations | \$181,900 | \$231,240 |
| 605-59320 -679-E2068 | R Treadmills (2)         | \$10,000  |      | Lease Obligations   | \$0       | \$0       |
| 605-59320 -678-E2069 | R Facilities Eqpt        | \$20,950  |      | Reserved            | \$0       | \$0       |
| 605-59320            | R                        | \$0       |      | Available Balances  | \$795,420 | \$944,030 |
| 605-59320            | R                        | \$0       |      | Proposed Outlays    | \$90,090  | \$0       |
| 605-59320            | R                        | \$0       |      | Remaining Balances  | \$705,330 | \$944,030 |
| 605-59320            | R                        | \$0       |      |                     |           |           |
| 605-59320            | R                        | \$0       |      |                     |           |           |
| 211-59320 -673-E2070 | N Voting Receivers (2)   | \$15,000  |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 211-59320            | N X                      | \$0       |      |                     |           |           |
| 101-59320            | N X                      | \$0       |      |                     |           |           |
| 605-59320 -679-ER021 | R Emergency Reserve      | \$40,000  |      |                     |           |           |
| Department Total     |                          | \$583,290 |      |                     |           |           |



CITY OF TRACY  
 AUTHORIZED EQUIPMENT LIST  
 for FY15-16

Date: 01-Jul-15

| Acct #   | Equipment Items            | \$ Amount   | Estimated Equipment Allocations |                     |           |           |
|--|----------------------------|-------------|---------------------------------|---------------------|-----------|-----------|
|  |                            |             | General                         | Vehicles            |           |           |
| <u>Department 54000 - Utilities</u>                      |                            |             |                                 |                     |           |           |
| 511-59340 -674-E40                                       | R x                        | \$0         | Water                           | Begin Balances      | \$327,160 | \$506,490 |
| 511-59340  | R x                        | \$0         | Fund                            | FY14-15 Allocations | \$37,630  | \$73,000  |
| 511-59340  | R x                        | \$0         |                                 | Lease Obligations   | \$0       | \$0       |
| 511-59340  | N x                        | \$0         |                                 | Available Balances  | \$364,790 | \$579,490 |
| 511-59340  | N x                        | \$0         |                                 | Proposed Outlays    | \$30,000  | \$0       |
| 511-59340 -679-ER041                                     | R Emergency Reserve - F511 | \$30,000    |                                 | Remaining Balances  | \$334,790 | \$579,490 |
| 511-59340  | N X                        | \$0         |                                 |                     |           |           |
| 521-59340 -677-E4557                                     | R x Laboratory Eqpt        | \$29,900    |                                 |                     |           |           |
| 521-59340 -678-E4558                                     | R WWTP Eqpt                | \$163,000   | Wastewater                      | Begin Balances      | \$575,260 | \$441,090 |
| 521-59340  | R x                        | \$0         | Fund                            | FY14-15 Allocations | \$49,560  | \$71,900  |
| 521-59340  | R x                        | \$0         |                                 | Lease Obligations   | \$0       | \$0       |
| 521-59340 -687-E4559                                     | N Dump Truck               | \$25,000    |                                 | Available Balances  | \$624,820 | \$512,990 |
| 521-59340  | N x                        | \$0         |                                 | Proposed Outlays    | \$90,500  | \$25,000  |
| 521-59340  | N x                        | \$0         |                                 | Remaining Balances  | \$534,320 | \$487,990 |
| 521-59340  |                            | \$0         |                                 |                     |           |           |
| 521-59340 -679-ER045                                     | R Emergency Reserve - F521 | \$30,000    |                                 |                     |           |           |
|  | Department Total           | \$277,900   |                                 |                     |           |           |
| <u>Department 55000 - Recreation &amp; Cultural Arts</u> |                            |             |                                 |                     |           |           |
| 605-59350 -6xx-5xxx                                      | R x                        | \$0         | Recreation                      | Begin Balances      | \$459,922 | \$15,610  |
| 605-59350  | R x                        | \$0         |                                 | FY14-15 Allocations | \$26,540  | \$4,800   |
| 605-59350  | R x                        | \$0         |                                 | Lease Obligations   | \$0       | \$0       |
| 605-59350  | R x                        | \$0         |                                 | CIP Purchase        | \$0       | \$0       |
| 605-59350  | R x                        | \$0         |                                 | Available Balances  | \$486,462 | \$20,410  |
| 605-59350  | R x                        | \$0         |                                 | Proposed Outlays    | \$30,000  | \$0       |
| 605-59350  | R x                        | \$0         |                                 | Remaining Balances  | \$456,462 | \$20,410  |
| 101-59350  | R x                        | \$0         |                                 |                     |           |           |
| 101-59350  | N X                        | \$0         |                                 |                     |           |           |
| 101-59350  | N X                        | \$0         |                                 |                     |           |           |
| 101-59350  | N X                        | \$0         | LMD                             | Begin Balances      | \$52,190  | \$229,080 |
| 101-59350  | N X                        | \$0         |                                 | FY14-15 Allocations | \$7,090   | \$24,100  |
| 101-59350  | N X                        | \$0         |                                 | Lease Obligations   | \$0       | \$0       |
| 561-59350  | N X                        | \$0         |                                 | Available Balances  | \$59,280  | \$253,180 |
| 561-59350  | N X                        | \$0         |                                 | Proposed Outlays    | \$0       | \$0       |
| 571-59350  | N X                        | \$0         |                                 | Remaining Balances  | \$59,280  | \$253,180 |
| 571-59350  | N X                        | \$0         |                                 |                     |           |           |
| 571-59350  | N X                        | \$0         | Airport                         | Begin Balances      | \$50,960  | \$49,380  |
|  | N X                        | \$0         | Fund                            | FY14-15 Allocations | \$4,700   | \$9,700   |
|  | N X                        | \$0         |                                 | Lease Obligations   | \$0       | \$0       |
| 605-5935 -679-ER51                                       | R Emergency Reserve        | \$30,000    |                                 | Available Balances  | \$55,660  | \$59,080  |
|  | Department Total           | \$30,000    |                                 |                     |           |           |
|  | City Total                 | \$2,395,800 |                                 |                     |           |           |
|  | new                        | \$388,580   |                                 |                     |           |           |
|  | replacement                | \$2,007,220 |                                 |                     |           |           |



Department: 69000 - Non-Departmental Group  
 Program: 69402 - Claims & Litigation

**PERFORMANCE OBJECTIVES**

Outlays for claims and litigation not covered through the Risk Management Authority. Costs are paid from the Self-Insurance Fund.

1. To minimize the number of claims through risk management analysis and improvements.
2. To address all recommendations from the RMA with regard to hazard reduction or improved programs and practices.

**COMMENTARY**

Program costs can vary from year-to-year due to claims and litigation on different cases. Program costs for recent years are much less than they were previously.  
 In FY13-14 program costs showed a decrease; claims costs were less than budget. In FY14-15, program costs will again show a decrease with a decrease litigation costs.  
 For FY15-16, the program's budget provides a contingency to meet any new claims, emergency equipment replacement, and/or any new and on-going litigation.

| <b>PROGRAM EXPENDITURES</b> | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Adopted | % Budget<br>Change |
|-----------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|---------------|-----------------------|--------------------|
| Personnel Expenses          | 0                    | 7,126                |               | 0                     | 0                       |                |               | 0                     |                    |
| Contracted Services         | 313,124              | 348,661              | 11.3%         | 356,000               | 250,000                 | 70.2%          | -28.3%        | 360,000               | 1.1%               |
| Commodities                 | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Internal Charges            | 0                    | 0                    |               | 0                     | 0                       |                |               | 0                     |                    |
| Other Payments              | 184,544              | 67,966               |               | 350,000               | 65,000                  |                |               | 350,000               | 0.0%               |
| <b>Program Total</b>        | <b>497,668</b>       | <b>423,753</b>       | <b>-14.9%</b> | <b>706,000</b>        | <b>315,000</b>          | <b>44.6%</b>   | <b>-25.7%</b> | <b>710,000</b>        | <b>0.6%</b>        |
| Amended Budget              | 506,000              | 806,000              |               |                       | 706,000                 |                |               |                       |                    |
| % of Amended Spent          | 98.4%                | 52.6%                |               |                       | 44.6%                   |                |               |                       |                    |

| <b>FUNDING SOURCES</b>         | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | %<br>Change   | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | % of<br>Budget | %<br>Change   | FY15-16<br>\$ Adopted | % Budget<br>Change |
|--------------------------------|----------------------|----------------------|---------------|-----------------------|-------------------------|----------------|---------------|-----------------------|--------------------|
| Self Insurance Fund 627        | 453,676              | 423,753              | -6.6%         | 506,000               | 315,000                 | 62.3%          | -25.7%        | 510,000               | 0.8%               |
| Equipment Acquisition Fund 605 | 43,992               | 0                    |               | 100,000               | 0                       | 0.0%           |               | 100,000               | 0.0%               |
| Vehicle Acquisition Fund 606   | 0                    | 0                    |               | 100,000               | 0                       |                |               | 100,000               |                    |
| <b>Program Total</b>           | <b>497,668</b>       | <b>423,753</b>       | <b>-14.9%</b> | <b>706,000</b>        | <b>315,000</b>          | <b>44.6%</b>   | <b>-25.7%</b> | <b>710,000</b>        | <b>0.6%</b>        |

| <b>PROGRAM STAFFING</b>                       | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Adopted |
|---|----------------------|----------------------|-----------------------|-------------------------|-----------------------|
| <i>Regular Positions</i>                      |                      |                      |                       |                         |                       |
| <i>Other Staffing (Full-Time Equivalents)</i> |                      |                      |                       |                         |                       |
| <b>Total - Full-Time Equivalents</b>          | <b>0.00</b>          | <b>0.00</b>          | <b>0.00</b>           | <b>0.00</b>             | <b>0.00</b>           |

Department: **69000 - Non-Departmental Group**  
 Program: **69501 - Special Reserves**

**PERFORMANCE OBJECTIVES**

This program accounts for any reserves set aside for any special purposes or needs. Also, it is used to show any accounting adjustments effecting prior year expenditure figures.

1. To provide a sufficient reserve to cover any increase in the City's liability for uncompensated absences.

2. To provide funding for special purposes as follows:

- \$450,000 for compensated absences, including \$325,000 in the General Fund.
- \$40,000 reserve for fuel purchases.
- \$100,000 reserve for General Fund.
- \$400,000 reserve for LIRA support.
  
- Medical Leave Bank outlays were moved to the debt service component of the budget.

**COMMENTARY**

This program provides a reserve for any unexpected adjustments or other special outlays. It provides a budget for any increases in uncompensated absences, and contributions to the medical leave bank (MLB). Also, general reserve appropriations for unexpected events are budgeted here.

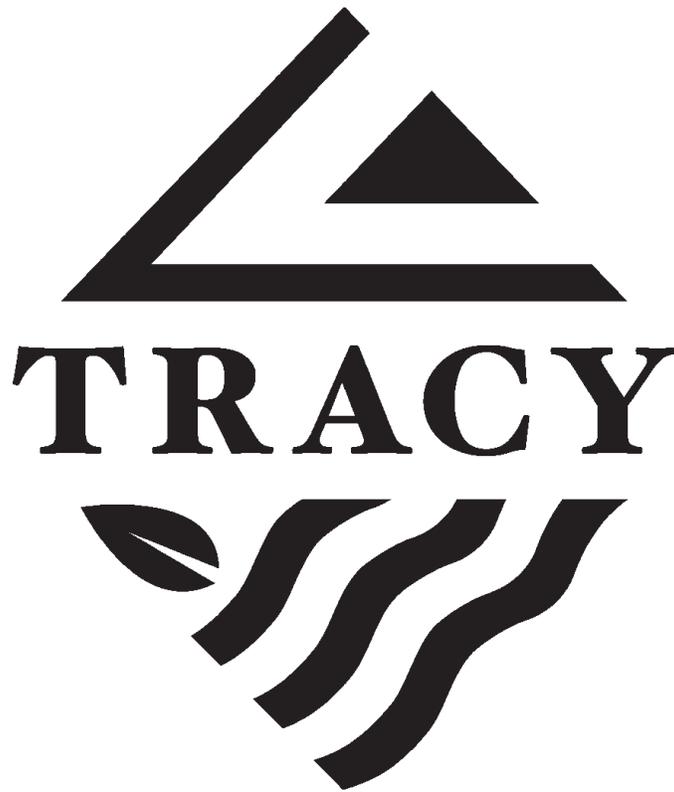
For FY15-16, \$450,000 has been reserved for compensation absences and \$400,000 for LIRA support. Also, general reserve appropriations of \$140,000 are included in the budget.

| <b>PROGRAM EXPENDITURES</b> | FY12-13   | FY13-14   | %      | FY14-15    | FY14-15      | % of   | %      | FY15-16    | % Budget |
|-----------------------------|-----------|-----------|--------|------------|--------------|--------|--------|------------|----------|
|                             | \$ Actual | \$ Actual | Change | \$ Adopted | \$ Estimated | Budget | Change | \$ Adopted | Change   |
| Personnel Expenses          | 261,901   | 718,177   | 174.2% | 245,000    | 455,000      | 185.7% | -36.6% | 450,000    | -1.1%    |
| Contracted Services         | 0         | 76,800    |        | 0          | 0            |        |        | 0          |          |
| Commodities                 | 0         | 0         |        | 40,000     | 10,000       | 25.0%  |        | 40,000     | 300.0%   |
| Other Payments              | 0         | 0         |        | 460,000    | 392,000      | 85.2%  |        | 500,000    | 27.6%    |
| Prior Year Adjustments      | 0         | 0         |        | 0          | 0            |        |        | 0          |          |
| Program Total               | 261,901   | 794,977   | 203.5% | 745,000    | 857,000      | 115.0% | 7.8%   | 990,000    | 15.5%    |
| Amended Budget              | 615,020   | 957,020   |        |            | 810,000      |        |        |            |          |
| % of Amended Spent          | 42.6%     | 83.1%     |        |            | 105.8%       |        |        |            |          |

| <b><u>FUNDING SOURCES</u></b> |          |         |          |         |         |        |        |         |        |
|-------------------------------|----------|---------|----------|---------|---------|--------|--------|---------|--------|
| General Fund 101              | 190,318  | 372,056 | 95.5%    | 620,000 | 752,000 | 121.3% | 102.1% | 830,000 | 10.4%  |
| SC Fire Authority Fund 211    | 25,557   | 45,833  | 79.3%    | 25,000  | 40,000  | 160.0% | -12.7% | 50,000  | 25.0%  |
| Water Fund 511                | 74,612   | 62,143  | -16.7%   | 50,000  | 50,000  | 100.0% | -19.5% | 55,000  | 10.0%  |
| Wastewater Fund 521           | (20,469) | 73,788  | -460.5%  | 50,000  | 15,000  | 30.0%  | -79.7% | 55,000  | 266.7% |
| Solid Waste Fund 531          | (1,762)  | (776)   | -56.0%   | 0       | 0       |        |        | 0       |        |
| Central Garage Fund 601       | (2,052)  | 2,408   | -217.3%  | 0       | 0       |        |        | 0       |        |
| Other Funds                   | (4,303)  | 239,525 | -5666.5% | 0       | 0       |        |        | 0       |        |
| Program Total                 | 261,901  | 794,977 | 203.5%   | 745,000 | 857,000 | 115.0% | 7.8%   | 990,000 | 15.5%  |

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# *Capital Improvement Program*



Think Inside the Triangle™

## CAPITAL IMPROVEMENT PROGRAM & CAPITAL BUDGET

The Capital Improvement Program is the City's comprehensive multi-year plan for the development of the City's capital facilities and improvements. The plan identifies all capital maintenance, facilities, and improvements needed within the next few years. The projects to accomplish or develop usually involve high costs, take a year or more to complete, and result in the creation of a capital asset. The project costs identified for the first year of the Capital Improvement Program plan make up the adopted Capital Budget for the new fiscal year.

Senior Center  
CIP 78136



### CIP Format

The format for the Capital Improvement Program (CIP) emphasizes the functional grouping of CIP projects. All CIP projects of a similar type are listed together regardless of their funding sources. The format also shows the projects over their respective project lives. Thus, the long-term history and projection of expenditures can be viewed for each project and considered in relation to similar projects.

### Functional Groups

Under the format, CIP projects are grouped into 9 functional groups and one special category, as follows:

- Group 71: General Government & Public Safety Facilities
- Group 72: Traffic Safety
- Group 73: Streets & Highways
- Group 74: Wastewater Improvements
- Group 75: Water Improvements
- Group 76: Drainage Improvements
- Group 77: Airport and Transit Improvements
- Group 78: Parks & Recreation Improvements
- Group 79: Miscellaneous Projects
- Group 79R: Interfund CIP Reimbursements

### CIP Project Numbering

CIP projects are numbered in the following manner "7xyy". The "7x" component designates the respective project group, for example, 71000 or 71PP. A "yyy" designates an active project or one proposed to become active in the new capital budget, while a "PP" designates a project proposal, which would not become active until future years, after the new capital budget. The lower the "yyy," usually the older the projects.



Plaza 6<sup>th</sup> and Central  
CIP 78117

### Summary by Functional Groups

The first schedule on pages F5 through F7 summarizes the five-year CIP plan for the City. This plan covers the period of fiscal years 2014-2015 through 2019-2020. For each functional group, the number of current projects, new projects, and future projects for the group are listed along with total costs including both past expenditures and projected appropriations.

**Current Projects** - A current project would be any CIP project active for a substantial portion of FY14-15 for which funds have been previously appropriated. Most of these projects will not require any additional appropriations for completion. However, some projects require additional appropriations in FY15-16 and/or future years for completion.

**New Projects** - A new project would be any CIP project approved and expected to become active in FY15-16. The approved Budget provided the necessary appropriations for them to become active.

**Future Projects** - A future project is a CIP proposed to become active in future years. These, if authorized, will require appropriations to become active and may require appropriations in more than one year.

**Capital Budget** - The new appropriations approved for FY15-16 are highlighted in the column labeled "Approved Capital Budget".

## Summary by Funding Sources

The second schedule shows the totals of funding appropriated and required for the CIP plan. Totals are provided on pages F9 through F10, which summarize the total requirements necessary for each funding source for each fiscal year of the CIP plan.

The first page of each group section details the funding sources for the projects in each functional group in the CIP plan. For each group, the funding previously appropriated and the new appropriations required are detailed by funding sources.

## Listings of CIP Projects

Starting on page F11 are the project listings by functional group. For each functional group there are three project listings, one for current projects, one for new projects, and one for future projects. The project numbers are keyed to the functional category to which the project belongs. For each project, the following is shown:

1. Project Number and Title
2. Project Total - This figure represents the total cost of the project including past, current, and future requirements.
3. Funding Sources - The funding sources for the project are listed and totaled with detail columns showing new appropriations required over the next five fiscal years. Current projects, prior expenditures and current appropriations are also shown along with the new appropriations required for future years. The Approved Capital Budget for FY15-16 is highlighted

Project Completion - The actual or anticipated completion date is provided along with the current status of the project.



Animal Shelter  
CIP 71064

## Interfund CIP Reimbursements

On the final pages F81 and F82 of the CIP section of this document, you will find the various Interfund CIP reimbursements. The figures here net to \$0 for the CIP as a whole, although they do represent expenditures and reimbursements to the funds involved. These reimbursements usually represent the payment by new development areas to older areas or the City's Enterprise Funds for the excess capacity and/or benefits derived from previous CIP projects.

## Approved Capital Budget

The Council's budget review included consideration of the proposed capital budget. Any modifications to the proposed capital budget resulting from City Council deliberations have been incorporated into the final adopted budget, which then provides authorization for City staff to start work upon the approved capital projects.



Tracy Animal Shelter  
CIP 71064

## Capital Budget Carryovers

Outstanding encumbrances and unexpended appropriations for uncompleted capital projects from the prior fiscal year capital budget are eligible to be carried over at the end of the fiscal year. The capital budget figures for FY15-16 reflect only new appropriations and do not include any such carryovers. During the first quarter of the new fiscal year, a determination will be made as to which encumbrances and unexpended appropriations must be carried forward and added to the approved capital budget.

For FY14-15, the amended capital budget is \$156,574,765. However, through March, only \$15,820,362 or 10.1% was expended and \$14,109,109 or 9.0% was encumbered. It is estimated about \$44,000,000 or 28.1% will be spent by the end of the fiscal year.

Budget authority carried over for the capital budget into prior fiscal years were as follows:

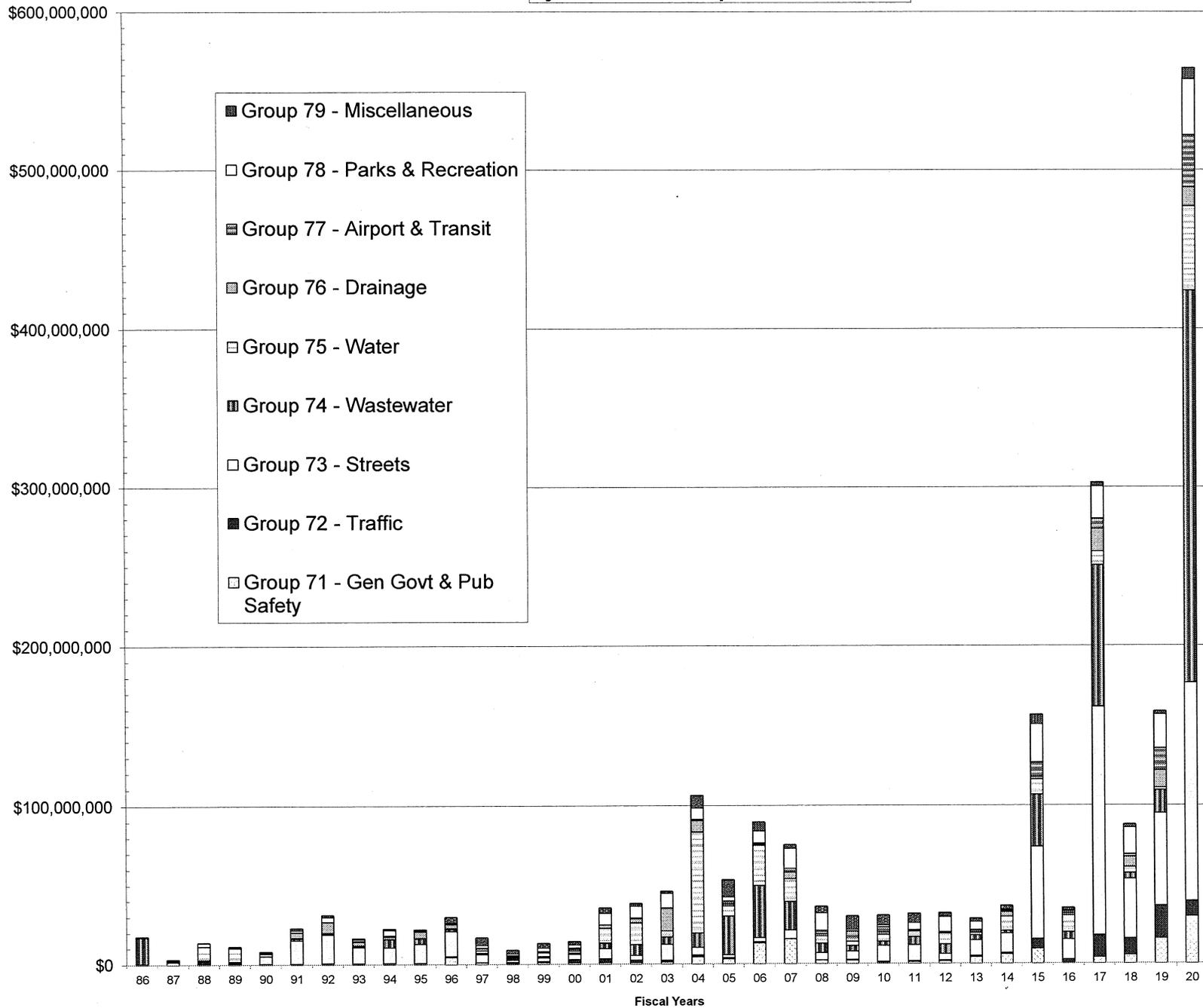
| <u>Fiscal Year</u> | <u>Carried Forward</u> |
|--------------------|------------------------|
| FY 04-05           | \$129,737,751          |
| FY 05-06           | \$144,387,809          |
| FY 06-07           | \$95,084,627           |
| FY 07-08           | \$66,966,323           |
| FY 08-09           | \$55,996,515           |
| FY 09-10           | \$62,962,490           |
| FY 10-11           | \$69,935,722           |
| FY 11-12           | \$79,575,858           |
| FY 12-13           | \$73,030,800           |
| FY 13-14           | \$64,583,995           |
| FY 14-15           | \$69,712,811           |

It is anticipated that carryovers into FY15-16 will amount to about \$110,232,265. These amounts are due to the backlog in the implementation of the Capital Improvement Program. With the carryovers and new appropriations, the **amended** capital budget for FY15-16 will total about \$145,421,405.



*Lincoln Park Gazebo Renovations and Park Improvement  
CIP 78123 & CIP 78126*

**Tracy Capital Improvement Program  
by Functional Groups - FY86 thru FY20**



## Summary by Functional Groups

## FY15-16 CIP Adopted

| Group # & Functional Groups   | Group<br>\$ Totals | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |             |            |             |            |            |             |  |
|---|--------------------|-----------------------------|---------------------------|------------------------------------|-------------|------------|-------------|------------|------------|-------------|--|
|   |                    |                             |                           | Total                              | FY15-16     | FY16-17    | FY17-18     | FY18-19    | FY19-20    |             |  |
| <b>Group 71 - General Government &amp; Public Safety Facilities</b> |                    |                             |                           | <b>Approved<br/>Capital Budget</b> |             |            |             |            |            |             |  |
| 16  | Current Projects   | 19,772,041                  | 10,063,791                | 9,374,734                          | 333,516     | 305,000    | 28,516      | 0          | 0          | 0           | Projects Requiring<br>5 New Funding<br>4 in FY15-16  |
| 0   | New Projects       | 0                           | 0                         | 0                                  | 0           | 0          | 0           | 0          | 0          | 0           | 0 Projects Becoming<br>Active in FY15-16             |
| 19  | Future Projects    | 54,526,623                  | 23,773                    | 0                                  | 54,502,850  | 0          | 3,678,630   | 5,260,400  | 15,802,920 | 29,760,900  |  |
| 35  | Totals             | 74,298,664                  | 10,087,564                | 9,374,734                          | 54,836,366  | 305,000    | 3,707,146   | 5,260,400  | 15,802,920 | 29,760,900  |  |
| <b>Group 72 - Traffic Safety</b>                                    |                    |                             |                           |                                    |             |            |             |            |            |             |  |
| 12  | Current Projects   | 8,351,343                   | 1,337,492                 | 6,046,651                          | 967,200     | 427,200    | 0           | 0          | 540,000    | 0           | Projects Requiring<br>2 New Funding<br>1 in FY15-16  |
| 2   | New Projects       | 1,640,000                   | 0                         | 0                                  | 1,640,000   | 1,640,000  | 0           | 0          | 0          | 0           | 2 Projects Becoming<br>Active in FY15-16             |
| 47  | Future Projects    | 54,860,262                  | 705,842                   | 0                                  | 54,154,420  | 0          | 14,050,150  | 10,548,000 | 20,029,800 | 9,526,470   |  |
| 61  | Totals             | 64,851,605                  | 2,043,334                 | 6,046,651                          | 56,761,620  | 2,067,200  | 14,050,150  | 10,548,000 | 20,569,800 | 9,526,470   |  |
| <b>Group 73 - Streets &amp; Highways</b>                            |                    |                             |                           |                                    |             |            |             |            |            |             |  |
| 20  | Current Projects   | 185,142,538                 | 33,783,175                | 58,582,493                         | 92,776,870  | 1,608,600  | 13,543,770  | 9,688,300  | 18,563,000 | 49,373,200  | Projects Requiring<br>11 New Funding<br>4 in FY15-16 |
| 10  | New Projects       | 80,380,300                  | 0                         | 0                                  | 80,380,300  | 11,101,000 | 69,279,300  | 0          | 0          | 0           | 10 Projects Becoming<br>Active in FY15-16            |
| 50  | Future Projects    | 221,229,112                 | 4,162,382                 | 0                                  | 217,066,730 | 0          | 60,994,930  | 28,161,100 | 40,098,400 | 87,812,300  |  |
| 80  | Totals             | 486,751,950                 | 37,945,557                | 58,582,493                         | 390,223,900 | 12,709,600 | 143,818,000 | 37,849,400 | 58,661,400 | 137,185,500 |  |
| <b>Group 74 - Wastewater Improvements</b>                           |                    |                             |                           |                                    |             |            |             |            |            |             |  |
| 17  | Current Projects   | 114,695,020                 | 4,983,987                 | 32,640,333                         | 77,070,700  | 2,905,000  | 73,165,700  | 0          | 1,000,000  | 0           | Projects Requiring<br>7 New Funding<br>3 in FY15-16  |
| 6   | New Projects       | 14,948,440                  | 0                         | 0                                  | 14,948,440  | 1,907,940  | 13,040,500  | 0          | 0          | 0           | 6 Projects Becoming<br>Active in FY15-16             |
| 15  | Future Projects    | 267,341,000                 | 59,920                    | 0                                  | 267,281,080 | 0          | 2,992,580   | 3,587,000  | 13,369,900 | 247,331,600 |  |
| 38  | Totals             | 396,984,460                 | 5,043,907                 | 32,640,333                         | 359,300,220 | 4,812,940  | 89,198,780  | 3,587,000  | 14,369,900 | 247,331,600 |  |

Summary by Functional Groups

FY15-16 CIP Adopted

| Group # & Functional Groups                           | Group \$ Totals  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |            |            |            |            |            |            |   |
|---|------------------|--------------------------|------------------------|--------------------------------|------------|------------|------------|------------|------------|------------|---|
|   |                  |                          |                        | Total                          | FY15-16    | FY16-17    | FY17-18    | FY18-19    | FY19-20    |            |   |
| <b>Group 75 - Water Improvements</b>                  |                  |                          |                        | <b>Approved Capital Budget</b> |            |            |            |            |            |            |   |
| 17  | Current Projects | 38,689,559               | 21,436,515             | 9,431,114                      | 7,821,930  | 1,001,400  | 5,995,530  | 275,000    | 275,000    | 275,000    | 9 Projects Requiring New Funding in FY15-16<br>6<br>5 Projects Becoming Active in FY15-16 |
| 5   | New Projects     | 9,699,500                | 0                      | 0                              | 9,699,500  | 9,699,500  | 0          | 0          | 0          | 0          |   |
| 13  | Future Projects  | 60,307,500               | 0                      | 0                              | 60,307,500 | 0          | 2,605,100  | 3,670,000  | 1,150,000  | 52,882,400 |   |
| 35  | Totals           | 108,696,559              | 21,436,515             | 9,431,114                      | 77,828,930 | 10,700,900 | 8,600,630  | 3,945,000  | 1,425,000  | 53,157,400 |   |
| <b>Group 76 - Drainage Improvements</b>               |                  |                          |                        |                                |            |            |            |            |            |            |   |
| 7   | Current Projects | 3,108,461                | 1,597,540              | 1,510,921                      | 0          | 0          | 0          | 0          | 0          | 0          | 0 Projects Requiring New Funding in FY15-16<br>0<br>1 Projects Becoming Active in FY15-16 |
| 1   | New Projects     | 2,357,000                | 0                      | 0                              | 2,357,000  | 1,171,000  | 0          | 1,186,000  | 0          | 0          |   |
| 20  | Future Projects  | 47,767,432               | 5,759,932              | 0                              | 42,007,500 | 0          | 14,237,900 | 5,125,600  | 10,691,800 | 11,952,200 |   |
| 28  | Totals           | 53,232,893               | 7,357,472              | 1,510,921                      | 44,364,500 | 1,171,000  | 14,237,900 | 6,311,600  | 10,691,800 | 11,952,200 |   |
| <b>Group 77 - Airport &amp; Transit Improvements</b>  |                  |                          |                        |                                |            |            |            |            |            |            |   |
| 4   | Current Projects | 9,833,260                | 648,097                | 9,185,163                      | 0          | 0          | 0          | 0          | 0          | 0          | 0 Projects Requiring New Funding in FY15-16<br>0<br>4 Projects Becoming Active in FY15-16 |
| 4   | New Projects     | 1,535,000                | 0                      | 0                              | 1,535,000  | 1,535,000  | 0          | 0          | 0          | 0          |   |
| 27  | Future Projects  | 55,095,651               | 129,801                | 0                              | 54,965,850 | 0          | 6,457,000  | 1,702,800  | 13,967,000 | 32,839,050 |   |
| 35  | Totals           | 66,463,911               | 777,898                | 9,185,163                      | 56,500,850 | 1,535,000  | 6,457,000  | 1,702,800  | 13,967,000 | 32,839,050 |   |
| <b>Group 78 - Parks &amp; Recreation Improvements</b> |                  |                          |                        |                                |            |            |            |            |            |            |   |
| 19  | Current Projects | 36,989,430               | 12,132,544             | 23,881,886                     | 975,000    | 75,000     | 900,000    | 0          | 0          | 0          | 1 Projects Requiring New Funding in FY15-16<br>1<br>0 Projects Becoming Active in FY15-16 |
| 0   | New Projects     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0          | 0          | 0          |   |
| 34  | Future Projects  | 93,131,622               | 162,352                | 0                              | 92,969,270 | 0          | 19,477,000 | 16,879,500 | 21,255,270 | 35,357,500 |   |
| 53  | Totals           | 130,121,052              | 12,294,896             | 23,881,886                     | 93,944,270 | 75,000     | 20,377,000 | 16,879,500 | 21,255,270 | 35,357,500 |   |

Summary by Functional Groups

FY15-16 CIP Adopted

| Group # & Functional Groups              | Group<br>\$ Totals | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |               |            |             |            |             |             |  |
|--|--------------------|-----------------------------|---------------------------|------------------------------------|---------------|------------|-------------|------------|-------------|-------------|--|
|  |                    |                             |                           | Total                              | FY15-16       | FY16-17    | FY17-18     | FY18-19    | FY19-20     |             |  |
| <b>Group 79 - Miscellaneous Projects</b> |                    |                             |                           | <b>Approved<br/>Capital Budget</b> |               |            |             |            |             |             |  |
| 27                                       | Current Projects   | 21,913,146                  | 10,827,826                | 5,921,470                          | 5,163,850     | 312,500    | 549,240     | 435,000    | 435,000     | 3,432,110   | Projects Requiring<br>8 New Funding<br>5 in FY15-16<br>4 Projects Becoming<br>Active in FY15-16    |
| 4  | New Projects       | 5,238,420                   | 0                         | 0                                  | 5,238,420     | 1,500,000  | 400,000     | 400,000    | 400,000     | 2,538,420   |  |
| 3  | Future Projects    | 5,140,000                   | 0                         | 0                                  | 5,140,000     | 0          | 1,510,000   | 1,210,000  | 1,210,000   | 1,210,000   |  |
| 34                                       | Totals             | 32,291,566                  | 10,827,826                | 5,921,470                          | 15,542,270    | 1,812,500  | 2,459,240   | 2,045,000  | 2,045,000   | 7,180,530   |  |
| <b>TOTALS - All Groups</b>               |                    |                             |                           | <b>Approved<br/>Capital Budget</b> |               |            |             |            |             |             |  |
| 139                                      | Current Projects   | 438,494,798                 | 96,810,967                | 156,574,765                        | 185,109,066   | 6,634,700  | 94,182,756  | 10,398,300 | 20,813,000  | 53,080,310  | Projects Requiring<br>43 New Funding<br>24 in FY15-16<br>32 Projects Becoming<br>Active in FY15-16 |
| 32                                       | New Projects       | 115,798,660                 | 0                         | 0                                  | 115,798,660   | 28,554,440 | 82,719,800  | 1,586,000  | 400,000     | 2,538,420   |  |
| 228                                      | Future Projects    | 859,399,202                 | 11,004,002                | 0                                  | 848,395,200   | 0          | 126,003,290 | 76,144,400 | 137,575,090 | 508,672,420 |  |
| 399                                      | Totals             | 1,413,692,660               | 107,814,969               | 156,574,765                        | 1,149,302,926 | 35,189,140 | 302,905,846 | 88,128,700 | 158,788,090 | 564,291,150 |  |

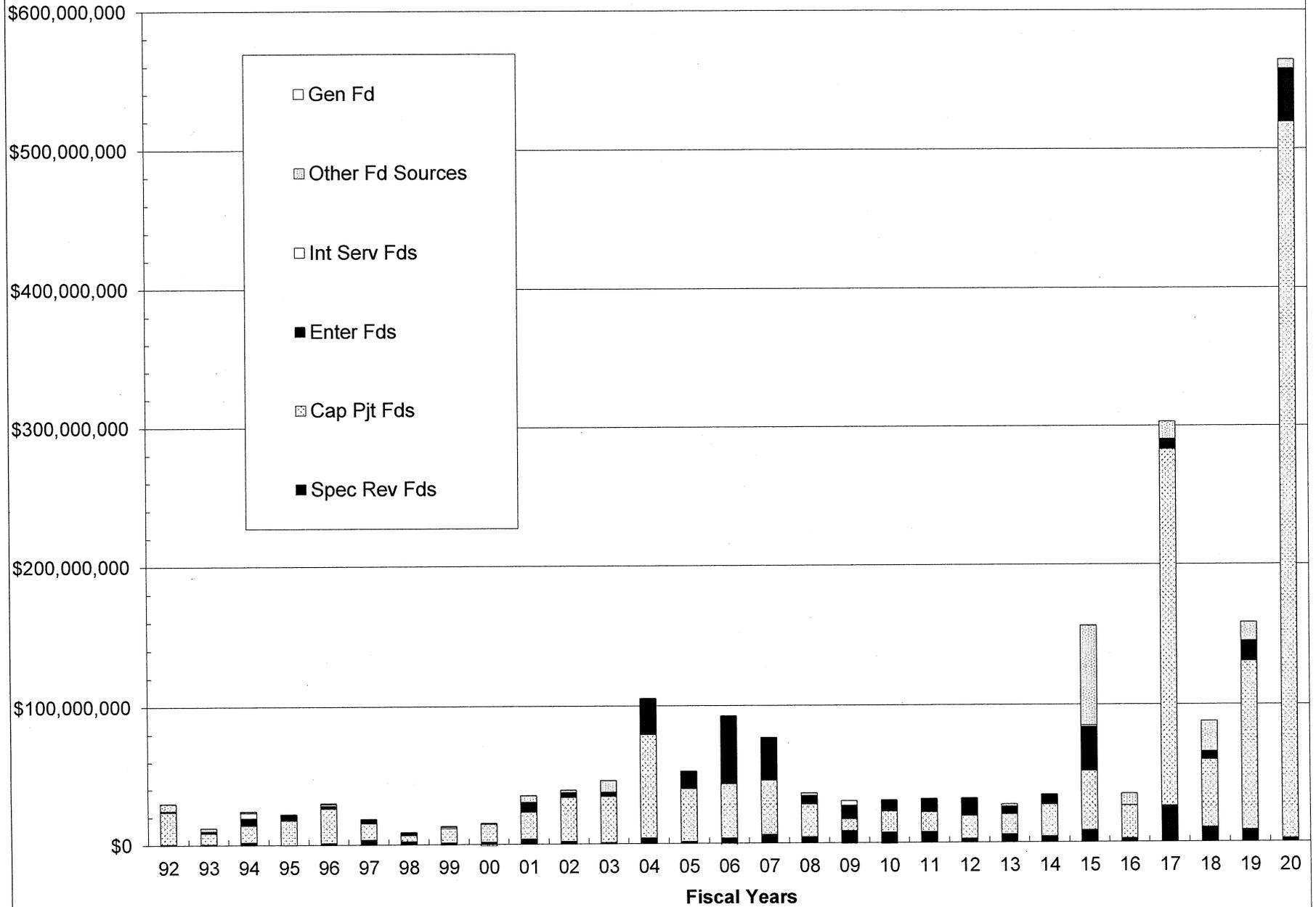
|                  |            |            |                       |  |
|------------------|------------|------------|-----------------------|--|
| CIP Expenditures |            |            |                       |  |
| in FY13-14       | 36,765,317 | 74,730,210 | New Appropriations    |  |
| in FY12-13       | 28,622,805 | 69,712,811 | Carryovers from FY14  |  |
| in FY11-12       | 32,182,933 | -100,535   | Recisions & Deferrals |  |
| in FY10-11       | 31,818,634 | 12,232,279 | Supplementals         |  |

**CIP Forecast Estimates**

|   |             |  |
|---|-------------|--|
| Estimated Expenditures in FY15            | 44,000,000  |  |
| Estimated Lapsed Appropriations from FY15 | 2,342,500   |  |
| Estimated Carryovers from FY15 into FY16  | 110,232,265 | 145,421,405  |
|   |             | <b>Proposed Capital Budget<br/>with Carryovers</b> |

Actual & Projected Expenditures

### Tracy Capital Improvement Program by Funding Sources FY92 to FY20



Summary by Funding Sources

FY15-16 CIP Adopted

|                       | Funds                      | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |            |             |            |             |             |
|-----------------------|----------------------------|-----------------------------|---------------------------|------------------------------------|------------|-------------|------------|-------------|-------------|
|                       |                            |                             |                           | Total                              | FY15-16    | FY16-17     | FY17-18    | FY18-19     | FY19-20     |
|                       |                            |                             |                           | <b>Approved<br/>Capital Budget</b> |            |             |            |             |             |
| General Fund          | F101-General               | 0                           | 200,000                   | 0                                  | 0          | 0           | 0          | 0           | 0           |
| Special Revenue Funds | F241-Transp Devel Tax      | 0                           | 1,410,000                 | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                       | F242-Transp Sales Tax      | 4,300,248                   | 3,319,449                 | 24,728,270                         | 1,830,000  | 14,363,870  | 4,445,400  | 1,450,000   | 2,639,000   |
|                       | F245-Gas Tax               | 2,009,968                   | 2,648,834                 | 24,273,600                         | 792,200    | 10,147,400  | 6,188,500  | 7,316,000   | -170,500    |
|                       | F268-Com Dev Block Gt      | 0                           | 347,495                   | 200,000                            | 0          | 50,000      | 50,000     | 50,000      | 50,000      |
|                       | F271-Landscaping District  | 45,567                      | 1,024,433                 | 1,766,000                          | 0          | 1,766,000   | 0          | 0           | 0           |
|                       | Sub-Total                  | 6,355,783                   | 8,750,211                 | 50,967,870                         | 2,622,200  | 26,327,270  | 10,683,900 | 8,816,000   | 2,518,500   |
| Capital Project Funds | F301-General Projects      | 14,370,895                  | 21,708,954                | 70,858,410                         | 692,500    | 20,436,500  | 7,839,900  | 11,205,200  | 30,684,310  |
|                       | F311-Infill Parks          | 0                           | 0                         | 5,713,400                          | 0          | 0           | 527,000    | 0           | 5,186,400   |
|                       | F312-Infill Storm Drainage | 0                           | 0                         | 6,657,100                          | 525,500    | 666,800     | 4,438,100  | 1,026,700   | 0           |
|                       | F313-Infill Arterials      | 290,916                     | -131,916                  | 23,984,000                         | 808,600    | 736,000     | 0          | 3,821,000   | 18,618,400  |
|                       | F314-Infill Bldgs & Eqpt   | 714,600                     | 0                         | 739,400                            | 0          | 10,400      | 120,000    | 0           | 609,000     |
|                       | F321-Plan"C" - Parks       | 1,648,000                   | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                       | F322-Plan"C" - Drainage    | 839,222                     | 54,000                    | 595,700                            | 72,300     | 172,000     | 181,200    | 0           | 170,200     |
|                       | F323-Plan"C" - Arterials   | 820,002                     | 2,337,798                 | 892,800                            | 0          | 0           | 892,800    | 0           | 0           |
|                       | F324-Plan"C" - Gen Bldgs   | 1,134,253                   | 1,645,520                 | 2,900,530                          | 0          | 1,111,330   | 860,200    | 0           | 929,000     |
|                       | F325-Plan"C" - Utilities   | 239,068                     | 1,377,576                 | -1,458,060                         | -859,950   | 176,090     | -774,200   | 0           | 0           |
|                       | F345-RSP Pgm Mgmt          | 2,227,353                   | 3,006,032                 | 591,870                            | 0          | -83,030     | -795,300   | 380,000     | 1,090,200   |
|                       | F351-NE Indus Area #1      | 19,361,362                  | 3,596,507                 | 6,276,700                          | 550,000    | 4,123,900   | 1,602,800  | 0           | 0           |
|                       | F352-South MacArthur Ar    | 1,223,313                   | 1,112,341                 | 4,855,540                          | 273,700    | 1,084,840   | 343,000    | 2,141,400   | 1,012,600   |
|                       | F353-I205 Area Spec Plar   | 6,715,222                   | 800,892                   | 15,634,000                         | 2,174,700  | 4,912,300   | 6,528,700  | 0           | 2,018,300   |
|                       | F354-Indus SP, South       | 2,329,851                   | 1,638,054                 | 27,115,670                         | 1,349,200  | 9,303,390   | 11,827,480 | 2,721,400   | 1,914,200   |
|                       | F355-Presidio Area         | 99,179                      | 193,317                   | 3,195,400                          | 168,200    | 671,200     | 577,600    | 860,700     | 917,700     |
|                       | F356-Tracy Gateway Area    | 2,257,087                   | 1,838,425                 | 65,075,930                         | 0          | 29,354,030  | 2,517,500  | 20,302,100  | 12,902,300  |
|                       | F357-NE Indus Area #2      | 4,458,777                   | 2,732,743                 | 35,802,770                         | 180,000    | 4,621,870   | 3,498,100  | 22,124,700  | 5,378,100   |
|                       | F358-Ellis Area            | 0                           | 0                         | 58,443,530                         | 4,270,680  | 5,271,500   | 1,511,720  | 23,037,450  | 24,352,180  |
|                       | F36x-TIMP                  | 0                           | 0                         | 638,586,810                        | 12,501,300 | 173,905,360 | 7,375,800  | 34,195,700  | 410,608,650 |
|                       | F387-RSP Reserves          | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                       | F391-UMP Facilities        | 4,771,029                   | 1,450,423                 | 2,688,060                          | 1,295,000  | 300,000     | 447,000    | 300,000     | 346,060     |
| (Continued)           | Sub-Total                  | 63,500,129                  | 43,360,666                | 969,149,560                        | 24,001,730 | 256,774,480 | 49,519,400 | 122,116,350 | 516,737,600 |

## Summary by Funding Sources (Continued)

FY15-16 CIP Adopted

| by Funding Sources     | Funds                     | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |            |             |            |             |             |
|------------------------|---------------------------|-----------------------------|---------------------------|------------------------------------|------------|-------------|------------|-------------|-------------|
|                        |                           |                             |                           | Total                              | FY15-16    | FY16-17     | FY17-18    | FY18-19     | FY19-20     |
|                        |                           |                             |                           | <b>Approved<br/>Capital Budget</b> |            |             |            |             |             |
| Enterprise Funds       | F513-Water                | 18,294,904                  | 2,771,254                 | 6,751,910                          | -2,527,350 | 262,960     | 3,945,000  | 3,386,300   | 1,685,000   |
|                        | Bond Issue                | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | State Loan or Grant       | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | F523-Wastewater           | -440,333                    | 6,484,539                 | 15,656,640                         | 1,138,060  | -154,120    | -363,300   | 1,219,700   | 13,816,300  |
|                        | Bond Issue                | 0                           | 14,000,000                | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | State Loan or Grant       | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | F541-Drainage Enterprise  | 219,447                     | 166,578                   | 1,231,000                          | 0          | 754,000     | 384,000    | 46,000      | 47,000      |
|                        | F563-Airport              | 62,496                      | 172,426                   | 3,770,400                          | 5,000      | 121,000     | 13,400     | 608,000     | 3,023,000   |
|                        | FAA Grant                 | 518,928                     | 7,613,705                 | 29,562,500                         | 100,000    | 2,655,000   | 187,200    | 7,588,900   | 19,031,400  |
|                        | State Loan or Grant       | 0                           | 320,682                   | 2,842,300                          | 0          | 2,131,000   | 2,200      | 190,100     | 519,000     |
|                        | F573-Transit              | 0                           | 60,000                    | 1,130,000                          | 290,000    | 260,000     | 300,000    | 260,000     | 20,000      |
|                        | Federal Grant             | 0                           | 240,000                   | 4,420,000                          | 1,140,000  | 1,040,000   | 1,200,000  | 1,040,000   | 0           |
|                        | State Loan or Grant       | 187,228                     | 55,712                    | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | Sub-Total                 | 18,842,670                  | 31,884,896                | 65,364,750                         | 145,710    | 7,069,840   | 5,668,500  | 14,339,000  | 38,141,700  |
| Internal Service Funds | F601-Central Garage       | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | F602-Central Services     | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | F605-Eqpt Acq             | 462,056                     | 1,031,010                 | 994,616                            | 50,000     | 314,616     | 210,000    | 210,000     | 210,000     |
|                        | Sub-Total                 | 462,056                     | 1,031,010                 | 994,616                            | 50,000     | 314,616     | 210,000    | 210,000     | 210,000     |
| Other Sources          | Developers Contribution   | 10,556,748                  | 23,761,487                | 28,742,390                         | 6,653,500  | 1,202,640   | 5,753,100  | 8,899,800   | 6,233,350   |
|                        | Tracy Rural Fire District | 731,718                     | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | Federal TEA Grants        | 7,353,300                   | 47,065,395                | 17,159,470                         | 966,000    | 1,454,470   | 8,960,300  | 5,778,700   | 0           |
|                        | Other Federal Grants      | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | State & Local Grants      | 12,565                      | 521,100                   | 10,261,470                         | 750,000    | 4,500,030   | 7,333,500  | -2,772,060  | 450,000     |
|                        | Future Developments       | 0                           | 0                         | 6,662,800                          | 0          | 5,262,500   | 0          | 1,400,300   | 0           |
|                        | F834-AD 84-1 Debt         | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | F835-CFD89-1 Debt         | 0                           | 0                         | 0                                  | 0          | 0           | 0          | 0           | 0           |
|                        | Sub-Total                 | 18,654,331                  | 71,347,982                | 62,826,130                         | 8,369,500  | 12,419,640  | 22,046,900 | 13,306,740  | 6,683,350   |
|                        | CIP Totals                | 107,814,969                 | 156,574,765               | 1,149,302,926                      | 35,189,140 | 302,905,846 | 88,128,700 | 158,788,090 | 564,291,150 |

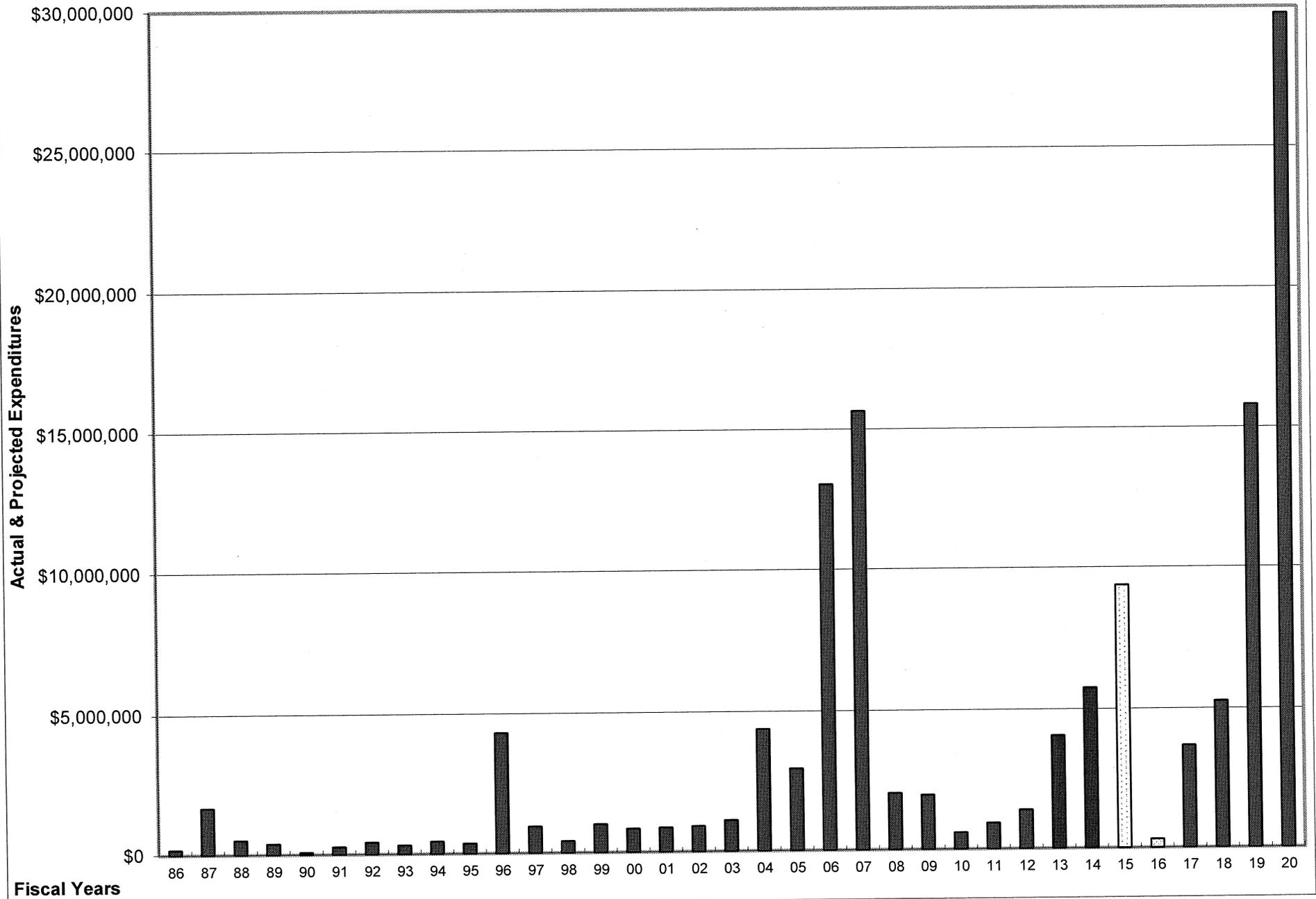
Group 71 - General Government & Public Safety Facilities

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |                                    |                  |                  |                   | Projects Requiring<br>5 New Funding<br>4 in FY15-16 |         |
|---------------------------|-------------------|-----------------------------|---------------------------|-----------------------------|------------------------------------|------------------|------------------|-------------------|---|---------|
|                           |                   |                             |                           | Total                       | FY15-16                            | FY16-17          | FY17-18          | FY18-19           |   | FY19-20 |
|                           |                   |                             |                           |                             | <b>Approved<br/>Capital Budget</b> |                  |                  |                   |   |         |
| 16 Current Projects       | 19,743,525        | 10,063,791                  | 9,374,734                 | 305,000                     | 305,000                            | 0                | 0                | 0                 | 0   |         |
| 0 New Projects            | 0                 | 0                           | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| 19 Future Projects        | 54,526,623        | 23,773                      | 0                         | 54,502,850                  | 0                                  | 3,678,630        | 5,260,400        | 15,802,920        | 29,760,900  |         |
| <b>35 Totals</b>          | <b>74,270,148</b> | <b>10,087,564</b>           | <b>9,374,734</b>          | <b>54,807,850</b>           | <b>305,000</b>                     | <b>3,678,630</b> | <b>5,260,400</b> | <b>15,802,920</b> | <b>29,760,900</b>                                   |         |
| <b>by Funding Sources</b> |                   |                             |                           |                             |                                    |                  |                  |                   |   |         |
| F101-General              | 0                 | 0                           | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| F245-Gas Tax              | 42,527            | 42,527                      | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| F268-Com Dev Block G      | 390,735           | 0                           | 190,735                   | 200,000                     | 0                                  | 50,000           | 50,000           | 50,000            | 50,000  |         |
| F301-General Projects     | 25,612,302        | 5,311,856                   | 8,581,686                 | 11,718,760                  | 305,000                            | 1,060,500        | 2,019,400        | 1,508,200         | 6,825,660   |         |
| F314-Infill Bldgs & Eqpt  | 1,454,000         | 714,600                     | 0                         | 739,400                     | 0                                  | 10,400           | 120,000          | 0                 | 609,000   |         |
| F324-Gen Fac - Plan "C    | 1,664,103         | 23,773                      | 0                         | 1,640,330                   | 0                                  | 711,330          | 0                | 0                 | 929,000   |         |
| F345-RSP Area             | 2,433,200         | 828,000                     | 135,000                   | 1,470,200                   | 0                                  | 0                | 0                | 380,000           | 1,090,200   |         |
| F351-NE Indus Area #1     | 322,000           | 322,000                     | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| F352-So MacArthur Are     | 309,300           | 0                           | 0                         | 309,300                     | 0                                  | 93,300           | 0                | 0                 | 216,000   |         |
| F353-I205 Area Spec Pl    | 1,736,137         | 1,632,437                   | 103,700                   | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| F354-ISP South Area       | 1,314,500         | 0                           | 0                         | 1,314,500                   | 0                                  | 151,200          | 0                | 0                 | 1,163,300   |         |
| F355-Presidio Area        | 5,800             | 0                           | 0                         | 5,800                       | 0                                  | 5,800            | 0                | 0                 | 0   |         |
| F356-Tracy Gateway Ar     | 568,500           | 0                           | 0                         | 568,500                     | 0                                  | 0                | 70,000           | 498,500           | 0   |         |
| F357-NE Indus Area #2     | 271,200           | 271,200                     | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
| F358-Ellis Prgm Area      | 4,219,660         | 0                           | 0                         | 4,219,660                   | 0                                  | 0                | 48,000           | 1,705,220         | 2,466,440   |         |
| F367-TIMP Public Safet    | 30,584,000        | 0                           | 0                         | 30,584,000                  | 0                                  | 1,520,000        | 2,953,000        | 11,661,000        | 14,450,000  |         |
| F5x3-Water & Wastewa      | 1,961,300         | 0                           | 0                         | 1,961,300                   | 0                                  | 0                | 0                | 0                 | 1,961,300   |         |
| F605-Equipment Acquis     | 649,166           | 209,453                     | 363,613                   | 76,100                      | 0                                  | 76,100           | 0                | 0                 | 0   |         |
| Tracy Rural Fire District | 731,718           | 731,718                     | 0                         | 0                           | 0                                  | 0                | 0                | 0                 | 0   |         |
|                           | <b>74,270,148</b> | <b>10,087,564</b>           | <b>9,374,734</b>          | <b>54,807,850</b>           | <b>305,000</b>                     | <b>3,678,630</b> | <b>5,260,400</b> | <b>15,802,920</b> | <b>29,760,900</b>                                   |         |

|                  |               |           |           |                      |
|------------------|---------------|-----------|-----------|----------------------|
| CIP Expenditures | in FY13-14 >> | 5,753,994 | 482,200   | New Appropriations   |
|                  | in FY12-13 >> | 4,063,125 | 6,871,999 | Carryovers from FY14 |
|                  | in FY11-12 >> | 1,396,832 | 0         | Deferrals            |
|                  | in FY10-11 >> | 936,940   | 2,020,535 | Supplementals        |

