

# CITY OF TRACY ADOPTED BUDGET



**FISCAL YEAR 2014-2015**



City of Tracy, California

**PROGRAM BUDGET  
FISCAL YEAR 2014-2015**

*Prepared by*

Administrative Services Department

June 2014

City Council

**Brent Ives**

*Mayor*

**Michael Maciel**

*Mayor Pro Tem*

**Robert Rickman**

*Council Member*

**Nancy Young**

*Council Member*

**Charles Manne**

*Council Member*

Other Elected Officials

**Raymond McCray**

*City Treasurer*

City of Tracy, California

**PROGRAM BUDGET**  
**FISCAL YEAR 2014-2015**

**Maria A. Hurtado**  
*Interim City Manager*

**Gary Hampton**  
*Interim Assistant City Manager*

**Dan Sodergren**  
*City Attorney*

**Jenny Haruyama**  
*Administrative Services  
Director*

Other Department Heads

**Gary Hampton**  
*Police Chief*

**Alford Nero**  
*Fire Chief*

**Andrew Malik**  
*Development Services Director*

**David Ferguson**  
*Public Works Director*

**Kul Sharma**  
*Utilities Director*

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Veronica Nunez, Clerical Assistant  
Grace Segura, Sr. Accounting Assistant  
Eileen Solario, Sr. Accounting Assistant  
Raquel Votaw, Sr. Accounting Assistant  
Isabel Yamada, Accounting Assistant

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GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished  
Budget Presentation  
Award*

PRESENTED TO

**City of Tracy  
California**

For the Fiscal Year Beginning

**July 1, 2013**

A handwritten signature in black ink, reading "Jeffrey R. Egan".

Executive Director

The Government Finance Officers Association of the United State and Canada (GFOA) presented a Distinguished Budget Presentation Award to City of Tracy, California for its annual budget for fiscal year beginning July 1, 2013.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan and as a communication device. The award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

# *Budget Message*





Think Inside the Triangle™

## CITY OF TRACY

City Manager's Office  
333 Civic Center Plaza  
Tracy, CA 95376

Telephone (209) 831-6000  
FAX (209) 831-6120

July 1, 2014

Honorable Mayor and City Council:

I am pleased to provide you with the adopted Fiscal Year (FY) 2014/15 annual budget and capital improvement program for the City of Tracy. This spending plan reflects our commitment to provide a high level of service to our residents, while preserving the City's long-term financial viability.

### **SUMMARY:**

The FY 2014/15 totals reflect \$221.3 million in revenues and \$226.3 million in expenditures. Revenues and expenditures increased 15.5% and 15.2% respectively, compared to the prior year budget. Expenditures are greater than revenues as some expenditures (e.g. capital projects) are approved in a prior year and expensed in the current year budget. The proposed FY 2014/15 General Fund budget totals \$56.4 million, excluding debt service and is 11% higher than prior year. The City's Capital budget totals \$73.1 million; of that amount, \$64.3 million is for current projects and \$8.8 million is for new projects. Proposed FY 2014/15 General Fund reserves are estimated to be \$33.6 million.

This budget year is best described in two ways. On one hand, the future is exciting and holds a great deal of promise due to the resurgence in development activity reflective of the City's economic recovery. The recent annexation of 1,700 acres at Cordes Ranch and anticipated residential developments like Tracy Hills, Ellis and others demonstrate steps toward a healthy recovery. The City is poised for positive growth with an established plan to balance new residential development with retail, commercial, and industrial development. Although property tax revenue has not rebounded as quickly, it is expected to improve over the next two years due to improvements in assessed value and increases in home prices. Additionally, the influx of companies like Amazon has created a spin off affect that has, and will continue to result in ancillary companies locating in Tracy.

On the other hand, while the City's revenue outlook appears promising, there are five challenges on the horizon that will require the City's continued diligence in managing service demands while developing revenue strategies.

1. The expiration of Measure E's ½ cent sales tax in 2016 will result in a future deficit, due to the loss of revenue. In FY 2014/15, Measure E is expected to peak at \$7 million. Although the loss of Measure E revenue could be reduced through additional sales tax growth by anticipated new industrial and commercial, the City's reliance on this revenue must be monitored carefully.
2. Although employees will assume the full employee share of pension costs, recent PERS rate adjustments for the employer share continue to increase due to changes in actuarial assumptions. Over the next five years, the City will have paid \$52 million in PERS costs.

3. The City's recent revenue strategy to attract e-commerce and point of sale companies has been very successful (e.g. Amazon, Crate and Barrel and Southwest School and Office Supply). However, policy discussions at the state level regarding the allocation of internet sales may change, potentially impacting City sales tax revenue and ultimately, business attraction efforts. For these reasons, the City should strategically diversify its sales tax portfolio.
4. Restructuring efforts and employee reductions over the last five years have left the organization challenged with doing more with less. To maintain quality service levels and ensure efficient operations, staffing levels must continue to be carefully evaluated based on available resources, efficiency gains, and benefit to the community. Unmet staffing needs will be evaluated against service delivery prioritization.
5. As the life cycle of the City's existing assets decline, the need to maintain City infrastructure remains. Infrastructure maintenance needs coupled with regulatory requirements have increased costs significantly. Funds should be set aside to address future infrastructure maintenance and replacement needs.

The proposed FY 2014/15 budget reflects ongoing and one time augmentations. Proposed augmentations are approximately \$3.7 million; of which \$2 million are cost neutral development expenses (e.g. building and engineering plan check and inspections) that are covered through fees; \$523,000 are one-time expenses, and \$1.1 million are ongoing operational expenses.

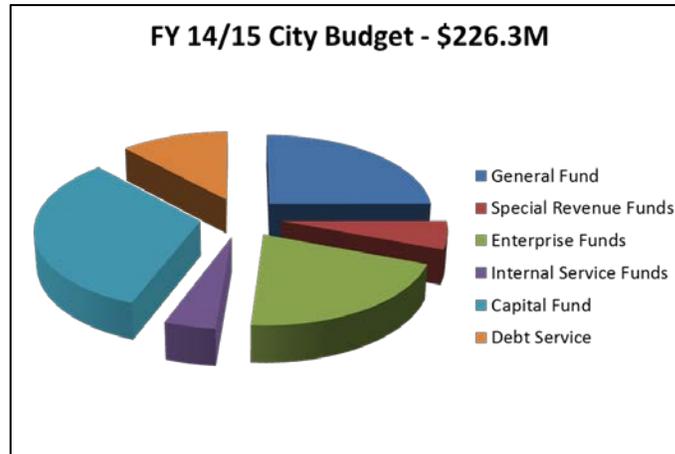
Of the \$1.1 million of ongoing augmentations, approximately \$482,070 relate to quality of life initiatives; \$106,390 relate to public safety; \$137,690 relate to technology; and \$112,000 relate to organizational restructuring and efficiency efforts. The remaining augmentations are approximately \$261,850, and are for miscellaneous items, including but not limited to: police background investigations, required minimum wage adjustment for fire reserves, sidewalk cleaning, Channel 26 resources, and external technology support services.

## **FY 2014/15 CITY BUDGET OVERVIEW**

The FY 2014/15 City budget is approximately \$226.3 million and is comprised of three components: the Operating Budget (General Fund, Enterprise, Internal Service, and Special Revenue Funds) Capital Budget, and Debt Service Budget.

Total FY 2014/15 City revenues are \$221.3 million and total expenditures are \$226.3 million. Revenues and expenditures have both increased compared to the prior year budget; 15.5% and 15.2% respectively. Expenditures are greater than revenues as some expenditures (e.g. capital projects) are approved in a prior year and expensed in the current year budget.

The chart below reflects the FY 2014/15 total City budget of \$226.3 million:



### FY 2014/15 GENERAL FUND BUDGET

The proposed FY 2014/15 General Fund Budget is a balanced budget. As with the prior year, general fund reserves are not required to balance the FY 2014/15 budget, with an anticipated General Fund net excess amount of \$700,000. Based on current projections, the City's revenue outlook is positive, but continues to be challenged by uncontrollable personnel-related expenses and the anticipated expiration of Measure E.

#### *FY 2014/15 General Fund Budget Summary*

<b>GENERAL FUND SOURCES</b>	
<i>Property Tax</i>	\$16.3
<i>Sales Tax</i>	\$16.1
<i>Temporary Taxes – Measure E</i>	\$7.0
<i>Other Revenue</i>	\$18.9
<b>Total Sources</b>	<b>\$58.3M</b>
<b>GENERAL FUND USES</b>	
<i>General Fund Operating Expenses</i>	\$56.4
<i>Debt Service Payments</i>	\$1.2
<i>General Fund Transfers</i>	\$0
<b>Total General Fund Uses</b>	<b>\$57.6M</b>
<b>Total General Fund Net Resources</b>	<b>\$700K</b>

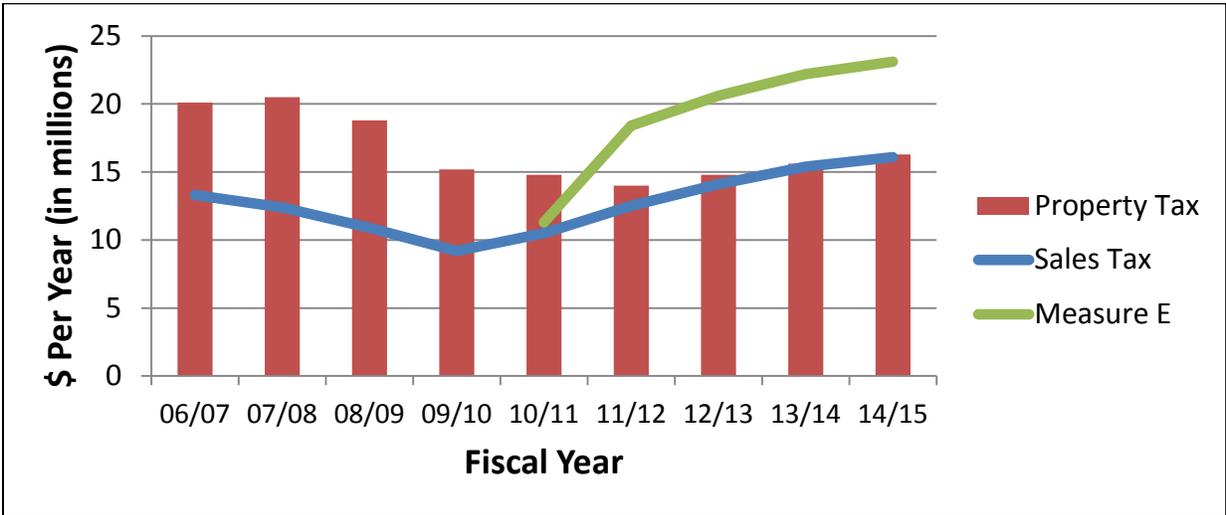
*General Fund Revenues*

Total FY 2014/15 General Fund revenues are projected to be \$58.3 million, which is a 13% increase over the prior year adopted budget. The revenue outlook is optimistic due to the economic recovery in the region and improvement in economically sensitive revenue, particularly sales tax. Diversification continues to be an important objective so the City can better weather effects of economic cycles and/or legislative changes while still maintaining service levels.

Property tax continues to recover, albeit at a much slower rate than sales tax. Property tax growth over the past two years has been positive and is expected to reach pre-recession levels within the next three to five years. Several new residential developments are also underway and many others are planned for the future. Future development will also help expand the property tax base; however, property tax revenue for new development is limited due to existing Master Tax Sharing Agreements with the County.

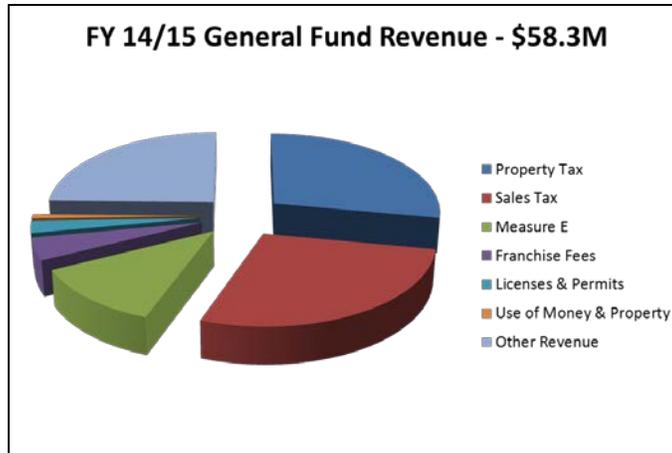
As you can see from the table below, property tax remains significantly lower than pre-recession levels, while sales tax has surpassed peak levels in FY 2006/07. Measure E continues to close the revenue gap while the economy recovers; however, it is still uncertain when the City's revenue situation will stabilize.

**General Fund Revenue History**



Property tax, sales tax, and Measure E make up a majority of the City's revenue sources. These three revenues are expected to increase, along with permit and development fee revenue. It should be noted that while permit revenue is expected to increase, it will be offset by expenses related to building inspections and planning and engineering services.

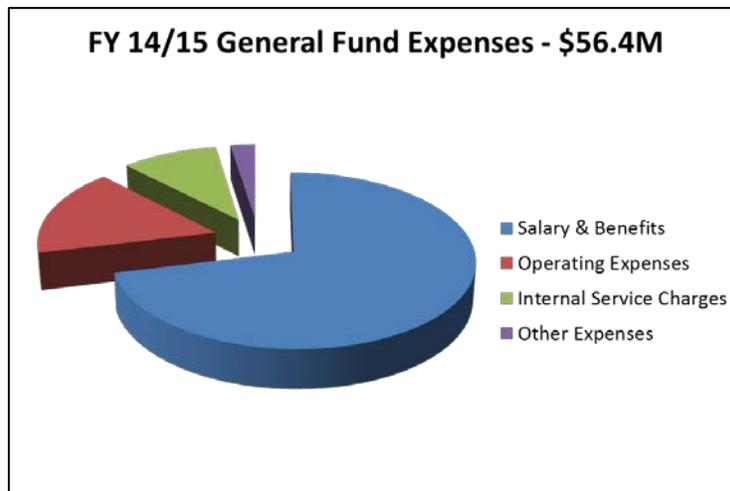
The chart below reflects the City's key revenue sources. Approximately 68% of General Fund revenue comes from property tax and sales tax (including Measure E).



*General Fund Expenditures*

Total FY 2014/15 General Fund expenditures are \$56.4 million and have increased by 11% compared to the previous year’s budget (excluding transfers and debt service). These expenses are comprised of four major categories: salaries and benefits, internal service charges, debt service, and other operating expenses for supplies and services, which have been adjusted for inflation.

As reflected in the chart below, salaries and benefits continue to be the largest driver of expenditures (71%) of the City’s General Fund operating costs; the budget reflects non-discretionary increases in health care, PERS, and post retirement expenses (conversion of sick leave to reimburse health care premiums). The proposed FY 2014/15 budget also includes employee flex leave per City labor contracts. Flex leave (additional employee hours that can be used as vacation or cashed out) was provided to employees in exchange for paying the employee share portion of the City’s PERS premiums. The provision of flex leave expires at the end of FY 2014/15.



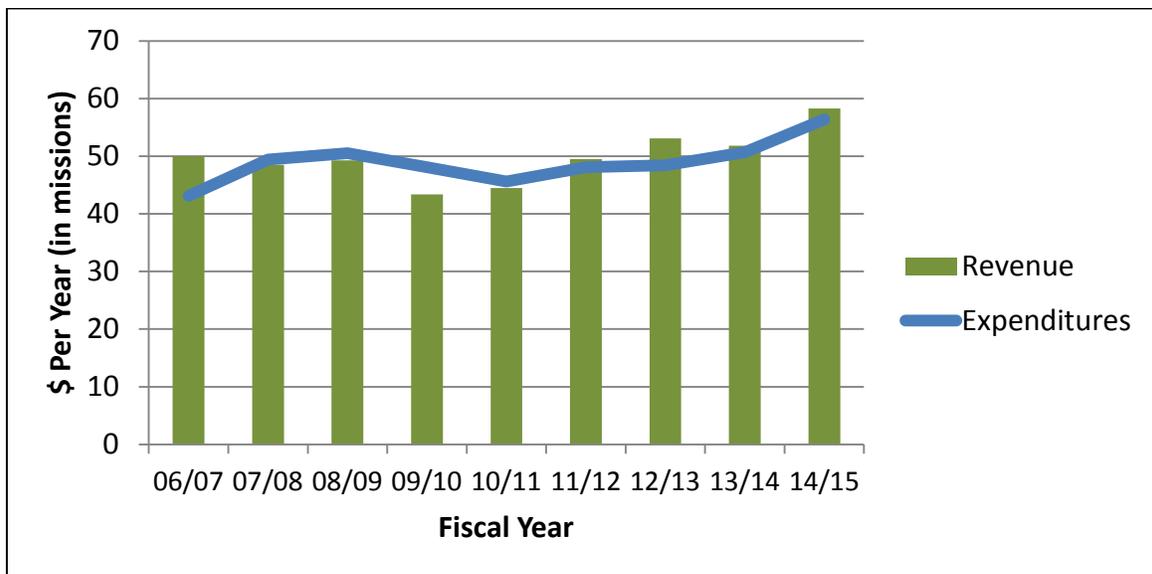
It is important to acknowledge that the cooperation of employee organizations was instrumental in weathering the recession. The City will experience an annual savings of approximately \$3 million in FY 2015/16, prior to the expiration of Measure E.

However, PERS recently adopted a 5-year plan to address changes in mortality and to ensure that the retirement plan is 100% funded in 30 years. Under the old method, plans for the state, local governments and non-teaching school employees were projected to be 79% to 86% funded in 30 years. While this change will provide some rate predictability and mitigate future rate spikes should there be heavy investment losses, it will significantly impact the City's General Fund budget expenditures. PERS increases, coupled with escalations in health care costs will be a budget challenge over the next several years. The table below reflects current and projected PERS costs over the next 6 years:

<b>EMPLOYER SHARE COST</b>	<b>FY 14/15</b>	<b>FY 15/16</b>	<b>FY 16/17</b>	<b>FY 17/18</b>	<b>FY 18/19</b>	<b>FY 19/20</b>
<i>Public Safety</i>	<b>\$4.6M</b>	\$4.7M	\$4.9M	\$5.1M	\$5.3M	\$5.4M
<i>Miscellaneous</i>	<b>\$3.1M</b>	\$3.3M	\$3.4M	\$3.6M	\$3.7M	\$4.1M
<b>TOTAL</b>	<b>\$7.7M</b>	<b>\$8.0M</b>	<b>\$8.3M</b>	<b>\$8.7M</b>	<b>\$9.0M</b>	<b>\$9.5M</b>

Since the implementation of Measure E, the City has captured nearly \$8 million from additional revenue and budget savings. While several budget strategies were successfully implemented, the City remains challenged by uncontrollable expenses as noted in the table below. Despite maintaining a 20% staffing reduction since FY 2007/08, the City's expenses continue to increase as reflected on the chart below.

### General Fund Expenditure History



### FY 2014/15 CAPITAL BUDGET OVERVIEW

The total proposed FY 2014/15 CIP is approximately \$73.1 million. Of that amount, \$64.3 million is for current projects and \$8.8 million is for new projects. Approximately \$1.5 million is available for General Fund projects (Fund 301). As part of the FY 2014/15 CIP Workshop, Council recommended use of Fund 301 monies to support three active projects, including the

Animal Shelter, Fire Station Modifications, and a new financial/human resources system. Funding was also recommended for eight new projects, including:

1. Remodel of Fire Station 96
2. Installation of New Radio Tower at Fire Station 96
3. Modification of City Hall Doors – ADA Compliance
4. Modification of Support Services Building - ADA Compliance
5. Replacement of Automatic Doors at City of Tracy Public Library
6. Repainting of Civic Center Water Tower
7. Replacement of Play Structure at Hoyt Park
8. Replacement of Downtown Up Lights (Phased)

Several new or continuing non-general fund projects are also worth outlining:

- **Water Meter Replacement.** Approximately 23,000 water meters are in the City; many are more than 10 years old and as meters age, they become inaccurate. In FY 2014/15, the City will replace 1,400 meters at no cost to residents and businesses. The new meters will improve the City’s aging infrastructure and ensure accurate water readings, which is critical given the current water situation.
- **11<sup>th</sup> Street Bridge Replacement.** This project will begin in 2014/15 and involves the demolition of the existing bridge and replacement with a new bridge with two travel lanes in each direction, including a median and bike lanes and sidewalk. A temporary bridge will be constructed and serve as a detour. It will be located on the south side of the existing bridge.
- **Airport Pavement Rehabilitation – Phase 1.** This project will include the resurfacing of paved areas based on the results of a pavement study.

## GENERAL FUND FIVE-YEAR FINANCIAL PLAN

The General Fund Five-Year Financial Plan spans FY 2014/15 through FY 2018/19 and is based on several key general fund revenue and expenditures assumptions, many of which are determined by established policy, trend analysis from prior years, and/or empirical data provided by consulting and auditing firms.

The five-year financial projections below reflect revenues less expenditures for FY 2014/15 through FY 2018/19.

### FIVE-YEAR FINANCIAL PLAN

GENERAL FUND FORECAST	FY 14/15	FY 15/16	FY 16/17	FY 17/18	FY 18/19
REVENUES	\$58.3M	\$57.8M	\$55.3M	\$55.6M	\$58.5M
EXPENDITURES	\$57.6M	\$56M	\$57.9M	\$60M	\$62.4M
<b>REVENUES LESS EXPENDITURES</b>	<b>\$700K</b>	<b>\$1.8M</b>	<b>(\$2.6M)</b>	<b>(\$4.4M)</b>	<b>(\$3.9M)</b>

Excess revenue is anticipated for FY 2014/15 and potentially FY 2015/16. FY 2013/14 year-end projections assume net resources of \$4.7 million. This is due to an improving economy, uptick in development, and strong sales tax growth. As mentioned previously, unlike sales tax, property tax has not yet returned to pre-recession levels; however, preliminary trends indicate that assessed value in San Joaquin County may significantly improve over the next couple of years. It is important to remember that the City's portion of property tax revenue is limited for new development – for every dollar, the City only receives roughly 5.25 cents versus the City's regular share, which is 35 cents per dollar. For some developments, the City receives little to no property tax.

Non-discretionary expenditures continue to rise due to changes in employee health care expenses, adjustments to PERS, scheduled employee merit increases, cost of living increases in commodities and maintenance contracts, utilities, and required life and safety expenses. The Five-Year Financial Plan does not assume any new positions or adjustments to employee salaries. While past reduction strategies will help mitigate the loss of Measure E in the short term, uncontrollable expenditures have grown, contributing to future deficits.

Several revenue opportunities are on the horizon, but cannot be incorporated into the forecast until there is a higher level of certainty as to if and when those projects will occur. In the interim, staff continues to develop revenue enhancement strategies and cost-containment approaches to mitigate assumed future deficits.

## GENERAL FUND RESERVES

The City's General Fund Reserve continues to be strong. As of June 30, 2013, the City's total reserves were \$28.9 million; of that amount, approximately \$27.5 million is unassigned, which includes the City's Reserve for Economic Uncertainty (\$9.9 million). The unassigned fund balance of \$27.5 million represents 55% of total General Fund expenditures for FY 2013/14. The City's reserve policy requires a reserve level of 20% of General Fund expenditures. Proposed FY 2014/15 General Fund reserves are estimated to be \$33.6 million.

The effects of Measure E combined with the economic recovery are evident because the City has not had to use its Reserve for Economic Uncertainty to balance its budget since FY 2012/13. Although the FY 2012/13 Adopted Budget was originally balanced with reserves, the fiscal year ended with excess revenue in the amount of \$1.9 million. Similarly, FY 2013/14 is expected to close at year-end with an estimated \$4.7 million in General Fund net resources. Per Council policy, year-end savings/excess revenue is allocated to the Reserve for Economic Uncertainty.

	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
<i>GENERAL FUND ENDING BALANCE</i>	\$19M	\$19M	\$19M	\$19M	\$19M
<i>RESERVE FOR ECONOMIC UNCERTAINTY</i>	\$10.5M	\$8M	\$8M	\$9.9M	\$14.6M
<b>SUBTOTAL</b>	<b>\$29.5M</b>	<b>\$27M</b>	<b>\$27M</b>	<b>\$28.9M</b>	<b>\$33.6M</b>
<i>DEFICIT/EXCESS REVENUE</i>	<i>(\$2.5M)</i>	<i>(\$52K)</i>	\$1.9M	\$4.7M	\$700K
<b>ENDING TOTAL RESERVES</b>	<b>\$27M</b>	<b>\$26.9M</b>	<b>\$28.9M</b>	<b>\$33.6M</b>	<b>\$34.3M</b>

*Options for General Fund Reserves:*

The Council will be reviewing its General Fund Reserve Policy and exploring reserve diversification options for future financial planning purposes. Options for consideration when addressing the use of revenues in excess of expenditures or non-recurring one-time revenue may include, but are not limited to the following:

- Establishing a Capital Projects Reserve for infrastructure, facilities, sports fields, or other amenities;
- Establishing an Economic Development Reserve Fund to support economic development activities; and
- Establishing a Measure E Smoothing Fund by setting aside excess revenue in a special fund for smoothing purposes if the City cannot balance its General Fund budget without Measure E in FY 2016/17.

**PROPOSED FY 2014/15 GENERAL FUND BUDGET AUGMENTATIONS**

Total proposed FY 2014/15 General Fund Budget Augmentations, including one-time costs are approximately \$3.7 million, which is a 7% increase over the FY 2014/15 base budget of \$52.7 million. A significant majority of the augmentations are due to projected development related expenses, including building and engineering plan check and inspections, which have offset revenue. Taking development expenses and estimated one-time costs (\$523,000) into consideration, proposed augmentations are estimated to be \$1.1 million as reflected in the table below.

**Proposed FY 2014/15 General Fund Budget Augmentations**

Proposed FY 2014/15 Budget Augmentations	\$3.7M
Less Development Expenses*	(\$2.0M)
Less One-Time Expenses	(\$523K)
<b>Total Budget Augmentations</b>	<b>\$1.1M</b>

\*Anticipated development revenue for these services make this expense cost neutral.

*Ongoing General Fund Budget Augmentations*

Of the \$1.1 million of ongoing augmentations, approximately \$482,070 relate to quality of life initiatives; \$106,390 relates to public safety; \$137,690 relates to technology; and \$112,000 relates to organizational restructuring and efficiency efforts. The remaining augmentations are approximately \$261,850, and are for miscellaneous items, including but not limited to: police background investigations, required minimum wage adjustment for fire reserves, sidewalk cleaning, Channel 26 resources, and external technology support services. The proposed augmentations are listed below.

- **Quality of Life Initiatives: \$482,070**

- *City Facility Rentals: \$44,490.* Funding has been included in the budget to maintain existing City facility and sports field rental service levels and address future demand. In particular, Sports facility use has increased by 33% due to an increase in local travel teams. Limited access to local school district sports fields is also expected to increase demand.
- *Expansion of Senior Center Hours: \$38,400.* To better serve the senior community, Senior Center hours will be expanded from 30 to 37.5 hours per week as well as recreational classes, educational programs and special events. The Senior Center serves an average of 300 participants per day in various recreation programs.
- *Parks and Sport Field Operations and Maintenance Costs: \$38,730.* Funding has been included in the budget to: (1) maintain Legacy Fields, including developed and non-developed park areas, gravel parking lots, fence lines, irrigation systems, booster pump stations, and irrigation ponds; (2) adjust tree maintenance contract due an increase in the number and size of park trees; (3) purchase additional custodial supplies, equipment, and tools to respond to higher volume in park and sports field rentals; and (4) address mandated Federal Communications Commission (FCC) requirements that will require an upgrade of the City's irrigation central control system.
- *City LIRA program: \$360,000.* LIRA, a low-income utilities discount program had been inadvertently funded from the City Water, Wastewater, and Solid Waste Funds, which is not an allowable use of enterprise funds. Enterprise revenues may only be used to support enterprise expenditures. Funding for this program should be provided through the General Fund. Approximately 1,684 households in Tracy participate in the LIRA program.

- **City Wide Restructuring and Efficiency Efforts: \$112,000**

- *Risk Management Services: \$100,000.* Currently, the City does not have dedicated risk management personnel to manage workers' compensation and general liability cases, and coordinate the City's safety program. Having a dedicated resource could reduce the City's annual insurance premium, which is approximately \$2.7 million. A Risk Coordinator position has been included in the budget to contain and/or reduce risk/liability costs.
- *Counter Customer Service: \$12,000.* Due to prior service reductions, the City has been challenged with providing consistent counter coverage to assist City Hall visitors and customers, and telephone inquiries. Additional part time hours have been included in the budget to ensure continuity in service delivery to the community.

- **Public Safety Resources: \$106,390**

- *School Crossing Guards: \$10,000.* Due to the State of California minimum wage mandate, school crossing guard hourly rates will be adjusted.

- *Emergency Management System (EMS) Specialist: \$68,220.* An EMS Specialist position is required condition of the City's ALS agreement with San Joaquin County. This position will administer pre-hospital emergency medical services including Advanced Life Support (Paramedic) Service, train and evaluate EMS performance, and ensure compliance with mandates established by Federal, State and County regulations specific to EMS.
- *Fire Public Education/Prevention Training: \$1,670.* Funding has been included to sustain public education programs within the Fire Department, including but not limited to: Learn Not to Burn fire safety awareness, Water Watchers drowning prevention, S.A.F.E Homes smoke alarm project, Senior Citizen Safety, and general public education.
- *Police Reserve Officers Program: \$26,500.* Funding has been included to reinstate a Police Reserve Officer program and purchase uniforms and safety equipment.
- **Technology Initiatives: \$137,690**
  - *Geographic Information Services (GIS): \$57,690.* In 2007, an operational analysis was completed of the Public Works Department, which demonstrated the need for a comprehensive city-wide GIS system. Subsequently, a series of Council goal setting workshops were held and direction was given to implement a 3-year GIS project. Approximately \$3.1 million was invested in the project, including data maintenance through June 2013. The City continues to pay ongoing GIS software license costs of \$57,000, yet there are no dedicated resources to update and maintain the existing system. A GIS Technician has been included in the budget to ensure the accuracy of information related to emergency responses, crime prevention, fire hazards, planning, development, utility (storm drain, water, wastewater, and electric), and CIP projects. The cost of this service will be split between the General Fund and Enterprise Fund(s).
  - *Computer Aided Dispatch (CAD)/Records Management System (RMS) Support: \$50,000.* Funding is required for the Police Department's new CAD/RMS system which automates field reporting, interfaces with other safety systems, and provides predictive data collection. Previously budgeted annual maintenance fees were increased as a result of the new system.
  - *Information Technology Contract and Hardware Support: \$30,000.* Funding has been included in the budget for annual adjustments for 25 active information technology support contracts with various vendors (Cisco, Microsoft, ESRI).
- **Miscellaneous Augmentations: \$261,850**
  - The remaining augmentations are for miscellaneous items, including but not limited to: police background investigations, required minimum wage adjustment for fire reserves, sidewalk cleaning, Channel 26 resources, and external technology support services, position reclassification studies, operational supplies, and professional training.

### *One-Time General Fund Budget Augmentations*

Proposed FY 2014/15 one-time budget augmentations are approximately \$523,000 and do not have ongoing operational impacts. Key proposed one-time expenses, include but are not limited to:

- **Ballistic Vests, Tasers, and Portable Radios: \$72,600**  
Provides funding to replace ballistic vests for full-time Police Officers and Reserve Officers. Includes the purchase of portable radios for Reserve Officers, and assignment of new tasers to sworn staff.
  
- **New Fire Engine and SCBA Field Equipment: \$66,000**  
Provides funding to equip one fire engine with tools and supplies necessary to respond to emergencies. The City is purchasing two new fire engines (FY 2013/14) which are expected to be delivered during FY 2014/15. The department will replace one existing fire engine and move the existing equipment to the new unit; the department will retain one unit and place it in reserve status which means it will retain its existing equipment. This equipment will allow the department to retain a reliable reserve or “back-up” apparatus to be used when front-line equipment is in for maintenance without interrupting service to the community.

The Fire Department will also purchase new Self-Contained Breathing Apparatus (SCBA) field equipment to replace existing equipment.

- **Labor Negotiations: \$107,400**  
Provides funding for labor negotiations (legal consulting services) for five represented bargaining groups. Labor contracts will expire in June 2015.
  
- **Fee Study: \$45,000**  
Provides funding to begin a phased study of the City’s fees to assess recovery levels, and determine if the amount charged for existing fees is appropriate.
  
- **Enterprise Resource Planning (ERP) System Staff Overtime: \$70,000.**  
Provides funding to support necessary overtime as a result of implementing the City’s new financial/human resources system. It is anticipated that the system will be fully implemented within two years.
  
- **Election Costs: \$102,000**  
Provides funding for associated election costs due to the County as a result of the November 2014 Gubernatorial Election.

### **FUTURE CHALLENGES**

As discussed earlier, this budget is presented with cautious optimism. Several issues are on the horizon that will require strategic attention as the City continues to grow and pursue its goal of building an economically robust and fiscally sustainable community.

### Expiration of Measure E

When Measure E was approved by the voters in 2006, its purpose was to serve as temporary bridge funding to allow the City to make expenditure adjustments while the economy recovered. Although the revenue picture has improved and the City has been able to balance its budget without reserves, it has only been able to do so because of Measure E. Measure E is expected to generate \$7 million in FY 2014/15. Given this, the City must examine its reliance on Measure E and realign its budget and operational needs and base them on permanent resources. This process will require Council and the community to explore and prioritize its service expectations and needs.