Tracy Capital Improvement Program  
Gen Govt & Pub Safety Facilities



## Group 71 - General Government &amp; Public Safety Facilities

FY15-16 CIP Adopted

| Project #               | Project Title  | Project \$ Total | Funding Sources  | Prior Years Expenditures                   | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |                  |                  |                         |                  | Anticipated Completion & Comments |   |                                 |                                |
|-------------------------|--|------------------|--|--|------------------------|--------------------------------|------------------|------------------|-------------------------|------------------|-----------------------------------|---|---------------------------------|--------------------------------|
|                         |  |                  |  |  |                        | Total                          | FY15-16          | FY16-17          | FY17-18                 | FY18-19          |                                   | FY19-20   |                                 |                                |
| <b>CURRENT PROJECTS</b> |  |                  |  |  |                        | <b>Approved Capital Budget</b> |                  |                  |                         |                  |                                   |   |                                 |                                |
| 71033                   | Monitoring UG Tanks - 6 locations                            | 507,295          | F301-General Projects<br>F245-Gas Tax<br>F345-RSP Area   | 293,849<br>42,527<br>0                     | 35,919<br>0<br>135,000 | 0<br>0<br>0                    | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0             | 0<br>0<br>0      | 0<br>0<br>0                       | Jul 15 Priority B<br>Monitoring Underway<br>3 Sites Completed |                                 |                                |
| 71050                   | Public Safety Communications Eqpt                            | 2,350,000        | F301-General Projects<br>F367-TIMP Pub Safety  | 0<br>0                                     | 2,350,000<br>0         | -1,300,000<br>1,300,000        | 0<br>0           | 0<br>0           | -1,300,000<br>1,300,000 | 0<br>0           | 0<br>0                            | 0<br>0  | Apr 15<br>Contract Award Sep 14 |                                |
| 71061                   | New Fire Station - Relocate Station #96 - West Grant Line Rd | 3,278,737        | F353-I205 Area Spec P<br>F314-Infill Buildings<br>F345-RSP Area                                      | 1,632,437<br>714,600<br>828,000            | 103,700<br>0<br>0      | 0<br>0<br>0                    | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0             | 0<br>0<br>0      | 0<br>0<br>0                       | 0<br>0<br>0   | Apr 14<br>Work Completed        |                                |
| 71062                   | New Fire Station - Relocate #92 - Banta East Grant Line Rd   | 3,689,756        | F301-General Projects<br>F351-NE Indus Area #1<br>F357-NE Indus Area #2<br>Tracy Rural Fire District | 2,280,638<br>322,000<br>271,200<br>731,718 | 84,200<br>0<br>0<br>0  | 0<br>0<br>0<br>0               | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0        | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0                  | 0<br>0<br>0<br>0  | Apr 14<br>Work Completed        |                                |
| 71063                   | Police CAD/RMS Replacement                                   | 3,376,583        | F301-General Projects<br>F605-Eqpt Acquisition   | 1,317,526<br>149,324                       | 1,557,475<br>352,258   | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0                  | 0<br>0           | 0<br>0                            | 0<br>0  | 0<br>0                          | Aug 15<br>Work Underway        |
| 71064                   | New Animal Shelter Phase I                                   | 4,795,460        | F301-General Projects  | 875,214                                    | 3,920,246              | 0                              | 0                | 0                | 0                       | 0                | 0                                 | 0   | 0                               | Mar 15<br>Work Completed       |
| 71071                   | Fuel Dispenser Replacement - Boyd                            | 71,484           | F605-Equipment Acquis  | 60,129<br>0                                | 11,355<br>0            | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0                  | 0<br>0           | 0<br>0                            | 0<br>0  | 0<br>0                          | Feb 14<br>Work Completed       |
| 71072                   | Firearms Training Facility - Phase I Improvements            | 580,250          | F301-General Projects  | 497,763                                    | 82,487                 | 0                              | 0                | 0                | 0                       | 0                | 0                                 | 0   | 0                               | Dec 14<br>Work Completed       |
| 71075                   | Quarters Modifications - Fire Station #91                    | 79,300           | F301-General Projects  | 7,753                                      | 41,547                 | 30,000                         | 30,000           | 0                | 0                       | 0                | 0                                 | 0   | 0                               | Oct 15<br>Added funding needed |
| 71076                   | ADA Door Modifications at Support Services Bldg              | 154,000          | F301-General Projects<br>F268-Com Dev Block G  | 0<br>0                                     | 30,000<br>124,000      | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0                  | 0<br>0           | 0<br>0                            | 0<br>0  | 0<br>0                          | Dec 15<br>Design Underway      |
| (Continued)             |  |                  |  |  |                        |                                |                  |                  |                         |                  |                                   |   |                                 |                                |

Group 71 - General Government & Public Safety Facilities

FY15-16 CIP Adopted

| Project #                           | Project Title                                   | Project \$ Total | Funding Sources                               | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED |                                    |         |         |         | Anticipated Completion & Comments |   |
|-------------------------------------|---|------------------|---|--------------------------|------------------------|-----------------------------|------------------------------------|---------|---------|---------|-----------------------------------|---|
|                                     |   |                  |   |                          |                        | Total                       | FY15-16                            | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                                   |
| <u>CURRENT PROJECTS (Continued)</u> |   |                  |   |                          |                        |                             |                                    |         |         |         |                                   |   |
|                                     |   |                  |   |                          |                        |                             | <b>Approved<br/>Capital Budget</b> |         |         |         |                                   |   |
| 71077                               | Install Automatic Doors at City Hall            | 79,235           | F301-General Projects<br>F268-Com Dev Block G | 0<br>0                   | 12,500<br>66,735       | 0<br>0                      | 0<br>0                             | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Dec 15<br>Design Underway                 |
| 71078                               | New Radio Tower - FS9                           | 124,500          | F301-General Projects                         | 0                        | 74,500                 | 50,000                      | 50,000                             | 0       | 0       | 0       | 0                                 | Jan 16 Priority C3<br>Need to Rebid       |
| 71079                               | Remodel of old FS#96                            | 50,000           | F301-General Projects                         | 0                        | 50,000                 | 0                           | 0                                  | 0       | 0       | 0       | 0                                 | Jun 15 Priority D                         |
| 71080                               | Repainting/Retrofit of Civic Center Water Tower | 200,000          | F301-General Projects                         | 2,188                    | 72,812                 | 125,000                     | 125,000                            | 0       | 0       | 0       | 0                                 | Dec 15 Priority D<br>Added funding needed |
| 71081                               | Site Improvements - PS Tower Site               | 286,925          | F301-General Projects                         | 36,925                   | 150,000                | 100,000                     | 100,000                            | 0       | 0       | 0       | 0                                 | Dec 15 Priority A<br>Added funding needed |
| 71082                               | Remodeling - City Hall                          | 120,000          | F301-General Projects                         | 0                        | 120,000                | 0                           | 0                                  | 0       | 0       | 0       | 0                                 | Oct 15 Priority A                         |
|                                     | <b>Totals</b>                                   |                  |   |                          |                        |                             |                                    |         |         |         |                                   |   |
| 16                                  | Current Projects                                | 19,743,525       |   | 10,063,791               | 9,374,734              | 305,000                     | 305,000                            | 0       | 0       | 0       | 0                                 |   |



Group 71 - General Government & Public Safety Facilities

FY15-16 CIP Adopted

| Project #              | Project Title                                  | Project \$ Total | Funding Sources        | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |         |           |           | Anticipated Completion & Comments |   |
|------------------------|--|------------------|------------------------|--------------------------|------------------------|--------------------------------|---------|---------|-----------|-----------|-----------------------------------|---|
|                        |  |                  |                        |                          |                        | Total                          | FY15-16 | FY16-17 | FY17-18   | FY18-19   |                                   | FY19-20                                   |
| <b>FUTURE PROJECTS</b> |  |                  |                        |                          |                        | <b>Approved Capital Budget</b> |         |         |           |           |                                   |   |
| 71027                  | Retrofit Water Towers - Civic Center           | 400,400          | F301-General Projects  | 0                        | 0                      | 400,400                        | 0       | 0       | 400,400   | 0         | 0                                 | Jun 18 Priority C8<br>Deferred to FY17-18 |
| 71035                  | City Hall Vehicles - New Development           | 97,503           | F324-Gen Fac - Plan "C | 23,773                   | 0                      | 44,730                         | 0       | 44,730  | 0         | 0         | 0                                 | Jan 17 New Equipment                      |
|                        |  |                  | F352-So MacArthur Are  | 0                        | 0                      | 7,000                          | 0       | 7,000   | 0         | 0         | 0                                 | Deferred to FY16-17                       |
|                        |  |                  | F354-ISP South Area    | 0                        | 0                      | 16,200                         | 0       | 16,200  | 0         | 0         | 0                                 |   |
|                        |  |                  | F355-Presidio Area     | 0                        | 0                      | 5,800                          | 0       | 5,800   | 0         | 0         | 0                                 |   |
| 71052                  | Police Radio Repeater & Tower - SMPA           | 18,300           | F352-So MacArthur Are  | 0                        | 0                      | 18,300                         | 0       | 18,300  | 0         | 0         | 0                                 | Apr 17 Priority A<br>Deferred to FY16-17  |
| 71PP-001               | ADA Compliance - City Buildings, Future Phases | 236,000          | F268-Com Dev Block G   | 0                        | 0                      | 200,000                        | 0       | 50,000  | 50,000    | 50,000    | 50,000                            | Phased Annual Program<br>Rehabilitation   |
|                        |  |                  | F301-General Projects  | 0                        | 0                      | 36,000                         | 0       | 9,000   | 9,000     | 9,000     | 9,000                             |   |
| 71PP- 003              | Police Technical Facility Boyd Service Center  | 1,214,000        | F301-General Projects  | 0                        | 0                      | 1,214,000                      | 0       | 120,000 | 1,094,000 | 0         | 0                                 | Jun 18 Priority A<br>Expansion            |
| 71PP- 038              | New Fire Station - Tracy Hills (TIMP FS C)     | 7,850,000        | F301-General Projects  | 0                        | 0                      | 0                              | 0       | 0       | 0         | 0         | 0                                 | Dec 19 Priority B<br>New Facility         |
|                        |  |                  | F367-TIMP Pub Safety   | 0                        | 0                      | 7,850,000                      | 0       | 0       | 900,000   | 6,950,000 | 0                                 |   |
| 71PP- 045              | Recarpeting/Repainting Police Facility         | 168,000          | F301-General Projects  | 0                        | 0                      | 168,000                        | 0       | 168,000 | 0         | 0         | 0                                 | Sep 16 Priority A<br>Rehabilitation       |
| 71PP- 052              | Public Safety Facilities New Development Areas | 2,321,720        | F356-Tracy Gateway Ar  | 0                        | 0                      | 568,500                        | 0       | 0       | 70,000    | 498,500   | 0                                 | Jun 19 Priority C<br>New & Expansion      |
|                        |  |                  | F358-Ellis Prgm Area   | 0                        | 0                      | 1,753,220                      | 0       | 0       | 48,000    | 1,705,220 | 0                                 |   |
| 71PP- 058              | New Fire Vehicle - Ladder Truck                | 1,000,000        | F314-Infill Buildings  | 0                        | 0                      | 130,400                        | 0       | 10,400  | 120,000   | 0         | 0                                 | Jun 17 Priority B<br>New Equipment        |
|                        |  |                  | F324-Gen Fac - Plan "C | 0                        | 0                      | 666,600                        | 0       | 666,600 | 0         | 0         | 0                                 |   |
|                        |  |                  | F352-So MacArthur Are  | 0                        | 0                      | 68,000                         | 0       | 68,000  | 0         | 0         | 0                                 |   |
|                        |  |                  | F354-ISP South Area    | 0                        | 0                      | 135,000                        | 0       | 135,000 | 0         | 0         | 0                                 |   |
| 71PP- 065              | Remodel Police Facility                        | 1,516,800        | F301-General Projects  | 0                        | 0                      | 1,516,800                      | 0       | 153,000 | 1,363,800 | 0         | 0                                 | Jun 17 Priority B<br>Rehabilitation       |

(Continued)

Group 71 - General Government & Public Safety Facilities

FY15-16 CIP Adopted

| Project #                          | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures   | FY14-15 Appropriations     | NEW APPROPRIATIONS REQUIRED                                  |                            |                            |                                  |  | Anticipated Completion & Comments   |                                      |
|------------------------------------|---|------------------|--|----------------------------|----------------------------|--|----------------------------|----------------------------|----------------------------------|--|-------------------------------------|--------------------------------------|
|                                    |   |                  |  |                            |                            | Total  | FY15-16                    | FY16-17                    | FY17-18                          | FY18-19  |                                     | FY19-20                              |
| <u>FUTURE PROJECTS (Continued)</u> |   |                  |  |                            |                            | <b>Approved Capital Budget</b>                               |                            |                            |                                  |  |                                     |                                      |
| 71PP- 067                          | New Fire Station - Southwest Tracy (TIMP FS E)          | 4,189,000        | F301-General Projects<br>F367-TIMP Pub Safety  | 0<br>0                     | 0<br>0                     | 0<br>4,189,000   | 0<br>0                     | 0<br>0                     | 0<br>753,000                     | 0<br>3,436,000   | 0<br>0                              | Dec 19<br>Priority B<br>New Facility |
| 71PP- 068                          | New Fire Station - Relocate Station #97 - South Tracy   | 4,387,500        | F301-General Projects<br>F314-Infill Buildings<br>F344-RSP Area<br>F324-Gen Fac - Plan "C"<br>F352-So MacArthur Arc<br>F354-ISP South Area | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>609,000<br>1,470,200<br>929,000<br>216,000<br>1,163,300 | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>380,000<br>0<br>0 | 0<br>609,000<br>1,090,200<br>929,000<br>216,000<br>1,163,300 | 0<br>0<br>0<br>0<br>0<br>0          | Jun 19<br>Priority C<br>Replacement  |
| 71PP- 072                          | Expansion Pub Works Facility - Phase II                 | 7,845,000        | F301-General Projects<br>F513-Water Capital<br>F358-Ellis Prgm Area  | 0<br>0<br>0                | 0<br>0<br>0                | 3,417,260<br>1,961,300<br>2,466,440                          | 0<br>0<br>0                | 0<br>0<br>0                | 0<br>0<br>0                      | 610,000<br>0<br>0  | 2,807,260<br>1,961,300<br>2,466,440 | Dec 20<br>Priority C<br>Expansion    |
| 71PP- 074                          | Firearms Training Facility - Future Phases Improvements | 2,205,800        | F301-General Projects  | 0<br>0                     | 0<br>0                     | 2,205,800<br>0   | 0<br>0                     | 0<br>0                     | 452,200<br>0                     | 639,200<br>0   | 1,114,400<br>0                      | Dec 20<br>Priority C<br>Expansion    |
| 71PP- 075                          | Public Safety Training Facility                         | 17,245,000       | F301-General Projects<br>F367-TIMP Pub Safety  | 0<br>0                     | 0<br>0                     | 0<br>17,245,000  | 0<br>0                     | 0<br>1,520,000             | 0<br>0                           | 0<br>1,275,000   | 0<br>14,450,000                     | Dec 20<br>Priority C<br>New Facility |
| 71PP- 079                          | New Animal Shelter Phase II                             | 3,145,000        | F301-General Projects  | 0                          | 0                          | 3,145,000  | 0                          | 0                          | 0                                | 250,000  | 2,895,000                           | Dec 20<br>Priority C<br>Expansion    |
| 71PP- 080                          | Fuel Island Upgrade - Boyd Service Center               | 97,000           | F301-General Projects  | 0                          | 0                          | 97,000   | 0                          | 97,000                     | 0                                | 0  | 0                                   | Jun 17<br>Priority B<br>Replacement  |
| 71PP- 083                          | AV Systems Upgrade - CH Council Chambers                | 456,600          | F301-General Projects<br>F295-CTV  | 0<br>0                     | 0<br>0                     | 380,500<br>76,100  | 0<br>0                     | 380,500<br>76,100          | 0<br>0                           | 0<br>0   | 0<br>0                              | Jun 17<br>Priority B<br>Replacement  |
| 71PP- 084                          | Replace Cooling Tower Police Facility                   | 133,000          | F301-General Projects  | 0<br>0                     | 0<br>0                     | 133,000<br>0   | 0<br>0                     | 133,000<br>0               | 0<br>0                           | 0<br>0   | 0<br>0                              | Feb 17<br>Priority A<br>Replacement  |
| <b>Totals</b>                      |   |                  |  |                            |                            |  |                            |                            |                                  |  |                                     |                                      |
| 19                                 | Future Projects   | 54,526,623       |  | 23,773                     | 0                          | 54,502,850   | 0                          | 3,678,630                  | 5,260,400                        | 15,802,920   | 29,760,900                          |                                      |

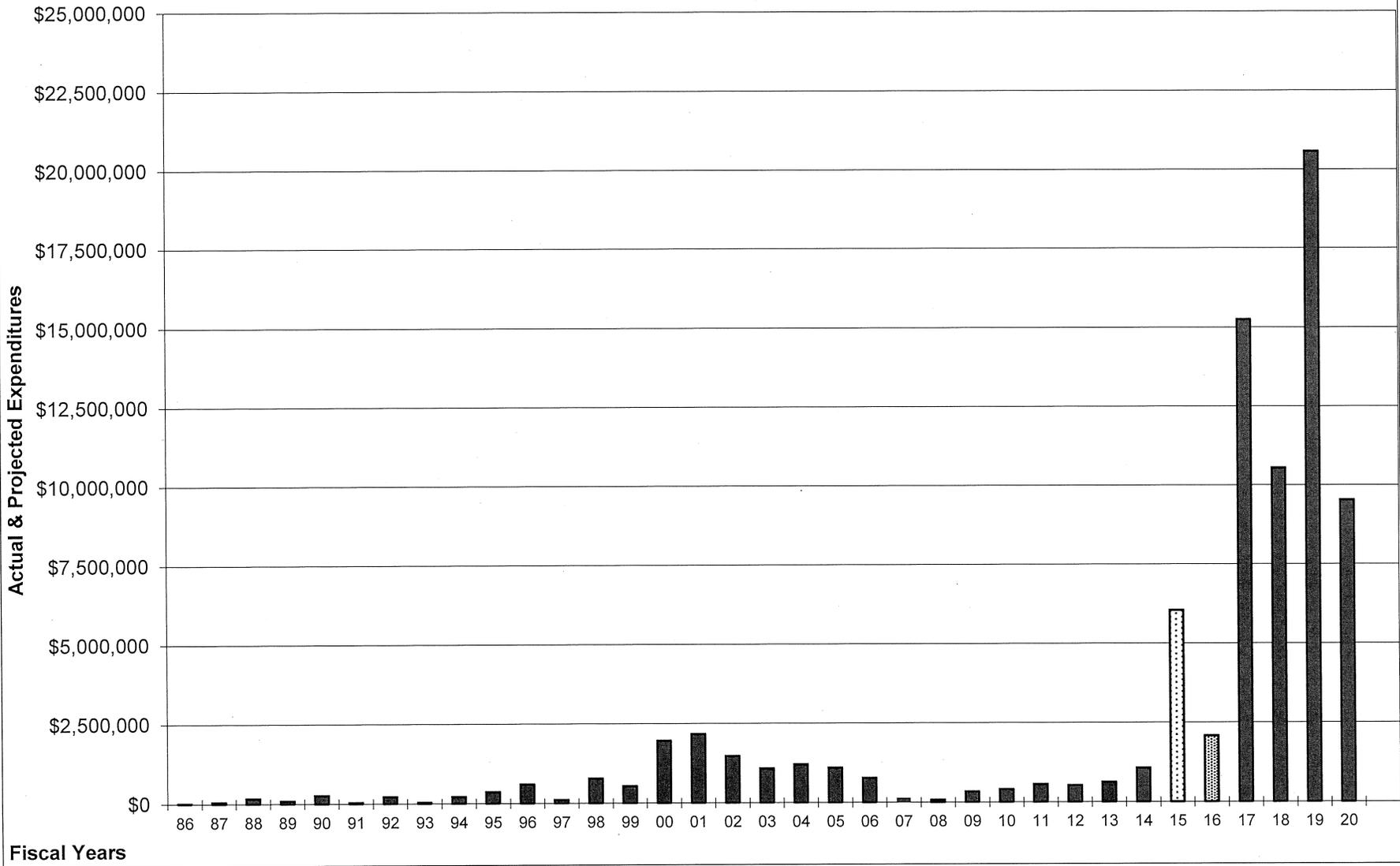
Group 72 - Traffic Safety

FY15-16 CIP Adopted

|                           |                         | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |           |            |            |            | Projects Requiring<br>2 New Funding<br>1 in FY15-16 |         |
|---------------------------|-------------------------|-------------------|-----------------------------|---------------------------|------------------------------------|-----------|------------|------------|------------|---|---------|
| by Project Type           |                         |                   |                             |                           | Total                              | FY15-16   | FY16-17    | FY17-18    | FY18-19    |   | FY19-20 |
|                           |                         |                   |                             |                           | <b>Approved<br/>Capital Budget</b> |           |            |            |            |   |         |
| 12                        | Current Projects        | 8,351,343         | 1,337,492                   | 6,046,651                 | 967,200                            | 427,200   | 0          | 0          | 540,000    | 0   |         |
| 2                         | New Projects            | 1,640,000         | 0                           | 0                         | 1,640,000                          | 1,640,000 | 0          | 0          | 0          | 0   |         |
| 47                        | Future Projects         | 54,860,262        | 705,842                     | 0                         | 54,154,420                         | 0         | 14,050,150 | 10,548,000 | 20,029,800 | 9,526,470   |         |
| 61                        | Totals                  | 64,851,605        | 2,043,334                   | 6,046,651                 | 56,761,620                         | 2,067,200 | 14,050,150 | 10,548,000 | 20,569,800 | 9,526,470   |         |
| <b>by Funding Sources</b> |                         |                   |                             |                           |                                    |           |            |            |            |   |         |
|                           | F301-General Projects   | 401,941           | 331,941                     | 70,000                    | 0                                  | 0         | 0          | 0          | 0          | 0   |         |
|                           | F241-TDA Sales Tax      | 1,410,000         | 0                           | 1,410,000                 | 0                                  | 0         | 0          | 0          | 0          | 0   |         |
|                           | F242-Transp Sales Tax   | 850,000           | 0                           | 650,000                   | 200,000                            | 200,000   | 0          | 0          | 0          | 0   |         |
|                           | F245-Gas Tax            | 5,281,537         | 592,330                     | 695,307                   | 3,993,900                          | 227,200   | 2,254,200  | 1,053,500  | 409,000    | 50,000  |         |
|                           | F313-Infill Arterials   | 1,740,700         | 0                           | 84,000                    | 1,656,700                          | 350,000   | 0          | 0          | 540,000    | 766,700   |         |
|                           | F323-Arterials Plan "C" | 1,254,600         | 0                           | 361,800                   | 892,800                            | 0         | 0          | 892,800    | 0          | 0   |         |
|                           | F351-NE Indus Area #    | 555,000           | 192,354                     | 362,646                   | 0                                  | 0         | 0          | 0          | 0          | 0   |         |
|                           | F352-So MacArthur PA    | 1,359,000         | 0                           | 0                         | 1,359,000                          | 0         | 0          | 50,000     | 1,309,000  | 0   |         |
|                           | F353-I205 Area Spec F   | 4,781,800         | 100                         | 0                         | 4,781,700                          | 0         | 666,400    | 2,676,000  | 0          | 1,439,300   |         |
|                           | F354-Indus SP, South    | 1,733,516         | 12,391                      | 168,365                   | 1,552,760                          | 540,000   | 502,180    | 510,580    | 0          | 0   |         |
|                           | F355-Presidio Area      | 1,016,700         | 0                           | 0                         | 1,016,700                          | 0         | 0          | 461,900    | 554,800    | 0   |         |
|                           | F356-Tracy Gateway A    | 2,481,400         | 0                           | 0                         | 2,481,400                          | 0         | 192,900    | 0          | 0          | 2,288,500   |         |
|                           | F357-NE Indus Area #    | 14,311,005        | 163,755                     | 224,680                   | 13,922,570                         | 0         | 1,496,970  | 0          | 12,425,600 | 0   |         |
|                           | F358-Ellis Prgm Area    | 2,772,300         | 0                           | 0                         | 2,772,300                          | 0         | 415,300    | 59,520     | 2,289,560  | 7,920   |         |
|                           | F363-TIMP Traffic       | 15,624,950        | 0                           | 0                         | 15,624,950                         | 0         | 8,184,700  | 2,294,000  | 442,200    | 4,704,050   |         |
|                           | Grant Funding           | 5,872,740         | 0                           | 1,751,100                 | 4,121,640                          | 750,000   | 152,500    | 1,578,500  | 1,640,640  | 0   |         |
|                           | Developer's Contributic | 2,445,416         | 750,463                     | 268,753                   | 1,426,200                          | 0         | 185,000    | 971,200    | 0          | 270,000   |         |
|                           | Future Developments     | 959,000           | 0                           | 0                         | 959,000                            | 0         | 0          | 0          | 959,000    | 0   |         |
|                           |                         | 64,851,605        | 2,043,334                   | 6,046,651                 | 56,761,620                         | 2,067,200 | 14,050,150 | 10,548,000 | 20,569,800 | 9,526,470   |         |

|                  |               |         |           |                      |
|------------------|---------------|---------|-----------|----------------------|
| CIP Expenditures | in FY13-14 >> | 846,706 | 2,028,100 | New Appropriations   |
|                  | in FY12-13 >> | 638,834 | 4,018,551 | Carryovers from FY14 |
|                  | in FY11-12 >> | 530,814 | 0         | Deferrals            |
|                  | in FY10-11 >> | 567,078 | 0         | Supplementals        |

Tracy Capital Improvement Program  
Traffic Safety Projects



Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #               | Project Title  | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |         |         |         | Anticipated Completion & Comments |   |                  |
|-------------------------|--|------------------|--|--------------------------|------------------------|--------------------------------|---------|---------|---------|---------|-----------------------------------|---|------------------|
|                         |  |                  |  |                          |                        | Total                          | FY15-16 | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                                   |                  |
| <b>CURRENT PROJECTS</b> |  |                  |  |                          |                        | <b>Approved Capital Budget</b> |         |         |         |         |                                   |   |                  |
| 72025                   | Traffic Signal Grant Line & Paradise                 | 244,000          | F351-NE Indus Area #1  | 152,354                  | 91,646                 | 0                              | 0       | 0       | 0       | 0       | 0                                 | Nov 13<br>Work Completed                  |                  |
| 72068                   | Traffic Signal Lammers & West Schulte                | 906,940          | F323-Arterials Plan "C" Developer's Contribution Grant Funding               | 0                        | 361,800                | 0                              | 0       | 0       | 0       | 0       | 0                                 | Jun 15 Priority B<br>Design Underway      |                  |
|                         |  |                  |  | 75,287                   | 268,753                | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
|                         |  |                  |  | 0                        | 201,100                | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
| 72069                   | Intersection Improvement 11th Street & Old MacArthur | 4,543,062        | F241-TDA Sales Tax<br>F242-Transp Sales Tax<br>F245-Gas Tax<br>Grant Funding | 0                        | 1,410,000              | 0                              | 0       | 0       | 0       | 0       | 0                                 | Jun 16<br>Design Underway                 |                  |
|                         |  |                  |  | 0                        | 650,000                | 200,000                        | 200,000 | 0       | 0       | 0       | 0                                 | 0   | Design Underway  |
|                         |  |                  |  | 552,580                  | 503,282                | 227,200                        | 227,200 | 0       | 0       | 0       | 0                                 | 0   | ROW Acq Underway |
|                         |  |                  |  | 0                        | 1,000,000              | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
| 72082                   | Traffic Signal Valpico & Sycamore Pkwy               | 420,000          | F354-Indus SP, South Grant Funding   | 1,635                    | 168,365                | 0                              | 0       | 0       | 0       | 0       | 0                                 | Dec 15<br>Design Underway                 |                  |
|                         |  |                  |  | 0                        | 250,000                | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
| 72084                   | Fiber Optics Standards Loops - FY13                  | 60,000           | F245-Gas Tax   | 22,622                   | 37,378                 | 0                              | 0       | 0       | 0       | 0       | 0                                 | Dec 13<br>Work Completed                  |                  |
| 72086                   | Traffic Calming - Various Locations - FY13 Phase     | 50,000           | F245-Gas Tax   | 353                      | 49,647                 | 0                              | 0       | 0       | 0       | 0       | 0                                 | Jun 13 Annual Program<br>New Installation |                  |
| 72087                   | Traffic Signal - Grant Line & Chrisman               | 385,400          | F357-NE Indus Area #2  | 160,720                  | 224,680                | 0                              | 0       | 0       | 0       | 0       | 0                                 | Nov 13 Priority B<br>Work Completed       |                  |
| 72088                   | Traffic Signal Upgrade Grant Line & Chabot Court     | 311,000          | F351-NE Indus Area #1 Developer's Contribution                               | 40,000                   | 271,000                | 0                              | 0       | 0       | 0       | 0       | 0                                 | Nov 13 Priority C<br>Work Completed       |                  |
|                         |  |                  |  | 0                        | 0                      | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
| 72089                   | Advanced Traffic Signal Controllers - Tracy Blvd     | 405,000          | F245-Gas Tax Grant Funding   | 0                        | 105,000                | 0                              | 0       | 0       | 0       | 0       | 0                                 | Sep 15 Priority B<br>Design Underway      |                  |
|                         |  |                  |  | 0                        | 300,000                | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |
| 72090                   | Traffic Signal - Tracy & Linne Road                  | 624,000          | F313-Infill Arterials  | 0                        | 84,000                 | 540,000                        | 0       | 0       | 0       | 540,000 | 0                                 | Jun 19 Priority D<br>New Installation     |                  |
| 72091                   | Downtown Up Lighting 10th Street                     | 70,000           | F301-General Projects<br>F245-Gas Tax  | 0                        | 70,000                 | 0                              | 0       | 0       | 0       | 0       | 0                                 | Jan 15<br>Work Underway                   |                  |
|                         |  |                  |  | 0                        | 0                      | 0                              | 0       | 0       | 0       | 0       | 0                                 | 0   |                  |

(Continued)

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #                           | Project Title                         | Project \$ Total | Funding Sources                       | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED |                                |         |         |         | Anticipated Completion & Comments |                          |
|-------------------------------------|---------------------------------------|------------------|---------------------------------------|--------------------------|------------------------|-----------------------------|--------------------------------|---------|---------|---------|-----------------------------------|--------------------------|
|                                     |                                       |                  |                                       |                          |                        | Total                       | FY15-16                        | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                  |
| <u>CURRENT PROJECTS (Continued)</u> |                                       |                  |                                       |                          |                        |                             |                                |         |         |         |                                   |                          |
|                                     |                                       |                  |                                       |                          |                        |                             | <b>Approved Capital Budget</b> |         |         |         |                                   |                          |
| 72092                               | LED Street Lights Replacement FY13-14 | 331,941          | F301-General Projects<br>F245-Gas Tax | 331,941<br>0             | 0<br>0                 | 0<br>0                      | 0<br>0                         | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Jun 14<br>Work Completed |
| <b>Totals</b>                       |                                       |                  |                                       |                          |                        |                             |                                |         |         |         |                                   |                          |
| 12                                  | Current Projects                      | 8,351,343        |                                       | 1,337,492                | 6,046,651              | 967,200                     | 427,200                        | 0       | 0       | 540,000 | 0                                 |                          |

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #           | Project Title                            | Project \$ Total | Funding Sources                    | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |           |         |         |         | Anticipated Completion & Comments |                                    |
|---------------------|--|------------------|------------------------------------|--------------------------|------------------------|--------------------------------|-----------|---------|---------|---------|-----------------------------------|------------------------------------|
|                     |  |                  |                                    |                          |                        | Total                          | FY15-16   | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                            |
| <b>NEW PROJECTS</b> |  |                  |                                    |                          |                        | <b>Approved Capital Budget</b> |           |         |         |         |                                   |                                    |
| 72094               | Traffic Signal - Tracy & Gandy Dancer    | 350,000          | F313-Infill Arterials              | 0                        | 0                      | 350,000                        | 350,000   | 0       | 0       | 0       | 0                                 | Jun 16 Priority A New Installation |
|                     |  |                  |                                    | 0                        | 0                      | 0                              | 0         | 0       | 0       | 0       | 0                                 |                                    |
| 72095               | Traffic Signal - Corral Hollow & Valpico | 1,290,000        | F354-Indus SP, South Grant Funding | 0                        | 0                      | 540,000                        | 540,000   | 0       | 0       | 0       | 0                                 | Jun 16 Priority A New Installation |
|                     |  |                  |                                    | 0                        | 0                      | 750,000                        | 750,000   | 0       | 0       | 0       | 0                                 |                                    |
| <b>Totals</b>       |  |                  |                                    |                          |                        |                                |           |         |         |         |                                   |                                    |
| 2                   | New Projects                             | 1,640,000        |                                    | 0                        | 0                      | 1,640,000                      | 1,640,000 | 0       | 0       | 0       | 0                                 |                                    |

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #              | Project Title  | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED        |                  |                        |                                    |                                    | Anticipated Completion & Comments |   |   |
|------------------------|--|------------------|---|--------------------------|------------------------|------------------------------------|------------------|------------------------|------------------------------------|------------------------------------|-----------------------------------|---|---|
|                        |  |                  |   |                          |                        | Total                              | FY15-16          | FY16-17                | FY17-18                            | FY18-19                            |                                   | FY19-20                                       |   |
| <b>FUTURE PROJECTS</b> |  |                  |   |                          |                        | <b>Approved Capital Budget</b>     |                  |                        |                                    |                                    |                                   |   |   |
| 72014                  | Traffic Signal Upgrades<br>I205 area eastside        | 1,257,876        | F353-I205 Area Spec P<br>F323-Arterials Plan "C"<br>F313-Infill Arterials<br>Developer's Contribution | 100<br>0<br>0<br>105,076 | 0<br>0<br>0<br>0       | 261,300<br>573,600<br>0<br>317,800 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>317,800 | 261,300<br>573,600<br>0<br>317,800 | 0<br>0<br>0<br>0                   | 0<br>0<br>0<br>0                  | Jun 18<br>Priority B<br>Upgrades              |   |
| 72038                  | Traffic Signal -<br>Tracy Blvd & Valpico             | 573,036          | F354-Indus SP, South<br>F358-Ellis Prgm Area<br>Developer's Contribution                              | 2,936<br>0<br>570,100    | 0<br>0<br>0            | 510,580<br>59,520<br>-570,100      | 0<br>0<br>0      | 0<br>0<br>0            | 510,580<br>59,520<br>-570,100      | 0<br>0<br>0                        | 0<br>0<br>0                       | Dec 04<br>Work Completed<br>Reimbursement Due |   |
| 72053                  | Intersection Imprmts -<br>Tracy Blvd & Sycamore Pkwy | 400,000          | F245-Gas Tax  | 0                        | 0                      | 400,000                            | 0                | 400,000                | 0                                  | 0                                  | 0                                 | 0   | Jun 17<br>Priority B<br>Expansion           |
| 72056                  | Signal Modifications -<br>11th & Lammers             | 405,000          | F356-Tracy Gateway A<br>F245-Gas Tax  | 0<br>0                   | 0<br>0                 | 192,900<br>212,100                 | 0<br>0           | 192,900<br>212,100     | 0<br>0                             | 0<br>0                             | 0<br>0                            | 0<br>0  | Jun 17<br>Priority A<br>Upgrade             |
| 72062                  | Intersection Improveme<br>I205 & MacArthur           | 15,821,405       | F352-So MacArthur PA<br>F355-Presidio Area<br>F357-NE Indus Area #2                                   | 0<br>0<br>3,035          | 0<br>0<br>0            | 1,081,000<br>814,800<br>13,922,570 | 0<br>0<br>0      | 0<br>0<br>1,496,970    | 0<br>260,000<br>0                  | 1,081,000<br>554,800<br>12,425,600 | 0<br>0<br>0                       | 0<br>0<br>0                                   | Dec 19<br>Priority C<br>Expansion           |
| 72073                  | Intersection Imprmts -<br>MacArthur & Valpico        | 310,000          | F354-Indus SP, South  | 3,910                    | 0                      | 306,090                            | 0                | 306,090                | 0                                  | 0                                  | 0                                 | 0   | Jun 17<br>Design Completed                  |
| 72074                  | Intersection Imprmts -<br>Tracy & Valpico            | 200,000          | F354-Indus SP, South  | 3,910                    | 0                      | 196,090                            | 0                | 196,090                | 0                                  | 0                                  | 0                                 | 0   | Jun 17<br>Design Completed                  |
| 72083                  | Intersection Imprmts -<br>MacArthur & Pescadero      | 313,775          | F245-Gas Tax  | 16,775                   | 0                      | 297,000                            | 0                | 297,000                | 0                                  | 0                                  | 0                                 | 0   | Jun 17<br>Priority B<br>1st Phase Completed |
| 72PP- 008              | Traffic Striping &<br>Signing Survey                 | 100,000          | F245-Gas Tax  | 0                        | 0                      | 100,000                            | 0                | 100,000                | 0                                  | 0                                  | 0                                 | 0   | Jun 17<br>Priority B<br>Study               |
| 72PP- 011              | Traffic Signal -<br>MacArthur & Mt Diablo            | 390,000          | F245-Gas Tax<br>F354-Indus SP, South  | 0<br>0                   | 0<br>0                 | 390,000<br>0                       | 0<br>0           | 0<br>0                 | 390,000<br>0                       | 0<br>0                             | 0<br>0                            | 0<br>0  | Jun 18<br>Priority B<br>New Installation    |

(Continued)

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #                          | Project Title   | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED              |                  |                      |                             |                                    | Anticipated Completion & Comments |         |                                      |
|------------------------------------|---|------------------|---|--------------------------|------------------------|--|------------------|----------------------|-----------------------------|------------------------------------|-----------------------------------|---------|--------------------------------------|
|                                    |   |                  |   |                          |                        | Total                                    | FY15-16          | FY16-17              | FY17-18                     | FY18-19                            |                                   | FY19-20 |                                      |
| <u>FUTURE PROJECTS (Continued)</u> |   |                  |   |                          |                        | <b>Approved Capital Budget</b>           |                  |                      |                             |                                    |                                   |         |                                      |
| 72PP- 024                          | Intersection Improveme<br>Morris Phelps & Schulte Road  | 337,500          | F245-Gas Tax  | 0                        | 0                      | 337,500                                  | 0                | 0                    | 337,500                     | 0                                  | 0                                 | Jun 18  | Priority B<br>New Installation       |
| 72PP- 028                          | Traffic Signal<br>Grant Line Road & Street "A"          | 356,500          | F353-I205 Area Spec P   | 0                        | 0                      | 356,500                                  | 0                | 356,500              | 0                           | 0                                  | 0                                 | Jun 17  | Priority B<br>New Installation       |
| 72PP- 029                          | Traffic Signal<br>Naglee Road & Auto Plaza Drive        | 350,000          | F353-I205 Area Spec P<br>F245-Gas Tax   | 0<br>0                   | 0<br>0                 | 309,900<br>40,100                        | 0<br>0           | 309,900<br>40,100    | 0<br>0                      | 0<br>0                             | 0<br>0                            | Jun 17  | Priority B<br>New Installation       |
| 72PP- 030                          | Intersection Improveme<br>I580 & Corral Hollow          | 762,800          | F352-So MacArthur PA<br>F355-Presidio Area<br>F358-Ellis Prgm Area<br>F363-TIMP Traffic | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0       | 278,000<br>201,900<br>167,700<br>115,200 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0     | 50,000<br>201,900<br>0<br>0 | 228,000<br>0<br>167,700<br>115,200 | 0<br>0<br>0<br>0                  | Dec 19  | Priority B<br>Expansion              |
| 72PP- 033                          | Traffic Signals -2.6<br>Collectors                      | 959,000          | F351-NE Indus Area #1<br>Future Developments  | 0<br>0                   | 0<br>0                 | 0<br>959,000                             | 0<br>0           | 0<br>0               | 0<br>0                      | 0<br>959,000                       | 0<br>0                            | Jun 19  | Priority C<br>New Installation       |
| 72PP- 036                          | Traffic Centerline<br>Stripping - various locarions     | 60,000           | F245-Gas Tax  | 0<br>0                   | 0<br>0                 | 60,000<br>0                              | 0<br>0           | 60,000<br>0          | 0<br>0                      | 0<br>0                             | 0<br>0                            | Jun 17  | Priority B<br>New Installation       |
| 72PP- 042                          | Traffic Signal -<br>MacArthur & Glenbrook               | 337,500          | Developer's Contributio<br>Grant Funding  | 0<br>0                   | 0<br>0                 | 185,000<br>152,500                       | 0<br>0           | 185,000<br>152,500   | 0<br>0                      | 0<br>0                             | 0<br>0                            | Jun 17  | Priority B<br>New Installation       |
| 72PP- 054                          | Intersection Imprmts -<br>Corral Hollow & Linne         | 8,600,000        | F358-Ellis Prgm Area<br>F363-TIMP Traffic   | 0<br>0                   | 0<br>0                 | 415,300<br>8,184,700                     | 0<br>0           | 415,300<br>8,184,700 | 0<br>0                      | 0<br>0                             | 0<br>0                            | Dec 17  | Priority B<br>New Installation       |
| 72PP- 064                          | Intersection Imprmts -<br>various locations             | 327,000          | F245-Gas Tax<br>F363-TIMP Traffic   | 0<br>0                   | 0<br>0                 | 0<br>327,000                             | 0<br>0           | 0<br>0               | 0<br>0                      | 0<br>327,000                       | 0<br>0                            | Jun 19  | Priority C<br>Replacement            |
| 72PP- 071                          | Left Turn Traffic Signal<br>Chrisman & Kellogs Entrance | 270,000          | F351-NE Indus Area #1<br>Developer's Contributio  | 0<br>0                   | 0<br>0                 | 0<br>270,000                             | 0<br>0           | 0<br>0               | 0<br>0                      | 0<br>0                             | 270,000                           | Jun 20  | Priority D<br>New Installation       |
| 72PP- 074                          | Lighted Crosswalk/Flas<br>Lowell Ave, west of Tracy     | 126,000          | F245-Gas Tax  | 0                        | 0                      | 126,000                                  | 0                | 63,000               | 0                           | 63,000                             | 0                                 | Jun 19  | Biannual Program<br>New Installation |
| (Continued)                        |   |                  |   |                          |                        |  |                  |                      |                             |                                    |                                   |         |                                      |

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #                          | Project Title   | Project \$ Total | Funding Sources         | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |         |           |         | Anticipated Completion & Comments |         |                                    |
|------------------------------------|---|------------------|-------------------------|--------------------------|------------------------|--------------------------------|---------|---------|-----------|---------|-----------------------------------|---------|------------------------------------|
|                                    |   |                  |                         |                          |                        | Total                          | FY15-16 | FY16-17 | FY17-18   | FY18-19 |                                   | FY19-20 |                                    |
| <u>FUTURE PROJECTS (Continued)</u> |   |                  |                         |                          |                        | <b>Approved Capital Budget</b> |         |         |           |         |                                   |         |                                    |
| 72PP- 075                          | Red Light Flasher - Linne & Tracy Road  | 240,000          | F245-Gas Tax            | 0                        | 0                      | 240,000                        | 0       | 240,000 | 0         | 0       | 0                                 | Jun 17  | Priority B<br>New Installation     |
| 72PP- 076                          | Traffic Signal Coordina Schulte Road & MacArthur Drive                                | 240,000          | F245-Gas Tax            | 0                        | 0                      | 240,000                        | 0       | 120,000 | 0         | 120,000 | 0                                 | Jun 19  | Priority A<br>Study & Plans        |
| 72PP- 079                          | Traffic Calming - Various Locations - Future Years                                    | 100,000          | F245-Gas Tax            | 0                        | 0                      | 100,000                        | 0       | 50,000  | 50,000    | 0       | 0                                 | Jun 18  | Annual Program<br>New Installation |
| 72PP- 081                          | Intersection Impmts - Grant Line & Lammers Roads                                      | 869,300          | F353-I205 Area Spec P   | 0                        | 0                      | 50,200                         | 0       | 0       | 0         | 0       | 50,200                            | Dec 20  | Priority D<br>Upgrade              |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 819,100                        | 0       | 0       | 0         | 0       | 819,100                           |         |                                    |
| 72PP- 082                          | Intersection Impmts - Grant Line & Naglee Roads                                       | 418,100          | F353-I205 Area Spec P   | 0                        | 0                      | 20,800                         | 0       | 0       | 0         | 0       | 20,800                            | Dec 20  | Priority D<br>Upgrade              |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 397,300                        | 0       | 0       | 0         | 0       | 397,300                           |         |                                    |
| 72PP- 083                          | Intersection Impmts - Naglee Road & Pavilion Pkwy                                     | 388,700          | F353-I205 Area Spec P   | 0                        | 0                      | 345,300                        | 0       | 0       | 345,300   | 0       | 0                                 | Jun 18  | Priority B<br>Upgrade              |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 43,400                         | 0       | 0       | 43,400    | 0       | 0                                 |         |                                    |
| 72PP- 084                          | Intersection Impmts - Grant Line & I205 Ramps   | 2,538,500        | F353-I205 Area Spec P   | 0                        | 0                      | 493,800                        | 0       | 0       | 0         | 0       | 493,800                           | Dec 20  | Priority D<br>Upgrade              |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 2,044,700                      | 0       | 0       | 0         | 0       | 2,044,700                         |         |                                    |
| 72PP- 085                          | Intersection Impmts - Grant Line & Corral Hollow Rds                                  | 4,639,200        | F353-I205 Area Spec P   | 0                        | 0                      | 2,069,400                      | 0       | 0       | 2,069,400 | 0       | 0                                 | Dec 18  | Priority B<br>Upgrade              |
|                                    |   |                  | F323-Arterials Plan "C" | 0                        | 0                      | 319,200                        | 0       | 0       | 319,200   | 0       | 0                                 |         |                                    |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 2,250,600                      | 0       | 0       | 2,250,600 | 0       | 0                                 |         |                                    |
| 72PP- 086                          | Intersection Impmts - Lammers & 11th Street   | 907,170          | F353-I205 Area Spec P   | 0                        | 0                      | 864,700                        | 0       | 0       | 0         | 0       | 864,700                           | Jun 19  | Priority D<br>Upgrade              |
|                                    |   |                  | F358-Ellis Prgm Area    | 0                        | 0                      | 7,920                          | 0       | 0       | 0         | 0       | 7,920                             |         |                                    |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 34,550                         | 0       | 0       | 0         | 0       | 34,550                            |         |                                    |
| 72PP- 087                          | Intersection Impmts - 11th Street & Corral Hollow Road                                | 975,500          | F353-I205 Area Spec P   | 0                        | 0                      | 9,800                          | 0       | 0       | 0         | 0       | 9,800                             | Dec 20  | Priority D<br>Upgrade              |
|                                    |   |                  | F363-TIMP Traffic       | 0                        | 0                      | 965,700                        | 0       | 0       | 0         | 0       | 965,700                           |         |                                    |
| 72PP- 090                          | Traffic Signal/Intersecti Impmts - Chrisman Rd, south of Parac Developer's Contributi | 385,000          | F245-Gas Tax            | 0                        | 0                      | 0                              | 0       | 0       | 0         | 0       | 0                                 | Jun 18  | Priority B<br>New Installation     |
|                                    | (Continued)   |                  |                         |                          |                        |                                |         |         |           |         |                                   |         |                                    |

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #                          | Project Title   | Project \$ Total | Funding Sources                            | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |         |              |         | Anticipated Completion & Comments |  |
|------------------------------------|---|------------------|--|--------------------------|------------------------|--------------------------------|---------|---------|--------------|---------|-----------------------------------|--|
|                                    |   |                  |  |                          |                        | Total                          | FY15-16 | FY16-17 | FY17-18      | FY18-19 |                                   | FY19-20                                  |
| <u>FUTURE PROJECTS (Continued)</u> |   |                  |  |                          |                        | <b>Approved Capital Budget</b> |         |         |              |         |                                   |  |
| 72PP- 091                          | Traffic Signal/Intersecti<br>Impmts - Chrisman & Paradise | 501,000          | F245-Gas Tax<br>Developer's Contribution   | 0<br>0                   | 0<br>0                 | 0<br>501,000                   | 0<br>0  | 0<br>0  | 0<br>501,000 | 0<br>0  | 0<br>0                            | Jun 18<br>Priority B<br>New Installation |
| 72PP- 092                          | Traffic Signal -<br>Pescadero & Western Drwy              | 337,500          | F245-Gas Tax<br>Developer's Contribution   | 0<br>0                   | 0<br>0                 | 0<br>337,500                   | 0<br>0  | 0<br>0  | 0<br>337,500 | 0<br>0  | 0<br>0                            | Jun 18<br>Priority B<br>New Installation |
| 72PP- 093                          | Intersection Impmts -<br>11th Street & MacArthur Drive    | 0                | F313-Infill Arterials                      | 0                        | 0                      | 0                              | 0       | 0       | 0            | 0       | 0                                 | Project Cancelled                        |
| 72PP- 094                          | Intersection Impmts -<br>11th Street & Lincoln Blvd       | 766,700          | F313-Infill Arterials                      | 0                        | 0                      | 766,700                        | 0       | 0       | 0            | 0       | 766,700                           | Jun 20<br>Priority D<br>Upgrade          |
| 72PP- 095                          | Intersection Impmts -<br>Grant Line Road & Tracy Blvd     | 290,300          | F313-Infill Arterials<br>F363-TIMP Traffic | 0<br>0                   | 0<br>0                 | 0<br>290,300                   | 0<br>0  | 0<br>0  | 0<br>0       | 0<br>0  | 290,300                           | Jun 20<br>Priority D<br>Upgrade          |
| 72PP- 096                          | Intersection Impmts -<br>Schulte Road & Tracy Blvd        | 152,400          | F313-Infill Arterials<br>F363-TIMP Traffic | 0<br>0                   | 0<br>0                 | 0<br>152,400                   | 0<br>0  | 0<br>0  | 0<br>0       | 0<br>0  | 152,400                           | Jun 20<br>Priority D<br>Upgrade          |
| 72PP- 097                          | Intersection Impmts -<br>Lammers & 11th Street            | 0                | F245-Gas Tax<br>Developer's Contribution   | 0<br>0                   | 0<br>0                 | 0<br>0                         | 0<br>0  | 0<br>0  | 0<br>0       | 0<br>0  | 0<br>0                            | Project Cancelled                        |
| 72PP- 098                          | Traffic Improvements -<br>Tracy Gateway Area              | 2,288,500        | F356-Tracy Gateway Ar                      | 0                        | 0                      | 2,288,500                      | 0       | 0       | 0            | 0       | 2,288,500                         | Jun 20<br>Priority D<br>Rehabilitation   |
| 72PP- 103                          | Study of Pedestrian<br>Crossings - Arterials & Railroads  | 135,000          | F245-Gas Tax                               | 0                        | 0                      | 135,000                        | 0       | 135,000 | 0            | 0       | 0                                 | Jun 17<br>Priority A<br>Study            |
| 72PP- 104                          | Traffic Signal Controlle<br>Replacement - Future Years    | 200,000          | F245-Gas Tax                               | 0                        | 0                      | 200,000                        | 0       | 50,000  | 50,000       | 50,000  | 50,000                            | Annual Contingency<br>Replacement        |
| 72PP- 106                          | Replacement of Traffic<br>Loops - Future Phases           | 352,000          | F245-Gas Tax                               | 0                        | 0                      | 352,000                        | 0       | 176,000 | 0            | 176,000 | 0                                 | Jun 19<br>Priority B<br>Biannual Program |
| 72PP- 109                          | Street Light Installation                                 | 82,000           | F245-Gas Tax                               | 0                        | 0                      | 82,000                         | 0       | 0       | 82,000       | 0       | 0                                 | Jun 18<br>Priority B                     |
| (Continued)                        |   |                  |  |                          |                        |                                |         |         |              |         |                                   |  |

Group 72 - Traffic Safety

FY15-16 CIP Adopted

| Project #                          | Project Title  | Project \$ Total | Funding Sources                       | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |              |                   |                        | Anticipated Completion & Comments |  |
|------------------------------------|--|------------------|---------------------------------------|--------------------------|------------------------|--------------------------------|---------|--------------|-------------------|------------------------|-----------------------------------|--|
|                                    |  |                  |                                       |                          |                        | Total                          | FY15-16 | FY16-17      | FY17-18           | FY18-19                |                                   | FY19-20                                  |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |                                       |                          |                        | <b>Approved Capital Budget</b> |         |              |                   |                        |                                   |  |
| 72PP- 110                          | Adaptive Traffic System<br>Corral Hollow Road, Schulte to Mall     | 1,122,250        | F245-Gas Tax<br>Grant Funding         | 0<br>0                   | 0<br>0                 | 245,000<br>877,250             | 0<br>0  | 165,000<br>0 | 80,000<br>877,250 | 0<br>0                 | 0<br>0                            | Jun 18<br>Priority B<br>Upgrade          |
| 72PP- 111                          | Adaptive Traffic System<br>11th Street, Corral Hollow to MacArthur | 911,250          | F245-Gas Tax<br>Grant Funding         | 0<br>0                   | 0<br>0                 | 210,000<br>701,250             | 0<br>0  | 146,000<br>0 | 64,000<br>701,250 | 0<br>0                 | 0<br>0                            | Jun 18<br>Priority B<br>Upgrade          |
| 72PP- 201                          | Intersection Impmts -<br>Ellis Prgm Area                           | 3,762,500        | F358-Ellis Prgm Area<br>Grant Funding | 0<br>0                   | 0<br>0                 | 2,121,860<br>1,640,640         | 0<br>0  | 0<br>0       | 0<br>0            | 2,121,860<br>1,640,640 | 0<br>0                            | Jun 19<br>Priority C<br>New Installation |
| 47                                 | Totals<br>Future Projects  | 54,860,262       |                                       | 705,842                  | 0                      | 54,154,420                     | 0       | 14,050,150   | 10,548,000        | 20,029,800             | 9,526,470                         |  |

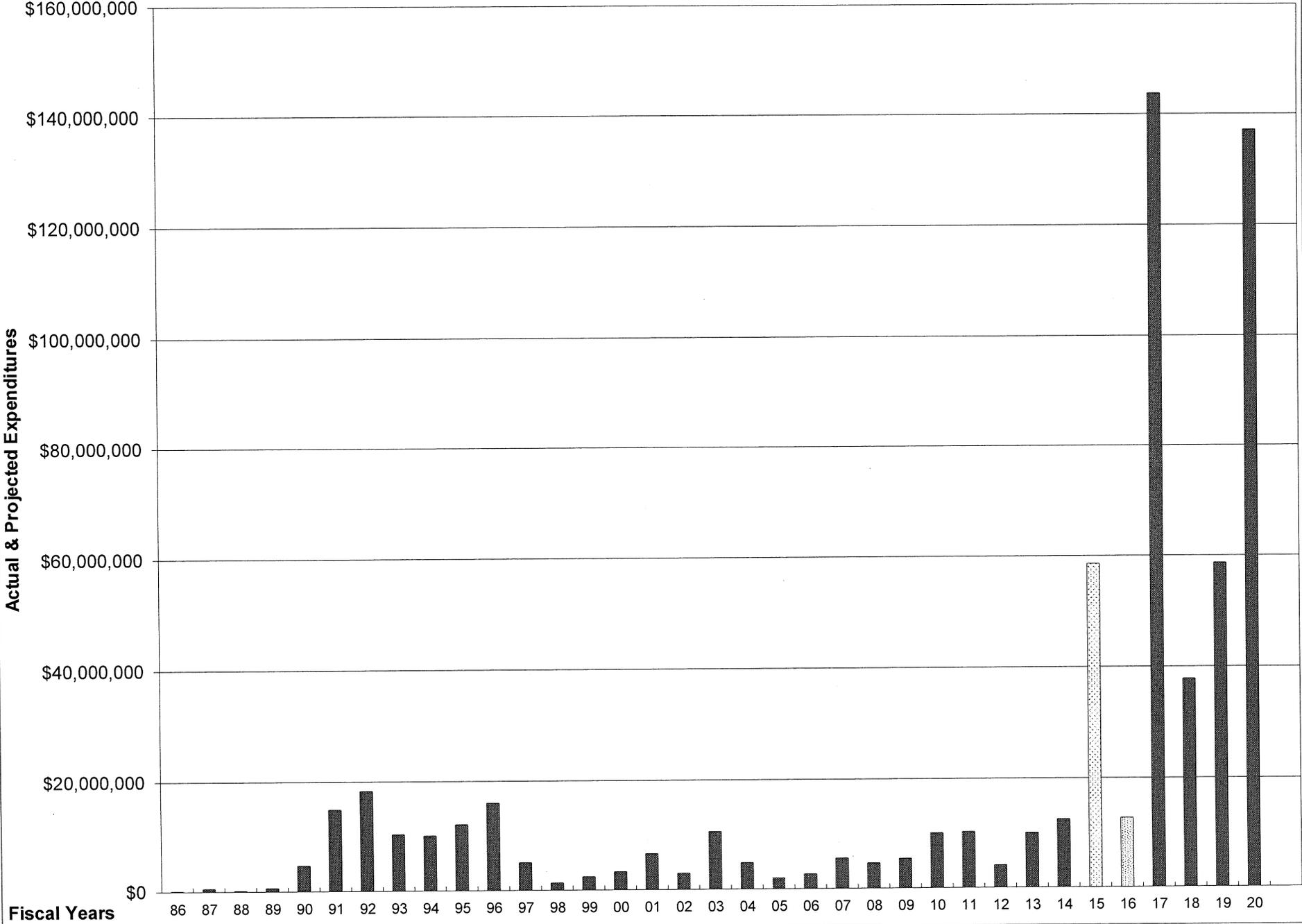
Group 73 - Streets & Highways

FY15-16 CIP Proposed

| by Project Type           | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |            |             |            |            | Projects Requiring<br>11 New Funding<br>4 in FY15-16 |         |
|---------------------------|-------------------|-----------------------------|---------------------------|-----------------------------|------------|-------------|------------|------------|--|---------|
|                           |                   |                             |                           | Total                       | FY15-16    | FY16-17     | FY17-18    | FY18-19    |  | FY19-20 |
| 20 Current Projects       | 185,142,538       | 33,783,175                  | 58,582,493                | 92,776,870                  | 1,608,600  | 13,543,770  | 9,688,300  | 18,563,000 | 49,373,200   |         |
| 10 New Projects           | 80,380,300        | 0                           | 0                         | 80,380,300                  | 11,101,000 | 69,279,300  | 0          | 0          | 0  |         |
| 50 Future Projects        | 221,229,112       | 4,162,382                   | 0                         | 217,066,730                 | 0          | 60,994,930  | 28,161,100 | 40,098,400 | 87,812,300   |         |
| 80 Totals                 | 486,751,950       | 37,945,557                  | 58,582,493                | 390,223,900                 | 12,709,600 | 143,818,000 | 37,849,400 | 58,661,400 | 137,185,500  |         |
| <b>by Funding Sources</b> |                   |                             |                           |                             |            |             |            |            |  |         |
| F301-General Projects     | 3,895,000         | 0                           | 1,200,000                 | 2,695,000                   | 200,000    | 895,000     | 800,000    | 800,000    | 0  |         |
| F241-Transp Devel Tax     | 0                 | 0                           | 0                         | 0                           | 0          | 0           | 0          | 0          | 0  |         |
| F242-Transp Sales Tax     | 31,097,967        | 4,300,248                   | 2,669,449                 | 24,128,270                  | 1,630,000  | 14,163,870  | 4,445,400  | 1,250,000  | 2,639,000  |         |
| F245-Gas Tax              | 23,448,338        | 1,375,111                   | 1,793,527                 | 20,279,700                  | 565,000    | 7,893,200   | 5,135,000  | 6,907,000  | -220,500   |         |
| F313-Infill Arterials     | 22,402,300        | 290,916                     | -215,916                  | 22,327,300                  | 458,600    | 736,000     | 0          | 3,281,000  | 17,851,700   |         |
| F323-Arterials Plan "C"   | 2,796,000         | 820,002                     | 1,975,998                 | 0                           | 0          | 0           | 0          | 0          | 0  |         |
| F345-RSP Arterials        | 2,320,905         | 1,173,851                   | 566,284                   | 580,770                     | 0          | 580,770     | 0          | 0          | 0  |         |
| F351-NE Industrial #1     | 15,639,792        | 12,858,992                  | 2,780,800                 | 0                           | 0          | 0           | 0          | 0          | 0  |         |
| F352-So MacArthur Are     | 2,895,300         | 0                           | 0                         | 2,895,300                   | 223,700    | 890,600     | 152,000    | 832,400    | 796,600  |         |
| F353-I205 Area Spec Pl    | 10,392,160        | 4,271,396                   | 706,264                   | 5,414,500                   | 0          | 2,350,600   | 2,484,900  | 0          | 579,000  |         |
| F354-Indus SP, South      | 15,949,732        | 1,059,913                   | 996,119                   | 13,893,700                  | 0          | 1,644,800   | 9,602,500  | 2,646,400  | 0  |         |
| F355-Presidio Area        | 2,057,200         | 0                           | 0                         | 2,057,200                   | 168,200    | 665,400     | 0          | 305,900    | 917,700  |         |
| F356-Tracy Gateway Ar     | 42,073,030        | 1,552,970                   | 25,660                    | 40,494,400                  | 0          | 22,484,400  | 0          | 18,010,000 | 0  |         |
| F357-NE Industrial #2     | 7,207,991         | 270,391                     | 0                         | 6,937,600                   | 0          | 1,421,700   | 3,418,100  | 0          | 2,097,800  |         |
| F358-Ellis Prgm Area      | 3,948,500         | 0                           | 0                         | 3,948,500                   | 0          | 256,200     | 85,800     | 534,400    | 3,072,100  |         |
| F363-TIMP Traffic         | 210,981,460       | 0                           | 0                         | 210,981,460                 | 5,474,100  | 82,849,760  | 1,258,000  | 16,857,500 | 104,542,100  |         |
| Developers Contribution   | 21,159,215        | 2,605,902                   | 268,913                   | 18,284,400                  | 3,024,000  | 1,263,700   | 3,085,900  | 6,000,800  | 4,910,000  |         |
| Highways Grants           | 68,487,060        | 7,365,865                   | 45,815,395                | 15,305,800                  | 966,000    | 5,722,000   | 7,381,800  | 1,236,000  | 0  |         |
| Future Developments       | 0                 | 0                           | 0                         | 0                           | 0          | 0           | 0          | 0          | 0  |         |
|                           | 486,751,950       | 37,945,557                  | 58,582,493                | 390,223,900                 | 12,709,600 | 143,818,000 | 37,849,400 | 58,661,400 | 137,185,500  |         |

|                  |               |            |            |                      |
|------------------|---------------|------------|------------|----------------------|
| CIP Expenditure: | in FY13-14 >> | 12,506,975 | 41,659,410 | New Appropriations   |
|                  | in FY12-13 >> | 10,019,175 | 15,033,618 | Carryovers from FY14 |
|                  | in FY11-12 >> | 4,092,308  | -100,535   | Deferrals            |
|                  | in FY10-11 >> | 10,269,232 | 1,990,000  | Supplementals        |