### PERS Rate Increases

Due to changes in actuarial assumptions related to mortality coupled with past investment losses, PERS will adjust rates to absorb the costs of retired workers living longer. While the implementation of the rate increases will be phased in over a 5-year period and is effective FY 16/17, it comes on the heels of rate increases already scheduled for FY 14/15 – FY 15/16. It is anticipated that over the next 5 years, the City will have paid approximately \$52 million in PERS expenses.

### Future E-Commerce

The future of e-commerce and allocation of internet sales tax continues to be unclear. In June 2012, the League of California Cities Revenue and Taxation Committee adopted a policy position that tax proceeds collected from internet sales should be allocated to the location where the product is received by the purchaser. This is contrary to the past practice of the Board of Equalization (BOE), which has allocated internet sales tax based on the location of the sales order desk.

While it is difficult to determine how this may affect revenue generated by the City's future fulfillment centers, the City will continue its efforts to diversify its sales tax portfolio and protect local resources by actively using lobbyist and sales tax consultant resources.

### Unmet Staffing Needs

Since the Great Recession, the City has maintained a 20% reduction in its workforce, but not without its challenges. While this strategy was helpful in lowering expenses, the City continues to struggle in doing more with less as service levels were not adjusted to reflect available resources. Staffing reductions without corresponding service reductions contributes to poor customer service and operational inefficiencies. Given this, the City must explore alternative service delivery options and the restoration of select city-wide positions. The decision of restoring staffing will be strategically and carefully evaluated based on the overall benefit to the community and/or efficiencies that may result in immediate or future budget savings.

### Facility (Non-Safety) and Infrastructure Funding

One significant challenge facing the City is the increasing cost of maintaining its infrastructure. This is due to the following three factors: (1) as Tracy ages, so does its public infrastructure; (2) increased growth results in additional infrastructure maintenance, which further stretches

City's resources; and (3) maintenance requirements, which are regulatory in nature, have increased and add significant costs. Addressing this concern becomes even more challenging given competing priorities for City resources.

## **CONCLUSION**

The City of Tracy is proud of its prudent use of resources, which has enabled it to successfully navigate through the prolonged recession. The impacts of any additional economic downturn will require the City to reevaluate its service goals and priorities. However, past fiscal discipline and wise stewardship has made it possible for the City to take a balanced approach as we begin to strategically plan for the future.

I would like to thank the City Council and City staff for their continued partnership that has allowed us to effectively meet the challenges we face and take advantage of new opportunities as we move forward.

A handwritten signature in black ink, appearing to read "M. Hurtado". The signature is fluid and cursive, with the first letter of the first name being a large, stylized 'M'.

Maria Hurtado  
Interim City Manager

### Appendices:

1. City of Tracy 2-Year Strategic Business Plan
2. City of Tracy Performance Measurement - International City/County Management Association (ICMA) for Performance Measure
3. City Profile
4. City of Tracy Statistics
5. Addendum to Section A



## ECONOMIC DEVELOPMENT STRATEGY

Purpose: Enhance the competitiveness of the City while further developing a strong and diverse economic base.

### Goal 1

Create head-of-household jobs reflective of the City's target industries and those that best match the skill sets of the local labor force.

#### Objectives

- Focus business recruitment efforts on our identified Target Industries: Medical Equipment & Supplies, Food Processing, Renewable Resources & Technology, Manufacturing, Backroom Office & IT.
- Foster relationships with existing businesses to support the overall upgrade and expansion of employment opportunities.
- Continuously review and improve the streamline permit process and ensure quality infrastructure to meet future development needs.

#### Performance Measures

- Increase overall job growth by 5% citywide annually.
- Target 30% of new jobs annually to be head-of-household positions.
- Approve 4 Grow Tracy Fund Loans to new/existing businesses.

### Goal 2

Attract retail and entertainment uses that offer residents quality dining, shopping and entertainment experiences.

#### Objectives

- Focus retail recruitment efforts on quality retailers and restaurants that meet the desires of the community.
- Increase the entertainment and recreational opportunities and events that draw people into Tracy.
- Collaborate with and support the Tracy City Center Association in an effort to increase the drawing power of the downtown.

#### Performance Measures

- Attract 5 'unique' retailers that are not currently in the trade area.
- Increase sales tax revenue by 8% annually.
- Increase TOT revenue by 5% annually.
- Decrease downtown vacancy rate by 5% annually.

### Goal 3

Support a higher education presence in Tracy.

#### Objectives

- Research and collect supportive data to demonstrate the regional demand for higher education.
- Identify potential higher education partners and begin marketing and outreach efforts to encourage the development of programming in Tracy.
- Partner with current university recruitment group in educating the Tracy community on the assessment and possible benefits of higher education in Tracy.

#### Performance Measures

- Distribute marketing and outreach materials to a dozen higher education institutions.
- Meet with and tour 4 higher education institutions in Tracy.

### Goal 4

Position Tracy as the preferred location for start-up companies and entrepreneurial investment.

#### Objectives

- Explore opportunities for the development of existing incubator and entrepreneur programs in the region, including: San Joaquin Angel Network, Allamont Cowork, Tracy Chamber Entrepreneurs Group, etc.
- Attract start-up companies and entrepreneurs from the Silicon Valley and Bay Area region.

#### Performance Measures

- Identify 2 office locations and associated funding to aid in the attraction of start-ups and entrepreneurs.
- Foster relationships with 5 start-up companies and/or entrepreneurs.
- Secure \$50,000 of sponsorship funding to further develop the initiative of attracting start-ups entrepreneurs to Tracy.



## PUBLIC SAFETY STRATEGY

**Purpose:** To enhance community safety by promoting a responsive public safety system that includes civic engagement and partnerships, community involvement, public education and offering prevention, intervention and suppression services that meet the needs of Tracy residents

### Goal 1

Partner with and engage residents to address public safety concerns

#### Objectives

- Increase two-way communication regarding Part II crime information and prevention.
- Increase visibility of public safety in the community.
- Increase community volunteer opportunities to maximize engagement.

#### Performance Measures

- Increase visibility & usage of GO Request smart phone app o internal and external customers by 5%.
- Re-establish an Adopt a Park program with the adoption of 4 parks during years 2013/14 and an additional 4 during year 2014/15.
- Increase VIP participants 10% annually.
- Increase Drown Without a Sound presentations by 10% annually
- Increase neighborhood Watch program by 5% annually.

### Goal 2

Promote public health, safety, and community welfare by responding and addressing unsafe, unhealthy or blighted conditions in homes, neighborhoods and the entire community.

#### Objectives

- Reduce the number of blighted property conditions.
- Streamline enforcement processes regarding citywide violations that cross departments.
- Establish an awareness campaign to the community and schools on common code violations.

#### Performance Measures

- Reduce blight related complaints by 5% annually.
- Broaden education component to include annual outreach to students in K-8 grades.
- Create an implement an annual training presentation to City departments to streamline the enforcement process for more prompt resolution.

### Goal 3

Enhance citywide disaster preparedness

#### Objectives

- Develop and implement a community education program for internal and external customers to better prepare and respond to man-made and natural disasters.
- Develop a City-wide emergency safety and evacuation plan in the event of power outage, technological failure or natural or man-made disasters to as not to impact public safety.

#### Performance Measures

- Increase CERT graduates by 10% annually.
- Participate in the annual Statewide "Great Shake Out" Earth Quake Preparedness Drill.
- Implement a City Hall Emergency Evacuation and Safety Plan for City Hall employees.

### Goal 4

Reduce the number of major injury collisions

#### Objectives

- Increase public awareness of traffic safety issues specific to Tracy.
- Increase traffic related enforcement by 5%.

#### Performance Measures

- Conduct presentations to all local high schools regarding distracted driving and texting while driving statistics.
- Develop and distribute literature at a citywide level on current trends relating to distracted driving and don't text while driving initiatives.



## GOVERNANCE STRATEGY

Purpose: To retain and attract new talent, enhance fiscal stability, improve the use of technology, and enhance transparency for the betterment of the community of Tracy.

### Goal 1

Further develop an organization that attracts, motivates, develops and retains a high quality, engaged, informed and high-performing workforce

#### Objectives

- Identify outreach opportunities to promote Tracy as a desirable place to work.
- Affirm organizational values.
- Evaluate and promote Tracy W.I.N.S.

#### Performance Measures

- List of methods for outreach and promotion of Tracy is created.
- Analysis of interdepartmental sampling of organizational values, and revise if necessary.
- Maintain 95% enrollment capacity in each TPI class.
- 80% of all TPI course evaluations rates 4 or above.
- Analysis of curriculum.

### Goal 2

Ensure continued fiscal sustainability through financial and budgetary stewardship

#### Objectives

- Update General Fund reserve policy.
- Development of revenue growth and expenditure reduction strategies.
- Enhance fiscal transparency.

#### Performance Measures

- Updated General Fund reserve policy that is part of the annual budget book
- Comprehensive fee study of development fees with proposed fees and rates
- Council approved one-time revenue policy
- Council approved long term liability strategy

### Goal 3

Identify technological resources to promote communication and civic engagement, enhance city services, and promote organizational productivity.

#### Objectives

- Develop IT policy guidelines to coordinate and streamline the implementation of new software/hardware.
- Implement productivity initiatives to improve organizational effectiveness.

#### Performance Measures

- Policy for software/hardware standardization throughout the City developed
- Areas identified where technology can be used to make improvements along with associated costs
- Prioritized technology resource list along with associated costs



## QUALITY OF LIFE STRATEGY

Purpose: The purpose of the Quality of Life Strategy is to provide an outstanding quality of life by enhancing the City's amenities, business mix and services and cultivating connections to promote positive change and progress in our community.

### Goal 1

Improve current recreation and entertainment programming & services to reflect the community and match trending demands

#### Objectives

- Analyze current programming participation trends and submitted evaluations.
- Interpret city and school district demographic shifts and recommend service improvements accordingly.
- Align recreation and cultural arts services & programs to match demographics, evaluation feedback and trends.

#### Performance Measures

- Review at least 80% of submitted evaluations from classes between the summer of 2012 and summer of 2013.
- Generate a quarterly report from class to view participation trends.
- Pilot at least 3 new recreation & cultural arts classes/programs per season.
- Present at least 6 presentations to city departments, including council on the demographic changes affecting programming and recreational services.
- Increase resident enrollment by 10% in city classes.

### Goal 2

Address city amenities and facility usage with an emphasis on accessibility and streamlined services.

#### Objectives

- Update facility use policies to protect and preserve our current inventory of amenities.
- Explore public-private facility initiatives geared towards a multi-use facility.
- Implement facility and class software improvement recommendations to sync facility rentals, class enrollments and cultural arts needs.

#### Performance Measures

- Conduct at least 3 community conversations with facility users to discuss policy.
- Provide management with a semi-annual inventory of current partnerships.
- Launch new class software.
- Increase software registrants by at least 10%.
- Train at least 10 staff members on the new class software.

### Goal 3

Cultivate Community Engagement through digital and traditional means

#### Objectives

- Develop a value based marketing and communications plan bridging the gap between residents, businesses and the city.
- Implement an on-line citizen engagement plug-in to the website that allows residents to share ideas, and digitally interact with Council meetings.
- Explore media partnerships with local news agencies to feature or provide column space for city news, editorials and information.

#### Performance Measures

- Circulate 4 marketing pieces to strategic locations throughout the city.
- Increase digital users of current city tools by 20%.
- Increase website "new" visitor hits by 15%.
- Produce at least 6 articles/info pieces for media publication.
- Host at least 2 media receptions at City Hall.

### Goal 4

Coordinate community outreach with all strategic priority teams

#### Objectives

- Implementation of an electronic communication strategy to enhance communication, transparency and engagement.
- Assist Public Safety strategy team with goal 1, objective 2 and the Economic Development team with goal 2, objective 2.

#### Performance Measures

- Increase visibility and usage of email subscription service to internal and external customers by 20%.
- Collaborate with strategy teams on at least four public education and marketing events.
- Identify at least 6 community outreach opportunities.
- Create 4 email distribution templates for City departments.

City of Tracy Performance Measurement  
Program Participating in the  
ICMA Center for Performance Measurement

The City's Strategic Priorities program presented on the preceding pages is a strategy planning effort at the policy and management level by the City Council and the City's top management. It has its own goals, objectives, and performance measurements. However, there is also a need to have performance objectives and measurements at the operational and day-to-day service level.

In 2009, the City became a participating agency in the ICMA-CPM comprehensive program. The International City Management Association's Center for Performance Measurement (ICMA-CPM) was established in 1984. It was created to help cities and counties obtain accurate, fair, and comparable data about the quality and efficiency of service delivery to their citizens. It is grounded in the belief that elected officials, appointed managers, department heads, staff and citizens all need a way to assess how well government services are being delivered and to establish priorities for the future.

The benefits of participating in CPM are:

- ◆ Assistance with year-to-year internal and cross jurisdiction comparisons
- ◆ Low-cost, high-tech approach to performance measurement
- ◆ Reliable data comparisons
- ◆ Move beyond simple collecting and reporting and encourage incorporation of measures into budgets
- ◆ Linkage to strategic plans and goal setting
- ◆ Used to communicate to citizens
- ◆ On-site training and technical support
- ◆ Easy to use interactive web resources including: online templates, downloadable data sets

City staff has started participation in the program. Data templates and preliminary training on them were received in 2009. In August of 2009, data for FY08-09 was submitted to ICMA. City of Tracy data was included in the 2009 and 2010

Comparative Performance Measurement data reports issued by the ICMA-CPM. Since then, data has been submitted for 2011 and 2012. Data for FY12-13 will be submitted in September 2013.

ICMA-CPM data templates are available for the following service areas:

- ◆ Police Services
- ◆ Fire/EMS
- ◆ Highway and Road Maintenance
- ◆ Refuse and Recycling
- ◆ Housing
- ◆ Human Resources
- ◆ Fleet Management
- ◆ Facilities Maintenance
- ◆ Parks and Recreation
- ◆ Code Enforcement
- ◆ Information Technology
- ◆ Risk Management

For each area, there are a number of core measures in the data templates. These measures attempt to focus on service efforts and accomplishments, highlighting service efficiency and effectiveness. However, to compute these core measures a series of questions must be answered to provide supporting base program data in terms of demand, workload, output, expenditures, and staffing. The data templates provided by ICMA-CPM are pre-designed spreadsheets which are used to report the base data collected, and they calculate of the various core measures.

For a number of years now, City staff has been collecting and reporting base data in various service areas. This data has been included as key indicators for various departments in the City's budget document. In the future, the intent is to replace the key indicators with the core measures. The first effort for such presentations is included in this budget document.

## City Profile TRACY, CALIFORNIA

Located in Northern California, 60 miles east of San Francisco, 70 miles south of Sacramento, 20 miles south of Stockton, and 343 miles north of Los Angeles

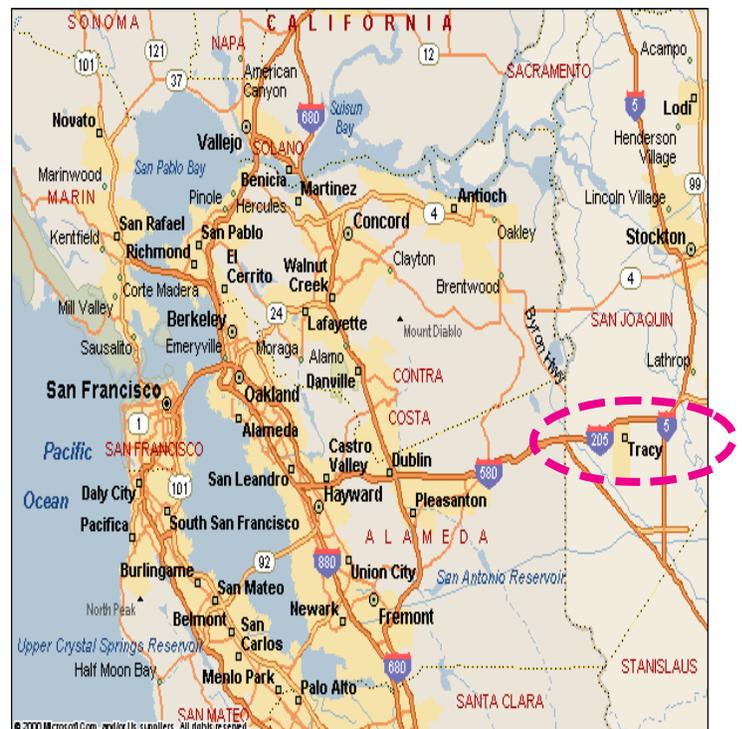
Located within a triangle formed by I-5, I-205, and I-580

The community was founded in 1878 as a railroad center. It was incorporated as a city in 1910. For many decades, it served both as a railroad center and farm market town. Since World War II, it has been the location of a defense supply center. By 1960, the City's employment base included a few food processing plants and small related manufacturing operations within the town and its vicinity. A state correctional facility and both a federal and a state water project facility are located nearby.



In 1985, the City's population was 25,400. Since then, Tracy has experienced a period of major growth influenced by the East Bay area of the San Francisco region, where considerable office and industrial development has been occurring. With this development and the problem of affordable housing, Tracy, with more affordable housing than the Bay area, has become an attractive residential location for many Bay area workers. Tracy has become more of an outer suburb of the Bay area, rather than a small agricultural and industrial town. Also, Tracy has been experiencing industrial growth with new trucking, warehousing, and distribution facilities, as a number of firms seek to relocate from the Bay area.

However, due to Measure A, the housing boom in Tracy started deflating, going from 1,345 permits for new homes in 2002, down to 1,081 in 2004, and then down to 382 and 172 in 2005 and 2006. Of course, with the nationwide housing mortgage default and foreclosure crisis, only 27 and 18 permits were issued in 2007 and 2008 respectively. So, the boom era is over; and with Measure A, a local restart is not anticipated before 2013.



The State Department of Finance population estimate for Tracy, as of January 1, 2014, was 85,146. City staff estimate that 86,400 will be reached by January 2015.

# City of Tracy Statistics



## Demographics

	85,146 <sup>*1</sup> 84,682 <sup>*2</sup>		Area 29.1 Miles	
	2010 Data	2012 Data	Tracy Percent	U.S. Percent
Total Population				
Male	41,107	43,188	51.0%	49.2%
Female	41,815	41,494	49.0%	50.8%
Median Age	32	35		37.4%
Less than 18 years	26,668	24,896	29.4%	23.5%
18-64 years	50,504	51,689	61.0%	62.8%
65 years and over	5,750	8,107	9.6%	13.7%
White	43,724	60,039	70.9%	73.9%
Asian	12,229	13,041	15.4%	5.0%
Black	5,953	6,435	7.6%	12.6%
Mixed or other races	21,016	5,167	6.1%	8.5%
Labor Force	39,837	42,694		
% of population over 16	64.4%	73.6%		63.8%
Unemployment	10.8%	12.7%		9.4%

Major Area Employers <sup>*3</sup>	Employees
Safeway Distribution Center	2,000
Tracy Depot, Defense Logistics Agency	1,375
Tracy Unified School District	1,600
Duel Vocational Institution	1,300
City of Tracy	460
Sutter Tracy Community Hospital	568

Other Employment	Firms	Employees
Distribution/Transportation	10	1760
Manufacturing	5	1100
Food Processing	4	1130

Data Source:

<sup>\*1</sup>California Department of Finance, January 1, 2014 estimate

<sup>\*2</sup>U.S. Bureau of Census/2012

<sup>\*3</sup>City of Tracy, Economic Development Department

## Housing

	Housing Units 25,963 <sup>*2</sup>			
	2010 Data	2012 Data	Tracy Percent	U.S. Percent
Occupied Units	24,331	23,332	94.5%	87.6%
Owner Occupied	16,163	15,259	61.8%	55.9%
Median Value	\$386,800	\$ 236,000		\$171,900
Rentals	8,168	8,073	32.7%	44.1%
Vacant Units	1,632	1,357	5.5%	12.4%
Average Household Size	3.4	3.63		2.64
Income (2010 inflation adjusted)				
Median Household Income	\$ 76,753	\$ 69,514	\$ 69,514	\$ 51,371
Median Family Income	\$ 82,385	\$ 75,895	\$ 75,895	\$ 62,527
Per Capita Income	\$ 26,956	\$ 25,364	\$ 25,364	\$ 27,319
Families below poverty level	7.4%	5.0%	5.0%	11.8%

## Major Retail Outlets

JCPenney	Macy's
Best Buy	Sears
Staples	Wal-Mart
Costco	Target
Petco	Home Depot
Petsmart	Bed, Bath & Beyond

## Supermarkets

Safeway	Winco
Mi Pueblo	Save Mart (3)
Raley's	

## Hospitality

Hotels/Motels (12), 755 rooms  
Major Restaurants

**FY 2014/15 ADOPTED BUDGET  
ADDENDUM OF BUDGET ACTIONS**

The proposed FY 2014/15 budget was released on May 29, 2014. It was presented to the City Council at a budget workshop on June 3, 2014. A public hearing on the proposed budget was held on June 17, 2014. After the public hearing, the City Council adopted and approved the proposed budget as modified for FY 2014/15.

The following shows a summary of the changes between the Proposed FY 2014/15 Budget and the Adopted FY 2014/15 Budget.

	<b>PROPOSED</b>	<b>CHANGE</b>	<b>ADOPTED</b>
<i>General Fund</i>	\$ 56,402,850	\$ 19,800	\$ 56,422,650
<i>Other Funds</i>	69,867,710	(19,800)	69,847,910
<i>Capital Budget</i>	73,116,210	1,614,000	74,730,210
<i>Debt Service</i>	26,937,630		26,937,630
<b>TOTAL:</b>	<b>\$226,324,400</b>	<b>\$1,614,000</b>	<b>\$227,938,400</b>

The following changes were made to the FY 2014/15 Proposed Operating Budget:

- 1) A new Executive Assistant position proposed for the Utilities Department was reallocated to the Development Services Department, while an Administrative Assistant II position assigned to the Development Services Department was reallocated to the Utilities Department.

This change increased the Development Services Department budget by \$19,800, while the Utilities Department budget funded by the Water and Wastewater funds decreased by \$19,800.

- 2) A Capital Improvement Program (CIP) project for \$3,523,000 was added to the Capital Budget to replace Joe Wilson Pool located at Dr. Powers Park. This project will be funded through the General Projects Fund 301 by a transfer of \$1,909,000 from the Aquatics Center CIP 78054 and a transfer of \$1,614,000 from Year-End excess revenue.

# *Budget Guide*



# Budget Guide

The City's budget represents the official financial and organizational plan by which City policies and programs are implemented. This budget document presents the budget for the fiscal year running from July 1, 2014 to June 30, 2015.

The City's budget emphasizes reporting and controlling by programs within departments. A variety of programs representing major work efforts are established within each department. A budget is developed for each program in order to more accurately measure the true cost of providing services.

While, there are 7 City departments there are about 104 operating programs. Program budgeting provides much more useful information concerning the operations of the City. For example, rather than simply allocating \$23.9 million in FY14-15 for the entire Police Department, with program budgeting we can specify the resources necessary to provide for a particular activity, such as Traffic Enforcement, Animal Services, General Investigations, or Crime Prevention.

## Budget Document

The FY 14-15 budget for the City of Tracy is presented here in this document in the following sections:

**Section A: Budget Message**

This includes the City Manager's letter of transmittal to the City Council with supporting presentation of budget issues and policies.

**Section B: Budget Guide**

This section provides a general explanation of the budget document and process and includes a glossary of budget terms and other information helpful in understanding the City's budget.

**Section C: Fiscal Overview**

An overview of the budget comparing total City expenditures against anticipated resources. It presents the City's budget summaries.

**Section D: Estimated Revenues**

A presentation of the estimated revenues forecasted to be received by the City and available to fund expenditures.

**Section E: Operating Programs**

A presentation of the expenditures necessary to fund the everyday operating activities and programs of the City.

**Section F: Capital Improvement Program (CIP) and Capital Budget**

The CIP is the City's comprehensive multi-year plan for the development of the City's capital facilities and improvements. This section provides a presentation of CIP projects and their costs.

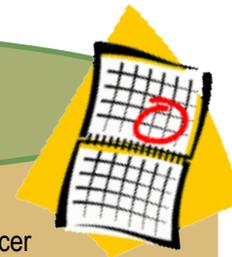
**Section G: Debt Service**

Debt service obligations are shown separately from the operating budget and the CIP in this section. This section provides a presentation of outstanding debt issues and their obligations.

## Budget Development

The City's budget is prepared by City staff under direction of the City Manager. The City Manager reviews and considers preliminary budget estimates and proposals submitted by City departments, and then formulates these estimates and proposals into a "Proposed Budget" for submission to the City Council. The City Manager is supported in this budget-making role by the Administrative Services Director and the Budget Officer.

### Budget Preparation Calendar FY 14-15 Budget



September 2013	CIP Update prepared by Budget Officer
October through December 2013	Distribution of CIP forms and instructions. Departments update and prepare CIP proposals
December 2013	Base Budget prepared by the Budget Officer
January through February 2014	Finance review of CIP proposals
January 24, 2014	Distribution of budget forms and instructions
January 24 <sup>th</sup> through March 15, 2014	Departments prepare operating budget submittals
March 15 <sup>th</sup> through March 29, 2014	Finance review of budget submittals and packaging of preliminary operating budget
April 9 <sup>th</sup> through April 23, 2014	City Manager's review of the preliminary operating budget and formulation of proposed budget
April 23 <sup>rd</sup> through May 28, 2014	Finance preparation and printing of proposed budget document
May 29, 2014	Release of proposed budget document
June 3, 2014	City Council Budget Workshop
June 17, 2014	City Council adoption of the budget
July 1, 2014	Start of new fiscal year

## Program Budget

The budgetary model used by the City of Tracy is known as “program budgeting”. This approach is a balanced integration of management by objectives, program budgeting, and line accounting control, with emphasis on program planning and evaluation, resource allocation, and financial management reporting and control.

Under a program system, the City’s budget is organized around programs subject to review and analysis. Each budgetary program has performance objectives that represent its intended level of service.

The purpose of utilizing a program approach for the operating budget is to achieve the following:

1. An emphasis on the services and activities of City departments rather than only detailed expense items. Budget justifications can then focus on public needs, departmental responses, and the resources needed for such.
2. Improved management capabilities. Rather than relying only on line-item accounting control, budget management can focus on service delivery and allow flexibility in managing resources.
3. Improved performance evaluation of City activities and operations. Budget preparation will require the formulation of specific performance objectives, and the budget execution can be evaluated against these objectives.
4. The use of manageable cost centers. Budget management can focus on more detailed breakdowns for various City activities, when necessary.

### Base Budget and Augmentations

The base budget is developed for all operating programs for contracted services and commodities accounts. Normally, the base is determined by utilizing the historical spending average from the prior 2 years (but adjusted each year for an inflation factor) plus the current budget amounts. The budget may also be adjusted for specific one-time items that are not considered to be ongoing.

For purposes of budget preparation and formulation, departmental budget submittals for a program consist of two parts: a base request and augmentation requests to enhance the base.

A program’s base request details the fiscal resources necessary to carry on the program at its current level. Personnel expenses for current regular staffing were adjusted only for mandated cost increases. This year, that represented PERS rates increases and Health Insurance.

However, contracted services, commodities and internal service charges can be adjusted for inflation. This year, except internal service charges, these costs were held at the current level.

The City’s budget policy provides for internal service funds for vehicle operations and maintenance (fuel and repair services), self-insurance (worker’s compensation and general liability), equipment replacement, central services (copier and mail), building maintenance (custodial, repair, and utilities), and information systems and telecommunications. Direct utilities costs, while allocated as internal service costs to different programs, are charged as departmental expenses. Direct use phone costs (local and long distance), as opposed to system costs, are charged to the various operating programs.

An augmentation request for a program details the funding to increase or significantly change the program budget from its current level (beyond the base request). Such funding would provide for:

- ◆ New or reorganized staffing;
- ◆ Contracted services or commodities expenses above those provided for in the base request;
- ◆ Purchase of new or replacement equipment;
- ◆ Outlays for minor improvements or building renovations;
- ◆ Any expenses for a special project or one-time effort.

Usually the base budget and augmentation requests total more than the amount of funds available. Management reviews all augmentation requests in order to determine priority. If possible, high priority augmentations are included in the budget, while maintaining an acceptable reserve level.

In the current economic and financial situation, however, the usual budget process is not applicable, particularly for General Fund programs and activities. Normally, the base budget provides for inflationary increases in personnel expenses for all current positions. Where there are existing labor agreements that specify cost of living adjustments the budget provides for these, but also includes allowance for the standard 5% merit increase when an eligible employee advances to a higher step within their pay range. Since no cost of living adjustments are specified under current agreements, none were provided in the FY14-15 budget. However, provisions were made for increases in personnel

expenses due to merit and incentive raises, PERS, flex-leave adjustments, and group insurances.

An important part of any compensation package as well as a significant expense to the City is the retirement plan. The City participates in the California Public Employees Retirement System (PERS) and these costs have been included in the FY14-15 budget.

In FY12-13, City employees started to pay 1/3 of the employees' share of PERS contribution which are 8% for miscellaneous employees and 9% for public safety employees. So, miscellaneous employees will contribute 2.67% of their pay for their PERS contribution, and 3% for public safety. In FY14-15, these employees' contributions will increase to 8% and 9% respectively. However, these contributions are partially offset by flex leave for the employee; but the City will show a net savings.

In addition, contracted services and commodities expenses, instead of receiving inflationary adjustments, have been reduced since FY 08-09. Since FY 11-12, budgeted expenses have been held at their FY 10-11 level. Budget augmentation requests were limited and only a few were added to the base.

In addition to staffing, contracted services, and commodities, the City's budget policy provides for the annual accumulation and set aside of monies for the purposes of replacing vehicles and equipment. These amounts are budgeted as internal service charges in the operating programs of City departments. These charges are then expended quarterly, and the receipts are placed into the Equipment Acquisition Fund 605, except for the Water, the Wastewater, and the Transit Funds, where the receipts are retained within those respective funds.

When preparing their annual budget requests, departments divide equipment requests into "new" and "replacement". New items have to compete for funding with other requests for new funding throughout the City operations. However, replacement items may be replaced from available funds within the Equipment Acquisition Fund 605. Starting in FY09-10, a new Vehicle Acquisition Fund 606 was started to separate the funding, assets, and outlays for vehicles and rolling stock from other equipment.

Occasionally for larger ticket items, it may be more feasible to obtain debt or lease financing. If this is done, then the annual lease payment or debt payment then becomes payable out of the respective department's annual set aside. Approved equipment purchases for FY14-15 are detailed further in the Equipment Acquisition Program 593x of the

non-departmental group in the Operating Budget section of this budget document. Major lease payments are budgeted in the Debt section.

As a cost savings measure, the internal services charges for equipment and vehicle replacement were cut to 50% for FY10-11 through FY12-13. In FY13-14 and FY14-15, these charges were brought back up in the budget. In addition, the charges were brought up to \$2,133,260 per year.

### Tracy City Council



## Budget Review and Adoption

The proposed budget was released on May 29, 2014. A budget workshop was held on June 3, 2014, where the City Council reviewed and considered the proposed budget prepared by City staff. The workshop provided the opportunity for City Council to discuss the budget in detail and to modify the proposed budget to reflect the Council's priorities. The workshop also permits public comment and participation in the budget process. Copies of the budget are available for public viewing at the City's Public Library and at City Hall.

After review and deliberation, the City Council adopted and approved the budget on June 17, 2014. The adopted budget includes any modifications made by the City Council during their review. The resolution adopted appropriates the monies necessary to fund the budget. Along with the budget resolution, the Council adopts a resolution establishing the appropriation limit for tax proceeds for the fiscal year as required by Article XII (B) of the State Constitution. Additional information regarding the appropriations limit can be found starting on Page C18.

After adoption, the Budget Officer prepares and has the approved budget document published. This document represents the official fiscal policy and plan of the City of Tracy for Fiscal Year 2014-2015. It serves as a communications medium for the general public concerning City activities, as well as a directive to City staff concerning the management and operations of City activities.

# Capital Improvement Program

## Definition of CIP

The term, Capital Improvement Program, or CIP, refers to a local government's ongoing program of construction projects. Usually these projects have high costs, take a year or more to complete, and result in the creation of a capital asset for the local government.

From a planning perspective, a Capital Improvement Program (CIP) is a comprehensive multi-year plan for the development of a City's capital facilities and improvements. Considering the high cost and completion time involved, a comprehensive multi-year plan is recommended as a good management and planning tool.

Such a plan attempts to identify for a City all capital maintenance, facilities, and improvements needed within the next few years. Sometimes, it also included major equipment purchases and capital related studies and master plans.

From a management perspective, it is a middle range implementation plan for City staff. It provides the scope of the project's efforts necessary to develop the capital facilities and improvements. For such projects, it establishes priorities, develops preliminary time schedules, estimates project costs, and determines funding sources.

After adoption by the City Council, a CIP Plan represents Council direction and authorization for City staff to implement the approved projects necessary to develop the capital facilities and improvements. Also, it serves as a management guide to City staff in the coordination and scheduling of project efforts and resources. It provides a reference for the monitoring and reporting upon progress in the implementation of the approved projects.

The approved project costs for the first year of a CIP Plan usually becomes the Capital Budget for the new upcoming fiscal year.

A CIP Plan should be annually updated and revised. New projects can then be added, approved projects reviewed and updated, and priorities reconsidered and possibly reassigned.

## Definition/Scope of CIP Project

For the City of Tracy, a CIP project includes the construction, acquisition, expansion, rehabilitation, or replacement of a facility or improvement, or non-routine maintenance work on such, which cost \$10,000 or more. It would also include any capital plan or study costing \$25,000 or more, or any equipment or systems acquisition costing \$100,000 or more.