**Tracy Capital Improvement Program  
Streets & Highways**



Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #               | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures                                | FY14-15 Appropriations                        | NEW APPROPRIATIONS REQUIRED                     |                       |                             |                          |   | Anticipated Completion & Comments |  |
|-------------------------|---|------------------|--|---|---|---|-----------------------|-----------------------------|--------------------------|---|-----------------------------------|--|
|                         |   |                  |  |   |   | Total   | FY15-16               | FY16-17                     | FY17-18                  | FY18-19                                       |                                   | FY19-20  |
| <b>CURRENT PROJECTS</b> |   |                  |  |   |   | <b>Approved Capital Budget</b>                  |                       |                             |                          |   |                                   |  |
| 73014                   | Widening - Corral Hollow Road - Grant Line to Mall Entry      | 7,777,414        | F345-RSP Arterials<br>Developer Contribution<br>F353-I205 Area Spec P<br>F242-Transp Sales Tax<br>Highways Grants  | 421,622<br>739,700<br>2,361,434<br>3,229,330<br>900,000 | -36<br>0<br>-60,416<br>68,910<br>0            | 0<br>0<br>0<br>116,870<br>0                     | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>116,870<br>0 | 0<br>0<br>0<br>0<br>0    | 0<br>0<br>0<br>0<br>0                         | 0<br>0<br>0<br>0<br>0             | Feb 13<br>Work Completed                                     |
| 73048                   | Widening - Grant Line R MacArthur to City Limits              | 17,008,774       | F351-NE Industrial #1<br>Developer Contribution  | 12,858,992<br>1,368,982                                 | 2,780,800<br>0                                | 0<br>0  | 0<br>0                | 0<br>0                      | 0<br>0                   | 0<br>0  | 0<br>0                            | Nov 13<br>Work Completed                                     |
| 73061                   | Extension - Valpico Rd, Peddlebrook to MacArthur              | 3,101,832        | F354-Indus SP, South<br>F313-Infill Arterials  | 1,059,913<br>0  | -3,881<br>0                                   | 2,045,800<br>0                                  | 0<br>0                | 300,000<br>0                | 1,745,800<br>0           | 0<br>0  | 0<br>0                            | Jun 18<br>Deferred to Future                                 |
| 73063                   | Bridge Replacement - 11th Street Bridge                       | 46,507,520       | F242-Transp Sales Tax<br>F245-Gas Tax<br>Highways Grants   | 384,048<br>682,350<br>3,731,960                         | 1,141,262<br>995,450<br>39,572,450            | 0<br>0<br>0                                     | 0<br>0<br>0           | 0<br>0<br>0                 | 0<br>0<br>0              | 0<br>0<br>0                                   | 0<br>0<br>0                       | Dec 16<br>ROW Acq/Design Underwa<br>out to Bid Jan 15; Award |
| 73084                   | New Interchange - I205 & Lammers Road                         | 53,400,000       | F356-Tracy Gateway Al<br>F242-Transp Sales Tax<br>F363-TIMP Traffic<br>Federal TEA Grant<br>Developer Contribution | 54,340<br>6,910<br>0<br>2,099,526<br>231,050            | 25,660<br>93,127<br>0<br>4,548,274<br>268,913 | 18,010,000<br>2,579,000<br>25,483,200<br>0<br>0 | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0       | 0<br>0<br>0<br>0<br>0    | 18,010,000<br>500,000<br>25,483,200<br>0<br>0 | 0<br>2,079,000<br>0<br>0<br>0     | Jun 21<br>Design Underway                                    |
| 73095                   | Widening - Valpico Road, Tracy to Pebblebrook                 | 10,887,300       | F242-Transp Sales Tax<br>F354-Indus SP, South<br>F358-Ellis Prgm Area  | 306,621<br>0<br>0                                       | 193,379<br>1,000,000<br>0                     | 100,000<br>9,201,500<br>85,800                  | 0<br>0<br>0           | 100,000<br>1,344,800<br>0   | 0<br>7,856,700<br>85,800 | 0<br>0<br>0                                   | 0<br>0<br>0                       | Dec 18<br>Design Underway                                    |
| 73102                   | Widening - Corral Hollow Road, Byron to Grant Line (Phase II) | 4,160,200        | F353-I205 Area Spec P<br>F363-TIMP Traffic   | 533,320<br>0  | 766,680<br>0                                  | 0<br>2,860,200                                  | 0<br>0                | 0<br>2,860,200              | 0<br>0                   | 0<br>0  | 0<br>0                            | Dec 16<br>Ph 2 Design Underway                               |
| 73103                   | Widening - Corral Hollow Road, 11th to Schulte                | 5,779,000        | F323-Arterials Plan "C"<br>F245-Gas Tax  | 820,002<br>4,725  | 1,503,098<br>187,275                          | 0<br>3,263,900                                  | 0<br>0                | 0<br>3,263,900              | 0<br>0                   | 0<br>0  | 0<br>0                            | Dec 16<br>Design Underway                                    |
| 73109                   | New Interchange - I205 & Paradise Road                        | 23,100,838       | F245-Gas Tax<br>Federal TEA Grant<br>F363-TIMP Traffic   | 356,824<br>127,810<br>0                                 | 133,014<br>672,190<br>0                       | 0<br>0<br>21,811,000                            | 0<br>0<br>0           | 0<br>0<br>0                 | 0<br>0<br>0              | 0<br>0<br>0                                   | 0<br>0<br>21,811,000              | Jul 22<br>EIR Underway                                       |
| (Continued)             |   |                  |  |   |   |   |                       |                             |                          |   |                                   |  |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #                           | Project Title  | Project \$ Total | Funding Sources       | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |           |           |         |         | Anticipated Completion & Comments |                              |                       |
|-------------------------------------|--|------------------|-----------------------|--------------------------|------------------------|--------------------------------|-----------|-----------|---------|---------|-----------------------------------|------------------------------|-----------------------|
|                                     |  |                  |                       |                          |                        | Total                          | FY15-16   | FY16-17   | FY17-18 | FY18-19 |                                   | FY19-20                      |                       |
| <u>CURRENT PROJECTS (Continued)</u> |  |                  |                       |                          |                        | <b>Approved Capital Budget</b> |           |           |         |         |                                   |                              |                       |
| 73125                               | Reconstruction - Larch Road, Holly Drive to e of Tracy | 1,212,000        | F242-Transp Sales Tax | 91,345                   | 20,655                 | 1,100,000                      | 1,100,000 | 0         | 0       | 0       | 0                                 | Jun 17<br>Design Underway    |                       |
| 73126                               | Widening - MacArthur D Schulte to Valpico, Phase II    | 5,843,900        | F313-Infill Arterials | 290,916                  | -215,916               | 886,000                        | 150,000   | 736,000   | 0       | 0       | 0                                 | Jun 18                       |                       |
|                                     |  |                  | Federal TEA Grant     | 161,357                  | 381,543                | 1,146,000                      | 0         | 1,146,000 | 0       | 0       | 0                                 | Design Underway              |                       |
|                                     |  |                  | RSTP Grant            | 0                        | 0                      | 3,194,000                      | 0         | 3,194,000 | 0       | 0       | 0                                 | See 73PP-120 for Phase :     |                       |
| 73130                               | Street Patch & Overlay Program - FY13 Phase            | 1,002,100        | F242-Transp Sales Tax | 236,837                  | 143,163                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 14                       |                       |
|                                     |  |                  | F245-Gas Tax          | 9,596                    | 132,404                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Work Completed               |                       |
|                                     |  |                  | RSTP Grant            | 345,212                  | 134,888                | 0                              | 0         | 0         | 0       | 0       | 0                                 |                              |                       |
| 73131                               | Pavement Management System - FY13                      | 159,221          | F245-Gas Tax          | 47,034                   | 9,187                  | 103,000                        | 50,000    | 0         | 0       | 53,000  | 0                                 | Jun 16<br>Next Phase FY15-16 |                       |
| 73133                               | Temporary Sidewalk - Valpico, Tracy to MacArthur       | 173,779          | F245-Gas Tax          | 151,679                  | 22,100                 | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 14<br>Work Completed     |                       |
| 73136                               | Directional Signs in I205 at 2 locations               | 1,050,000        | F245-Gas Tax          | 0                        | 0                      | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 16                       |                       |
|                                     |  |                  | F345-RSP Arterials    | 1,680                    | 566,320                | 482,000                        | 0         | 482,000   | 0       | 0       | 0                                 | 0                            | Design Underway       |
| 73138                               | Street Patch & Overlay Program - FY14 Phase            | 1,166,050        | F242-Transp Sales Tax | 43,462                   | 486,538                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 15                       |                       |
|                                     |  |                  | F245-Gas Tax          | 0                        | 130,000                | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            | Design Completed      |
|                                     |  |                  | RSTP Grant            | 0                        | 506,050                | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            | Under CALTRANS Review |
| 73139                               | Sidewalk, Curb, & Gutte Repairs - FY14 Phase           | 375,100          | F242-Transp Sales Tax | 1,695                    | 120,105                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Sep 14 Priority A            |                       |
|                                     |  |                  | F245-Gas Tax          | 122,903                  | 130,397                | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            | Contract Award Jun 14 |
|                                     |  |                  | F268-Com Dev Block G  | 0                        | 0                      | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            |                       |
| 73140                               | Street Patch & Overlay Program - FY15 Phase            | 456,010          | F242-Transp Sales Tax | 0                        | 402,310                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 15                       |                       |
|                                     |  |                  | F245-Gas Tax          | 0                        | 53,700                 | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            | Design Underway       |
|                                     |  |                  | RSTP Grant            | 0                        | 0                      | 0                              | 0         | 0         | 0       | 0       | 0                                 | 0                            |                       |

(Continued)

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #                           | Project Title                                  | Project \$ Total | Funding Sources                                  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED |                                    |            |           |            | Anticipated Completion & Comments |                                     |
|-------------------------------------|--|------------------|--|--------------------------|------------------------|-----------------------------|------------------------------------|------------|-----------|------------|-----------------------------------|-------------------------------------|
|                                     |  |                  |  |                          |                        | Total                       | FY15-16                            | FY16-17    | FY17-18   | FY18-19    |                                   | FY19-20                             |
| <u>CURRENT PROJECTS (Continued)</u> |  |                  |  |                          |                        |                             |                                    |            |           |            |                                   |                                     |
|                                     |  |                  |  |                          |                        |                             | <b>Approved<br/>Capital Budget</b> |            |           |            |                                   |                                     |
| 73141                               | Improvements - Fabian Road, w of Corral Hollow | 781,500          | F323-Arterials Plan "C"<br>F313-Infill Arterials | 0                        | 472,900                | 0                           | 0                                  | 0          | 0         | 0          | 0                                 | Jun 16<br>Design Underway           |
| 73152                               | LED Street Lights Replacement FY14-15          | 1,200,000        | F301-General Projects                            | 0                        | 1,200,000              | 0                           | 0                                  | 0          | 0         | 0          | 0                                 | Oct 15<br>Priority A<br>Replacement |
| <b>Totals</b>                       |  |                  |  |                          |                        |                             |                                    |            |           |            |                                   |                                     |
| 20                                  | Current Projects                               | 185,142,538      |  | 33,783,175               | 58,582,493             | 92,776,870                  | 1,608,600                          | 13,543,770 | 9,688,300 | 18,563,000 | 49,373,200                        |                                     |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #           | Project Title  | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED                   |                                |   |                  |                  | Anticipated Completion & Comments |                                    |
|---------------------|--|------------------|--|--------------------------|------------------------|---|--------------------------------|---|------------------|------------------|-----------------------------------|------------------------------------|
|                     |  |                  |  |                          |                        | Total   | FY15-16                        | FY16-17                                       | FY17-18          | FY18-19          |                                   | FY19-20                            |
| <b>NEW PROJECTS</b> |  |                  |  |                          |                        | <b>Approved Capital Budget</b>                |                                |   |                  |                  |                                   |                                    |
| 73142               | Street Patch & Overlay Program - FY15-16 Phase           | 660,000          | F242-Transp Sales Tax<br>F245-Gas Tax  | 0<br>0                   | 0<br>0                 | 530,000<br>130,000                            | 530,000<br>130,000             | 0<br>0  | 0<br>0           | 0<br>0           | 0<br>0                            |                                    |
| 73143               | Widening - 11th Street, MacArthur to Chrisman            | 391,900          | F352-So MacArthur Are<br>F355-Presidio Area  | 0<br>0                   | 0<br>0                 | 223,700<br>168,200                            | 223,700<br>168,200             | 0<br>0  | 0<br>0           | 0<br>0           | 0<br>0                            | Sep 16 Priority A Expansion        |
| 73144               | Widening - Corral Hollow Road, Linne to Old Schulte      | 19,000,000       | F242-Transp Sales Tax<br>F245-Gas Tax<br>Highways Grants<br>Developer Contribution | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0       | 13,000,000<br>962,300<br>750,000<br>4,287,700 | 0<br>300,000<br>0<br>3,024,000 | 13,000,000<br>662,300<br>750,000<br>1,263,700 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0                  | Dec 17 Priority A Expansion        |
| 73145               | Sidewalks & Lights - Mt Diablo & Mt Oso                  | 1,166,000        | F301-General Projects<br>Highways Grants   | 0<br>0                   | 0<br>0                 | 200,000<br>966,000                            | 200,000<br>966,000             | 0<br>0  | 0<br>0           | 0<br>0           | 0<br>0                            | Dec 16 Priority A New Installation |
| 73146               | Overcrossing Upgrade - I205 & Mt House Pkwy              | 18,851,100       | F363-TIMP Traffic<br>Developer Contribution  | 0<br>0                   | 0<br>0                 | 18,851,100<br>0                               | 1,683,200<br>0                 | 17,167,900<br>0                               | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 17 Priority A Upgrade          |
| 73147               | Overcrossing Upgrade - I580 & Mt House Pkwy              | 23,658,900       | F363-TIMP Traffic<br>Developer Contribution  | 0<br>0                   | 0<br>0                 | 23,658,900<br>0                               | 2,190,700<br>0                 | 21,468,200<br>0                               | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 17 Priority A Upgrade          |
| 73148               | Bridge Widening - Delta Mendota Canal & Mt House Pkwy    | 5,777,500        | F363-TIMP Traffic<br>Developer Contribution  | 0<br>0                   | 0<br>0                 | 5,777,500<br>0                                | 555,300<br>0                   | 5,222,200<br>0                                | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 17 Priority A Upgrade          |
| 73149               | Bridge Widening - CA Aqueduct & Mt House Pkwy            | 5,985,800        | F363-TIMP Traffic<br>Developer Contribution  | 0<br>0                   | 0<br>0                 | 5,985,800<br>0                                | 583,500<br>0                   | 5,402,300<br>0                                | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 17 Priority A Upgrade          |
| 73150               | Bridge Widening - Delta Mendota Canal & Old Schulte Road | 4,804,100        | F363-TIMP Traffic<br>Developer Contribution  | 0<br>0                   | 0<br>0                 | 4,804,100<br>0                                | 461,400<br>0                   | 4,342,700<br>0                                | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 17 Priority A Upgrade          |
| 73151               | Fountain Renovation - 11th & Lammers                     | 85,000           | F245-Gas Tax   | 0                        | 0                      | 85,000  | 85,000                         | 0   | 0                | 0                | 0                                 | Jun 16 Priority A Rehabilitation   |
| 10                  | Totals New Projects                                      | 80,380,300       |  | 0                        | 0                      | 80,380,300                                    | 11,101,000                     | 69,279,300                                    | 0                | 0                | 0                                 |                                    |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #              | Project Title  | Project \$ Total | Funding Sources   | Prior Years Expenditures       | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED      |             |                         |                             |                         | Anticipated Completion & Comments |  |
|------------------------|--|------------------|---|--------------------------------|------------------------|----------------------------------|-------------|-------------------------|-----------------------------|-------------------------|-----------------------------------|--|
|                        |  |                  |   |                                |                        | Total                            | FY15-16     | FY16-17                 | FY17-18                     | FY18-19                 |                                   | FY19-20                                    |
| <b>FUTURE PROJECTS</b> |  |                  |   |                                |                        | <b>Approved Capital Budget</b>   |             |                         |                             |                         |                                   |  |
| 73002                  | Extension - MacArthur Drive, 11th to Mt Diablo Phase I     | 12,195,519       | F345-RSP Arterials Highways Grants F242-Transp Sales Tax            | 750,549<br>0<br>0              | 0<br>0<br>0            | 98,770<br>7,650,800<br>3,695,400 | 0<br>0<br>0 | 98,770<br>500,000<br>0  | 0<br>7,150,800<br>3,695,400 | 0<br>0<br>0             | 0<br>0<br>0                       | Jun 18<br>ROW Acq/Design Underw            |
| 73035                  | Widening - Grant Line Road - Naglee to Lammers             | 3,502,412        | F35X-I205 Area Plannir F353-I205 Area Spec P Developer Contribution | 53,527<br>1,323,115<br>266,170 | 0<br>0<br>0            | 0<br>1,859,600<br>0              | 0<br>0<br>0 | 0<br>1,859,600<br>0     | 0<br>0<br>0                 | 0<br>0<br>0             | 0<br>0<br>0                       | Jun 17 Partial Completion<br>Expansion     |
| 73057                  | Construction - Street "C" Naglee to Corral Hollow          | 2,134,200        | F353-I205 Area Spec P   | 0                              | 0                      | 2,134,200                        | 0           | 241,000                 | 1,893,200                   | 0                       | 0                                 | Jun 18 Priority B<br>New Facility          |
| 73067                  | Turn Lane - Grant Line Road & I205                         | 110,000          | F245-Gas Tax  | 0                              | 0                      | 110,000<br>0                     | 0<br>0      | 110,000<br>0            | 0<br>0                      | 0<br>0                  | 0<br>0                            | Jun 17 Priority B<br>Rehabilitation        |
| 73069                  | Construct - Street "A", Grant Line Road to Auto Mall Drive | 1,917,600        | F353-I205 Area Spec P Developer Contribution                        | 0<br>0                         | 0<br>0                 | 841,700<br>1,075,900             | 0<br>0      | 250,000<br>0            | 591,700<br>1,075,900        | 0<br>0                  | 0<br>0                            | Jun 18 Priority B<br>New Installation      |
| 73090                  | Extension - Chrisman R Grant Line Rd to I205               | 3,985,891        | F357-NE Industrial #2   | 270,391                        | 0                      | 3,715,500                        | 0           | 297,400                 | 3,418,100                   | 0                       | 0                                 | Jun 18 Priority B<br>Prelim Plan Completed |
| 73092                  | Widening - Lammers Rc 3,000 feet south of 11th Street      | 10,009,030       | F356-Tracy Gateway Al   | 1,498,630                      | 0                      | 8,510,400                        | 0           | 8,510,400               | 0                           | 0                       | 0                                 | Dec 17 Priority B<br>Expansion             |
| 73093                  | Widening - 11th Street, 4,500 feet west of Lammers         | 14,230,200       | F356-Tracy Gateway Al F358-Ellis Prgm Area                          | 0<br>0                         | 0<br>0                 | 13,974,000<br>256,200            | 0<br>0      | 13,974,000<br>256,200   | 0<br>0                      | 0<br>0                  | 0<br>0                            | Dec 17 Priority B<br>Expansion             |
| 73PP- 001              | Street Patch & Overlay Program - Future Phases             | 2,775,000        | F242-Transp Sales Tax F245-Gas Tax RSTP Grant                       | 0<br>0<br>0                    | 0<br>0<br>0            | 2,200,000<br>575,000<br>0        | 0<br>0<br>0 | 540,000<br>135,000<br>0 | 550,000<br>140,000<br>0     | 550,000<br>150,000<br>0 | 560,000<br>150,000<br>0           | Phased Annual Program<br>Rehabilitation    |
| 73PP- 007              | Reconstruction - Clover Road, Lincoln to City Limits       | 2,016,000        | F245-Gas Tax SJ County Participation                                | 0<br>0                         | 0<br>0                 | 1,008,000<br>1,008,000           | 0<br>0      | 0<br>0                  | 0<br>0                      | 1,008,000<br>1,008,000  | 0<br>0                            | Jun 19 Priority D<br>Rehabilitation        |
| 73PP- 010              | Widening - Grant Line Road, Parker to MacArthur            | 0                | F245-Gas Tax F313-Infill Arterials                                  | 0<br>0                         | 0<br>0                 | -2,710,500<br>2,710,500          | 0<br>0      | 0<br>0                  | 0<br>0                      | 0<br>0                  | -2,710,500<br>2,710,500           | Jan 20 Reimbursement<br>for 73052          |
| (Continued)            |  |                  |   |                                |                        |                                  |             |                         |                             |                         |                                   |  |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #                          | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED                           |                       |                       |                       |   | Anticipated Completion & Comments       |   |
|------------------------------------|---|------------------|--|--------------------------|------------------------|---|-----------------------|-----------------------|-----------------------|---|---|---|
|                                    |   |                  |  |                          |                        | Total   | FY15-16               | FY16-17               | FY17-18               | FY18-19   |   | FY19-20                                 |
| <b>FUTURE PROJECTS (Continued)</b> |   |                  |  |                          |                        | <b>Approved Capital Budget</b>                        |                       |                       |                       |   |   |   |
| 73PP- 021                          | Reconstruction MacArth Drive, Linne to Valpico              | 4,300,000        | F245-Gas Tax   | 0                        | 0                      | 4,300,000   | 0                     | 400,000               | 3,900,000             | 0   | 0                                       | Dec 18 Priority C Expansion             |
| 73PP- 025                          | Extension - Lincoln Blvd Kavanagh to Clover                 | 1,265,000        | F245-Gas Tax   | 0                        | 0                      | 1,265,000   | 0                     | 0                     | 0                     | 1,265,000   | 0                                       | Jun 19 Priority C New Installation      |
| 73PP- 028                          | Intchg Improvements - I205 & Grant Line Road, Phase II      | 18,300,000       | F245-Gas Tax<br>F363-TIMP Traffic  | 0<br>0                   | 0<br>0                 | 300,000<br>18,000,000                                 | 0<br>0                | 0<br>0                | 0<br>0                | 50,000<br>5,750,000                                   | 250,000<br>12,250,000                   | Dec 20 Priority C Expansion             |
| 73PP- 040                          | Widening - Corral Hollow Road, I205 north to City Limits    | 2,975,900        | F352-So MacArthur Are<br>F355-Presidio Area<br>Developer Contribution  | 0<br>0<br>0              | 0<br>0<br>0            | 57,900<br>43,200<br>2,874,800                         | 0<br>0<br>0           | 0<br>0<br>0           | 0<br>0<br>0           | 57,900<br>43,200<br>2,874,800                         | 0<br>0<br>0                             | Dec 19 Priority C Expansion<br>See 7314 |
| 73PP- 041                          | Widening - MacArthur Drive, 11th to Schulte Phases II & III | 6,834,500        | F352-So MacArthur Are<br>F355-Presidio Area<br>F363-TIMP Traffic   | 0<br>0<br>0              | 0<br>0<br>0            | 125,400<br>94,100<br>6,615,000                        | 0<br>0<br>0           | 0<br>0<br>0           | 0<br>0<br>0           | 0<br>0<br>586,000                                     | 125,400<br>94,100<br>6,029,000          | Jun 20 Priority C Expansion<br>See 7302 |
| 73PP- 042                          | Widening - Grant Line Road, Tracy to Corral Hollow          | 758,000          | F313-Infill Arterials<br>F352-So MacArthur Are<br>F355-Presidio Area   | 0<br>0<br>0              | 0<br>0<br>0            | 486,300<br>155,300<br>116,400                         | 0<br>0<br>0           | 0<br>0<br>0           | 0<br>0<br>0           | 486,300<br>155,300<br>116,400                         | 0<br>0<br>0                             | Jun 19 Priority C Expansion<br>See 7303 |
| 73PP- 043                          | Widening - Grant Line Road, Lammers to Street A             | 5,120,500        | F352-So MacArthur Are<br>F355-Presidio Area<br>F358-Ellis Prgm Area<br>F363-TIMP Traffic<br>Developer Contribution | 0<br>0<br>0<br>0<br>0    | 0<br>0<br>0<br>0<br>0  | 86,000<br>65,000<br>239,500<br>2,750,000<br>1,980,000 | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0 | 86,000<br>65,000<br>239,500<br>2,750,000<br>1,980,000 | 0<br>0<br>0<br>0<br>0                   | Dec 19 Priority C Expansion             |
| 73PP 047                           | Widening - Lammers Road, 11th south to City Limit           | 29,835,600       | F352-So MacArthur Are<br>F355-Presidio Area<br>F358-Ellis Prgm Area<br>F363-TIMP Traffic                           | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0       | 425,200<br>319,400<br>1,761,000<br>27,330,000         | 0<br>0<br>0<br>0      | 0<br>0<br>0<br>0      | 0<br>0<br>0<br>0      | 425,200<br>0<br>0<br>974,500                          | 0<br>319,400<br>1,761,000<br>26,355,500 | Dec 20 Priority C Expansion             |
| (Continued)                        |   |                  |  |                          |                        |   |                       |                       |                       |   |   |   |

## Group 73 - Streets &amp; Highways

FY15-16 CIP Proposed

| Project #                          | Project Title  | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED                               |                       |                                 |                       |   | Anticipated Completion & Comments                         |                                     |
|------------------------------------|--|------------------|---|--------------------------|------------------------|---|-----------------------|---------------------------------|-----------------------|---|---|-------------------------------------|
|                                    |  |                  |   |                          |                        | Total   | FY15-16               | FY16-17                         | FY17-18               | FY18-19                                   |   | FY19-20                             |
| <b>FUTURE PROJECTS (Continued)</b> |  |                  |   |                          |                        | <b>Approved Capital Budget</b>                            |                       |                                 |                       |   |   |                                     |
| 73PP 048                           | Widening - Schulte Road, Pavillion to New                      | 7,239,300        | F352-So MacArthur Area<br>F355-Presidio Area<br>F358-Ellis Prgm Area<br>F363-TIMP Traffic                           | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0       | 108,000<br>81,300<br>253,000<br>6,797,000                 | 0<br>0<br>0<br>0      | 0<br>0<br>0<br>0                | 0<br>0<br>0<br>0      | 108,000<br>81,300<br>253,000<br>6,797,000 | 0<br>0<br>0<br>0  | Dec 19 Priority C<br>Expansion      |
| 73PP 049                           | Constructuon - Schulte Road, Lammers to Crossroads Drive       | 6,480,400        | F352-So MacArthur Area<br>F355-Presidio Area<br>F363-TIMP Traffic   | 0<br>0<br>0              | 0<br>0<br>0            | 162,000<br>121,400<br>6,197,000                           | 0<br>0<br>0           | 0<br>0<br>0                     | 0<br>0<br>0           | 0<br>0<br>0                               | 162,000<br>121,400<br>6,197,000                           | Dec 20 Priority D<br>Expansion      |
| 73PP 050                           | Widening - Schulte Road, Sycamore to Crossroad Drive, Phase II | 2,227,500        | F352-So MacArthur Area<br>F355-Presidio Area<br>F363-TIMP Traffic   | 0<br>0<br>0              | 0<br>0<br>0            | 197,700<br>148,800<br>1,881,000                           | 0<br>0<br>0           | 0<br>0<br>0                     | 0<br>0<br>0           | 0<br>0<br>0                               | 197,700<br>148,800<br>1,881,000                           | Dec 20 Priority D<br>Expansion      |
| 73PP 051                           | Widening - Valpico Road, Lammers to Corral Hollow              | 9,074,000        | F352-So MacArthur Area<br>F355-Presidio Area<br>F358-Ellis Prgm Area<br>F363-TIMP Traffic<br>Developer Contribution | 0<br>0<br>0<br>0<br>0    | 0<br>0<br>0<br>0<br>0  | 311,500<br>234,000<br>1,311,100<br>2,307,400<br>4,910,000 | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0           | 0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0                     | 311,500<br>234,000<br>1,311,100<br>2,307,400<br>4,910,000 | Dec 20 Priority D<br>Expansion      |
| 73PP 054                           | Aqueduct Crossings - Corral Hollow Road                        | 10,000,000       | F352-So MacArthur Area<br>F355-Presidio Area<br>F363-TIMP Traffic   | 0<br>0<br>0              | 0<br>0<br>0            | 254,600<br>191,400<br>9,554,000                           | 0<br>0<br>0           | 254,600<br>191,400<br>9,554,000 | 0<br>0<br>0           | 0<br>0<br>0                               | 0<br>0<br>0   | Dec 18 Priority B<br>Expansion      |
| 73PP- 055                          | Widening - MacArthur D I205 to Pescadero                       | 1,124,300        | F357-NE Industrial #2   | 0                        | 0                      | 1,124,300   | 0                     | 1,124,300                       | 0                     | 0   | 0   | Jun 17 Priority B<br>Expansion      |
| 73PP- 071                          | Widening - MacArthur D I205, north to Arbor                    | 1,989,500        | F313-Infill Arterials<br>F245-Gas Tax   | 0<br>0                   | 0<br>0                 | 1,499,500<br>490,000                                      | 0<br>0                | 0<br>0                          | 0<br>60,000           | 0<br>430,000                              | 1,499,500<br>0  | Jun 20 Priority D<br>Expansion      |
| 73PP- 080                          | Intersection Modificator 11th & Tracy                          | 3,570,000        | F245-Gas Tax  | 0                        | 0                      | 3,570,000   | 0                     | 0                               | 0                     | 1,780,000                                 | 1,790,000   | Jun 20 Priority D<br>Rehabilitation |
| 73PP- 093                          | Widening - Pescadero Ave, MacArthur to Paradise                | 2,097,800        | F357-NE Industrial #2   | 0                        | 0                      | 2,097,800   | 0                     | 0                               | 0                     | 0   | 2,097,800   | Jun 20 Priority D<br>Expansion      |
| (Continued)                        |  |                  |   |                          |                        |   |                       |                                 |                       |   |   |                                     |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #                          | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED       |             |                   |                                   |              | Anticipated Completion & Comments |   |
|------------------------------------|---|------------------|--|--------------------------|------------------------|-----------------------------------|-------------|-------------------|-----------------------------------|--------------|-----------------------------------|---|
|                                    |   |                  |  |                          |                        | Total                             | FY15-16     | FY16-17           | FY17-18                           | FY18-19      |                                   | FY19-20                                 |
| <u>FUTURE PROJECTS (Continued)</u> |   |                  |  |                          |                        | <b>Approved Capital Budget</b>    |             |                   |                                   |              |                                   |   |
| 73PP- 095                          | Construct - Crossroads, Greystone to Schulte              | 3,820,000        | F352-So MacArthur Are<br>F363-TIMP Traffic<br>Developer Contribution | 0<br>0<br>0              | 0<br>0<br>0            | 152,000<br>1,658,000<br>2,010,000 | 0<br>0<br>0 | 0<br>400,000<br>0 | 152,000<br>1,258,000<br>2,010,000 | 0<br>0<br>0  | 0<br>0<br>0                       | Dec 18 Priority B<br>New Installation   |
| 73PP- 097                          | Widening - Linne Road, Corral Hollow to Tracy             | 13,641,700       | F313-Infill Arterials<br>Developer Contribution                      | 0<br>0                   | 0<br>0                 | 13,641,700<br>0                   | 0<br>0      | 0<br>0            | 0<br>0                            | 0<br>0       | 13,641,700<br>0                   | Jun 21 Priority D<br>Expansion          |
| 73PP- 098t                         | Sidewalk, Curb, & Gutte Repairs - Future Phases           | 600,000          | F245-Gas Tax<br>F269-Com Dev Block G                                 | 0<br>0                   | 0<br>0                 | 600,000<br>0                      | 0<br>0      | 150,000<br>0      | 150,000<br>0                      | 150,000<br>0 | 150,000<br>0                      | Phased Annual Program<br>Rehabilitation |
| 73PP- 103                          | Construction- North Roadways, I205 NW Area                | 579,000          | F353-I205 Area Spec P  | 0                        | 0                      | 579,000                           | 0           | 0                 | 0                                 | 0            | 579,000                           | Jun 20 Priority D<br>New Installation   |
| 73PP- 105                          | Reconstruction - Bessie Ave, Carlton to Grantline         | 1,170,000        | F242-Transp Sales Tax<br>F245-Gas Tax                                | 0<br>0                   | 0<br>0                 | 0<br>1,170,000                    | 0<br>0      | 0<br>1,170,000    | 0<br>0                            | 0<br>0       | 0<br>0                            | Jun 17 Priority B<br>Rehabilitation     |
| 73PP- 108                          | Construction - Larch Rd north side, east of Holly         | 850,000          | F245-Gas Tax   | 0                        | 0                      | 850,000                           | 0           | 850,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>Upgrade            |
| 73PP- 109                          | Reconstruction MacArth Drive, I205 to Arbor Rd            | 500,000          | F245-Gas Tax   | 0                        | 0                      | 500,000                           | 0           | 500,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>Rehabilitation     |
| 73PP- 110                          | Reconstruction Lammer Road, north of Redbridge Rd         | 111,000          | F245-Gas Tax   | 0                        | 0                      | 111,000                           | 0           | 111,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>Rehabilitation     |
| 73PP- 111                          | Reconstruction 6th St, west of Tracy Blvd                 | 200,000          | F245-Gas Tax   | 0                        | 0                      | 200,000                           | 0           | 200,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>Rehabilitation     |
| 73PP- 112                          | Widening - Schulte Roa west of Barcelona                  | 2,228,000        | F245-Gas Tax<br>F363-TIMP Traffic                                    | 0<br>0                   | 0<br>0                 | 0<br>2,228,000                    | 0<br>0      | 0<br>0            | 0<br>0                            | 0<br>0       | 0<br>2,228,000                    | Jun 20 Priority D<br>Expansion          |
| 73PP- 113                          | Rehabilitation Street Shoulders - Citywide                | 600,000          | F245-Gas Tax   | 0                        | 0                      | 600,000                           | 0           | 150,000           | 150,000                           | 150,000      | 150,000                           | Phased Annual Program<br>Rehabilitation |
| 73PP- 114                          | Install Sidewalk - Lowell Ave, southside Tracy to Chester | 115,000          | F245-Gas Tax   | 0                        | 0                      | 115,000                           | 0           | 115,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>New Installation   |
| 73PP- 117                          | Reconstruction - Larch Road, Holly Drive to WWTP          | 207,000          | F242-Transp Sales Tax  | 0                        | 0                      | 207,000                           | 0           | 207,000           | 0                                 | 0            | 0                                 | Jun 17 Priority B<br>Rehabilitation     |
| (Continued)                        |   |                  |  |                          |                        |                                   |             |                   |                                   |              |                                   |   |

Group 73 - Streets & Highways

FY15-16 CIP Proposed

| Project #                          | Project Title  | Project \$ Total | Funding Sources        | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED |                                |            |            |            | Anticipated Completion & Comments |                                    |
|------------------------------------|--|------------------|------------------------|--------------------------|------------------------|-----------------------------|--------------------------------|------------|------------|------------|-----------------------------------|------------------------------------|
|                                    |  |                  |                        |                          |                        | Total                       | FY15-16                        | FY16-17    | FY17-18    | FY18-19    |                                   | FY19-20                            |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |                        |                          |                        |                             | <b>Approved Capital Budget</b> |            |            |            |                                   |                                    |
| 73PP- 119                          | Street Light Repairs - various locations             | 76,000           | F245-Gas Tax           | 0                        | 0                      | 76,000                      | 0                              | 76,000     | 0          | 0          | 0                                 | Jun 17 Priority B Replacement      |
| 73PP- 120                          | Widening - MacArthur D Schulte to Valpico, Phase 3   | 6,629,000        | F313-Infill Arterials  | 0                        | 0                      | 2,794,700                   | 0                              | 0          | 0          | 2,794,700  | 0                                 | Jun 19 Priority C Expansion        |
|                                    |  |                  | F354-Indus SP, South   | 0                        | 0                      | 2,646,400                   | 0                              | 0          | 0          | 2,646,400  | 0                                 | See 73126 for Phase 2              |
|                                    |  |                  | F358-Ellis Prgm Area   | 0                        | 0                      | 41,900                      | 0                              | 0          | 0          | 41,900     | 0                                 |                                    |
|                                    |  |                  | Developer Contribution | 0                        | 0                      | 1,146,000                   | 0                              | 0          | 0          | 1,146,000  | 0                                 |                                    |
| 73PP- 121                          | Widening - Corral Hollo Road, I580 to Linne          | 13,000,000       | F352-So MacArthur Are  | 0                        | 0                      | 636,000                     | 0                              | 636,000    | 0          | 0          | 0                                 | Dec 17 Priority B Expansion        |
|                                    |  |                  | F355-Presidio Area     | 0                        | 0                      | 474,000                     | 0                              | 474,000    | 0          | 0          | 0                                 |                                    |
|                                    |  |                  | F363-TIMP Traffic      | 0                        | 0                      | 11,890,000                  | 0                              | 11,890,000 | 0          | 0          | 0                                 |                                    |
| 73PP- 122                          | Reconstruction - Tracy Blvd, south of Linne          | 1,871,000        | F245-Gas Tax           | 0                        | 0                      | 1,871,000                   | 0                              | 0          | 0          | 1,871,000  | 0                                 | Jun 19 Priority C Rehabilitation   |
| 73PP- 123                          | Reconstruction - Arbor Road, Holly east to RR        | 735,000          | F245-Gas Tax           | 0                        | 0                      | 735,000                     | 0                              | 0          | 735,000    | 0          | 0                                 | Jun 18 Priority B Rehabilitation   |
| 73PP- 126                          | 11th Street Beautificatio Corral Hollow to MacArthur | 3,591,000        | F242-Transp Sales Tax  | 0                        | 0                      | 600,000                     | 0                              | 200,000    | 200,000    | 200,000    | 0                                 | Jun 19 Priority B Rehabilitation   |
|                                    |  |                  | F301-General Projects  | 0                        | 0                      | 2,400,000                   | 0                              | 800,000    | 800,000    | 800,000    | 0                                 |                                    |
|                                    |  |                  | Highways Grants        | 0                        | 0                      | 591,000                     | 0                              | 132,000    | 231,000    | 228,000    | 0                                 |                                    |
| 73PP- 127                          | Intersection Impvments Pavilion & Capital Parks      | 2,044,260        | F363-TIMP Traffic      | 0                        | 0                      | 2,044,260                   | 0                              | 2,044,260  | 0          | 0          | 0                                 | Dec 17 Priority B New Installation |
| 73PP- 128                          | Construction - Capital Parks Drive, Hansen to New    | 2,498,000        | F363-TIMP Traffic      | 0                        | 0                      | 2,498,000                   | 0                              | 2,498,000  | 0          | 0          | 0                                 | Dec 17 Priority B New Installation |
| 73PP- 129                          | Median Renovation - Grant Line Rd, west of Naglee    | 95,000           | F301-General Projects  | 0                        | 0                      | 95,000                      | 0                              | 95,000     | 0          | 0          | 0                                 | Dec 16 Priority B Rehabilitation   |
| <u>Totals</u>                      |  |                  |                        |                          |                        |                             |                                |            |            |            |                                   |                                    |
| 50                                 | Future Projects                                      | 221,229,112      |                        | 4,162,382                | 0                      | 217,066,730                 | 0                              | 60,994,930 | 28,161,100 | 40,098,400 | 87,812,300                        |                                    |

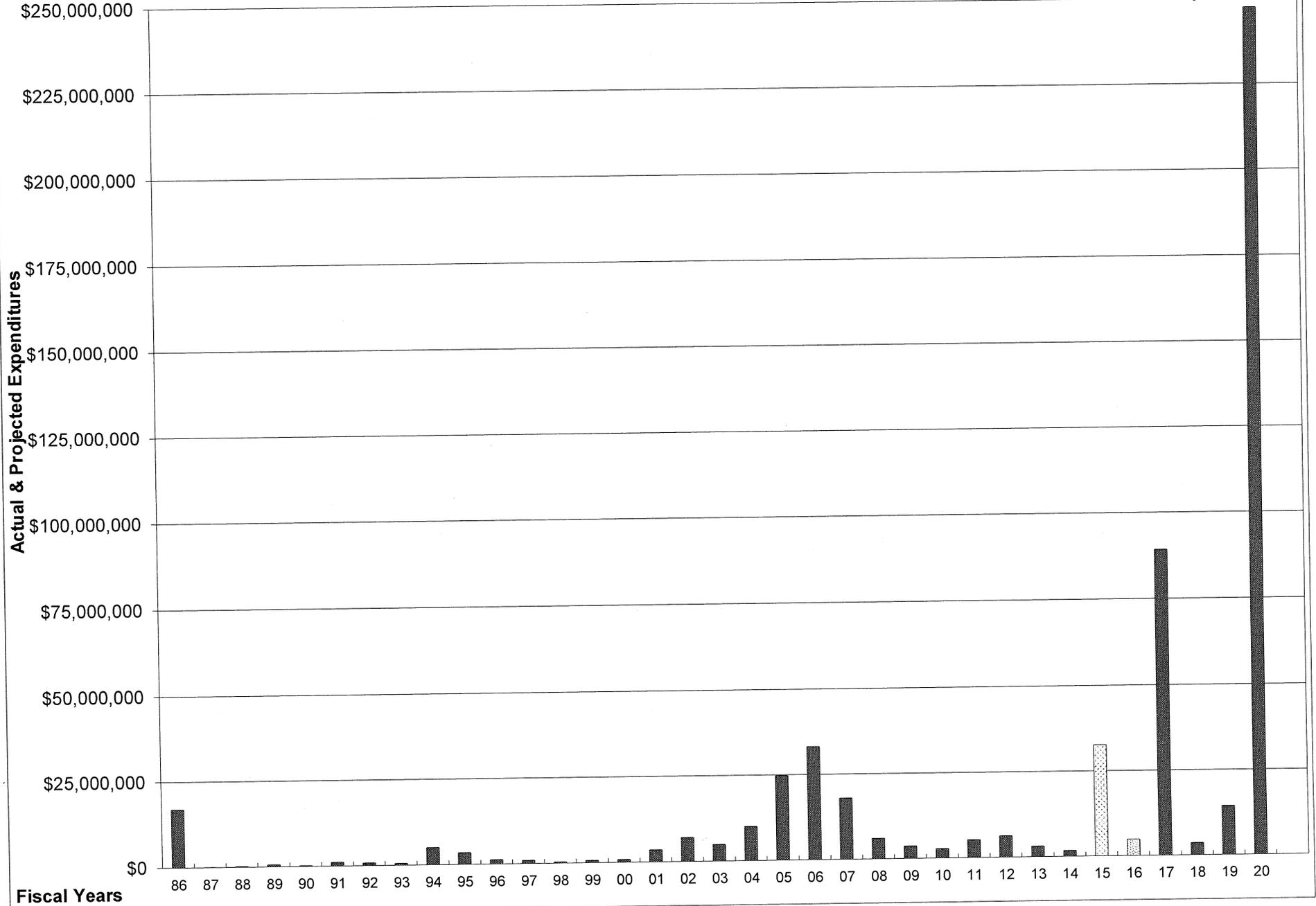
Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total         | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |             |           |            |           | Projects Requiring<br>New Funding<br>in FY15-16 |             |                               |
|---------------------------|---------------------------|-----------------------------|---------------------------|-----------------------------|-------------|-----------|------------|-----------|---|-------------|-------------------------------|
|                           |                           |                             |                           | Total                       | FY15-16     | FY16-17   | FY17-18    | FY18-19   |   | FY19-20     |                               |
| 17                        | Current Projects          | 114,695,020                 | 4,983,987                 | 32,640,333                  | 77,070,700  | 2,905,000 | 73,165,700 | 0         | 1,000,000                                       | 0           | 7 New Funding<br>3 in FY15-16 |
| 6                         | New Projects              | 14,948,440                  | 0                         | 0                           | 14,948,440  | 1,907,940 | 13,040,500 | 0         | 0   | 0           |                               |
| 15                        | Future Projects           | 267,341,000                 | 59,920                    | 0                           | 267,281,080 | 0         | 2,992,580  | 3,587,000 | 13,369,900                                      | 247,331,600 |                               |
| 38                        | Totals                    | 396,984,460                 | 5,043,907                 | 32,640,333                  | 359,300,220 | 4,812,940 | 89,198,780 | 3,587,000 | 14,369,900                                      | 247,331,600 |                               |
| <b>by Funding Sources</b> |                           |                             |                           |                             |             |           |            |           |   |             |                               |
|                           | F513-Water Capital        | 748,534                     | 736,927                   | 11,607                      | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | F523-Wastewater Capit     | 29,146,446                  | -440,333                  | 6,484,539                   | 23,102,240  | 3,142,060 | 3,684,680  | 1,239,500 | 3,181,000                                       | 11,855,000  |                               |
|                           | Debt Proceeds             | 14,000,000                  | 0                         | 14,000,000                  | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | F325-Utilities - Plan "C" | 405,000                     | 0                         | 405,000                     | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | F351-NE Indus Area #1     | 0                           | 0                         | 0                           | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | F353-I205 Area Spec Pl    | 0                           | 0                         | 0                           | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | F354-ISP South Area       | 3,975,300                   | 67,533                    | 188,167                     | 3,719,600   | 186,000   | 3,533,600  | 0         | 0   | 0           |                               |
|                           | F356-Tracy Gateway Ar     | 9,070,000                   | 582,303                   | 1,481,497                   | 7,006,200   | 0         | 553,500    | 2,347,500 | 1,693,600                                       | 2,411,600   |                               |
|                           | F357-NE Indus Area #2     | 7,436,200                   | 3,253,655                 | 2,379,345                   | 1,803,200   | 180,000   | 1,623,200  | 0         | 0   | 0           |                               |
|                           | F358-Ellis Prgm Area      | 17,644,880                  | 0                         | 0                           | 17,644,880  | 1,304,880 | 4,400,000  | 0         | 2,000,000                                       | 9,940,000   |                               |
|                           | F364-TIMP Wastewater      | 298,501,300                 | 0                         | 0                           | 298,501,300 | 0         | 70,141,300 | 0         | 5,235,000                                       | 223,125,000 |                               |
|                           | State & Local Grant       | 0                           | 0                         | 0                           | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | Assessments Bonds         | 0                           | 0                         | 0                           | 0           | 0         | 0          | 0         | 0   | 0           |                               |
|                           | Developer's Contributor   | 10,353,000                  | 843,822                   | 7,690,178                   | 1,819,000   | 0         | 0          | 0         | 1,819,000                                       | 0           |                               |
|                           | Future Developments       | 5,703,800                   | 0                         | 0                           | 5,703,800   | 0         | 5,262,500  | 0         | 441,300   | 0           |                               |
|                           |                           | 396,984,460                 | 5,043,907                 | 32,640,333                  | 359,300,220 | 4,812,940 | 89,198,780 | 3,587,000 | 14,369,900                                      | 247,331,600 |                               |

|                  |               |           |            |                      |
|------------------|---------------|-----------|------------|----------------------|
| CIP Expenditure: | in FY13-14 >> | 1,705,002 | 24,201,800 | New Appropriations   |
|                  | in FY12-13 >> | 3,136,735 | 8,438,533  | Carryovers from FY14 |
|                  | in FY11-12 >> | 6,251,335 | 0          | Deferrals            |
|                  | in FY10-11 >> | 5,250,991 | 0          | Supplementals        |

### Tracy Capital Improvement Program Wastewater Improvements



Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| Project #               | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations               | NEW APPROPRIATIONS REQUIRED    |             |                     |             |             | Anticipated Completion & Comments |                                    |
|-------------------------|---|------------------|--|--------------------------|--------------------------------------|--------------------------------|-------------|---------------------|-------------|-------------|-----------------------------------|------------------------------------|
|                         |   |                  |  |                          |                                      | Total                          | FY15-16     | FY16-17             | FY17-18     | FY18-19     |                                   | FY19-20                            |
| <b>CURRENT PROJECTS</b> |   |                  |  |                          |                                      | <b>Approved Capital Budget</b> |             |                     |             |             |                                   |                                    |
| 74083                   | Second Outfall Pipeline - WW Treatment Plant              | 25,000,000       | F357-NE Indus Area #2 Debt Proceeds<br>Developer's Contribution          | 3,253,655<br>0<br>0      | 1,746,345<br>14,000,000<br>6,000,000 | 0<br>0<br>0                    | 0<br>0<br>0 | 0<br>0<br>0         | 0<br>0<br>0 | 0<br>0<br>0 | Jun 16<br>Design Underway         |                                    |
| 74084                   | WWL Upgrades - East Grant Line Road                       | 2,115,700        | F523-Wastewater Capit<br>F354-ISP South Area                             | 0<br>67,533              | 0<br>188,167                         | 0<br>1,860,000                 | 0<br>0      | 0<br>1,860,000      | 0<br>0      | 0<br>0      | 0<br>0                            | Jun 17<br>Design Underway          |
| 74091                   | Wastewater Recycling Pipeline, Phase I                    | 3,045,000        | F523-Wastewater Capit  | 39,530                   | 305,470                              | 2,700,000                      | 2,700,000   | 0                   | 0           | 0           | 0                                 | Jul 17<br>Planning Underway        |
| 74094                   | WWCS Capacity Maint Mgmt System-Data Acquisition          | 125,000          | F523-Wastewater Capit  | 59,828                   | 40,172                               | 25,000                         | 25,000      | 0                   | 0           | 0           | 0                                 | Jun 16<br>Work Underway            |
| 74097                   | Upgrade WW Collection System - Hansen Road                | 4,778,700        | F356-Tracy Gateway Ar<br>Future Developments<br>Developer's Contribution | 582,303<br>0<br>0        | -122,303<br>0<br>1,120,000           | 0<br>3,198,700<br>0            | 0<br>0<br>0 | 0<br>3,198,700<br>0 | 0<br>0<br>0 | 0<br>0<br>0 | 0<br>0<br>0                       | May 17<br>Design Underway          |
| 74098                   | WW Lines Replacement Program - FY13 Phase                 | 265,000          | F523-Wastewater Capit  | 3,127                    | 261,873                              | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Jun 15<br>Contract Award Jan 2015  |
| 74100                   | Wastewater Discharge Permit Study - FY13                  | 390,000          | F523-Wastewater Capit  | 66,951                   | 323,049                              | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Jun 17<br>Priority A Study         |
| 74101                   | Security Cameras for - WW Treatment Plant                 | 30,000           | F523-Wastewater Capit  | 0                        | 30,000                               | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Nov 13<br>Priority A New Equipment |
| 74103                   | WW Lines Replacement Bessie Ave, Emerson to Grant Line Rd | 960,000          | F523-Wastewater Capit  | 0                        | 960,000                              | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Jun 16<br>Design Underway          |
| 74104                   | WW Lines Replacement Program - FY14 Phase                 | 265,000          | F523-Wastewater Capit  | 67,238                   | 197,762                              | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Aug 15<br>Design Underway          |
| 74105                   | WWT Plant Replacemer Program - FY14 Phase                 | 212,820          | F523-Wastewater Capit  | 0                        | 212,820                              | 0                              | 0           | 0                   | 0           | 0           | 0                                 | Annual Contingency Replacement     |
| (Continued)             |   |                  |  |                          |                                      |                                |             |                     |             |             |                                   |                                    |

## Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| Project #                           | Project Title  | Project<br>\$ Total | Funding<br>Sources                                 | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |              |                         |         |           | Anticipated Completion<br>& Comments |                                     |
|-------------------------------------|--|---------------------|--|-----------------------------|---------------------------|------------------------------------|--------------|-------------------------|---------|-----------|--------------------------------------|-------------------------------------|
|                                     |  |                     |  |                             |                           | Total                              | FY15-16      | FY16-17                 | FY17-18 | FY18-19   |                                      | FY19-20                             |
| <b>CURRENT PROJECTS (Continued)</b> |  |                     |  |                             |                           | <b>Approved<br/>Capital Budget</b> |              |                         |         |           |                                      |                                     |
| 74106                               | Pump Station Replacem<br>Larch Road PS                 | 1,920,000           | F523-Wastewater Capit                              | 0                           | 500,000                   | 1,420,000                          | 0            | 420,000                 | 0       | 1,000,000 | 0                                    | Jun 19 Priority B<br>Rehabilitation |
| 74107                               | Wastewater Treatment<br>Plant Expansion - Phase 2      | 67,000,000          | F523-Wastewater Capit<br>F364-TIMP Wastewater      | 0<br>0                      | 3,000,000<br>0            | 1,000,000<br>63,000,000            | 0<br>0       | 1,000,000<br>63,000,000 | 0<br>0  | 0<br>0    | 0<br>0                               | Dec 18 Priority B<br>Expansion      |
| 74110                               | WWS Improvements -<br>Tracy Hills Area                 | 1,414,000           | F523-Wastewater Capit<br>Developer's Contributio   | 0<br>843,822                | 0<br>570,178              | 0<br>0                             | 0<br>0       | 0<br>0                  | 0<br>0  | 0<br>0    | 0<br>0                               | Design Underway                     |
| 74111                               | WW Lines Replacement<br>Program - FY15 Phase           | 255,000             | F523-Wastewater Capit                              | 0                           | 255,000                   | 0                                  | 0            | 0                       | 0       | 0         | 0                                    | Aug 15<br>Design Underway           |
| 74112                               | Fiber Optic Lines from<br>Civic Center to WWTP         | 250,000             | F523-Wastewater Capit                              | 0                           | 250,000                   | 0                                  | 0            | 0                       | 0       | 0         | 0                                    | Jun 16<br>Design Underway           |
| 74113                               | Force Main Expansion -<br>Larch Road                   | 2,008,800           | F325-Utilities - Plan "C"<br>F356-Tracy Gateway Ai | 0<br>0                      | 405,000<br>1,603,800      | 0<br>0                             | 0<br>0       | 0<br>0                  | 0<br>0  | 0<br>0    | 0<br>0                               | Jun 16 Priority B2<br>Expansion     |
| 74114                               | WWCS Improvements -<br>NE Industrial Area #2 - Phase 2 | 4,500,000           | F357-NE Indus Area #2<br>Future Developments       | 0<br>0                      | 633,000<br>0              | 1,803,200<br>2,063,800             | 180,000<br>0 | 1,623,200<br>2,063,800  | 0<br>0  | 0<br>0    | 0<br>0                               | Nov 17 Priority A<br>New            |
| 74115                               | Wastewater Discharge<br>Permit Studies - FY15 Phase    | 160,000             |  | 0                           | 160,000                   | 0                                  | 0            | 0                       | 0       | 0         | 0                                    | Jan 16 Priority B4<br>Study         |
| 17                                  | Totals<br>Current Projects                             | 114,695,020         |  | 4,983,987                   | 32,640,333                | 77,070,700                         | 2,905,000    | 73,165,700              | 0       | 1,000,000 | 0                                    |                                     |

## Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| Project #           | Project Title                                | Project \$ Total | Funding Sources       | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |           |            |         |         | Anticipated Completion & Comments |                                       |
|---------------------|--|------------------|-----------------------|--------------------------|------------------------|--------------------------------|-----------|------------|---------|---------|-----------------------------------|---------------------------------------|
|                     |  |                  |                       |                          |                        | Total                          | FY15-16   | FY16-17    | FY17-18 | FY18-19 |                                   | FY19-20                               |
| <b>NEW PROJECTS</b> |  |                  |                       |                          |                        | <b>Approved Capital Budget</b> |           |            |         |         |                                   |                                       |
| 74116               | WW Lines Replacement Program - FY15-16 Phase | 265,000          | F523-Wastewater Capit | 0                        | 0                      | 265,000                        | 265,000   | 0          | 0       | 0       | 0                                 | Annual Contingency Replacement        |
| 74117               | WWT Plant Replacemer Program - FY15-16 Phase | 240,000          | F523-Wastewater Capit | 0                        | 0                      | 240,000                        | 240,000   | 0          | 0       | 0       | 0                                 | Annual Contingency Replacement        |
| 74118               | New WW Lines - Corral Hollow Road            | 7,002,440        | F358-Ellis Prgm Area  | 0                        | 0                      | 2,852,440                      | 652,440   | 2,200,000  | 0       | 0       | 0                                 | Jun 17 Priority B<br>New Installation |
|                     |  |                  | F364-TIMP Wastewater  | 0                        | 0                      | 4,150,000                      | 0         | 4,150,000  | 0       | 0       | 0                                 |                                       |
| 74119               | New WW Lines - East side Sewer               | 4,409,600        | F354-ISP South Area   | 0                        | 0                      | 1,859,600                      | 186,000   | 1,673,600  | 0       | 0       | 0                                 | Dec 17 Priority B<br>New Installation |
|                     |  |                  | F364-TIMP Wastewater  | 0                        | 0                      | 2,550,000                      | 0         | 2,550,000  | 0       | 0       | 0                                 |                                       |
| 74120               | WWL Upgrades - East Grant Line Road          | 2,681,400        | F523-Wastewater Capit | 0                        | 0                      | 2,240,100                      | 214,500   | 2,025,600  | 0       | 0       | 0                                 | Dec 17 Priority B<br>New Installation |
|                     |  |                  | F364-TIMP Wastewater  | 0                        | 0                      | 441,300                        | 0         | 441,300    | 0       | 0       | 0                                 |                                       |
| 74121               | Replace SCADA System - WW Treatment Plant    | 350,000          | F523-Wastewater Capit | 0                        | 0                      | 350,000                        | 350,000   | 0          | 0       | 0       | 0                                 | Jan 16 Priority A<br>Replacement      |
| 6                   | Totals<br>New Projects                       | 14,948,440       |                       | 0                        | 0                      | 14,948,440                     | 1,907,940 | 13,040,500 | 0       | 0       | 0                                 |                                       |

Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| Project #              | Project Title                                       | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED           |         |           |           |                                     | Anticipated Completion & Comments    |                                |
|------------------------|---|------------------|---|--------------------------|------------------------|---------------------------------------|---------|-----------|-----------|-------------------------------------|--------------------------------------|--------------------------------|
|                        |   |                  |   |                          |                        | Total                                 | FY15-16 | FY16-17   | FY17-18   | FY18-19                             |                                      | FY19-20                        |
| <u>FUTURE PROJECTS</u> |   |                  |   |                          |                        | <b>Approved Capital Budget</b>        |         |           |           |                                     |                                      |                                |
| 74064                  | Reclaimed Water Pipe - 11th Street, west of Lammers | 1,893,600        | F356-Tracy Gateway A  | 0                        | 0                      | 1,893,600                             | 0       | 0         | 200,000   | 1,693,600                           | 0                                    | Jun 19 Priority C New          |
| 74069                  | WW Lines Extension - to Chrisman Site               | 1,819,000        | F523-Wastewater Capit Developer's Contributi                          | 59,920                   | 0                      | -59,920                               | 0       | 1,759,080 | 0         | -1,819,000                          | 0                                    | Dec 13 Design Underway         |
| 74PP- 001b             | WW Lines Replacement Program - Future Phases        | 1,110,000        | F523-Wastewater Capit   | 0                        | 0                      | 1,110,000                             | 0       | 270,000   | 275,000   | 280,000                             | 285,000                              | Annual Contingency Replacement |
| 74PP- 049              | Wastewater Treatment Plant Expansion - Phase 3      | 90,000,000       | F523-Wastewater Capit<br>F358-Ellis Prgm Area<br>F364-TIMP Wastewater | 0                        | 0                      | 5,000,000<br>11,940,000<br>73,060,000 | 0       | 0         | 0         | 1,000,000<br>2,000,000<br>5,000,000 | 4,000,000<br>9,940,000<br>68,060,000 | Oct 21 Priority C Expansion    |
| 74PP- 054              | WWT Plant Replacemer Program - Future Phases        | 1,045,000        | F523-Wastewater Capit   | 0                        | 0                      | 1,045,000                             | 0       | 250,000   | 260,000   | 265,000                             | 270,000                              | Annual Contingency Replacement |
| 74PP- 064              | Wastewater Conveyanc for Tracy Gateway, Phase I     | 2,147,500        | F356-Tracy Gateway A  | 0                        | 0                      | 2,147,500                             | 0       | 0         | 2,147,500 | 0                                   | 0                                    | Jun 18 Priority B New          |
| 74PP- 065              | Reclaimed WD System for Tracy Gateway Area          | 553,500          | F356-Tracy Gateway A  | 0                        | 0                      | 553,500                               | 0       | 553,500   | 0         | 0                                   | 0                                    | Jun 17 Priority B New          |
| 74PP- 067              | Reclaimed Water Impvnt for Tracy Gateway Area       | 2,411,600        | F356-Tracy Gateway A  | 0                        | 0                      | 2,411,600                             | 0       | 0         | 0         | 0                                   | 2,411,600                            | Jun 20 Priority D New          |
| 74PP- 101              | Wastewater Treatment Plant Expansion - Phase 4      | 52,100,000       | F523-Wastewater Capit<br>F364-TIMP Wastewater                         | 0                        | 0                      | 4,800,000<br>47,300,000               | 0       | 0         | 0         | 0                                   | 4,800,000<br>47,300,000              | Jun 25 Priority D Expansion    |
| 74PP- 105              | Wastewater Recycling Pipeline, Phase II             | 1,500,000        | F523-Wastewater Capit   | 0                        | 0                      | 1,500,000                             | 0       | 0         | 0         | 0                                   | 1,500,000                            | Jun 19 Priority D New          |
| 74PP- 108              | Wastewater Discharge Permit Studies - Future Phases | 645,000          | F523-Wastewater Capit   | 0                        | 0                      | 645,000                               | 0       | 160,000   | 270,000   | 215,000                             | 0                                    | Jun 19 Priority B Study        |
| (Continued)            |   |                  |   |                          |                        |                                       |         |           |           |                                     |                                      |                                |

Group 74 - Wastewater Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title  | Project \$ Total | Funding Sources                               | Prior Years Expenditures | FY14-15 Appropriations | Total                          | NEW APPROPRIATIONS REQUIRED |           |              |                      |                  | Anticipated Completion & Comments |
|------------------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|-----------------------------|-----------|--------------|----------------------|------------------|-----------------------------------|
|                                    |  |                  |   |                          |                        |                                | FY15-16                     | FY16-17   | FY17-18      | FY18-19              | FY19-20          |                                   |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |                             |           |              |                      |                  |                                   |
| 74PP- 111                          | Outfall Pipeline Rehab<br>MacArthur Drive, North of WWTP | 1,220,000        | F523-Wastewater Capit                         | 0                        | 0                      | 1,220,000                      | 0                           | 0         | 220,000      | 0                    | 1,000,000        | Jun 19 Priority D Replacement     |
| 74PP- 112                          | Pump Station Upgrade<br>Corral Hollow near Larch Road    | 2,500,000        | F523-Wastewater Capit<br>F364-TIMP Wastewater | 0<br>0                   | 0<br>0                 | 0<br>2,500,000                 | 0<br>0                      | 0<br>0    | 0<br>0       | 0<br>235,000         | 0<br>2,265,000   | Jun 19 Priority D Expansion       |
| 74PP- 113                          | WW Upgrades -<br>MacArthur Dr and Pacheco                | 2,895,800        | F523-Wastewater Capit<br>Future Developments  | 0<br>0                   | 0<br>0                 | 2,454,500<br>441,300           | 0<br>0                      | 0<br>0    | 214,500<br>0 | 2,240,000<br>441,300 | 0<br>0           | Jun 18 Priority D Expansion       |
| 74PP- 117                          | Wastewater Treatment<br>Plant Expansion - Phase 5        | 105,500,000      | F523-Wastewater Capit<br>F364-TIMP Wastewater | 0<br>0                   | 0<br>0                 | 0<br>105,500,000               | 0<br>0                      | 0<br>0    | 0<br>0       | 0<br>0               | 0<br>105,500,000 | Jun 27 Priority D Expansion       |
| 15                                 | Totals<br>Future Projects                                | 267,341,000      |   | 59,920                   | 0                      | 267,281,080                    | 0                           | 2,992,580 | 3,587,000    | 13,369,900           | 247,331,600      |                                   |

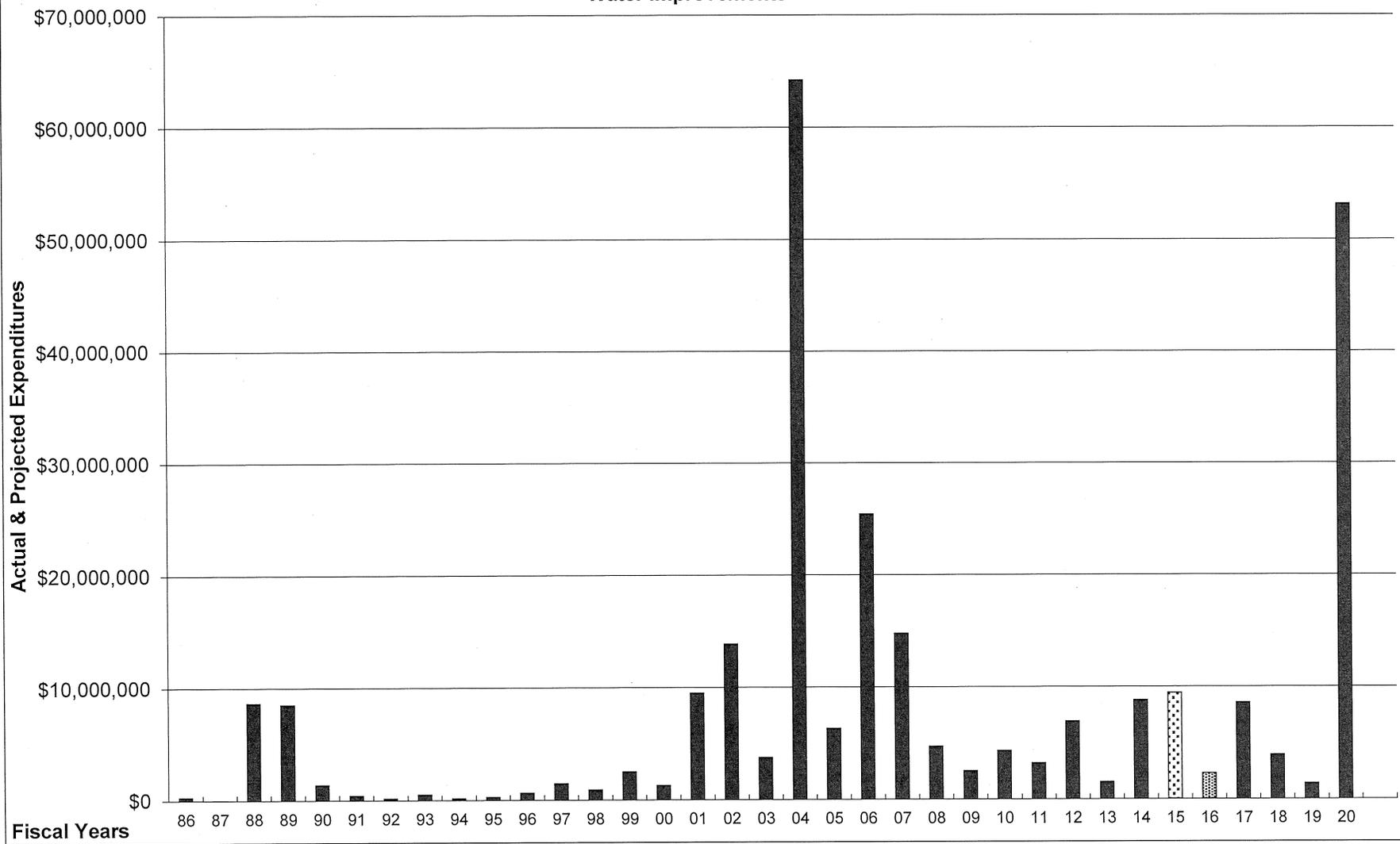
Group 75 - Water Improvements

FY15-16 CIP Adopted

| by Project Type             | Group \$ Total | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED |            |           |           |           | Projects Requiring New Funding in FY15-16 |         |
|-----------------------------|----------------|--------------------------|------------------------|-----------------------------|------------|-----------|-----------|-----------|---|---------|
|                             |                |                          |                        | Total                       | FY15-16    | FY16-17   | FY17-18   | FY18-19   |   | FY19-20 |
| 17 Current Projects         | 38,689,559     | 21,436,515               | 9,431,114              | 7,821,930                   | 1,001,400  | 5,995,530 | 275,000   | 275,000   | 275,000                                   |         |
| 5 New Projects              | 9,699,500      | 0                        | 0                      | 9,699,500                   | 9,699,500  | 0         | 0         | 0         | 0   |         |
| 13 Future Projects          | 60,307,500     | 0                        | 0                      | 60,307,500                  | 0          | 2,605,100 | 3,670,000 | 1,150,000 | 52,882,400                                |         |
| 35 Totals                   | 108,696,559    | 21,436,515               | 9,431,114              | 77,828,930                  | 10,700,900 | 8,600,630 | 3,945,000 | 1,425,000 | 53,157,400                                |         |
| <b>by Funding Sources</b>   |                |                          |                        |                             |            |           |           |           |   |         |
| F101-General                | 0              | 0                        | 0                      | 0                           | 0          | 0         | 0         | 0         | 0   |         |
| F511-Water Operating        | 2,592,072      | 726,072                  | 491,000                | 1,375,000                   | 275,000    | 275,000   | 275,000   | 275,000   | 275,000                                   |         |
| F513-Water Capital          | 24,907,062     | 16,831,905               | 2,268,647              | 5,806,510                   | -2,131,650 | 1,708,160 | 3,670,000 | 1,150,000 | 1,410,000                                 |         |
| State Grant or Loan         | 0              | 0                        | 0                      | 0                           | 0          | 0         | 0         | 0         | 0   |         |
| F325-Utilities Plan"C"      | 527,784        | 239,068                  | 972,576                | -683,860                    | -859,950   | 176,090   | 0         | 0         | 0   |         |
| F351-NE Indus Area #1       | 2,929,089      | 2,542,843                | 50,146                 | 336,100                     | 0          | 336,100   | 0         | 0         | 0   |         |
| F352-So MacArthur PA        | 464,200        | 0                        | 409,900                | 54,300                      | 0          | 54,300    | 0         | 0         | 0   |         |
| F353-I205 Area Spec PI      | 0              | 0                        | 0                      | 0                           | 0          | 0         | 0         | 0         | 0   |         |
| F354-ISP South Area         | 766,550        | 0                        | 0                      | 766,550                     | 0          | 766,550   | 0         | 0         | 0   |         |
| F356-Tracy Gateway Ar       | 11,897,102     | 53,572                   | 0                      | 11,843,530                  | 0          | 5,284,430 | 0         | 0         | 6,559,100                                 |         |
| F357-NE Indus Area #2       | 0              | 0                        | 0                      | 0                           | 0          | 0         | 0         | 0         | 0   |         |
| F358-Ellis Prgm Area        | 9,288,100      | 0                        | 0                      | 9,288,100                   | 2,760,800  | 0         | 0         | 0         | 6,527,300                                 |         |
| F365-TIMP Water Assessments | 45,413,200     | 0                        | 0                      | 45,413,200                  | 7,027,200  | 0         | 0         | 0         | 38,386,000                                |         |
| Developers Contribution     | 9,911,400      | 1,043,055                | 5,238,845              | 3,629,500                   | 3,629,500  | 0         | 0         | 0         | 0   |         |
| Future Developments         | 0              | 0                        | 0                      | 0                           | 0          | 0         | 0         | 0         | 0   |         |
|                             | 108,696,559    | 21,436,515               | 9,431,114              | 77,828,930                  | 10,700,900 | 8,600,630 | 3,945,000 | 1,425,000 | 53,157,400                                |         |

|                   |               |           |           |                      |
|-------------------|---------------|-----------|-----------|----------------------|
| CIP Expenditures: | in FY13-14 >> | 8,812,531 | 1,420,000 | New Appropriations   |
|                   | in FY12-13 >> | 1,554,515 | 7,589,114 | Carryovers from FY14 |
|                   | in FY11-12 >> | 6,955,445 | 0         | Deferrals            |
|                   | in FY10-11 >> | 3,232,274 | 422,000   | Supplementals        |

**Tracy Capital Improvement Program  
Water Improvements**



Group 75 - Water Improvements

FY15-16 CIP Adopted

| Project #               | Project Title                                   | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations       | NEW APPROPRIATIONS REQUIRED          |                                      |                               |                  |                  | Anticipated Completion & Comments |  |
|-------------------------|---|------------------|---|--------------------------|------------------------------|--------------------------------------|--------------------------------------|-------------------------------|------------------|------------------|-----------------------------------|--|
|                         |   |                  |   |                          |                              | Total                                | FY15-16                              | FY16-17                       | FY17-18          | FY18-19          |                                   | FY19-20                                      |
| <b>CURRENT PROJECTS</b> |   |                  |   |                          |                              | <b>Approved Capital Budget</b>       |                                      |                               |                  |                  |                                   |  |
| 75046                   | Water Distribution System - NE Industrial Area  | 2,929,089        | F351-NE Indus Area #1   | 2,542,843                | 50,146                       | 336,100                              | 0                                    | 336,100                       | 0                | 0                | 0                                 | Jun 16 Phase 1 Completed<br>Phase 2 Deferred |
| 75061                   | Water Supply Purchase from WSID & BCID          | 11,397,496       | F513-Water Capital  | 11,397,496               | 0                            | 0                                    | 0                                    | 0                             | 0                | 0                | 0                                 | Feb 14<br>75% Purchased Feb 04               |
| 75078                   | Aquifer Storage & Recovery Program              | 1,000,000        | F513-Water Capital<br>State Grant   | 431,931<br>0             | 468,069<br>0                 | 100,000<br>0                         | 0<br>0                               | 100,000<br>0                  | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 16<br>Work Underway                      |
| 75085                   | Water Distribution System Tracy Gateway Area    | 5,338,002        | F356-Tracy Gateway Ai   | 53,572                   | 0                            | 5,284,430                            | 0                                    | 5,284,430                     | 0                | 0                | 0                                 | Jun 16 Developer to Build<br>Design Underway |
| 75108                   | Water Lines -MacArthur Drive, Linne to Valpico  | 1,926,000        | F513-Water Capital<br>F325-Utilities Plan"C"<br>F352-So MacArthur PA<br>F354-ISP South Area | 0<br>182,234<br>0<br>0   | 0<br>970,466<br>409,900<br>0 | 476,800<br>-859,950<br>0<br>746,550  | 1,223,350<br>-859,950<br>0<br>0      | -746,550<br>0<br>0<br>746,550 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0                  | Dec 16<br>Design Underway<br>Also, See 73126 |
| 75113                   | WDS Capacity Maint Mgmt System-Data Acquisition | 125,000          | F513-Water Capital  | 58,163                   | 41,837                       | 25,000                               | 25,000                               | 0                             | 0                | 0                | 0                                 | Jun 16<br>Work Underway                      |
| 75117                   | Water Lines Replacement Program - FY14 Phase    | 320,000          | F513-Water Capital  | 1,149                    | 318,851                      | 0                                    | 0                                    | 0                             | 0                | 0                | 0                                 | Aug 15<br>Design Underway                    |
| 75118                   | Construct New Clearwell Water Treatment Plant   | 4,638,000        | F358-Ellis Prgm Area<br>F365-TIMP Water<br>Developers Contributor                           | 0<br>0<br>166,031        | 0<br>0<br>4,383,969          | 2,760,800<br>1,877,200<br>-4,550,000 | 2,760,800<br>1,877,200<br>-4,550,000 | 0<br>0<br>0                   | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0                       | Jun 16 Priority B1<br>Design Underway        |
| 75119                   | Purchase of SSJID Water Supply from Lathrop     | 5,000,000        | F513-Water Capital<br>F365-TIMP Water   | 5,000,000<br>0           | 0<br>0                       | -5,000,000<br>5,000,000              | -5,000,000<br>5,000,000              | 0<br>0                        | 0<br>0           | 0<br>0           | 0<br>0                            | Sep 13 Priority B2<br>Purchase Completed     |
| 75120                   | Water Impmts - Tracy Hills Area                 | 1,414,000        | F513-Water Capital<br>Developers Contributor  | 0<br>837,621             | 0<br>576,379                 | 0<br>0                               | 0<br>0                               | 0<br>0                        | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 15<br>Work Underway                      |
| 75121                   | Booster Pump Station - Water Treatment Plant    | 317,900          | F513-Water Capital<br>Developers Contributor  | 0<br>39,403              | 0<br>278,497                 | 0<br>0                               | 0<br>0                               | 0<br>0                        | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 16<br>Design Underway                    |
| (Continued)             |   |                  |   |                          |                              |                                      |                                      |                               |                  |                  |                                   |  |

## Group 75 - Water Improvements

FY15-16 CIP Adopted

| Project #                           | Project Title  | Project \$ Total | Funding Sources      | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |           |           |         |         | Anticipated Completion & Comments |                                     |
|-------------------------------------|--|------------------|----------------------|--------------------------|------------------------|--------------------------------|-----------|-----------|---------|---------|-----------------------------------|-------------------------------------|
|                                     |  |                  |                      |                          |                        | Total                          | FY15-16   | FY16-17   | FY17-18 | FY18-19 |                                   | FY19-20                             |
| <u>CURRENT PROJECTS (Continued)</u> |  |                  |                      |                          |                        | <b>Approved Capital Budget</b> |           |           |         |         |                                   |                                     |
| 75122                               | Water Lines Replaceme<br>Program - FY15 Phase              | 320,000          | F513-Water Capital   | 0                        | 320,000                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Aug 15<br>Design Underway           |
| 75123                               | Water Meter Replaceme<br>Program                           | 600,000          | F513-Water Capital   | 0                        | 600,000                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 15<br>Work Underway             |
| 75124                               | Process Controllers<br>Replacement - Water Treatment Plant | 100,000          | F513-Water Capital   | 0                        | 100,000                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 15 Priority B1<br>Replacement   |
| 75125                               | Clarifier Maintenance &<br>Repairs - Water Treatment Plant | 172,000          | F513-Water Capital   | 0                        | 172,000                | 0                              | 0         | 0         | 0       | 0       | 0                                 | Jun 15 Priority A1<br>Work Underway |
| 75126                               | Water Filter Replaceme<br>Water Treatment Plant            | 500,000          | F513-Water Capital   | 0                        | 250,000                | 250,000                        | 250,000   | 0         | 0       | 0       | 0                                 | Jun 16<br>Work Underway             |
| 75991                               | Water Purchases for<br>Storage with Semi-tropic WSD        | 2,592,072        | F511-Water Operating | 726,072                  | 491,000                | 1,375,000                      | 275,000   | 275,000   | 275,000 | 275,000 | 275,000                           | Annual Contingency                  |
| 17                                  | Totals<br>Current Projects                                 | 38,689,559       |                      | 21,436,515               | 9,431,114              | 7,821,930                      | 1,001,400 | 5,995,530 | 275,000 | 275,000 | 275,000                           |                                     |

Group 75 - Water Improvements

FY15-16 CIP Adopted

| Project #           | Project Title                                    | Project \$ Total | Funding Sources                           | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |                |         |         |         | Anticipated Completion & Comments |                                   |
|---------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|----------------|---------|---------|---------|-----------------------------------|-----------------------------------|
|                     |  |                  |   |                          |                        | Total                          | FY15-16        | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                           |
| <u>NEW PROJECTS</u> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |                |         |         |         |                                   |                                   |
| 75127               | Water Lines Replaceme<br>Program - FY15-16 Phase | 320,000          | F513-Water Capital                        | 0                        | 0                      | 320,000                        | 320,000        | 0       | 0       | 0       | 0                                 | Annual Contingency Replacement    |
| 75128               | Water Meter Replaceme<br>Program - FY16 Phase    | 800,000          | F513-Water Capital                        | 0                        | 0                      | 800,000                        | 800,000        | 0       | 0       | 0       | 0                                 | Annual Contingency Replacement    |
| 75129               | Recycled Water Study                             | 150,000          | F365-TIMP Water                           | 0                        | 0                      | 150,000                        | 150,000        | 0       | 0       | 0       | 0                                 | Jun 16 Priority A Expansion       |
| 75130               | Replace SCADA System<br>- Water Treatment Plant  | 250,000          | F513-Water Capital                        | 0                        | 0                      | 250,000                        | 250,000        | 0       | 0       | 0       | 0                                 | Jan 16 Priority A Replacement     |
| 75131               | Cordes Ranch Water<br>Tanks & Booster Pump       | 8,179,500        | F365-TIMP Water<br>Developers Contributor | 0                        | 0                      | 0<br>8,179,500                 | 0<br>8,179,500 | 0       | 0       | 0       | 0                                 | Jun 17 Priority A<br>New Facility |
| 5                   | Totals<br>New Projects                           | 9,699,500        |   | 0                        | 0                      | 9,699,500                      | 9,699,500      | 0       | 0       | 0       | 0                                 |                                   |

## Group 75 - Water Improvements

FY15-16 CIP Adopted

| Project #              | Project Title  | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |             |                            |             |             | Anticipated Completion & Comments |                                  |
|------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|-------------|----------------------------|-------------|-------------|-----------------------------------|----------------------------------|
|                        |  |                  |   |                          |                        | Total                          | FY15-16     | FY16-17                    | FY17-18     | FY18-19     |                                   | FY19-20                          |
| <b>FUTURE PROJECTS</b> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |             |                            |             |             |                                   |                                  |
| 75PP- 001b             | Water Lines Replaceme<br>Program - Future Phases                         | 1,380,000        | F513-Water Capital  | 0                        | 0                      | 1,380,000                      | 0           | 330,000                    | 340,000     | 350,000     | 360,000                           | Annual Contingency Replacement   |
| 75PP- 077              | Water Line Replacemen<br>20th to 23rd Streets, bw Holly & Bessie Avenues | 2,280,000        | F513-Water Capital  | 0                        | 0                      | 2,280,000                      | 0           | 0                          | 2,280,000   | 0           | 0                                 | Jun 18 Priority B Replacement    |
| 75PP- 081              | Water Storage Reservio<br>Tracy Gateway Area                             | 2,268,000        | F356-Tracy Gateway Ai   | 0                        | 0                      | 2,268,000                      | 0           | 0                          | 0           | 0           | 2,268,000                         | Jun 20 Priority D New Facilities |
| 75PP- 082              | Water Pump Stations -<br>Tracy Gateway Area                              | 1,620,000        | F356-Tracy Gateway Ai   | 0                        | 0                      | 1,620,000                      | 0           | 0                          | 0           | 0           | 1,620,000                         | Jun 20 Priority D New Facilities |
| 75PP- 083              | Emergency Well for<br>Tracy Gateway Area                                 | 2,671,100        | F356-Tracy Gateway Ai   | 0                        | 0                      | 2,671,100                      | 0           | 0                          | 0           | 0           | 2,671,100                         | Jun 20 Priority D New Facilities |
| 75PP- 086              | Watershed Survey -<br>2014 Update  | 35,000           | F513-Water Capital  | 0                        | 0                      | 35,000                         | 0           | 35,000                     | 0           | 0           | 0                                 | Dec 16 Priority B Study          |
| 75PP- 087              | Urban Water Mgmt<br>Plan - 2014 Update                                   | 85,000           | F513-Water Capital  | 0                        | 0                      | 85,000                         | 0           | 85,000                     | 0           | 0           | 0                                 | Dec 16 Priority B Study          |
| 75PP- 094              | Water Master Plan -<br>Citywide Update                                   | 38,100           | F513-Water Capital<br>F356-Tracy Gateway Ai                       | 0<br>0                   | 0<br>0                 | 14,000<br>24,100               | 0<br>0      | 14,000<br>24,100           | 0<br>0      | 0<br>0      | 0<br>0                            | Dec 16 Priority B Study          |
| 75PP- 097              | Water Line Replacemen<br>Bessie Ave - Lowell to Grant Line               | 1,180,000        | F513-Water Capital  | 0                        | 0                      | 1,180,000                      | 0           | 1,180,000                  | 0           | 0           | 0                                 | Dec 17 Priority B Replacement    |
| 75PP- 099              | Conjunctive Groundwat<br>Use Study                                       | 137,000          | F513-Water Capital<br>F352-So MacArthur PA<br>F354-ISP South Area | 0<br>0<br>0              | 0<br>0<br>0            | 62,700<br>54,300<br>20,000     | 0<br>0<br>0 | 62,700<br>54,300<br>20,000 | 0<br>0<br>0 | 0<br>0<br>0 | 0<br>0<br>0                       | Jun 17 Priority B Study          |
| 75PP- 105              | Water Filter Replaceme<br>Water Treatment Plant                          | 500,000          | F513-Water Capital  | 0                        | 0                      | 500,000                        | 0           | 0                          | 250,000     | 0           | 250,000                           | Jun 20 Priority B1 Replacement   |
| (Continued)            |  |                  |   |                          |                        |                                |             |                            |             |             |                                   |                                  |

Group 75 - Water Improvements

FY15-16 CIP Adopted

| Project #                   | Project Title                           | Project \$ Total | Funding Sources                         | Prior Years Expenditures | FY14-15 Appropriations | Total                          | NEW APPROPRIATIONS REQUIRED |           |           |           |                         | Anticipated Completion & Comments |
|-----------------------------|---|------------------|---|--------------------------|------------------------|--------------------------------|-----------------------------|-----------|-----------|-----------|-------------------------|-----------------------------------|
|                             |   |                  |   |                          |                        |                                | FY15-16                     | FY16-17   | FY17-18   | FY18-19   | FY19-20                 |                                   |
| FUTURE PROJECTS (Continued) |   |                  |   |                          |                        | <b>Approved Capital Budget</b> |                             |           |           |           |                         |                                   |
| 75PP- 107b                  | Water Meter Replacement Program         | 3,200,000        | F513-Water Capital                      | 0                        | 0                      | 3,200,000                      | 0                           | 800,000   | 800,000   | 800,000   | 800,000                 | Annual Contingency Replacement    |
| 75PP- 109                   | Plant Expansion - Water Treatment Plant | 44,913,300       | F358-Ellis Prgm Area<br>F365-TIMP Water | 0                        | 0                      | 6,527,300<br>38,386,000        | 0                           | 0         | 0         | 0         | 6,527,300<br>38,386,000 | Dec 21 Priority D Expansion       |
| 13                          | Totals<br>Future Projects               | 60,307,500       |   | 0                        | 0                      | 60,307,500                     | 0                           | 2,605,100 | 3,670,000 | 1,150,000 | 52,882,400              |                                   |

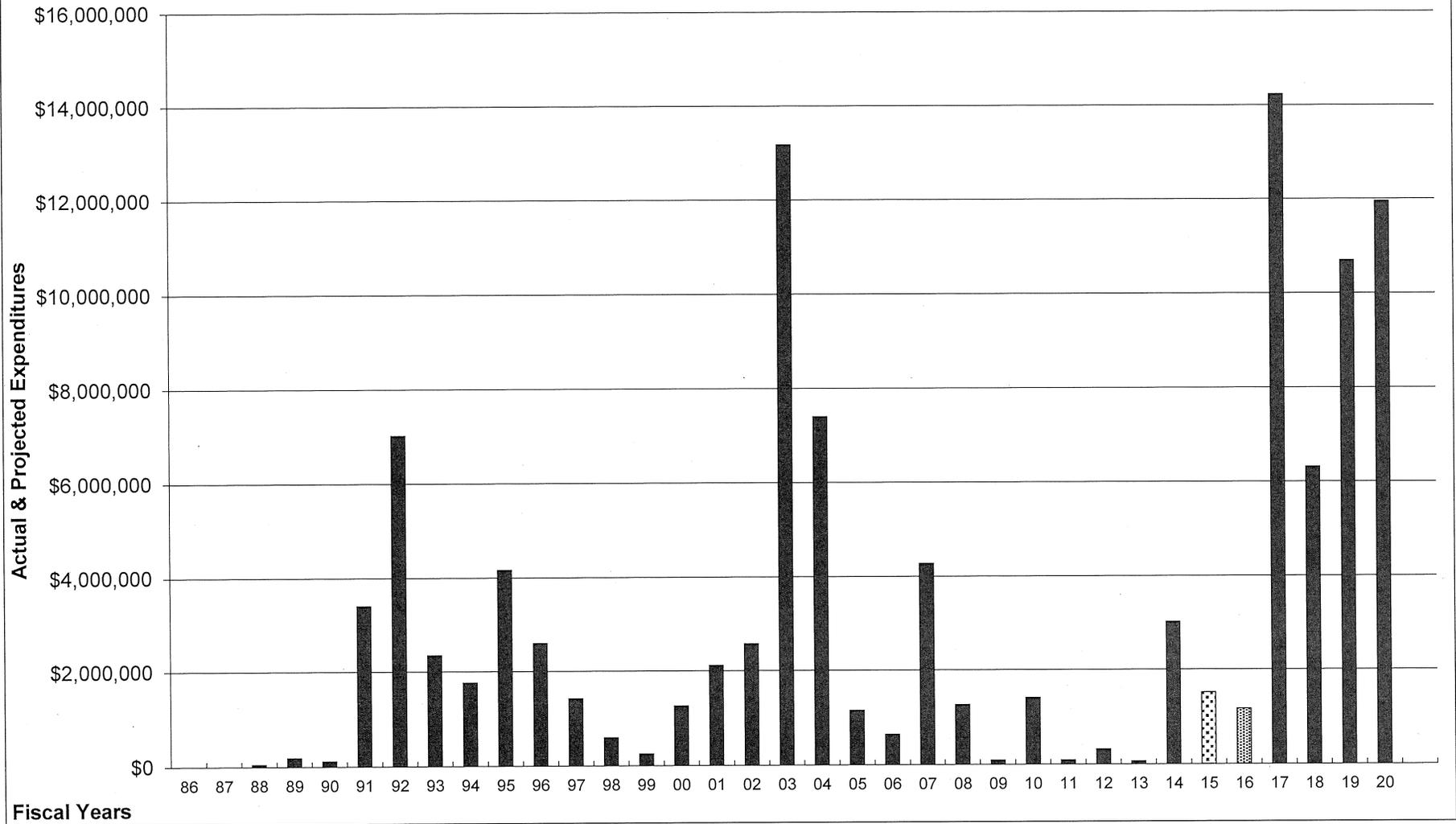
Group 76 - Drainage Improvements

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |                  |                   |                  |                   | Projects Requiri<br>0 New Funding<br>0 in FY15-16 |         |
|---------------------------|-------------------|-----------------------------|---------------------------|-----------------------------|------------------|-------------------|------------------|-------------------|---|---------|
|                           |                   |                             |                           | Total                       | FY15-16          | FY16-17           | FY17-18          | FY18-19           |   | FY19-20 |
| 7 Current Projects        | 3,108,461         | 1,597,540                   | 1,510,921                 | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| 1 New Projects            | 2,357,000         | 0                           | 0                         | 2,357,000                   | 1,171,000        | 0                 | 1,186,000        | 0                 | 0   |         |
| 20 Future Projects        | 47,767,432        | 5,759,932                   | 0                         | 42,007,500                  | 0                | 14,237,900        | 5,125,600        | 10,691,800        | 11,952,200  |         |
| <b>28 Totals</b>          | <b>53,232,893</b> | <b>7,357,472</b>            | <b>1,510,921</b>          | <b>44,364,500</b>           | <b>1,171,000</b> | <b>14,237,900</b> | <b>6,311,600</b> | <b>10,691,800</b> | <b>11,952,200</b>                                 |         |
| <b>by Funding Sources</b> |                   |                             |                           |                             |                  |                   |                  |                   |   |         |
| F101-General              | 0                 | 0                           | 0                         | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F245-Gas Tax              | 160,000           | 0                           | 160,000                   | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F301-General Projects     | 0                 | 0                           | 0                         | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F312-Infill Drainage      | 6,657,100         | 0                           | 0                         | 6,657,100                   | 525,500          | 666,800           | 4,438,100        | 1,026,700         | 0   |         |
| F322-Plan C Drainage      | 1,488,922         | 839,222                     | 54,000                    | 595,700                     | 72,300           | 172,000           | 181,200          | 0                 | 170,200   |         |
| F345-RSP Prgm Mgmt        | 0                 | 0                           | 0                         | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F351-NE Indus Area #1     | 1,686,861         | 1,312,816                   | 374,045                   | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F352-So MacArthur Are     | 621,600           | 65,302                      | 556,298                   | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F354-ISP South Area       | 4,525,245         | 703,285                     | 0                         | 3,821,960                   | 573,200          | 2,630,060         | 618,700          | 0                 | 0   |         |
| F356-Tracy Gateway Ar     | 689,100           | 0                           | 0                         | 689,100                     | 0                | 0                 | 0                | 0                 | 689,100   |         |
| F357-NE Indus Area #2     | 11,541,800        | 0                           | 0                         | 11,541,800                  | 0                | 0                 | 0                | 9,619,100         | 1,922,700   |         |
| F358-Ellis Prgm Area      | 0                 | 0                           | 0                         | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| F362-TIMP Drainage        | 21,373,800        | 0                           | 0                         | 21,373,800                  | 0                | 11,209,600        | 870,800          | 0                 | 9,293,400   |         |
| F541-Drainage Enterpri    | 1,617,025         | 219,447                     | 166,578                   | 1,231,000                   | 0                | 754,000           | 384,000          | 46,000            | 47,000  |         |
| Future Developments       | 0                 | 0                           | 0                         | 0                           | 0                | 0                 | 0                | 0                 | 0   |         |
| Developer's Contributor   | 2,871,440         | 4,217,400                   | 200,000                   | -1,545,960                  | 0                | -1,194,560        | -181,200         | 0                 | -170,200  |         |
|                           | <b>53,232,893</b> | <b>7,357,472</b>            | <b>1,510,921</b>          | <b>44,364,500</b>           | <b>1,171,000</b> | <b>14,237,900</b> | <b>6,311,600</b> | <b>10,691,800</b> | <b>11,952,200</b>                                 |         |

|                  |               |           |           |                      |
|------------------|---------------|-----------|-----------|----------------------|
| CIP Expenditures | in FY13-14 >> | 3,026,299 | 483,100   | New Appropriations   |
|                  | in FY12-13 >> | 62,422    | 1,027,821 | Carryovers from FY14 |
|                  | in FY11-12 >> | 317,602   | 0         | Deferrals            |
|                  | in FY10-11 >> | 87,998    | 0         | Supplementals        |

### Tracy Capital Improvement Program Drainage Improvements



Group 76 - Drainage Improvements

FY15-16 CIP Adopted

| Project #               | Project Title                                       | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations       | Total       | NEW APPROPRIATIONS REQUIRED    |             |             |             |             | Anticipated Completion & Comments   |
|-------------------------|---|------------------|---|--------------------------|------------------------------|-------------|--------------------------------|-------------|-------------|-------------|-------------|-------------------------------------|
|                         |   |                  |   |                          |                              |             | FY15-16                        | FY16-17     | FY17-18     | FY18-19     | FY19-20     |                                     |
|                         |   |                  |   |                          |                              |             | <b>Approved Capital Budget</b> |             |             |             |             |                                     |
| <b>CURRENT PROJECTS</b> |   |                  |   |                          |                              |             |                                |             |             |             |             |                                     |
| 76028                   | Storm Drain Line - Grant Line, w of Paradise        | 1,346,761        | F351-NE Indus Area #  | 1,312,816                | 33,945                       | 0           | 0                              | 0           | 0           | 0           | 0           | Nov 13<br>Work Completed            |
| 76043                   | Drainage Improvements NE Industrial Area            | 340,100          | F351-NE Indus Area #  | 0                        | 340,100                      | 0           | 0                              | 0           | 0           | 0           | 0           | Jun 16<br>Deferred to Future        |
| 76054                   | Pump Station Upgrade - Larch Rd, sw corner at Tracy | 200,000          | F541-Drainage Enterpr<br>F301-General Projects                          | 167,374<br>0             | 32,626<br>0                  | 0<br>0      | 0<br>0                         | 0<br>0      | 0<br>0      | 0<br>0      | 0<br>0      | Jun 14 Priority A<br>Work Completed |
| 76059                   | Drainage Improvements South MacArthur, Phase 2      | 875,600          | F322-Plan C Drainage<br>F352-So MacArthur Ar<br>Developer's Contributic | 0<br>65,302<br>0         | 54,000<br>556,298<br>200,000 | 0<br>0<br>0 | 0<br>0<br>0                    | 0<br>0<br>0 | 0<br>0<br>0 | 0<br>0<br>0 | 0<br>0<br>0 | Aug 15<br>Design Underway           |
| 76062                   | Storm Drains Replacem Program - FY14 Phase          | 203,000          | F541-Drainage Enterpr<br>F245-Gas Tax                                   | 52,048<br>0              | -9,048<br>160,000            | 0<br>0      | 0<br>0                         | 0<br>0      | 0<br>0      | 0<br>0      | 0<br>0      | Aug 15<br>Contract Award Jan 15     |
| 76063                   | Storm Drains Replacem Program - FY15 Phase          | 43,000           | F541-Drainage Enterpr   | 0                        | 43,000                       | 0           | 0                              | 0           | 0           | 0           | 0           | Aug 15<br>Out to Bid                |
| 76065                   | Pump Station Rehab - Corrol Hollow & Kavanaugh      | 100,000          | F312-Infill Drainage<br>F541-Drainage Enterpr                           | 0<br>0                   | 0<br>100,000                 | 0<br>0      | 0<br>0                         | 0<br>0      | 0<br>0      | 0<br>0      | 0<br>0      | Aug 15<br>Contract Award Mar 15     |
| <b>Totals</b>           |   |                  |   |                          |                              |             |                                |             |             |             |             |                                     |
| 7                       | Current Projects                                    | 3,108,461        |   | 1,597,540                | 1,510,921                    | 0           | 0                              | 0           | 0           | 0           | 0           |                                     |

Group 76 - Drainage Improvements

FY15-16 CIP Adopted

| Project #           | Project Title                | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | Total                            | NEW APPROPRIATIONS REQUIRED    |             |                         |             |             | Anticipated Completion & Comments  |
|---------------------|------------------------------|------------------|---|--------------------------|------------------------|----------------------------------|--------------------------------|-------------|-------------------------|-------------|-------------|--|
|                     |                              |                  |   |                          |                        |                                  | FY15-16                        | FY16-17     | FY17-18                 | FY18-19     | FY19-20     |  |
| <u>NEW PROJECTS</u> |                              |                  |   |                          |                        |                                  | <b>Approved Capital Budget</b> |             |                         |             |             |  |
| 76066               | Detention Basin 2B Blue Zone | 2,357,000        | F312-Infill Drainage<br>F322-Plan C Drainage<br>F354-ISP South Area | 0<br>0<br>0              | 0<br>0<br>0            | 1,092,800<br>72,300<br>1,191,900 | 525,500<br>72,300<br>573,200   | 0<br>0<br>0 | 567,300<br>0<br>618,700 | 0<br>0<br>0 | 0<br>0<br>0 | Annual Contingency Rehabilitation<br>Dec 18 Priority A<br>New Installation |
| 1                   | Totals New Projects          | 2,357,000        |   | 0                        | 0                      | 2,357,000                        | 1,171,000                      | 0           | 1,186,000               | 0           | 0           |  |

Group 76 - Drainage Improvements

FY15-16 CIP Adopted

| Project #              | Project Title                                       | Project \$ Total | Funding Sources   | Prior Years Expenditures        | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |             |                              |                     |             | Anticipated Completion & Comments |   |
|------------------------|---|------------------|---|---------------------------------|------------------------|--------------------------------|-------------|------------------------------|---------------------|-------------|-----------------------------------|---|
|                        |   |                  |   |                                 |                        | Total                          | FY15-16     | FY16-17                      | FY17-18             | FY18-19     |                                   | FY19-20                                 |
| <b>FUTURE PROJECTS</b> |   |                  |   |                                 |                        | <b>Approved Capital Budget</b> |             |                              |                     |             |                                   |   |
| 76039                  | Drainage Improvements Berg Ave Area                 | 339,025          | F541-Drainage Enterpr   | 25                              | 0                      | 339,000                        | 0           | 0                            | 339,000             | 0           | 0                                 | Jun 18<br>Deferred to Future            |
| 76045                  | New Detention Basin 2/ ISP South, Zone 2            | 5,236,507        | F354-Indus SP, South<br>F322-Plan C Drainage<br>Developer's Contributic | 703,285<br>839,222<br>3,694,000 | 0<br>0<br>0            | 1,250,760<br>0<br>-1,250,760   | 0<br>0<br>0 | 1,250,760<br>0<br>-1,250,760 | 0<br>0<br>0         | 0<br>0<br>0 | 0<br>0<br>0                       | Apr 07<br>Reimbursement Due             |
| 76PP- 001b             | Storm Drains Replacem Program - Future Phases       | 182,000          | F541-Drainage Enterpr   | 0                               | 0                      | 182,000                        | 0           | 44,000                       | 45,000              | 46,000      | 47,000                            | Annual Contingency<br>Rehabilitation    |
| 76PP- 009              | Construction - West side Channel, north of Edgewood | 228,200          | F345-RSP Prgm Mgmt<br>Developer Contributor                             | 0<br>0                          | 0<br>0                 | 0<br>228,200                   | 0<br>0      | 0<br>228,200                 | 0<br>0              | 0<br>0      | 0<br>0                            | Jun 17 Priority B<br>Deferred to Future |
| 76PP- 027              | Storm Drain - Sterling Park/Johnson (Yellow Zone)   | 172,000          | F322-Plan C Drainage<br>Developer's Contributic                         | 0<br>172,000                    | 0<br>0                 | 172,000<br>-172,000            | 0<br>0      | 172,000<br>-172,000          | 0<br>0              | 0<br>0      | 0<br>0                            | Jun 06<br>Reimbursement Due             |
| 76PP- 028              | Storm Drain - San Marco 42" (Yellow Zone)           | 181,200          | F322-Plan C Drainage<br>Developer's Contributic                         | 0<br>181,200                    | 0<br>0                 | 181,200<br>-181,200            | 0<br>0      | 0<br>0                       | 181,200<br>-181,200 | 0<br>0      | 0<br>0                            | Nov 99<br>Reimbursement Due             |
| 76PP- 035              | Storm Drains Outfall-                               | 170,200          | F322-Plan C Drainage<br>Developer's Contributic                         | 0<br>170,200                    | 0<br>0                 | 170,200<br>-170,200            | 0<br>0      | 0<br>0                       | 0<br>0              | 0<br>0      | 170,200<br>-170,200               | Jan 01<br>Reimbursement Due             |
| 76PP- 039              | Drainage Improvements South MacArthur, Phase 3      | 6,500,000        | F352-So MacArthur Ar<br>F362-TIMP Drainage                              | 0<br>0                          | 0<br>0                 | 0<br>6,500,000                 | 0<br>0      | 0<br>0                       | 0<br>0              | 0<br>0      | 0<br>6,500,000                    | Jun 20 Priority D<br>New Installation   |
| 76PP- 048              | Drainage Improvements ISP South, Zone 1             | 1,291,500        | F312-Infill Drainage<br>F354-ISP South Area                             | 0<br>0                          | 0<br>0                 | 617,600<br>673,900             | 0<br>0      | 617,600<br>673,900           | 0<br>0              | 0<br>0      | 0<br>0                            | Jun 17 Priority B<br>New Installation   |
| 76PP- 061              | Drainage Conveyance Tracy Gateway Area              | 689,100          | F356-Tracy Gateway /  | 0                               | 0                      | 689,100                        | 0           | 0                            | 0                   | 0           | 689,100                           | Jun 20 Priority D                       |
| 76PP- 064              | Drainage Improvements Pescadero Avenue              | 11,056,900       | F357-NE Indus Area #  | 0                               | 0                      | 11,056,900                     | 0           | 0                            | 0                   | 9,619,100   | 1,437,800                         | Jun 20 Priority D<br>New Installation   |
| (Continued)            |   |                  |   |                                 |                        |                                |             |                              |                     |             |                                   |   |

Group 76 - Drainage Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title  | Project \$ Total | Funding Sources                               | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |              |                |            | Anticipated Completion & Comments |                                       |
|------------------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|---------|--------------|----------------|------------|-----------------------------------|---------------------------------------|
|                                    |  |                  |   |                          |                        | Total                          | FY15-16 | FY16-17      | FY17-18        | FY18-19    |                                   | FY19-20                               |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |         |              |                |            |                                   |                                       |
| 76PP- 065                          | Drainage Improvements<br>Chrisman Rd, Paradise to Grant Line | 484,900          | F357-NE Indus Area #                          | 0                        | 0                      | 484,900                        | 0       | 0            | 0              | 0          | 484,900                           | Jun 20 Priority d<br>New Installation |
| 76PP- 068                          | Storm Drains Outfall-<br>Rocha and 35                        | 870,800          | F362-TIMP Drainage                            | 0                        | 0                      | 870,800                        | 0       | 0            | 870,800        | 0          | 0                                 | Jun 18 Priority B<br>New Installation |
| 76PP- 070                          | Drainage Improvements<br>Bessie Ave, Eaton to GLR            | 3,329,400        | F312-Infill Drainage<br>F541-Drainage Enterpi | 0<br>0                   | 0<br>0                 | 3,329,400<br>0                 | 0<br>0  | 229,600<br>0 | 3,099,800<br>0 | 0<br>0     | 0<br>0                            | Jun 18 Priority B<br>Upgrade          |
| 76PP- 071                          | Drainage Improvements<br>Arbor Ave, west of MacArthur Dr     | 710,000          | F312-Infill Drainage<br>F541-Drainage Enterpi | 0<br>0                   | 0<br>0                 | 0<br>710,000                   | 0<br>0  | 0<br>710,000 | 0<br>0         | 0<br>0     | 0<br>0                            | Jun 17 Priority B<br>Rehabilitation   |
| 76PP- 073                          | Detention Basin 12<br>South of Arbor & West of MacArthur     | 394,700          | F312-Infill Drainage                          | 0                        | 0                      | 394,700                        | 0       | 0            | 394,700        | 0          | 0                                 | Jun 18 Priority B<br>New Installation |
| 76PP- 074                          | Detention Basin Lowell<br>South of Lowell & West of Lincoln  | 772,900          | F312-Infill Drainage                          | 0                        | 0                      | 772,900                        | 0       | 525,000      | 247,900        | 0          | 0                                 | Jun 18 Priority A<br>New Installation |
| 76PP- 075                          | Drainage Improvements<br>Tracy Blvd & 12th Street            | 1,155,100        | F312-Infill Drainage                          | 0                        | 0                      | 1,155,100                      | 0       | 0            | 128,400        | 1,026,700  | 0                                 | Jun 20 Priority C<br>New Installation |
| 76PP- 076                          | Drainage Improvements<br>Greenbelt Parkway 1                 | 11,209,600       | F362-TIMP Drainage                            | 0                        | 0                      | 11,209,600                     | 0       | 11,209,600   | 0              | 0          | 0                                 | Dec 18 Priority B<br>New Installation |
| 76PP- 077                          | Detention Basin 3 - MH<br>Mountain House Watershed           | 2,793,400        | F362-TIMP Drainage                            | 0                        | 0                      | 2,793,400                      | 0       | 0            | 0              | 0          | 2,793,400                         | Jun 20 Priority D<br>New Installation |
| <u>Totals</u>                      |  |                  |   |                          |                        |                                |         |              |                |            |                                   |                                       |
| 20                                 | Future Projects  | 47,767,432       |   | 5,759,932                | 0                      | 42,007,500                     | 0       | 14,237,900   | 5,125,600      | 10,691,800 | 11,952,200                        |                                       |

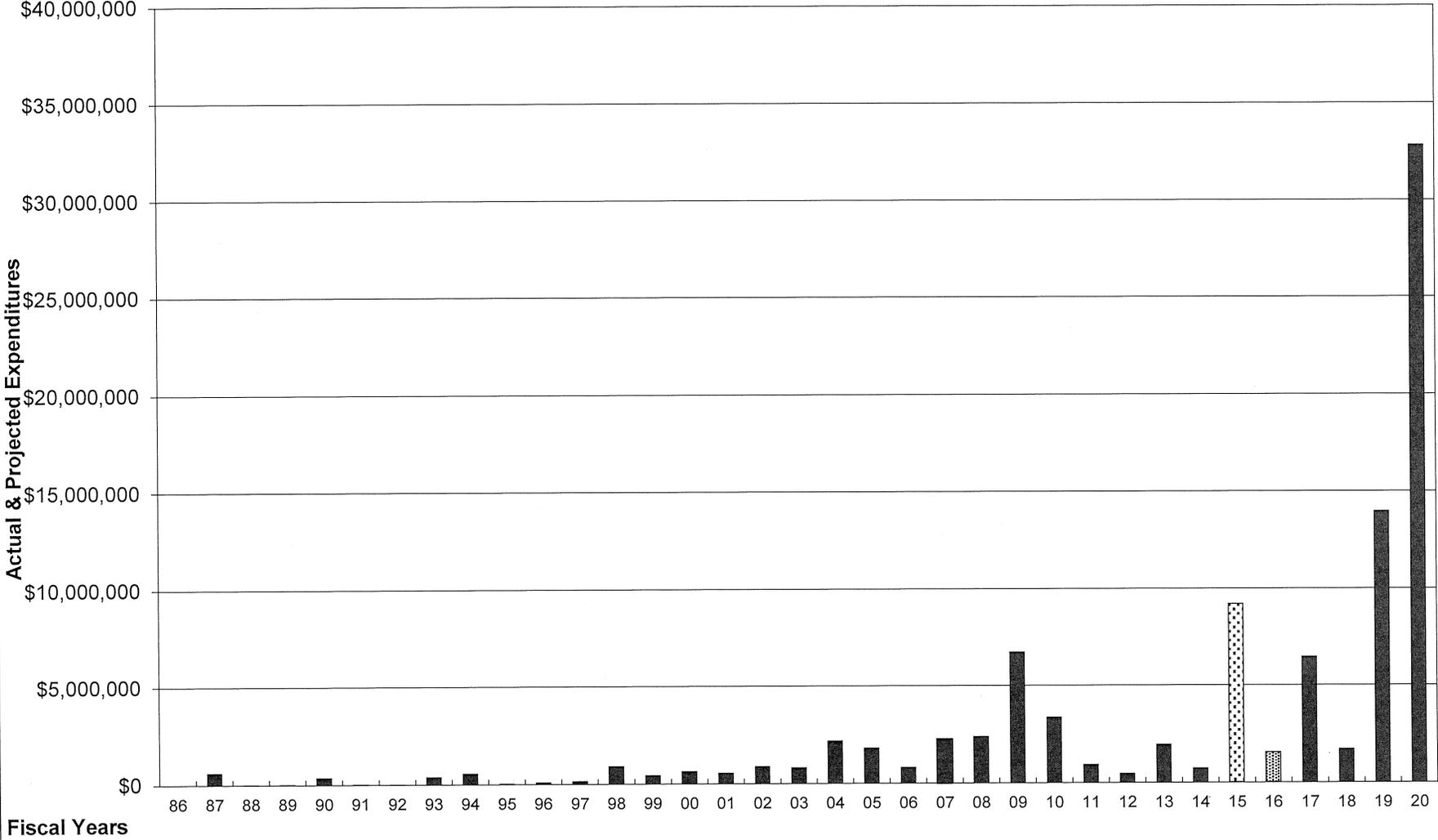
Group 77 - Airport & Transit Improvements

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |                  |                  |                  |                   |                   | Projects Requiring<br>0 New Funding<br>0 in FY15-16 |
|---------------------------|-------------------|-----------------------------|---------------------------|-----------------------------|------------------|------------------|------------------|-------------------|-------------------|---|
|                           |                   |                             |                           | Total                       | FY15-16          | FY16-17          | FY17-18          | FY18-19           | FY19-20           |   |
| 4 Current Projects        | 9,833,260         | 648,097                     | 9,185,163                 | 0                           | 0                | 0                | 0                | 0                 | 0                 |   |
| 4 New Projects            | 1,535,000         | 0                           | 0                         | 1,535,000                   | 1,535,000        | 0                | 0                | 0                 | 0                 |   |
| 27 Future Projects        | 55,095,651        | 129,801                     | 0                         | 54,965,850                  | 0                | 6,457,000        | 1,702,800        | 13,967,000        | 32,839,050        |   |
| <b>35 Totals</b>          | <b>66,463,911</b> | <b>777,898</b>              | <b>9,185,163</b>          | <b>56,500,850</b>           | <b>1,535,000</b> | <b>6,457,000</b> | <b>1,702,800</b> | <b>13,967,000</b> | <b>32,839,050</b> |   |
| <b>by Funding Sources</b> |                   |                             |                           |                             |                  |                  |                  |                   |                   |   |
| F242-Transp Sales Tax     | 0                 | 0                           | 0                         | 0                           | 0                | 0                | 0                | 0                 | 0                 |   |
| F301-General Projects     | 15,507,534        | 9,246                       | 722,638                   | 14,775,650                  | 0                | 250,000          | 0                | 4,280,000         | 10,245,650        |   |
| F381-Com Dev Ag Proj      | 0                 | 0                           | 0                         | 0                           | 0                | 0                | 0                | 0                 | 0                 |   |
| F563-Airport Capital      | 4,005,322         | 62,496                      | 172,426                   | 3,770,400                   | 5,000            | 121,000          | 13,400           | 608,000           | 3,023,000         |   |
| F573-Transit Capital      | 1,190,000         | 0                           | 60,000                    | 1,130,000                   | 290,000          | 260,000          | 300,000          | 260,000           | 20,000            |   |
| FAA Grant                 | 37,695,133        | 518,928                     | 7,613,705                 | 29,562,500                  | 100,000          | 2,655,000        | 187,200          | 7,588,900         | 19,031,400        |   |
| FTA Grant                 | 4,660,000         | 0                           | 240,000                   | 4,420,000                   | 1,140,000        | 1,040,000        | 1,200,000        | 1,040,000         | 0                 |   |
| Other Federal Grant       | 0                 | 0                           | 0                         | 0                           | 0                | 0                | 0                | 0                 | 0                 |   |
| State Aviation Grant      | 1,050,982         | 0                           | 320,682                   | 730,300                     | 0                | 19,000           | 2,200            | 190,100           | 519,000           |   |
| State Aviation Loan       | 2,112,000         | 0                           | 0                         | 2,112,000                   | 0                | 2,112,000        | 0                | 0                 | 0                 |   |
| State Transit Grant       | 242,940           | 187,228                     | 55,712                    | 0                           | 0                | 0                | 0                | 0                 | 0                 |   |
| <b>Totals</b>             | <b>66,463,911</b> | <b>777,898</b>              | <b>9,185,163</b>          | <b>56,500,850</b>           | <b>1,535,000</b> | <b>6,457,000</b> | <b>1,702,800</b> | <b>13,967,000</b> | <b>32,839,050</b> |   |

|                  |               |           |           |                      |
|------------------|---------------|-----------|-----------|----------------------|
| CIP Expenditures | in FY13-14 >> | 731,468   | 100,000   | New Appropriations   |
|                  | in FY12-13 >> | 1,942,334 | 6,678,743 | Carryovers from FY14 |
|                  | in FY11-12 >> | 470,060   | 0         | Deferrals            |
|                  | in FY10-11 >> | 932,003   | 2,406,420 | Supplementals        |