The scope of a CIP project would include those activities which are preliminary and which would facilitate the primary project effort. Thus, it would include: planning and design work, land acquisition, contract bidding and negotiations, construction, project management and monitoring, equipment purchase and installation, and any contingencies set aside for a project.

## CIP Preparation and Review

The process for CIP preparation started last September with the preliminary planning for the process. In October, City departments then developed their CIP proposals. Competitive projects were then reviewed in November by an interdepartmental management group to rank projects. Competitive projects are those that compete for limited discretionary funding. In November through January, Engineering staff reviewed and refined the cost estimates for the proposals. In February, the proposals were reviewed by Central Management staff. This review resulted in the formulation of a proposed CIP document.

## CIP Council Review

The proposed CIP was presented to the City Council in April 2014. A Council workshop was held. The proposed CIP as modified is now included as part of the proposed City budget.

## Approved Capital Budget

The Council's budget review may include reconsideration of the proposed Capital Budget. Any modifications to the proposed Capital Budget resulting from City Council deliberations are then incorporated into the final adopted budget, which then provides authorization for City staff to start work upon the approved capital projects.



The Finance Division is responsible for the City’s budget and financial controls. The Finance Division reviews purchasing transactions and payment requests for compliance with City’s rules, regulations, and budgetary limits. Finance also administers the City’s payable system to review, process, and pay purchasing transactions and expense claims. The Finance Division receives and deposits all City receipts. City deposits are invested by the elected City Treasurer. The Finance Division maintains the records of all City receipts, outlays, and cash balances.

Monthly budget detail reports are prepared and distributed to department heads and program managers. These reports are organized by program and show the budget amount authorized as well as expenditures and encumbrances to date.

The Finance Division, in conjunction with Human Resources, maintains a position control system based on the budget to control City staffing. The Finance Division administers the City’s payroll system to process personnel transactions and to review and pay personnel expenses.

In the course of the fiscal year, it may become necessary to amend, transfer, or adjust the amounts appropriated in the adopted budget. Any subsequent appropriation of additional monies for a fiscal year requires approval of the City Council. Any transfer of appropriations between departments in the operating budget or between capital projects in the capital budget also requires Council approval. However, the City Manager may approve transfers between administrative control accounts within a department or within a capital project.

Early in 2009, the Finance Division started preparation and training for the installation of a new financial system. On July 1, 2009, the department started the utilization of the new financial system and software. The new system replaced the system used for the previous thirteen years. However, late in 2014, the Finance Division will start preparation and training for the implementation of another new financial system. This system will go into utilization in FY 15-16. The new system will provide an opportunity for

the department to review and evaluate current procedures, operations, and methods; and to seek improvements, where possible.

**Basis of Accounting**

The City’s financial records are prepared and maintained in accordance with generally accepted accounting principles (GAAP) of governmental accounting. Under GAAP, the modified accrual basis of accounting is used for governmental funds, while the full accrual basis of accounting is used for proprietary funds.

The chart on page B6 and following subsection provide more details concerning the City’s fund structure.

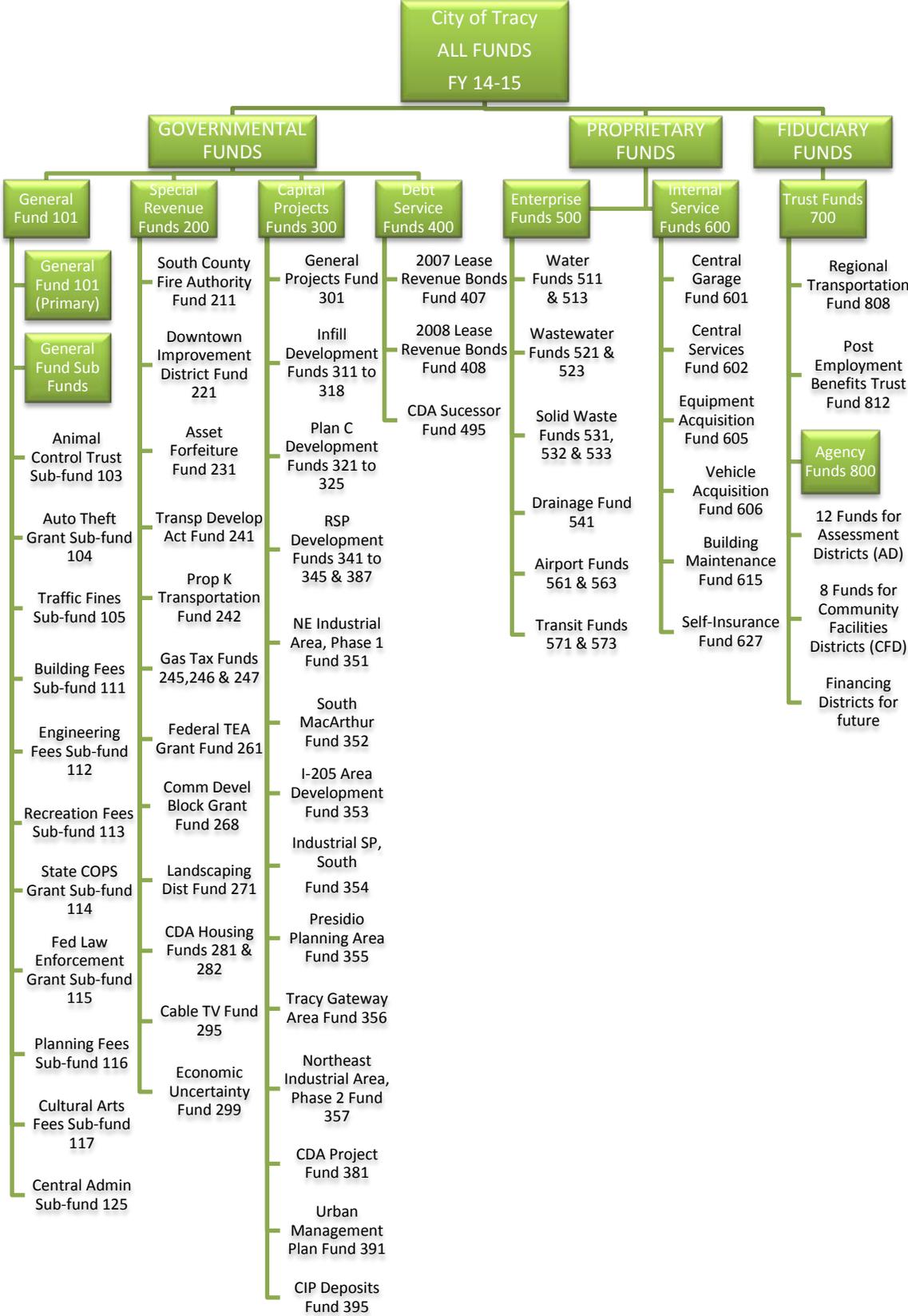
**Basis of Budgeting**

The City’s budget is prepared, recorded, and controlled using a modified accrual basis for all funds budgeted, including proprietary funds. Under this basis of budgeting, capital outlays and debt service principal payments are budgeted and recorded as expenditures. Debt proceeds, capital grants, interfund transfers, and interfund loans exceeding one year are budgeted and recorded as revenues. However, for accounting purposes, these entries in the proprietary funds are adjusted at year-end to comply with the full accrual basis of accounting in the City’s Comprehensive Annual Financial Report (CAFR). In City budget documents and periodic budget reports, they are treated as revenues and expenditures.

**Funds**

The City of Tracy’s fiscal activities are budgeted and accounted for through the use of funds. A fund is a separate fiscal entity, which is self-balancing and free standing. The use of funds enables the maintaining of separate records for particular purposes. The following pages B6 through B10 provide a list and description of the funds used by the City.

# City of Tracy Fund Structure



# City Of Tracy

## Description of Funds

### For FY 2014-2015 Budget

The chart on page B6 provides an outline of the City's fund structure. As the chart shows, funds are divided into three categories and then into eight fund types.

The fund categories are: Governmental Funds, Proprietary Funds, and Fiduciary Funds. The eight fund types are: General, Special Revenue, Capital Projects, Debt Service, Enterprise, Internal Services, Trust, and Agency. Below is a list of City funds by fund type.

The list provides a description of the funds used in the City's FY 14-15 budget. However, it is not an all-inclusive list since it does not include those trust and agency funds for which no budgets are prepared. The City's Comprehensive Annual Financial Report (CAFR) should be consulted for a complete listing of all City funds.

#### General Fund 100

**General Fund 101** - This fund is used to account for resources traditionally associated with government, which are not required legally or by sound financial management to be accounted for in another fund. This is the City's most significant fund because it is the City's main operating fund and accounts for about 41% of the City's operating budget.

A number of sub-funds have been established under the General Fund to account separately for certain grants and fee revenues. For internal administrative purposes, these sub-funds are used to record appropriate revenues and expenditures, and then to determine sub-fund equity. For CAFR reporting purposes, these sub-funds are consolidated into the final year-end General Fund figures.

Sub-funds established under the General Fund are:

- 103 - Animal Control Trust
- 104 - Auto Theft Grant
- 105 - Traffic Fines
- 111 - Building Fees
- 112 - Engineering Fees
- 113 - Recreation Fees
- 114 - COPS Grant
- 115 - Federal Law Enforcement Grants
- 116 - Planning Fees
- 117 - Cultural Arts Fees
- 125 - Central Administration

#### Special Revenue Funds 200

Special Revenue funds are used to account for specific revenues that are legally restricted to expenditures for a particular purpose. These funds are as follows:

**South County Fire Authority Fund 211** - This fund is used to account for revenues and expenses of the South County Fire Authority.

**Downtown Improvement District Fund 221** - This fund is used to accumulate revenues from business assessments and promotional income from special events to promote Downtown Tracy.

**Asset Forfeiture Fund 231** - This fund is used to account for the revenues that result from asset seizures. They are specifically restricted for law enforcement purposes.

**Transportation Development Fund 241** - This fund is used to account for the City's share of the quarter cent statewide transportation sales tax devoted to street maintenance purposes. The first claim on the tax goes to the Transit Fund and then any balance goes to this fund.

**Proposition K Transportation Tax Fund 242** - This fund is used to account for the City's share of the half-cent transportation sales tax for San Joaquin County. It is used for street maintenance and repairs.

**Gas Tax Street Funds 245, 246, 247** - These funds are used to account for the City's share of the motor vehicle gas tax imposed under the provisions of the Street and Highway Code of the State of California under Sections 325, 2105, 2106, 2107, and 2107.5, which are legally restricted for the acquisition, construction, improvement and maintenance of public streets.

**Federal TEA Grant Fund 261** - This fund is used to account for the revenues from federal aid for transportation projects.

**Community Development Block Grant Fund 268** - These funds are used to account for the federal grant monies received from the Housing and Urban Development Department for Community Development Block Grants.

**Landscaping District Fund 271** - This fund is used to account for the revenues collected from benefit assessment districts established to provide landscaping services in certain areas of the City. These districts cover all the newer developments in the City since 1985.

**Community Development Agency Housing Fund 281** - This fund was used to account for the 20% portion of tax increment funds received for redevelopment related purposes, and set aside for low and moderate-income housing.

With the disbanding of the Community Development Agency, a CDA Housing Successor Fund 282 received Fund 281 assets.

**Cable TV Fund 295** – This fund is used to account for the portion of cable television franchise fees allocated to provide a community access cable television channel in the City of Tracy.

**Economic Uncertainty Fund 299** - Established at the end of FY 00-01, with transfer from the General Fund, to provide a reserve for future economic uncertainties arising out of slowing economic growth.

## Capital Projects Funds 300

Capital Project funds are used to account for the acquisition and development of major capital facilities, other than those financed by proprietary or trust funds. The revenues to fund most capital projects are derived from development impact fees, bond proceeds, and/or special revenues. These funds are as follows:

**General Projects Fund 301** - This fund is used to account for capital projects financed through the transfer of General Fund monies or proceeds for community wide benefit.

**Infill Development Funds** - These funds are used to account for capital projects financed through capital development fees levied upon developers in the City's infill area. There are separate funds as follows:

- Fund 311 - Infill-Parks
- Fund 312 - Infill-Storm Drainage
- Fund 313 - Infill-Arterials
- Fund 314 - Infill-Building & Equipment
- Fund 315 - Infill-Program Management
- Fund 316 - Downtown Improvement
- Fund 317 - Redevelopment Agency Obligations
- Fund 318 - Downtown Projects

**New Areas Development Funds** - These funds are used to account for capital projects financed through capital development fees levied upon developers in respective new development areas.

**RSP Development – 1987 Residential Specific Plan (RSP)**  
Any and all funds from 1987 Residential Specific Plan (RSP) were closed at the end of FY 07-08. Now, these funds are used as an economic development fund for the city.

### Plan C Development

- Fund 321 - Plan C Areas-Parks
- Fund 322 - Plan C Areas-Arterials
- Fund 323 - Plan C Areas-Drainage
- Fund 324 - Plan C Areas-General Facilities
- Fund 325 - Plan C Areas-Utilities

### Other Planning Areas

- Fund 351 - Northeast Industrial Area, Phase 1
- Fund 352 - South MacArthur Planning Area
- Fund 353 - I-205 Development Area
- Fund 354 - Industrial Specific Plan, South
- Fund 355 - Presidio Planning Area
- Fund 356 - Tracy Gateway Area
- Fund 357 - Northeast Industrial Area, Phase 2

**Community Development Agency Project Fund 381** - This fund is used to account for construction projects related to the redevelopment project area. These projects are financed by bond proceeds and loans pledging repayment from tax increment monies.

Effective January 31, 2012, redevelopment agencies were disbanded by the State. Agency assets are to be liquidated.

**Urban Management Plan Facilities Fund 391** - This fund is used to account for expenditures for the planning, design, and program management required for new development in new areas in a preliminary stage and approved financing plan.

**Capital Improvement Program Deposits Fund 395** - This fund is used to account for monies received from developers, contractors and other entities for the purpose of reimbursing the City for expenditures incurred in studies, research, etc., regarding their proposed development or for construction of facilities that would normally be the owner's responsibility. For budgeting, it is also used to measure in-kind contributions from developers of constructed facilities.

## Debt Service Funds 400

Debt Service funds account for the accumulation of monies for the payment of debt where the City has some obligation. These funds are as follows:

**2007 Lease Revenue Bonds Fund 407** - This fund is used to accumulate the monies used for payment of the debt service on the 2007 Lease Revenue Bonds, which were used to refinance the Land COP debt. Monies are transferred into the fund from the General Fund 101 to make debt service payments.

**2008 Lease Revenue Bonds Fund 408** - This fund is used to accumulate the debt service on the 2008 Lease Revenue Bonds, which were used to refinance the Regional Mall COP Debt. Monies are transferred into the fund from the General Fund 101 to make debt service payments.

**Community Development Successor Agency Fund 495** - This fund is to for the administrative allocation to be used to oversee the liquidation of CSA assets and the repayment of outstanding CDA debt.

## Enterprise Funds 500

Enterprise funds are used to account for those operations that are financed and managed in a manner similar to private business enterprises, where the intent is that the costs of provided goods and services recovered primarily through user charges. Three of these enterprise funds - Water, Wastewater, and Solid Waste - are significant in that they represent about 34% of the City's operating expenditures.

**Water Fund 511** - This fund is used to account for the activities associated with the production, distribution, and transmission of potable water by the City to its residents and businesses.

**Water Capital Fund 513** - This fund is used to account for capital funding and outlays for water system CIP projects.

**Wastewater Fund 521** - This fund is used to account for the activities associated with the collection, treatment, and disposal of wastewater from City residences and businesses.

**Wastewater Capital Fund 523** - This fund is used to account for the capital funding and outlays for wastewater system CIP projects.

**Solid Waste Funds 531, 532, 533** - These funds are used to account for the activities associated with the collection and disposal of refuse throughout the City which is

accomplished through a franchised operator. Fund 531 is used to account for waste disposal activities and street sweeping. Fund 532 is for solid waste collection, and Fund 533 is for recycling activities.

**Drainage Fund 541** - This fund is used to account for the activities related to the operations and maintenance of the City's storm drainage system.

**Airport Fund 561** - This fund is used to account for the activities associated with the operations and maintenance of the City's airports.

**Airport Capital Fund 563** - This fund is used to account for the capital funding and outlays for airport CIP projects.

**Transit Fund 571** - This fund is used to account for the activities associated with the operations and maintenance of the City's public transit activities, and has particular emphasis on serving the elderly and the handicapped.

**Transit Capital Fund 573** - This fund is used to account for capital funding and outlays for transit CIP projects.

## Internal Service Funds 600

Internal Service funds are used to account for the financing of goods or services provided by one department to another on a cost reimbursement basis. These funds are as follows:

**Central Garage Fund 601** - This fund is used to account for the fueling and maintenance of the City's fleet of vehicles which serves the vehicle and equipment needs of all City departments and divisions.

**Central Services Fund 602** - This fund is used to account for charges received from City agencies for central postage and copying, telecom, and computer services.

**Equipment Acquisition Fund 605 and Vehicle Acquisition Fund 606** - These funds are used to account for monies received from all City agencies and programs, except for the water, wastewater and transit funds, for the acquisition of replacement equipment and vehicles. Agencies' payments are maintained in a savings account until purchases and/or lease payments are made.

Fund 605 is for general equipment, while Fund 606 is for vehicles and rolling stock. The latter was new for FY 09-10.

**Building Maintenance Fund 615** - This fund is used to account for charges received from City agencies to provide for building maintenance and repair activities and custodial services.

Self-Insurance Fund 627 - This fund is used to finance and account for the City's risk management, insurance, and workers compensation programs.

## Fiduciary Funds 700 & 800

Fiduciary funds are used to account for assets held by the City in a trustee capacity or as an agent for a third party are recorded here. While the City has a number of such funds, usually they are not subject to budgeting requirements and are not included in the budget. However, there are a few included in the budget where the City serves as the debt administrator for certain special assessment and community facilities districts. These funds are as follows:

**Regional Transportation Impact Fund 808** - This fund account for receipt and distribution of Regional Transportation Impact Fees collected by the City.

**Post-Employment Benefit Trust Fund 812** - This fund account for post-employment benefit paid out by the City. Such benefits are funded through medical leave banks or reimbursements from retirees or former employees.

**Agency Debt Funds** - These funds are the only trust and agency funds budgeted and they are used to account for the monies held on behalf of Assessment District (AD) or Community Facilities District (CFD) property owners, until they are remitted to the bond trustee. The debt issues for these districts were used to finance new infrastructure in or for the respective new development in the districts.

**Financing Districts 8xx** - It is anticipated that one or more new assessments or community facilities districts may be established in FY 10-11 and/or future years.

Separate funds for these districts as follows:

- \*3 Fund 831 AD 87-3 Water RSP
  - \*1 Fund 832 AD 93-2 Woodfield Estates
  - \*3 Fund 834 AD 84-1 Sewer RSP
  - Fund 835 CFD 89-1 ISP-NE
  - Fund 837 CFD 99-1 ISP-NE
  - \*2 Fund 838 CFD 99-2 S MacArthur Area
  - \*2 Fund 839 AD 00-02 Heartland #3
  - Fund 840 CFD 00-01 Presidio
  - Fund 841 AD 94-1 Auto Mall
  - \*1 Fund 842 AD 95-1 Pheasant Run
  - \*1 Fund 843 AD 96-1 Bridle Creek 1 & 2
  - Fund 844 CFD 93-1 Tracy Marketplace
  - \*1 Fund 845 AD 97-2 Bridle Creek 3 & 4
  - Fund 846 CFD 98-1 Plan "C"
  - Fund 847 CFD 98-3 Souza Citation
  - \*1 Fund 848 AD 97-1/Park Atherton
  - \*2 Fund 849 AD 98-4 Morrison Homes
  - Fund 850 I-205 RAA 1999 Debt Refinancing
  - Fund 851 CFD 00-03 Larch-Clover
  - Fund 852 AD 03-01 Berg Avenue Utilities
  - Fund 853 CFD 06-01 NEIA, Phase 2
  - Fund 854 TOPJPA Revenue Bonds 2011A
  - Fund 855 CFD 11-1 Tracy 580 Business Park
- \*1 *These funds were closed and consolidated into Fund 850 in FY 99-00. However, their respective assessment districts remain active for revenue collection purposes.*
- \*2 *These funds were consolidated into Fund 854 in FY 12-13. However, their respective assessment districts will remain active for revenue collection purposes.*
- \*3 *These funds were closed in FY 12-13.*

# City Of Tracy

## Budget & Fiscal Policies

The City of Tracy's annual budget is prepared, reviewed, approved, and administered in accordance with several sources of policy direction including the Tracy Municipal Code and the City's Administrative Policies and Procedures Manual.

### Budget Policies

**Fiscal Year:** The fiscal or budget year of the City shall begin on the first day of July and shall end on the thirtieth day of June of the succeeding year, unless otherwise prescribed by State law.

**Comprehensive Budget:** The City budget shall consist of the operating budget, the debt service budget, the capital budget, the capital program, and the budget message. The budget will be organized in a comprehensive programmatic format emphasizing annual work effort and performance. It shall represent a complete financial plan for a fiscal year for the City reflecting all receipts and disbursements from all sources, including all revenues, all expenditures, and the surplus or deficit in the general fund and all governmental, proprietary and expendable trust and agency funds of the City government.

**Operating Budget** - The "operating budget" is the plan of the City to receive and expend funds for obligations and charges incurred for current operating activities and programs of the City for a specific fiscal year.

**Debt Service Budget** - The "debt service budget" is the fiscal plan and schedules to receive and expend funds to pay off long-term city debt.

**Capital Budget** - The "capital budget" is the fiscal plan of the City to receive and expend funds for capital projects scheduled during the first fiscal year included in the capital program.

**Capital Project** - A "capital project" is; (1) any physical public betterment or improvement and any preliminary studies and surveys relative thereto; (2) the acquisition of property of a permanent nature for public use; and (3) the purchase of equipment for any public betterment or improvement when first constructed.

**Capital Program** - The "capital program" is the fiscal plan and schedule of the City to receive and expend funds for capital projects during the fiscal year covered by the capital budget and the next succeeding four fiscal years thereafter.

The City Manager shall be responsible for the formulation of the proposed City budget.

#### Submission of the City Budget

- Not later than June 30th, the City Manager shall submit to the City Council the proposed City budget for the next fiscal year.
- The proposed City budget shall be filed with the City Clerk and copies thereof shall be made available to the public upon request. A copy thereof shall also be made available to the public at the City library and the City's website.

#### Public Budget Hearings

- Upon receipt of the proposed City budget, the City Clerk shall cause to be published a public notice of the place and time of at least one public hearing on the budget by the City Council.
- The City Council may hold such other preliminary workshops on the budget for the purpose of obtaining information as it may determine, but final budget adoption shall not be taken by the City Council until after the public hearing prescribed in this section.

**Council Action on the Budget** - After the public hearing, the City Council may add, decrease, or delete any items in the budget except any provisions for debt service on obligations then outstanding or for estimated cash deficits.

#### Council Adoption of the Budget

- The adoption of the City's annual budget shall be by the affirmative vote of a majority of the City Council by a resolution to be known as the Annual Budget and Appropriations Resolution.
- The format of the adopted budget shall be by fund and department for the operating budget and by fund and capital project group for the capital budget.
- The adopted budget shall also include a list of authorized interfund transfers.

#### Effective Date of the Budget

The adopted budget shall take effect on the first day of the fiscal year to which it applies.

**BUDGET PRINCIPLES TO ADDRESS THE CITY'S STRUCTURAL BUDGET DEFICIT  
AS APPROVED BY RESOLUTION 2011-094  
MAY 3, 2011**

1. **General Fund Reserves**  
Through FY15-16, the City shall maintain a General Fund reserve of at least 20% of the City's General Fund Operating Budget.
2. **Budget**  
Reserves may be used to balance the General Fund Operating Budget through FY13-14. The General Fund Operating Budget to be adopted by City Council for FY14-15 must be balanced without the use of reserves.
3. **Economic Uncertainty Fund**  
When conditions permit begin building up the Economic Uncertainty Fund.
4. **Structurally Balanced Budget**  
The annual budgets for all City funds shall be structurally balanced throughout the budget process. Ongoing revenues shall equal or exceed ongoing expenditures in both the proposed and adopted budgets. If a structural imbalance occurs, a plan shall be developed and implemented to bring the budget back into structural balance.
5. **Proposed Budget Revisions**  
The annual General Fund proposed budget balancing plan shall be presented and discussed in context of the updated five-year forecast. Any revisions to the proposed budget shall include an analysis of the impact on the forecasted years. If a revision creates a negative impact on the forecast, a funding plan shall be developed and approved to offset the impact.
6. **Use of One-Time Resources**  
Once the General Fund budget is brought into structural balance, one-time resources (e.g., revenue spikes, budget savings, sale of property, or similar nonrecurring revenue) shall not be used for current or new ongoing operating expenses. Examples of appropriate uses of one-time resources include rebuilding the Economic Uncertainty Reserve, early retirement of debt, capital expenditures without significant operating and maintenance costs, and other nonrecurring expenditures.
7. **Reserves**  
All City funds shall maintain an adequate reserve level and/or ending fund balance, as determined annually and as appropriate for each fund. For the General Fund, a contingency reserve amount which is a minimum of 20% of the operating budget shall be maintained.
8. **Prudent Use of Debt**  
The City shall not issue long-term (over one year) General Fund debt to support ongoing operating costs. All General Fund debt issuance shall identify the method of repayment or have a dedicated revenue source. General obligation debt shall be limited to 8% of the operating budget.
9. **Capital Improvement Projects**  
Capital Improvement Projects shall not proceed for projects with annual operating and maintenance costs exceeding \$25,000 without City Council certification that funding will be made available in the applicable year of the cost impact.
10. **Fees and Charges**  
Fee increases shall be utilized, where appropriate, to assure that the program operating costs are fully covered by fee revenue and explore opportunities to establish new fees for services where appropriate.
11. **Grants**  
City staff shall seek out, apply for and effectively administer federal, state and other grants that address the City's priorities and policy objectives and provide a positive benefit to the City. Before the grant is pursued, staff shall provide a detailed pro-forma that addresses the immediate and long-term costs and benefits to the City. One-time operating grant revenues shall not be used to begin or support the costs of ongoing programs.
12. **Personnel Services Costs**  
Total General Fund personnel services costs shall not exceed 75% of the General Fund operating budget.
13. **Performance Measures**  
All requests for departmental funding shall include performance measurement data so that funding requests can be evaluated and approved based on effective accomplishment of community desired outcomes and priorities.
14. **Budget Offsets**  
City Council approval of a General Fund supplemental appropriation (additional monies after the adoption of the budget for the fiscal year), shall be accompanied by a corresponding action to reduce the General Fund budget in another area.

## Accounting and Audit Policies

**Governmental Accounting** - The City's financial records will be maintained in accordance with generally accepted accounting principles for governmental accounting, which prescribe fund accounting.

**Financial Reporting** - After the close of the fiscal year, a comprehensive annual financial report (CAFR) covering all funds and financial operations shall be prepared and submitted to the City Council.

**Annual Independent Audit** - All the funds, accounts and financial transactions of the City shall be subjected to an annual audit by an independent certified public accountant that is to be selected by the City Council.

**Basis of Accounting** - The basis of accounting within Governmental Fund types used by the City is modified accrual. Under this method of accounting, revenue is recorded when susceptible to accrual, which is when both measurable and available for the funding of current appropriations. Further, expenditures are recognized when the related fund liability is incurred. Under the modified accrual basis of accounting, principal and interest on long-term debt are recorded as fund liabilities when due. All Enterprise and Internal Service Funds follow the accrual basis of accounting. Under this method of accounting, revenues are recognized when earned and expenses are recorded when incurred.

**Accounting System Maintenance** - The City places continued emphasis on maintenance of an accounting system that provides strong internal budgetary and accounting controls. It is designed to provide reasonable assurances regarding both the safeguarding of assets against loss from unauthorized use or disposition, and the reliability of financial records for preparing financial statements and reports, such as the budget and the City's Comprehensive Annual Financial Report (CAFR), as well as the maintenance and accountability of assets.

**Comprehensive Annual Financial Report** - The City of Tracy issues a CAFR in accordance with accounting principles generally accepted in the United States of America and outlined by the Governmental Accounting Standards Board. An independent audit of the City's CAFR is performed annually.

## Budget Control

**Administrative Budget Control** - The adopted budget shall be detailed to administrative control accounts. Such detailing shall be consistent with the format of the adopted budget and be based upon the supporting detail underlying the proposed budget submitted to the Council and as modified by any Council action.

### Budgetary Accounts

- For the operating budget, expenditures and encumbrances shall be accounted for by department, program, object, and sub-object within a fund.
- For the capital budget, expenditures and encumbrances shall be accounted for by capital project group, project, and sub-object within a fund.
- Revenue shall be accounted for by source within a fund.
- Budget and Finance staff shall be responsible for maintaining tables for accounts for program, projects, objects, sub-objects, and revenue sources to be used for budgetary accounting.

**Budget Reporting** - The City Manager shall provide budget reports to the City Council quarterly showing the status of the budget. These reports shall match the format of the adopted budget and be by fund and major sources for revenues, by department and program for operating expenditures and by capital group for capital projects.

**Limitations on Expenditures** - No expenditures of City funds shall be made or authorized in excess of the available unencumbered appropriations therefor. Nothing in this chapter shall prevent the making of contracts providing for the payment of funds at a time beyond the fiscal year in which such contracts are made, provided the nature of such transactions reasonably requires the making of such contracts.

### Composition and Limitations on City Funds

- All revenues and receipts from assessments; from special services or benefit charges; from special taxes or assessments imposed upon special taxing areas for special or particular services, purposes or benefits; from funds held by the City as trustee or agent; or from bond proceeds, shall be paid into and appropriated from special funds created therefore. All other revenues and

receipts of the City from taxes, grants, State revenues and other receipts shall be paid into and appropriated from the general fund which shall be the primary fund for the financing of current expenses for the conduct of City business.

- No general fund revenues or receipts shall be dedicated to, expended for, or used to supplement appropriations from the special funds except as a loan to such special fund as authorized by the City Council.
- Upon request of the City Manager, the City Council may, by the Annual Budget and Appropriation Resolution, or by other legislative act, provide for the establishment of working capital or revolving funds for the financing of internal services common to the agencies of the City.
- Notwithstanding other provisions of this section, the City Council may establish a reserve fund for permanent public improvements, into which there may be paid by the annual budget and appropriation resolution cash surpluses not otherwise appropriated or toward the financing of which taxes or other sources of revenue may be dedicated.

#### Transfer of Appropriations

- Transfers of appropriations between expenditure accounts in the operating budget within the same agency and within the same fund may be authorized by the City Manager.
- Transfers between agencies of the City government and within the same fund of the operating budget may be made by resolution of the City Council upon request of the City Manager.
- Inter-project transfers of appropriations between capital projects in the capital budget may be authorized by resolution of the City Council upon request of the City Manager.
- Nothing contained herein shall be construed to prevent the City Council, upon request of the City Manager, from adopting a resolution for interfund cash borrowings to meet temporary cash requirements or to prevent reimbursements among funds for goods supplied or services rendered.

#### Supplementary Appropriations

During any fiscal year, the City Council, by the affirmative vote of a majority of the Council, upon the recommendation of the City Manager, may by resolution make additional or supplementary appropriations from unexpended and unencumbered funds set aside for contingencies in the City budget, from revenues received from anticipated sources, but in excess of budget estimates therefor, or from revenues received from sources not anticipated in the budget.

**Grant Appropriations** - Revenues from grant allocations which were unanticipated in any current fiscal year may be appropriated by resolution by the City Council upon request of the City Manager. The appropriation shall be made to the proper grant account established for the revenues and any surplus grant funds remaining at the end of the fiscal year shall be carried over to the following fiscal year without the necessity of further action by the City Council.

#### Unexpended Appropriations

- Unless otherwise provided by law, all unexpended and unencumbered appropriations in the operating budget remaining at the end of the fiscal year shall lapse and revert into the appropriate fund balance.
- No appropriation for a capital project in the capital budget shall lapse until the purpose for which the appropriation was made shall have been accomplished or abandoned; provided that any capital project shall stand abandoned if five (5) fiscal years elapse without any expenditure from or encumbrance of the appropriation made therefor.
- The balances remaining to the credit of the completed or abandoned capital projects shall be available for appropriation in subsequent capital budgets to the extent permitted by State law.

#### Year End Budget Carryovers

- Any unexpended but encumbered appropriations for specific orders or contracts outstanding at the end of the fiscal year shall be carried over into the new fiscal year.
- Any expended appropriations for incomplete active projects in the capital budget at the end of the fiscal year shall be carried over into the new fiscal year.
- When carried over and budgeted in the new fiscal year, these appropriations shall be offset against the appropriate fund balance and/or revenue source.
- These carryovers may be made without further Council actions, since prior authorization has been given for these appropriations.

## Contract and Purchasing Policies

**Formal Bids** - Contracts and purchases of \$50,000 or more, and for public projects over \$5,000, require a formal bidding process (or a formal RFP process for professional services contracts) and award by City Council action. However, an award may be made by City Council action while waiving the formal process for the contract or purchase.

**Informal Quotations** - Contracts and purchases of \$10,000 or more but less than \$50,000 require an informal quotations process (or an informal RFP process) with award by the City Manager.

**Open Market Purchases** - Contracts and purchases over \$3,000 but under \$10,000 have no procedural requirements but awards may be made by the department head after review and approval by the finance division.

**Small Purchases** - Contracts and purchases of \$3,000 or less may be made by the department staff under delegation by the department head.

**Budget Sufficiency** - A contract or purchase may be initiated only if sufficient funds have been appropriated and for which there is an unexpended and unencumbered remaining balance sufficient to pay for the contract or purchase.

**Encumbrances** - Each contract or purchase requiring the payment of City funds, after execution will be entered into the City's financial system by an encumbrance against the appropriate budgeted funds.

## Cash Management / Investment Policies

**Pooled Cash** - The majority of unrestricted cash is combined into one pooled operating account to facilitate effective management of the City's resources.

**Investments** - The City Treasurer invests temporarily idle funds in accordance with Government Code Sections 53600 et al, 16429.1 and 53684 and a formal investment policy approved by the City Council and the Investment Advisory Commission. Permissible investments include obligations of the U.S. Government, Federal Agencies, Government Sponsored Enterprises Medium Term Notes, Certificates of Deposit, Bankers Acceptances, Commercial Paper rated A-1 by Standard and Poor's Corporation, P-1 by Moody's Investors Service or F-1 by Fitch Ratings, State of California Local Agency Investment Fund, Repurchase Agreements, Reverse Repurchase Agreements, and Money Market Mutual Funds.

## Position Control System

- A position control system shall be maintained to control personnel transactions and to ensure that they are in compliance with budgetary limits.
- After the adoption of the budget, a position control roster showing authorized positions will be prepared based upon the tables of staffing submitted in the proposed budget and as modified and approved by Council action.
- No personnel transaction for a City department shall be made or authorized in excess of the number of authorized positions for the agency or in excess of budgeted funds for personnel expenses.
- Temporary over-hires in a City department may be allowed for particular positions if their impact is offset by other vacancies in other authorized positions of equal and/or higher compensation in the department.
- Substitute positions in a City department may be allowed for particular positions if their impact is offset by absences or vacancies in other authorized positions and the budget authority is not exceeded.
- The establishment of any new full-time regular position, the reclassification of any current positions, or the transfer of a current position between departments shall require the amending of the position control roster by Council action upon request of the City Manager.



## GLOSSARY OF BUDGET TERMS

**Adopted Budget:** The official budget as approved by a legislative body at the start of each fiscal year.

**Amended Budget:** The official budget as adopted and as amended by the legislative body through the course of a fiscal year.

**Appropriation:** A legal authorization granted by a legislative body to make expenditures and to incur obligations for specific purposes. An appropriation is usually limited in amount and in time as to when it may be expended.

**Asset:** An economic resource or probable future benefit obtained or controlled by a particular entity as a result of past transactions or events.

**Augmentation:** An increase to the base budget proposed during the budget preparation process and to be added to the budget for the new upcoming fiscal year. See page B2 for further explanation.

**Base Budget:** A preliminary budget forecast used as a starting point in the budget preparation process. It usually provides financial resources to carry on current service levels with only an inflationary increase.

**Budget:** A plan of financial operation embodying an estimate of proposed expenditures for a given period and the proposed means of financing them. Used without any modifier, the term usually indicates a financial plan for a single fiscal year.

**Budget Message:** A general discussion of the proposed budget as presented in writing by the budget-making authority to the legislative body.

**Capital Budget:** A budget which focuses on capital projects to implement the first year of Capital Improvement Program.

**Capital Improvement Program:** A plan for capital improvements to be implemented over a fixed period of years to meet capital needs arising from long-term work effort. It sets forth projects or other contemplated expenditures in which the government is to have a part and specifies the full resources estimated to be available to finance the projected expenditures. Usually, the plan is updated annually.

**Capital Project:** The budget unit to group all activities and costs necessary to implement a specific capital improvement and/or acquisition, costing \$10,000 or more. Such would involve the construction, acquisition, expansion, replacement, or rehabilitation of a physical facility or improvement. Also, such projects involve planning and design, land acquisition, and project management related to such facilities and improvements. For the City of Tracy, studies over \$25,000 and equipment purchases over \$100,000 are budgeted as capital projects.

**Capital Outlays:** Expenditures which result in the acquisition of or addition to fixed assets. In the operating budget, they usually involve equipment acquisitions under \$100,000, or facility improvements under \$10,000. In the capital budget, they are grouped into capital improvements projects.

**Carryovers:** The transfer of unspent appropriations from one fiscal year into the following fiscal year. They are normally allowed only for one-time items in the operating budget or uncompleted projects in the capital budget. When posted into the new fiscal year, they become part of the amended budget. *(See page C2 for further explanation)*

**Commodities:** The object expenditure class for payments made for good and materials used in City operations and activities and supplied by external parties. The class is further detailed into sub-objects for different types of commodities.

**Contracted Services:** The object expenditure class for payments made for services rendered in support of City operations and activities by external parties. These may be based upon either formal contracts or ad-hoc charges. The class is further detailed into sub-objects for different types of services.

**Cost Allocation Plan:** A plan prepared to identify the total costs of providing City services. It first determines direct and indirect costs; and then, allocates the latter back to the former on the basis of benefit in a logical and uniform manner.

**Current Assets:** Those assets likely to be used up and/or converted into cash within one year.

**Current Charges:** Charges collected by a government in exchange for a particular public service of a limited and specific benefit. These may be voluntary or mandatory if necessary depending on the particular service.

**Current Liabilities:** Liabilities likely to be paid off or liquidated within one year.

**Debt Service:** A budget which focuses on obligations for outstanding debt issues. Also, the expenditure objects for the payment of these debt obligations. The object is detailed into sub-objects for principal, interest, and debt service fees.

**Deferral:** The administrative act of reducing the budget during the fiscal year. This is usually done when items or projects are to be rescheduled for future years.

**Direct Costs:** Those costs necessary and related to providing a specific service to the public.

**EDUs:** See Equivalency Factor.

**Encumbrance:** An obligation or reserve charged against a budget indicating that a commitment has been made or an order placed for a certain amount and that is not then available for other transactions, prior to the actual spending.

**Equivalency Factor:** A unit cost measure providing a relative common base for all elements of the City budget. The factor is expressed in terms of an Equivalence Dwelling Unit or EDUs, which equates to the "average" household in the City. See page B3 for further explanation.

**Equity:** The residual economic net worth of a particular entity calculated by adding up its assets minus its liabilities.

**Expenditures:** Decreases in net financial resources. Expenditures include current operating expenses which require the current or future use of net current assets, debt service and capital outlays.

**Expenses:** Decreases in net total assets. Expenses represent the total cost of operations during a period regardless of the timing of related expenditures.

**Fiduciary Funds:** These funds account for monies held by the City in a fiduciary manner. These are funds which may use a financial flow or capital maintenance focus depending on its purpose. The types of funds are Trust and Agency.

**Full-Time Equivalent:** A unit measure for counting staffing where 1.00 FTE represents one full-time regular employee. Part-time and temporary employees are measured as proportionately based upon 2,080 staff hours per year for the equivalent of the 1.00 FTE.

**Fiscal Year:** A 12-month period to which the annual operating budget applies and at the end of which a government determines its financial position and the results of its operations.

**Fixed Assets:** Assets of a long-term character which are intended to continue to be held or used, such as land, buildings, improvements other than buildings, machinery and equipment.

**FTEs:** See full-time equivalent.

**Full Accrual Basis:** The basis of accounting where revenues are recognized when they are earned, expenses when incurred, and fixed assets are depreciated. All enterprise and internal service funds are accounted for using the full accrual basis of accounting.

**Fund:** A fiscal and accounting entity with a self-balancing set of accounts recording cash and other financial resources, together with all related liabilities and residual equities or balances, and changes therein, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions, or limitations.

**Fund Balance:** A term used to express the equity (assets minus liabilities) of governmental fund types and trust funds. However, for budgeting purposes, a working capital definition of current assets minus current liabilities is used for the computation.

**Generally Accepted Accounting Principles (GAAP)** Uniform minimum standards of/and guidelines for financial accounting and reporting. They govern the form and content of the basic financial statements of an entity. GAAP encompass the conventions, rules, and procedures necessary to define accepted accounting practices as a particular time. They include not only broad guidelines of general application, but also detailed practices and procedures. GAAP provides a standard by which to measure financial presentations.

**Governmental Funds:** These funds account for City governmental operations and activities funded primarily through taxes and grants. These are funds which utilize a financial flow measurement focus and modified accrual basis of accounting. The types of funds are: General, Special Revenues, Capital Projects, and Debt Service.

**Gross Budget:** The total of the budgets of each City fund including budgeted transfers between funds. See Net Budget.

**Indirect Costs:** Payments made by City Departments and activities to pay for their share of Citywide overhead and support activities. These payments are made to the General Fund by non-General Funds through a separate program in the non-departmental group under the operating budget. Administratively, the central administration sub-fund 125 accumulates the overhead expenses and is then reimbursed by the other funds, including the General Fund and its net fee producing sub-funds.

**Intergovernmental Revenue:** Revenue collected by one government and distributed (usually through some predetermined formula) to another level of government(s).

**Internal Charges:** These charges are paid into one or more of the City's internal service funds. Object of expenditure for payments made to other City programs for particular services rendered and/or materials supplied, on a cost reimbursement basis. These are further detailed into sub-objects for different services.

**Lapsed Appropriations:** Under the "use it or lose it" rule, operating budget appropriations not spent or encumbered at the end of a fiscal year lapse or terminate as budget authority. For capital budget appropriations, they lapse at the end of fiscal year only when a project has been completed or canceled, or when there has been no activity for more than three fiscal years.

**Liability:** A legal claim upon, or probable future sacrifice of, economic resources or benefits of a particular entity, arising from an obligation to transfer assets or provide service to others in the future as a result of past transactions or events.

**Long-Term Debt:** Any debt obligation which is not payable within one year.

**Measurements Focus:** The accounting convention which determines (1) which assets and which liabilities are included on an entity's balance sheet and (2) whether its operating statement presents "financial flow" information (revenues and expenditures) or "capital maintenance" information (revenues and expenses).

**Modified Accrual Basis:** The basis of accounting where revenues are recognized when they become both "measurable" and "available" to finance expenditures of the current period. All governmental funds and expendable trust funds are accounted for using the modified accrual basis of accounting.

**Net Budget:** The gross budget less all interfund transactions. Those amounts in the budget representing transfers and interfund reimbursements which in effect are double counted in from the perspective of the entire budget are subtracted from the gross budget amount.

**Objective:** A simply stated, readily measurable statement of aim or expected accomplishment within the fiscal year. A good statement of objective should imply a specific standard of performance for a given program:

(a) An **operational** objective focuses on service delivery.

(b) A **managerial** objective focuses on those aspects of management that help staff achieve operational objectives, i.e., staff training, work plan development, etc.

**Objects:** The classification of expenditures in terms of what is bought and paid for. For the City of Tracy the following are used: personnel expenses, contracted services, commodities, internal charges, and other payments. Objects are detailed into sub-objects to further specify what expenditures are for.

**Operating Budget:** A budget which focuses on everyday operating activities and programs.

**Operating Transfers:** Legally authorized transfers from a fund receiving revenue to the fund through which the resources are to be expended, transfers of tax revenues from a Special Revenue Fund to a Debt Service Fund, transfers from the General Fund to a Special Revenue or Capital Projects Fund, operating subsidy transfers from the General or a Special Revenue Fund to an Enterprise Fund, and transfers from an Enterprise Fund other than payments in lieu of taxes to finance General Fund expenditures.

**Performance Budget:** A budget which relates expenditures to measures of activity and performance.

**Personnel Expenses:** The object expenditure class for the compensation paid to or for City employees. The class is detailed into sub-objects for regular salaries, temporary wages, overtime, and various benefits.

**Preliminary Budget:** A budget in its preliminary preparation stage prior to review and formulation by the formal budget-making authority. In the preliminary stage, a budget consists of various estimates forecasting current costs into the future and new or modified spending proposals for the future.

**Program Budget:** A budget organized by programs. A program used in this application is a grouping of related activities, projects and services which are similar in purpose. The expenditure focus of a program budget is related to the nature of work and services performed.

**Program Performance Budget:**

Combines performance measures with a program budget structure.

**Proposed Budget:** The budget as formulated and proposed by the budget-making authority. It is submitted to the legislative body for review and approval.

**Proprietary Funds:** These funds account for City activities funded and operated in a manner similar to business enterprises, supported by fees and charges. These funds utilize a capital maintenance measurement focus and full accrual basis of accounting. The types of funds are Enterprise and Internal Services.

**Recision:** The legislative act of reducing and/or canceling appropriations, after budget adoption. The effect is to reduce the budget.

**Reserves:** Those monies that are set aside in the budget for contingencies, for future projects, for debt services, or for cash flow purposes.

**Retained Earnings:** An equity account reflecting the accumulated earnings of an Enterprise or Internal Service Fund. For budgeting purposes, the working capital definition of fund balance is used.

**Revenues:** (1) Increases in government fund type net current assets from other than expenditure refunds and residual equity transfers. Under NCGA Statement 1, general long-term debt proceeds and operating transfers-in are classified as "other financing sources" rather than revenues. (2) Increases in proprietary fund type net total assets from other than expense refunds, capital contributions, and residual equity transfers. Under NCGA Statement 1, operating transfers-in are classified separately from revenues.

**Special Assessments:** Compulsory charges levied by a government for the purpose of financing a particular public service performed for the benefit of a limited group of property owners.

**Supplemental:** An increase to the adopted or amended budget proposed during the middle of the fiscal year. When approved as a supplemental appropriation, it becomes part of the amended budget.

**Taxes:** Compulsory charges levied by a government for the purpose of financing services performed for the common benefit. This term does not include specific charges made against particular persons or property for current or permanent benefits such as special assessments. Neither does the term include charges for services rendered only to those paying such charges as, for example, sewer service charges.

**Working Capital:** A term used to express the liquid equity of funds. It is determined by current assets minus current liabilities. It provides a measure of residual resources available to be used in the current or next fiscal year. For governmental fund types, working capital and fund balance are synonymous.

# *Fiscal Overview*



## FISCAL OVERVIEW

A variety of financial overviews are presented within this section of the budget. These summaries compare approved expenditures against the anticipated resources to fund these expenditures. As mentioned in the Budget Guide, the City's fiscal activities are budgeted and accounted for through funds. For FY14-15, there are over 70 funds used for budgeting.

### A Balanced Budget

To maintain a "balanced budget", total expenditures in a fund cannot exceed its total of revenues and its beginning balance. An ending fund balance should always be positive. A negative fund balance indicates that the budget for a fund is not balanced. An ending fund balance for the fiscal year also represents the anticipated reserves of the fund.

Normally, a sufficient reserve for operating funds should be at least 10% of their estimated revenues for the fiscal year. Any supplemental appropriations, after the budget is adopted, would decrease the reserves or projected ending fund balances.

### Overview of Funds

In the budget summaries and overviews that follow, each fund or group of funds is presented for each fiscal year using this format.

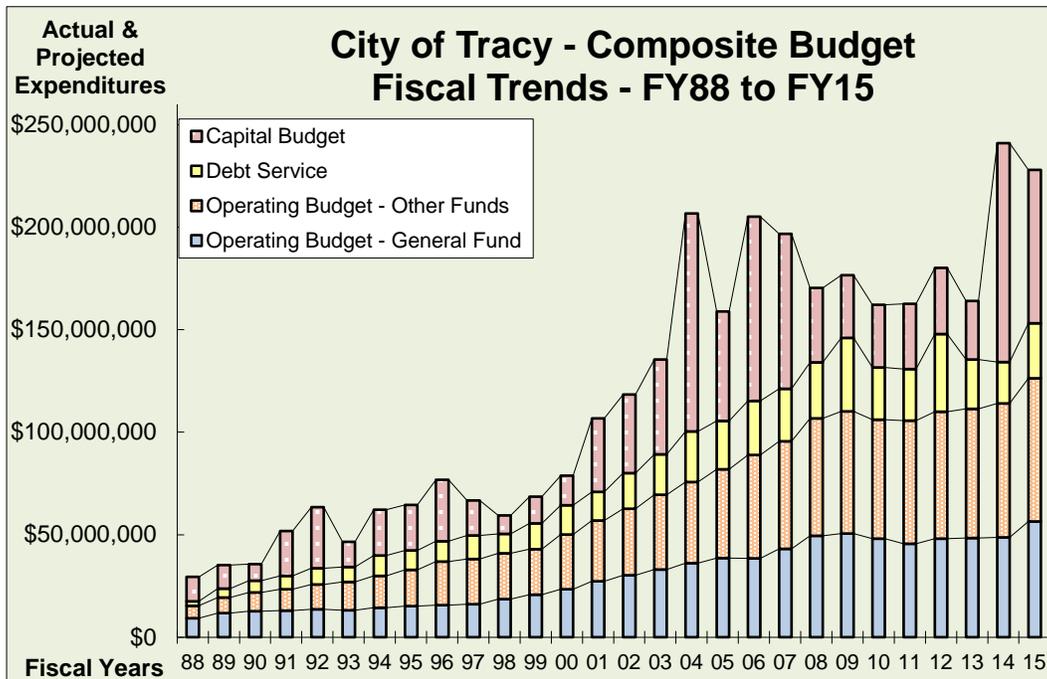
- + Beginning Fund Balance  
(Actual or Projected at start of the Fiscal Year)
- + Estimated Revenues for the Year
- + Transfers In for the Year
- Operating Expenditures for the Year
- Capital Appropriations for the Year
- Debt Service for the Year
- Transfers out for the Year
- = Projected Ending Fund Balance (at Fiscal Year-end)

Over the years with City growth, the General Fund and the Operating Budget have shown modest to moderate increases, however recently they have shown decreases.

Debt Service has increased also, particularly recent, due to special assessments for new development and City efforts at redevelopment.

The Capital Budget can vary from year-to-year depending on the level of growth and the schedule and progress made on particular capital projects.

The "spiking" caused by the Capital Budget in FY14 reflects budgeted capital projects in the City's capital improvement program. About 55% of the dollar amounts budgeted will be carried forward into FY15.



## Budget Summaries

The budget summaries provide an overview or top-level picture of the City's budget.

The following is a description of each summary.

### Composite Budget Summary (page C3)

This is a summary of all funds by major revenue and expenditure categories of the FY14-15 operating and capital budgets and debt service obligations. It also references this same information for FY11-12 and FY12-13 (actuals) and FY13-14 (adopted and estimated).

### Composite Summary/Operating Budget (page C4)

This is a summary of the operating budget and debt service obligations including all funds involved, by major revenue and expenditure categories. The FY14-15 budget figures are presented and compared to FY13-14 (adopted and estimated) and FY11-12 and FY12-13 (actuals).

### Composite Summary/Capital Budget (page C5)

This summary is the same as on the preceding page but the capital budget, rather than the operating budget, is featured.

### General Fund Summary (page C6)

Because of its importance, a separate summary for the General Fund is shown here. The summary highlights the major revenue categories and expenditures by department of the General Fund budget for FY14-15. For comparison purposes, budget figures for FY13-14 (adopted and estimated) and FY11-12 and FY12-13 (actuals) are also referenced.

Composite Summary All Funds	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Adopted	FY13-14 Estimated	% of Budget	% Change	FY14-15 Projected	% Change
BEGINNING FUND BALANCES	\$231,577,134	\$210,792,577	-9.0%	\$159,222,970	\$208,354,750	<<Actual	-1.2%	\$159,013,190	-23.7%
<b>REVENUES</b>									
Property Taxes	\$19,546,362	\$19,175,394	-1.9%	\$18,210,000	\$19,438,820	106.7%	1.4%	\$20,259,400	4.2%
Sales Taxes	20,828,642	24,548,535	17.9%	23,978,160	26,731,650	111.5%	8.9%	27,137,560	1.5%
Other Taxes	1,613,976	1,753,019	8.6%	1,630,000	1,706,700	104.7%	-2.6%	1,750,000	2.5%
Operating Assessments	2,925,140	3,114,448	6.5%	3,125,340	3,145,100	100.6%	1.0%	3,147,850	0.1%
Capital Development Fees	1,249,003	10,454,636	737.0%	1,556,400	3,111,700	199.9%	-70.2%	1,556,400	-50.0%
Debt Assessments	12,346,686	12,183,137	-1.3%	12,083,800	12,222,560	101.1%	0.3%	12,083,800	-1.1%
License & Permit Fees	554,716	877,637	58.2%	858,890	838,100	97.6%	-4.5%	1,430,280	70.7%
Franchise Fees	2,618,697	2,687,839	2.6%	2,742,200	2,760,900	100.7%	2.7%	2,809,000	1.7%
State Shared Taxes	2,886,244	2,436,664	-15.6%	2,989,150	2,910,220	97.4%	19.4%	2,664,380	-8.4%
Other Grants	12,162,288	14,218,785	16.9%	14,279,970	25,746,930	180.3%	81.1%	48,638,740	88.9%
Current Charges	8,387,748	9,147,220	9.1%	8,296,840	8,967,350	108.1%	-2.0%	10,786,500	20.3%
Enterprise Charges	53,533,438	56,716,311	5.9%	56,899,300	57,498,450	101.1%	1.4%	58,720,540	2.1%
Fines & Forfeitures	1,915,162	942,687	-50.8%	1,798,500	1,351,400	75.1%	43.4%	1,314,200	-2.8%
Use of Money & Property	1,699,413	796,471	-53.1%	1,314,000	966,100	73.5%	21.3%	995,500	3.0%
Other Revenues	1,610,796	886,145	-45.0%	1,279,600	1,721,260	134.5%	94.2%	1,694,200	-1.6%
Other Financing Sources	15,452,154	1,640,658	-89.4%	40,375,000	22,521,650	55.8%	1272.7%	26,325,000	16.9%
Total Revenues	\$159,330,465	\$161,579,586	1.4%	\$191,417,150	\$191,638,890	100.1%	18.6%	\$221,313,350	15.5%
<b>EXPENDITURES</b>									
			% Cost Change				% Cost Change	FY14-15 Approved	% Budget Change
<b>Operating Budget</b>									
Police	\$21,893,215	\$21,487,012	-1.9%	\$22,805,350	\$21,876,490	95.9%	1.8%	\$23,883,090	4.7%
Fire	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%
Public Works	33,498,790	36,312,791	8.4%	39,465,320	37,486,910	95.0%	3.2%	41,252,840	4.5%
Utilities	13,323,131	13,837,007	3.9%	14,354,010	13,588,910	94.7%	-1.8%	15,176,550	5.7%
Parks & Community Services	4,195,165	0	-100.0%	0	0			0	
Development Services	7,226,194	7,380,415	2.1%	7,696,830	7,299,780	94.8%	-1.1%	9,732,900	26.5%
Gen Govt Agencies	3,867,471	2,697,448	-30.3%	2,801,680	2,658,330	94.9%	-1.5%	2,997,190	7.0%
Recreation & Cultural Arts	0	3,284,804		3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%
Administrative Services	5,804,196	5,432,916	-6.4%	5,789,290	5,484,690	94.7%	1.0%	6,611,690	14.2%
Non-Departmental	4,999,587	5,667,887	13.4%	6,431,510	6,759,120	105.1%	19.3%	6,495,080	1.0%
Budget Savings	0	0		(750,000)	0			0	-100.0%
Total	\$110,037,964	\$111,425,602	1.3%	\$117,724,750	\$113,987,940	96.8%	2.3%	\$126,270,560	7.3%
<b>Capital Budget</b>									
Govt Bldgs & Pub Saf Fac	\$1,396,832	\$4,070,769	191.4%	\$937,300	\$13,786,317	1470.9%	238.7%	\$482,200	-96.5%
Traffic, Streets & Highways	4,623,122	10,658,009	130.5%	4,464,300	32,661,400	731.6%	206.4%	43,687,510	33.8%
Water & Sewer Improvements	13,206,780	4,691,249	-64.5%	34,581,000	26,788,711	77.5%	471.0%	25,621,800	-4.4%
Drainage Improvements	317,602	62,421	-80.3%	305,500	4,147,791	1357.7%	6544.9%	483,100	-88.4%
Airport & Transit Improvements	470,060	1,942,333	313.2%	4,476,000	7,565,883	169.0%	289.5%	100,000	-98.7%
Parks & Recreation Improvement	9,583,543	5,054,444	-47.3%	11,215,200	16,661,682	148.6%	229.6%	2,835,600	-83.0%
Miscellaneous Projects	2,629,994	2,151,224	-18.2%	1,485,000	5,173,381	348.4%	140.5%	1,520,000	-70.6%
Total	\$32,227,933	\$28,630,449	-11.2%	\$57,464,300	\$106,785,165	185.8%	273.0%	\$74,730,210	-30.0%
<b>Debt Service</b>									
	\$37,849,125	\$23,961,362	-36.7%	\$20,696,850	\$20,207,350	97.6%	-15.7%	\$26,937,630	33.3%
Total Expenditures	\$180,115,022	\$164,017,413	-8.9%	\$195,885,900	\$240,980,455	123.0%	46.9%	\$227,938,400	-5.4%
ENDING FUND BALANCES	\$210,792,577	\$208,354,750	-1.2%	\$154,754,220	\$159,013,185	102.8%	-23.7%	\$152,388,140	-4.2%

Composite Summary Operating Budget - All Funds	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Adopted	FY13-14 Estimated	% of Budget	% Change	FY14-15 Projected	% Change
BEGINNING FUND BALANCES	\$111,971,521	\$109,168,656	-2.5%	\$53,406,400	\$109,108,600	<<Actual	-0.1%	\$112,415,660	3.0%
<b>REVENUES</b>									
Property Taxes	\$19,546,362	\$19,175,394	-1.9%	\$18,210,000	\$19,438,820	106.7%	1.4%	\$20,259,400	4.2%
Sales Taxes	19,789,042	23,408,515	18.3%	22,878,160	24,851,650	108.6%	6.2%	25,262,560	1.7%
Other Taxes	1,613,976	1,753,019	8.6%	1,630,000	1,606,700	98.6%	-8.3%	1,750,000	8.9%
Operating Assessments	2,881,229	3,087,458	7.2%	2,887,340	2,934,090	101.6%	-5.0%	2,315,850	-21.1%
Capital Development Fees	0	0		0	0			0	
Debt Assessments	12,346,686	12,183,137	-1.3%	12,083,800	12,222,560	101.1%	0.3%	12,083,800	-1.1%
License & Permit Fees	554,716	877,637	58.2%	858,890	838,100	97.6%	-4.5%	1,430,280	70.7%
Franchise Fees	2,618,697	2,687,839	2.6%	2,742,200	2,760,900	100.7%	2.7%	2,809,000	1.7%
State Shared Taxes	2,511,080	1,895,285	-24.5%	1,904,000	1,850,580	97.2%	-2.4%	1,993,180	7.7%
Other Grants	7,477,083	9,229,525	23.4%	7,369,070	8,520,727	115.6%	-7.7%	8,043,650	-5.6%
Current Charges	8,387,748	9,147,220	9.1%	8,296,840	8,967,350	108.1%	-2.0%	10,786,500	20.3%
Enterprise Charges	47,246,778	48,249,451	2.1%	48,691,870	48,937,570	100.5%	1.4%	55,652,540	13.7%
Fines & Forfeitures	1,915,162	942,687	-50.8%	1,798,500	1,351,400	75.1%	43.4%	1,314,200	-2.8%
Use of Money & Property	1,699,413	796,471	-53.1%	1,314,000	966,100	73.5%	21.3%	995,500	3.0%
Other Revenues	1,610,799	886,145	-45.0%	1,279,600	1,721,260	134.5%	94.2%	1,694,200	-1.6%
Other Financing Sources	13,382,381	0		0	948,750			624,000	
Transfers to Cap Budget	2,618,860	(2,896,738)	-210.6%	(20,000)	0	0.0%		650,560	
Transfers to Debt Service	(38,964,913)	(20,057,499)	-48.5%	(20,789,070)	(20,621,560)	99.2%	2.8%	(26,617,970)	29.1%
<b>Total Revenues</b>	<b>\$107,235,099</b>	<b>\$111,365,546</b>	<b>3.9%</b>	<b>\$111,135,200</b>	<b>\$117,294,997</b>	<b>105.5%</b>	<b>5.3%</b>	<b>\$121,047,250</b>	<b>3.2%</b>
<b>EXPENDITURES</b>									
			<b>% Cost Change</b>				<b>% Cost Change</b>	<b>FY14-15 Approved</b>	<b>% Budget Change</b>
<b>Operating Budget</b>									
Police	\$21,893,215	\$21,487,012	-1.9%	\$22,805,350	\$21,876,490	95.9%	1.8%	\$23,883,090	4.7%
Fire	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%
Public Works & Utilities									
<i>Maintenance &amp; Operations</i>	8,503,014	8,816,335	3.7%	10,750,380	9,144,360	85.1%	3.7%	10,565,800	-1.7%
<i>Central Garage</i>	1,238,623	1,417,252	14.4%	1,456,190	1,437,950	98.7%	1.5%	1,529,560	5.0%
<i>Solid Waste</i>	18,288,931	18,543,073	1.4%	19,076,550	18,893,670	99.0%	1.9%	19,932,630	4.5%
<i>Water</i>	11,581,960	12,139,028	4.8%	12,322,470	12,169,250	98.8%	0.2%	12,971,340	5.3%
<i>Wastewater</i>	6,786,893	6,877,180	1.3%	7,463,470	6,897,120	92.4%	0.3%	7,817,020	4.7%
<i>Drainage</i>	422,500	474,932	12.4%	572,740	436,170	76.2%	-8.2%	571,380	-0.2%
<i>Airport &amp; Transit</i>	1,921,136	1,881,998	-2.0%	2,177,530	2,097,300	96.3%	11.4%	3,041,660	39.7%
Parks & Community Services									
<i>Recreation Programs</i>	2,274,029	0	-100.0%	0	0			0	
Development Services									
<i>Planning &amp; Building</i>	3,201,821	3,395,238	6.0%	3,616,110	3,583,420	99.1%	5.5%	4,691,570	29.7%
<i>Engineering</i>	3,120,210	3,171,271	1.6%	2,857,170	2,545,860	89.1%	-19.7%	3,819,230	33.7%
<i>Economic Development</i>	904,163	813,906	-10.0%	1,223,550	1,170,500	95.7%	43.8%	1,222,100	-0.1%
Gen Govt Agencies	3,867,471	2,697,448	-30.3%	2,801,680	2,658,330	94.9%	-1.5%	2,997,190	7.0%
Recreation & Cultural Arts	0	3,284,804		3,548,560	3,350,390	94.4%		3,664,250	3.3%
Administrative Services	5,804,196	5,432,916	-6.4%	5,789,290	5,484,690	94.7%	1.0%	6,611,690	14.2%
Non-Departmental	4,999,587	5,667,887	13.4%	6,431,510	6,759,120	105.1%	19.3%	6,495,080	1.0%
Budget Savings	0	0		(1,000,000)	0			0	-100.0%
<b>Total</b>	<b>\$110,037,964</b>	<b>\$111,425,602</b>	<b>1.3%</b>	<b>\$117,474,750</b>	<b>\$113,987,940</b>	<b>97.0%</b>	<b>2.3%</b>	<b>\$126,270,560</b>	<b>7.5%</b>
ENDING FUND BALANCES	\$109,168,656	\$109,108,600	-0.1%	\$47,066,850	\$112,415,657	238.8%	3.0%	\$107,192,350	-4.6%

Composite Summary Capital Budget - All Funds	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Adopted	FY13-14 Estimated	% of Budget	% Change	FY14-15 Projected	% Change
BEGINNING FUND BALANCES	\$116,817,538	\$97,720,058		\$68,670,950	\$99,246,150	<<Actual	1.6%	\$46,183,320	-53.5%
<b>REVENUES</b>									
Property Taxes	\$0	\$0		\$0	\$0			\$0	
Sales Taxes	1,039,600	1,140,020	9.7%	1,100,000	1,880,000	170.9%	64.9%	1,875,000	-0.3%
Other Taxes	0	0		0	100,000			0	
Operating Assessments	43,911	26,990		238,000	211,010			832,000	
Capital Development Fees	1,249,003	10,454,636	737.0%	1,556,400	3,111,700	199.9%	-70.2%	1,556,400	-50.0%
License, Permit, & Franchise	0	0		0	0			0	
State Shared Taxes	375,164	541,379	44.3%	1,085,150	1,059,640	97.6%	95.7%	671,200	-36.7%
Other Grants	4,685,205	4,989,260	6.5%	6,910,900	17,226,203	249.3%	245.3%	40,595,090	135.7%
Current Charges	0	0		0	0			0	
Enterprise Charges	6,047,911	8,254,540		8,007,430	7,539,300	94.2%		2,568,000	-65.9%
Internal Charges	238,749	212,320		200,000	1,021,580	510.8%		500,000	-51.1%
Use of Money & Property	0	0		0	0			0	
Other Revenues	0	0		0	0			0	
CIP Contributions	2,045,498	1,542,535	-24.6%	24,350,000	21,547,900	88.5%	1296.9%	6,300,000	-70.8%
Debt Proceeds	24,272	98,123	304.3%	16,025,000	25,000		-74.5%	19,401,000	
Net Transfers	-2,618,860	2,896,738	-210.6%	20,000	0	0.0%		-650,560	
<b>Total Revenues</b>	<b>\$13,130,453</b>	<b>\$30,156,541</b>	<b>128.9%</b>	<b>\$59,492,880</b>	<b>\$53,722,333</b>	<b>90.3%</b>	<b>78.1%</b>	<b>\$73,648,130</b>	<b>37.1%</b>
<b>EXPENDITURES</b>									
								<b>FY14-15 Approved</b>	
<b>Capital Budget</b>									
Govt Bldgs & Pub Saf Fac	\$1,396,832	\$4,070,769	191.4%	\$937,300	\$13,786,317	1470.9%	238.7%	\$482,200	63.4%
Traffic Improvements	530,814	638,833	20.3%	2,543,700	5,315,904	209.0%	732.1%	2,028,100	564.9%
Streets & Highways	4,092,308	10,019,176	144.8%	1,920,600	27,345,496	1423.8%	172.9%	41,659,410	585.5%
Wastewater Improvements	6,251,335	3,136,734	-49.8%	25,565,000	10,237,749	40.0%	226.4%	24,201,800	1392.2%
Water Improvements	6,955,445	1,554,515	-77.7%	9,016,000	16,550,962	183.6%	964.7%	1,420,000	-55.1%
Drainage Improvements	317,602	62,421	-80.3%	305,500	4,147,791	1357.7%	6544.9%	483,100	120.7%
Airport & Transit Improvements	470,060	1,942,333	313.2%	4,476,000	7,565,883	169.0%	289.5%	100,000	574.5%
Parks & Recreation Improvement	9,583,543	5,054,444	-47.3%	11,215,200	16,661,682	148.6%	229.6%	2,835,600	11.3%
Miscellaneous Projects	2,629,994	2,151,224	-18.2%	1,485,000	5,173,381	348.4%	140.5%	1,520,000	19.6%
<b>Total Expenditures</b>	<b>\$32,227,933</b>	<b>\$28,630,449</b>	<b>-11.2%</b>	<b>\$57,464,300</b>	<b>\$106,785,165</b>	<b>185.8%</b>	<b>273.0%</b>	<b>\$74,730,210</b>	<b>-30.0%</b>
ENDING FUND BALANCES	\$97,720,058	\$99,246,150	1.6%	\$70,699,530	\$46,183,318	65.3%	-53.5%	\$45,101,240	-2.3%
				<p>The FY13-14 estimated figures and FY14-15 proposed figures represent capital appropriations.</p> <p>Estimated expenditures are expected to be about \$44.1 M in FY13-14 with \$59.7 M carried over into FY14-15. So, the FY14-15 approved capital budget with carryovers will be about \$134.4 M.</p> <p>Actual expenditures in FY14-15 are projected to be about \$50 M. So, about \$88.0 M will be carried over from FY14-15 into future years.</p>					