Tracy Capital Improvement Program  
Airport & Transit Improvements



Group 77 - Airport & Transit Improvements

FY15-16 CIP Adopted

| Project #               | Project Title  | Project \$ Total | Funding Sources  | Prior Years Expenditures        | FY14-15 Appropriations                     | NEW APPROPRIATIONS REQUIRED    |                  |                  |                  |                  | Anticipated Completion & Comments |                                   |
|-------------------------|--|------------------|--|---------------------------------|--|--------------------------------|------------------|------------------|------------------|------------------|-----------------------------------|-----------------------------------|
|                         |  |                  |  |                                 |  | Total                          | FY15-16          | FY16-17          | FY17-18          | FY18-19          |                                   | FY19-20                           |
| <b>CURRENT PROJECTS</b> |  |                  |  |                                 |  | <b>Approved Capital Budget</b> |                  |                  |                  |                  |                                   |                                   |
| 77036                   | Fire Protection Water - Supply - Tracy Airport                 | 76,000           | F301-General Projects<br>F563-Airport Capital                                      | 1,119<br>0                      | 74,881<br>0                                | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0           | 0<br>0           | 0<br>0                            | Jun 15<br>Design Underway         |
| 77037                   | Pavement Maintenance Tracy Airport, Phase I & II               | 9,114,320        | F301-General Projects<br>F563-Airport Capital<br>State Aviation Grant<br>FAA Grant | 8,127<br>51,474<br>0<br>400,149 | 547,757<br>172,426<br>320,682<br>7,613,705 | 0<br>0<br>0<br>0               | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0 | 0<br>0<br>0<br>0                  | Aug 15<br>Contract Award Nov 2014 |
| 77038                   | Spill Prevention Control Countermeasure Plan - Airport Fuel Sy | 100,000          | F101-General<br>F563-Airport Capital   | 0<br>0                          | 100,000<br>0                               | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0           | 0<br>0           | 0<br>0                            | Aug 15                            |
| 77543                   | Fiber Optic Installation - Transit Station to City Hall        | 542,940          | F573-Transit Capital<br>FTA Grant<br>Grant Funding - Prop 11                       | 0<br>0<br>187,228               | 60,000<br>240,000<br>55,712                | 0<br>0<br>0                    | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0      | 0<br>0<br>0                       | Jan 14<br>Work Completed          |
| 4                       | Current Projects   | 9,833,260        |  | 648,097                         | 9,185,163                                  | 0                              | 0                | 0                | 0                | 0                | 0                                 |                                   |

Group 77 - Airport & Transit Improvements

FY15-16 CIP Adopted

| Project #           | Project Title                         | Project \$ Total | Funding Sources                   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |                    |         |         |         | Anticipated Completion & Comments |  |
|---------------------|---------------------------------------|------------------|-----------------------------------|--------------------------|------------------------|--------------------------------|--------------------|---------|---------|---------|-----------------------------------|--|
|                     |                                       |                  |                                   |                          |                        | Total                          | FY15-16            | FY16-17 | FY17-18 | FY18-19 |                                   | FY19-20                                |
| <b>NEW PROJECTS</b> |                                       |                  |                                   |                          |                        | <b>Approved Capital Budget</b> |                    |         |         |         |                                   |  |
| 77040               | Upgrade AWOS & Unicom - Tracy Airport | 105,000          | F563-Airport Capital<br>FAA Grant | 0<br>0                   | 0<br>0                 | 5,000<br>100,000               | 5,000<br>100,000   | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Jun 16<br>Priority A<br>Rehabilitation |
| 77549               | ParaTransit Bus Replacements - FY16   | 600,000          | F573-Transit Capital<br>FTA Grant | 0<br>0                   | 0<br>0                 | 120,000<br>480,000             | 120,000<br>480,000 | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Jun 16<br>Priority A<br>Replacement    |
| 77550               | Transit Buses Replacements - FY16     | 700,000          | F573-Transit Capital<br>FTA Grant | 0<br>0                   | 0<br>0                 | 140,000<br>560,000             | 140,000<br>560,000 | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Jun 16<br>Priority A<br>Replacement    |
| 77551               | Short Range Transit Pla               | 130,000          | F573-Transit Capital<br>FTA Grant | 0<br>0                   | 0<br>0                 | 30,000<br>100,000              | 30,000<br>100,000  | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0                            | Jun 16<br>Priority A<br>Study          |
| 4 New Projects      |                                       | 1,535,000        |                                   | 0                        | 0                      | 1,535,000                      | 1,535,000          | 0       | 0       | 0       | 0                                 |  |

Group 77 - Airport & Transit Improvements

FY15-16 CIP Adopted

| Project #              | Project Title                                     | Project \$ Total | Funding Sources  | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED                 |                  |                                |                          |                                 | Anticipated Completion & Comments           |   |
|------------------------|---|------------------|--|--------------------------|------------------------|---|------------------|--------------------------------|--------------------------|---------------------------------|---|---|
|                        |   |                  |  |                          |                        | Total                                       | FY15-16          | FY16-17                        | FY17-18                  | FY18-19                         |   | FY19-20                                     |
| <b>FUTURE PROJECTS</b> |   |                  |  |                          |                        | <b>Approved Capital Budget</b>              |                  |                                |                          |                                 |   |   |
| 77027                  | Installation of 44 Portab Hangars                 | 2,336,245        | F563-Airport Capital<br>FAA Grant<br>State Aviation Loan                           | 10,466<br>118,779<br>0   | 0<br>0<br>0            | 95,000<br>0<br>2,112,000                    | 0<br>0<br>0      | 95,000<br>0<br>2,112,000       | 0<br>0<br>0              | 0<br>0<br>0                     | 0<br>0<br>0                                 | Jun 17<br>Design Completed                  |
| 77034                  | Master Plan Update - Tracy Airport                | 450,556          | F563-Airport Capital<br>FAA Grant<br>State Aviation Grant                          | 556<br>0<br>0            | 0<br>0<br>0            | 26,000<br>405,000<br>19,000                 | 0<br>0<br>0      | 26,000<br>405,000<br>19,000    | 0<br>0<br>0              | 0<br>0<br>0                     | 0<br>0<br>0                                 | Jun 17<br>Priority B1<br>Study              |
| 77PP- 016              | Aircraft Wash Facility -                          | 99,400           | F563-Airport Capital   | 0                        | 0                      | 99,400                                      | 0                | 0                              | 0                        | 0                               | 99,400                                      | Jun 20<br>Priority D                        |
| 77PP- 017              | Helicopter Pad Airport                            | 91,800           | F563-Airport Capital<br>FAA Grant<br>State Aviation Grant                          | 0<br>0<br>0              | 0<br>0<br>0            | 2,400<br>87,200<br>2,200                    | 0<br>0<br>0      | 0<br>0<br>0                    | 2,400<br>87,200<br>2,200 | 0<br>0<br>0                     | 0<br>0<br>0                                 | Jun 18<br>Priority B<br>New                 |
| 77PP- 018              | Utilities & Drainage Improvements - Tracy Airport | 2,776,000        | F563-Airport Capital   | 0                        | 0                      | 2,776,000                                   | 0                | 0                              | 0                        | 0                               | 2,776,000                                   | Jun 20<br>Priority D<br>Upgrade & Expansion |
| 77PP- 025              | Land Acquisition - Tracy Airport                  | 21,849,000       | F563-Airport Capital<br>FAA Grant<br>State Aviation Grant<br>F301-General Projects | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0       | 102,600<br>20,756,400<br>519,000<br>471,000 | 0<br>0<br>0<br>0 | 0<br>2,250,000<br>0<br>250,000 | 0<br>0<br>0<br>0         | 0<br>0<br>0<br>0                | 102,600<br>18,506,400<br>519,000<br>221,000 | Jun 21<br>Priority D<br>New - Expansion     |
| 77PP- 026              | Construct FBO Facility - Main Airport Area        | 5,604,000        | F563-Airport Capital<br>F301-General Projects                                      | 0<br>0                   | 0<br>0                 | 35,000<br>5,569,000                         | 0<br>0           | 0<br>0                         | 0<br>0                   | 0<br>0                          | 35,000<br>5,569,000                         | Jun 20<br>Priority D<br>New                 |
| 77PP- 027              | Construct FBO Facility - South Hangar Area        | 3,108,000        | F563-Airport Capital<br>F301-General Projects                                      | 0<br>0                   | 0<br>0                 | 0<br>3,108,000                              | 0<br>0           | 0<br>0                         | 0<br>0                   | 0<br>0                          | 0<br>3,108,000                              | Jun 20<br>Priority D<br>New                 |
| 77PP- 028              | Taxiway Construction & Paving - Tracy Airport     | 4,808,000        | F563-Airport Capital<br>FAA Grant<br>State Aviation Grant                          | 0<br>0<br>0              | 0<br>0<br>0            | 125,100<br>4,567,500<br>115,400             | 0<br>0<br>0      | 0<br>0<br>0                    | 0<br>0<br>0              | 125,100<br>4,567,500<br>115,400 | 0<br>0<br>0                                 | Jun 19<br>Priority C<br>New                 |
| 77PP- 029              | Road Upgrade - Tracy Blvd, s of Linne             | 2,943,000        | F563-Airport Capital<br>F301-General Projects                                      | 0<br>0                   | 0<br>0                 | 0<br>2,943,000                              | 0<br>0           | 0<br>0                         | 0<br>0                   | 0<br>2,943,000                  | 0<br>0                                      | Jun 19<br>Priority C<br>Rehabilitation      |
| (Continued)            |   |                  |  |                          |                        |   |                  |                                |                          |                                 |   |   |

## Group 77 - Airport &amp; Transit Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title                              | Project \$ Total | Funding Sources   | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |             |             |                   |                               | Anticipated Completion & Comments |   |
|------------------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|-------------|-------------|-------------------|-------------------------------|-----------------------------------|---|
|                                    |  |                  |   |                          |                        | Total                          | FY15-16     | FY16-17     | FY17-18           | FY18-19                       |                                   | FY19-20                                 |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |             |             |                   |                               |                                   |   |
| 77PP- 030                          | Repairs FBO Building - Tracy Airport       | 1,337,000        | F563-Airport Capital<br>F301-General Projects             | 0<br>0                   | 0<br>0                 | 0<br>1,337,000                 | 0<br>0      | 0<br>0      | 0<br>0            | 0<br>1,337,000                | 0<br>0                            | Jun 19<br>Priority C<br>Replacement     |
| 77PP- 033                          | Airport Security Enhancements              | 3,112,000        | F563-Airport Capital<br>FAA Grant<br>State Aviation Grant | 0<br>0<br>0              | 0<br>0<br>0            | 80,900<br>2,956,400<br>74,700  | 0<br>0<br>0 | 0<br>0<br>0 | 0<br>0<br>0       | 80,900<br>2,956,400<br>74,700 | 0<br>0<br>0                       | Jun 19<br>Priority C<br>New             |
| 77PP- 060                          | Sanitary Improvements Tracy Airport        | 291,400          | F563-Airport Capital<br>F301-General Projects             | 0<br>0                   | 0<br>0                 | 0<br>291,400                   | 0<br>0      | 0<br>0      | 0<br>0            | 0<br>0                        | 0<br>291,400                      | Jun 20<br>Priority D<br>Upgrade         |
| 77PP- 067                          | Playground Equipment - Tracy Airport Park  | 100,000          | F563-Airport Capital                                      | 0                        | 0                      | 100,000                        | 0           | 0           | 0                 | 100,000                       | 0                                 | Jun 19<br>Priority C<br>Upgrade         |
| 77PP- 070                          | Miscellaneous Improver Tracy Airport       | 122,000          | F563-Airport Capital                                      | 0                        | 0                      | 122,000                        | 0           | 0           | 0                 | 122,000                       | 0                                 | Jun 18<br>Priority C<br>Upgrade & Rehab |
| 77PP- 071                          | Runway Seal Coat - Tracy Airport           | 310,000          | F563-Airport Capital<br>FAA Grant                         | 0<br>0                   | 0<br>0                 | 10,000<br>300,000              | 0<br>0      | 0<br>0      | 0<br>0            | 0<br>0                        | 10,000<br>300,000                 | Jun 20<br>Priority D<br>Rehabilitation  |
| 77PP- 072                          | Airport Site Selection Study               | 250,000          | F301-General Projects<br>FAA Grant                        | 0<br>0                   | 0<br>0                 | 25,000<br>225,000              | 0<br>0      | 0<br>0      | 0<br>0            | 0<br>0                        | 25,000<br>225,000                 | Jun 20<br>Priority D<br>Study           |
| 77PP- 075                          | Sweeper Purchase Tracy Airport             | 115,000          | F563-Airport Capital                                      | 0                        | 0                      | 115,000                        | 0           | 0           | 0                 | 115,000                       | 0                                 | Jun 19<br>Priority C<br>New Equipment   |
| 77PP- 077                          | Vegetation Removal Tracy Airport           | 55,000           | F563-Airport Capital                                      | 0                        | 0                      | 55,000                         | 0           | 0           | 0                 | 55,000                        | 0                                 | Jun 19<br>Priority C<br>Rehabilitation  |
| 77PP- 078                          | Relocate Perimeter Fencing - Tracy Airport | 111,000          | F563-Airport Capital<br>FAA Grant                         | 0<br>0                   | 0<br>0                 | 11,000<br>100,000              | 0<br>0      | 0<br>0      | 11,000<br>100,000 | 0<br>0                        | 0<br>0                            | Jun 18<br>Priority B<br>Rehabilitation  |
| 77PP- 080                          | Install New Lights Tracy Airport           | 75,000           | F563-Airport Capital<br>FAA Grant                         | 0<br>0                   | 0<br>0                 | 10,000<br>65,000               | 0<br>0      | 0<br>0      | 0<br>0            | 10,000<br>65,000              | 0<br>0                            | Jun 19<br>Priority C<br>New Equipment   |
| (Continued)                        |  |                  |   |                          |                        |                                |             |             |                   |                               |                                   |   |

Group 77 - Airport & Transit Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title                                 | Project \$ Total | Funding Sources                               | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |                    |                      |                    | Anticipated Completion & Comments |                                       |
|------------------------------------|---|------------------|---|--------------------------|------------------------|--------------------------------|---------|--------------------|----------------------|--------------------|-----------------------------------|---------------------------------------|
|                                    |   |                  |   |                          |                        | Total                          | FY15-16 | FY16-17            | FY17-18              | FY18-19            |                                   | FY19-20                               |
| <b>FUTURE PROJECTS (Continued)</b> |   |                  |   |                          |                        | <b>Approved Capital Budget</b> |         |                    |                      |                    |                                   |                                       |
| 77PP- 084                          | ROW Acquisition - New Jerusalem Airport       | 1,000,000        | F563-Airport Capital<br>F301-General Projects | 0<br>0                   | 0<br>0                 | 0<br>1,000,000                 | 0<br>0  | 0<br>0             | 0<br>0               | 0<br>0             | 0<br>1,000,000                    | Jun 21<br>Priority D<br>New           |
| 77PP- 085                          | Observation Platform at Tracy Airport         | 31,250           | F563-Airport Capital<br>F301-General Projects | 0<br>0                   | 0<br>0                 | 0<br>31,250                    | 0<br>0  | 0<br>0             | 0<br>0               | 0<br>0             | 0<br>31,250                       | Jun 20<br>Priority D<br>New           |
| 77PP- 562E                         | ParaTransit Bus Replacements - Future Years   | 1,200,000        | F573-Transit Capital<br>FTA Grant             | 0<br>0                   | 0<br>0                 | 240,000<br>960,000             | 0<br>0  | 120,000<br>480,000 | 0<br>0               | 120,000<br>480,000 | 0<br>0                            | Jun 19<br>Priority B<br>Replacement   |
| 77PP- 563E                         | Transit Buses Replacements - Future Years     | 1,400,000        | F573-Transit Capital<br>FTA Grant             | 0<br>0                   | 0<br>0                 | 280,000<br>1,120,000           | 0<br>0  | 140,000<br>560,000 | 0<br>0               | 140,000<br>560,000 | 0<br>0                            | Jun 19<br>Priority B<br>Replacement   |
| 77PP- 566                          | Wi-Fi Access on TRACER Buses                  | 20,000           | F573-Transit Capital<br>FTA Grant             | 0<br>0                   | 0<br>0                 | 20,000<br>0                    | 0<br>0  | 0<br>0             | 0<br>0               | 0<br>0             | 20,000<br>0                       | Jun 20<br>Priority D<br>New Equipment |
| 77PP- 569                          | Bus Stop Improvements ?? locations, Phase III | 1,500,000        | F573-Transit Capital<br>FTA Grant             | 0<br>0                   | 0<br>0                 | 300,000<br>1,200,000           | 0<br>0  | 0<br>0             | 300,000<br>1,200,000 | 0<br>0             | 0<br>0                            | Jun 18<br>Priority B<br>New           |
| <b>Totals</b>                      |   |                  |   |                          |                        |                                |         |                    |                      |                    |                                   |                                       |
| 27                                 | Future Projects                               | 55,095,651       |   | 129,801                  | 0                      | 54,965,850                     | 0       | 6,457,000          | 1,702,800            | 13,967,000         | 32,839,050                        |                                       |

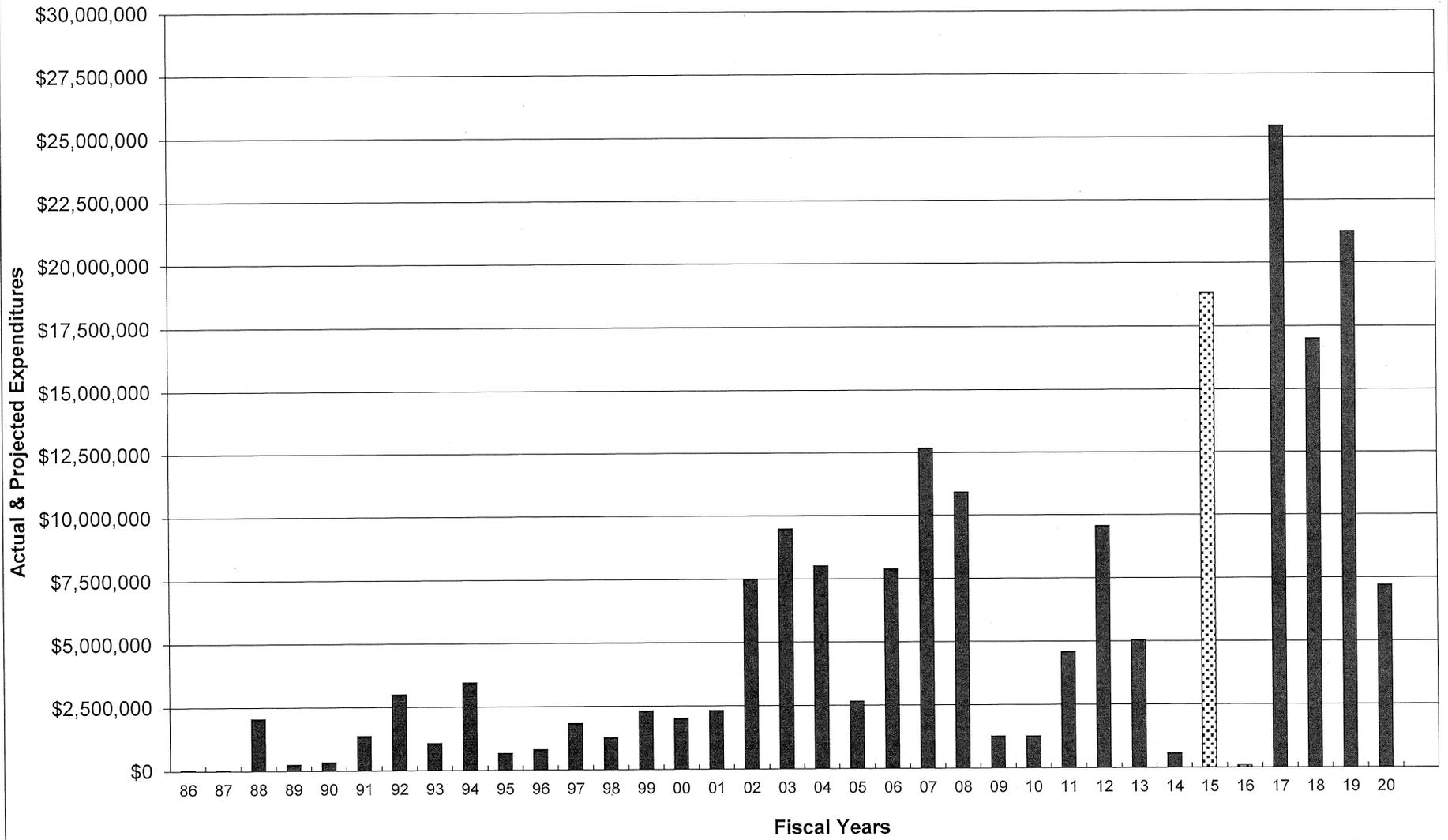
Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total         | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED |            |         |            |            |            |            |   |
|---------------------------|---------------------------|-----------------------------|---------------------------|-----------------------------|------------|---------|------------|------------|------------|------------|---|
|                           |                           |                             |                           | Total                       | FY15-16    | FY16-17 | FY17-18    | FY18-19    | FY19-20    |            |   |
| 19                        | Current Projects          | 36,989,430                  | 12,132,544                | 23,881,886                  | 975,000    | 75,000  | 900,000    | 0          | 0          | 0          | Projects Requiring<br>1 New Funding<br>1 in FY15-16 |
| 0                         | New Projects              | 0                           | 0                         | 0                           | 0          | 0       | 0          | 0          | 0          | 0          |   |
| 34                        | Future Projects           | 93,131,622                  | 162,352                   | 0                           | 92,969,270 | 0       | 19,477,000 | 16,879,500 | 21,255,270 | 35,357,500 |   |
| 53                        | Totals                    | 130,121,052                 | 12,294,896                | 23,881,886                  | 93,944,270 | 75,000  | 20,377,000 | 16,879,500 | 21,255,270 | 35,357,500 |   |
| <b>by Funding Sources</b> |                           |                             |                           |                             |            |         |            |            |            |            |   |
|                           | F242-Transp Sales Tax     | 400,000                     | 0                         | 0                           | 400,000    | 0       | 200,000    | 0          | 200,000    | 0          |   |
|                           | F268-Com Dev Block Gt     | 65,800                      | 0                         | 65,800                      | 0          | 0       | 0          | 0          | 0          | 0          |   |
|                           | F271-Landscaping District | 2,836,000                   | 45,567                    | 1,024,433                   | 1,766,000  | 0       | 1,766,000  | 0          | 0          | 0          |   |
|                           | F301-General Projects     | 60,068,982                  | 8,481,349                 | 10,331,133                  | 41,256,500 | 75,000  | 17,931,000 | 5,020,500  | 4,617,000  | 13,613,000 |   |
|                           | F311-Infill Parks         | 5,713,400                   | 0                         | 0                           | 5,713,400  | 0       | 0          | 527,000    | 0          | 5,186,400  |   |
|                           | F321-Parks Plan "C"       | 1,648,000                   | 1,648,000                 | 0                           | 0          | 0       | 0          | 0          | 0          | 0          |   |
|                           | F324-Gen Fac Plan "C"     | 4,016,200                   | 1,110,480                 | 1,645,520                   | 1,260,200  | 0       | 400,000    | 860,200    | 0          | 0          |   |
|                           | F345-RSP Prgm Mgmt        | 131,500                     | 0                         | 0                           | 131,500    | 0       | 131,500    | 0          | 0          | 0          |   |
|                           | F352-So MacArthur PA      | 1,157,800                   | 878,000                   | 138,800                     | 141,000    | 0       | 0          | 141,000    | 0          | 0          |   |
|                           | F353-I205 Area Spec Plar  | 572,500                     | 0                         | 0                           | 572,500    | 0       | 0          | 572,500    | 0          | 0          |   |
|                           | F354-ISP South Area       | 478,000                     | 0                         | 231,500                     | 246,500    | 0       | 0          | 246,500    | 0          | 0          |   |
|                           | F355-Presidio Area        | 230,400                     | 0                         | 114,700                     | 115,700    | 0       | 0          | 115,700    | 0          | 0          |   |
|                           | F358-Ellis Prgm Area      | 17,426,670                  | 0                         | 0                           | 17,426,670 | 0       | 0          | 1,118,400  | 16,308,270 | 0          |   |
|                           | F361-TIMP Parks           | 0                           | 0                         | 0                           | 0          | 0       | 0          | 0          | 0          | 0          |   |
|                           | F391-Kagehiro Parks       | 457,000                     | 0                         | 310,000                     | 147,000    | 0       | 0          | 147,000    | 0          | 0          |   |
|                           | State Park Grant          | 0                           | 0                         | 0                           | 0          | 0       | 0          | 0          | 0          | 0          |   |
|                           | Other Grants              | 8,013,500                   | 0                         | 20,000                      | 7,993,500  | 0       | 80,000     | 7,333,500  | 130,000    | 450,000    |   |
|                           | Developer's Contribution  | 10,797,200                  | 131,500                   | 10,000,000                  | 665,700    | 0       | -131,500   | 797,200    | 0          | 0          |   |
|                           | F366-TIMP Pub Fac         | 16,108,100                  | 0                         | 0                           | 16,108,100 | 0       | 0          | 0          | 0          | 16,108,100 |   |
|                           |                           | 130,121,052                 | 12,294,896                | 23,881,886                  | 93,944,270 | 75,000  | 20,377,000 | 16,879,500 | 21,255,270 | 35,357,500 |   |

|                  |               |           |            |                      |
|------------------|---------------|-----------|------------|----------------------|
| CIP Expenditures | in FY13-14 >> | 575,419   | 2,835,600  | New Appropriations   |
|                  | in FY12-13 >> | 5,054,442 | 15,843,922 | Carryovers from FY14 |
|                  | in FY11-12 >> | 9,583,543 | 0          | Deferrals            |
|                  | in FY10-11 >> | 4,596,373 | 5,202,364  | Supplementals        |

Tracy Capital Improvement Program  
Parks & Recreation Improvements



Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #               | Project Title   | Project \$ Total | Funding Sources  | Prior Years Expenditures                | FY14-15 Appropriations   | NEW APPROPRIATIONS REQUIRED     |                                 |                                 |                                 |                                 | Anticipated Completion & Comments |  |
|-------------------------|---|------------------|--|---|--|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|-----------------------------------|--|
|                         |   |                  |  |   |  | Total                           | FY15-16                         | FY16-17                         | FY17-18                         | FY18-19                         |                                   | FY19-20                                  |
| <b>CURRENT PROJECTS</b> |   |                  |  |   |  | <b>Approved Capital Budget</b>  |                                 |                                 |                                 |                                 |                                   |  |
| 78053                   | Park Renovation - Tracy Ball Park, Phase I                  | 1,000,000        | F301-General Projects<br>State Park Grant  | 0<br>0                                  | 25,000<br>0  | 975,000<br>0                    | 75,000<br>0                     | 900,000<br>0                    | 0<br>0                          | 0<br>0                          | 0<br>0                            | Dec 17 Priority A2<br>Deferred to Future |
| 78054                   | Aquatics Center - Ellis Area                                | 13,551,000       | F301-General Projects<br>F324-Gen Fac Plan "C"<br>F352-So MacArthur PA<br>F354-ISP South Area<br>F355-Presidio Area<br>F391-Kagehiro Parks<br>Developer's Contribution | 0<br>1,110,480<br>0<br>0<br>0<br>0<br>0 | 0<br>1,645,520<br>138,800<br>231,500<br>114,700<br>310,000<br>10,000,000 | 0<br>0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0<br>0   | Dec 16 Priority A5<br>Design Underway    |
| 78115                   | Youth Sports Facilities - Legacy Sportsfield Site, Phase IA | 10,743,630       | F301-General Projects<br>F321-Parks Plan "C"<br>F352-So MacArthur PA   | 8,183,592<br>1,648,000<br>878,000       | 34,038<br>0<br>0   | 0<br>0<br>0                     | 0<br>0<br>0                     | 0<br>0<br>0                     | 0<br>0<br>0                     | 0<br>0<br>0                     | 0<br>0<br>0                       | Mar 13<br>Work Completed                 |
| 78116                   | Telecom Replacements - Community Facilities                 | 37,000           | F301-General Projects  | 11,069                                  | 25,931   | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jun 16<br>Phase 2 in FY15-16             |
| 78139                   | Park Revitalization - LMD Areas - FY13                      | 238,000          | F271-Landscaping Distric   | 45,567                                  | 192,433  | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jun 13 Priority A8<br>Rehabilitation     |
| 78140                   | Park Revitalization - City Areas - FY13                     | 325,000          | F301-General Projects  | 152,709                                 | 172,291  | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jun 15<br>Rehabilitation                 |
| 78141                   | Restroom - El Pescadero Park                                | 434,500          | F301-General Projects  | 32,152                                  | 402,348  | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jan 15<br>Work Completed                 |
| 78142                   | Building Demolition & Site Sale - Scout Hut                 | 55,000           | F301-General Projects  | 21,566                                  | 33,434   | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jan 15<br>Work Underway                  |
| 78143                   | Handball Court Refinish - MacDonald Park                    | 34,500           | F301-General Projects  | 4,914                                   | 29,586   | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jan 15<br>Work Underway                  |
| 78144                   | Restroom Replacement - MacDonald Park                       | 396,200          | F301-General Projects  | 34,358                                  | 361,842  | 0                               | 0                               | 0                               | 0                               | 0                               | 0                                 | Jan 15<br>Work Underway                  |
| (Continued)             |   |                  |  |   |  |                                 |                                 |                                 |                                 |                                 |                                   |  |

Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #                           | Project Title                                   | Project \$ Total | Funding Sources                                | Prior Years Expenditures | FY14-15 Appropriations | Total   | NEW APPROPRIATIONS REQUIRED    |         |         |         |         | Anticipated Completion & Comments        |
|-------------------------------------|---|------------------|--|--------------------------|------------------------|---------|--------------------------------|---------|---------|---------|---------|--|
|                                     |   |                  |  |                          |                        |         | FY15-16                        | FY16-17 | FY17-18 | FY18-19 | FY19-20 |  |
| <b>CURRENT PROJECTS (Continued)</b> |   |                  |  |                          |                        |         | <b>Approved Capital Budget</b> |         |         |         |         |  |
| 78145                               | Facility Reservation Software                   | 40,000           | F301-General Projects<br>Other Grant           | 0<br>0                   | 20,000<br>20,000       | 0<br>0  | 0<br>0                         | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun 15<br>Priority B<br>Software Upgrade |
| 78146                               | New Basketball Court - El Pescadero Park        | 80,000           | F301-General Projects                          | 10,017                   | 69,983                 | 0       | 0                              | 0       | 0       | 0       | 0       | Jan 15<br>Work Completed                 |
| 78147                               | Replace Automatic Doors at Tracy Library        | 85,600           | F301-General Projects<br>F268-Com Dev Block Gt | 0<br>0                   | 19,800<br>65,800       | 0<br>0  | 0<br>0                         | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun 15<br>Contract Award Feb 15          |
| 78148                               | Wooden Play Structures - Hoyt Park              | 304,000          | F301-General Projects                          | 0                        | 304,000                | 0       | 0                              | 0       | 0       | 0       | 0       | Dec 15<br>Design Underway                |
| 78149                               | Park Renovation - LMZ 07, Bailor-Hennan, Rippon | 416,000          | F271-Landscaping Distric                       | 0                        | 416,000                | 0       | 0                              | 0       | 0       | 0       | 0       | Jun 16<br>Priority B13<br>Rehabilitation |
| 78150                               | Park Renovation - LMZ 17, Sullivan & Huck       | 416,000          | F271-Landscaping Distric                       | 0                        | 416,000                | 0       | 0                              | 0       | 0       | 0       | 0       | Jun 16<br>Priority B14<br>Rehabilitation |
| 78151                               | Emergency Repairs - Lammersville School         | 60,000           | F301-General Projects                          | 120                      | 59,880                 | 0       | 0                              | 0       | 0       | 0       | 0       | Oct 14<br>Work Completed                 |
| 78152                               | Pool Replacement - Dr Powers Park               | 3,523,000        | F301-General Projects                          | 0                        | 3,523,000              | 0       | 0                              | 0       | 0       | 0       | 0       | Jun 16<br>Design Underway                |
| 78153                               | Legacy Sportsfield Site, Phase IB               | 5,250,000        | F301-General Projects                          | 0                        | 5,250,000              | 0       | 0                              | 0       | 0       | 0       | 0       | Jun 16<br>Design Underway                |
| <b>Totals</b>                       |   |                  |  |                          |                        |         |                                |         |         |         |         |  |
| 19                                  | Current Projects                                | 36,989,430       |  | 12,132,544               | 23,881,886             | 975,000 | 75,000                         | 900,000 | 0       | 0       | 0       |  |

Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #           | Project Title          | Project<br>\$ Total | Funding<br>Sources | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |         |         |         |         | Anticipated Completion<br>& Comments |         |
|---------------------|------------------------|---------------------|--------------------|-----------------------------|---------------------------|------------------------------------|---------|---------|---------|---------|--------------------------------------|---------|
|                     |                        |                     |                    |                             |                           | Total                              | FY15-16 | FY16-17 | FY17-18 | FY18-19 |                                      | FY19-20 |
| <u>NEW PROJECTS</u> |                        |                     |                    |                             |                           | <b>Approved<br/>Capital Budget</b> |         |         |         |         |                                      |         |
| 0                   | Totals<br>New Projects | 0                   |                    | 0                           | 0                         | 0                                  | 0       | 0       | 0       | 0       | 0                                    |         |

Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #              | Project Title                                       | Project \$ Total | Funding Sources   | Prior Years Expenditures   | FY14-15 Appropriations     | NEW APPROPRIATIONS REQUIRED  |                            |                                  |  |                            | Anticipated Completion & Comments |   |   |            |
|------------------------|---|------------------|---|----------------------------|----------------------------|--|----------------------------|----------------------------------|--|----------------------------|-----------------------------------|---|---|------------|
|                        |   |                  |   |                            |                            | Total  | FY15-16                    | FY16-17                          | FY17-18  | FY18-19                    |                                   | FY19-20   |   |            |
| <b>FUTURE PROJECTS</b> |   |                  |   |                            |                            | <b>Approved Capital Budget</b>                                     |                            |                                  |  |                            |                                   |   |   |            |
| 78088                  | Library Facility Expansion<br>Unknown Location      | 3,408,800        | F311-Infill Parks<br>F324-Gen Fac Plan "C"<br>F352-So MacArthur PA<br>F354-ISP South Area<br>F355-Presidio Area<br>F358-Ellis Prgm Area | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0 | 527,000<br>1,260,200<br>141,000<br>246,500<br>115,700<br>1,118,400 | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>400,000<br>0<br>0<br>0<br>0 | 527,000<br>860,200<br>141,000<br>246,500<br>115,700<br>1,118,400 | 0<br>0<br>0<br>0<br>0<br>0 | 0<br>0<br>0<br>0<br>0<br>0        | Dec 18<br>Expansion<br>Deferred to Future<br>See 78PP-204 | Priority B                              |            |
| 78093                  | Park Expansion -<br>Tracy Press Park                | 131,500          | F345-RSP Prgm Mgmt<br>Developer's Contribution  | 0<br>131,500               | 0<br>0                     | 131,500<br>-131,500  | 0<br>0                     | 131,500<br>-131,500              | 0<br>0   | 0<br>0                     | 0<br>0                            | 0<br>0  | Dec 05<br>Reimbursement Due             |            |
| 78124                  | Dog Park Site -<br>South Tracy                      | 310,000          | F301-General Projects<br>F391-Kagehiro Parks  | 0<br>0                     | 0<br>0                     | 163,000<br>147,000   | 0<br>0                     | 0<br>0                           | 163,000<br>147,000   | 0<br>0                     | 0<br>0                            | 0<br>0  | Jun 18<br>New Facility                  | Priority B |
| 78PP- 002              | Ballpark Renovations -<br>Tracy Ball Park, Phase II | 3,465,852        | F301-General Projects<br>State Park Grant   | 30,852<br>0                | 0<br>0                     | 3,435,000<br>0   | 0<br>0                     | 3,435,000<br>0                   | 0<br>0   | 0<br>0                     | 0<br>0                            | 0<br>0  | Dec 17<br>Deferred to Future            | Priority B |
| 78PP- 018              | Park Development -<br>El Pescadero Park, Phase II   | 1,117,200        | F301-General Projects<br>Developer's Contribution   | 0<br>0                     | 0<br>0                     | 320,000<br>797,200   | 0<br>0                     | 0<br>0                           | 320,000<br>797,200   | 0<br>0                     | 0<br>0                            | 0<br>0  | Jun 18<br>Rehab & Expan                 | Priority B |
| 78PP- 079              | Park Renovation -<br>Dr Powers Park                 | 1,334,000        | F301-General Projects<br>State Park Grant   | 0<br>0                     | 0<br>0                     | 1,334,000<br>0   | 0<br>0                     | 1,334,000<br>0                   | 0<br>0   | 0<br>0                     | 0<br>0                            | 0<br>0  | Jun 17<br>Rehabilitation                | Priority B |
| 78PP- 096              | Bikeway Improvements -<br>Future Phases             | 590,000          | F301-General Projects<br>Bikeway Grants   | 0<br>0                     | 0<br>0                     | 190,000<br>400,000   | 0<br>0                     | 95,000<br>200,000                | 0<br>0   | 95,000<br>200,000          | 0<br>0                            | 0<br>0  | Jun 19<br>New & Upgrade                 | Priority B |
| 78PP- 108              | Park Revitalization -<br>City Areas - Future Phases | 340,000          | F301-General Projects   | 0                          | 0                          | 340,000  | 0                          | 85,000                           | 85,000   | 85,000                     | 85,000                            | 85,000  | Annual Phased Program<br>Rehabilitation |            |
| 78PP- 118              | New Gymnasium/Multi<br>Purpose Facility             | 10,788,500       | F301-General Projects<br>Grant Funding  | 0<br>0                     | 0<br>0                     | 3,600,000<br>7,188,500   | 0<br>0                     | 760,000<br>0                     | 2,840,000<br>7,188,500   | 0<br>0                     | 0<br>0                            | 0<br>0  | Dec 18<br>New Facility                  | Priority B |
| 78PP- 123              | Neighborhood Park -<br>Location to be Determined    | 5,186,400        | F311-Infill Parks   | 0                          | 0                          | 5,186,400  | 0                          | 0                                | 0  | 0                          | 5,186,400                         | 0   | Jun 21<br>New Facilities                | Priority D |
| 78PP- 124              | Bicycle Motocross Park                              | 381,300          | F301-General Projects   | 0                          | 0                          | 381,300  | 0                          | 0                                | 0  | 37,500                     | 343,800                           | 0   | Jun 20<br>New Facility                  | Priority C |

(Continued)

Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title  | Project \$ Total | Funding Sources                           | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |         |                 |                   |                | Anticipated Completion & Comments |         |                              |
|------------------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|---------|-----------------|-------------------|----------------|-----------------------------------|---------|------------------------------|
|                                    |  |                  |   |                          |                        | Total                          | FY15-16 | FY16-17         | FY17-18           | FY18-19        |                                   | FY19-20 |                              |
| <b>FUTURE PROJECTS (Continued)</b> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |         |                 |                   |                |                                   |         |                              |
| 78PP- 125                          | Skate Park - 2nd Location                              | 293,700          | F301-General Projects                     | 0                        | 0                      | 293,700                        | 0       | 0               | 0                 | 24,500         | 269,200                           | Jun 20  | Priority C<br>New Facility   |
| 78PP- 128                          | Swainson Hawk Mitigation - I205 Area, Phase II         | 572,500          | F353-I205 Area Spec Pla                   | 0                        | 0                      | 572,500                        | 0       | 0               | 572,500           | 0              | 0                                 | Jun 18  | Priority C<br>New Facilities |
| 78PP- 135                          | Bikeway Rehabilitation - Various Locations             | 177,500          | F301-General Projects<br>Bikeway Grants   | 0<br>0                   | 0<br>0                 | 32,500<br>145,000              | 0<br>0  | 0<br>0          | 32,500<br>145,000 | 0<br>0         | 0<br>0                            | Jun 18  | Priority C<br>Rehabilitation |
| 78PP- 137                          | Youth Sports Facilities - Legacy Sportsfield, Phase II | 10,900,000       | F301-General Projects<br>State Park Grant | 0<br>0                   | 0<br>0                 | 10,900,000<br>0                | 0<br>0  | 10,900,000<br>0 | 0<br>0            | 0<br>0         | 0<br>0                            | Dec 17  | Priority B<br>New Facilities |
| 78PP- 139                          | Park Renovation - Lincoln Park, Phase II               | 379,000          | F301-General Projects                     | 0                        | 0                      | 379,000                        | 0       | 0               | 0                 | 379,000        | 0                                 | Jun 19  | Priority C<br>Rehabilitation |
| 78PP- 146                          | Ballpark Renovations - Tracy Ball Park, Phase III      | 4,856,000        | F301-General Projects<br>State Park Grant | 0<br>0                   | 0<br>0                 | 4,856,000<br>0                 | 0<br>0  | 0<br>0          | 860,000<br>0      | 3,996,000<br>0 | 0<br>0                            | Jun 19  | Priority B<br>Rehabilitation |
| 78PP- 149                          | Bikeways to Holly Legacy Sports Fields                 | 580,000          | F301-General Projects<br>Bikeway Grants   | 0<br>0                   | 0<br>0                 | 0<br>580,000                   | 0<br>0  | 0<br>0          | 0<br>0            | 0<br>130,000   | 0<br>450,000                      | Jun 19  | Priority C<br>New Facilities |
| 78PP- 150                          | Utilities Extension - Legacy Sports Fields             | 330,000          | F301-General Projects                     | 0                        | 0                      | 330,000                        | 0       | 0               | 330,000           | 0              | 0                                 | Jun 18  | Priority B<br>New Facilities |
| 78PP- 156                          | Bike/Skate Park at Gretchen Tally Park                 | 875,000          | F301-General Projects<br>Other Grant      | 0<br>0                   | 0<br>0                 | 875,000<br>0                   | 0<br>0  | 0<br>0          | 0<br>0            | 0<br>0         | 875,000<br>0                      | Jun 20  | Priority D<br>New Facilities |
| 78PP- 157                          | Park Renovation El Pescadero Park                      | 390,000          | F301-General Projects<br>Other Grant      | 0<br>0                   | 0<br>0                 | 390,000<br>0                   | 0<br>0  | 0<br>0          | 390,000<br>0      | 0<br>0         | 0<br>0                            | Jun 18  | Priority B<br>Rehabilitation |
| 78PP- 158                          | Bikeway Master Plan                                    | 80,000           | F301-General Projects<br>Bikeway Grants   | 0<br>0                   | 0<br>0                 | 0<br>80,000                    | 0<br>0  | 0<br>80,000     | 0<br>0            | 0<br>0         | 0<br>0                            | Dec 17  | Priority B<br>Rehabilitation |
| 78PP- 159                          | Security Upgrades - Lammersville School                | 100,000          | F301-General Projects                     | 0                        | 0                      | 100,000                        | 0       | 100,000         | 0                 | 0              | 0                                 | Jun 17  | Priority A<br>New Equipment  |
| (Continued)                        |  |                  |   |                          |                        |                                |         |                 |                   |                |                                   |         |                              |

Group 78 - Parks & Recreation Improvements

FY15-16 CIP Adopted

| Project #                          | Project Title                              | Project \$ Total | Funding Sources                               | Prior Years Expenditures | FY14-15 Appropriations | Total                          | NEW APPROPRIATIONS REQUIRED |            |            |                |                          | Anticipated Completion & Comments |                           |
|------------------------------------|--|------------------|---|--------------------------|------------------------|--------------------------------|-----------------------------|------------|------------|----------------|--------------------------|-----------------------------------|---------------------------|
|                                    |  |                  |   |                          |                        |                                | FY15-16                     | FY16-17    | FY17-18    | FY18-19        | FY19-20                  |                                   |                           |
| <u>FUTURE PROJECTS (Continued)</u> |  |                  |   |                          |                        | <b>Approved Capital Budget</b> |                             |            |            |                |                          |                                   |                           |
| 78PP- 160                          | Roof Replacement - Tracy Public Library    | 232,000          | F301-General Projects                         | 0                        | 0                      | 232,000                        | 0                           | 232,000    | 0          | 0              | 0                        | Jun 17                            | Priority A Rehabilitation |
| 78PP- 161b                         | Fountain Repairs - at 2 Locations          | 60,000           | F301-General Projects                         | 0                        | 0                      | 60,000                         | 0                           | 60,000     | 0          | 0              | 0                        | Jun 17                            | Priority B Rehabilitation |
| 78PP- 162                          | Playground Renovation LMD parks            | 1,436,000        | F271-Landscaping Distric                      | 0                        | 0                      | 1,436,000                      | 0                           | 1,436,000  | 0          | 0              | 0                        | Jun 17                            | Priority B Rehabilitation |
| 78PP- 163                          | Irrigation Controller Upgrades - LMD parks | 330,000          | F271-Landscaping Distric                      | 0                        | 0                      | 330,000                        | 0                           | 330,000    | 0          | 0              | 0                        | Jun 17                            | Priority B Rehabilitation |
| 78PP- 201                          | Neighborhood Parks Ellis Prgm Area         | 11,729,450       | F358-Ellis Prgm Area                          | 0                        | 0                      | 11,729,450                     | 0                           | 0          | 0          | 11,729,450     | 0                        | Jun 19                            | Priority C New Facilities |
| 78PP- 202                          | Community Park Ellis Prgm Area             | 3,372,010        | F358-Ellis Prgm Area                          | 0                        | 0                      | 3,372,010                      | 0                           | 0          | 0          | 3,372,010      | 0                        | Jun 19                            | Priority C New Facilities |
| 78PP- 203                          | Community Center Ellis PA                  | 1,206,810        | F358-Ellis Prgm Area<br>F301-General Projects | 0<br>0                   | 0<br>0                 | 1,206,810<br>0                 | 0<br>0                      | 0<br>0     | 0<br>0     | 1,206,810<br>0 | 0<br>0                   | Jun 19                            | Priority C New Facility   |
| 78PP- 204                          | South County Park - North Tracy            | 30,000           | F301-General Projects                         | 0                        | 0                      | 30,000                         | 0                           | 30,000     | 0          | 0              | 0                        | Jun 17                            | Priority B New Facility   |
| 78PP- 205                          | Upgrade/Expansion of Senior Center         | 824,100          | F301-General Projects<br>F366-TIMP Pub Fac    | 0<br>0                   | 0<br>0                 | 0<br>824,100                   | 0<br>0                      | 0<br>0     | 0<br>0     | 0<br>0         | 0<br>824,100             | Jun 21                            | Priority D Rehab & Expan  |
| 78PP- 206                          | Community Center Expansion                 | 2,006,000        | F301-General Projects<br>F366-TIMP Pub Fac    | 0<br>0                   | 0<br>0                 | 0<br>2,006,000                 | 0<br>0                      | 0<br>0     | 0<br>0     | 0<br>0         | 0<br>2,006,000           | Dec 19                            | Priority D Expansion      |
| 78PP- 207                          | Community Center Expansion                 | 25,318,000       | F301-General Projects<br>F366-TIMP Pub Fac    | 0<br>0                   | 0<br>0                 | 12,040,000<br>13,278,000       | 0<br>0                      | 0<br>0     | 0<br>0     | 0<br>0         | 12,040,000<br>13,278,000 | Dec 20                            | Priority D Expansion      |
| <u>Totals</u>                      |  |                  |   |                          |                        |                                |                             |            |            |                |                          |                                   |                           |
| 34                                 | Future Projects                            | 93,131,622       |   | 162,352                  | 0                      | 92,969,270                     | 0                           | 19,477,000 | 16,879,500 | 21,255,270     | 35,357,500               |                                   |                           |

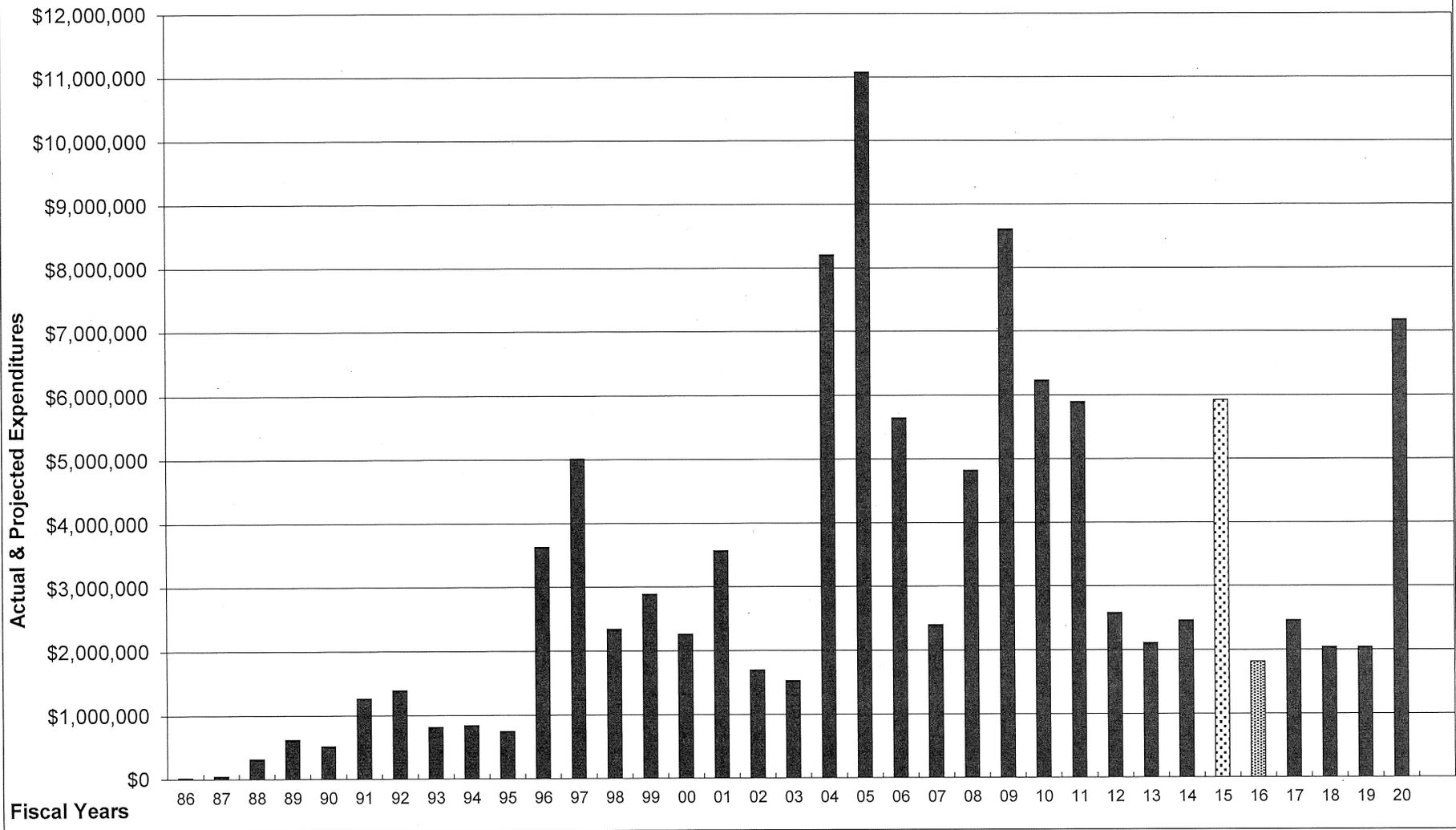
Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| by Project Type           | Group \$ Total           | Prior Years Expenditures | FY14-15 Appropriations | Total     | NEW APPROPRIATIONS REQUIRED    |           |           |           |           | Projects Requiring<br>8 New Funding<br>5 in FY15-16 |  |
|---------------------------|--------------------------|--------------------------|------------------------|-----------|--------------------------------|-----------|-----------|-----------|-----------|---|--|
|                           |                          |                          |                        |           | FY15-16                        | FY16-17   | FY17-18   | FY18-19   | FY19-20   |   |  |
|                           |                          |                          |                        |           | <b>Approved Capital Budget</b> |           |           |           |           |   |  |
| 27                        | Current Projects         | 21,913,146               | 10,827,826             | 5,921,470 | 5,163,850                      | 312,500   | 549,240   | 435,000   | 435,000   | 3,432,110   |  |
| 4                         | New Projects             | 5,238,420                | 0                      | 0         | 5,238,420                      | 1,500,000 | 400,000   | 400,000   | 400,000   | 2,538,420   |  |
| 3                         | Future Projects          | 5,140,000                | 0                      | 0         | 5,140,000                      | 0         | 1,510,000 | 1,210,000 | 1,210,000 | 1,210,000   |  |
| 34                        | Totals                   | 32,291,566               | 10,827,826             | 5,921,470 | 15,542,270                     | 1,812,500 | 2,459,240 | 2,045,000 | 2,045,000 | 7,180,530   |  |
| <b>by Funding Sources</b> |                          |                          |                        |           |                                |           |           |           |           |   |  |
|                           | F101-General             | 100,000                  | 0                      | 100,000   | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | F268-Com Dev Block Gt    | 90,960                   | 0                      | 90,960    | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | F301-General Projects    | 1,552,500                | 236,503                | 903,497   | 412,500                        | 112,500   | 300,000   | 0         | 0         | 0   |  |
|                           | F345-RSP Prgm Mgmt       | 2,530,250                | 225,502                | 2,304,748 | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | F351-NE Indus Area #1    | 2,278,827                | 2,132,357              | 28,870    | 117,600                        | 50,000    | 67,600    | 0         | 0         | 0   |  |
|                           | F352-SMPA                | 383,994                  | 280,011                | 7,343     | 96,640                         | 50,000    | 46,640    | 0         | 0         | 0   |  |
|                           | F353-I205 Area Spec Plar | 802,217                  | 811,289                | -9,072    | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | F354-ISP South           | 1,566,532                | 486,729                | 53,903    | 1,025,900                      | 50,000    | 75,000    | 75,000    | 75,000    | 750,900   |  |
|                           | F355-Presidio Area       | 177,796                  | 99,179                 | 78,617    | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | F356-Tracy Gateway       | 1,653,510                | 68,242                 | 331,268   | 1,254,000                      | 0         | 100,000   | 100,000   | 100,000   | 954,000   |  |
|                           | F357-NE Indus Area #2    | 2,226,094                | 499,776                | 128,718   | 1,597,600                      | 0         | 80,000    | 80,000    | 80,000    | 1,357,600   |  |
|                           | F358-Ellis Prgm Area     | 3,143,420                | 0                      | 0         | 3,143,420                      | 205,000   | 200,000   | 200,000   | 200,000   | 2,338,420   |  |
|                           | F391-UMP Facilities      | 8,452,512                | 4,771,029              | 1,140,423 | 2,541,060                      | 1,295,000 | 300,000   | 300,000   | 300,000   | 346,060   |  |
|                           | F605-Eqpt Acq            | 1,810,000                | 252,603                | 667,397   | 890,000                        | 50,000    | 210,000   | 210,000   | 210,000   | 210,000   |  |
|                           | State & Local Grants     | 0                        | 0                      | 0         | 0                              | 0         | 0         | 0         | 0         | 0   |  |
|                           | Developer's Contribution | 5,522,954                | 964,606                | 94,798    | 4,463,550                      | 0         | 1,080,000 | 1,080,000 | 1,080,000 | 1,223,550   |  |
|                           |                          | 32,291,566               | 10,827,826             | 5,921,470 | 15,542,270                     | 1,812,500 | 2,459,240 | 2,045,000 | 2,045,000 | 7,180,530   |  |

|                  |               |           |           |                       |
|------------------|---------------|-----------|-----------|-----------------------|
| CIP Expenditures | in FY13-14 >> | 2,574,923 | 1,520,000 | New Appropriations    |
|                  | in FY12-13 >> | 2,113,913 | 4,210,510 | Carryovers from FY14  |
|                  | in FY11-12 >> | 2,629,994 | 0         | Recisions & Deferrals |
|                  | in FY10-11 >> | 5,900,745 | 190,960   | Supplementals         |

Tracy Capital Improvement Program  
Miscellaneous Projects



Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| Project #               | Project Title                              | Project \$ Total | Funding Sources                                | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |             |              |              |              | Anticipated Completion & Comments |  |
|-------------------------|--|------------------|--|--------------------------|------------------------|--------------------------------|-------------|--------------|--------------|--------------|-----------------------------------|--|
|                         |  |                  |  |                          |                        | Total                          | FY15-16     | FY16-17      | FY17-18      | FY18-19      |                                   | FY19-20                                    |
| <b>CURRENT PROJECTS</b> |  |                  |  |                          |                        | <b>Approved Capital Budget</b> |             |              |              |              |                                   |  |
| 79201                   | Infill Program Management                  | 962,657          | F31x-Infill Funds                              | 404,309                  | 94,798                 | 463,550                        | 0           | 80,000       | 80,000       | 80,000       | 223,550                           | Jun 22 On-going Program Annual Contingency |
| 79203                   | I205 Area Program Management               | 802,217          | F353-I205 Area Developn                        | 811,289                  | -9,072                 | 0                              | 0           | 0            | 0            | 0            | 0                                 | Jun 14 On-going Program Annual Contingency |
| 79204                   | Plan "C" Program Management                | 5,092,512        | F391-UMP Facilities                            | 4,564,688                | 31,764                 | 496,060                        | 50,000      | 100,000      | 100,000      | 100,000      | 146,060                           | Jun 20 On-going Program Annual Contingency |
| 79205                   | Industrial SP South, Prgm Management       | 1,803,512        | Developer's Contribution F354-Indus SP, South  | 236,980<br>486,729       | 0<br>53,903            | 0<br>1,025,900                 | 0<br>50,000 | 0<br>75,000  | 0<br>75,000  | 0<br>75,000  | 0<br>750,900                      | Jun 20 On-going Program Annual Contingency |
| 79206                   | NE Industrial Area #1 - Program Management | 2,342,332        | F351-NE Indus Area #1 Developer's Contribution | 2,132,357<br>63,505      | 28,870<br>0            | 117,600<br>0                   | 50,000<br>0 | 67,600<br>0  | 0<br>0       | 0<br>0       | 0<br>0                            | Jun 17 On-going Program Annual Contingency |
| 79207                   | South MacArthur Area - Program Management  | 383,994          | F352-SMPA                                      | 280,011                  | 7,343                  | 96,640                         | 50,000      | 46,640       | 0            | 0            | 0                                 | Jun 17 On-going Program Annual Contingency |
| 79208                   | NE Industrial Area #2 - Program Management | 2,226,094        | F357-NE Indus Area #2 Developer's Contribution | 499,776<br>0             | 128,718<br>0           | 1,597,600<br>0                 | 0<br>0      | 80,000<br>0  | 80,000<br>0  | 80,000<br>0  | 1,357,600<br>0                    | Jun 20 On-going Program Annual Contingency |
| 79209                   | Tracy Gateway - Program Management         | 1,653,510        | F356-Tracy Gateway Developer's Contribution    | 68,242<br>0              | 331,268<br>0           | 1,254,000<br>0                 | 0<br>0      | 100,000<br>0 | 100,000<br>0 | 100,000<br>0 | 954,000<br>0                      | Jun 20 On-going Program Annual Contingency |
| 79210                   | Presidio Area - Program Management         | 437,608          | F355-Presidio Area Developer's Contribution    | 99,179<br>259,812        | 78,617<br>0            | 0<br>0                         | 0<br>0      | 0<br>0       | 0<br>0       | 0<br>0       | 0<br>0                            | Jun 13 On-going Program Annual Contingency |
| 79313                   | Development Reviews - FY13 Projects        | 215,000          | Developer's Contribution                       | 10,809                   | 204,191                | 0                              | 0           | 0            | 0            | 0            | 0                                 | Annual Contingency New Developments        |
| 79314                   | Development Reviews - FY14 Projects        | 300,000          | Developer's Contribution                       | 195,532                  | 104,468                | 0                              | 0           | 0            | 0            | 0            | 0                                 | Annual Contingency New Developments        |
| 79315                   | Development Reviews - FY14-15              | 800,000          | Developer's Contribution                       | 0                        | 800,000                | 0                              | 0           | 0            | 0            | 0            | 0                                 | Annual Contingency New Developments        |
| (Continued)             |  |                  |  |                          |                        |                                |             |              |              |              |                                   |  |

## Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| Project #                    | Project Title   | Project<br>\$ Total | Funding<br>Sources                          | Prior Years<br>Expenditures | FY14-15<br>Appropriations | Total                              | NEW APPROPRIATIONS REQUIRED |         |         |         |         | Anticipated Completion<br>& Comments       |
|------------------------------|---|---------------------|---|-----------------------------|---------------------------|------------------------------------|-----------------------------|---------|---------|---------|---------|--|
|                              |   |                     |   |                             |                           |                                    | FY15-16                     | FY16-17 | FY17-18 | FY18-19 | FY19-20 |  |
| CURRENT PROJECTS (Continued) |   |                     |   |                             |                           | <b>Approved<br/>Capital Budget</b> |                             |         |         |         |         |  |
| 79357                        | Way Finding Signage<br>Program                        | 435,000             | F101-General<br>F301-General Projects       | 0<br>111,124                | 0<br>323,876              | 0<br>0                             | 0<br>0                      | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun 16<br>Design Underway                  |
| 79364b                       | Downtown Brew Pub/<br>Property Acquisition            | 1,000,000           | F318-Comm Devel Agenc<br>F345-RSP Prgm Mgmt | 0<br>40,778                 | 0<br>959,222              | 0<br>0                             | 0<br>0                      | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun ???                                    |
| 79365                        | Business Incubator                                    | 61,517              | F345-RSP Prgm Mgmt                          | 57,517                      | 4,000                     | 0                                  | 0                           | 0       | 0       | 0       | 0       | Project Cancelled                          |
| 79366                        | Retail Incentives -<br>Office/Industrial              | 35,000              | F101-General<br>F345-RSP Prgm Mgmt          | 0<br>2,745                  | 0<br>32,255               | 0<br>0                             | 0<br>0                      | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun ???                                    |
| 79367                        | Property Acquisition -<br>West Schulte & Lammers area | 695,250             | F101-General<br>F345-RSP Prgm Mgmt          | 0<br>124,462                | 0<br>570,788              | 0<br>0                             | 0<br>0                      | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun 15                                     |
| 79368                        | Hi-Tech Incentive<br>Pilot Program                    | 238,483             | F345-RSP Prgm Mgmt                          | 0                           | 238,483                   | 0                                  | 0                           | 0       | 0       | 0       | 0       |  |
| 79369                        | Façade Improvements<br>Grant Program                  | 90,960              | F268-Com Dev Block Gt                       | 0                           | 90,960                    | 0                                  | 0                           | 0       | 0       | 0       | 0       |  |
| 79409                        | Computer Replacements<br>& Upgrades - FY14 Phase      | 210,000             | F605-Eqpt Acq                               | 133,198                     | 76,802                    | 0                                  | 0                           | 0       | 0       | 0       | 0       | Jan 16 Priority A<br>Work Underway         |
| 79410                        | Network Replacements<br>& Upgrades - FY14 Phase       | 210,000             | F605-Eqpt Acq                               | 119,405                     | 90,595                    | 0                                  | 0                           | 0       | 0       | 0       | 0       | Jan 16 Priority A<br>Work Underway         |
| 79411                        | New Financial System -<br>Finance Division            | 1,082,500           | F301-General Projects<br>F605-Eqpt Acq      | 649<br>0                    | 519,351<br>450,000        | 112,500<br>0                       | 112,500<br>0                | 0<br>0  | 0<br>0  | 0<br>0  | 0<br>0  | Jun 16 Priority B<br>Project Started       |
| 79412                        | Wireless Infrastructure<br>Citywide                   | 185,000             | F301-General Projects                       | 124,730                     | 60,270                    | 0                                  | 0                           | 0       | 0       | 0       | 0       | Jan 16 Priority A<br>Work Underway         |
| 79413                        | Computer Replacements<br>Citywide - FY14-15           | 50,000              | F605-Eqpt Acq                               | 0                           | 50,000                    | 0                                  | 0                           | 0       | 0       | 0       | 0       | Jan 16 Priority A<br>Equipment Replacement |
| (Continued)                  |   |                     |   |                             |                           |                                    |                             |         |         |         |         |  |

Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| Project #                    | Project Title               | Project \$ Total | Funding Sources    | Prior Years Expenditures | FY14-15 Appropriations | Total     | NEW APPROPRIATIONS REQUIRED    |         |         |         |           | Anticipated Completion & Comments |  |
|------------------------------|-----------------------------|------------------|--------------------|--------------------------|------------------------|-----------|--------------------------------|---------|---------|---------|-----------|-----------------------------------|--|
|                              |                             |                  |                    |                          |                        |           | FY15-16                        | FY16-17 | FY17-18 | FY18-19 | FY19-20   |                                   |  |
| CURRENT PROJECTS (Continued) |                             |                  |                    |                          |                        |           | <b>Approved Capital Budget</b> |         |         |         |           |                                   |  |
| 79371                        | Housing Element Update FY15 | 100,000          | F101-General       | 0                        | 100,000                | 0         | 0                              | 0       | 0       | 0       | 0         | Jun 15 Priority A                 |  |
| 79372                        | Economic Development Fund   | 500,000          | F345-RSP Prgm Mgmt | 0                        | 500,000                | 0         | 0                              | 0       | 0       | 0       | 0         | Jun 15 Priority A                 |  |
| 79371                        | Ballot Initiative           | 0                | F101-General       | 0                        | 0                      | 0         | 0                              | 0       | 0       | 0       | 0         | Jun 15 Priority A                 |  |
| <b>Totals</b>                |                             |                  |                    |                          |                        |           |                                |         |         |         |           |                                   |  |
| 27                           | Current Projects            | 21,913,146       |                    | 10,827,826               | 5,921,470              | 5,163,850 | 312,500                        | 549,240 | 435,000 | 435,000 | 3,432,110 |                                   |  |

Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| Project # | Project Title                                | Project \$ Total | Funding Sources          | Prior Years Expenditures | FY14-15 Appropriations | Total     | NEW APPROPRIATIONS REQUIRED    |         |         |         |           | Anticipated Completion & Comments          |
|-----------|--|------------------|--------------------------|--------------------------|------------------------|-----------|--------------------------------|---------|---------|---------|-----------|--|
|           |  |                  |                          |                          |                        |           | FY15-16                        | FY16-17 | FY17-18 | FY18-19 | FY19-20   |  |
|           |  |                  |                          |                          |                        |           | <b>Approved Capital Budget</b> |         |         |         |           |  |
| 79211     | Ellis Area - Program Management              | 3,143,420        | F358-Ellis Area          | 0                        | 0                      | 3,143,420 | 205,000                        | 200,000 | 200,000 | 200,000 | 2,338,420 | Jun 25 On-going Program Annual Contingency |
| 79212     | Tracy Infrastructure MP - Program Management | 1,045,000        | F391-UMP Facilities      | 0                        | 0                      | 1,045,000 | 245,000                        | 200,000 | 200,000 | 200,000 | 200,000   | Jun 35 On-going Program Annual Contingency |
| 79316     | Development Reviews - FY15-16                | 1,000,000        | Developer's Contribution | 0                        | 0                      | 1,000,000 | 1,000,000                      | 0       | 0       | 0       | 0         | Annual Contingency New Developments        |
| 79415     | Computer Replacements Citywide - FY15-16     | 50,000           | F605-Eqpt Acq            | 0                        | 0                      | 50,000    | 50,000                         | 0       | 0       | 0       | 0         | Jun 16 Equipment Replacement               |
| 4         | Totals New Projects                          | 5,238,420        |                          | 0                        | 0                      | 5,238,420 | 1,500,000                      | 400,000 | 400,000 | 400,000 | 2,538,420 |  |

Group 79 - Miscellaneous Projects

FY15-16 CIP Adopted

| Project #              | Project Title                                 | Project \$ Total | Funding Sources          | Prior Years Expenditures | FY14-15 Appropriations | Total                          | NEW APPROPRIATIONS REQUIRED |           |           |           |           | Anticipated Completion & Comments        |
|------------------------|---|------------------|--------------------------|--------------------------|------------------------|--------------------------------|-----------------------------|-----------|-----------|-----------|-----------|--|
|                        |   |                  |                          |                          |                        |                                | FY15-16                     | FY16-17   | FY17-18   | FY18-19   | FY19-20   |  |
| <u>FUTURE PROJECTS</u> |   |                  |                          |                          |                        | <b>Approved Capital Budget</b> |                             |           |           |           |           |  |
| 79PP- 001b             | Development Reviews - Future Years            | 4,000,000        | Developer's Contribution | 0                        | 0                      | 4,000,000                      | 0                           | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | Annual Contingency New Developments      |
| 79PP- 031b             | Computer Replacements Citywide - Future Years | 840,000          | F605-Eqpt Acq            | 0                        | 0                      | 840,000                        | 0                           | 210,000   | 210,000   | 210,000   | 210,000   | Annual Contingency Equipment Replacement |
| 79PP- 051              | Infrastructure Managemer Software             | 300,000          | F301-General Projects    | 0                        | 0                      | 300,000                        | 0                           | 300,000   | 0         | 0         | 0         | Jun 15 Priority B                        |
| Totals                 |   |                  |                          |                          |                        |                                |                             |           |           |           |           |  |
| 3                      | Future Projects                               | 5,140,000        |                          | 0                        | 0                      | 5,140,000                      | 0                           | 1,510,000 | 1,210,000 | 1,210,000 | 1,210,000 |  |

Group 799IFR - Interfund CIP Reimbursements

FY15-16 CIP Adopted

| by Project Type           | Group<br>\$ Total | Prior Years<br>Expenditures | FY14-15<br>Appropriations | NEW APPROPRIATIONS REQUIRED        |            |            |            |         |         |
|---------------------------|-------------------|-----------------------------|---------------------------|------------------------------------|------------|------------|------------|---------|---------|
|                           |                   |                             |                           | Total                              | FY15-16    | FY16-17    | FY17-18    | FY18-19 | FY19-20 |
|                           |                   |                             |                           | <b>Approved<br/>Capital Budget</b> |            |            |            |         |         |
| 4 Reimbursement Projects  | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| <hr/>                     |                   |                             |                           |                                    |            |            |            |         |         |
| <b>by Funding Sources</b> |                   |                             |                           |                                    |            |            |            |         |         |
| F101-General              | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F322-Drainage Plan "C"    | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F323-Arterials Plan "C"   | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F325-Utilities - Plan "C" | -774,200          | 0                           | 0                         | -774,200                           | 0          | 0          | -774,200   | 0       | 0       |
| F342-RSP Drainage         | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F343-RSP Arterials        | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F345-RSP Prgm Mgmt        | -1,590,600        | 0                           | 0                         | -1,590,600                         | 0          | -795,300   | -795,300   | 0       | 0       |
| F351-NE Indus Area #1     | 5,823,000         | 0                           | 0                         | 5,823,000                          | 500,000    | 3,720,200  | 1,602,800  | 0       | 0       |
| F352-So MacArthur PA      | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F353-I205 Area Spec Plar  | 4,865,300         | 0                           | 0                         | 4,865,300                          | 2,174,700  | 1,895,300  | 795,300    | 0       | 0       |
| F354-Indus SP, South      | 774,200           | 0                           | 0                         | 774,200                            | 0          | 0          | 774,200    | 0       | 0       |
| F355-Presidio Area        | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F356-Tracy Gatewat Area   | 738,800           | 0                           | 0                         | 738,800                            | 0          | 738,800    | 0          | 0       | 0       |
| F357-NE Indus Area #2     | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F513-Water                | -2,390,900        | 0                           | 0                         | -2,390,900                         | -670,700   | -1,720,200 | 0          | 0       | 0       |
| F523-Wastewater           | -7,445,600        | 0                           | 0                         | -7,445,600                         | -2,004,000 | -3,838,800 | -1,602,800 | 0       | 0       |
| F834-AD 84-1 Debt         | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| F835-CFD 89-1             | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
| Developer's Contribution  | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
|                           | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |
|                           | <hr/>             | <hr/>                       | <hr/>                     | <hr/>                              | <hr/>      | <hr/>      | <hr/>      | <hr/>   | <hr/>   |
|                           | 0                 | 0                           | 0                         | 0                                  | 0          | 0          | 0          | 0       | 0       |

Group 799 - Interfund CIP Reimbursements

FY15-16 CIP Adopted

| Project #                     | Project Title                          | Project \$ Total | Funding Sources           | Prior Years Expenditures | FY14-15 Appropriations | NEW APPROPRIATIONS REQUIRED    |            |            |            |         | Anticipated Completion & Comments |         |                |
|-------------------------------|--|------------------|---------------------------|--------------------------|------------------------|--------------------------------|------------|------------|------------|---------|-----------------------------------|---------|----------------|
|                               |  |                  |                           |                          |                        | Total                          | FY15-16    | FY16-17    | FY17-18    | FY18-19 |                                   | FY19-20 |                |
| <b>REIMBURSEMENT PROJECTS</b> |  |                  |                           |                          |                        | <b>Approved Capital Budget</b> |            |            |            |         |                                   |         |                |
| 79911                         | Arterial CIP Reimbursements Was 7347   | 0                | F323-Arterials Plan "C"   | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F835-CFD 89-1             | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F343-RSP Arterials        | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F351-NE Indus Area #1     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F357-NE Indus Area #2     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 10  |                |
|                               |  |                  | F345-RSP Prgm Mgmt        | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
| 79912                         | Wastewater CIP Reimbursements Was 7420 | 0                | F353-I205 Area Spec Plan  | 0                        | 0                      | 2,604,000                      | 1,504,000  | 1,100,000  | 0          | 0       | 0                                 | Jun 17  | Priority B     |
|                               |  |                  | F523-Wastewater           | 0                        | 0                      | -7,445,600                     | -2,004,000 | -3,838,800 | -1,602,800 | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F834-AD 84-1 Debt         | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F325-Utilities - Plan "C" | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F352-So MacArthur PA      | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F351-NE Indus Area #1     | 0                        | 0                      | 4,102,800                      | 500,000    | 2,000,000  | 1,602,800  | 0       | 0                                 | Jun 17  | Priority A     |
|                               |  |                  | F355-Presidio Area        | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F356-Tracy Gateway Area   | 0                        | 0                      | 738,800                        | 0          | 738,800    | 0          | 0       | 0                                 | Jun 17  | Priority B     |
|                               |  |                  | F357-NE Indus Area #2     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 10  |                |
|                               |  |                  | F835-CFD 89-1             | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
| 79913                         | Water CIP Reimbursements               | 0                | F353-I205 Area Spec Plan  | 0                        | 0                      | 670,700                        | 670,700    | 0          | 0          | 0       | 0                                 | Jun 16  | Priority B     |
|                               |  |                  | F513-Water                | 0                        | 0                      | -2,390,900                     | -670,700   | -1,720,200 | 0          | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F325-Utilities - Plan "C" | 0                        | 0                      | -774,200                       | 0          | 0          | -774,200   | 0       | 0                                 | Jun 18  | Reimbursements |
|                               |  |                  | F351-NE Indus Area #1     | 0                        | 0                      | 1,720,200                      | 0          | 1,720,200  | 0          | 0       | 0                                 | Jun 17  | Priority A     |
|                               |  |                  | F354-Indus SP, South      | 0                        | 0                      | 774,200                        | 0          | 0          | 774,200    | 0       | 0                                 | Jun 18  | Priority C     |
|                               |  |                  | F357-NE Indus Area #2     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 10  | Priority A     |
| 79914                         | Drainage CIP Reimbursements            | 0                | F322-Drainage Plan "C"    | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F345-RSP Prgm Mgmt        | 0                        | 0                      | -1,590,600                     | 0          | -795,300   | -795,300   | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F351-NE Indus Area #1     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 06  |                |
|                               |  |                  | F352-So MacArthur PA      | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 06  |                |
|                               |  |                  | F353-I205 Area Spec Plan  | 0                        | 0                      | 1,590,600                      | 0          | 795,300    | 795,300    | 0       | 0                                 | Jun 16  | Priority C     |
|                               |  |                  | F355-Presidio Area        | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 07  |                |
|                               |  |                  | F357-NE Indus Area #2     | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 | Jun 09  | Priority A     |
|                               |  |                  | F835-CFD 89-1             | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
|                               |  |                  | F345-RSP Prgm Mgmt        | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         | Reimbursements |
| <b>Totals</b>                 |  |                  |                           |                          |                        |                                |            |            |            |         |                                   |         |                |
| 4 Reimbursement Projects      |  | 0                |                           | 0                        | 0                      | 0                              | 0          | 0          | 0          | 0       | 0                                 |         |                |

# *Debt Service*



Think Inside the Triangle™

## DEBT SERVICE

Debt service obligations of the City and budgeted amounts required to meet these obligations are presented in this section. These obligations involve long-term debt, which was used to build capital facilities or to acquire equipment that the City is now using.

### Debt Policy

The City of Tracy has no formally adopted debt policy other than that for Community Facilities Districts. However, State law pertaining to local government debt and past City debt transactions do serve as a de facto policy.

Under the State Constitution, GENERAL OBLIGATION DEBT pledging City general taxes requires voter approval by two-thirds majority. With this difficult electoral requirement, such debt obligations are rarely issued. Any effort to issue general obligation debt would have to be for projects of special significance and of community wide benefit. Currently, the City of Tracy has no outstanding general obligation debt. The debt limit for any general obligation debt is 15% of the City's assessed valuation.

CAPITAL LEASING can be used to acquire tangible assets where there is a pledge to pay the debt from current annual receipts and where the acquired assets can be placed under a lien until the debt obligation is paid off. The City of Tracy has used capital leasing to acquire equipment and land.

SPECIAL REVENUE DEBT can be used to finance capital projects where there is a pledge to pay the debt from a stream of revenue accruing to the City. This form of debt is used to finance facilities for Enterprise Fund activities, which generate ongoing revenues. The City of Tracy has used this type of debt for its water and sewer enterprises.

The City of Tracy Public Facilities Corporation has issued CERTIFICATES OF PARTICIPATION (COPs) to finance infrastructure improvements.

SPECIAL ASSESSMENT DISTRICTS or COMMUNITY FACILITIES DISTRICTS can be used to finance infrastructure for a limited area of the City. With this kind of debt, special assessments or special taxes are levied against the property owners in a defined district, either an AD or CFD, who benefit from the infrastructure improvements. The City of Tracy has used both of these means to finance infrastructure for new development in the City.

Under redevelopment law, TAX INCREMENT FINANCING can be used for redevelopment activities. Here, property tax receipts, from a defined redevelopment project area above a base level set when the area is defined, are restricted to the payment of debt. This incremental revenue, above the base, can then be pledged for redevelopment debt. The City of Tracy, through its Community Development Agency (CDA), initiated such debt for the first time in 1994.

The State disbanded all redevelopment agencies in the State of California effective January 31, 2012. As a result, the Tracy Community Development Agency has been disbanded and will issue no new debt. Although outstanding debt still remains to be paid off.

### Debt Refinancing

The City also used DEBT REFINANCING when interest rates or development activity would lead to savings through refinancing. For example, it may be advantageous to refinance an earlier debt issuance if interest rates at the present time are lower than the rates at which the original bonds were issued. There would have to be enough present value savings to justify the cost of issuance of the new bonds as well as provide real savings. With land-based debt such as an Assessment District or Community Facilities District (Mello-Roos) issues, development of the land may lend to a refinancing which carries credit enhancement.

### Medical Leave Bank

The City allows its employees to convert their unused sick leave balances into a medical leave bank (MLB) upon their retirement. Medical benefits paid for from the MLB are budgeted in the General Debt group. MLB outlays were \$716,896 in FY13-14 and are estimated at \$700,000 for FY14-15 and \$750,000 for FY15-16. Total MLB obligations on June 30, 2014 were \$3,001,928.

## Debt Service Outstanding

The following long-term debt service obligations were outstanding on July 1, 2014, the beginning of FY 14-15. The following chart details the payments required for both FY 14-15 and FY 15-16 for these debt obligations.

| Debt Issues                        | Fund | Original<br>Principal | Year<br>Issued | Outstanding<br>Principal | Outstanding<br>Interest | Due<br>Thru |
|------------------------------------|------|-----------------------|----------------|--------------------------|-------------------------|-------------|
| 1. CFD 89-1 NE Industrial #1       | 835  | 19,200,000            | 1996           | 7,465,000                | 1,213,295               | 2021        |
| 2. CFD 93-1 Tracy Marketplace      | 844  | 1,385,000             | 1996           | 925,000                  | 431,263                 | 2027        |
| 3. Airport Loan #3 (1998)          | 561  | 250,000               | 1998           | 33,149                   | 2,249                   | 2015        |
| 4. AD 98-4 Morrison Homes          | 849  | 2,485,781             | 1999           | 1,390,000                | 426,365                 | 2024        |
| 5. I-205 Residential               | 850  | 11,500,000            | 1999           | 5,515,000                | 974,881                 | 2022        |
| 6. CFD 93-1 Tracy Mkt Place (2002) | 844  | 2,165,000             | 2002           | 1,855,000                | 1,692,760               | 2032        |
| 7. AD 94-1 Naglee Auto Mall (2002) | 841  | 4,500,000             | 2002           | 2,500,000                | 661,355                 | 2021        |
| 8. AD 2003-01 Berg Ave.            | 852  | 990,000               | 2003           | 725,000                  | 315,664                 | 2028        |
| 9. CDA 2003 Bond A                 | 404  | 35,095,000            | 2004           | 27,730,000               | 15,571,078              | 2034        |
| 10. CDA 2003 Bond B                | 404  | 20,625,000            | 2004           | 17,245,000               | 246,748                 | 2034        |
| 11. 2004 Wastewater COP            | 521  | 30,955,000            | 2004           | 26,630,000               | 18,851,569              | 2036        |
| 12. Water Rights Notes             | 511  | 6,000,000             | 2004           | 3,000,000                | 82,500                  | 2014        |
| 13. CFD 99-1 Refinancing (2004)    | 837  | 9,635,000             | 2004           | 6,300,000                | 1,860,664               | 2024        |
| 14. TOPJPA 2005 Series A           | 846  | 69,640,000            | 2005           | 55,425,000               | 18,710,785              | 2028        |
| 15. TOPJPA 2005 Series B           | 846  | 3,915,000             | 2005           | 2,620,000                | 918,587                 | 2035        |
| 16. TOPJPA 2005 Series C           | 840  | 14,965,000            | 2005           | 12,885,000               | 6,174,661               | 2035        |
| 17. State Water Loan (2005)        | 511  | 20,000,000            | 2005           | 14,943,280               | 3,330,333               | 2028        |
| 18. CFD 06-01 NE Industrial #2     | 853  | 10,660,000            | 2006           | 10,420,000               | 11,552,109              | 2036        |
| 19. 2007 Lease Revenue Bonds       | 407  | 4,670,000             | 2007           | 3,985,000                | 2,375,733               | 2035        |
| 20. 2008 Lease Revenue Bonds       | 408  | 19,765,000            | 2008           | 19,015,000               | 19,694,473              | 2038        |
| 21. TOPJPA Revenue Bonds 2011A     | 854  | 13,685,000            | 2011           | 12,330,000               | 4,363,250               | 2027        |
| 22. Fire Engines Lease             | 606  | 948,744               | 2013           | 908,514                  | 163,195                 | 2023        |
| 23a. TPFA Revenue Bonds 2014A      | 835  | 5,730,000             | 2014           | 5,730,000                | 1,180,460               | 2020        |
| 23b. TPFA Revenue Bonds 2014A      | 837  | 5,425,000             | 2014           | 5,425,000                | 965,970                 | 2024        |
| 23c. TPFA Revenue Bonds 2014A      | 850  | 6,060,000             | 2014           | 6,060,000                | 1,229,740               | 2022        |

Totals \$251,059,943 \$112,989,687

**Grand Total** \$364,049,630

|                                      | Principal     | Interest      | Total                |
|--------------------------------------|---------------|---------------|----------------------|
| FY 14-15 Debt Payments               | \$14,023,030  | \$10,849,010  | \$24,872,040         |
| Total Debt Outstanding July 1, 2015* | \$237,036,913 | \$102,140,677 | <b>\$339,177,590</b> |
| FY 15-16 Debt Payments               | \$12,043,420  | \$10,908,340  | \$22,951,760         |
| Total Debt Outstanding July 1, 2016* | \$224,993,493 | \$91,232,337  | <b>\$316,225,830</b> |

\*excluding any new debt issues in FY 14-15 and FY 15-16

### Debt Issues in FY14-15

There was no new debt issued in FY14-15.

### New Debt Issues Anticipated in FY 15-16

The budget provides for a contingency for \$6,000,000 in bond proceeds through new financing districts. These proceeds may be used to pay capital development fees for the Tracy Gateway, Ellis, Cordes Ranch, and/or Tracy Hills developments. Depending on the level of development, the amount of the proceeds may be sufficient or have to be increased later in the fiscal year.

A \$14,000,000 bond is anticipated for wastewater system improvements. This was originally budgeted for FY13-14 and re-budgeted for FY14-15, but will be deferred into FY15-16.

This debt component was used in FY12-13 to record the repayment of residual cash resulting from the close out of the Tracy CDA. The City paid out \$3,402,710 in FY12-13 from the housing activities of the CDA.

The City's CDA Successor Agency also acknowledges that \$2,281,470 is due for the redevelopment activities. These two repayments are accounted for in Debt Program 84000. However, the State is demanding an additional \$4,588,246. This added demand is being adjudicated but only the acknowledged \$2,281,470 plus interest, due to the State, is budgeted for FY14-15. Assuming it will not be paid in FY14-15, it will be re-budgeted for in FY15-16.

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DEPARTMENT: 81000 - Debt Service

COMMENTARY

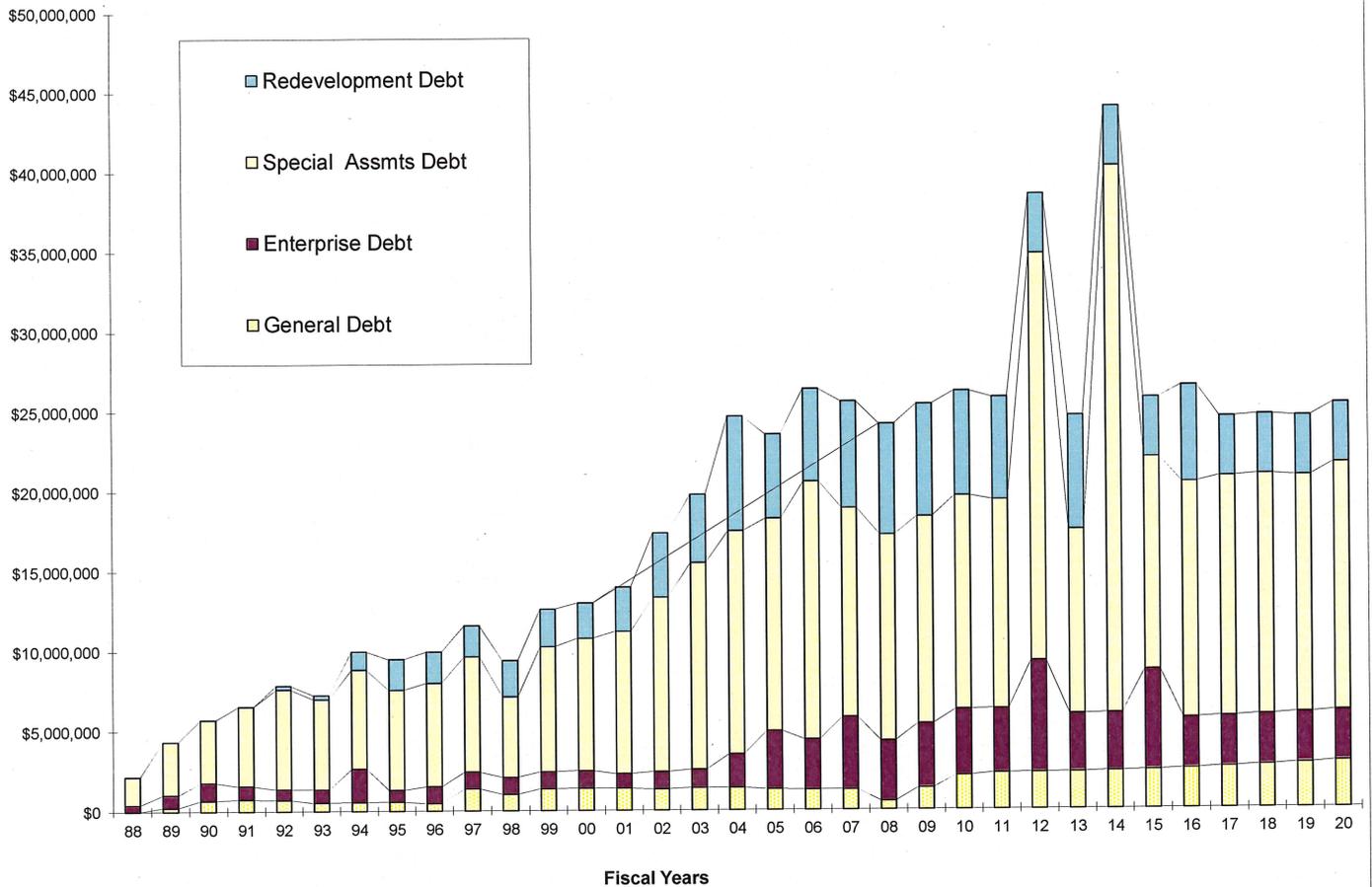
This department provides for a grouping for the City's debt service expenditures.

Generally, debt outlays are stable but with a slight downward trend as debt issues are paid off. Annual variances from the trend is due to old debt being paid off completely and/or new debt being incurred.

| DEBT EXPENDITURES<br>BY PROGRAM | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|---------------------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| 81100 - General Debt            | 2,296,745            | 2,309,778            | 2,362,844            | 2,371,730             | 2,416,730               | 2,484,750               | 2,576,120               | 2,675,320               | 2,788,420               | 2,908,330               |
| 81200 - Enterprise Debt         | 6,982,565            | 3,636,699            | 3,621,395            | 6,308,000             | 6,267,230               | 3,174,480               | 3,159,860               | 3,155,930               | 3,155,110               | 3,152,160               |
| 81300 - Special Assessment Debt | 25,514,126           | 11,550,068           | 34,272,124           | 12,858,000            | 13,322,140              | 14,765,060              | 15,036,500              | 15,062,490              | 14,846,410              | 15,520,790              |
| 81400 - Redevelopment Debt      | 3,723,888            | 7,129,549            | 3,724,162            | 6,054,900             | 3,727,800               | 6,053,570               | 3,726,310               | 3,723,350               | 3,729,140               | 3,729,540               |
| <b>Total</b>                    | <b>38,517,324</b>    | <b>24,626,094</b>    | <b>43,980,525</b>    | <b>27,592,630</b>     | <b>25,733,900</b>       | <b>26,477,860</b>       | <b>24,498,790</b>       | <b>24,617,090</b>       | <b>24,519,080</b>       | <b>25,310,820</b>       |
| Annual % Change                 | 47.00%               | -36.06%              | 78.59%               | 12.05%                | 4.50%                   | 2.89%                   | -7.47%                  | 0.48%                   | -0.40%                  | 3.23%                   |

Actual & Projected Expenditures

City of Tracy - Debt Service  
by Debt Programs - FY88 to FY20



DEPARTMENT: 81000 - Debt Service

COMMENTARY

| DEBT EXPENDITURES<br>BY FUNDING SOURCES | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|---|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| General Fund                            |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 101 - General                      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Special Revenue Funds                   |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 281 - CDA Housing                  | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Debt Service Funds                      |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 404 - Comm Devel Ag Debt           | 3,723,888            | 7,129,549            | 3,724,162            | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 405 - Regional Mall COP Debt       | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 407 - 2007 Lease Rev Bonds         | 284,230              | 280,430              | 281,830              | 279,100               | 279,100                 | 279,700                 | 280,100                 | 277,100                 | 277,300                 | 282,100                 |
| Fund 408 - 2008 Lease Rev Bonds         | 1,271,263            | 1,291,513            | 1,307,762            | 1,324,900             | 1,324,900               | 1,342,320               | 1,358,300               | 1,378,500               | 1,400,400               | 1,415,500               |
| Fund 495 - Successor Ag-Debt            | 0                    | 0                    | 0                    | 6,054,900             | 3,727,800               | 6,053,570               | 3,726,310               | 3,723,350               | 3,729,140               | 3,729,540               |
| Enterprise Funds                        |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 511 - Water                        | 4,660,252            | 1,347,920            | 1,340,420            | 4,340,470             | 4,340,470               | 1,258,020               | 1,258,060               | 1,257,930               | 1,257,940               | 1,257,920               |
| Fund 521 - Wastewater                   | 2,263,920            | 2,265,677            | 2,257,979            | 1,903,900             | 1,903,900               | 1,903,900               | 1,901,800               | 1,898,000               | 1,897,170               | 1,894,240               |
| Fund 561 - Airport                      | 58,393               | 23,102               | 22,996               | 63,630                | 22,860                  | 12,560                  | 0                       | 0                       | 0                       | 0                       |
| Trust & Agency Funds                    |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 813 - Post Employment Benefits     | 668,149              | 664,732              | 716,896              | 655,000               | 700,000                 | 750,000                 | 825,000                 | 907,000                 | 998,000                 | 1,098,000               |
| Fund 831 - AD 87-3 Water RSP            | 1,618,801            | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 835 - CFD 89-1 ISP-NE              | 1,277,011            | 1,270,937            | 9,038,381            | 1,258,920             | 1,857,280               | 2,229,090               | 2,296,770               | 2,276,330               | 2,275,340               | 2,279,270               |
| Fund 837 - CFD 99-1 NE Indus #2         | 742,607              | 740,440              | 7,369,834            | 763,200               | 1,111,180               | 1,402,450               | 1,409,230               | 1,404,040               | 1,407,190               | 1,412,630               |
| Fund 838 - CFD 99-2 SoMacArthur Area    | 10,933,144           | 0                    | 518                  | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 839 - AD 00-2 Heartland #3         | 714,307              | 0                    | 418                  | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 840 - CFD 00-1 Presidio            | 903,339              | 910,213              | 943,256              | 1,363,700             | 947,000                 | 967,000                 | 985,300                 | 1,001,400               | 1,001,400               | 1,039,500               |
| Fund 841 - AD 94-1 Auto Mall            | 392,890              | 388,537              | 2,969,774            | 416,700               | 416,700                 | 421,900                 | 420,600                 | 394,400                 | 395,710                 | 405,600                 |
| Fund 844 - CFD 93-1 Tracy Mktpl         | 264,330              | 258,492              | 266,899              | 263,700               | 263,700                 | 263,800                 | 263,400                 | 255,800                 | 259,600                 | 258,000                 |
| Fund 846 - CFD 98-1 Plan "C"            | 4,618,897            | 4,702,789            | 4,677,436            | 4,970,000             | 4,915,000               | 5,072,800               | 5,186,800               | 5,248,100               | 5,248,100               | 5,789,600               |
| Fund 847 - AD 98-3 Souza Citiation      | 321,381              | 323,108              | 323,913              | 324,200               | 321,600                 | 325,800                 | 326,700                 | 323,400                 | 323,400                 | 325,930                 |
| Fund 849 - AD 98-4 Morrison Homes       | 1,803,989            | 0                    | 1,043                | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 850 - I205 RRA Debt Refinancing    | 895,475              | 891,550              | 6,712,587            | 893,800               | 1,521,300               | 1,867,640               | 1,886,440               | 1,889,650               | 1,874,300               | 1,880,330               |
| Fund 852 - AD00-01 Berg Avenue          | 74,377               | 72,002               | 73,087               | 81,370                | 76,970                  | 79,780                  | 78,160                  | 70,970                  | 70,970                  | 71,930                  |
| Fund 853 - CFD 99-1 NE Indus #2         | 657,865              | 668,300              | 689,077              | 706,410               | 699,410                 | 721,000                 | 734,500                 | 729,500                 | 729,500                 | 758,000                 |
| Fund 854 - TOPJPA Rev Bds 2011A         | 295,713              | 1,323,700            | 1,167,939            | 1,192,000             | 1,192,000               | 1,205,800               | 1,240,600               | 1,260,900               | 1,260,900               | 1,300,000               |
| Fund 855 - CFD 11-1 Tracy 580 Bus Pa    | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 8xx - New Financing Districts      | 0                    | 0                    | 0                    | 624,000               | 0                       | 208,000                 | 208,000                 | 208,000                 | 0                       | 0                       |
| Internal Service Funds                  |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| Fund 602 - Central Services             | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 605 - Eqpt Acquisition             | 73,103               | 73,103               | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fund 606 - Vehicle Replacement          | 0                    | 0                    | 0                    | 112,730               | 112,730                 | 112,730                 | 112,720                 | 112,720                 | 112,720                 | 112,730                 |
| <b>Total</b>                            | <b>38,517,324</b>    | <b>24,626,094</b>    | <b>43,886,207</b>    | <b>27,592,630</b>     | <b>25,733,900</b>       | <b>26,477,860</b>       | <b>24,498,790</b>       | <b>24,617,090</b>       | <b>24,519,080</b>       | <b>25,310,820</b>       |

PROGRAM: 81100 - General Debt

## COMMENTARY

Payment of debt service obligations applicable to the general operations of the City. These include capital leases and have included in the past short-term borrowing for cash flow purposes for the General Fund.

Debt outlays are generally stable with slight annual increase. One capital lease was paid off in FY12-13, but another one was incurred in FY13-14. Medical Leave Bank (MLB) outlays are an escalating costs up into future years.

| Acct #                          | Account Description | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|---------------------------------|---------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Police Comm System Lease (2005) |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 605-81113-5511                  | Debt Principal      | 67,586               | 70,291               | 0                    | 0                     | 0                       | 0                       | Paid Off in FY12-13     |                         |                         |                         |
| 605-81113-5513                  | Debt Interest       | 5,517                | 2,812                | 0                    | 0                     | 0                       | 0                       |                         |                         |                         |                         |
| Lease Revenue Bonds (2007)      |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 407-81114-5511                  | Debt Principal      | 95,000               | 95,000               | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 407-81114-5513                  | Debt Interest       | 92,140               | 149,990              | 119,500              | 119,500               | 119,500                 | 119,500                 | 119,500                 | 119,500                 | 119,500                 | 119,500                 |
| 407-81114-5515                  | Debt Fees           | 2,850                | 2,850                | 2,950                | 3,200                 | 3,200                   | 3,200                   | 3,200                   | 3,200                   | 3,200                   | 3,000                   |
| 407-81114-55xx                  | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 407-81115-5511                  | Debt Principal      | 0                    | 0                    | 100,000              | 105,000               | 105,000                 | 110,000                 | 115,000                 | 115,000                 | 120,000                 | 125,000                 |
| 407-81115-5513                  | Debt Interest       | 92,140               | 30,490               | 57,180               | 49,000                | 49,000                  | 44,600                  | 40,000                  | 35,400                  | 30,600                  | 30,600                  |
| 407-81115-5515                  | Debt Fees           | 2,100                | 2,100                | 2,200                | 2,400                 | 2,400                   | 2,400                   | 2,400                   | 4,000                   | 4,000                   | 4,000                   |
| 407-81115-55xx                  | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Lease Revenue Bonds (2008)      |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 408-81116-5511                  | Debt Principal      | 100,000              | 125,000              | 145,000              | 170,000               | 170,000                 | 195,000                 | 220,000                 | 250,000                 | 285,000                 | 315,000                 |
| 408-81116-5513                  | Debt Interest       | 1,167,663            | 1,163,163            | 1,157,762            | 1,151,500             | 1,151,500               | 1,143,920               | 1,134,900               | 1,124,000               | 1,110,900               | 1,096,000               |
| 408-81116-5515                  | Debt Fees           | 3,600                | 3,350                | 5,000                | 3,400                 | 3,400                   | 3,400                   | 3,400                   | 4,500                   | 4,500                   | 4,500                   |
| 408-81116-55xx                  | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Fire Engines Lease (2013)       |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 606-81117-5511                  | Debt Principal      | 0                    | 0                    | 40,230               | 82,530                | 82,530                  | 85,360                  | 88,280                  | 91,310                  | 94,440                  | 97,680                  |
| 606-81117-5513                  | Debt Interest       | 0                    | 0                    | 16,126               | 30,200                | 30,200                  | 27,370                  | 24,440                  | 21,410                  | 18,280                  | 15,050                  |
| Post Employment Benefits        |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 813-81150-5581                  | Medical Benefits    | 668,149              | 664,732              | 716,896              | 655,000               | 700,000                 | 750,000                 | 825,000                 | 907,000                 | 998,000                 | 1,098,000               |
| Program Total                   |                     | 2,296,745            | 2,309,778            | 2,362,844            | 2,371,730             | 2,416,730               | 2,484,750               | 2,576,120               | 2,675,320               | 2,788,420               | 2,908,330               |

PROGRAM: 81200 - Enterprise Debt

## COMMENTARY

Payment of debt service obligations secured by the revenues of the City's enterprise funds.

Program outlays will generally show a decrease over time as debt is paid down. In FY13-14, the Wastewater Fund paid off bonds from 2003; and in FY14-15 the Water Fund will have a major pay off in FY14-15. In FY15-16, the Airport Fund will paid off a loan form the State.

| Acct #                                       | Account Description | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|--|---------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| EDA Water Notes                              |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 511-81201-5511                               | Debt Principal      | 1,266,669            | 0                    | 0                    | 0                     | 0                       | 0                       | Paid off in FY11-12     |                         |                         |                         |
| 511-81201-5513                               | Debt Interest       | 44,861               | 0                    | 0                    | 0                     | 0                       | 0                       |                         |                         |                         |                         |
| Airport Loans                                |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 561-81207-5511                               | Debt Principal      | 18,599               | 19,464               | 20,329               | 21,200                | 21,200                  | 11,960                  | Paid off in FY15-16     |                         |                         |                         |
| 561-81207-5513                               | Debt Interest       | 3,947                | 3,638                | 2,667                | 1,660                 | 1,660                   | 600                     |                         |                         |                         |                         |
| 561-81215-5511                               | Debt Principal      | 0                    | 0                    | 0                    | 19,890                | 0                       | 0                       |                         |                         |                         |                         |
| 561-81215-5513                               | Debt Interest       | 35,847               | 0                    | 0                    | 20,880                | 0                       | 0                       |                         |                         |                         |                         |
| 2003 Wastewater Bonds                        |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 521-81209-5511                               | Debt Principal      | 320,000              | 335,000              | 345,000              | 0                     | 0                       | 0                       | Paid off in FY13-14     |                         |                         |                         |
| 521-81209-5513                               | Debt Interest       | 27,096               | 17,519               | 6,038                | 0                     | 0                       | 0                       |                         |                         |                         |                         |
| 521-81209-5515                               | Debt Fees           | 2,758                | 5,698                | 4,674                | 0                     | 0                       | 0                       |                         |                         |                         |                         |
| 2004 Wastewater COPs                         |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 521-81208-5511                               | Debt Principal      | 630,000              | 650,000              | 670,000              | 695,000               | 695,000                 | 695,000                 | 720,000                 | 745,000                 | 775,000                 | 805,000                 |
| 521-81208-5513                               | Debt Interest       | 1,280,284            | 1,254,028            | 1,230,917            | 1,205,900             | 1,205,900               | 1,205,900               | 1,178,800               | 1,150,000               | 1,119,170               | 1,086,240               |
| 521-81208-5515                               | Debt Fees           | 3,782                | 3,432                | 1,350                | 3,000                 | 3,000                   | 3,000                   | 3,000                   | 3,000                   | 3,000                   | 3,000                   |
| 521-81208-552x                               | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 2004 Water Rights Debt                       |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 511-81211-5511                               | Debt Principal      | 2,000,000            | 0                    | 0                    | 3,000,000             | 3,000,000               | 0                       | Paid off in FY14-15     |                         |                         |                         |
| 511-81211-5513                               | Debt Interest       | 90,802               | 90,000               | 82,500               | 82,500                | 82,500                  | 0                       |                         |                         |                         |                         |
| 2005 State Water Loan - Contract #SRF01CX134 |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 511-81213-5511-G330                          | Debt Principal      | 861,943              | 882,230              | 902,995              | 924,300               | 924,300                 | 946,100                 | 968,400                 | 991,060                 | 1,014,400               | 1,038,260               |
| 511-81213-5513-G330                          | Debt Interest       | 395,977              | 375,690              | 354,925              | 333,670               | 333,670                 | 311,920                 | 289,660                 | 266,870                 | 243,540                 | 219,660                 |
| 511-81213-5515-G330                          | Debt Fees           | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Program Total                                |                     | 6,982,565            | 3,636,699            | 3,621,395            | 6,308,000             | 6,267,230               | 3,174,480               | 3,159,860               | 3,155,930               | 3,155,110               | 3,152,160               |

PROGRAM: 81300 - Special Assessment Debt

## COMMENTARY

Payment of debt service obligations secured through special assessment levies and community facilities districts.

Generally, debt outlays are stable but with a slight downward trend as debt issues are paid off. Annual variances from the trend is due to old debt being paid off completely, or being refinanced, and/or new debt being incurred.  
There were bond refinancings in FY11-12 and FY13-14; but no new debt issues.

| Acct #                                  | Account Description | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected               | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|---|---------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|---------------------------------------|-------------------------|-------------------------|-------------------------|
| AD 87-3 Water Facilities                |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 831-81302-5511                          | Debt Principal      | 1,590,000            | 0                    | 0                    | 0                     | 0                       | 0                       | Paid off in FY11-12                   |                         |                         |                         |
| 831-81302-5513                          | Debt Interest       | 26,768               | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 831-81302-5515                          | Debt Fees           | 2,033                | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| CFD 89-1 NE Industrial Area #1          |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 835-81303-5511                          | Debt Principal      | 860,000              | 885,000              | 8,465,000            | 920,000               | 920,000                 | 920,000                 | Refinanced in FY13-14 - See 835-63061 |                         |                         |                         |
| 835-81303-5513                          | Debt Interest       | 407,158              | 375,515              | 503,192              | 323,920               | 323,900                 | 286,000                 | 1,075,000                             | 1,075,000               | 1,130,000               | 1,170,000               |
| 835-81303-5515                          | Debt Fees           | 9,853                | 10,422               | 13,018               | 15,000                | 15,000                  | 15,000                  | 202,300                               | 179,290                 | 132,100                 | 80,700                  |
| CFD 99-1 NE Industrial Area #2          |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 837-81316-5511                          | Debt Principal      | 390,000              | 405,000              | 6,725,000            | 450,000               | 450,000                 | 465,000                 | Refinanced in FY13-14 - See 837-63062 |                         |                         |                         |
| 837-81316-5513                          | Debt Interest       | 345,715              | 330,006              | 585,632              | 304,200               | 304,200                 | 284,900                 | 490,000                               | 510,000                 | 535,000                 | 565,000                 |
| 837-81316-5515                          | Debt Fees           | 6,892                | 5,434                | 9,550                | 9,000                 | 9,000                   | 9,000                   | 264,200                               | 241,600                 | 217,700                 | 192,000                 |
| CFD 99-2 South MacArthur Area 2000 Bond |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 838-81317-5511                          | Debt Principal      | 3,485,000            | 0                    | 0                    | 0                     | 0                       | 0                       | Refunded in FY11-12; See 854-63030    |                         |                         |                         |
| 838-81317-5513                          | Debt Interest       | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 838-81317-5515                          | Debt Fees           | 190                  | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| CFD 99-2 South MacArthur Area 2002 Bond |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 838-81323-5511                          | Debt Principal      | 6,955,000            | 0                    | 0                    | 0                     | 0                       | 0                       | Refunded in FY11-12; See 854-63030    |                         |                         |                         |
| 838-81323-5513                          | Debt Interest       | 487,633              | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 838-81323-5515                          | Debt Fees           | 5,321                | 0                    | 518                  | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| AD 00-2 Heartland #3                    |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 839-81318-5511                          | Debt Principal      | 670,000              | 0                    | 0                    | 0                     | 0                       | 0                       | Refunded in FY11-12; See 854-63030    |                         |                         |                         |
| 839-81318-5513                          | Debt Interest       | 43,080               | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 839-81318-5515                          | Debt Fees           | 1,227                | 0                    | 418                  | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| AD 00-1 Presidio - 2001 Bonds           |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 840-81321-5511                          | Debt Principal      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | Refunded in FY05-06; See 840-6329     |                         |                         |                         |
| 840-81321-5513                          | Debt Interest       | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 840-81321-5515                          | Debt Fees           | 13,084               | 2,000                | 2,716                | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 840-81321-5525                          | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| AD 94-1 West Naglee Area - 2002         |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 841-81325-5511                          | Debt Principal      | 205,000              | 215,000              | 2,730,000            | 245,000               | 245,000                 | 265,000                 | Refinanced in FY13-14 - See 850-63063 |                         |                         |                         |
| 841-81325-5513                          | Debt Interest       | 181,443              | 169,941              | 232,908              | 144,000               | 144,000                 | 129,200                 | 280,000                               | 295,000                 | 315,000                 | 345,000                 |
| 841-81325-5515                          | Debt Fees           | 6,447                | 3,596                | 6,866                | 27,700                | 27,700                  | 27,700                  | 112,900                               | 95,400                  | 76,710                  | 56,600                  |

(Continued)

PROGRAM: 81300 - Special Assessment Debt

COMMENTARY

| Acct #                                  | Account Description | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected               | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|---|---------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|---------------------------------------|-------------------------|-------------------------|-------------------------|
| CFD 93-1 Tracy Marketplace - 1996       |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 844-81309-5511                          | Debt Principal      | 40,000               | 40,000               | 45,000               | 45,000                | 45,000                  | 50,000                  | 55,000                                | 55,000                  | 60,000                  | 65,000                  |
| 844-81309-5513                          | Debt Interest       | 64,830               | 62,370               | 59,692               | 56,900                | 56,900                  | 53,900                  | 50,600                                | 47,100                  | 43,500                  | 39,600                  |
| 844-81309-5515                          | Debt Fees           | 5,142                | 2,356                | 2,465                | 4,200                 | 4,200                   | 4,200                   | 4,200                                 | 3,000                   | 3,000                   | 3,000                   |
| AD 98-4 Morrison Homes                  |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 849-81314-5511                          | Debt Principal      | 1,685,000            | 0                    | 0                    | 0                     | 0                       | 0                       | Refunded in FY11-12; See 854-63030    |                         |                         |                         |
| 849-81314-5513                          | Debt Interest       | 92,563               | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| 849-81314-5515                          | Debt Fees           | 26,426               | 0                    | 1,043                | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| I205 RRA 1999 Debt Refunding            |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 850-81315-5511                          | Debt Principal      | 585,000              | 605,000              | 6,145,000            | 655,000               | 655,000                 | 680,000                 | Refinanced in FY13-14 - See 850-63063 |                         |                         |                         |
| 850-81315-5513                          | Debt Interest       | 294,061              | 272,356              | 434,898              | 223,800               | 223,800                 | 197,200                 | 168,510                               | 137,700                 | 104,800                 | 69,300                  |
| 850-81315-5515                          | Debt Fees           | 16,414               | 14,194               | 3,066                | 15,000                | 15,000                  | 10,000                  | 10,000                                | 10,000                  | 10,000                  | 10,000                  |
| CFD 93-1 Tracy Marketplace - 2002       |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 844-81322-5511                          | Debt Principal      | 30,000               | 30,000               | 35,000               | 35,000                | 35,000                  | 35,000                  | 35,000                                | 40,000                  | 45,000                  | 45,000                  |
| 844-81322-5513                          | Debt Interest       | 119,048              | 117,502              | 115,745              | 113,800               | 113,800                 | 111,900                 | 109,800                               | 107,700                 | 105,100                 | 102,400                 |
| 844-81322-5515                          | Debt Fees           | 5,310                | 6,264                | 8,997                | 8,800                 | 8,800                   | 8,800                   | 8,800                                 | 3,000                   | 3,000                   | 3,000                   |
| AD 03-01 Berg Avenue                    |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 852-81352-5511                          | Debt Principal      | 30,000               | 30,000               | 30,000               | 35,000                | 35,000                  | 35,000                  | 35,000                                | 40,000                  | 40,000                  | 45,000                  |
| 852-81352-5513                          | Debt Interest       | 39,964               | 38,711               | 37,414               | 35,970                | 35,970                  | 34,380                  | 32,760                                | 30,970                  | 30,970                  | 26,930                  |
| 852-81352-5515                          | Debt Fees           | 4,413                | 3,291                | 5,673                | 10,400                | 6,000                   | 10,400                  | 10,400                                | 0                       | 0                       | 0                       |
| CFD 06-01 NE Industrial Area #2         |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 853-81353-5511                          | Debt Principal      | 45,000               | 60,000               | 80,000               | 95,000                | 95,000                  | 115,000                 | 135,000                               | 155,000                 | 155,000                 | 205,000                 |
| 853-81353-5513                          | Debt Interest       | 605,549              | 602,684              | 598,910              | 593,410               | 593,410                 | 588,000                 | 581,500                               | 574,500                 | 574,500                 | 553,000                 |
| 853-81353-5515                          | Debt Fees           | 7,316                | 5,616                | 10,167               | 18,000                | 11,000                  | 18,000                  | 18,000                                | 0                       | 0                       | 0                       |
| 853-81353-5525                          | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                                     | 0                       | 0                       | 0                       |
| TOPJPA 2005 A - CFD 98-1 Plan "C"       |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 846-81327-5511                          | Debt Principal      | 2,015,200            | 2,180,000            | 2,230,000            | 2,575,000             | 2,575,000               | 2,785,000               | 3,015,000                             | 3,270,000               | 3,270,000               | 4,095,000               |
| 846-81327-5513                          | Debt Interest       | 2,580,074            | 2,501,160            | 2,417,079            | 2,307,000             | 2,307,000               | 2,199,800               | 2,083,800                             | 1,958,100               | 1,958,100               | 1,674,600               |
| 846-81327-5515                          | Debt Fees           | 23,623               | 21,629               | 30,357               | 88,000                | 33,000                  | 88,000                  | 88,000                                | 20,000                  | 20,000                  | 20,000                  |
| 846-81327-5525                          | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |
| TOPJPA 2005 B - CFD 98-3 Souza Citation |                     |                      |                      |                      |                       |                         |                         |                                       |                         |                         |                         |
| 847-81328-5511                          | Debt Principal      | 170,000              | 180,000              | 185,000              | 190,000               | 190,000                 | 200,000                 | 210,000                               | 225,000                 | 225,000                 | 250,000                 |
| 847-81328-5513                          | Debt Interest       | 143,094              | 136,094              | 128,678              | 120,900               | 120,900                 | 112,500                 | 103,400                               | 93,400                  | 93,400                  | 70,930                  |
| 847-81328-5515                          | Debt Fees           | 8,287                | 7,014                | 10,235               | 13,300                | 10,700                  | 13,300                  | 13,300                                | 5,000                   | 5,000                   | 5,000                   |
| 847-81328-5525                          | Issuance Costs      | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       |                                       |                         |                         |                         |

(Continued)

PROGRAM: 81300 - Special Assessment Debt

COMMENTARY

| Acct #                                 | Account Description | FY11-12<br>\$ Actual | FY12-13<br>\$ Actual | FY13-14<br>\$ Actual | FY14-15<br>\$ Adopted | FY14-15<br>\$ Estimated | FY15-16<br>\$ Projected | FY16-17<br>\$ Projected | FY17-18<br>\$ Projected | FY18-19<br>\$ Projected | FY19-20<br>\$ Projected |
|--|---------------------|----------------------|----------------------|----------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| TOPJPA 2005 C - CFD 00-01 Presidio     |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 840-81329-5511                         | Debt Principal      | 270,000              | 300,000              | 340,000              | 365,000               | 365,000                 | 400,000                 | 435,000                 | 465,000                 | 465,000                 | 545,000                 |
| 840-81329-5513                         | Debt Interest       | 611,815              | 601,653              | 589,840              | 576,400               | 576,400                 | 561,400                 | 544,700                 | 526,400                 | 526,400                 | 484,500                 |
| 840-81329-5515                         | Debt Fees           | 8,440                | 6,560                | 10,700               | 5,600                 | 5,600                   | 5,600                   | 5,600                   | 10,000                  | 10,000                  | 10,000                  |
| 840-81329-5525                         | Issuance Costs      | 0                    | 0                    | 0                    | 416,700               | 0                       |                         |                         |                         |                         |                         |
| TOPJPA Rev Bonds 2011A                 |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 854-81330-5511                         | Debt Principal      | 0                    | 750,000              | 605,000              | 645,000               | 645,000                 | 675,000                 | 730,000                 | 775,000                 | 775,000                 | 875,000                 |
| 854-81330-5513                         | Debt Interest       | 123,422              | 558,213              | 547,944              | 542,000               | 542,000                 | 525,800                 | 505,600                 | 480,900                 | 480,900                 | 420,000                 |
| 854-81330-5515                         | Debt Fees           | 0                    | 9,262                | 10,350               | 5,000                 | 5,000                   | 5,000                   | 5,000                   | 5,000                   | 5,000                   | 5,000                   |
| 854-81330-5525                         | Issuance Costs      | 172,291              | 6,225                | 4,645                | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Financing Districts - UMP Developments |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 8xx-65002-5525                         | Issuance Costs      | 0                    | 0                    | 0                    | 261,000               | 0                       | 87,000                  | 87,000                  | 87,000                  | 0                       | 0                       |
| 8xx-65002-529                          | Capitalized Intere  | 0                    | 0                    | 0                    | 363,000               | 0                       | 121,000                 | 121,000                 | 121,000                 | 0                       | 0                       |
| 8xx-65002-525                          | Distribution of Prc | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 8xx-65002-5515                         | Debt Fees           | 0                    | 0                    | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Tracy 580 Business Park - CFD 11-01    |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 855-81351-5511                         | Debt Principal      |                      |                      | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 855-81351-5513                         | Debt Interest       |                      |                      | 32,832               | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 855-81351-5515                         | Debt Fees           |                      |                      | 5,130                | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 855-81351-5525                         | Issuance Costs      |                      |                      | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| TPFA Rev Bonds 2014A - CFD89-1         |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 835-81361-5511                         | Debt Principal      |                      |                      | 0                    | 0                     | 536,080                 | 748,770                 | 769,500                 | 802,220                 | 826,070                 | 873,560                 |
| 835-81361-5513                         | Debt Interest       |                      |                      | 0                    | 0                     | 62,300                  | 259,320                 | 234,970                 | 204,820                 | 172,170                 | 140,010                 |
| 835-81361-5515                         | Debt Fees           |                      |                      | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 835-81361-5525                         | Issuance Costs      |                      |                      | 57,171               | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| TPFA Rev Bonds 2014A - CFD99-1         |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 837-81362-5511                         | Debt Principal      |                      |                      | 0                    | 0                     | 311,750                 | 478,000                 | 494,910                 | 512,570                 | 534,180                 | 557,310                 |
| 837-81362-5513                         | Debt Interest       |                      |                      | 0                    | 0                     | 36,230                  | 165,550                 | 151,120                 | 130,870                 | 111,310                 | 89,320                  |
| 837-81362-5515                         | Debt Fees           |                      |                      | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 837-81362-5525                         | Issuance Costs      |                      |                      | 49,652               | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| TPFA Rev Bonds 2014A - I205 Reassmt    |                     |                      |                      |                      |                       |                         |                         |                         |                         |                         |                         |
| 850-81363-5511                         | Debt Principal      |                      |                      | 0                    | 0                     | 562,170                 | 728,230                 | 760,590                 | 790,210                 | 814,750                 | 854,130                 |
| 850-81363-5513                         | Debt Interest       |                      |                      | 0                    | 0                     | 65,330                  | 252,210                 | 232,340                 | 201,740                 | 169,750                 | 136,900                 |
| 850-81363-5515                         | Debt Fees           |                      |                      | 0                    | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| 850-81363-5525                         | Issuance Costs      |                      |                      | 129,623              | 0                     | 0                       | 0                       | 0                       | 0                       | 0                       | 0                       |
| Program Total                          |                     | 25,514,126           | 11,550,068           | 34,272,124           | 12,858,000            | 13,322,140              | 14,765,060              | 15,036,500              | 15,062,490              | 14,846,410              | 15,520,790              |

PROGRAM: 814000 - Redevelopment Debt

## COMMENTARY

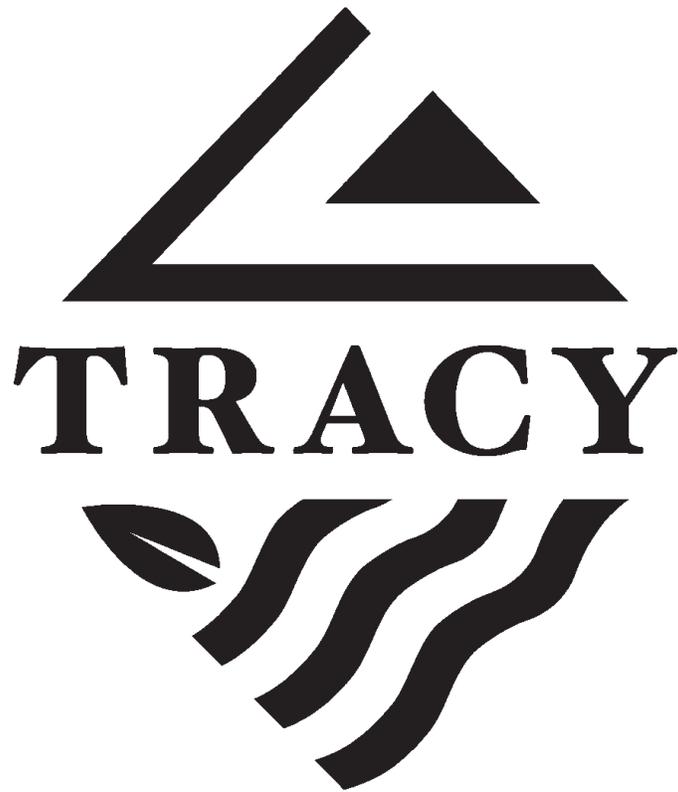
Payment of debt service and interagency obligations applicable to the redevelopment activities of the City's Community Development Agency.