## CITY OF TRACY

## FY2014-2015 Adopted Budget

## General Fund Summary

01-Jul-14

General Fund Summary	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Adopted	FY13-14 Estimated	% of Budget	% Change	FY14-15 Projected	% Change
BEGINNING FUND BALANCE	\$18,977,674	\$18,934,516	-0.2%	\$18,985,100	\$18,985,100	<<Actual	0.3%	\$18,985,100	
<b>REVENUES</b>									
Property Taxes	\$14,043,725	\$14,828,444	5.6%	\$14,410,000	\$15,638,820	108.5%	5.5%	\$16,259,400	4.0%
Sales Taxes - General	12,511,763	14,084,197	12.6%	14,502,900	15,406,120	106.2%	9.4%	16,078,080	4.4%
Temporary Taxes - Measure E	5,910,308	6,469,067	9.5%	5,905,260	6,838,090	115.8%	5.7%	7,012,480	2.6%
Other Taxes	1,613,976	1,753,019	8.6%	1,630,000	1,706,700	104.7%	-2.6%	1,750,000	2.5%
Operating Assessments	352,344	363,536	3.2%	368,000	370,700	100.7%	2.0%	380,000	2.5%
License & Permit Fees	554,716	876,037	57.9%	858,890	838,100	97.6%	-4.3%	1,430,280	70.7%
Franchise Fees	2,442,841	2,499,946	2.3%	2,554,200	2,569,900	100.6%	2.8%	2,616,000	1.8%
State Shared Taxes	517,802	549,539	6.1%	554,000	550,700	99.4%	0.2%	563,000	2.2%
Other Grants	681,920	1,642,117	140.8%	594,270	566,840	95.4%	-65.5%	607,250	7.1%
Current Charges	8,213,163	8,930,267	8.7%	8,120,840	8,764,850	107.9%	-1.9%	10,074,050	14.9%
Fines & Forfeitures	1,526,402	566,474	-62.9%	1,404,000	896,400	63.8%	58.2%	919,200	2.5%
Use of Money & Property	994,157	370,414	-62.7%	770,000	426,000	55.3%	15.0%	449,000	5.4%
Other Revenues	100,487	193,914	93.0%	162,000	203,160	125.4%	4.8%	162,000	-20.3%
Other Financing Sources	0	0		0	0			0	
Sub-total	\$49,463,604	\$53,126,971	7.4%	\$51,834,360	\$54,776,380	105.7%	3.1%	\$58,300,740	6.4%
IF Trfs In from EU Fund 299	52,330	0	-100.0%	0	0			0	
<b>Total Revenues</b>	<b>\$49,515,934</b>	<b>\$53,126,971</b>	<b>7.3%</b>	<b>\$51,834,360</b>	<b>\$54,776,380</b>	<b>105.7%</b>	<b>3.1%</b>	<b>\$58,300,740</b>	<b>6.4%</b>
<b>EXPENDITURES</b>									
			<b>% Cost Change</b>				<b>% Cost Change</b>	<b>FY14-15 Approved</b>	<b>% Budget Change</b>
<b>Operating Budget</b>									
Police	\$21,888,341	\$21,480,004	-1.9%	\$22,805,350	\$21,876,490	95.9%	1.8%	\$23,866,190	4.7%
Fire	8,736,518	8,751,942	0.2%	9,052,090	8,936,320	98.7%	2.1%	9,897,450	9.3%
Public Works & Utilities									
<i>Street &amp; Traffic Maintenance</i>	743,905	1,062,006	42.8%	1,139,070	838,910	73.6%	-21.0%	1,289,020	13.2%
<i>Parks &amp; Property Maintenance</i>	2,338,059	2,543,460	8.8%	3,066,400	2,853,730	93.1%	12.2%	3,145,190	2.6%
Parks & Community Services									
<i>Recreation Programs</i>	2,274,029	0	-100.0%	0	0			0	
Development Services									
<i>Planning &amp; Building</i>	3,088,770	3,395,238	9.9%	3,616,110	3,583,420	99.1%	5.5%	4,691,570	29.7%
<i>Engineering</i>	2,867,948	2,889,308	0.7%	2,495,950	2,238,790	89.7%	-22.5%	3,439,700	37.8%
<i>Economic Development</i>	298,056	293,450	-1.5%	513,580	479,350	93.3%	63.3%	542,530	5.6%
Gen Govt Agencies	4,443,223	2,615,208	-41.1%	2,707,250	2,575,450	95.1%	-1.5%	2,896,220	7.0%
Recreation & Cultural Arts		3,284,804		3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%
Finance & Adm Serv	2,264,710	0	-100.0%	0	0			0	
Administrative Services	0	2,617,264		2,646,870	2,664,580	100.7%	1.8%	3,392,440	28.2%
Non-Departmental	321,557	502,915	56.4%	269,020	414,000	153.9%	-17.7%	737,160	174.0%
Indirect Cost Reimbursement	(1,166,955)	(977,020)	-16.3%	(1,084,810)	(1,055,210)	97.3%	8.0%	(1,139,070)	5.0%
Sub-total	\$48,098,161	\$48,458,579	0.7%	\$50,775,440	\$48,756,220	96.0%	0.6%	\$56,422,650	11.1%
<b>Capital Projects</b>	33,522	0		0	100,000			0	
<b>Debt Service</b>	1,173,000	1,175,000	0.2%	1,204,000	1,204,000	100.0%	2.5%	1,204,000	0.0%
<b>IF Transfers Out</b>	254,409	1,518,468		604,920	4,716,160	779.6%	210.6%	674,090	
<b>Budget Savings</b>	0	1,924,340		(750,000)	0			0	-100.0%
<b>Total Expenditures</b>	<b>\$49,559,092</b>	<b>\$53,076,387</b>	<b>7.1%</b>	<b>\$51,834,360</b>	<b>\$54,776,380</b>	<b>105.7%</b>	<b>3.2%</b>	<b>\$58,300,740</b>	<b>12.5%</b>
ENDING FUND BALANCE	\$18,934,516	\$18,985,100	0.3%	\$18,985,100	\$18,985,100	100.0%	0.0%	\$18,985,100	0.0%

## Fiscal Overview by Funds

### FY 13-14 Overview

An overview of the financial activities anticipated for FY13-14 for each fund is presented on pages C8, C10, and C12. Figures for FY13-14 have not been finalized by the time this budget document is printed. As such, only estimates for this fiscal year are shown. Only the beginning fund balance figures for July 1, 2013 are actual figures based on the City's audit of FY 14-15 activities.

### FY 14-15 Overview

An overview of the financial activities approved for the FY14-15 budget for each fund is shown in this summary. These figures, while estimated for revenues, are approved appropriations. (See pages C9, C11, and C13).

### Changes in Fund Balances

These pages show the changes in fund balances over the two fiscal years.

### Fiscal Overview by Budget Component

On pages C14 to C15, an overview of financial activities for FY13-14 and FY14-15 is shown with funds grouped by budget components. Also, commentary provided pertaining to the changes in the combined fund balances for the budget components.

### Commentary on Fund Balances

The General Fund 101 balance will be maintained at \$18,985,100. This will be done with the transfer out to the Economic Uncertainty Fund 299. These are estimated to be \$4,716,160 in FY13-14 and \$674,090 in FY14-15.

The Airport Funds 561 and 563 has a long-term negative fund balance. Also, these funds have outstanding long-term debt of about \$1,106,557 owed to other City funds.

## Year-End Budget Carryovers

Normally, budget appropriations are only valid for a fiscal year and budget authority lapses at the end of a fiscal year. Any unspent funds are returned to their respective fund balances. In certain cases, appropriations are carried over from the prior fiscal year. Such prior appropriations are carried over by the Finance Department without further Council action. Action by the City Council on the budget for a new fiscal year is concerned with new appropriations.

Prior fiscal year appropriations eligible to be carried over at year-end include any outstanding encumbrances for \$1,000 or more against the operating budget still open at year-end. It is expected that these encumbrances will be expended in the new fiscal year. Also, unexpended capital budget appropriations are eligible to be carried over for uncompleted capital projects that are expected to be completed in the new or future fiscal year. See pages E12 and F3 for carryover estimates.

Funds	Actual	FY13-14 Estimated						Projected
	Beginning Fund Balance 7/1/2013	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out	Ending Fund Balance 6/30/2014
GENERAL FUND		--- plus ---	-----	-----	--- minus ---	-----	-----	--- equals ---
101 General	\$18,985,100	\$54,776,380	\$0	\$48,756,220	\$100,000	\$0	\$5,920,160	\$18,985,100
SPECIAL REVENUE FUNDS								
211 South County Fire Authority	\$1,148,240	\$6,691,430	\$0	\$6,798,600	\$0	\$0	\$0	\$1,041,070
221 Downtown Business Imp Dist	(\$3,140)	\$117,150	\$0	\$114,150	\$0	\$0	\$0	(\$140)
222 Parking District	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
231 Asset Forfeiture	\$2,910	\$75,000	\$0	\$8,000	\$0	\$0	\$22,000	\$47,910
241 Transp Devel Act - Streets	\$729,750	\$2,300,000	\$0	\$1,567,930	\$730,000	\$0	\$0	\$731,820
242 Transp Sales Taxes Prop K	\$1,454,600	\$1,655,460	\$0	\$0	\$2,508,317	\$0	\$0	\$601,743
243 Traffic Congestion Relief	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
244 State Prop 1B Road Bonds	\$2,460	\$0	(\$2,460)	\$0	\$0	\$0	\$0	\$0
24x Gas Taxes	\$1,339,550	\$2,359,520	\$2,460	\$1,299,880	\$2,661,111	\$0	\$0	(\$259,461)
261 Federal TEA Grant	\$100,780	\$10,036,280	\$0	\$0	\$10,035,780	\$0	\$0	\$101,280
263 Federal ARRA Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
268 Comm Devel Block Grant	(\$153,880)	\$633,200	\$0	\$342,000	\$137,255	\$0	\$0	\$65
271 Landscaping Districts	\$4,600,480	\$2,675,850	\$0	\$2,143,340	\$211,011	\$0	\$0	\$4,921,979
282 CDA Housing & Successor	\$138,490	\$25,500	\$0	\$0	\$0	\$0	\$0	\$163,990
295 Cable TV	\$216,940	\$191,500	\$0	\$120,780	\$0	\$0	\$0	\$287,660
299 Economic Uncertainties	\$9,908,470	\$0	\$4,716,160	\$0	\$0	\$0	\$0	\$14,624,630
Total	\$19,485,650	\$26,760,890	\$4,716,160	\$12,394,680	\$16,283,474	\$0	\$22,000	\$22,262,546
CAPITAL PROJECT FUNDS								
301 General Projects	\$16,056,730	\$107,590	\$2,170	\$0	\$15,341,272	\$0	\$0	\$825,218
311 Infill Parks	\$771,210	\$5,500	\$0	\$0	\$0	\$0	\$0	\$776,710
312 Infill Drainage	\$636,430	\$10,000	\$0	\$0	\$0	\$0	\$0	\$646,430
313 Infill Arterials	\$603,410	\$40,000	\$0	\$0	-\$75,645	\$0	\$0	\$719,055
314 Infill Bldgs & Eqpt	\$722,320	\$5,000	\$0	\$0	\$714,600	\$0	\$0	\$12,720
316 Downtown Improvements	\$82,320	\$0	\$0	\$0	\$0	\$0	\$0	\$82,320
317 Downtown Projects	\$271,890	\$0	\$0	\$0	\$171,798	\$0	\$0	\$100,092
318 CDA Successor - Projects	\$2,285,440	\$0	\$0	\$0	\$0	\$0	\$0	\$2,285,440
321 Plan "C" Parks	\$1,277,630	\$0	\$0	\$0	\$0	\$0	\$0	\$1,277,630
322 Plan "C" Drainage	\$5,801,930	\$0	\$0	\$0	\$621,600	\$0	\$0	\$5,180,330
323 Plan "C" Arterials	\$2,639,920	\$0	\$0	\$0	\$1,975,564	\$0	\$0	\$664,356
324 Plan "C" Gen Fac	\$6,090,070	\$0	\$0	\$0	\$1,663,121	\$0	\$0	\$4,426,949
325 Plan "C" Utilities	\$2,407,840	\$0	\$0	\$0	\$983,086	\$0	\$0	\$1,424,754
345 RSP Pgm Mgmt	\$5,004,790	\$0	\$0	\$0	\$4,811,602	\$0	\$0	\$193,188
351 NE Industrial Area #1	\$13,219,710	\$50,000	\$0	\$0	\$12,079,937	\$0	\$0	\$1,189,773
352 So MacArthur Area	\$9,620,410	\$250,000	\$0	\$0	\$641,547	\$0	\$0	\$9,228,863
353 I-205 Area Development	\$3,652,700	\$30,000	\$0	\$0	\$2,346,136	\$0	\$0	\$1,336,564
354 Industrial SP, South	\$2,970,010	\$20,000	\$0	\$0	\$1,608,652	\$0	\$0	\$1,381,358
355 Presidio Planning Area	\$5,123,010	\$0	\$0	\$0	\$201,657	\$0	\$0	\$4,921,353
356 Tracy Gateway Area	\$3,191,300	\$1,130,000	\$0	\$0	\$1,664,760	\$0	\$0	\$2,656,540
357 NE Industrial Area #2	\$6,332,020	\$2,600,000	\$0	\$0	\$3,050,284	\$0	\$0	\$5,881,736
(Continued)								

Funds	FY14-15 Projected						Projected Ending Fund Balance 6/30/2015	Changes in Fund Balance over 2 years	% Change
	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out			
	plus ---		minus ---			equals ---			
101	\$58,300,740	\$0	\$56,422,650	\$0	\$0	\$1,878,090	\$18,985,100	\$0	0.0%
211	\$6,776,450	\$0	\$6,811,420	\$0	\$0	\$0	\$1,006,100	(\$142,140)	-12.4%
221	\$117,150	\$0	\$117,200	\$0	\$0	\$0	(\$190)	\$2,950	
222	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
231	\$15,000	\$0	\$16,900	\$0	\$0	\$22,000	\$24,010	\$21,100	725.1%
241	\$1,620,000	\$0	\$1,582,730	\$700,000	\$0	\$0	\$69,090	(\$660,660)	-90.5%
242	\$1,175,000	\$0	\$0	\$1,772,320	\$0	\$0	\$4,423	(\$1,450,177)	-99.7%
243	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
244	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$2,460)	-100.0%
24x	\$2,101,380	\$0	\$1,430,180	\$408,700	\$0	\$0	\$3,039	(\$1,336,511)	-99.8%
261	\$39,792,490	\$0	\$0	\$39,792,490	\$0	\$0	\$101,280	\$500	0.5%
263	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
268	\$523,400	\$0	\$312,370	\$211,000	\$0	\$0	\$95	\$153,975	-100.1%
271	\$2,669,700	\$0	\$2,601,120	\$832,000	\$0	\$0	\$4,158,559	(\$441,921)	-9.6%
282	\$25,500	\$0	\$0	\$0	\$0	\$0	\$189,490	\$51,000	36.8%
295	\$193,500	\$0	\$139,320	\$0	\$0	\$0	\$341,840	\$124,900	57.6%
299	\$0	\$674,090	\$0	\$0	\$0	\$1,614,000	\$13,684,720	\$3,776,250	38.1%
Total 200	\$55,009,570	\$674,090	\$13,011,240	\$43,716,510	\$0	\$1,636,000	\$19,582,456	\$96,806	0.5%
301	\$453,100	\$1,614,000	\$0	\$2,690,900	\$0	\$0	\$201,418	(\$15,855,312)	-98.7%
311	\$25,000	\$0	\$0	\$0	\$0	\$0	\$801,710	\$30,500	4.0%
312	\$42,000	\$0	\$0	\$0	\$0	\$0	\$688,430	\$52,000	8.2%
313	\$110,000	\$0	\$0	\$84,000	\$0	\$0	\$745,055	\$141,645	23.5%
314	\$45,000	\$0	\$0	\$0	\$0	\$0	\$57,720	(\$664,600)	-92.0%
316	\$2,400	\$0	\$0	\$0	\$0	\$0	\$84,720	\$2,400	2.9%
317	\$0	\$0	\$0	\$0	\$0	\$0	\$100,092	(\$171,798)	-63.2%
318	\$0	\$0	\$0	\$0	\$2,285,440	\$0	\$0	(\$2,285,440)	-100.0%
321	\$0	\$0	\$0	\$0	\$0	\$0	\$1,277,630	\$0	0.0%
322	\$0	\$0	\$0	\$0	\$0	\$0	\$5,180,330	(\$621,600)	-10.7%
323	\$0	\$0	\$0	\$472,900	\$0	\$0	\$191,456	(\$2,448,464)	-92.7%
324	\$0	\$0	\$0	\$0	\$0	\$0	\$4,426,949	(\$1,663,121)	-27.3%
325	\$0	\$0	\$0	\$405,000	\$0	\$0	\$1,019,754	(\$1,388,086)	-57.6%
345	\$0	\$0	\$0	\$193,000	\$0	\$0	\$188	(\$5,004,602)	-100.0%
351	\$260,000	\$0	\$0	\$340,100	\$0	\$0	\$1,109,673	(\$12,110,037)	-91.6%
352	\$200,000	\$0	\$0	\$0	\$0	\$0	\$9,428,863	(\$191,547)	-2.0%
353	\$200,000	\$0	\$0	\$0	\$0	\$0	\$1,536,564	(\$2,116,136)	-57.9%
354	\$80,000	\$0	\$0	\$68,000	\$0	\$0	\$1,393,358	(\$1,576,652)	-53.1%
355	\$0	\$0	\$0	\$0	\$0	\$0	\$4,921,353	(\$201,657)	-3.9%
356	\$100,000	\$0	\$0	\$1,603,800	\$0	\$0	\$1,152,740	(\$2,038,560)	-63.9%
357	\$200,000	\$0	\$0	\$633,000	\$0	\$0	\$5,448,736	(\$683,284)	-13.9%

(Continued)

Funds	Actual	FY13-14 Estimated						Projected
	Beginning Fund Balance 7/1/2013	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out	Ending Fund Balance 6/30/2014
		--- plus ---	-----	--- minus ---		-----	--- equals ---	-
CAPITAL PROJECT FUNDS (Continued)								
391 UMP Facilities	\$2,356,440	\$2,682,900	\$0	\$0	\$3,507,878	\$0	\$0	\$1,531,462
395 CIP Deposits	\$5,092,740	\$14,750,000	\$0	\$0	\$16,923,037	\$0	\$0	\$2,919,703
CIP In-Kind Contributions	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$96,210,270	\$21,680,990	\$2,170	\$0	\$68,230,886	\$0	\$0	\$49,662,544
DEBT SERVICE FUNDS								
402 Land COP Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
404 Com Dev Agency Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
405 Reg Mall COP Debt	(\$2,220)	\$0	\$2,220	\$0	\$0	\$0	\$0	\$0
407 2007 Lease Rev Bonds	\$90,950	\$0	\$279,100	\$0	\$0	\$282,300	\$0	\$87,750
408 2008 Lease Rev Bonds	\$632,340	\$0	\$1,324,900	\$0	\$0	\$1,306,400	\$0	\$650,840
495 Successor Agency Debt	\$2,885,440	\$3,800,000	\$0	\$235,000	\$0	\$3,727,300	\$400,000	\$2,323,140
Total	\$3,606,510	\$3,800,000	\$1,606,220	\$235,000	\$0	\$5,316,000	\$400,000	\$3,061,730
ENTERPRISE FUNDS								
		<u>Working Capital</u>						<u>Working Capital</u>
511 Water - Operating	\$9,049,404	\$12,465,000	\$0	\$12,979,790	\$250,000	\$1,021,000	\$0	\$7,263,614
513 Water - Capital	(\$42,824)	\$4,021,200	\$5,020,880	\$0	\$8,516,538	\$0	\$0	\$482,718
521 Wastewater - Operating	\$18,229,320	\$9,540,800	\$0	\$7,520,320	\$0	\$2,272,800	\$5,000,000	\$12,977,000
523 Wastewater - Capital	\$114,200	\$6,040,000	\$0	\$0	\$5,249,478	\$0	\$0	\$904,722
531 Solid Waste	\$4,687,870	\$19,443,440	\$0	\$19,157,230	\$0	\$0	\$0	\$4,974,080
541 Drainage	\$350,110	\$577,100	\$0	\$489,010	\$228,101	\$0	\$0	\$210,099
561 Airport - Operating	(\$627,860)	\$374,550	\$0	\$374,440	\$0	\$23,970	\$20,880	(\$672,600)
563 Airport - Capital	(\$621,650)	\$4,782,000	\$0	\$0	\$4,779,031	\$0	\$0	(\$618,681)
571 Transit	\$0	\$1,954,020	\$0	\$1,899,020	\$0	\$0	(\$45,000)	\$100,000
573 Transit - Capital	\$327,890	\$1,843,300	\$0	\$0	\$2,126,075	\$0	\$45,000	\$115
Total	\$31,466,460	\$61,041,410	\$5,020,880	\$42,419,810	\$21,149,223	\$3,317,770	\$5,020,880	\$25,621,067
INTERNAL SERVICE FUNDS								
		<u>Working Capital</u>						<u>Working Capital</u>
601 Central Garage	\$685,160	\$1,521,000	\$0	\$1,464,230	\$0	\$0	\$0	\$741,930
602 Central Services	\$50,870	\$1,396,500	\$0	\$1,498,850	\$0	\$0	\$0	(\$51,480)
605 Equipment Acquisition	\$4,540,480	\$1,065,370	\$0	\$718,250	\$1,021,582	\$0	\$0	\$3,866,018
606 Vehicle Acquisition	\$3,489,000	\$1,689,690	\$22,000	\$1,329,950	\$0	\$56,360	\$0	\$3,814,380
615 Building Maintenance	\$431,200	\$803,000	\$0	\$815,070	\$0	\$0	\$0	\$419,130
627 Self Insurance	\$1,752,450	\$4,211,100	\$0	\$3,755,880	\$0	\$0	\$0	\$2,207,670
Total	\$10,949,160	\$10,686,660	\$22,000	\$9,582,230	\$1,021,582	\$56,360	\$0	\$10,997,648

For Enterprise & Internal Service Funds, Working Capital, current assets minus current liabilities, is used in lieu of Fund Balance.



Funds	Actual	FY13-14 Estimated						Projected
	Beginning Fund Balance 7/1/2013	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out	Ending Fund Balance 6/30/2014
		--- plus ---	-----	-----	--- minus ---	-----	-----	--- equals ---
FIDUCIARY FUNDS								
805 Reg Transportation Impact	\$2,602,220	\$0	\$0	\$0	\$0	\$0	\$0	\$2,602,220
813 Post Employment Benefits	\$19,290	\$670,000	\$0	\$600,000	\$0	\$0	\$0	\$89,290
831 AD87-3 Water RSP	\$20	\$0	(\$20)	\$0	\$0	\$0	\$0	\$0
834 AD84-1 Sewer RSP	\$10,610	\$0	(\$10,610)	\$0	\$0	\$0	\$0	\$0
835 CFD89-1 ISP-NE	\$5,361,740	\$1,300,000	\$0	\$0	\$0	\$1,289,700	\$0	\$5,372,040
837 CFD99-1 NE Indus #1	\$1,734,220	\$761,320	\$0	\$0	\$0	\$755,900	\$0	\$1,739,640
838 CFD99-2 So MacArthur Area	\$210	\$0	(\$210)	\$0	\$0	\$0	\$0	\$0
839 AD00-2 Heartland #3	(\$6,520)	\$0	\$6,520	\$0	\$0	\$0	\$0	\$0
840 CFD00-01 Presidio	\$845,660	\$960,530	\$0	\$0	\$0	\$936,500	\$0	\$869,690
841 AD94-1 Auto Mall	\$1,169,380	\$441,700	\$0	\$0	\$0	\$397,500	\$0	\$1,213,580
844 AD93-1 Tracy Mktpl	\$3,682,900	\$360,500	\$0	\$0	\$0	\$268,500	\$0	\$3,774,900
846 CFD98-1 Plan "C"	\$2,841,980	\$4,885,600	\$0	\$0	\$0	\$4,719,700	\$0	\$3,007,880
847 CFD 98-3 Souza/Citation	\$1,932,800	\$309,100	\$0	\$0	\$0	\$321,700	\$0	\$1,920,200
849 AD98-4 Morrison Homes	\$70	\$0	(\$70)	\$0	\$0	\$0	\$0	\$0
850 I205 RRA Debt Refinancing	\$2,700,540	\$1,063,100	\$0	\$0	\$0	\$898,400	\$0	\$2,865,240
851 AD00-03 Larch Clover Area	\$36,570	\$0	\$0	\$0	\$0	\$0	\$0	\$36,570
852 AD03-01 Berg Ave Area	\$137,620	\$82,340	\$0	\$0	\$0	\$72,420	\$0	\$147,540
853 CFD06-1 NE Indus #2	\$2,470,200	\$807,500	\$0	\$0	\$0	\$687,900	\$0	\$2,589,800
854 TOPJPA Rev Bonds 2011A	\$2,112,090	\$1,213,370	\$0	\$0	\$0	\$1,169,000	\$0	\$2,156,460
855 CFD11-1 Tracy 580 Bus Park	\$0	\$37,500	\$0	\$0	\$0	\$0	\$0	\$37,500
8xx New Financing Districts	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$27,651,600	\$12,892,560	(\$4,390)	\$600,000	\$0	\$11,517,220	\$0	\$28,422,550
Totals - All Funds	\$208,354,750	\$191,638,890	\$11,363,040	\$113,987,940	\$106,785,165	\$20,207,350	\$11,363,040	\$159,013,185

RESTATEMENT for Budget Carryovers

Totals - All Funds	\$208,354,750	\$191,638,890	\$11,363,040	\$113,237,940	\$44,109,825	\$19,957,350	\$11,363,040	\$222,688,525
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- Notes:
1. The Operating Expenditures figures for FY13-14 include anticipated expenditures through June 30, as well as any outstanding encumbrances on June 30th. Any unencumbered or unexpended appropriations in the operating budget will be returned to the respective fund balance.
  2. The Capital Appropriations figures for FY13-14 are the total appropriations for budgeted projects. It is estimated that about \$44,109,825 of the budget amount will be expended in FY13-14 on CIP projects.
  3. Any outstanding encumbrances against the operating budget or unexpended appropriations for uncompleted projects in the capital budget will be determined during the 1st quarter of FY14-15 and then be carried forward into FY14-15 and added to the new appropriations shown for the following year.
  4. Such encumbrances and unexpended capital appropriations will result in a higher than projected ending fund balances, which can then also be carried forward to provide for the added appropriations.

Funds	FY14-15 Projected						Projected Ending Fund Balance 6/30/2015	Changes in Fund Balance over 2 years	% Change
	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out			
	--- plus ---			--- minus ---			--- equals ---		
805	\$0	\$0	\$0	\$0	\$0	\$0	\$2,602,220	\$0	0.0%
813	\$680,000	\$0	\$655,000	\$0	\$0	\$0	\$114,290	\$95,000	492.5%
831	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$20)	-100.0%
834	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$10,610)	-100.0%
835	\$1,300,000	\$0	\$0	\$0	\$1,258,920	\$0	\$5,413,120	\$51,380	1.0%
837	\$760,000	\$0	\$0	\$0	\$763,200	\$0	\$1,736,440	\$2,220	0.1%
838	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$210)	-100.0%
839	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$6,520	-100.0%
840	\$935,000	\$0	\$0	\$0	\$1,363,700	\$0	\$440,990	(\$404,670)	-47.9%
841	\$441,600	\$0	\$0	\$0	\$416,700	\$0	\$1,238,480	\$69,100	5.9%
844	\$362,300	\$0	\$0	\$0	\$263,700	\$0	\$3,873,500	\$190,600	5.2%
846	\$4,750,000	\$0	\$0	\$0	\$4,970,000	\$0	\$2,787,880	(\$54,100)	-1.9%
847	\$330,000	\$0	\$0	\$0	\$324,200	\$0	\$1,926,000	(\$6,800)	-0.4%
848	\$0	\$0	\$0	\$0	\$0	\$0	\$0	(\$70)	-100.0%
850	\$1,061,900	\$0	\$0	\$0	\$893,800	\$0	\$3,033,340	\$332,800	12.3%
851	\$0	\$0	\$0	\$0	\$0	\$0	\$36,570	\$0	0.0%
852	\$81,000	\$0	\$0	\$0	\$81,370	\$0	\$147,170	\$9,550	6.9%
853	\$800,000	\$0	\$0	\$0	\$706,410	\$0	\$2,683,390	\$213,190	8.6%
854	\$1,224,000	\$0	\$0	\$0	\$1,192,000	\$0	\$2,188,460	\$76,370	3.6%
855	\$38,000	\$0	\$0	\$0	\$0	\$0	\$75,500	\$75,500	
8xx	\$6,000,000	\$0	\$0	\$0	\$624,000	\$0	\$5,376,000	\$5,376,000	
Total 800	\$18,763,800	\$0	\$655,000	\$0	\$12,858,000	\$0	\$33,673,350	\$6,021,750	21.8%
Totals	\$221,313,350	\$3,934,970	\$126,270,560	\$74,730,210	\$26,937,630	\$3,934,970	\$152,388,135	(\$55,966,615)	-26.9%

RESTATEMENT for Budget Carryovers

Totals	\$221,313,350	\$3,934,970	\$125,520,560	\$52,083,050	\$26,822,630	\$3,934,970	\$239,575,635	\$31,220,885	15.0%
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- Notes:
- The Operating Expenditures and Capital Appropriations figures for FY14-15 reflect the total of only new appropriations for the new fiscal year.
  - Any outstanding encumbrances against the FY13-14 operating budget or unexpended appropriations for uncompleted projects in the FY13-14 capital budget will be determined during the 1st quarter of FY14-15 and then be carried forward into FY14-15 and added to the new appropriations shown above. These carryovers are estimated to be about \$750,000 for the operating budget and about \$59,678,840 for the capital budget.
  - Such encumbrances and unexpended capital appropriations will result in a higher than projected beginning fund balances, which then provide for the added appropriations.

Funds by Budget Component	Actual	FY13-14 Estimated						Projected
	Beginning Fund Balance 7/1/2013	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out	Ending Fund Balance 6/30/2014
<b>Operating Budget</b>								
General Fund	\$18,985,100	\$54,776,380	\$0	\$48,756,220	\$100,000	\$0	\$5,920,160	\$18,985,100
Special Revenue Funds	\$16,449,770	\$12,905,739	\$4,716,160	\$12,394,680	\$0	\$0	\$22,000	\$21,654,989
Capital Projects Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Enterprise Funds	\$31,688,844	\$40,559,039	\$0	\$42,419,810	\$0	\$0	\$0	\$29,828,073
Internal Service Funds	\$10,949,160	\$9,608,709	\$22,000	\$9,582,230	\$0	\$0	\$0	\$10,997,639
Fiduciary Funds	\$19,290	\$670,000	\$0	\$600,000	\$0	\$0	\$0	\$89,290
Total - Operating Budget	\$78,092,164	\$118,519,867	\$4,738,160	\$113,752,940	\$100,000	\$0	\$5,942,160	\$81,555,091
<b>Capital Budget</b>								
General Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Special Revenue Funds	\$3,035,880	\$13,855,151	\$0	\$0	\$16,283,474	\$0	\$0	\$607,557
<b>Capital Projects Funds</b>	\$96,210,270	\$21,680,990	\$2,170	\$0	\$68,230,886	\$0	\$0	\$49,662,544
Enterprise Funds	(\$222,384)	\$17,164,601	\$5,020,880	\$0	\$21,149,223	\$0	\$5,020,880	(\$4,207,006)
Internal Service Funds	\$0	\$1,021,591	\$0	\$0	\$1,021,582	\$0	\$0	\$9
Fiduciary Funds	\$2,602,220	\$0	\$0	\$0	\$0	\$0	\$0	\$2,602,220
Total - Capital Budget	\$101,625,986	\$53,722,333	\$5,023,050	\$0	\$106,685,165	\$0	\$5,020,880	\$48,665,324
<b>Debt Budget</b>								
General Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Special Revenue Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Capital Projects Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Debt Service Funds</b>	\$3,606,510	\$3,800,000	\$1,606,220	\$235,000	\$0	\$5,316,000	\$400,000	\$3,061,730
Enterprise Funds	\$0	\$3,317,770	\$0	\$0	\$0	\$3,317,770	\$0	\$0
Internal Service Funds	\$0	\$56,360	\$0	\$0	\$0	\$56,360	\$0	\$0
<b>Fiduciary Funds</b>	\$25,030,090	\$12,222,560	(\$4,390)	\$0	\$0	\$11,517,220	\$0	\$25,731,040
Total - Debt Budget	\$28,636,600	\$19,396,690	\$1,601,830	\$235,000	\$0	\$20,207,350	\$400,000	\$28,792,770
Total - All Funds	\$208,354,750	\$191,638,890	\$11,363,040	\$113,987,940	\$106,785,165	\$20,207,350	\$11,363,040	\$159,013,185

See page D4 for a summary of each Budget Components Estimated Revenues by Funding Sources. The total revenues shown on page D4 are net of interfund transfers.

Funds	FY14-15 Projected						Projected Ending Fund Balance 6/30/2015	Changes in Fund Balance over 2 years	% Change
	Estimated Revenues	Transfers In	Operating Expenditures	Capital Appropriations	Debt Service	Transfers Out			
<b>Operating Budget</b>									
Gen Fd	\$58,300,740	\$0	\$56,422,650	\$0	\$0	\$1,878,090	\$18,985,100	\$0	0.0%
Sp Rev Fds	\$11,602,380	\$674,090	\$13,011,240	\$0	\$0	\$1,636,000	\$19,284,219	\$2,834,449	17.2%
Cap Pjt Fds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Ent Fds	\$43,715,690	\$0	\$46,471,940	\$0	\$0	\$20,880	\$27,050,943	(\$4,637,901)	-14.6%
IS Fds	\$9,587,320	\$22,000	\$9,459,730	\$0	\$0	\$0	\$11,147,229	\$198,069	1.8%
Fid Fds	\$680,000	\$0	\$655,000	\$0	\$0	\$0	\$114,290	\$95,000	492.5%
Total-Op B	\$123,886,130	\$696,090	\$126,020,560	\$0	\$0	\$3,534,970	\$76,581,781	(\$1,510,383)	-1.9%
<b>Capital Budget</b>									
Gen Fd	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Sp Rev Fds	\$43,407,190	\$0	\$0	\$43,716,510	\$0	\$0	\$298,237	(\$2,737,643)	-90.2%
Cap Pjt Fds	\$7,903,500	(\$671,440)	\$0	\$7,290,700	\$0	\$0	\$49,603,904	(\$46,606,366)	-48.4%
Ent Fds	\$22,488,000	\$20,880	\$0	\$23,223,000	\$0	\$0	(\$4,921,126)	(\$4,698,742)	2112.9%
IS Fds	\$500,000	\$0	\$0	\$500,000	\$0	\$0	\$9	\$9	
Fid Fds	\$0	\$0	\$0	\$0	\$0	\$0	\$2,602,220	\$0	0.0%
Total-Cap B	\$74,298,690	(\$650,560)	\$0	\$74,730,210	\$0	\$0	\$47,583,244	(\$54,042,742)	-53.2%
<b>Debt Budget</b>									
Gen Fd	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Sp Rev Fds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Cap Pjt Fds	\$0	\$2,285,440	\$0	\$0	\$2,285,440	\$0	\$0	\$0	
Debt Ser Fds	\$4,000,000	\$1,604,000	\$250,000	\$0	\$5,373,460	\$400,000	\$2,642,270	(\$964,240)	-26.7%
Ent Fds	\$6,308,000	\$0	\$0	\$0	\$6,308,000	\$0	\$0	\$0	
IS Fds	\$112,730	\$0	\$0	\$0	\$112,730	\$0	\$0	\$0	
Fid Fds	\$12,707,800	\$0	\$0	\$0	\$12,858,000	\$0	\$25,580,840	\$550,750	2.2%
Total-DS B	\$23,128,530	\$3,889,440	\$250,000	\$0	\$26,937,630	\$400,000	\$28,223,110	(\$413,490)	-1.4%
Total All Funds	\$221,313,350	\$3,934,970	\$126,270,560	\$74,730,210	\$26,937,630	\$3,934,970	\$152,388,135	(\$55,966,615)	-26.9%

includes \$750,000 in Budget Savings

1. The combined fund balances of the Operating Budget will decrease from \$78.1 M to about \$76.5 M, or about \$1.5M or a 1.9% decrease. The various funds that support the operating budget are spending down their reserves, but also they are contributing monies to the capital budget.
2. The combined fund balances of the Capital Budget will decrease from \$101.6 M to about \$47.6 M, or about \$54.0 M or a 53.2% decrease. This decrease is due to capital appropriations and expenditures.
3. The combined fund balances of the Debt Budget will decrease from \$28.6 M to about \$28.2 M, or about \$0.4 M or a 1.4% decrease. This decrease is due to the down payment of CDA debt.
4. The combined fund balances of the all budgeted Funds will decrease from \$208.4 M to about \$152.4 M, or about \$56.0 M or a 26.9% decrease.

## Appropriation Limit

As per Article XIII B of the State Constitution, the City of Tracy is subject to an appropriations limit pertaining to the proceeds of taxes (Gann Initiative). The base year for the limit is Fiscal Year 1978-79 and it may be updated annually for growth and inflation. Proposition 111, approved by the voters in June of 1990, provided for certain modifications to the appropriations limit. The City now has two options each for calculating growth and inflation.

For growth, the options are:

- 1) City population growth, or
- 2) County's population growth. For inflation, the options are:
  - A. The California Per Capita Income, or
  - B. Percent change in the local assessment role from the preceding year due to the addition of local non-residential construction in the City.

The decision as to which options to select must be done by a recorded vote of the City Council.

In addition to establishing a new method with options for the annual update of the appropriations limit, Proposition 111 expanded the categories of expenditures exempt from the limit.

The attached worksheets illustrate the computation used to derive the appropriations limit for FY14-15. This limit is \$52,658,761. This is a .58% increase over the FY13-14 limit of \$52,356,071.

Attachments in the appendix (pages H12 to H16) show the calculation to determine the base for the appropriations limit, and the annual update of the limit under the original method. Then, the calculations of the annual update of the limit under the new Proposition 111 method, and the appropriations subject to the limit for FY14-15 are shown.

City staff has used the City's population growth and California Per Capita Income options in the computations and recommends these options for Council selection. These factors were 1.0081% and 0.9977% respectively for a combined factor of 1.0058%.

The City has used these two options every year since the FY90-91 update, except for FY00-01. In FY00-01, the limit was recalculated and amended after the start of the fiscal year. With the amendment, the second method for the second option was used for the inflation calculator, and provided for a larger increase in the limit for the year.

The population figure provided by the State of California, Department of Finance was 84,060 for the City as of January 1, 2014.

The City of Tracy is within its limit. For FY14-15, the margin is \$10,195,211 or 80.64% below the limit. This margin can be construed as the amount by which City tax revenues have been restrained since FY 78-79 when compared to City growth and inflation.

The following represents the City's "proceeds of taxes" by fiscal year.

FY01-02	\$27,115,610	
FY 02-03	\$28,909,770	+6.60%
FY03-04	\$30,951,450	+7.10%
FY04-05	\$33,833,590	+10.60%
FY05-06	\$35,601,660	+5.20%
FY06-07	\$39,904,820	+12.10%
FY07-08	\$42,434,700	+6.30%
FY08-09	\$43,709,400	+3.00%
FY09-10	\$38,007,030	-13.00%
FY10-11	\$30,069,810	-20.90%
FY11-12	\$35,931,410	+19.40%
FY12-13	\$37,923,600	+5.50%
FY13-14	\$41,002,610	+8.10%
FY14-15	\$46,139,960	+12.53%

The "proceed of taxes" figure of \$46,139,960 can be adjusted downward to an "appropriations subject to the limit" of \$42,463,550. This adjustment can be made due to \$1,204,000 budgeted for debt service in FY14-15 out of tax proceeds and \$2,472,410 tax proceeds either budgeted or reserved for capital outlays.

Long-Term Analysis

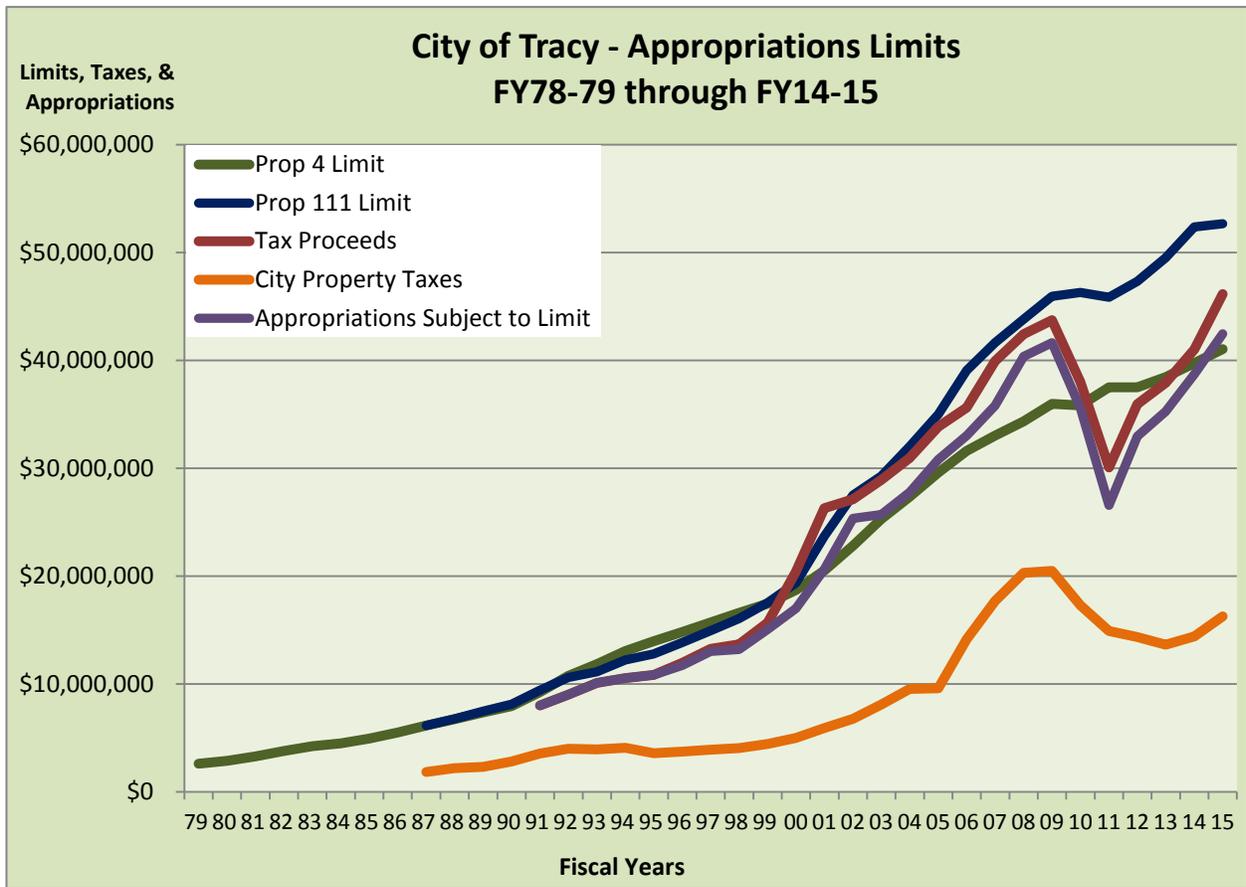
As the graph below shows, until FY98-99, the City's "proceed of taxes" and "appropriations subject to the limit" were below the appropriation limit. The difference or margin indicates the amount by which City tax revenues have been restrained since FY78-79 when compared to City growth and inflation.

However, since FY 99-00, "proceeds of taxes" have exceeded the limit due to significant fee revenues from prior fiscal years due to the City's high growth rate. But the "appropriations subject to the limit" were brought down to within the limit by debt service and capital outlays.

This situation of significant fee revenues might occur in the future, but is expected to stop as the City's growth rate drops. Then, it is expected that both the City's "proceeds of taxes" and "appropriations subject to the limit" will fall below the appropriations limit, and return to a margin of restrained revenues.

Also, the City does have the option of recalculating its limits for prior fiscal years using option 2 for inflation when the data for the recalculation becomes available. This would increase the limit and provide for a larger margin. This second option was only used once before FY 00-01.

A separate agenda item was submitted to the City Council for establishment of appropriation limits for FY14-15 on June 3, 2014. A copy of City Council Resolution 2014-090 is on page H11 in the appendix.

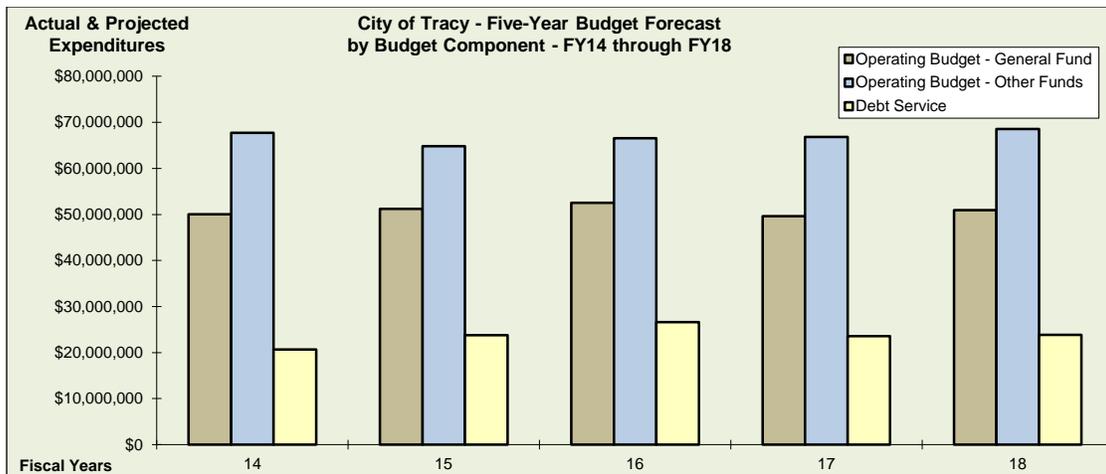


## Multi-Year Budget Forecast

This part of the budget document is a multi-year budget forecast. It is shown in the same format as the budget summaries seen on pages C3 to C6; however, it extends for an additional four years to FY18-19. It attempts to show a long-term baseline projection of the approved budget. Separate displays are provided for the Operating Budget, the General Fund Budget and the Capital Budget.

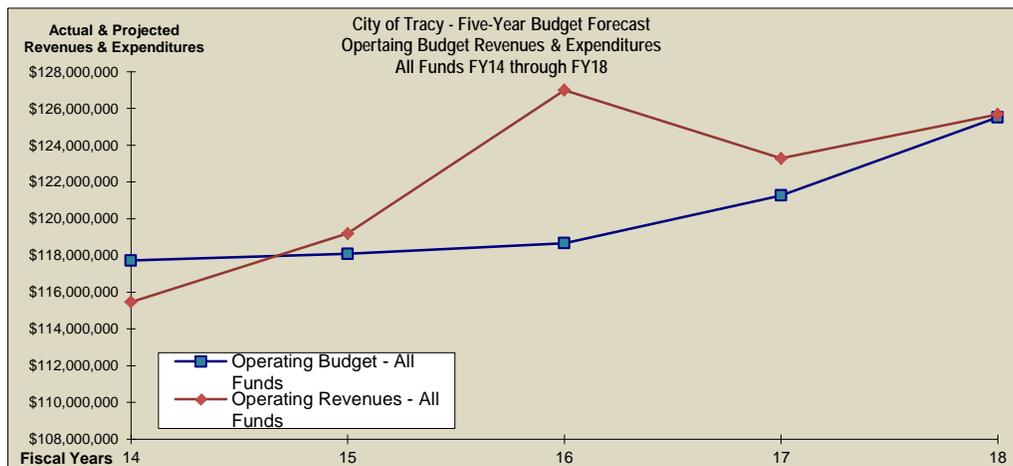
The assumptions for the long-term, multi-year forecast include:

- A 2% to 3% annual inflationary factor after FY14-15
- Payroll adjustments each year will include increases in PERS retirement and group insurance costs and merit pay raises. These are estimated between 2% and 3% annually. No pay raises for COLAs are included. No increases in staffing levels are anticipated.
- In FY15-16, payroll costs will show a decrease as employees start paying their share of PERS costs, either 8% or 9% of their salaries. This will offset the expected annual increase in operating costs.
- Major changes in PERS rates are projected in FY17-18. So, expenditures are expected to be higher than in previous fiscal years.
- With a recovery in the economy, revenue growth is expected in FY14-15 through FY15-16. However, in FY16-17, revenues will decrease with the expiration of the Measure E temporary sales tax. Thereafter, only modest revenue growth is expected.



## Operating Budget Forecast

With anticipated excess revenue, the operating budget forecast (page C21) shows an increase in fund balances through FY15-16. However, thereafter, there will be a drawdown of fund balances. With expiration of Measure E, expenditures will exceed revenues. The projected deficit is discussed as part of the General Fund Forecast overview.



Composite Summary All Funds	FY14-15 Projected	FY15-16 Projected	%	FY16-17 Projected	%	FY17-18 Projected	%	FY18-19 Projected	%
			Change		Change		Change		Change
<b>BEGINNING FUND BALANCES</b>	\$159,013,190	\$152,388,140		\$41,918,597		(\$58,870,449)		(\$276,804,979)	
<b>REVENUES</b>									
Property Taxes	\$20,259,400	\$21,407,500	5.7%	\$23,226,000	8.5%	\$24,560,000	5.7%	\$26,435,100	7.6%
Sales Taxes	27,137,560	27,282,090	0.5%	22,861,780	-16.2%	21,783,100	-4.7%	22,631,500	3.9%
Other Taxes	1,750,000	1,795,000	2.6%	1,820,000	1.4%	1,845,000	1.4%	1,870,000	1.4%
Operating Assessments	3,147,850	3,161,550	0.4%	3,180,150	0.6%	3,198,650	0.6%	3,227,150	0.9%
Capital Development Fees	1,556,400	6,821,500	338.3%	2,657,000	-61.0%	2,657,000	0.0%	257,129,910	
Debt Assessments	12,083,800	9,360,600	-22.5%	9,212,700	-1.6%	9,319,100	1.2%	9,442,800	1.3%
License & Permit Fees	1,430,280	854,000	-40.3%	892,300	4.5%	962,400	7.9%	1,033,400	7.4%
Franchise Fees	2,809,000	2,841,400	1.2%	2,872,500	1.1%	2,899,100	0.9%	2,936,700	1.3%
State Shared Taxes	2,664,380	3,119,000	17.1%	3,184,000	2.1%	3,250,600	2.1%	3,317,100	2.0%
Other Grants	48,638,740	20,081,400	-58.7%	16,485,500	-17.9%	15,939,840	-3.3%	97,183,080	
Current Charges	10,786,500	8,560,600	-20.6%	8,639,500	0.9%	8,727,600	1.0%	8,819,200	1.0%
Enterprise Charges	58,720,540	55,766,100	-5.0%	57,362,900	2.9%	59,054,800	2.9%	60,850,830	3.0%
Fines & Forfeitures	1,314,200	1,336,500	1.7%	1,350,500	1.0%	1,364,000	1.0%	1,378,000	1.0%
Use of Money & Property	995,500	1,339,600	34.6%	1,337,200	-0.2%	1,308,300	-2.2%	1,264,500	-3.3%
Other Revenues	1,694,200	576,000	-66.0%	588,000	2.1%	591,000	0.5%	595,000	0.7%
Other Financing Sources	26,325,000	4,729,000	-82.0%	4,241,000	-10.3%	14,475,000	241.3%	38,146,000	163.5%
<b>Total Revenues</b>	<b>\$221,313,350</b>	<b>\$169,031,840</b>	<b>-23.6%</b>	<b>\$159,911,030</b>	<b>-5.4%</b>	<b>\$171,935,490</b>	<b>7.5%</b>	<b>\$536,260,270</b>	<b>211.9%</b>
<b>EXPENDITURES</b>									
	<b>FY14-15 Approved</b>								
<b>Operating Budget</b>									
Police	\$23,883,090	\$24,209,389	1.4%	\$25,027,114	3.4%	\$25,946,349	3.7%	\$26,920,661	3.8%
Fire	16,456,970	16,595,504	0.8%	17,149,742	3.3%	17,834,849	4.0%	18,573,230	4.1%
Public Works	41,252,840	42,235,483	2.4%	43,248,318	2.4%	44,377,348	2.6%	45,568,484	2.7%
Utilities	15,176,550	15,586,496	2.7%	16,011,765	2.7%	16,510,443	3.1%	17,045,829	3.2%
Development Services	9,732,900	8,370,121	-14.0%	8,631,515	3.1%	8,955,828	3.8%	9,310,386	4.0%
Gen Govt Agencies	2,997,190	2,969,471	-0.9%	3,151,258	6.1%	3,186,807	1.1%	3,408,757	7.0%
Recreation & Cultural Arts	3,664,250	3,764,447	2.7%	3,868,440	2.8%	3,990,781	3.2%	4,122,276	3.3%
Administrative Services	6,611,690	6,712,862	1.5%	6,922,733	3.1%	7,178,908	3.7%	7,457,590	3.9%
Non-Departmental	6,495,080	6,255,166	-3.7%	6,481,015	3.6%	6,715,096	3.6%	6,939,836	3.3%
<b>Total</b>	<b>\$126,270,560</b>	<b>\$126,698,938</b>	<b>0.3%</b>	<b>\$130,491,898</b>	<b>3.0%</b>	<b>\$134,696,410</b>	<b>3.2%</b>	<b>\$139,347,049</b>	<b>3.5%</b>
<b>Capital Budget</b>									
Govt Bldgs & Pub Saf Fac	\$482,200	\$4,490,430	831.2%	\$10,065,400	124.2%	\$18,991,920	88.7%	\$22,525,900	18.6%
Traffic, Streets & Highways	43,687,510	59,028,025	35.1%	57,405,100	-2.7%	115,156,360	100.6%	222,798,100	93.5%
Water & Sewer Improvements	25,621,800	40,982,010	59.9%	11,427,000	-72.1%	49,818,130	336.0%	160,198,320	221.6%
Drainage Improvements	483,100	6,000,300	1142.0%	10,486,300	74.8%	13,473,700	28.5%	9,154,800	-32.1%
Airport & Transit Improvements	100,000	10,746,000	10646.0%	3,491,990	-67.5%	0	-100.0%	51,030,600	
Parks & Recreation Improvemen	2,835,600	9,031,600	218.5%	15,046,600	66.6%	35,729,670	137.5%	18,540,500	-48.1%
Miscellaneous Projects	1,520,000	1,757,500	15.6%	1,259,229	-28.4%	1,145,000	-9.1%	6,185,529	440.2%
<b>Total</b>	<b>\$74,730,210</b>	<b>\$132,035,865</b>	<b>76.7%</b>	<b>\$109,181,619</b>	<b>-17.3%</b>	<b>\$234,314,780</b>	<b>114.6%</b>	<b>\$490,433,749</b>	<b>109.3%</b>
<b>Debt Service</b>	<b>\$26,937,630</b>	<b>\$20,766,580</b>	<b>-22.9%</b>	<b>\$21,026,560</b>	<b>1.3%</b>	<b>\$20,858,830</b>	<b>-0.8%</b>	<b>\$20,889,920</b>	<b>0.1%</b>
<b>Total Expenditures</b>	<b>\$227,938,400</b>	<b>\$279,501,383</b>	<b>22.6%</b>	<b>\$260,700,077</b>	<b>-6.7%</b>	<b>\$389,870,020</b>	<b>49.5%</b>	<b>\$650,670,718</b>	<b>66.9%</b>
<b>ENDING FUND BALANCES</b>	<b>\$152,388,140</b>	<b>\$41,918,597</b>	<b>-72.5%</b>	<b>(\$58,870,449)</b>	<b>-240.4%</b>	<b>(\$276,804,979)</b>	<b>370.2%</b>	<b>(\$391,215,427)</b>	<b>41.3%</b>

## General Fund Forecast

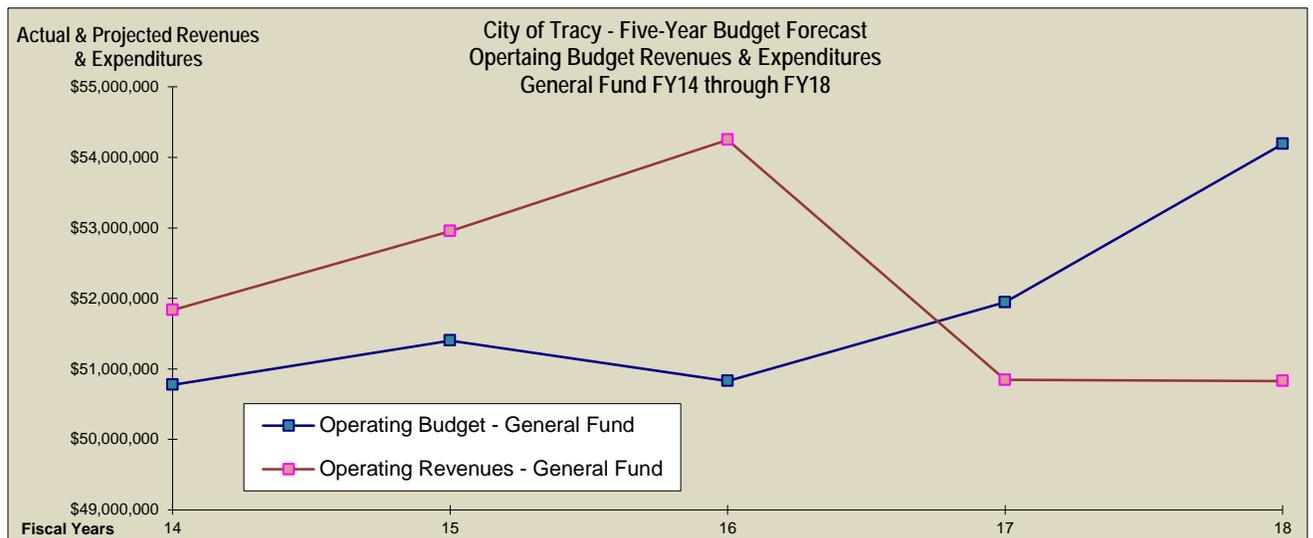
In response to the economic downturn, City voters, in November 2010, approved Measure E which authorized a half-cent local sales tax for five years. The Measure E sales tax became effective April 2011, and is anticipated to provide \$7.0 million in FY14-15, \$7.3 million in FY15-16, but will decline to \$1.5 million, upon its expiration in FY15-16. No future Measure E sales tax will be generated after that.

In the *General Fund budget forecast* (page C21), the total fund balance is positive through FY18-19 with an ending figure of about \$18.9 million. The General Fund will be able to maintain its funding balance through FY18-19. This will only be possible, however, with the drawdown of the City's Economic Uncertainty reserves in FY16-17, FY17-18, and FY18-19. This drawdown over three years will be about \$11.0 million.

During the first two years of the forecast period, revenues will exceed expenditures and surpluses will be generated and transferred to the Economic Uncertainty Fund 299. Thereafter, expenditures will exceed revenues. In the forecast period, the General Fund will continue to cover debt service of about \$1,270,000 annually.

To maintain its fund balance, the General Fund must be supplemented by transfers-in from the Economic Uncertainty Fund 299. These drawdowns will also equal the surpluses generated in the three prior fiscal years.

With the expiration of Measure E, the General Fund will have a structural deficit of about \$4.4 million in FY17-18. Also, beyond the forecast period, the fund will have the same deficit problem with the expenditures rising faster than revenues.



## Capital Budget Forecast

The capital budget forecast (page C22) shows an annual deficit occurring in FY15-16 and each year thereafter.

Some future CIP projects require funding from future developments whose financial implementation plans still have to be completed, reviewed, and accepted by the City. Therefore, capital development fees and bond proceeds, which would come from such developments, are not included in the revenue figures. Thus, the projected deficit would appear in FY15-16 and beyond. Some future projects shown in the FY18-19 column are projected into future years. Their supporting revenues are not included.

As shown in the CIP summary (page F10), about \$278.8 million is needed for future developments. Also, \$186.6 million is needed for utilities projects, exclusive of grants for such projects.

Also, in some cases, it may be necessary to transfer added monies from the operating budget and General Fund balances to support future CIP projects. These would include \$13.8 million in public buildings CIP projects, and \$42.2 million in parks CIP projects.