Effective January 31st, 2012, the State abolished all redevelopment agencies in the State, including the Tracy Community Development Agency. So, NO new redevelopment debt will be issued. But, the City as the Successor Agency to the CDA will oversee the repayment of the outstanding CDA debt of \$47,520,000. Debt payments should be stable over the next 19 years until FY33-34.

In FY12-13, the Successor Agency repaid the residual cash from the abolished CDA. Also, the City acknowledges another \$2,327,100 repayment is due. This was budgeted for FY14-15 and will be rebudgeted for FY15-16.

| Acct #                                   | Account Description | FY11-12   | FY12-13   | FY13-14   | FY14-15    | FY14-15      | FY15-16      | FY16-17      | FY17-18      | FY18-19      | FY19-20      |
|--|---------------------|-----------|-----------|-----------|------------|--------------|--------------|--------------|--------------|--------------|--------------|
|  |                     | \$ Actual | \$ Actual | \$ Actual | \$ Adopted | \$ Estimated | \$ Projected |
| CDA Interagency Payments                 |                     |           |           |           |            |              |              |              |              |              |              |
| 4xx-81401- 5535                          | Direct Allocation   | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| 4xx-81401- 5536                          | Pass-Thru Payme     | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| 4xx-81401- 5531                          | Tax Collection Fe   | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| 281-81401- 5531                          | Tax Collection Fe   | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| CDA 2003 Bonds Debt - Series A           |                     |           |           |           |            |              |              |              |              |              |              |
| 4xx-81406- 5511                          | Debt Principal      | 790,000   | 820,000   | 850,000   | 885,000    | 885,000      | 920,000      | 960,000      | 995,000      | 1,040,000    | 1,085,000    |
| 4xx-81406- 5513                          | Debt Interest       | 1,409,128 | 1,377,844 | 1,345,044 | 1,311,050  | 1,311,050    | 1,275,650    | 1,238,490    | 1,200,450    | 1,158,160    | 1,113,960    |
| 4xx-81406- 5515                          | Debt Fees           | 6,750     | 10,150    | 9,423     | 8,900      | 8,900        | 9,000        | 9,000        | 9,000        | 9,000        | 9,000        |
| 4xx-81406- 5529                          | Capitalized Intere  | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| 4xx-81406- 55xx                          | Issuance Costs      | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| CDA 2003 Bonds Debt - Series B           |                     |           |           |           |            |              |              |              |              |              |              |
| 4xx-81407- 5511                          | Debt Principal      | 405,000   | 425,000   | 450,000   | 475,000    | 475,000      | 500,000      | 525,000      | 555,000      | 590,000      | 625,000      |
| 4xx-81407- 5513                          | Debt Interest       | 1,110,625 | 1,089,768 | 1,067,243 | 1,042,950  | 1,042,950    | 1,016,820    | 988,820      | 958,900      | 926,980      | 891,580      |
| 4xx-81407- 5515                          | Debt Fees           | 2,385     | 4,077     | 2,452     | 4,900      | 4,900        | 5,000        | 5,000        | 5,000        | 5,000        | 5,000        |
| 4xx-81407- 5529                          | Capitalized Intere  | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| 4xx-81407- 55xx                          | Issuance Costs      | 0         | 0         | 0         | 0          | 0            | 0            | 0            | 0            | 0            | 0            |
| CDA Successor Agency - Cash Distribution |                     |           |           |           |            |              |              |              |              |              |              |
| 495-81515- 5539                          | IG Cash Distributi  | 0         | 3,402,710 | 0         | 2,327,100  | 0            | 2,327,100    | 0            | 0            | 0            | 0            |
| Program Total                            |                     | 3,723,888 | 7,129,549 | 3,724,162 | 6,054,900  | 3,727,800    | 6,053,570    | 3,726,310    | 3,723,350    | 3,729,140    | 3,729,540    |

# *Appendix*



Think Inside the Triangle™

## APPENDIX

This part of the budget document is for supplemental data supporting the adopted budget.

The following items have been included:

- ✧ Resolution No. 2015-091  
Adopting the Budget and Appropriations for the City of Tracy for Fiscal Year 2015-2016  
(page H2)
  - At the end of FY15-16, similar updated cost calculations will be done which will also result in adjustments to update budgeted charges to actual.
- ✧ Recap of appropriations & transfers authorized using a Fund – Department Format  
(pages H3-H10)
- ✧ Resolution No. 2015-084  
Establishing the Appropriations Limit for the City of Tracy for Fiscal Year 2015-2016  
(page H11)
  - See pages C16 and C17 for an explanation of the Appropriations Limit.
- ✧ Calculation of the Appropriations Limit for Fiscal Year 2015-2016  
(pages H12 to H16)
- ✧ Cost Allocation Plan  
Description of Central Services, Department Overhead, and Internal Service Costs  
(pages H17 to H21)
- ✧ Indirect costs and overhead allocations for Fiscal Year 2015-2016 Adopted  
(pages H22 to H26)
  - The FY14-15 estimated charges for indirect costs were an 11.7% across the board increase from the FY13-14 actuals. The charges budgeted for FY15-16, are 6.5% across the board increase over the FY14-15 estimate.
  - An update of the cost calculations will be performed for FY14-15. The results of this update will be an adjusting entry at the end of the FY14-15, which will bring the various charges up-to-date.
- ✧ Internal Charges  
(pages H27-H30)

Allocation for Worker's Compensation and General Liability Insurance, Vehicle Operations, Equipment Replacements, Copier and Postage Costs, and Telecommunications and Information Systems.

  - These internal charges allocations have been budgeted for FY15-16 in various operating budget programs as indicated. They are shown in the budgets of the individual programs on the "Internal Charges" line under program expenditures, except for worker's compensation, which is included on the "Personnel Expenses" line, and vehicle fuel, which is included on the "Commodities" line.
- ✧ Index (pages H31-H34)

RESOLUTION 2015-091

ADOPTING THE ANNUAL BUDGET AND APPROPRIATIONS FOR THE CITY OF TRACY FOR FISCAL YEAR 2015-2016

WHEREAS, The proposed operating, capital, and debt budgets for the City of Tracy for Fiscal Year 2015-2016 were submitted to the City Council on May 12, 2015, and

WHEREAS, A public workshop and a public hearing were held by the City Council to review, consider, and deliberate upon the proposed budgets, as well as to hear any public comments upon the budgets, and

WHEREAS, The proposed budgets presented to the City Council and any subsequent modifications made have been incorporated into budget;

NOW, THEREFORE, BE IT RESOLVED, That the City Council of the City of Tracy approves as follows:

1. The FY 2015 /16 budget is approved.
2. Funds totaling \$50,320,180 for the general fund.
3. \$137,824,240 for all other funds are appropriated.
4. Funds totaling \$188,144,420 are appropriated for FY 2015 /16

\* \* \* \* \*

The foregoing Resolution 2015-091 was adopted by the Tracy City Council on the 16th day of June 2015, by the following vote:

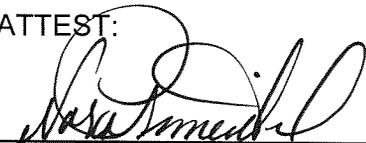
AYES: COUNCIL MEMBERS: MITRACOS, RICKMAN, VARGAS, YOUNG, MACIEL

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: NONE

ABSTAIN: COUNCIL MEMBERS: NONE

  
MAYOR

ATTEST:  
  
CITY CLERK

CITY OF TRACY  
ADOPTED FY15-16 BUDGET

Recap of Appropriations & Transfers

Section 1: Approved Appropriations by Fund and Department

|     |  |    |                     |
|-----|--|----|---------------------|
| 1.  | From the General Fund 101 and its various sub-funds for: |    |                     |
|     | The Police Department                                    | \$ | 24,131,970          |
|     | The Fire Department                                      |    | 9,461,780           |
|     | The Public Works Department                              |    | 4,263,410           |
|     | The Utilities Department                                 |    | 154,510             |
|     | The Development Services Department                      |    | 1,367,090           |
|     | The City Council   |    | 135,660             |
|     | The City Attorney's Office                               |    | 869,580             |
|     | The City Manager's Office                                |    | 1,781,090           |
|     | Recreation and Cultural Arts Programs                    |    | 3,749,630           |
|     | The Administrative Services Department                   |    | 3,568,780           |
|     | The Indirect Costs Program                               |    | (1,211,650)         |
|     | The Equipment Acquisition Program                        |    | 297,180             |
|     | The Special Reserves Program                             |    | 830,000             |
|     | CIP Projects   |    | 0                   |
|     | Budget Savings   |    | (1,000,000)         |
|     |  | \$ | <u>\$48,399,030</u> |
| 2.. | From the Community Development Funds 20x for:            |    |                     |
|     | The Development Services Department                      | \$ | 7,898,910           |
| 3.  | From the South County Fire Authority Fund 211 for:       |    |                     |
|     | The Indirect Costs Program                               | \$ | 156,410             |
|     | The Fire Department                                      |    | 5,621,510           |
|     | The Equipment Acquisition Program                        |    | 407,500             |
|     | Special Reserves Program                                 |    | 50,000              |
|     |  | \$ | <u>6,235,420</u>    |
| 4.  | From the Downtown Improvement District Fund 221 for:     |    |                     |
|     | The Downtown Promotions Program                          | \$ | 114,000             |
| 5.  | From the Asset Forfeiture Fund 231 for:                  |    |                     |
|     | The Police Department                                    | \$ | 16,900              |
| 6.  | From the Transportation Development Act Fund 241 for:    |    |                     |
|     | The Indirect Costs Program                               | \$ | 36,220              |
|     | The Public Works Department                              |    | 1,224,340           |
|     | The Development Services Department                      |    | 180,000             |
|     | CIP Traffic Safety Program                               |    | 0                   |
|     |  | \$ | <u>1,440,560</u>    |

|     |   |    |                  |
|-----|---|----|------------------|
| 7.  | From the Transportation Sales Tax Fund 242 for:           |    |                  |
|     | CIP Traffic Safety Program                                | \$ | 200,000          |
|     | CIP Streets and Highways Projects                         |    | 1,630,000        |
|     |   | \$ | <u>1,830,000</u> |
| 8.  | From the Gas Tax (Maintenance) Funds 245, 246, & 247 for: |    |                  |
|     | The Indirect Costs Program                                | \$ | 34,520           |
|     | The Public Works Department                               |    | 845,660          |
|     | The Utilities Department                                  |    | 770,000          |
|     | CIP Traffic Safety Program                                |    | 227,200          |
|     | CIP Streets & Highways Projects                           |    | 565,000          |
|     | CIP Parks & Recreation Projects                           |    | 0                |
|     |   | \$ | <u>2,442,380</u> |
| 9.  | From the Federal TEA Grant Fund 261 for:                  |    |                  |
|     | CIP Streets & Highway Projects                            | \$ | 966,000          |
| 10. | From the Community Development Block Grant Fund 268 for:  |    |                  |
|     | The Development Services Department                       | \$ | 354,130          |
|     | CIP General Government Projects                           |    | 0                |
|     | CIP Parks & Recreation Projects                           |    | 0                |
|     |   | \$ | <u>354,130</u>   |
| 11. | From the Landscaping Districts Fund 271 for:              |    |                  |
|     | The Indirect Cost Program                                 | \$ | 90,350           |
|     | The Public Works Department                               |    | 2,285,500        |
|     | The Administrative Services Department                    |    | 15,000           |
|     | CIP Parks & Community Services Projects                   |    | 0                |
|     |   | \$ | <u>2,390,850</u> |
| 12. | From the Education Government CTV Fund 295 for:           |    |                  |
|     | The Indirect Costs Program                                | \$ | 52,900           |
|     | The City Manager's Office                                 |    | 103,490          |
|     | The Equipment Acquisition Program                         |    | 1,900            |
|     |   | \$ | <u>158,290</u>   |
| 13. | From the General Projects Fund 301 for:                   |    |                  |
|     | CIP General Government Projects                           | \$ | 305,000          |
|     | CIP Traffic Safety Projects                               |    | 750,000          |
|     | CIP Streets & Highway Projects                            |    | 200,000          |
|     | CIP Parks & Community Services Projects                   |    | 75,000           |
|     | CIP Miscellaneous Projects                                |    | 112,500          |
|     |   | \$ | <u>1,442,500</u> |
| 14. | From the Drainage Infill Fund 312                         |    |                  |
|     | CIP Drainage Projects                                     | \$ | 525.500          |

|     |  |    |                |
|-----|--|----|----------------|
| 15. | From the Arterial Infill Fund 313 for:       |    |                |
|     | CIP Traffic Safety Program                   | \$ | 350,000        |
|     | CIP Streets & Highway Projects               |    | <u>458,600</u> |
|     |  | \$ | 808,600        |
| 16. | From the CDA Successor Project Fund 318 for: |    |                |
|     | Debt Service (Due to State)                  | \$ | 0              |
| 17. | From the Arterial Plan C Fund 322 for:       |    |                |
|     | CIP Drainage Projects                        | \$ | 72,300         |
| 18. | From the Plan C Utilities Fund 325 for:      |    |                |
|     | CIP Water Projects                           | \$ | (859,950)      |
| 19. | From the RSP Fund 345 for:                   |    |                |
|     | CIP Streets & Highways Projects              | \$ | 0              |
|     | CIP General Government Projects              |    | <u>0</u>       |
|     |  | \$ | 0              |
| 20. | From the NE Industrial Area #1 Fund 351 for: |    |                |
|     | CIP Miscellaneous Projects                   | \$ | 50,000         |
|     | If CIP Reimbursements                        |    | <u>500,000</u> |
|     |  |    | 550,000        |
| 21. | From the South MacArthur Area Fund 352 for:  |    |                |
|     | CIP Streets & Highways Projects              | \$ | 223,700        |
|     | CIP Miscellaneous Projects                   |    | <u>50,000</u>  |
|     |  | \$ | 273,700        |
| 22. | From the I-205 Area Fund 353 for:            |    |                |
|     | If CIP Reimbursements                        | \$ | 2,174,700      |
| 23. | From the Industrial SP South Fund 354 for:   |    |                |
|     | CIP Traffic Safety Projects                  | \$ | 540,000        |
|     | CIP Wastewater Improvements Projects         |    | 186,000        |
|     | CIP Water Improvements Projects              |    | 573,200        |
|     | CIP Miscellaneous Projects                   |    | <u>50,000</u>  |
|     |  | \$ | 1,349,200      |
| 24. | From the Presidio Area Fund 355 for:         |    |                |
|     | CIP Streets & Highways Projects              | \$ | 168,200        |
| 25. | From the Tracy Gateway Fund 356 for:         |    |                |
|     | CIP Wastewater Improvements                  | \$ | 0              |

|     |   |    |  |
|-----|---|----|--|
| 26. | From the NE Industrial Area Fund 357 for:<br>CIP Wastewater Improvements  | \$ | 180,000  |
| 27. | From the Ellis Planning Area Fund 358 for:<br>CIP Wastewater Improvements Projects<br>CIP Water Improvements Projects<br>CIP Miscellaneous Projects   | \$ | 1,304,880<br>2,760,800<br>205,000<br><hr/> 4,270,680   |
| 28. | From the TIMP Traffic Fund 363 for:<br>CIP Streets & Highways Projects  | \$ | 5,474,100  |
| 29. | From the TIMP Water Fund 365 for:<br>CIP Water Improvements Projects  | \$ | 7,027,200  |
| 30. | From the UMP Facilities Fund 391 for:<br>CIP Miscellaneous Projects   | \$ | 1,295,000  |
| 31. | From the CIP Deposits Fund 395 for:<br>The Capital Improvements Program   | \$ | 3,629,500  |
| 32. | From the 2008 Lease Revenue Bonds Fund 407 for:<br>Debt Service Programs  | \$ | 279,700  |
| 33. | From the 2009 Lease Revenue Bonds Fund 408 for:<br>Debt Service Programs  | \$ | 1,342,320  |
| 34. | From the Successor Agency Fund 495 for:<br>The Development Services Department<br>The Debt Services Program   | \$ | 250,000<br>6,053,570<br><hr/> 6,303,570  |
| 35. | From the Water Operating Fund 511 for:<br>The Indirect Costs Program<br>The Administrative Services Department<br>The Special Reserves Program<br>The Equipment Acquisition Program<br>The Public Works Department<br>The Utilities Department<br>The Development Services Department<br>Water Purchases for Storage<br>Debt Service Programs | \$ | 282,680<br>415,000<br>55,000<br>30,000<br>4,259,950<br>8,869,280<br>78,500<br>250,000<br>1,258,020<br><hr/> 15,498,430 |
| 36. | From the Water Capital Fund 513 for:<br>CIP Water Improvements Projects   | \$ | (2,777,350)  |

|     |  |    |                   |
|-----|--|----|-------------------|
| 37. | From the Wastewater Operating Fund 521 for:      |    |                   |
|     | The Indirect Costs Program                       | \$ | 219,630           |
|     | The Administrative Services Department           |    | 194,000           |
|     | The Special Reserves Program                     |    | 55,000            |
|     | The Equipment Acquisition Program                |    | 227,900           |
|     | The Public Works Department                      |    | 1,664,130         |
|     | The Utilities Department                         |    | 6,345,380         |
|     | The Development Services Department              |    | 96,980            |
|     | Debt Service Programs                            |    | 1,903,900         |
|     |  | \$ | <u>10,706,920</u> |
| 38. | From the Wastewater Capital Fund 523 for:        |    |                   |
|     | CIP Wastewater Improvements Projects             | \$ | 1,138,060         |
| 39. | From the Solid Waste Funds 531, 532 and 533 for: |    |                   |
|     | The Indirect Costs Program                       | \$ | 61,450            |
|     | The Equipment Acquisition Program                |    | 50,000            |
|     | The Administrative Services Department           |    | 265,000           |
|     | The Public Works Department                      |    | 20,540,810        |
|     |  | \$ | <u>20,917,260</u> |
| 40. | From the Drainage Fund 541 for:                  |    |                   |
|     | The Indirect Costs Program                       | \$ | 33,460            |
|     | The Administrative Services Department           |    | 26,000            |
|     | The Public Works Department                      |    | 414,690           |
|     | The Utilities Department                         |    | 135,000           |
|     | The Development Services Department              |    | 25,000            |
|     | CIP Drainage Projects                            |    | 0                 |
|     |  | \$ | <u>634,150</u>    |
| 41. | From the Airport Fund 561 for:                   |    |                   |
|     | The Indirect Costs Program                       | \$ | 99,930            |
|     | The Public Works Department                      |    | 458,090           |
|     | Debt Service Programs                            |    | 12,560            |
|     |  | \$ | <u>570,580</u>    |
| 42. | From the Airport Capital Fund 563 for:           |    |                   |
|     | CIP Airport Improvements Projects                | \$ | 105,000           |
| 43. | From the Transit Operating Fund 571 for:         |    |                   |
|     | The Indirect Costs Program                       | \$ | 78,570            |
|     | The Equipment Acquisition Program                |    | 15,500            |
|     | The Public Works Department                      |    | 2,262,590         |
|     |  | \$ | <u>2,356,660</u>  |
| 44. | From the Transit Capital Fund 573 for:           |    |                   |
|     | CIP Transit Projects                             | \$ | 1,430,000         |

|     |   |    |                  |
|-----|---|----|------------------|
| 45. | From the Central Garage Fund 601 for:                 |    |                  |
|     | The Indirect Costs Program                            | \$ | 32,320           |
|     | The Public Works Department                           |    | 1,601,090        |
|     | The Equipment Acquisition Program                     |    | <u>7,000</u>     |
|     |   | \$ | 1,640,410        |
| 46. | From the Central Services Fund 602 for:               |    |                  |
|     | The Administrative Services Department                | \$ | 1,633,040        |
| 47. | From the Equipment Acquisition Fund 605 for:          |    |                  |
|     | The Equipment Acquisition Program                     | \$ | 855,930          |
|     | CIP Projects  |    | <u>50,000</u>    |
|     |   | \$ | 905,930          |
| 48. | From the Vehicle Acquisition Fund 606 for:            |    |                  |
|     | The Equipment Acquisition Program                     | \$ | 702,890          |
|     | Debt Service Programs                                 |    | <u>112,730</u>   |
|     |   | \$ | 815,620          |
| 49. | From the Building Maintenance Fund 615 for:           |    |                  |
|     | The Indirect Costs Program                            | \$ | 33,210           |
|     | The Public Works Department                           |    | <u>962,240</u>   |
|     |   | \$ | 995,450          |
| 50. | From the Self-Insurance Fund 627 for:                 |    |                  |
|     | The Administrative Services Department                | \$ | 633,910          |
|     | The Non-Departmental Group                            |    | <u>3,848,000</u> |
|     |   | \$ | 4,481,910        |
| 51. | From the Regional Transportation Impact Fund 808 for: |    |                  |
|     | CIP Streets & Highways Projects                       | \$ | 3,024,000        |
| 52. | From the Post Employment Benefits Fund 813 for:       |    |                  |
|     | The Debt Service Programs                             | \$ | 750,000          |
| 53. | From the CFD 89-1 Debt Fund 835 for:                  |    |                  |
|     | Debt Service Programs                                 | \$ | 2,229,090        |
| 54. | From the CFD 99-1 Fund 837 for:                       |    |                  |
|     | Debt Service Programs                                 | \$ | 1,402,450        |
| 55. | From the CFD 00-01 Fund 840 for:                      |    |                  |
|     | Debt Service Programs                                 | \$ | 967,000          |

|     |   |    |                           |
|-----|---|----|---------------------------|
| 56. | From the Assessment District 94-1 Fund 841 for:<br>Debt Service Programs        | \$ | 421,900                   |
| 57. | From the CFD 93-1 Fund 844 for:<br>Debt Service Programs                        | \$ | 263,800                   |
| 58. | From the CFD 98-1 Fund 846 for:<br>Debt Service Programs                        | \$ | 5,072,800                 |
| 59. | From the CFD 98-3 Fund 847 for:<br>Debt Service Programs                        | \$ | 325,800                   |
| 60. | From the I-205 RAA Debt Refinancing Fund 850 for:<br>Debt Service Programs      | \$ | 1,867,640                 |
| 61. | From the AD 03-01 Berg Avenue Area Fund 852 for:<br>Debt Service Programs       | \$ | 79,780                    |
| 62. | From the CFD 06-01 NE Industrial Area #2 Fund 853 for:<br>Debt Service Programs | \$ | 721,000                   |
| 63. | From the TOPJPA Revenue Bonds 2011A Fund 854 for:<br>Debt Service Programs      | \$ | 1,205,800                 |
| 64. | From new Financing Districts to be established:<br>Debt Service Programs        | \$ | 208,000                   |
|     | <b>Grand Total All Funds</b>  | \$ | <u><u>188,144,420</u></u> |

Section 2: Authorized Interfund Transfers for Fiscal Year 2015-2016.

|    |   |    |                |
|----|---|----|----------------|
| 1. | From the General Fund 101 for debt service payments:<br>To the 2007 Lease Revenue Bond Fund 407 | \$ | 279,700        |
|    | To the 2008 Lease Revenue Bond Fund 408   |    | <u>942,320</u> |
|    |   | \$ | 1,222,020      |
| 2. | From the General Fund 101 for operational support:<br>To the Community Development Funds 2xy    | \$ | 699,130        |
| 3. | From the Asset Forfeiture Fund 231 for a loan repayment:<br>To the Vehicle Replacement Fund 606 | \$ | 66,000         |
| 4. | From the CIP Deposits Fund 395 for loan repayment:<br>To the General Fund                       | \$ | 1,250,000      |

|    |   |    |                  |
|----|---|----|------------------|
| 5. | From the Successor Agency Fund 495 for debt service payments: |    |                  |
|    | To the 2008 Lease Revenue Bond Fund 405                       | \$ | 400,000          |
| 6. | From the Airport Fund 561 for loan repayment:                 |    |                  |
|    | To the Water Capital Fund 513                                 | \$ | 20,880           |
|    | Total Transfers   | \$ | <u>3,658,030</u> |

RESOLUTION 2015-084

ESTABLISHING THE APPROPRIATIONS LIMIT FOR THE CITY OF TRACY FOR FISCAL YEAR 2015-2016

WHEREAS, Article XIII B of the State Constitution places an appropriations limit on the proceeds of taxes received by the State and local governments in California, and

WHEREAS, Article XIII B provides that the appropriations limit can be adjusted annually to account for growth and inflation, and

WHEREAS, It is necessary for the City Council to establish the appropriations limit for the City of Tracy for FY 15-16 and to specify the options used in the annual adjustment, and

WHEREAS, The attachments to this resolution show the calculations used to determine the appropriations limit as adjusted for the City of Tracy for FY 15-16;

NOW, THEREFORE, BE IT RESOLVED That:

1. The FY 15/16 appropriations limit for the City of Tracy is established as \$54,899,941;
2. In setting the appropriations limit for FY 15-16 the City Council has chosen the "City Population Growth" and "California Per Capita Income" options for the annual adjustment in the limit;
3. The appropriations, subject to the limit based upon the proposed City budget for FY 15-16 are \$45,964,620, or 84% of the limit, which is \$8,935,321 below the limit.

\* \* \* \* \*

The foregoing Resolution 2015-084 was adopted by Tracy City Council on the 2<sup>nd</sup> day of June, 2015, by the following vote:

AYES: COUNCIL MEMBERS: MITRACOS, RICKMAN, YOUNG, MACIEL

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: VARGAS

ABSTAIN: COUNCIL MEMBERS: NONE




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MAYOR

ATTEST




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CITY CLERK

| FY 1978-79 Base           | TAX<br>PROCEEDS | NON-TAX<br>PROCEEDS |
|---------------------------|-----------------|---------------------|
| TAXES                     | \$1,141,967     |                     |
| LIC, PERMIT & Franchises  |                 | \$370,119           |
| FINES                     |                 | \$108,860           |
| USE OF MONEY              | \$175,167       | \$182,952           |
| RENTS & CONCESSIONS       |                 | \$60,650            |
| STATE SHARED REVENUES     | \$849,352       | \$697,618           |
| COUNTY GRANTS             |                 | \$39,451            |
| FEDERAL GRANTS            |                 | \$3,860,398         |
| CHARGES/FEES              |                 | \$3,227,759         |
| OTHER REVENUES            |                 | \$328,834           |
| Fund Balance from FY76-77 | \$428,595       | \$162,571           |
| Sub-Totals                | \$2,595,081     | \$9,039,212         |
| TOTAL Revenues            | \$11,634,293    |                     |

| Prop 4 Adjustments     | Population | CPI   | FACTOR | Updated<br>App Limit |
|------------------------|------------|-------|--------|----------------------|
| FY 1978-79 BASE LIMIT  |            |       |        | \$2,595,081          |
| FY 1979-80 BASE UPDATE | 1.0006     | 1.102 | 1.1023 | \$2,860,456          |
| FY 1980-81 BASE UPDATE | 1.0354     | 1.105 | 1.1444 | \$3,273,585          |
| FY 1981-82 BASE UPDATE | 1.0603     | 1.091 | 1.1570 | \$3,787,536          |
| FY 1982-83 BASE UPDATE | 1.0464     | 1.068 | 1.1175 | \$4,232,546          |
| FY 1983-84 BASE UPDATE | 1.0362     | 1.024 | 1.0606 | \$4,489,003          |
| FY 1984-85 BASE UPDATE | 1.0489     | 1.047 | 1.0986 | \$4,931,699          |
| FY 1985-86 BASE UPDATE | 1.0732     | 1.037 | 1.1133 | \$5,490,646          |
| FY 1986-87 BASE UPDATE | 1.0884     | 1.030 | 1.1211 | \$6,155,300          |
| FY 1987-88 BASE UPDATE | 1.0626     | 1.030 | 1.0949 | \$6,739,457          |
| FY 1988-89 BASE UPDATE | 1.0548     | 1.036 | 1.0931 | \$7,366,828          |
| FY 1989-90 BASE UPDATE | 1.0340     | 1.041 | 1.0764 | \$7,929,609          |
| FY 1990-91 BASE UPDATE | 1.1123     | 1.048 | 1.1657 | \$9,243,469          |
| FY 1991-92 BASE UPDATE | 1.1039     | 1.054 | 1.1635 | \$10,754,874         |
| FY 1992-93 BASE UPDATE | 1.0559     | 1.042 | 1.1002 | \$11,832,691         |
| FY 1993-94 BASE UPDATE | 1.0695     | 1.030 | 1.1016 | \$13,034,593         |
| FY 1994-95 BASE UPDATE | 1.0403     | 1.030 | 1.0715 | \$13,966,683         |
| FY 1995-96 BASE UPDATE | 1.0336     | 1.026 | 1.0605 | \$14,811,299         |
| FY 1996-97 BASE UPDATE | 1.0307     | 1.028 | 1.0596 | \$15,693,454         |
| FY 1997-98 BASE UPDATE | 1.0262     | 1.030 | 1.0570 | \$16,587,761         |
| FY 1998-99 BASE UPDATE | 1.0281     | 1.023 | 1.0517 | \$17,446,117         |
| FY 1999-00 BASE UPDATE | 1.0549     | 1.016 | 1.0718 | \$18,698,371         |
| FY 2000-01 BASE UPDATE | 1.0729     | 1.022 | 1.0965 | \$20,502,835         |
| FY 2001-02 BASE UPDATE | 1.0759     | 1.034 | 1.1125 | \$22,809,006         |
| FY 2002-03 BASE UPDATE | 1.0785     | 1.028 | 1.1087 | \$25,288,299         |
| FY 2003-04 BASE UPDATE | 1.0645     | 1.016 | 1.0815 | \$27,350,105         |
| FY 2004-05 BASE UPDATE | 1.0685     | 1.012 | 1.0816 | \$29,581,222         |
| FY 2005-06 BASE UPDATE | 1.0499     | 1.018 | 1.0684 | \$31,603,934         |
| FY 2006-07 BASE UPDATE | 1.0250     | 1.020 | 1.0451 | \$33,028,955         |
| FY 2007-08 BASE UPDATE | 1.0078     | 1.032 | 1.0396 | \$34,338,437         |
| FY 2008-09 BASE UPDATE | 1.0054     | 1.042 | 1.0476 | \$35,973,867         |
| FY 2009-10 BASE UPDATE | 0.9976     | 0.998 | 0.9956 | \$35,815,755         |
| FY 2010-11 BASE UPDATE | 1.0163     | 1.030 | 1.0468 | \$37,491,538         |
| FY 2011-12 BASE UPDATE | 1.0069     | 1.018 | 1.0250 | \$38,429,734         |
| FY 2012-13 BASE UPDATE | 1.0079     | 1.026 | 1.0341 | \$39,740,395         |
| FY 2013-14 BASE UPDATE | 1.0108     | 1.027 | 1.0379 | \$41,246,137         |
| FY 2014-15 BASE UPDATE | 1.0056     | 1.022 | 1.0281 | \$42,406,202         |
| FY 2015-16 BASE UPDATE | 1.0042     | 1.027 | 1.0313 | \$43,734,085         |

CITY OF TRACY  
 APPROPRIATIONS LIMIT

July 1, 2015

| Prop 111 Adjustments                     | City Population | CA per Capita Income | FACTOR | Updated App Limit |
|--|-----------------|----------------------|--------|-------------------|
| FY 1986-87 BASE                          |                 |                      |        | \$6,155,300       |
| FY 1987-88 BASE UPDATE                   | 1.0626          | 1.0347               | 1.0995 | \$6,767,581       |
| FY 1988-89 BASE UPDATE                   | 1.0548          | 1.0466               | 1.1040 | \$7,471,096       |
| FY 1989-90 BASE UPDATE                   | 1.0340          | 1.0519               | 1.0877 | \$8,126,047       |
| FY 1990-91 BASE UPDATE                   | 1.1123          | 1.0421               | 1.1591 | \$9,419,127       |
| Adjustments:                             |                 |                      |        |                   |
| Drainage Fees                            |                 |                      |        | (\$92,060)        |
| Landscaping Fees                         |                 |                      |        | \$0               |
| Redevelopment Agency Cost Transfer       |                 |                      |        | (\$99,140)        |
| FY 1990-91 Limit                         |                 |                      |        | \$9,227,927       |
| FY 1991-92 BASE UPDATE                   | 1.1039          | 1.0414               | 1.1496 | \$10,608,439      |
| Adjustments:                             |                 |                      |        |                   |
| County Booking Fees                      |                 |                      |        | \$36,000          |
| County Tax Administration Fees           |                 |                      |        | \$90,000          |
| Street Sweeping transfer to fee support  |                 |                      |        | (\$144,700)       |
| FY 1991-92 Limit                         |                 |                      |        | \$10,589,739      |
| FY 1992-93 BASE UPDATE                   | 1.0559          | 0.9936               | 1.0491 | \$11,109,827      |
| Adjustments:                             |                 |                      |        |                   |
| State Fees for Criminal Justice Services |                 |                      |        | \$5,000           |
| FY 1992-93 Limit                         |                 |                      |        | \$11,114,827      |
| FY 1993-94 BASE UPDATE                   | 1.0695          | 1.0272               | 1.0986 | \$12,210,528      |
| FY 1993-94 Limit                         |                 |                      |        | \$12,210,528      |
| FY 1994-95 BASE UPDATE                   | 1.0403          | 1.0071               | 1.0477 | \$12,792,800      |
| FY 1994-95 Limit                         |                 |                      |        | \$12,792,800      |
| FY 1995-96 BASE UPDATE                   | 1.0336          | 1.0472               | 1.0824 | \$13,846,747      |
| FY 1995-96 Limit                         |                 |                      |        | \$13,846,747      |
| FY 1996-97 BASE UPDATE                   | 1.0307          | 1.0467               | 1.0788 | \$14,938,337      |
| FY 1996-97 Limit                         |                 |                      |        | \$14,938,337      |
| FY 1997-98 BASE UPDATE                   | 1.0262          | 1.0467               | 1.0741 | \$16,045,620      |
| FY 1997-98 Limit                         |                 |                      |        | \$16,045,620      |
| FY 1998-99 BASE UPDATE                   | 1.0281          | 1.0415               | 1.0708 | \$17,181,106      |
| Adjustments:                             |                 |                      |        |                   |
| State Fees for DUI Laboratory Expenses   |                 |                      |        | \$7,000           |
| Landscaping & Lighting District Costs    |                 |                      |        | \$345,770         |
| FY 1998-99 Limit                         |                 |                      |        | \$17,533,876      |

(Continued)

CITY OF TRACY  
 APPROPRIATIONS LIMIT

July 1, 2015

| Prop 111   | Adjustments   | City<br>Population | CA per Capita<br>Income                 | FACTOR | Updated<br>App Limit |
|------------|---|--------------------|---|--------|----------------------|
| FY 1999-00 | BASE UPDATE   | 1.0549             | 1.0453                                  | 1.1027 | \$19,334,377         |
|            | Adjustments:  |                    |   |        |                      |
|            | State Fees for Laboratory Expenses                      |                    |   |        | \$12,500             |
|            | County Tax Administration Fees (Increase since FY91-92) |                    |   |        | \$19,000             |
|            | FY 1999-00 Limit  |                    |   |        | \$19,365,877         |
| FY 2000-01 | BASE UPDATE   | 1.0729             | 1.1405 *                                | 1.2236 | \$23,696,909         |
|            | Adjustments:  |                    |   |        |                      |
|            |   |                    | * using change in<br>non-residential AV |        | \$0                  |
|            |   |                    |   |        | \$0                  |
|            | FY 2000-01 Limit  |                    |   |        | \$23,696,909         |
| FY 2001-02 | BASE UPDATE   | 1.0759             | 1.0782                                  | 1.1600 | \$27,489,253         |
|            | None  |                    |   |        | \$0                  |
|            | FY 2001-02 Limit  |                    |   |        | \$27,489,253         |
| FY 2002-03 | BASE UPDATE   | 1.0785             | 0.9873                                  | 1.0648 | \$29,270,641         |
|            | Adjustments:  |                    | Revised for FY04-05                     |        |                      |
|            | None  |                    |   |        | \$0                  |
|            | FY 2002-03 Limit  |                    |   |        | \$29,270,641         |
| FY 2003-04 | BASE UPDATE   | 1.0645             | 1.0231                                  | 1.0891 | \$31,878,361         |
|            | Adjustments:  |                    | Revised for FY04-05                     |        |                      |
|            | County Booking Fees (Increase since 1992)               |                    |   |        | \$80,000             |
|            | County Tax Administration Fees (Increase since 2000)    |                    |   |        | \$66,000             |
|            | FY 2003-04 Limit  |                    |   |        | \$32,024,361         |
| FY 2004-05 | BASE UPDATE   | 1.0685             | 1.0328                                  | 1.1036 | \$35,341,704         |
|            | None  |                    |   |        | \$0                  |
|            | FY 2004-05 Limit  |                    |   |        | \$35,341,704         |
| FY 2005-06 | BASE UPDATE   | 1.0499             | 1.0526                                  | 1.1051 | \$39,056,991         |
|            | None  |                    |   |        | \$0                  |
|            | FY 2005-06 Limit  |                    |   |        | \$39,056,991         |
| FY 2006-07 | BASE UPDATE   | 1.0250             | 1.0396                                  | 1.0656 | \$41,618,739         |
|            | None  |                    |   |        | \$0                  |
|            | FY 2006-07 Limit  |                    |   |        | \$41,618,739         |
| FY 2007-08 | BASE UPDATE   | 1.0078             | 1.0442                                  | 1.0523 | \$43,797,262         |
|            | Adjustments:  |                    |   |        |                      |
|            | None  |                    |   |        | \$0                  |
|            | FY 2007-08 Limit  |                    |   |        | \$43,797,262         |

(Continued)

CITY OF TRACY  
 APPROPRIATIONS LIMIT

July 1, 2015

| Prop 111               | Adjustments      | City<br>Population | CA per Capita<br>Income | FACTOR | Updated<br>App Limit |
|------------------------|------------------|--------------------|-------------------------|--------|----------------------|
| FY 2008-09 BASE UPDATE |                  | 1.0054             | 1.0429                  | 1.0485 | \$45,922,816         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2008-09 Limit |                    |                         |        | \$45,922,816         |
| FY 2009-10 BASE UPDATE |                  | 1.0020             | 1.0062                  | 1.0082 | \$46,301,339         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2009-10 Limit |                    |                         |        | \$46,301,339         |
| FY 2010-11 BASE UPDATE |                  | 1.0163             | 0.9746                  | 0.9905 | \$45,860,827         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2010-11 Limit |                    |                         |        | \$45,860,827         |
| FY 2011-12 BASE UPDATE |                  | 1.0069             | 1.0251                  | 1.0322 | \$47,336,316         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2011-12 Limit |                    |                         |        | \$47,336,316         |
| FY 2012-13 BASE UPDATE |                  | 1.0079             | 1.0377                  | 1.0459 | \$49,508,950         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2012-13 Limit |                    |                         |        | \$49,508,950         |
| FY 2013-14 BASE UPDATE |                  | 1.0060             | 1.0512                  | 1.0575 | \$52,356,071         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2013-14 Limit |                    |                         |        | \$52,356,071         |
| FY 2014-15 BASE UPDATE |                  | 1.0081             | 0.9977                  | 1.0058 | \$52,658,761         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2014-15 Limit |                    |                         |        | \$52,658,761         |
| FY 2015-16 BASE UPDATE |                  | 1.0042             | 1.0382                  | 1.0426 | \$54,899,941         |
| Adjustments:           |                  |                    |                         |        |                      |
|                        | None             |                    |                         |        | \$0                  |
|                        | FY 2015-16 Limit |                    |                         |        | \$54,899,941         |

CITY OF TRACY  
 APPROPRIATIONS LIMIT

TAX  
 PROCEEDS

NON-TAX  
 PROCEEDS

Page 5

July 1, 2015

Determination for FY15-16

|                          | TAX PROCEEDS         | NON-TAX PROCEEDS     |
|--------------------------|----------------------|----------------------|
| TAXES                    | \$48,168,520         | \$4,300,000          |
| Special Assessments      |                      | \$52,820,360         |
| LIC, PERMIT & Franchises | \$0                  | \$4,760,500          |
| STATE SHARED REVENUES    | \$600,100            | \$1,800,320          |
| STATE GRANTS             |                      | \$541,820            |
| FEDERAL GRANTS           |                      | \$12,884,860         |
| COUNTY & OTHER GRANTS    |                      | \$6,533,300          |
| CHARGES/FEES             | \$0                  | \$67,316,960         |
| FINES                    |                      | \$828,000            |
| USE OF MONEY             | \$430,000            | \$383,800            |
| RENTS & CONCESSIONS      |                      | \$405,000            |
| OTHER REVENUES           |                      | \$1,763,200          |
| OTHER FINANCING SOURCES  |                      | \$21,112,000         |
| FUND Balance             |                      | \$0                  |
| <b>Sub-Totals</b>        | <b>\$49,198,620</b>  | <b>\$175,450,120</b> |
| <b>TOTAL Revenues</b>    | <b>\$224,648,740</b> |                      |

|  | Proceeds of Taxes   | Qualified Capital Outlays          |
|--|---------------------|------------------------------------|
| Less Exemptions                        |                     |                                    |
| Debt Service                           | \$1,204,000         | Impmts-11th & Old MacAr \$200,000  |
| Qualified Capital Outlays              | \$2,030,000         | Larch Road Reconstruct \$1,100,000 |
| Court Orders                           | \$0                 | Street Patch & Overlay \$530,000   |
| Federal Mandates                       | \$0                 | Sidewalks & St Lights \$200,000    |
| <b>Appropriations Subject to Limit</b> | <b>\$45,964,620</b> |                                    |
| <b>Appropriations Limit</b>            | <b>\$54,899,941</b> |                                    |
| <b>Amount under Limit</b>              | <b>\$8,935,321</b>  | <b>\$2,030,000</b>                 |
| <b>% of Limit Appropriated</b>         | <b>83.72%</b>       |                                    |

CITY OF TRACY COST  
ALLOCATION PLAN  
Based on FY 15-16 Adopted Budget

### **Purpose of the Plan**

The purpose of the City's cost allocation plan is to identify the total costs of providing City services. Why is a separate cost accounting analysis required to do this? Because in most organizations- whether in the private or public sector- the cost of producing goods or delivering services can be classified into two basic categories: direct and indirect costs.

### Direct and Indirect Costs

Direct costs are those costs necessary and related to providing a specific service to the public. While indirect costs are those that support the organization so that it can provide various services. Most budget and accounting systems record and accumulate cost data based on their organizational structure. So, what is direct or indirect is usually analyzed in terms of the organization structure. Operating units provide the direct services to the public. But, other units provide management and support services to the operating units. The costs of the operating units are direct costs; while the costs of the management and support units are indirect costs. Sometimes, these indirect costs are also referred to as overhead costs, central service costs, and/or internal services.

### Indirect Costs Accounting and Allocation

Indirect costs are usually recorded and accumulated for management and support units; and these are separate from the direct costs of operating units. So, to determine the total costs of providing services, the indirect costs must be allocated out or distributed back to the various operating units in the organization. The cost allocation plan is used to identify both the indirect and direct costs of an organization and then allocate the former back to the latter on the basis of benefit in a logical and uniform manner.

The first step in preparing a cost allocation plan is determining direct and indirect costs. To do this, the City's program structure for the operating budget can be used. In preparing the cost allocation plan, only operating costs are considered. Capital outlay and debt service costs are excluded from the calculations.

### Program Structure

The City of Tracy is organized into a number of departments, each providing services in a particular function area. Each City department is organized into a number of divisions or offices. Under the City's program budget, the City's operating budget is organized around programs, which focus upon a particular service provided by the City.

For budget purposes, each City department consists of a number of programs, which serve as the basic units of the City's operating budget. The arrangement of programs to build the City's operating budget is called the program structure. Thus, the City's program structure consists of departments, divisions, and programs. The various programs can be classified as: operating, support, or administration. The operating programs provide direct public services. The support programs provide services to other City programs. The administration programs manage, coordinate, and provide administrative support to other City programs.

### Citywide Cost Allocation

At the City wide level, the City of Tracy provided direct public services: police, fire, public works, parks, recreation, cultural arts, transit, airport, community facilities, economic development, planning, building, code enforcement, and engineering. These are the operating programs in the City budget and represent the first level of direct costs.

At the City wide level, the indirect costs consist of central services costs, department overhead costs, and internal service costs. These costs are allocated back to direct program operating costs, so that total program costs can be determined. The following tables provide a brief description of these cost elements. Also, provided are the factors used to allocate these costs back to City operating programs.

The city wide indirect costs are classified differently into 3 categories because of how they are budgeted and accounted for.

| Central Services Costs                  |  |   |
|---|--|---|
| Service/Program                         | Service/Program Description  | Allocation Factor   |
| City Clerk<br>Program 63102             | Prepares Council and CDA agendas and minutes, maintain official City and CDA records and documents, and provides general administrative support for the Mayor and the City Council.  | % of agenda items and workload generated by programs for the City Clerk   |
| City Attorney<br>Program 62101          | Provides legal counsel to the City Council, City Boards and Commissions, and City staff, prepare and/or review all City legal documents, prosecute municipal Code violations, and coordinate special counsel and City litigation | % of time spent by Attorney staff on program legal matters  |
| City Administration<br>Program 63101    | The City Manager manages City affairs supervising policy and program implementation, administration, and enforcement activities and provides policy and program development support to the City Council                          | % of time spent by CM staff on oversight of program affairs   |
| Human Resources<br>Program 65401        | Provides central personnel services for the City including compensation review, recruitment, examinations, transaction processing, employee development, and records maintenance.  | % of Full-Time equivalent employees in City departments and programs  |
| Finance<br>Programs 65703 through 65705 | Administers the City's financial system providing financial management, budget coordination, accounting, payroll, and disbursements.   | 50% of Full-Time equivalent employees in City departments and programs, and 50% of fiscal transactions and workload generated by programs |
| Revenue Collection<br>Program 65706     | Processing of all receipts received by the City, billing and collection for the City's utilities, business licenses, and miscellaneous receivables.  | % of staff time spent on different revenue sources  |
| Cash Management<br>Program 65702        | The City Treasurer manages the City's cash and investment portfolio and maintains banking relations  | Program costs are charged against pooled investment earnings prior to interest allocation to the various City funds                       |

Central services are citywide management and administrative support services overseeing or benefitting all City departments and programs.

Their costs are accumulated through the Central Administration Sub-Fund 125 of the City's General Fund. This sub-fund is then reimbursed by other City Fund's and other major sub-funds of the General Fund, including its main sub-fund. (See page B7 of the City's budget document to see the City's Fund Structure).

Department overhead consists of departmental management and administrative support services overseeing and/or benefitting all or most programs within a department. Their costs are accumulated in the General Fund. But then, the General Fund is reimbursed by other City Funds and other major sub-funds of the General Fund.

Internal services are citywide support services benefitting all or most other City programs. The services are usually tangible and direct but internal, within the City organization, as opposed to administrative or general in nature. Their costs and receipts are accounted for through internal service funds. (See page B10 of the City's budget document).

The reimbursements for central services and departmental overhead costs are budgeted annually based upon prior year costs adjusted for any changes in base factors in the programs. During the fiscal year, reimbursements are made quarterly. At year end, actual costs for operating and support programs are tallied, and the indirect cost allocations then recalculated. Afterward, adjustments are made to make actual reimbursements equal the recalculated costs.

These reimbursements are done on a fund or sub-fund level, not a program by program level. The central services reimbursements are accounted for through Program 69201. The department overhead reimbursements are done within each of the respective Department Administration Programs.

| Department Overhead Costs                        |  |  |
|--|--|--|
| Service/Program                                  | Service/Program Description  | Allocation Factor  |
| Administration<br>Programs: 23101 & 31101        | The costs of the Administrative programs for the Development Services and Public Works Departments are allocated among the various funds that support their respective operating programs.   | % of Full-Time Equivalent employees in programs to total for department  |
| Internal Service Costs                           |  |  |
| Service/Program                                  | Service/Program Description  | Allocation Factor  |
| Central Garage<br>Program 23301                  | Provides maintenance, repairs, and fuel for City owned vehicles and equipment.   | Costs are accumulated through work orders and fuel tickets for individual vehicles, which are assigned to operating programs.                  |
| Building Maintenance<br>Programs 23302 & 23303   | Provides maintenance, repairs, and custodial services for City buildings and facilities (except for the City's Water and Wastewater Plants). Also, the utilities costs for the City buildings are accumulated for allocation.  | Combination of space utilized by various programs and the amount of effort provided by custodial staff to different facilities                 |
| Risk Management<br>Programs 65402, 69401 & 69402 | <p>Worker's Compensation premiums and costs for worker's compensation cases</p> <p>Rates applied to different job classes based upon a schedule provided by the City's Risk Management Authority.</p> <p>Rates are applied to direct salary costs through the payroll system.</p> <p>General Liability premiums and costs for general liability claims.</p>  | Rates applied to programs based upon staffing level and weighted risk  |
| Information Systems<br>Program 65801             | Provides computer and telecommunications systems, hardware and general software for the City.  | Costs allocated among programs based upon # of phone lines and # of computers that they utilize  |
| Copier and Postage<br>Program 65701              | City Hall copier, postage meter, and mailroom services.  | Costs allocated based upon copier counter and the costs of outgoing postage.   |
| Equipment Replacement<br>Programs 69300          | Charges made for equipment replacement for portable and mobile equipment. These charges are placed in departmental savings accounts in a separate fund, accumulated, and then used to acquire replacements in the future. Separate Funds have been established for general equipment and for vehicles. For the Water, Wastewater, and Transit Funds, there is no separate placement. The charges are netted against each fund's respective depreciation charges. | Costs are allocated based on an inventory of equipment as assigned and utilized by the various programs and an analysis costs and useful life. |

Internal services are citywide support services benefitting all or most other City programs. The services are usually tangible and direct but internal, within the City organization, as opposed to administrative or general in nature. Their costs and receipts are accounted for through internal service funds. (See page B10 of the City's budget document).

Postage, copier, and central garage charges are based upon actual usage and charge rates. Postage and copier charges are made monthly, while charges for fuel and vehicle maintenance are made quarterly.

The other internal services costs are budgeted based on the factor analysis described above for each respective service. During the fiscal year, IS charges are made quarterly. Year-end adjustments may be made for utilities costs being lower than budgeted at year-end.

The Internal Services charges are done on a program by program basis and are recorded as program expenditures. On the receipt side, they are recorded as revenues in their respective internal service funds.

#### Cost Allocation Schedules

Attached on page H21 is a schedule showing the breakout of city costs and their allocation back to program costs.

Also, attached are spreadsheets, which show a calculation for FY15-16 for central services costs and department overhead costs based upon the adopted budget (Pages H22 to H26) and the distribution of internal service charges as budgeted for FY15-16 (Pages H27 to H30).

#### Work Unit Costs

In a large organization, an analysis of direct and indirect costs must be carried down through the organization. Direct services are usually provided by work units at the lowest level of the organization; but then, there are various levels of management and support above the direct units. The work units at the lowest level incur the direct costs, while the levels above incur indirect costs.

Within the work unit, the costs can also be divided into direct and indirect. Direct costs are the labor costs of each unit employee for the hours worked when they directly serve the public. If these costs were to be billed for, they are called "billable" hours. Other direct costs may include materials and contract work which can be directly associated with a specific project or work product.

Indirect costs are all the other work unit costs that cannot be directly associated with a specific project or work product. These would be all the "unbillable" hours for which the unit employees are paid. These hours would include holiday, sick, and vacation pay, as well as all work hours which are NOT associated with a specific project or work product. Also, there are supervisory, clerical, or support personnel who do may not bill their hours. In addition, there are all the other contract, service, and material costs, which support the total unit work effort. These costs might include: rent, utilities, training, equipment rental, and office/operating supplies.

So, total costs for a particular project or product would include not only the direct labor, contract, and materials costs of the work unit, but also an allocation of indirect costs of both the work unit itself and the various higher level management and support units in the larger organization. So, total costs would include the following:

- Salary & Benefits for Direct Labor Hours
- Costs for Direct Contracts and Materials
- Salary & Benefits for All Other Hours
- Salary & Benefits for All Other Unit Personnel
- Costs for All Other Unit Contracts and Materials
- Cost Allocations from Higher Level Units

The first two items can be accounted for directly, and therefore, are direct costs, and can be billed as such. But, the other items are indirect costs and must be allocated back to the direct costs proportionately as a cost allocation plan or method. These indirect costs are then added to the direct costs to obtain the total costs for a project or work product.

**CITY OF TRACY**  
**Cost Allocation based on**  
**FY15-16 Operating Budget**

07/01/15

**Step 1** At the Citywide level, break out Total City Costs based upon Adopted FY15-16 Operating Budget among External costs, Internal Services costs, and Central Services costs. In the City's budget document, see Page E3.

| <u>City Departments</u> | <u>FY15-16 Op Budget Total</u> | <u>External Costs</u>         | <u>Internal Services Costs</u> | <u>Central Services Costs</u> | <u>Internal Services Prgms</u>                     |
|-------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|--|
| City Council            | \$135,660                      | \$83,990                      | \$51,670                       |                               |  |
| City Clerk              | \$309,270                      | \$0                           |                                | \$309,270                     |  |
| City Manager            | \$1,575,310                    | \$585,980                     | \$44,910                       | \$944,420                     |  |
| City Attorney           | \$869,580                      | \$0                           |                                | \$869,580                     |  |
| ASD-Hum Res             | \$1,606,660                    | \$0                           |                                | \$972,750                     | Self-Insurance \$633,910                           |
| ASD-Finance             | \$3,555,640                    | \$577,800                     | \$16,980                       | \$2,856,250                   | Central Services \$104,610                         |
| ASD-Information Tech    | \$1,588,430                    |                               |                                |                               | Inform Systems \$1,588,430                         |
| Police                  | \$24,148,870                   | \$21,211,490                  | \$2,937,380                    |                               |  |
| Fire                    | \$15,083,290                   | \$13,544,940                  | \$1,538,350                    |                               |  |
| Public Works            | \$40,782,500                   | \$36,111,030                  | \$2,204,740                    |                               | Central Garage \$1,559,250                         |
| Utilities               | \$16,274,170                   | \$15,622,300                  | \$651,870                      |                               | Bldg Maint \$907,480                               |
| Development Services    | \$10,364,610                   | \$9,422,280                   | \$942,330                      |                               |  |
| Recreation & Cult Arts  | \$3,749,630                    | \$3,226,110                   | \$523,520                      |                               |  |
| Non-departmental Group  | \$7,433,800                    | \$990,000                     |                                |                               | Self-Insurance \$4,048,000<br>Eqpt Acq \$2,395,800 |
| <b>Totals</b>           | <b>\$127,477,420</b>           | <b>\$101,375,920</b><br>79.5% | <b>\$8,911,750</b><br>7.0%     | <b>\$5,952,270</b><br>4.7%    | <b>\$11,237,480</b><br>8.8%                        |

**Step 2** At the Citywide level, allocate Central Services costs and Internal Services costs back to City Departments providing Direct Services.

| <u>City Departments</u> | <u>Total - Dept Costs w Cent Serv Costs</u> | <u>External Costs</u> | <u>Internal Services Costs</u> | <u>% of Total IS Costs</u> | <u>Central Services Costs</u> | <u>% of Total CS Costs</u> |
|-------------------------|---|-----------------------|--------------------------------|----------------------------|-------------------------------|----------------------------|
| City Council            | \$161,920                                   | \$83,990              | \$51,670                       | 0.6%                       | \$26,260                      | 0.5%                       |
| City Manager            | \$730,160                                   | \$585,980             | \$44,910                       | 0.5%                       | \$99,270                      | 2.0%                       |
| Admin Services          | \$610,710                                   | \$577,800             | \$16,980                       | 0.2%                       | \$15,930                      | 0.3%                       |
| Police                  | \$25,034,670                                | \$21,211,490          | \$2,937,380                    | 33.0%                      | \$885,800                     | 18.2%                      |
| Fire                    | \$15,617,320                                | \$13,544,940          | \$1,538,350                    | 17.3%                      | \$534,030                     | 11.0%                      |
| Recreation & Cult Arts  | \$4,142,180                                 | \$3,226,110           | \$523,520                      | 5.9%                       | \$392,550                     | 8.1%                       |
| Development Services    | \$11,959,690                                | \$9,422,280           | \$942,330                      | 10.6%                      | \$1,595,080                   | 32.7%                      |
| Public Works            | \$39,249,020                                | \$36,111,030          | \$2,204,740                    | 24.7%                      | \$933,250                     | 19.1%                      |
| Utilities               | \$16,665,780                                | \$15,622,300          | \$651,870                      | 7.3%                       | \$391,610                     | 8.0%                       |
| Non-departmental Group  | \$990,000                                   | \$990,000             | \$0                            | 0.0%                       | \$0                           | 0.0%                       |
| <b>Total</b>            | <b>\$115,161,450</b>                        | <b>\$101,375,920</b>  | <b>\$8,911,750</b>             | <b>100.0%</b>              | <b>\$4,873,780</b>            | <b>100.0%</b>              |

NOTE: The difference between the \$127,477,420 total above and the \$115,146,800 total is due to the elimination of the double count of ISC charges. In the operating budget, these charges are shown as expenditures in both the Operating programs and the Support programs.