General Fund Summary	FY14-15 Projected	FY15-16 Projected	%	FY16-17 Projected	%	FY17-18 Projected	%	FY18-19 Projected	%
			Change		Change		Change		Change
BEGINNING FUND BALANCE	\$18,985,100	\$18,985,100		\$18,985,100		\$18,985,100		\$18,985,100	
<b>REVENUES</b>									
Property Taxes	\$16,259,400	\$17,407,500	7.1%	\$19,226,000	10.4%	\$20,560,000	6.9%	\$22,435,100	9.1%
Sales Taxes - General	16,078,080	16,408,920	2.1%	17,600,900	7.3%	17,915,100	1.8%	18,721,500	4.5%
Temporary Taxes - Measure E	7,012,480	7,276,870	3.8%	1,532,880	-78.9%	0	-100.0%	0	
Other Taxes	1,750,000	1,795,000	2.6%	1,820,000	1.4%	1,845,000	1.4%	1,870,000	1.4%
Operating Assessments	380,000	384,400	1.2%	393,000	2.2%	401,500	2.2%	410,000	2.1%
License & Permit Fees	1,430,280	854,000	-40.3%	892,300	4.5%	962,400	7.9%	1,033,400	7.4%
Franchise Fees	2,616,000	2,651,400	1.4%	2,681,500	1.1%	2,706,600	0.9%	2,741,700	1.3%
State Shared Taxes	563,000	570,500	1.3%	577,000	1.1%	583,500	1.1%	590,000	1.1%
Other Grants	607,250	490,500	-19.2%	497,500	1.4%	505,000	1.5%	512,500	1.5%
Current Charges	10,074,050	8,382,100	-16.8%	8,455,500	0.9%	8,538,600	1.0%	8,625,200	1.0%
Fines & Forfeitures	919,200	941,500	2.4%	955,000	1.4%	968,000	1.4%	981,000	1.3%
Use of Money & Property	449,000	469,000	4.5%	474,000	1.1%	449,500	-5.2%	405,000	-9.9%
Other Revenues	162,000	164,000	1.2%	167,000	1.8%	169,000	1.2%	172,000	1.8%
Other Financing Sources	0	0		0		0		0	
Sub-total	\$58,300,740	\$57,795,690	-0.9%	\$55,272,580	-4.4%	\$55,604,200	0.6%	\$58,497,400	5.2%
IF Trfs In from EU Fund 299	0	0		2,673,937		4,403,876		3,885,640	-11.8%
IF Trfs In from other Funds	0	0		0		0		0	
<b>Total Revenues</b>	<b>\$58,300,740</b>	<b>\$57,795,690</b>	<b>-0.9%</b>	<b>\$57,946,517</b>	<b>0.3%</b>	<b>\$60,008,076</b>	<b>3.6%</b>	<b>\$62,383,040</b>	<b>4.0%</b>
<b>EXPENDITURES</b>									
	<b>FY14-15</b>								
	<b>Approved</b>								
<b>Operating Budget</b>									
Police	\$23,866,190	\$ 24,192,389	1.4%	\$ 25,009,614	3.4%	\$ 25,928,349	3.7%	\$ 26,902,161	3.8%
Fire	9,897,450	9,217,852	-6.9%	9,522,926	3.3%	9,903,431	4.0%	10,313,355	4.1%
Public Works & Utilities									
Street & Traffic Maintenance	1,289,020	1,365,307	5.9%	1,497,949	9.7%	1,599,156	6.8%	1,674,932	4.7%
Parks & Property Maintenance	3,145,190	3,102,862	-1.3%	3,103,057	0.0%	3,165,168	2.0%	3,267,438	3.2%
Development Services									
Planning & Building	4,691,570	3,984,743	-15.1%	4,117,083	3.3%	4,282,163	4.0%	4,462,942	4.2%
Engineering	3,439,700	2,744,740	-20.2%	2,838,307	3.4%	2,955,852	4.1%	3,084,854	4.4%
Economic Development	542,530	557,822	2.8%	573,717	2.8%	592,638	3.3%	613,054	3.4%
Gen Govt Agencies	2,896,220	2,865,428	-1.1%	3,044,011	6.2%	3,075,649	1.0%	3,293,345	7.1%
Recreation & Cultural Arts	3,664,250	3,764,447	2.7%	3,868,440	2.8%	3,990,786	3.2%	4,122,280	3.3%
Administrative Services	3,392,440	3,518,956	3.7%	3,626,790	3.1%	3,757,036	3.6%	3,898,214	3.8%
Non-Departmental	737,160	664,750	-9.8%	716,428	7.8%	770,796	7.6%	828,027	7.4%
Indirect Cost Reimbursement	(1,139,070)	(1,182,127)	3.8%	(1,227,402)	3.8%	(1,285,949)	4.8%	(1,350,761)	5.0%
Sub-total	\$56,422,650	\$54,797,168	-2.9%	\$56,690,918	3.5%	\$58,735,074	3.6%	\$61,109,841	4.0%
Capital Projects	0	0		0		0		0	
Debt Service	1,204,000	1,238,400	2.9%	1,255,600	1.4%	1,273,000	1.4%	1,273,200	0.0%
IF Transfers Out	674,090	1,760,121		0		0		0	
Budget Savings	0	0		0		0		0	
<b>Total Expenditures</b>	<b>\$58,300,740</b>	<b>\$57,795,689</b>	<b>-0.9%</b>	<b>\$57,946,518</b>	<b>0.3%</b>	<b>\$60,008,074</b>	<b>3.6%</b>	<b>\$62,383,041</b>	<b>4.0%</b>
ENDING FUND BALANCE	\$18,985,100	\$18,985,100	0.0%	\$18,985,100	0.0%	\$18,985,100	0.0%	\$18,985,100	0.0%

<b>CITY OF TRACY</b>	01-Jul-14	--- Multi-Year Budget Forecast ---							
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Composite Summary Capital Budget - All Funds	FY14-15 Projected	FY15-16 Projected	% Change	FY16-17 Projected	% Change	FY17-18 Projected	% Change	FY18-19 Projected	% Change
BEGINNING FUND BALANCES	\$46,183,320	\$45,101,240	-2.3%	(\$28,276,225)	-162.7%	(\$124,277,844)	339.5%	(\$328,269,984)	164.1%
<b>REVENUES</b>									
Property Taxes	\$0	\$0		\$0		\$0		\$0	
Sales Taxes	1,875,000	1,110,000	-40.8%	1,120,000	0.9%	1,130,000	0.9%	1,140,000	0.9%
Other Taxes	0	839,800		179,200		706,900		0	
Operating Assessments	832,000	0		0		0		0	
Capital Development Fees	1,556,400	6,621,500	325.4%	2,457,000	-62.9%	2,457,000	0.0%	183,790,931	
License, Permit, & Franchise	0	0		0		0		0	
State Shared Taxes	671,200	509,000	-24.2%	535,000	5.1%	561,000	4.9%	590,000	5.2%
Other Grants	40,595,090	14,109,400	-65.2%	3,767,300	-73.3%	6,869,740	82.4%	86,417,500	
Current Charges	0	0		0		0		0	
Enterprise Charges	2,568,000	2,606,700	1.5%	2,711,000	4.0%	2,819,500	4.0%	2,932,300	4.0%
Internal Charges	500,000	0		0		0		0	
Use of Money & Property	0	0		0		0		0	
Other Revenues	0	0		0		0		0	
CIP Contributions	6,300,000	27,774,000		2,410,500		15,778,500		34,527,500	
Debt Proceeds	19,401,000	5,088,000	-73.8%	0	-100.0%	0		0	
Net Transfers	-650,560	0	-100.0%	0		0		0	
<b>Total Revenues</b>	<b>\$73,648,130</b>	<b>\$58,658,400</b>	<b>-20.4%</b>	<b>\$13,180,000</b>	<b>-77.5%</b>	<b>\$30,322,640</b>	<b>130.1%</b>	<b>\$309,398,231</b>	<b>920.4%</b>
<b>EXPENDITURES</b>									
	<b>FY14-15 Approved</b>								
<b>Capital Budget</b>									
Govt Bldgs & Pub Saf Fac	\$482,200	\$4,490,430	831.2%	\$10,065,400	401.6%	\$18,991,920	88.7%	\$22,525,900	18.6%
Traffic Improvements	2,028,100	6,978,145	244.1%	12,153,200	406.5%	32,284,000	165.6%	35,346,500	9.5%
Streets & Highways	41,659,410	52,049,880	24.9%	45,251,900	260.1%	82,872,360	83.1%	187,451,600	126.2%
Wastewater Improvements	24,201,800	33,149,080	37.0%	8,272,000	360.8%	22,022,930	166.2%	152,764,220	593.7%
Water Improvements	1,420,000	7,832,930	451.6%	3,155,000	-5.1%	27,795,200	781.0%	7,434,100	-73.3%
Drainage Improvements	483,100	6,000,300	1142.0%	10,486,300	52.6%	13,473,700	28.5%	9,154,800	-32.1%
Airport & Transit Improvements	100,000	10,746,000		3,491,990	374.9%	0	-100.0%	51,030,600	
Parks & Recreation Improvemen	2,835,600	9,031,600	218.5%	15,046,600	105.3%	35,729,670	137.5%	18,540,500	-48.1%
Miscellaneous Projects	1,520,000	1,757,500	15.6%	1,259,229	252.0%	1,145,000	-9.1%	6,185,529	440.2%
<b>Total Expenditures</b>	<b>\$74,730,210</b>	<b>\$132,035,865</b>	<b>76.7%</b>	<b>\$109,181,619</b>	<b>-17.3%</b>	<b>\$234,314,780</b>	<b>114.6%</b>	<b>\$490,433,749</b>	<b>109.3%</b>
ENDING FUND BALANCES	\$45,101,240	(\$28,276,225)	-162.7%	(\$124,277,844)	339.5%	(\$328,269,984)	164.1%	(\$509,305,502)	55.1%

Some future projects require funding from future developments whose financial implementation plans still have to be completed, reviewed, and accepted by the City. Therefore, capital development fees and bond proceeds, which would come from such developments are not included in the revenue figures. Thus, the program deficit appearing in FY15-16 and beyond.

Also, some of the future projects shown in the FY18-19 column actually are projected for beyond out into future years.

# *Estimated Revenues*



## ESTIMATED REVENUES

This part of the budget document focuses on the resources that are anticipated to support the City's budget. It details the estimated revenues that are forecasted to be received to fund City expenditures in FY14-15.

For comparative purposes, the *projected* revenues for FY14-15 are shown and compared to *actual* revenues for the two prior years, FY11-12, and FY12-13 and to the budgeted and *estimated* revenues for the current FY13-14.

The first schedule, on Page D2 provides a summary of estimated revenues by major revenue categories and fund types.

The second schedule, on D3 breaks out the summary into the three major budget components: operating, capital, and debt service. This is followed by pages highlighting major revenues by component.

The third schedule, starting on Page D5, lists all revenue sources for the City by account title as they are classified into major and minor revenue categories. The list is complemented by narrative pages, which provide descriptions of the various revenue sources, as well as comments regarding recent trends and/or changes affecting these revenue sources.

At the end of the third schedule, on Page D24 details the inter-fund transfers between the various City funds as budgeted and estimated for FY13-14 and as proposed for FY14-15.

Finally, a fourth schedule, starting on Page D25, recaps the third, but it lists the revenues by their appropriate funds. Within this schedule, some accounts have been combined and grouped into their appropriate subcategories. The total estimated revenues shown for a Fund in this section correspond to the amounts shown for it in the Fiscal Overview schedules in the previous section of the budget document.

## Revenue Categories

For classification purposes, revenues are usually grouped into major and minor categories. For financial reporting purposes, the California State Controller's Office has established a classification scheme that local governments are required to use when reporting their financial affairs to the State. The presentation of estimated revenues in the third section is based upon the State Controller's classification scheme.

## Revenue Forecasts

The forecast of departmental revenues is done by department staff subjected to review by the Budget Officer. The forecast of all other revenues is done by the Budget Officer subjected to review by the Administrative Services Director and the City Manager.

Specific forecasts for different revenue sources can be found in the following pages D7 through D23.

## Annual Revenue Variation

Revenues to support the Operating Budget were fairly stable and showed modest to moderate increases over the years. Although these increases are less than the rate of inflation and growth combined.

However, in the last few fiscal years, operating revenues have decreased. But, in FY13-14 and in FY14-15, a recovery appears to be happening.

Revenues to support the Capital budget can vary from year- to-year due to the level of development and its impact on capital development fees and the schedule of capital project supported by debt proceeds and grant reimbursements. However, due to the economic downturn, both operating and capital revenues have shown decreases.

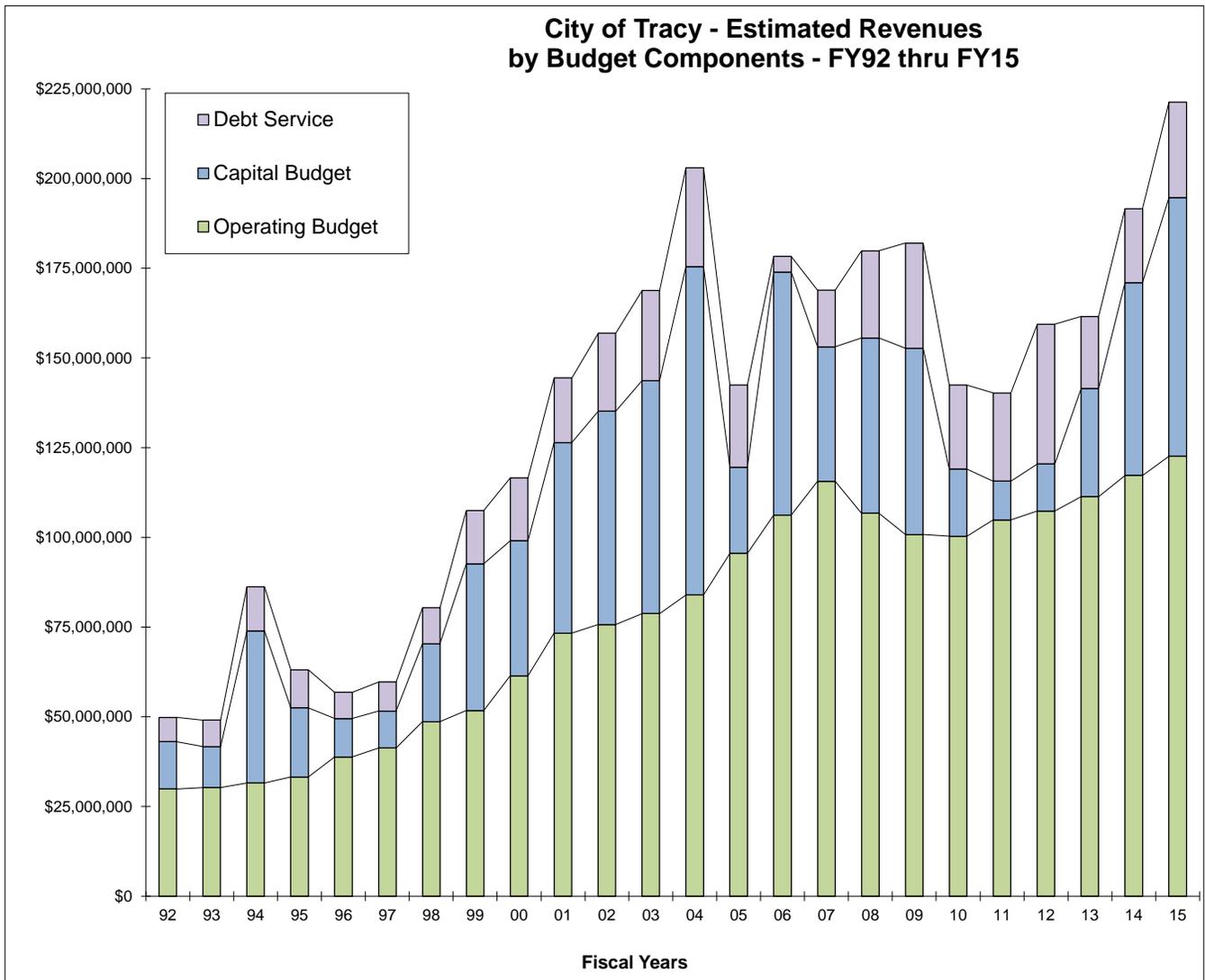
Revenues to support Debt Service are increasing with special districts to finance new developments through debt and tax increment financing for redevelopment.

<b>ESTIMATED REVENUES Summary By Major Sources</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
1. TAXES	41,988,980	45,476,948	8.3%	43,818,160	47,877,170	109.3%	5.3%	49,146,960	2.7%
2. SPECIAL ASSESSMENTS	16,520,829	25,752,221	55.9%	16,765,540	18,479,360	110.2%	-28.2%	16,788,050	-9.2%
3. LICENSE, PERMIT, & FRANCHISE FEES	3,173,413	3,565,477	12.4%	3,601,090	3,599,000	99.9%	0.9%	4,239,280	17.8%
4. INTERGOVERNMENTAL REVENUES	15,048,532	16,655,448	10.7%	17,269,120	28,657,150	165.9%	72.1%	51,303,120	79.0%
5. CURRENT CHARGES	61,921,186	65,863,531	6.4%	65,196,140	66,465,800	101.9%	0.9%	69,507,040	4.6%
6. OTHER REVENUES	5,225,371	2,625,303	-49.8%	4,392,100	4,038,760	92.0%	53.8%	4,003,900	-0.9%
7. OTHER FINANCING SOURCES	15,452,154	1,640,658	-89.4%	40,375,000	22,521,650	55.8%		26,325,000	16.9%
<b>TOTAL REVENUES</b>	<b>159,330,465</b>	<b>161,579,586</b>	<b>1.4%</b>	<b>191,417,150</b>	<b>191,638,890</b>	<b>100.1%</b>	<b>18.6%</b>	<b>221,313,350</b>	<b>15.5%</b>
8. INTERFUND TRANSFERS	15,691,833	21,427,398	36.6%	2,251,800	11,363,040	504.6%	-47.0%	3,934,970	-65.4%
<b>TOTAL REVENUES &amp; TRANSFERS</b>	<b>175,022,298</b>	<b>183,006,984</b>	<b>4.6%</b>	<b>193,668,950</b>	<b>203,001,930</b>	<b>104.8%</b>	<b>10.9%</b>	<b>225,248,320</b>	<b>11.0%</b>

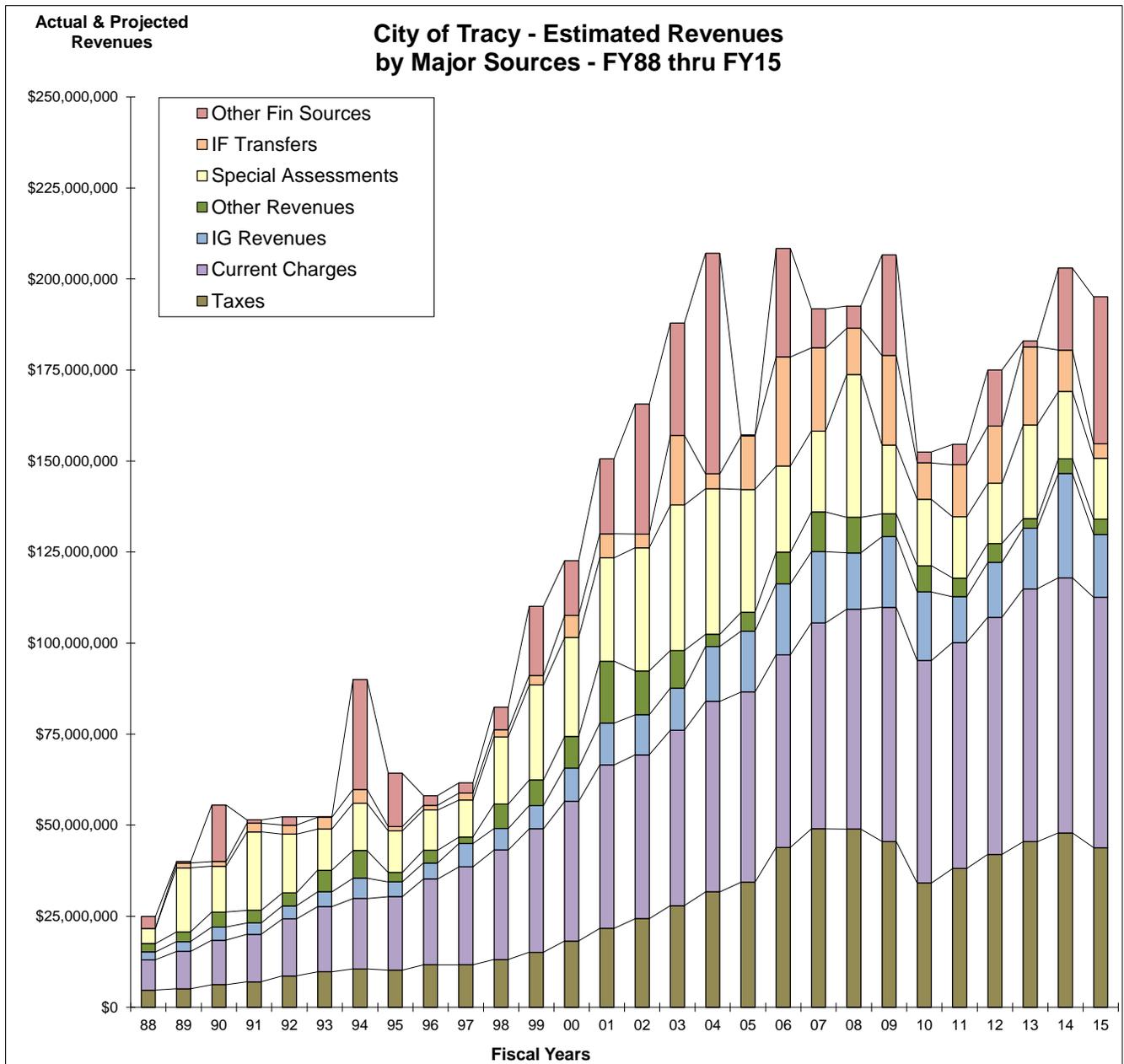
<b>ESTIMATED REVENUES Summary By Fund Types</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
1. GENERAL FUND	49,462,959	53,117,650	7.4%	51,834,360	54,776,380	105.7%	3.1%	58,300,740	6.4%
2. SPECIAL REVENUE FUNDS	16,411,609	17,791,515	8.4%	16,909,790	26,760,890	158.3%	50.4%	55,009,570	105.6%
3. CAPITAL PROJECTS FUNDS	4,309,368	12,707,633	194.9%	16,876,400	21,680,990	128.5%	70.6%	2,527,500	-88.3%
4. DEBT SERVICE FUNDS	5,656,986	4,346,950	-23.2%	3,800,000	3,800,000	100.0%	-12.6%	4,000,000	5.3%
5. ENTERPRISE FUNDS	48,264,864	52,579,528	8.9%	77,995,500	61,041,410	78.3%	16.1%	72,511,690	18.8%
6. INTERNAL SERVICE FUNDS	8,829,930	8,678,326	-1.7%	9,237,300	10,686,660	115.7%	23.1%	10,200,050	-4.6%
7. FIDUCIARY FUNDS	26,394,749	12,357,984	-53.2%	14,763,800	12,892,560	87.3%	4.3%	18,763,800	45.5%
<b>TOTAL REVENUES</b>	<b>159,330,465</b>	<b>161,579,586</b>	<b>1.4%</b>	<b>191,417,150</b>	<b>191,638,890</b>	<b>100.1%</b>	<b>18.6%</b>	<b>221,313,350</b>	<b>15.5%</b>
Net of Interfund Transfers									

# ESTIMATED REVENUES BY BUDGET COMPONENTS



<b>ESTIMATED REVENUES</b> <b>Summary By Budget Component</b>	<b>FY11-12</b> <b>\$ Actual</b>	<b>FY12-13</b> <b>\$ Actual</b>	<b>%</b> <b>Change</b>	<b>FY13-14</b> <b>\$ Budget</b>	<b>FY13-14</b> <b>\$ Estimated</b>	<b>% of</b> <b>Budget</b>	<b>%</b> <b>Change</b>	<b>FY14-15</b> <b>\$ Projection</b>	<b>%</b> <b>Change</b>
<b><u>OPERATING BUDGET</u></b>									
City Property Taxes	14,043,725	14,828,444	5.6%	14,410,000	15,638,820	108.5%	5.5%	16,259,400	4.0%
General Sales Tax	18,422,071	20,553,264	11.6%	20,408,160	22,244,210	109.0%	8.2%	23,090,560	3.8%
Special Sales Tax	1,366,971	2,855,251	108.9%	2,470,000	2,607,440	105.6%	-8.7%	2,172,000	-16.7%
Other Taxes	1,613,976	1,753,019	8.6%	1,630,000	1,606,700	98.6%	-8.3%	1,750,000	8.9%
Operating Assessments	2,881,229	3,087,458	7.2%	2,887,340	2,934,090	101.6%	-5.0%	2,315,850	-21.1%
Licenses, Permit, & Franchise Fees	3,173,413	3,565,477	12.4%	3,601,090	3,599,000	99.9%	0.9%	4,239,280	17.8%
State Shared Revenues	2,511,080	1,895,285	-24.5%	1,904,000	1,850,580	97.2%	-2.4%	1,993,180	7.7%
Other Grants & Reimbursements	7,477,083	9,229,524	23.4%	7,349,070	8,520,727	115.9%	-7.7%	8,043,650	-5.6%
General Charges	8,387,748	9,147,220	9.1%	8,296,840	8,967,350	108.1%	-2.0%	10,786,500	20.3%
Enterprise Charges	32,214,778	36,564,017	13.5%	36,352,900	37,638,340	103.5%	2.9%	40,348,360	7.2%
Internal Charges	7,983,666	7,954,752	-0.4%	8,637,700	7,904,230	91.5%	-0.6%	8,883,450	12.4%
Fines & Forfeitures	1,915,162	942,687	-50.8%	1,798,500	1,351,400	75.1%	43.4%	1,314,200	-2.8%
Use of Money & Property	1,545,064	796,471	-48.5%	1,314,000	966,100	73.5%	21.3%	995,500	3.0%
Miscellaneous Revenues	1,610,796	886,145	-45.0%	1,279,600	1,721,260	134.5%	94.2%	1,694,200	-1.6%
Debt Proceeds	0	0		0	948,750			0	
Interfund Transfers In	3,285,745	0	-100.0%	0	0			0	
Interfund Transfers Out	(1,197,408)	(2,693,468)	124.9%	(1,204,000)	(1,204,000)	100.0%	-55.3%	(2,838,880)	135.8%
<b>Component Total</b>	<b>107,235,099</b>	<b>111,365,546</b>	<b>3.9%</b>	<b>111,135,200</b>	<b>117,294,997</b>	<b>105.5%</b>	<b>5.3%</b>	<b>121,047,250</b>	<b>3.2%</b>
<b><u>CAPITAL BUDGET</u></b>									
Special Sales Tax	1,039,600	1,140,020	9.7%	1,100,000	1,880,000	170.9%	64.9%	1,875,000	-0.3%
Other Taxes	0	0		0	100,000			0	
Operating Assessments	43,911	26,990		238,000	211,010	88.7%	681.8%	832,000	
Capital Development Fees	1,249,003	10,454,636	737.0%	1,556,400	3,111,700	199.9%	-70.2%	1,556,400	-50.0%
State Shared Revenues	375,164	541,379	44.3%	1,085,150	1,059,640	97.6%	95.7%	671,200	-36.7%
Other Grants & Reimbursements	4,685,205	4,989,260	6.5%	6,910,900	17,226,203	249.3%	245.3%	40,595,090	135.7%
Enterprise Charges	6,047,911	8,254,540	36.5%	8,007,430	7,539,300	94.2%	-8.7%	2,568,000	-65.9%
Internal Charges	238,749	212,320	-11.1%	200,000	1,021,580	510.8%	381.2%	500,000	-51.1%
CIP Contributions	2,045,498	1,542,535	-24.6%	24,350,000	21,547,900	88.5%		6,300,000	-70.8%
Debt Proceeds	24,272	98,123	304.3%	16,025,000	25,000	0.2%	-74.5%	19,401,000	77504.0%
Interfund Transfers In	825,620	2,896,738	250.9%	20,000	0	0.0%	-100.0%	1,634,880	
Interfund Transfers Out	(3,444,480)	0	-100.0%	0	0			(2,285,440)	
<b>Component Total</b>	<b>13,130,453</b>	<b>30,156,541</b>	<b>129.7%</b>	<b>59,492,880</b>	<b>53,722,333</b>	<b>90.3%</b>	<b>78.1%</b>	<b>73,648,130</b>	<b>37.1%</b>
<b><u>DEBT SERVICE</u></b>									
CDA Property Taxes	5,656,986	4,346,950	-23.2%	3,800,000	3,800,000	100.0%	-12.6%	4,000,000	5.3%
Debt Assessments	12,346,686	12,183,137	-1.3%	12,083,800	12,222,560	101.1%	0.3%	12,083,800	-1.1%
Enterprise Charges	7,048,334	3,730,682	-47.1%	3,701,270	3,395,000	91.7%	-9.0%	6,420,730	89.1%
Debt Proceeds	13,382,384	0		0	0			624,000	
Interfund Transfers In	1,573,000	1,175,000	-25.3%	1,204,000	1,204,000	100.0%	2.5%	1,204,000	0.0%
Interfund Transfers Out	(1,042,477)	(1,378,270)		0	0		-100.0%	2,285,440	
<b>Component Total</b>	<b>38,964,913</b>	<b>20,057,499</b>	<b>-48.5%</b>	<b>20,789,070</b>	<b>20,621,560</b>	<b>99.2%</b>	<b>2.8%</b>	<b>26,617,970</b>	<b>29.1%</b>
<b>TOTAL REVENUES</b>	<b>159,330,465</b>	<b>161,579,586</b>	<b>1.4%</b>	<b>191,417,150</b>	<b>191,638,890</b>	<b>100.1%</b>	<b>18.6%</b>	<b>221,313,350</b>	<b>15.5%</b>

# ESTIMATED REVENUES BY SOURCES



<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>1. TAXES</b>									
<b>General Property Taxes</b>									
Current, Secured	9,056,160	9,784,407	8.0%	9,370,000	10,161,800	108.5%	3.9%	10,573,000	4.0%
Current, Unsecured	555,002	554,079	-0.2%	600,000	614,800	102.5%	11.0%	630,000	2.5%
Prior Year	12,602	15,542	23.3%	13,000	16,000	123.1%	2.9%	16,000	0.0%
Supplemental Roll	(16,392)	83,566	-609.8%	15,000	85,000	566.7%	1.7%	90,000	5.9%
State Shifts	0	0		0	0			0	
MVL in-lieu Offset	4,436,353	4,390,850	-1.0%	4,412,000	4,761,220	107.9%	8.4%	4,950,400	4.0%
Sub-total	14,043,725	14,828,444	5.6%	14,410,000	15,638,820	108.5%	5.5%	16,259,400	4.0%
<b>Com Dev Agency Property Taxes</b>									
Current, Secured	5,058,121	4,346,950	-14.1%	3,800,000	3,800,000	100.0%	-12.6%	4,000,000	5.3%
Current, Unsecured	2,561	0	-100.0%	0	0			0	
	(12,798)	0	-100.0%	0	0			0	
State Shifts	0	0		0	0			0	
Supplemental Roll	454,753	0	-100.0%	0	0			0	
Sub-total	5,502,637	4,346,950	-21.0%	3,800,000	3,800,000	100.0%	-12.6%	4,000,000	5.3%
<b>Sales &amp; Use Taxes</b>									
General Sales Tax	9,569,353	10,522,437	10.0%	10,756,300	11,699,300	108.8%	11.2%	11,788,920	0.8%
GST in-lieu Offset	2,942,410	3,561,760	21.0%	3,746,600	3,706,820	98.9%	4.1%	4,289,160	15.7%
Temporary Tax-Measure E	5,910,308	6,469,067	9.5%	5,905,260	6,838,090	115.8%	5.7%	7,012,480	2.6%
Transp Devel Tax - Streets	509,167	2,227,754	337.5%	1,500,000	2,300,000	153.3%	3.2%	1,620,000	-29.6%
Transp Devel Tax - Transit	857,804	627,497	-26.8%	970,000	1,037,440	107.0%	65.3%	1,252,000	20.7%
Transp Sales Tax - Prop K	1,039,600	1,140,020	9.7%	1,100,000	1,150,000	104.5%	0.9%	1,175,000	2.2%
Sub-total	20,828,642	24,548,535	17.9%	23,978,160	26,731,650	111.5%	8.9%	27,137,560	1.5%
<b>Business Taxes</b>									
Business License Tax	585,327	603,399	3.1%	605,000	630,000	104.1%	4.4%	640,000	1.6%
Transient Lodging Tax	745,975	786,827	5.5%	760,000	850,000	111.8%	8.0%	860,000	1.2%
<b>Other Taxes</b>									
Documentary Transfer Tax	282,674	362,793	28.3%	265,000	226,700	85.5%	-37.5%	250,000	10.3%
<b>Total for TAXES</b>	<b>41,988,980</b>	<b>45,476,948</b>	<b>8.3%</b>	<b>43,818,160</b>	<b>47,877,170</b>	<b>109.3%</b>	<b>5.3%</b>	<b>49,146,960</b>	<b>2.7%</b>

## TAXES

Taxes are compulsory charges levied by a government for the general financing of government programs, activities and improvements which benefit the community-at-large. Property taxes and sales taxes are the two major tax sources for the City. But, their rates and yields are limited by state law.

### *Property Taxes*

Proposition 13 limits City Property Taxes to 1% of assessed valuation. However, on average, the City receives only 14 cents of every property tax dollar paid by the property owner for property within City limits. The balance goes to schools, the state and the county. The property tax is administered and collected by the county and then remitted to the City. The receipts from this tax may be spent on any lawful City activity. Receipts have dramatically declined due to the mortgage situation and resulting foreclosures and decrease in real estate prices. General Fund property tax receipts declined 8.2% in FY08-09, 19.4% in FY 09-10, 2.3% in FY11-12, 5.2% in FY12-13. However, in the FY12-13 and FY14-15, receipts started to rebound, a 4% increase is anticipated in FY14-15.

Since FY91-92, the Community Development Agency has received an allocation of CDA property taxes based upon the tax increment in the City's redevelopment project area. The receipts from this tax are restricted to redevelopment purposes.

However, the State legislation has abolished redevelopment agencies, effective January 31, 2012. So, any receipts in FY12-13 and afterward will be limited and only for debt service and a small administrative allocation. The City, as a local agency, will receive some of the residual funds from the dissolved CDA.

### *Sales Tax*

State law allows the City to levy a 1% General Sales Tax on retail sales transactions occurring within the City limits. Actual collections are made by the State Board of Equalization which remits tax receipts to the City monthly. The receipts from this tax may be spent on any lawful City activity. The City enjoyed an expanded sales tax base during earlier robust economic times and as the City grew.

However, due to the economic downturn, General Sales Tax receipts declined 11.9% in FY08-09 and 15.9% in FY09-10. But, they have shown major increases in the last four years. An increase of 3.8% is expected in FY14-15.

In November 2010, the Tracy electorate approved Measure E which provides for a ½ cent increase over the 1% general levy. Receipts are greater than anticipated and future increases are expected. Receipts will be up 5.7% in FY13-14 and 2.6% in FY14-15.

The city received an allocation of the county 0.25% Transportation Development (TDA) Tax.

In FY91-92, the City started to receive an allocation of the countywide Prop K 0.50% sales tax levy.

Countywide receipts for both taxes are allocated to the City based upon population. As countywide yields and the City's population grow, so do the City's annual TDA allocation and Prop K tax receipts.

But as special sales taxes TDA and Prop K tax receipts have also been declining recently due to the economic downturn. However, FY12-13, they too started to rebound.

First claim on TDA monies, however, is for transit purposes with the balance restricted to streets. The City's annual TDA drawdown and allocation between transit and street varies yearly due to the availability of other transit funding and transit needs. Proposition K monies are received quarterly and must be used for street maintenance purposes.

### *Other Taxes*

The City levies a Transient Occupancy (Lodging) Tax, at a rate of 10%. Tax yields vary with economic conditions, and have increased due to inflation and the opening of new lodging facilities.

The city levies a Business License Tax, which chiefly is based upon the number of employees a business has. The tax is \$100 plus \$20 per employee but there is also a maximum tax of \$2,000 which means any business with 100 or more employees will still pay \$2,000. Business license tax revenue has flattened as the construction sector has slowed. There are fewer contractor type license revenues.

The City also receives a share of the Documentary Transfer Tax collected by the county on the transfer of realty property located within the City. Tax yields vary with real estate turnover and the level of development within the City.

In past years, yields for these other taxes showed major increases. But, since FY04-05, decreases have been seen due to the slow real estate market and economic downturn. However, some rebound has been seen since FY11-12.