CITY OF TRACY  
 CENTRAL COSTS ALLOCATION  
 FY15-16 Adopted

**CENTRAL COSTS ALLOCATION**  
based upon FY15-16 Adopted Budget

| CENTRAL COSTS ALLOCATION<br>for FY15-16 |          |                  | City Clerk |                  | City Attorney |                  | City Administration |                  | Human Resources |                  | 50% of Finance |  |
|---|----------|------------------|------------|------------------|---------------|------------------|---------------------|------------------|-----------------|------------------|----------------|--|
|   |          |                  | \$309,270  |                  | \$869,580     |                  | \$944,420           |                  | \$972,750       |                  | \$682,405      |  |
| Program                                 | Fund     | % & \$ Allocated |            | % & \$ Allocated |               | % & \$ Allocated |                     | % & \$ Allocated |                 | % & \$ Allocated |                |  |
| 61101 City Council                      | Fund 101 | 1.0%             | \$3,093    | 1.0%             | \$8,696       | 1.0%             | \$9,444             | 0.2%             | \$2,093         | 0.2%             | \$1,468        |  |
| 62101 Legal Counsel                     | Fund 125 | 4.0%             | \$12,371   | xxx              | xxx           | 1.0%             | \$9,444             | 0.9%             | \$8,371         | 0.9%             | \$5,873        |  |
| 63101 City Administration               | Fund 125 | 5.0%             | \$15,464   | 3.0%             | \$26,087      | xxx              | xxx                 | 0.9%             | \$8,329         | 0.9%             | \$5,843        |  |
| 63102 City Clerk                        | Fund 125 | xxx              | xxx        | 3.0%             | \$26,087      | 2.0%             | \$18,888            | 0.4%             | \$4,186         | 0.4%             | \$2,936        |  |
| 63102 Elections                         | Fund 101 | 5.0%             | \$15,464   |                  |               | 0.0%             | \$0                 | 0.0%             | \$0             | 0.0%             | \$0            |  |
| 65401 Human Resources                   | Fund 125 | 4.0%             | \$12,371   | 1.0%             | \$8,696       | 5.0%             | \$47,221            | 0.7%             | \$6,592         | 0.7%             | \$4,625        |  |
| 65402 Risk Management                   | Fund 627 |                  |            | 3.5%             | \$30,435      | 2.0%             | \$18,888            | 0.7%             | \$7,011         | 0.7%             | \$4,918        |  |
| 65701 Central Services                  | Fund 602 |                  |            |                  |               |                  |                     | 0.0%             | \$356           | 0.0%             | \$250          |  |
| 65702 Cash Management                   | Fund 125 | 1.0%             | \$3,093    | 1.0%             | \$8,696       | 1.0%             | \$9,444             | 0.0%             | \$481           | 0.0%             | \$338          |  |
| 657xx Finance                           | Fund 125 | 4.0%             | \$12,371   | 2.4%             | \$20,870      | 5.0%             | \$47,221            | 1.5%             | \$14,670        | 1.5%             | \$10,292       |  |
| 65706 Revenue Collection                | Fund 101 |                  |            |                  |               |                  |                     | 0.0%             | \$21            | 0.0%             | \$15           |  |
| 65706 Revenue Collection                | Fund 125 |                  |            |                  |               | 0.0%             | \$0                 | 0.7%             | \$7,115         | 0.7%             | \$4,992        |  |
| 65706 Revenue Collection                | Fund 5xx |                  |            |                  |               | 0.5%             | \$4,722             | 1.6%             | \$15,340        | 1.6%             | \$10,761       |  |
| 65801 Information Systems               | Fund 602 |                  |            |                  |               | 1.0%             | \$9,444             | 1.7%             | \$16,742        | 1.7%             | \$11,745       |  |
| 8xxx - Debt Service                     | Fund 4xx |                  |            |                  |               |                  |                     | 0.0%             | \$105           | 0.0%             | \$73           |  |
|   |          | 24.0%            | \$74,225   | 14.9%            | \$129,567     | 18.5%            | \$174,718           | 9.4%             | \$91,413        | 9.4%             | \$64,128       |  |
| 21000- Police                           | Fund 101 | 5.0%             | \$15,464   | 6.6%             | \$57,392      | 12.0%            | \$113,330           | 28.5%            | \$277,002       | 28.5%            | \$194,323      |  |
| 21000 Police Grants                     | Fund 101 |                  |            |                  |               |                  |                     | 0.2%             | \$2,093         | 0.2%             | \$1,468        |  |
| 22000 - Fire                            | Fund 101 | 2.5%             | \$7,732    | 4.7%             | \$40,870      | 5.0%             | \$47,221            | 10.3%            | \$100,245       | 10.3%            | \$70,324       |  |
| 22000 - SCFA                            | Fund 211 | 1.5%             | \$4,639    | 0.3%             | \$2,609       | 1.5%             | \$14,166            | 6.8%             | \$66,551        | 6.8%             | \$46,687       |  |
| 63108 Community Promotion               | Fund 101 |                  |            |                  |               | 1.0%             | \$9,444             | 0.0%             | \$42            | 0.0%             | \$29           |  |
| 63105 Comm Access CTV                   | Fund 295 |                  |            | 0.3%             | \$2,609       | 2.4%             | \$22,666            | 0.4%             | \$4,186         | 0.4%             | \$2,936        |  |
| 63106 Mayor's Comm Youth                | Fund 101 | 0.5%             | \$1,546    | 0.1%             | \$870         | 1.0%             | \$9,444             | 0.2%             | \$2,093         | 0.2%             | \$1,468        |  |
| 31101 DS Admin                          | Fund 101 | 1.00%            | \$3,093    | 1.0%             | \$8,696       | 1.0%             | \$9,444             | 0.7%             | \$7,220         | 0.7%             | \$5,065        |  |
| 31200 Planning Division                 | Fund 116 | 16.5%            | \$51,030   | 18.6%            | \$161,742     | 11.0%            | \$103,886           | 1.3%             | \$12,557        | 1.3%             | \$8,809        |  |
| 31400 Building Division                 | Fund 111 | 3.0%             | \$9,278    | 8.0%             | \$69,566      | 2.0%             | \$18,888            | 2.2%             | \$21,765        | 2.2%             | \$15,269       |  |
| 31500 Code Enforcement                  | Fund 101 | 2.0%             | \$6,185    | 5.0%             | \$43,479      | 2.0%             | \$18,888            | 0.6%             | \$5,651         | 0.6%             | \$3,964        |  |
| 31600 Engineering Division              | Fund 112 | 27.0%            | \$83,503   | 18.0%            | \$156,524     | 14.0%            | \$132,219           | 3.7%             | \$35,787        | 3.7%             | \$25,105       |  |
| 31801 Economic Development              | Fund 101 | 2.0%             | \$6,185    | 1.0%             | \$8,696       | 3.5%             | \$33,055            | 0.6%             | \$6,278         | 0.6%             | \$4,404        |  |
| 31802 Redevelopment                     | Fund 381 |                  |            |                  |               |                  |                     |                  |                 |                  |                |  |
| 31804 Comm Devel Block Gt               | Fund 268 |                  |            |                  |               |                  |                     |                  |                 |                  |                |  |
| 31805 Downtown Promotion                | Fund 221 |                  |            |                  |               | 0.0%             | \$0                 |                  |                 |                  |                |  |
|   |          | 51.5%            | \$159,274  | 51.6%            | \$448,703     | 33.5%            | \$316,381           | 9.2%             | \$89,258        | 9.2%             | \$62,616       |  |

(Continued)

CITY OF TRACY  
CENTRAL COSTS ALLOCATION  
FY15-16 Adopted

**CENTRAL COSTS ALLOCATION**  
based upon FY15-16 Adopted Budget

Net of  
Treasurer's Fees

| CENTRAL COSTS ALLOCATION<br>for FY15-16 |          | 50% of Finance<br>\$682,405 |           | Revenue Coll<br>\$1,391,440 |           | Allocated<br>Totals<br>\$5,852,270 | GGA Overhead<br>Reallocated | Dept Overhead<br>Reallocated | Total \$<br>Allocated<br>\$5,852,270 |
|---|----------|-----------------------------|-----------|-----------------------------|-----------|------------------------------------|-----------------------------|------------------------------|--------------------------------------|
| Program                                 | Fund     | % & \$ Allocated            |           | % & \$ Allocated            |           |                                    |                             |                              |                                      |
| 61101 City Council                      | Fund 101 | 0.2%                        | \$1,468   |                             |           | \$26,262                           |                             |                              | \$26,262                             |
| 62101 Legal Counsel                     | Fund 125 | 0.9%                        | \$5,873   |                             |           | \$41,931                           | -\$41,931                   |                              | \$0                                  |
| 63101 City Administration               | Fund 125 | 0.9%                        | \$5,843   | 0.1%                        | \$1,391   | \$62,958                           | -\$62,958                   |                              | \$0                                  |
| 63102 City Clerk                        | Fund 125 | 0.4%                        | \$2,936   | 0.1%                        | \$1,391   | \$56,425                           | -\$56,425                   |                              | \$0                                  |
| 63102 Elections                         | Fund 101 | 0.0%                        | \$0       |                             |           | \$15,464                           |                             |                              | \$15,464                             |
| 65401 Human Resources                   | Fund 125 | 1.0%                        | \$6,824   |                             |           | \$86,329                           | -\$86,329                   |                              | \$0                                  |
| 65402 Risk Management                   | Fund 627 | 0.6%                        | \$4,094   | 0.5%                        | \$6,957   | \$72,304                           | -\$72,304                   |                              | \$0                                  |
| 65701 Central Services                  | Fund 602 | 0.1%                        | \$682     |                             |           | \$1,288                            | -\$1,288                    |                              | \$0                                  |
| 65702 Cash Management                   | Fund 125 | 0.1%                        | \$682     | 0.5%                        | \$6,957   | \$29,691                           | -\$29,691                   |                              | \$0                                  |
| 657xx Finance                           | Fund 125 | xxx                         | xxx       | 2.2%                        | \$30,612  | \$136,036                          | -\$136,036                  |                              | \$0                                  |
| 65706 Revenue Collection                | Fund 101 | 0.1%                        | \$15      | xxx                         | xxx       | \$51                               | -\$51                       |                              | \$0                                  |
| 65706 Revenue Collection                | Fund 125 | 1.0%                        | \$6,824   | xxx                         | xxx       | \$18,931                           | -\$18,931                   |                              | \$0                                  |
| 65706 Revenue Collection                | Fund 5xx | 1.6%                        | \$10,761  | xxx                         | xxx       | \$41,585                           | -\$41,585                   |                              | \$0                                  |
| 65801 Information Systems               | Fund 602 | 2.5%                        | \$17,060  |                             |           | \$54,992                           | -\$54,992                   |                              | \$0                                  |
| 8xxx - Debt Service                     | Fund 4xx | 1.3%                        | \$8,820   | 0.5%                        | \$6,957   | \$15,956                           |                             |                              | \$15,956                             |
|   |          | 10.6%                       | \$71,884  | 3.9%                        | \$54,266  | \$660,202                          | -\$602,521                  | 0.0%                         | \$0                                  |
| 21000- Police                           | Fund 101 | 15.2%                       | \$103,726 | 0.5%                        | \$6,957   | \$768,193                          | \$110,106                   | 337.7%                       | \$878,300                            |
| 21000 Police Grants                     | Fund 101 | 0.0%                        | \$0       | 0.2%                        | \$2,783   | \$6,344                            | \$909                       | 2.6%                         | \$7,253                              |
| 22000 - Fire                            | Fund 101 | 4.0%                        | \$27,296  | 0.2%                        | \$2,783   | \$296,471                          | \$42,494                    | 122.2%                       | \$338,964                            |
| 22000 - SCFA                            | Fund 211 | 5.0%                        | \$34,120  | 0.5%                        | \$6,957   | \$175,729                          | \$25,187                    | 81.1%                        | \$200,917                            |
| 63108 Community Promotion               | Fund 101 | 0.0%                        | \$29      |                             |           | \$9,545                            | \$1,368                     | 0.1%                         | \$10,913                             |
| 63105 Comm Access CTV                   | Fund 295 | 0.4%                        | \$2,936   | 0.7%                        | \$9,740   | \$45,073                           | \$6,460                     | 5.1%                         | \$51,533                             |
| 63106 Mayor's Comm Youth                | Fund 101 | 0.2%                        | \$1,468   | 0.1%                        | \$1,391   | \$18,281                           | \$2,620                     | 2.6%                         | \$20,901                             |
| 31101 DS Admin                          | Fund 101 | 0.5%                        | \$3,412   |                             |           | \$36,930                           | \$5,293.22                  | -\$42,223                    | \$0                                  |
| 31200 Planning Division                 | Fund 116 | 2.6%                        | \$17,743  | 2.6%                        | \$36,177  | \$391,943                          | \$56,177.74                 | 15.3%                        | \$454,584                            |
| 31400 Building Division                 | Fund 111 | 3.6%                        | \$24,567  | 4.0%                        | \$55,658  | \$214,991                          | \$30,815                    | 26.5%                        | \$257,008                            |
| 31500 Code Enforcement                  | Fund 101 | 1.0%                        | \$6,824   |                             |           | \$84,991                           | \$12,182                    | 6.9%                         | \$100,082                            |
| 31600 Engineering Division              | Fund 112 | 11.5%                       | \$78,477  | 5.0%                        | \$69,572  | \$581,187                          | \$83,302                    | 43.6%                        | \$682,908                            |
| 31801 Economic Development              | Fund 101 | 0.5%                        | \$3,412   |                             |           | \$62,031                           | \$8,890.94                  | 7.7%                         | \$74,153                             |
| 31802 Redevelopment                     | Fund 381 |                             | \$0       | 0.1%                        | \$1,391   | \$1,391                            | \$199                       | 0.0%                         | \$1,591                              |
| 31804 Comm Devel Block Gt               | Fund 268 | 1.0%                        | \$6,824   |                             |           | \$6,824                            | \$978                       | 0.0%                         | \$7,802                              |
| 31805 Downtown Promotion                | Fund 221 | 0.1%                        | \$682     | 0.1%                        | \$1,391   | \$2,074                            | \$297                       | 0.0%                         | \$2,371                              |
|   |          | 20.8%                       | \$141,940 | 11.8%                       | \$164,190 | \$1,382,362                        | \$198,136                   | 100.0%                       | \$0                                  |
|   |          |                             |           |                             |           |                                    |                             |                              | \$1,580,498                          |

(Continued)

CITY OF TRACY  
 CENTRAL COSTS ALLOCATION  
 FY15-16 Adopted

**CENTRAL COSTS ALLOCATION**  
based upon FY15-16 Adopted Budget

**CENTRAL COSTS ALLOCATION**  
for FY15-16

|                        |                        |          | <u>City Clerk</u>  |           | <u>City Attorney</u> |           | <u>City Administration</u> |           | <u>Human Resources</u> |           | <u>50% of Finance</u> |           |
|------------------------|------------------------|----------|--|-----------|----------------------|-----------|----------------------------|-----------|------------------------|-----------|-----------------------|-----------|
| Program                | Fund                   |          | % & \$ Allocated   |           | % & \$ Allocated     |           | % & \$ Allocated           |           | % & \$ Allocated       |           | % & \$ Allocated      |           |
| 23101                  | Public Works Admin     | Fund 101 | 1.0%   | \$3,093   | 1.0%                 | \$8,696   | 1.0%                       | \$9,444   | 1.1%                   | \$10,464  | 1.1%                  | \$7,341   |
| 23301                  | Central Garage         | Fund 601 |  |           |                      |           |                            | 0.9%      | \$9,104                | 0.9%      | \$6,386               |           |
| 23302                  | Bldg Maint & Custodial | Fund 615 |  |           |                      |           | 1.0%                       | \$9,444   | 1.2%                   | \$11,720  | 1.2%                  | \$8,222   |
| 23303                  | Community Facilities   | Fund 101 | 1.0%   | \$3,093   |                      |           |                            |           | 1.3%                   | \$12,347  | 1.3%                  | \$8,662   |
| 52400                  | Street Maint 23.6%     | Fund 101 |  |           | 2.0%                 | \$17,392  | 1.0%                       | \$9,444   | 0.2%                   | \$2,177   | 0.2%                  | \$1,527   |
| 23400                  | Street Maint 76.4%     | Fund 24x |  |           |                      |           |                            | 2.4%      | \$23,356               | 2.4%      | \$16,384              |           |
| 23403                  | Street Sweeping        | Fund 531 |  |           |                      |           |                            | 0.0%      | \$209                  | 0.0%      | \$147                 |           |
| 23407                  | Graffiti Removal       | Fund 101 |  |           |                      |           |                            | 0.1%      | \$1,151                | 0.1%      | \$807                 |           |
| 24700                  | Parks Maint            | Fund 101 |  |           | 3.0%                 | \$26,087  | 2.0%                       | \$18,888  | 3.6%                   | \$35,473  | 3.6%                  | \$24,885  |
| 23705                  | Landscape Districts    | Fund 101 |  |           |                      |           |                            | 0.1%      | \$586                  | 0.1%      | \$411                 |           |
| 23705                  | Landscape Districts    | Fund 24x |  |           |                      |           |                            | 0.0%      | \$314                  | 0.0%      | \$220                 |           |
| 23705                  | Landscape Districts    | Fund 271 | 2.0%   | \$6,185   | 2.0%                 | \$17,392  | 2.0%                       | \$18,888  | 2.0%                   | \$19,296  | 2.0%                  | \$13,536  |
| 23800                  | Sol Waste              | Fund 53x | 2.0%   | \$6,185   | 2.0%                 | \$17,392  | 2.0%                       | \$18,888  | 0.2%                   | \$2,093   | 0.2%                  | \$1,468   |
| 23503                  | Sewer Maintenance      | Fund 521 |  |           |                      | \$0       |                            |           | 0.4%                   | \$4,186   | 0.4%                  | \$2,936   |
| 23501                  | Water Maintenance      | Fund 511 |  |           |                      | \$0       |                            |           | 4.0%                   | \$39,031  | 4.0%                  | \$27,381  |
| 23504                  | Drainage               | Fund 541 | 0.5%   | \$1,546   | 0.5%                 | \$4,348   | 1.0%                       | \$9,444   | 0.6%                   | \$5,651   | 0.6%                  | \$3,964   |
| 23901                  | Transit Operations     | Fund 571 | 1.0%   | \$3,093   | 1.0%                 | \$8,696   | 1.0%                       | \$9,444   | 0.6%                   | \$5,818   | 0.6%                  | \$4,081   |
| 23902                  | Airport Operations     | Fund 561 | 1.0%   | \$3,093   | 1.0%                 | \$8,696   | 1.0%                       | \$9,444   | 0.4%                   | \$3,600   | 0.4%                  | \$2,525   |
|                        |                        |          | 8.5%   | \$26,288  | 12.5%                | \$108,698 | 12.0%                      | \$113,330 | 19.2%                  | \$186,572 | 19.2%                 | \$130,884 |
| 25205                  | Traffic Electric       | Fund 101 |  |           |                      |           |                            |           | 0.4%                   | \$4,290   | 0.4%                  | \$3,010   |
| 23206                  | Traffic Electric       | Fund 24x |  |           |                      |           |                            |           | 0.0%                   | \$0       | 0.0%                  | \$0       |
| 25000                  | Sewer Operations       | Fund 521 | 1.0%   | \$3,093   | 3.0%                 | \$26,087  | 2.0%                       | \$18,888  | 5.3%                   | \$51,692  | 5.3%                  | \$36,263  |
| 25000                  | Water Operations       | Fund 511 | 1.0%   | \$3,093   | 3.0%                 | \$26,087  | 2.0%                       | \$18,888  | 4.8%                   | \$46,251  | 4.8%                  | \$32,446  |
|                        |                        |          | 2.0%   | \$6,185   | 6.0%                 | \$52,175  | 4.0%                       | \$37,777  | 10.5%                  | \$102,233 | 10.5%                 | \$71,719  |
| 41101                  | Recreation Mgmt        | Fund 101 | 4.5%   | \$13,917  | 3.0%                 | \$26,087  | 2.0%                       | \$18,888  | 0.7%                   | \$7,283   | 0.7%                  | \$5,109   |
| 41103                  | Library                | Fund 101 | 0.0%   | \$0       | 0.0%                 | \$0       | 0.1%                       | \$944     | 0.0%                   | \$42      | 0.0%                  | \$29      |
| 41400                  | Recreation Div 52.0%   | Fund 101 | 0.0%   | \$0       | 0.0%                 | \$0       | 2.0%                       | \$18,888  | 1.2%                   | \$12,075  | 1.2%                  | \$8,471   |
| 41400                  | Recreation Div 48.0%   | Fund 113 | 0.0%   | \$0       | 0.0%                 | \$0       | 2.0%                       | \$18,888  | 1.3%                   | \$13,038  | 1.3%                  | \$9,146   |
| 41700                  | Cultural Arts          | Fund 101 | 0.0%   | \$0       | 0.0%                 | \$0       | 3.0%                       | \$28,333  | 1.9%                   | \$18,626  | 1.9%                  | \$13,066  |
|                        |                        |          | 4.5%   | \$13,917  | 3.0%                 | \$26,087  | 9.1%                       | \$85,942  | 5.2%                   | \$51,064  | 5.2%                  | \$35,823  |
| 7xxxx                  | Capital Projects       | Fund xxx | k effort for Capital Projects allocated to Planning Division & Engineering Divisions |           |                      |           |                            |           |                        |           |                       |           |
| 69xxx                  | Non Departmental       | Fund xxx | t of the \$ expended are Equipment Acquisition                                       |           |                      |           |                            |           |                        |           |                       |           |
| <b>Citywide Totals</b> |                        |          | 100.0%   | \$309,270 | 100.0%               | \$869,580 | 100.0%                     | \$944,420 | 100.0%                 | \$972,750 | 100.0%                | \$682,405 |

Target

CITY OF TRACY  
CENTRAL COSTS ALLOCATION  
FY15-16 Adopted

**CENTRAL COSTS ALLOCATION**  
based upon FY15-16 Adopted Budget

| CENTRAL COSTS ALLOCATION<br>for FY15-16 |          |                  | 50% of Finance |                  | Revenue Coll |             | Allocated<br>Totals | GGA Overhead<br>Reallocated | Dept Overhead<br>Reallocated | Total \$<br>Allocated |
|---|----------|------------------|----------------|------------------|--------------|-------------|---------------------|-----------------------------|------------------------------|-----------------------|
| Program                                 | Fund     | % & \$ Allocated |                | % & \$ Allocated |              |             |                     |                             |                              |                       |
| 23101 Public Works Admin                | Fund 101 | 0.1%             | \$682          | 0.2%             | \$2,783      | \$42,503    | \$6,092             |                             | -\$48,595                    | \$0                   |
| 23301 Central Garage                    | Fund 601 | 2.0%             | \$13,648       |                  |              | \$29,138    | \$4,176             | 5.2%                        | \$2,512                      | \$35,827              |
| 23302 Bldg Maint & Custodial            | Fund 615 | 1.5%             | \$10,236       |                  |              | \$39,621    | \$5,679             | 6.7%                        | \$3,234                      | \$48,534              |
| 23303 Community Facilities              | Fund 101 | 2.0%             | \$13,648       | 1.5%             | \$20,872     | \$58,622    | \$8,402             | 7.0%                        | \$3,407                      | \$70,431              |
| 52400 Street Maint 23.6%                | Fund 101 | 1.8%             | \$12,283       |                  |              | \$42,822    | \$6,137.80          | 1.2%                        | \$601                        | \$49,561              |
| 23400 Street Maint 76.4%                | Fund 24x | 3.5%             | \$23,543       |                  |              | \$63,283    | \$9,070             | 13.3%                       | \$6,445                      | \$78,798              |
| 23403 Street Sweeping                   | Fund 531 | 0.1%             | \$682          |                  |              | \$1,038     | \$149               | 0.1%                        | \$58                         | \$1,245               |
| 23407 Graffiti Removal                  | Fund 101 | 0.1%             | \$682          |                  |              | \$2,641     | \$379               | 0.7%                        | \$318                        | \$3,337               |
| 24700 Parks Maint                       | Fund 101 | 3.0%             | \$20,472       |                  |              | \$125,806   | \$18,032            | 20.1%                       | \$9,788                      | \$153,626             |
| 23705 Landscape Districts               | Fund 101 | 0.2%             | \$1,365        |                  |              | \$2,362     | \$339               | 0.3%                        | \$162                        | \$2,862               |
| 23705 Landscape Districts               | Fund 24x | 0.1%             | \$682          |                  |              | \$1,217     | \$174               | 0.2%                        | \$87                         | \$1,478               |
| 23705 Landscape Districts               | Fund 271 | 1.4%             | \$9,554        | 4.0%             | \$55,658     | \$84,851    | \$12,162            | 11.0%                       | \$5,324                      | \$102,337             |
| 23800 Sol Waste                         | Fund 53x | 1.5%             | \$10,236       | 20.0%            | \$278,288    | \$56,262    | \$8,064             | 1.2%                        | \$577                        | \$64,904 *1           |
| 23503 Sewer Maintenance                 | Fund 521 | 1.0%             | \$6,824        |                  |              | \$13,946    | \$1,999             | 2.4%                        | \$1,155                      | \$17,100 *1           |
| 23501 Water Maintenance                 | Fund 511 | 2.4%             | \$16,378       |                  |              | \$82,789    | \$11,866            | 22.2%                       | \$10,770                     | \$105,425 *1          |
| 23504 Drainage                          | Fund 541 | 1.4%             | \$9,554        | 2.0%             | \$27,829     | \$34,507    | \$4,945.88          | 3.2%                        | \$1,559                      | \$41,012 *1           |
| 23901 Transit Operations                | Fund 571 | 2.0%             | \$13,648       | 1.0%             | \$13,914     | \$58,695    | \$8,412.77          | 3.3%                        | \$1,605                      | \$68,713              |
| 23902 Airport Operations                | Fund 561 | 1.0%             | \$6,824        | 3.0%             | \$41,743     | \$75,925    | \$10,882.39         | 2.0%                        | \$993                        | \$87,800              |
|   |          | 25.1%            | \$170,942      | 31.7%            | \$441,086    | \$816,027   | \$116,962           | 100.0%                      | \$0                          | \$932,989             |
| 25205 Traffic Electric                  | Fund 101 | 0.1%             | \$682          |                  |              | \$7,982     | \$1,144             |                             |                              | \$9,126               |
| 23206 Traffic Electric                  | Fund 24x | 0.3%             | \$2,047        |                  |              | \$2,047     | \$293               |                             |                              | \$2,341               |
| 25000 Sewer Operations                  | Fund 521 | 5.0%             | \$34,120       | 15.0%            | \$208,716    | \$170,144   | \$24,387            |                             |                              | \$194,531 *1          |
| 25000 Water Operations                  | Fund 511 | 5.2%             | \$35,485       | 30.0%            | \$417,432    | \$162,250   | \$23,255.52         |                             |                              | \$185,506 *1          |
|   |          | 10.6%            | \$72,335       | 45.0%            | \$626,148    | \$342,423   | \$49,080            | 0.0%                        | \$0                          |                       |
| 41101 Recreation Mgmt                   | Fund 101 | 2.0%             | \$13,648       | 0.4%             | \$5,566      | \$90,499    | \$12,971            |                             | -\$103,470                   | \$0                   |
| 41103 Library                           | Fund 101 | 0.1%             | \$682          |                  |              | \$1,698     | \$243               | 0.1%                        | \$99                         | \$2,040               |
| 41400 Recreation Div 52.0%              | Fund 101 | 1.5%             | \$10,236       |                  |              | \$49,671    | \$7,119             | 27.6%                       | \$28,538                     | \$85,329              |
| 41400 Recreation Div 48.0%              | Fund 113 | 1.5%             | \$10,236       | 2.5%             | \$34,786     | \$86,095    | \$12,340            | 29.8%                       | \$30,814                     | \$129,249             |
| 41700 Cultural Arts                     | Fund 101 | 3.0%             | \$20,472       | 2.5%             | \$34,786     | \$115,283   | \$16,524            | 42.5%                       | \$44,019                     | \$175,826             |
|   |          | 8.1%             | \$55,275       | 5.4%             | \$75,138     | \$343,246   | \$49,198            | 100.0%                      | \$0                          | \$392,444             |
| 7xxxx Capital Projects                  | Fund xxx |                  |                |                  |              |             |                     |                             |                              |                       |
| 69xxx Non Departmental                  | Fund xxx |                  |                |                  |              |             |                     |                             |                              |                       |
| <b>Citywide Totals</b>                  |          | 100.0%           | \$681,953      | 100.0%           | \$1,391,440  | \$4,863,895 | \$0                 | \$0                         |                              | \$4,472,392           |
| <b>Target</b>                           |          |                  |                |                  | \$5,851,818  |             |                     |                             |                              |                       |

\*1 - Revenue Collection allocation

|   |
|---|
| CITY OF TRACY<br>OVERHEAD COSTS ALLOCATION<br>FY15-16 Adopted |
|---|

**DEPARTMENTAL OVERHEAD ALLO**  
based upon FY15-16 Adopted Budget

**DEPARTMENTAL OVERHEAD ALLO**  
for FY15-16

| Program                       | Fund     | %<br>Allocated | \$ Amount<br>Allocated |                            |
|-------------------------------|----------|----------------|------------------------|----------------------------|
| 5855 - Enterprise Rev Coll    | Fund 125 |                |                        | From Page 1 above          |
| Landscape Districts           | Fund 271 |                | \$55,658               |                            |
| 53wt - Water Operations       | Fund 511 |                | \$417,432              | \$987,922                  |
| 53sw - Sewer Operations       | Fund 521 |                | \$208,716              |                            |
| 5553 - Sol Waste              | Fund 531 |                | \$278,288              |                            |
| 53dr - Drainage               | Fund 541 |                | \$27,829               |                            |
|                               |          |                | <u>\$987,922</u>       |                            |
| 5611 - DES Admin              | Fund 101 |                |                        |                            |
| 562x - Planning Division      | Fund 116 | 20.0%          | \$105,886              |                            |
| 564x - Building Division      | Fund 111 | 20.0%          | \$105,886              |                            |
| 5631 - Redevelopment          | Fund 381 | 5.0%           | \$26,472               |                            |
| 5632 - Housing                | Fund 281 |                | \$0                    |                            |
| 5643 - Code Enforcement       | Fund 101 | 10.0%          | \$52,943               |                            |
| 563x - Economic Development   | Fund 2xx | 5.0%           | \$26,472               |                            |
| 532x - Engineering Division   | Fund 112 | 40.0%          | \$211,772              |                            |
|                               |          | <u>100.0%</u>  | <u>\$529,430</u>       |                            |
| 5311 - Public Works Admin     | Fund 101 |                |                        |                            |
| 5343 - Street Sweeping        | Fund 531 | 0.1%           | \$941                  | 0.1%                       |
| 5513 - Community Facilities   | Fund 101 | 7.4%           | \$55,548               | 7.4%                       |
| 5340 - Street Maintenance     | Fund xxx | 16.0%          | \$120,040              | 16.0%                      |
| 537x - Parks Maintenance      | Fund 101 | 21.3%          | \$159,583              | 21.3%                      |
| 5375 - Landscape Districts    | Fund 271 | 12.1%          | \$90,854               | 12.1%                      |
| 538x - Sol Waste              | Fund 53x | 1.3%           | \$9,415                | 1.3%                       |
| 535w - Water Maintenance      | Fund 511 | 23.4%          | \$175,589              | Maintenance Div only 23.4% |
| 535s - Sewer Maintenance      | Fund 521 | 2.5%           | \$18,830               | Maintenance Div only 2.5%  |
| 53dr - Drainage               | Fund 541 | 3.4%           | \$25,420               | 3.4%                       |
| 5331 - Central Garage         | Fund 601 | 5.5%           | \$40,955               | 5.5%                       |
| 533x - Bldg Maint & Custodial | Fund 615 | 7.0%           | \$52,724               | 7.0%                       |
|                               |          | <u>100.0%</u>  | <u>\$749,900</u>       | <u>100.0%</u>              |

City of Tracy  
Internal Service Charges  
FY15-16

FY15-16 Adopted Budget

01-Jul-15

Page 1

PROGRAMS

|                                       | Worker's<br>Comp<br>"188" | Systems<br>Charges<br>"219" | Vehicle<br>Op & Maint<br>"229" | Vehicle<br>Fuel<br>"338" | Bldg<br>Maintenance<br>"259" | Gen Eqpt<br>Charges<br>"269" | Vehicle<br>Charges<br>"26V" | General<br>Insurance<br>"279" | Total     |             |
|---------------------------------------|---------------------------|-----------------------------|--------------------------------|--------------------------|------------------------------|------------------------------|-----------------------------|-------------------------------|-----------|-------------|
| <b>Police Department</b>              |                           |                             |                                |                          |                              |                              |                             |                               |           |             |
| 21101 - Police Administration         | \$13,000                  | \$24,480                    | \$7,380                        | \$6,200                  | \$15,950                     | \$4,400                      | \$4,060                     | \$15,740                      | \$91,210  |             |
| 21201 - Patrol Force                  | 484,500                   | 108,400                     | 190,200                        | 223,990                  | 130,930                      | 142,180                      | 177,440                     | 167,030                       | 1,624,670 |             |
| 21202 - Traffic Enforcement           | 42,900                    | 5,230                       | 13,440                         | 660                      | 11,090                       | 7,090                        | 11,400                      | 9,470                         | 101,280   |             |
| 21203 - Parking Enforcement           | 6,800                     | 3,440                       | 3,470                          | 4,400                    | 3,160                        | 1,690                        | 2,420                       | 4,490                         | 29,870    |             |
| 21204 - Police Reserves               | 320                       | 0                           | 0                              | 0                        | 0                            | 1,000                        | 0                           | 1,000                         | 2,320     |             |
| 21206 - Animal Control                | 20,700                    | 24,360                      | 6,680                          | 7,890                    | 17,700                       | 6,300                        | 4,100                       | 7,210                         | 94,940    |             |
| 21207 - Youth Services                | 30,300                    | 10,720                      | 1,500                          | 1,000                    | 5,950                        | 3,390                        | 2,640                       | 9,640                         | 65,140    |             |
| 21208 - Crime Prevention              | 24,000                    | 11,370                      | 10,320                         | 7,040                    | 7,550                        | 3,930                        | 5,320                       | 11,150                        | 80,680    |             |
| 21301 - General Investigations        | 93,100                    | 35,750                      | 13,650                         | 17,520                   | 37,200                       | 18,550                       | 29,800                      | 21,880                        | 267,450   |             |
| 21303 - Special Investigations        | 50,800                    | 36,540                      | 2,660                          | 10,220                   | 21,000                       | 14,980                       | 14,140                      | 11,420                        | 161,760   |             |
| 21304 - Forensic Services             | 30,600                    | 21,920                      | 3,310                          | 3,330                    | 21,770                       | 8,420                        | 4,280                       | 8,720                         | 102,350   |             |
| 21401 - Police Records                | 16,300                    | 124,760                     | 0                              | 0                        | 45,980                       | 27,900                       | 0                           | 14,980                        | 229,920   |             |
| 21406 - Communications                | 87,800                    | 138,090                     | 1,000                          | 240                      | 53,020                       | 55,570                       | 0                           | 32,560                        | 368,280   |             |
| Dept Total                            | 12 Programs               | \$901,120                   | \$545,060                      | \$253,610                | \$282,490                    | \$371,300                    | \$295,400                   | \$255,600                     | \$315,290 | \$3,219,870 |
| <b>Fire Department</b>                |                           |                             |                                |                          |                              |                              |                             |                               |           |             |
| 22101 - Fire Administration           | \$9,400                   | \$19,570                    | \$12,500                       | \$8,760                  | \$14,190                     | \$4,660                      | \$12,080                    | \$6,970                       | \$88,130  |             |
| 22105 - Fire Prevention & Education   | 12,600                    | 17,490                      | 2,320                          | 11,240                   | 21,470                       | 4,980                        | 12,000                      | 8,860                         | 90,960    |             |
| 22201 - Fire Operations               | 569,300                   | 168,950                     | 44,800                         | 21,300                   | 113,260                      | 148,820                      | 204,000                     | 104,290                       | 1,374,720 |             |
| 22205 - Fire Training & Safety        | 3,000                     | 5,460                       | 1,490                          | 950                      | 6,970                        | 3,440                        | 3,160                       | 2,320                         | 26,790    |             |
| Dept Total                            | 4 Programs                | \$594,300                   | \$211,470                      | \$61,110                 | \$42,250                     | \$155,890                    | \$161,900                   | \$231,240                     | \$122,440 | \$1,580,600 |
| <b>Public Works Department</b>        |                           |                             |                                |                          |                              |                              |                             |                               |           |             |
| 23101 - Public Works Administration   | \$12,500                  | \$36,310                    | \$9,860                        | \$2,000                  | \$14,370                     | \$8,160                      | \$4,500                     | \$8,460                       | \$96,160  |             |
| 23301 - Central Garage                | 20,500                    | 9,300                       | 14,000                         | 0                        | 22,150                       | 9,620                        | 1,600                       | 11,170                        | 88,340    |             |
| 23302 - Building Maintenance          | 19,700                    | 4,950                       | 7,990                          | 5,520                    | 8,890                        | 5,230                        | 8,720                       | 7,330                         | 68,330    |             |
| 23303 - Custodial Maintenance         | 900                       | 0                           | 0                              | 800                      | 0                            | 2,390                        | 0                           | 8,200                         | 12,290    |             |
| 23401 - Roadway Maintenance           | 28,000                    | 10,280                      | 50,000                         | 25,970                   | 8,410                        | 8,920                        | 67,400                      | 14,580                        | 213,560   |             |
| 23402 - Sidewalk & Median Maintenance | 13,400                    | 7,330                       | 24,000                         | 11,000                   | 6,100                        | 7,600                        | 24,900                      | 16,690                        | 111,020   |             |
| 23400 - Street Sweeping               | 500                       | 0                           | 0                              | 0                        | 280                          | 0                            | 0                           | 3,060                         | 3,840     |             |
| 23404 - Traffic Maintenance           | 10,100                    | 8,830                       | 8,370                          | 6,000                    | 5,220                        | 4,170                        | 9,400                       | 8,810                         | 60,900    |             |
| 23406 - Street Tree Maintenance       | 2,000                     | 7,960                       | 12,500                         | 1,850                    | 490                          | 6,000                        | 7,600                       | 12,190                        | 50,590    |             |
| 23407 - Graffiti Removal              | 2,400                     | 2,500                       | 4,540                          | 6,870                    | 1,300                        | 6,850                        | 4,600                       | 780                           | 29,840    |             |
| 23501 - Water Distribution            | 29,000                    | 17,320                      | 73,660                         | 55,700                   | 12,820                       | 9,460                        | 38,300                      | 16,450                        | 252,710   |             |
| 23502 - Water Meters                  | 35,500                    | 12,890                      | 23,050                         | 18,910                   | 15,890                       | 10,960                       | 12,200                      | 20,520                        | 149,920   |             |
| 23503 - Wastewater Collection         | 10,500                    | 12,040                      | 6,000                          | 5,480                    | 3,640                        | 13,890                       | 39,100                      | 14,850                        | 105,500   |             |
| 23504 - Storm Drain Maintenance       | 9,700                     | 19,820                      | 4,000                          | 3,300                    | 2,710                        | 3,340                        | 16,200                      | 5,870                         | 64,940    |             |
| 23701 - Parks Maintenance             | 55,300                    | 28,930                      | 111,500                        | 72,100                   | 39,530                       | 19,540                       | 55,000                      | 29,310                        | 411,210   |             |
| 23702 - Sportsfield Maintenance       | 9,800                     | 2,690                       | 12,500                         | 80                       | 19,070                       | 5,280                        | 14,000                      | 3,790                         | 67,210    |             |
| 23705 - Landscaping Districts         | 30,100                    | 39,830                      | 16,500                         | 19,700                   | 8,730                        | 7,450                        | 25,300                      | 16,460                        | 164,070   |             |
| 23708 - Community Facilities          | 7,000                     | 13,870                      | 7,600                          | 1,500                    | 79,810                       | 5,050                        | 4,800                       | 5,400                         | 125,030   |             |
| 23801 - SW Collection & Disposal      | 100                       | 3,290                       | 700                            | 200                      | 1,010                        | 2,190                        | 0                           | 790                           | 8,280     |             |
| 23802 - SW Recycling                  | 2,200                     | 4,140                       | 2,580                          | 340                      | 4,970                        | 2,160                        | 2,300                       | 1,370                         | 20,060    |             |
| 23901 - Transit Operations            | 6,700                     | 14,490                      | 1,390                          | 78,100                   | 36,400                       | 65,600                       | 179,000                     | 19,980                        | 401,660   |             |
| 23902 - Airport Operations            | 4,800                     | 10,760                      | 9,020                          | 4,500                    | 2,270                        | 4,700                        | 9,700                       | 14,550                        | 60,300    |             |
| Dept Total                            | 23 Programs               | \$310,700                   | \$267,530                      | \$399,760                | \$319,920                    | \$294,060                    | \$208,560                   | \$524,620                     | \$240,610 | \$2,565,760 |

City of Tracy  
Internal Service Charges  
FY15-16

FY15-16 Base Budget

01-Jul-15

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PROGRAMS

|  | Worker's<br>Comp<br>"188" | Systems<br>Charges<br>"219" | Vehicle<br>Op & Maint<br>"229" | Vehicle<br>Fuel<br>"339" | Bldg<br>Maintenance<br>"259" | Gen Eqpt<br>Charges<br>"269" | Vehicle<br>Charges<br>"26V" | General<br>Insurance<br>"279" | Total            |                  |
|--|---------------------------|-----------------------------|--------------------------------|--------------------------|------------------------------|------------------------------|-----------------------------|-------------------------------|------------------|------------------|
| <b>Utilities Department</b>            |                           |                             |                                |                          |                              |                              |                             |                               |                  |                  |
| 25101 - Utilities Management           | \$7,600                   | \$7,720                     | \$2,500                        | \$200                    | \$9,540                      | \$4,100                      | \$0                         | \$2,840                       | \$34,500         |                  |
| 25105 - Water Management               | 2,200                     | 5,880                       | 2,500                          | 1,260                    | 3,320                        | 1,880                        | 1,700                       | 1,500                         | 20,240           |                  |
| 25201 - WW Lift Stations               | 1,800                     | 3,540                       | 470                            | 0                        | 0                            | 2,880                        | 4,500                       | 1,010                         | 14,200           |                  |
| 25202 - Water Wells & Pumping          | 5,400                     | 3,540                       | 9,020                          | 12,500                   | 0                            | 2,260                        | 4,500                       | 2,490                         | 39,710           |                  |
| 25203 - WWT Plant Maintenance          | 38,600                    | 9,410                       | 21,880                         | 11,500                   | 1,080                        | 13,400                       | 21,500                      | 12,070                        | 129,440          |                  |
| 25204 - Water Plant Maintenance        | 28,400                    | 8,520                       | 1,450                          | 340                      | 500                          | 4,050                        | 10,200                      | 1,900                         | 55,360           |                  |
| 25205 - Electrical Maintenance         | 8,900                     | 6,620                       | 27,700                         | 11,300                   | 1,900                        | 4,840                        | 15,200                      | 7,680                         | 84,140           |                  |
| 25206 - Traffic Electric               | 0                         | 5,610                       | 0                              | 0                        | 0                            | 0                            | 0                           | 1,840                         | 7,450            |                  |
| 25301 - WWT Plant Operations           | 52,100                    | 41,370                      | 5,850                          | 2,500                    | 1,080                        | 5,700                        | 3,700                       | 19,090                        | 131,390          |                  |
| 511-25401 - Utilities Laboratory       | 8,600                     | 7,640                       | 4,000                          | 1,800                    | 420                          | 2,550                        | 11,800                      | 2,970                         | 39,780           |                  |
| 521-25402 - Utilities Laboratory       | 18,300                    | 8,270                       | 2,500                          | 2,000                    | 570                          | 11,240                       | 4,200                       | 6,860                         | 53,940           |                  |
| 25501 - Water Plant Operations         | 50,100                    | 9,410                       | 1,500                          | 1,730                    | 1,080                        | 3,440                        | 3,400                       | 16,190                        | 86,850           |                  |
| <b>Dept Total</b>                      | <b>10 Programs</b>        | <b>\$222,000</b>            | <b>\$117,530</b>               | <b>\$79,370</b>          | <b>\$45,130</b>              | <b>\$19,490</b>              | <b>\$56,340</b>             | <b>\$80,700</b>               | <b>\$76,440</b>  | <b>\$697,000</b> |
| <b>Development Services Department</b> |                           |                             |                                |                          |                              |                              |                             |                               |                  |                  |
| 31101 - DS Administration              | \$6,000                   | \$12,600                    | \$1,000                        | \$460                    | \$4,450                      | \$2,630                      | \$2,700                     | \$3,590                       | \$33,430         |                  |
| 31201 - Planning Services              | 17,400                    | 49,820                      | 320                            | 0                        | 20,840                       | 9,210                        | 0                           | 25,500                        | 123,090          |                  |
| 31401 - Building Plans Checking        | 18,500                    | 37,540                      | 1,570                          | 1,000                    | 19,280                       | 11,140                       | 1,100                       | 11,990                        | 102,120          |                  |
| 31402 - Building Inspections           | 23,400                    | 43,350                      | 11,430                         | 4,540                    | 6,550                        | 3,740                        | 6,900                       | 23,470                        | 123,380          |                  |
| 31501 - Code Enforcement               | 11,500                    | 18,530                      | 5,000                          | 5,200                    | 7,360                        | 2,340                        | 4,300                       | 16,650                        | 70,880           |                  |
| 31601 - Engineering Project Review     | 10,200                    | 46,230                      | 2,000                          | 1,010                    | 18,440                       | 8,580                        | 1,300                       | 10,560                        | 98,320           |                  |
| 31602 - Capital Project Design         | 9,100                     | 50,160                      | 1,500                          | 1,000                    | 21,970                       | 8,800                        | 4,800                       | 32,560                        | 129,890          |                  |
| 31603 - Utilities Engineering          | 1,600                     | 49,140                      | 610                            | 0                        | 4,230                        | 2,160                        | 0                           | 2,920                         | 60,660           |                  |
| 31604 - Traffic Engineering            | 7,000                     | 30,940                      | 330                            | 0                        | 6,620                        | 5,640                        | 1,000                       | 9,650                         | 61,180           |                  |
| 31605 - Construction Management        | 8,310                     | 56,490                      | 9,000                          | 7,210                    | 21,150                       | 7,330                        | 7,300                       | 18,710                        | 135,500          |                  |
| 31801 - Economic Development           | 4,300                     | 8,620                       | 0                              | 0                        | 4,360                        | 3,030                        | 0                           | 3,990                         | 24,300           |                  |
| <b>Dept Total</b>                      | <b>11 Programs</b>        | <b>\$117,310</b>            | <b>\$403,420</b>               | <b>\$32,760</b>          | <b>\$20,420</b>              | <b>\$135,250</b>             | <b>\$64,600</b>             | <b>\$29,400</b>               | <b>\$159,590</b> | <b>\$962,750</b> |

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|--|---------------------------|-----------------------------|--------------------------------|--------------------------|------------------------------|------------------------------|-----------------------------|-------------------------------|--------------------|---------------------|
| <b>General Government Agencies</b>             |                           |                             |                                |                          |                              |                              |                             |                               |                    |                     |
| 61101 - Legislation & Policy                   | \$0                       | \$17,070                    | \$0                            | \$0                      | \$20,580                     | \$10,380                     | \$0                         | \$3,640                       | \$51,670           |                     |
| 62101 - Legal Counsel                          | 12,500                    | 19,360                      | 0                              | 0                        | 17,730                       | 4,680                        | 0                           | 2,430                         | 56,700             |                     |
| 63101 - City Administration                    | 12,400                    | 34,330                      | 0                              | 0                        | 12,050                       | 10,290                       | 0                           | 11,800                        | 80,870             |                     |
| 63102 - City Clerk                             | 4,200                     | 12,130                      | 0                              | 0                        | 8,460                        | 7,320                        | 0                           | 3,600                         | 35,710             |                     |
| 63106 - Educ/Govt CTV                          | 1,600                     | 9,300                       | 0                              | 0                        | 11,540                       | 6,800                        | 0                           | 220                           | 29,460             |                     |
| 63108 - MCYSN CMO                              | 2,900                     | 7,670                       | 0                              | 0                        | 4,880                        | 2,030                        | 0                           | 2,470                         | 19,950             |                     |
| <b>Dept Total</b>                              | <b>6 Programs</b>         | <b>\$33,600</b>             | <b>\$99,860</b>                | <b>\$0</b>               | <b>\$0</b>                   | <b>\$75,240</b>              | <b>\$41,500</b>             | <b>\$0</b>                    | <b>\$24,160</b>    | <b>\$274,360</b>    |
| <b>Recreation &amp; Cultural Arts Division</b> |                           |                             |                                |                          |                              |                              |                             |                               |                    |                     |
| 41101 - Recreation Management                  | \$8,150                   | \$25,610                    | \$4,800                        | \$3,400                  | \$1,470                      | \$2,440                      | \$4,800                     | \$1,760                       | \$52,430           |                     |
| 41103 - Library                                | 0                         | 2,510                       | 0                              | 0                        | 40,900                       | 2,500                        | 0                           | 6,900                         | 52,810             |                     |
| 41401 - Special Interest Classes               | 1,200                     | 9,520                       | 0                              | 0                        | 3,530                        | 3,050                        | 0                           | 12,890                        | 30,190             |                     |
| 41402 - Aquatics/Community Pool                | 1,020                     | 5,300                       | 0                              | 500                      | 7,720                        | 2,720                        | 0                           | 17,460                        | 34,720             |                     |
| 41403 - Athletics                              | 1,300                     | 6,630                       | 0                              | 1,400                    | 1,000                        | 2,890                        | 0                           | 12,170                        | 25,390             |                     |
| 41404 - Youth Development                      | 3,500                     | 8,920                       | 0                              | 0                        | 1,410                        | 9,030                        | 0                           | 14,660                        | 37,520             |                     |
| 41405 - Senior Citizens                        | 2,900                     | 16,860                      | 0                              | 0                        | 25,570                       | 2,190                        | 0                           | 14,330                        | 61,850             |                     |
| 41406 - MCYSN Recreation                       | 300                       | 3,640                       | 1,200                          | 0                        | 1,530                        | 1,230                        | 0                           | 3,060                         | 10,960             |                     |
| 41408 - Community Events                       | 1,100                     | 4,050                       | 0                              | 0                        | 3,810                        | 410                          | 0                           | 4,040                         | 13,410             |                     |
| 41409 - Teen Recreation                        | 1,200                     | 4,850                       | 0                              | 0                        | 2,380                        | 1,220                        | 0                           | 6,430                         | 16,080             |                     |
| 41701 - Cultural Arts                          | 3,900                     | 21,010                      | 0                              | 0                        | 11,390                       | 27,580                       | 0                           | 16,280                        | 80,160             |                     |
| 41702 - Arts Education                         | 5,400                     | 0                           | 0                              | 0                        | 33,000                       | 0                            | 0                           | 0                             | 38,400             |                     |
| 41703 - Gallery                                | 1,800                     | 0                           | 0                              | 0                        | 20,000                       | 0                            | 0                           | 0                             | 21,800             |                     |
| 41704 - Theatre Presentations                  | 1,200                     | 0                           | 0                              | 0                        | 20,000                       | 0                            | 0                           | 0                             | 21,200             |                     |
| 41705 - Theatre Rentals                        | 1,900                     | 0                           | 0                              | 0                        | 30,000                       | 0                            | 0                           | 0                             | 31,900             |                     |
| <b>Dept Total</b>                              | <b>16 Programs</b>        | <b>\$34,870</b>             | <b>\$108,900</b>               | <b>\$6,000</b>           | <b>\$5,300</b>               | <b>\$203,710</b>             | <b>\$55,260</b>             | <b>\$4,800</b>                | <b>\$109,980</b>   | <b>\$528,820</b>    |
| <b>Administrative Services Department</b>      |                           |                             |                                |                          |                              |                              |                             |                               |                    |                     |
| 65401 - Human Resources                        | \$6,200                   | \$32,800                    | \$0                            | \$0                      | \$14,150                     | \$14,790                     | \$0                         | \$16,800                      | \$84,740           |                     |
| 65402 - Risk Management                        | 7,500                     | 13,970                      | 0                              | 0                        | 14,000                       | 8,130                        | 0                           | 0                             | 43,600             |                     |
| 65701 - Central Services                       | 500                       | 0                           | 0                              | 0                        | 3,440                        | 14,990                       | 0                           | 240                           | 19,170             |                     |
| 65702 - Cash Management                        | 500                       | 6,370                       | 0                              | 0                        | 1,780                        | 3,250                        | 0                           | 5,580                         | 17,480             |                     |
| 65703 - Budget Coordination                    | 4,900                     | 13,680                      | 0                              | 0                        | 7,620                        | 5,100                        | 0                           | 1,420                         | 32,720             |                     |
| 65704 - Fiscal Operations                      | 6,600                     | 20,780                      | 0                              | 0                        | 14,090                       | 13,030                       | 0                           | 7,310                         | 61,810             |                     |
| 65705 - Accounting Services                    | 2,600                     | 16,000                      | 0                              | 0                        | 8,220                        | 8,190                        | 0                           | 3,480                         | 38,490             |                     |
| 65706 - Revenue Collection                     | 18,000                    | 42,430                      | 0                              | 0                        | 21,290                       | 27,780                       | 0                           | 20,990                        | 130,490            |                     |
| 65707 - Information Systems                    | 13,800                    | 8,000                       | 1,700                          | 550                      | 29,120                       | 26,220                       | 2,200                       | 12,390                        | 93,980             |                     |
| <b>Dept Total</b>                              | <b>7 Programs</b>         | <b>\$60,600</b>             | <b>\$154,030</b>               | <b>\$1,700</b>           | <b>\$550</b>                 | <b>\$113,710</b>             | <b>\$121,480</b>            | <b>\$2,200</b>                | <b>\$68,210</b>    | <b>\$522,480</b>    |
| <b>City Totals</b>                             | <b>89 Programs</b>        | <b>\$2,274,500</b>          | <b>\$1,907,800</b>             | <b>\$834,310</b>         | <b>\$716,060</b>             | <b>\$1,368,650</b>           | <b>\$1,005,040</b>          | <b>\$1,128,560</b>            | <b>\$1,116,720</b> | <b>\$10,351,640</b> |

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|                            | Worker's<br>Comp<br>"188" | Systems<br>Charges<br>"219" | Vehicle<br>Op & Maint<br>"229" | Vehicle<br>Fuel<br>"339" | Bldg<br>Maintenance<br>"259" | Gen Eqpt<br>Charges<br>"269" | Vehicle<br>Charges<br>"26V" | General<br>Insurance<br>"279" | Total        |
|----------------------------|---------------------------|-----------------------------|--------------------------------|--------------------------|------------------------------|------------------------------|-----------------------------|-------------------------------|--------------|
| 271 - Landscaping          | 30,100                    | 39,830                      | 16,500                         | 19,700                   | 8,730                        | 7,450                        | 25,300                      | 16,460                        | 164,070      |
| 295 - CTV                  | \$1,600                   | \$9,300                     | \$0                            | \$0                      | \$11,540                     | \$6,800                      | \$0                         | \$220                         | \$29,460     |
| 281 - CDA Housing          | 0                         | 0                           | 0                              | 0                        | 0                            | 0                            | 0                           | 0                             | 0            |
| 381 - CDA Redevelopment    | 0                         | 0                           | 0                              | 0                        | 0                            | 0                            | 0                           | 0                             | 0            |
| 511 - Water                | 163,800                   | 93,630                      | 116,735                        | 92,340                   | 40,915                       | 37,730                       | 82,100                      | 64,900                        | 692,150      |
| 521 - Wastewater           | 125,900                   | 103,060                     | 38,255                         | 21,580                   | 13,255                       | 50,240                       | 73,000                      | 56,760                        | 482,050      |
| 531 - Solid Waste          | 2,800                     | 7,430                       | 3,280                          | 540                      | 6,260                        | 4,350                        | 2,300                       | 5,220                         | 32,180       |
| 541 - Drainage             | 9,700                     | 19,820                      | 4,000                          | 3,300                    | 2,710                        | 3,340                        | 16,200                      | 5,870                         | 64,940       |
| 561 - Airport              | 4,800                     | 10,760                      | 9,020                          | 4,500                    | 2,270                        | 4,700                        | 9,700                       | 14,550                        | 60,300       |
| 571 - Transit              | 6,700                     | 14,490                      | 1,390                          | 78,100                   | 36,400                       | 65,600                       | 179,000                     | 19,980                        | 401,660      |
| 601 - Central Garage       | 20,500                    | 9,300                       | 14,000                         | 0                        | 22,150                       | 9,620                        | 1,600                       | 11,170                        | 88,340       |
| 602 - Central Services     | 14,300                    | 8,000                       | 1,700                          | 550                      | 32,560                       | 41,210                       | 2,200                       | 12,630                        | 113,150      |
| 615 - Building Maintenance | 20,600                    | 4,950                       | 7,990                          | 6,320                    | 8,890                        | 7,620                        | 8,720                       | 15,530                        | 80,620       |
| 627 - Self Insurance       | 7,500                     | 13,970                      | 0                              | 0                        | 14,000                       | 8,130                        | 0                           | 0                             | 43,600       |
| 101 - General              | 1,866,200                 | 1,573,260                   | 621,440                        | 489,130                  | 1,168,970                    | 758,250                      | 728,440                     | 893,430                       | 8,099,120    |
|                            | \$2,274,500               | \$1,907,800                 | \$834,310                      | \$716,060                | \$1,368,650                  | \$1,005,040                  | \$1,128,560                 | \$1,116,720                   | \$10,351,640 |

**Revenues to IS Funds**

|                               |             |           |           |           |           |           |             |  |
|-------------------------------|-------------|-----------|-----------|-----------|-----------|-----------|-------------|--|
| 601 - Central Garage          |             | \$834,310 | \$716,060 |           |           |           |             |  |
| 602 - Central Services        | \$1,907,800 |           |           |           |           |           |             |  |
| 605 - Equipment Replacement   |             |           |           |           | \$851,470 | \$794,460 |             |  |
| 615 - Building Maintenance    |             |           |           | \$733,490 |           |           |             |  |
| 627 - Self Insurance          | \$2,274,500 |           |           |           |           |           | \$1,116,720 |  |
| Enterprise Funds Retainage    |             |           |           |           | \$153,570 | \$334,100 |             |  |
| Credits for Direct Paid Bills |             |           |           | \$635,160 |           |           |             |  |

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