<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>2. SPECIAL ASSESSMENTS</b>									
<b>Operating Assessments</b>									
Landscape Districts Assmts	2,458,818	2,636,934	7.2%	2,640,190	2,657,250	100.6%	0.8%	2,650,700	-0.2%
Downtown Business Assmts	113,978	113,979	0.0%	117,150	117,150	100.0%	2.8%	117,150	0.0%
Pre-Development Assessments	352,344	363,535	3.2%	368,000	370,700	100.7%	2.0%	380,000	2.5%
Other Operating Assessments	0	0		0	0			0	
Sub-total	2,925,140	3,114,448	6.5%	3,125,340	3,145,100	100.6%	1.0%	3,147,850	0.1%
<b>Capital Development Fees</b>									
<b>Infill Areas</b>									
Public Building Fees - Infill	62	3,047		45,000	5,000	11.1%	64.1%	45,000	800.0%
Arterial Fees - Infill	0	33,820		110,000	40,000	36.4%	18.3%	110,000	175.0%
Parking Impact Fees	4,048	3,212		2,400	0	0.0%		2,400	
Drainage Fees - Infill	0	14,731		42,000	10,000	23.8%	-32.1%	42,000	320.0%
Park Fees - Infill	0	0		25,000	5,500	22.0%		25,000	
<b>Residential Specific Plan (RSP) Areas</b>									
Program Fees	0	0		0	0			0	
<b>Plan "C" Areas</b>									
Park Development Fees	0	0		0	0			0	
Drainage Fees	0	0		0	0			0	
Arterial Fees	0	0		0	0			0	
General Fees	0	0		0	0			0	
Utilities Fees	0	0		0	0			0	
Program Mgmt Fees	0	0		0	0			0	
<b>Utilities Fees</b>									
Sewer Capital Fees	10,440	32,499	211.3%	30,000	40,000	133.3%	23.1%	30,000	-25.0%
Water Capital Fees	16,243	16,189	-0.3%	20,000	21,200	106.0%	31.0%	20,000	-5.7%
<b>Other Development Areas</b>									
I205 Area Specific Plan Fees	349,732	67,572	-80.7%	200,000	30,000	15.0%	-55.6%	200,000	566.7%
Northeast Industrial Area Fees	0	8,343,968		460,000	2,650,000	576.1%	-68.2%	460,000	-82.6%
South MacArthur Area Fees	610,974	1,879,920	207.7%	200,000	250,000	125.0%	-86.7%	200,000	-20.0%
Industrial Spec Plan, South	318,484	6,084	-98.1%	80,000	20,000	25.0%	228.7%	80,000	300.0%
Presidio Area Fees	0	0		0	0			0	
Tracy Gateway Area Fees	0	0		100,000	10,000	10.0%		100,000	900.0%
Ellis Area Fees	0	0		0	0			0	
Kagehiro Area Fees	0	0		10,000	5,000	50.0%		10,000	100.0%
Regional Impact Fees	(60,980)	53,594	-187.9%	232,000	25,000	10.8%	-53.4%	232,000	828.0%
Bond Proceeds Offset	0	0		0	0			0	
Sub-total	1,249,003	10,454,636	737.0%	1,556,400	3,111,700	199.9%	-70.2%	1,556,400	-50.0%

## SPECIAL ASSESSMENTS

Special assessments are compulsory charges levied by a government for the purpose of financing particular public services and/or improvements which benefit limited groups of property owners. Special assessments levied and collected by the City consist of three types: operating assessments, capital development fees, and debt assessments.

**Operating Assessments** These include the City Landscaping District (LD), the Downtown Tracy Public Benefit Improvement District (PBID) fees, and Downtown Parking District assessments. The use of these proceeds is limited to the special purposes for which they are levied. Since the late 1980s, all new developments have been placed within the City Landscaping District for the purpose of maintaining the public landscaping in and around these developments. The assessments for the LD are collected by the county along with the property taxes on the properties within the respective District. To raise the LD fees beyond an inflation factor, it requires a vote of the property owners. Some zones in the LD have opted for a higher fee to provide for additional services whereas others have not.

A special levy is collected by the City as a special assessment on businesses within the downtown area. The proceeds are used for promotional activities and events for the downtown area. In FY11-12, the Downtown Tracy Business Improvement Area was replaced by a new Downtown Tracy Public Benefit Improvement District.

The City also receives, by special agreements, pre-development assessments from certain property owners. These assessments are in-lieu of taxes prior to development in and/or annexation for major developments which receive the benefit of City services.

**Capital Development Fees** Capital development fees are collected by the City to finance capital improvements necessary to support new development in the City. These fees are paid by property owners/builders at various stages of the development and building approval process. The use of these fees is restricted to those capital improvement projects necessary to meet the impact of new growth upon the community. These fees support a "pay as you go" capital improvement program for the community. The collection of capital development fees is tied to development approvals and the issuance of building permits, particularly for single family homes.

When building and construction activities were on an upswing, the fees collected have been over \$15 million annually. But in FY05-06 and FY06-07, they decreased to \$9.4 and \$7.0 million. In FY07-08, capital development fees jumped to \$23.5 million due to development in the Northeast Industrial Area. But, since FY08-09, receipts declined to than \$3 million. In FY12-13, they will jump to over \$10 million due to development in the NE Industrial area. Only \$3.1 million is projected for FY13-14 and \$1.5 million is projected for FY14-15.

These fees are accounted for separately by development areas and by project categories. Also, separate fees are collected in the older or "Infill" area of the community. Space limitation in this document does not allow for the listing of all accounts, so only a summary for each separate fund involved is shown here. Fees are also accounted for water and sewer purposes. The resale of excess ECUs of utilities capacity are accounted for as capital fees.

In some cases, bond proceeds are used to finance the fees. To avoid a double counting of total revenues, an offset is shown.

At the start of FY11-12, fees that still needed to be collected from the various areas totaled over \$30 million. Recent collections have been from industrial and commercial development, rather than residential development.

<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>Debt Assessments</b>									
CFD 89-1 ISP-NE	1,556,312	1,300,812	-16.4%	1,300,000	1,300,000	100.0%	-0.1%	1,300,000	0.0%
AD 93-1 Tracy Marketplace	360,474	360,474	0.0%	362,300	360,500	99.5%	0.0%	362,300	0.5%
AD 93-2 Woodfield Estates	449,283	501,036	11.5%	449,000	449,100	100.0%	-10.4%	449,000	0.0%
AD 94-1 Auto Mall	441,844	441,621	-0.1%	441,600	441,700	100.0%	0.0%	441,600	0.0%
AD 95-1 Pheasant Run	109,338	63,241	-42.2%	110,000	115,400	104.9%	82.5%	110,000	-4.7%
AD 96-1 Bridle Creek #1	135,798	137,172	1.0%	138,000	137,200	99.4%	0.0%	138,000	0.6%
AD 97-1 Heartland #1	153,215	146,472	-4.4%	150,000	146,500	97.7%	0.0%	150,000	2.4%
AD 97-2 Bridle Crk #3 & #4	214,905	214,028	-0.4%	214,900	214,900	100.0%	0.4%	214,900	0.0%
AD 98-1 Plan "C"	4,868,238	4,885,018	0.3%	4,750,000	4,885,600	102.9%	0.0%	4,750,000	-2.8%
AD 98-3 Souza Citation	249,549	309,050	23.8%	330,000	309,100	93.7%	0.0%	330,000	6.8%
AD 98-4 Morrison Homes	213,895	208,722	-2.4%	209,000	208,740	99.9%	0.0%	209,000	0.1%
CFD 99-1 NE Industrial Area #1	764,518	761,341	-0.4%	760,000	761,320	100.2%	0.0%	760,000	-0.2%
CFD 99-2 South MacArthur Plan A	929,152	931,595	0.3%	930,000	931,610	100.2%	0.0%	930,000	-0.2%
CFD 00-1 Presidio	939,315	959,747	2.2%	935,000	960,530	102.7%	0.1%	935,000	-2.7%
AD 00-2 Heartland #3	85,945	73,012	-15.0%	85,000	73,020	85.9%	0.0%	85,000	16.4%
AD 03-1 Berg Avenue Area	83,282	82,342	-1.1%	81,000	82,340	101.7%	0.0%	81,000	-1.6%
CFD 06-1 NE Industrial Area #2	791,623	807,454	2.0%	800,000	807,500	100.9%	0.0%	800,000	-0.9%
CFD 11-1 Tracy 580 Bus Park	0	0		38,000	37,500	98.7%		38,000	1.3%
Sub-total	12,346,686	12,183,137	-1.3%	12,083,800	12,222,560	101.1%	0.3%	12,083,800	-1.1%
<b>Total for SPECIAL ASSESSMENTS</b>	16,520,829	25,752,221	55.9%	16,765,540	18,479,360	110.2%	-28.2%	16,788,050	-9.2%

## SPECIAL ASSESSMENTS CONTINUED

### *Debt Assessments*

Special assessments have been levied by the City against certain property owners for infrastructure improvements made in the past that benefited their properties. These assessments are collected by the county along with property taxes on the properties. The receipts from these assessments are used to pay the debt service obligations used to finance the improvements made.

The debt assessments are levied either through Assessment Districts (AD) or Community Facilities Districts (CFD). A District's name usually designates the year when it was first formed.

The City will have 18 special assessment levies and debt obligations to administer in FY14-15. The levies are set based on the payment schedules for the respective bond issues.

<u>ESTIMATED REVENUES By Revenue Source</u>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>3. LICENSE, PERMIT, &amp; FRANCHISE FEES</b>	15	47		50	50	SFH Permit Level		300	
<b>Building &amp; Construction Permits</b>									
Building Permits	279,194	565,196	102.4%	500,000	500,000	100.0%	-11.5%	900,000	80.0%
Electrical Permits	41,098	51,461	25.2%	50,000	70,000	140.0%	36.0%	85,000	21.4%
Plumbing Permits	47,771	66,235	38.7%	55,000	70,000	127.3%	5.7%	90,000	28.6%
Mechanical Permits	19,010	22,860	20.3%	25,000	32,000	128.0%	40.0%	35,000	9.4%
Grading Permits	7,655	12,792	67.1%	68,400	20,000	29.2%	56.3%	147,100	635.5%
Encroachment Permits	21,267	17,055	-19.8%	22,070	15,000	68.0%	-12.0%	28,640	90.9%
Sign & Zoning Permits	22,858	23,427	2.5%	21,820	14,000	64.2%	-40.2%	23,940	71.0%
Sub-total	438,853	759,026	73.0%	742,290	721,000	97.1%	-5.0%	1,309,680	81.6%
<b>License Fees</b>									
Bicycle Licenses	0	12		100	100	100.0%		100	0.0%
Animal Licenses - Rabies	2,638	2,595	-1.6%	2,500	2,000	80.0%	-22.9%	2,500	25.0%
Animal Licenses - Livestock	25,210	26,515	5.2%	26,000	26,000	100.0%	-1.9%	26,000	0.0%
Business Licenses - New	36,345	32,835	-9.7%	33,000	33,000	100.0%	0.5%	34,000	3.0%
Business Licenses - Renewals	51,670	53,005	2.6%	55,000	56,000	101.8%	5.7%	58,000	3.6%
Other Licenses	0	3,650		0	0		-100.0%	0	
Sub-total	115,863	118,612	2.4%	116,600	117,100	100.4%	-1.3%	120,600	3.0%
<b>Franchise Fees</b>									
Franchise - Electric & Gas	541,554	541,028	-0.1%	550,000	581,400	105.7%	7.5%	590,000	1.5%
Franchise - Cable TV	780,506	810,137	3.8%	815,000	800,000	98.2%	-1.3%	815,000	1.9%
Ed/Govt Cable TV	175,856	187,893	6.8%	188,000	191,000	101.6%	1.7%	193,000	1.0%
Franchise - Waste Collection	1,120,000	1,148,000	2.5%	1,188,200	1,188,000	100.0%	3.5%	1,210,000	1.9%
Franchise - Others	781	781	0.0%	1,000	500	50.0%	-36.0%	1,000	100.0%
Sub-total	2,618,697	2,687,839	2.6%	2,742,200	2,760,900	100.7%	2.7%	2,809,000	1.7%
<b>Total for LICENSE, PERMIT, &amp; FRANCHISE FEES</b>	3,173,413	3,565,477	128.3%	3,601,090	3,599,000	99.9%	0.9%	4,239,280	17.8%

## PERMIT, LICENSE, AND FRANCHISE FEES

These fees are collected in exchange for the issuance of a license, a permit, or a franchise and are usually used to finance programs regulating the activities permitted or to mitigate their impact on the community.

### *Building and Construction Permit Fees*

Permit fees are collected by the City for building and construction permits. These fees are used to cover the costs of processing permit applications and inspecting the building and construction work performed under the permits. These permits are primarily issued by the Building Division for projects on private properties. The Engineering Division also issues permits for grading and for projects on, under, or encroaching upon public streets or rights-of-way.

Since FY00-01, building permits for new single family homes were as follows:

FY 00-01	1,364	FY 07-08	19
FY 01-02	838	FY 08-09	27
FY 02-03	1,466	FY 09-10	22
FY 03-04	1,183	FY 10-11	9
FY 04-05	693	FY 11-12	15
FY 05-06	220	FY12-13	47
FY 06-07	88		

In FY04-05 building and construction permit fees totaled about \$3.0 million, but this fell to about \$1.6 million in FY05-06 and \$1.25 million in FY06-07. In recent years, commercial development has brought in revenues, which offset the loss in revenues due to the drop in building permits for new homes. In FY07-08 and FY08-09, revenues dropped to about \$750,000. In FY09-10, they dropped to about \$560,000, and to \$346,000 in FY11-12.

The slowdown in permits is due to the Measure A growth control initiative, as well as the general economic downturn. Revenues are starting to increase with \$438,800 in FY11-12, \$759,026 in FY12-13, and \$721,000 projected for FY13-14. A major pick-up in building and construction is expected in FY14-15, with \$1,309,680 projected in permit fees.

### *License Fees*

Other licensing fees collected include animal and bicycle license fees, which usually generate about \$25,000 per year. These fees are used to cover the costs of the animal regulation program and the printing of bicycle licenses.

Also, the City generates fees for the cost of processing new business license applications and renewals. The revenue generated varies with the local economy.

### *Franchise Fees*

Franchise fees are imposed by the City upon utility companies and other businesses for the privilege of using the City's rights-of-way. These fees may be spent on any lawful City activity. Currently, fees are in place for electric, gas, cable television, and solid waste collections.

The City levies a 0.5% rate for electricity and a 1.0% rate for natural gas on franchise receipts collected within the City. The City levies a 5% rate on cable TV franchise revenues plus 75 cents per month per subscriber to support the City's education & government cable TV channel.

Since FY08-09, franchise fees paid by electric and gas providers were down due to the poor economy. Since then, they have been slowly recovering. A slight increase is projected in FY14-15. Cable TV franchise fees have increased in FY11-12 and FY12-13. Overall, in FY14-15, modest increases are expected.

A review of franchise fees in FY11-12 revealed the contract for solid waste fee provided for a maximum of 10% for a franchise fee and the fee was adjusted accordingly.

ESTIMATED REVENUES By Revenue Source	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>4. INTERGOVERNMENTAL REVENUES</b>									
<b>State Shared Taxes</b>									
Motor Vehicle In-Lieu Tax	41,270	43,009	4.2%	44,000	35,700	81.1%	-17.0%	36,000	0.8%
State Fiscal Relief	0	0		0	0			0	
Property Tax Relief	176,082	118,023	-33.0%	130,000	119,900	92.2%	1.6%	123,000	2.6%
Public Safety Tax	352,095	388,507	10.3%	380,000	395,100	104.0%	1.7%	404,000	2.3%
Gasoline Tax	2,316,797	1,887,125	-18.5%	2,435,150	2,359,520	96.9%	25.0%	2,101,380	-10.9%
Sub-total	2,886,244	2,436,664	-15.6%	2,989,150	2,910,220	97.4%	19.4%	2,664,380	-8.4%
<b>State Grants &amp; Reimbursements</b>									
State Mandate Reimbursements	32,012	16,052	-49.9%	25,000	21,000	84.0%	30.8%	25,000	19.0%
State POST Reimbursements	14,194	83,260	486.6%	20,000	45,000	225.0%	-46.0%	45,000	0.0%
State Law Enforcement Grants	147,749	209,995	42.1%	237,220	200,810	84.7%	-4.4%	237,220	18.1%
State Fire Aid	80,782	115,102	42.5%	206,000	160,000	77.7%		206,000	28.8%
State Highways Grants	0	(4,787)		0	0			0	CIP
SHG-Traffic Congestion Relief	0	0		0	0			0	
SHG-Prop 1B	1,205,764	0	-100.0%	0	0			0	
State Transit Assistance	78,715	138,328	75.7%	0	458,200		231.2%	0	CIP
State Airport Grants	0	335,039		20,000	20,000		CIP	20,000	CIP
State Park Grants	0	0		0	0			0	CIP
Other State Grants	184,323	163,287	-11.4%	0	0			0	CIP
<b>County Grants &amp; Reimbursements</b>									
County Transit Operating	0	0		50,000	0	0.0%		0	
Other County Grants	32,046	41,020	28.0%	40,000	40,000	100.0%	-2.5%	40,000	0.0%
<b>Federal Grants &amp; Reimbursements</b>									
Federal Law Enforcement Grants	12,878	35,339	174.4%	6,300	6,300	100.0%	-82.2%	6,300	
Federal Highway Grants	1,218,289	2,113,739	73.5%	1,728,500	10,036,280	580.6%	374.8%	39,792,490	296.5%
Federal Transit Grants	965,439	1,973,621	104.4%	885,000	2,172,930	245.5%	10.1%	910,000	-58.1%
FAA Airport Grants	42,552	0		4,028,400	4,782,000	118.7%		0	CIP
Community Devel Block Gt	87,350	302,611	246.4%	417,800	633,200	151.6%	109.2%	523,400	-17.3%
Other Federal Grants	513,913	57,687	-88.8%	0	0			0	CIP
<b>Other Grants &amp; Reimbursements</b>									
Tracy Rural Fire District Proceeds	3,942,321	4,226,714	7.2%	3,948,900	4,032,280	102.1%	-4.6%	3,923,800	-2.7%
Mt House CSD Fire Service Proce	2,356,576	2,385,092	1.2%	2,381,100	2,297,150	96.5%	-3.7%	2,434,700	6.0%
SJCOG - Prop K Reimbursements	633,016	0	-100.0%	0	505,460			0	-100.0%
School District Grants - Operating	264,369	253,727	-4.0%	265,750	253,730	95.5%	0.0%	253,730	0.0%
Schools CDA Repayment	0	0		0	0			0	
Other Grants & Reimbursements	350,000	1,772,958	406.6%	20,000	82,590	413.0%	-95.3%	221,100	167.7%
Sub-total	12,162,288	14,218,784	16.9%	14,279,970	25,746,930	180.3%	81.1%	48,638,740	88.9%
<b>Total for INTERGOVERNMENTAL REVENUES</b>	<b>15,048,532</b>	<b>16,655,448</b>	<b>10.7%</b>	<b>17,269,120</b>	<b>28,657,150</b>	<b>165.9%</b>	<b>72.1%</b>	<b>51,303,120</b>	<b>79.0%</b>

## INTERGOVERNMENTAL REVENUES

This category includes all grants, subventions, and reimbursements received from other government entities.

### *State Shared Taxes*

The primary group in this category is state shared taxes which includes motor vehicle in-lieu taxes, property tax relief, public safety sales tax, and gasoline fuel taxes. Their receipts had been stable and reliable from year-to-year based primarily on the City's population relative to the population of the State and other communities. State shared taxes increased based upon inflation, State economic conditions, and City population growth. Population growth and booming State and local economies tend to push such revenues up. However, certain State legislation has had negative impacts on recent and future receipts.

Motor Vehicle in-Lieu Taxes are collected by the State in-lieu of a property tax. A portion of these taxes is allocated among cities by the State. Their use is unrestricted. These used to be a significant City General Fund revenue source reaching \$3.76 million in FY02-03. Since then, they decrease to about \$35,700 in FY13-14.

California voters approved a half cent Public Safety Sales Tax starting in FY93-94. Unfortunately, the distribution formula used in about a handful of counties (including San Joaquin County) directs most of these funds to the county. The small amount the City does receive is restricted to public safety purposes. These receipts have also declined in recent years due to the local economy. But, increases were seen in FY12-13 and FY14-15. A 2.3% increase is projected for FY14-15.

Gasoline Fuel Taxes are collected by the State and then allocated to cities & counties. Their use is restricted to the maintenance and construction of streets and traffic improvements. In FY11-12, receipts were up because traffic congestion relief monies are now added into gasoline taxes. In FY12-13, they showed a decrease. For FY13-14, an increase is expected; but a decrease is projected for FY14-15.

### *Grants and Reimbursements*

This grouping includes special purpose grants from the Federal Government, the State, the County, and other local agencies. The receipts from these sources vary from year-to-year. Their use is usually restricted to certain purposes or certain projects. In some cases, they are reimbursements for expenditures already made by the City for a particular purpose.

One major source in this grouping is the tax and assessment proceeds from the Tracy Rural Fire District (TRFD) to pay for consolidated fire services and the proceeds received from the Mountain House Community Services District (MHCS), located northwest of the City, as the City provides fire services to both of these areas. The City receives all of the TRFD operating receipts for the year, except for a small TRFD administrative allocation. The MHCS proceeds are based upon their share of minimal staffing provided, which is 16.6% of consolidated operating costs.

Both the TRFD and the MHCS are also experiencing decline in local revenues due to the economic downturn. The FY 09-10 Fire Department budget was reduced for the benefit of TRFD, and MHCS shared in the benefit of that reduction. The MHCS proceeds for FY 09-10 were down due to the reduction, but also they were given a credit for the overpayment of their share of costs in the prior fiscal year. Since then, proceeds have been increasing.

Local schools provide annual support for the School Resources Officers and Crossing Guards services.

Others grants are based upon approved capital projects. These include parks, highways, transit, and airport grants. Also, Prop K grants are received from the SJCOG for specific street, bikeway, and transit projects.

<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>5. CURRENT SERVICE CHARGES</b>									
<b>General Government Charges</b>									
Sales of Maps & Publications	3,186	733	-77.0%	4,000	2,500	62.5%	241.1%	3,000	20.0%
Reproduction & Postage Fees	4,820	7,068	46.6%	4,000	7,200	180.0%	1.9%	5,000	-30.6%
Financial Services Fees	619,214	611,058	-1.3%	400,000	400,000	100.0%	-34.5%	400,000	0.0%
Other Gen Govt Fees	8,850	3,975	-55.1%	5,000	4,000	80.0%	0.6%	5,000	25.0%
<b>Public Safety Charges</b>									
Special Police Fees	155,165	138,069	-11.0%	150,000	146,540	97.7%	6.1%	146,540	0.0%
Special Fire Fees	168,182	204,558	21.6%	168,500	191,700	113.8%	-6.3%	204,950	6.9%
Animal Shelter Fees	12,533	12,933	3.2%	14,000	15,000	107.1%	16.0%	15,000	0.0%
Weed & Lot Cleaning Fees	5,957	4,562	-23.4%	5,000	8,800	176.0%	92.9%	5,000	-43.2%
Hazardous Waste Clean-up Fees	0	0		2,000	1,500	75.0%		2,000	33.3%
Demolition Fees	0	0		2,000	1,500	75.0%		2,000	33.3%
<b>Engineering Charges</b>									
Map Review & Plan Check Fees	234,952	376,797	60.4%	368,000	600,000	163.0%	59.2%	2,000,000	233.3%
Inspection Fees	288,806	203,485	-29.5%	162,500	400,000	246.2%	96.6%	500,000	25.0%
Segregation Fees	1,440	4,989	246.5%	1,500	1,500	100.0%	-69.9%	8,200	446.7%
<b>Planning &amp; Zoning Charges</b>									
Planning Fees	333,612	396,839	19.0%	103,000	375,000	364.1%	-5.5%	13,000	-96.5%
Environmental Review Fees	3,220	3,395	5.4%	5,500	10,000	181.8%	194.6%	10,000	0.0%
Zoning Fees	53,050	13,516	-74.5%	36,440	55,000	150.9%	306.9%	55,000	0.0%
Development Review Fees	83,594	149,851	79.3%	163,200	175,000	107.2%	16.8%	175,000	0.0%
<b>Building Regulation Charges</b>									
Building Plan Check Fees	197,652	561,795	184.2%	400,000	700,000	175.0%	24.6%	800,000	14.3%
<b>Parks &amp; Recreation Charges</b>									
General Recreation Fees	194,460	213,770	9.9%	231,000	186,000	80.5%	-13.0%	237,000	27.4%
Aquatics Fees	146,344	168,977	15.5%	146,000	170,000	116.4%	0.6%	170,000	0.0%
Athletics Fees	181,893	211,334	16.2%	164,000	208,150	126.9%	-1.5%	208,150	0.0%
Youth Program Fees	121,990	133,517	9.4%	155,000	109,310	70.5%	-18.1%	124,000	13.4%
Senior Center Fees	20,519	21,306	3.8%	21,000	21,000	100.0%	-1.4%	24,000	14.3%
Teen Program Fees	5,135	4,013	-21.9%	6,300	7,460	118.4%	85.9%	7,500	0.5%
Rental - Community Center	49,967	51,993	4.1%	65,500	59,120	90.3%	13.7%	65,000	9.9%
Rental - Other Facilities	40,491	34,794	-14.1%	69,500	13,780	19.8%	-60.4%	27,900	102.5%
Community Events Fees	19,061	34,096	78.9%	25,000	37,380	149.5%	9.6%	40,000	7.0%
<b>Cultural Arts Charges</b>									
Cultural Arts Fees	266,547	303,735	14.0%	346,500	284,910	82.2%	-6.2%	372,660	30.8%
<b>Capital Project Charges</b>									
CIP Project Mgmt Charges	5,167,108	5,276,062	2.1%	5,072,400	4,775,000	94.1%	-9.5%	5,160,600	8.1%
<b>Sub-total for Governmental Charges</b>									
	8,387,748	9,147,220	9.1%	8,296,840	8,967,350	108.1%	-2.0%	10,786,500	20.3%

## CURRENT SERVICE CHARGES

These charges are collected by the City in exchange for a particular public service of limited and specific benefit and are used to finance the particular service provided.

Current service charges can be classified into three sub- categories: governmental charges, enterprise charges, and internal charges.

### *Governmental Charges*

Traditionally, City services have been funded through taxes. However, in certain areas, where there are limited and specific benefits for particular services, a fee or charge may be levied upon users to pay for the service. Over the years, a number of such user charges and fees have been authorized for certain services.

Applicable to all departments are General Charges for the sale of maps and publications and reproduction and postage costs. Financial service charges are the City's administrative charges for the formation and administration of assessment and community facilities districts. Also, the City Treasurer costs (see the Cash Management program) are recovered by charges, which are offset against interest earnings prior to their interfund allocation.

Public Safety Charges include special police fees for reports, fingerprinting, DUI recovery, and special events. Special fire fees include fire permit fees; fire systems plan check and inspection fees, and street addressing fees. Animal shelter fees include impoundment fees and processing charges for animal adoptions. Public Safety Charges, except for Special Fire fees, are based upon past receipts. Special Fire fees include charges which are related to the level of building and construction activities in the community.

Engineering, Planning and Zoning, and Building Fee revenues vary with the level of building and construction activities in the community. But, there are one-time windfalls due to large projects or the periodic peaks of rechecks after code revisions.

In FY02-03, Engineering revenues were over \$2,900,000. Since then, they have declined significantly. In FY09-10, such revenues were about \$339,000. In FY11-12, they were up to about \$902,191, due to a one-time receipt. In FY 11-12 and FY12-13, they were down again. However, major increases are expected for FY13-14 and FY14-15.

Planning and Zoning fees can vary from year to year. In FY09-10, fees were less than \$100,000. Since then, they are up significantly. However, a decrease is expected in FY14-15.

Building fees reached \$1,179,000 in FY 03-04; but they have declined since then. However, in FY12-13, they showed a major increase due to industrial development. They are expected to show increases in FY13-14 and FY14-15.

Parks & Recreation charges, while not set for 100% recovery, do play a significant role in the funding of recreation programs. While revenues were down in FY13-14, they are expected to increase in FY14-15.

In FY07-08, with the opening of the City's new Grand Theatre, Cultural Arts fees were a new revenue source for the City. While revenues were down in FY13-14, they are expected to increase in FY14-15.

CIP Project Management Charges are paid by City capital projects to the General Fund as reimbursements and overhead charges for City staff effort devoted to such projects. The amount charged can vary from year-to-year depending on the progress made and staff effort in terms of design, inspections, and program management devoted to such projects. Also, these charges are paid by major development reviews, which are budgeted and accounted for like CIP projects. In such cases, the property owner pays not only for the contracted review, but also for City staff time and associated overhead.

In FY13-14, receipts will be down from prior years. Receipts are expected to exceed \$5,000,000.

<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>Water Charges</b>									
Water Sales	14,067,302	14,545,473	3.4%	14,701,000	14,701,000	100.0%	1.1%	14,995,000	2.0%
Bulk Water Sales	1,530	780,200		0	1,200,000		53.8%	500,000	-58.3%
Other Water Fees	94,534	95,059	0.6%	90,000	188,000	208.9%	97.8%	193,000	2.7%
<b>Wastewater Charges</b>									
Wastewater Service Charges	11,232,370	11,685,657	4.0%	12,633,500	12,073,800	95.6%	3.3%	12,320,000	2.0%
Other Sewer Fees	183,878	208,156	13.2%	190,000	205,000	107.9%	-1.5%	210,000	2.4%
<b>Solid Waste Charges</b>									
Waste Collection Fees	4,253,038	4,438,152	4.4%	4,639,000	4,351,300	93.8%	-2.0%	4,760,000	9.4%
Waste Disposal Fees	8,502,913	9,891,207	16.3%	9,013,000	9,790,080	108.6%	-1.0%	10,050,000	2.7%
Recycling Program Fees	2,145,661	2,260,820	5.4%	2,386,000	2,168,920	90.9%	-4.1%	2,375,000	9.5%
Other Solid Waste Fees	3,841,115	3,679,358	-4.2%	3,400,000	2,886,140	84.9%	-21.6%	2,375,000	-17.7%
<b>Drainage Charges</b>									
Drainage Fees	563,884	563,773	0.0%	585,000	571,100	97.6%	1.3%	580,000	1.6%
<b>Airport Charges</b>									
Aircraft Tie-down Fees	5,434	5,390	-0.8%	3,000	5,000	166.7%	-7.2%	5,000	0.0%
Airport Hangar Rentals	196,455	189,636	-3.5%	192,000	192,000	100.0%	1.2%	192,000	0.0%
Airport Ground Rentals	5,258	14,934	184.0%	15,360	17,860	116.3%	19.6%	17,860	0.0%
Airport FBO Lease	27,120	26,350	-2.8%	26,190	26,190	100.0%	-0.6%	26,980	3.0%
Airport Crop Income	51,002	52,629	3.2%	54,000	59,000	109.3%	12.1%	65,000	10.2%
Airport Fuel Sales	58,638	31,025	-47.1%	50,000	50,000	100.0%	61.2%	585,000	1070.0%
<b>Transit Charges</b>									
Fixed Route Fares	54,055	55,875	3.4%	59,800	65,000	108.7%	16.3%	65,000	0.0%
Para-Transit Fares	25,436	23,245	-8.6%	22,250	20,750	93.3%	-10.7%	20,750	0.0%
Taxi Ticket Sales	1,400	2,300	64.3%	1,500	1,500	100.0%	-34.8%	1,500	0.0%
Sub-total for Enterprise Charges	45,311,023	48,549,239	177.7%	48,061,600	48,572,640	101.1%	0.0%	49,337,090	1.6%
<b>Internal Charges</b>									
Vehicle Fuel Charges	614,306	731,727	19.1%	700,000	737,200	105.3%	0.7%	710,700	-3.6%
Vehicle Maintenance Charges	690,010	767,234	11.2%	735,500	783,400	106.5%	2.1%	833,800	6.4%
Building Maintenance Charges	1,069,587	771,936	-27.8%	898,100	802,300	89.3%	3.9%	898,100	11.9%
Self-Insurance Charges	3,293,024	3,383,408	2.7%	3,296,000	3,401,500	103.2%	0.5%	3,296,900	-3.1%
Equipment Charges	1,193,564	1,179,280	-1.2%	1,814,700	1,805,610	99.5%	53.1%	1,831,600	1.4%
Central Services Charges	87,439	78,407	-10.3%	75,000	77,400	103.2%	-1.3%	78,000	0.8%
Information Systems Charges	1,024,255	991,520	-3.2%	1,043,400	1,043,400	100.0%	5.2%	1,434,350	37.5%
TeleComm Systems Charges	250,230	263,560	5.3%	275,000	275,000	100.0%	4.3%	300,000	9.1%
Sub-total for Internal Service Charges	8,222,415	8,167,072	-0.7%	8,837,700	8,925,810	101.0%	9.3%	9,383,450	5.1%
<b>Total for CURRENT SERVICE CHARGES</b>	<b>61,921,186</b>	<b>65,863,531</b>	<b>6.4%</b>	<b>65,196,140</b>	<b>66,465,800</b>	<b>101.9%</b>	<b>0.9%</b>	<b>69,507,040</b>	<b>4.6%</b>

## CURRENT SERVICE CHARGES (CONTINUED)

### *Enterprise Charges*

Enterprise funds are used to account for operations that are financed and managed in a manner similar to private business enterprises. The intent of such funds is that the costs of the services provided be recovered primarily through user charges. The City uses these funds for: transit, airport, solid waste, water, wastewater, and drainage. These charges represent a significant share of the City's operating budget. And, the last four impact almost every household and property within the City.

The growth in water charges reflects community growth and the rate increases. Water rates were raised by 19% in April 2008. So, water revenues showed increases in FY07-08 and FY08-09. But, in FY09-10, revenues decreased reflecting the economic slowdown and vacant properties. Since FY11-12, revenues have shown modest ups and down. Modest increases are expected in FY13-14 and FY14-15.

Wastewater rates were raised 28% in FY03-04 and again 39% in FY05-06. Due to the rate increase, in FY06-07, revenues showed a major increase. Receipts have leveled off since then, due to the economic slowdown. Since FY11-12, revenues have only shown modest ups and downs. A 9% rate increase was effective in September 2013.

Over the years, solid waste revenues have shown modest annual increases reflecting community growth. Rates were raised early in FY07-08, so revenues showed a major increase. But, thereafter, these revenues have also decreased due to the poor economy and vacant properties.

Rates were increased about 29% in January 2012. Revenues have shown major increases since then.

Drainage Fee rates were established in FY91-92. Since then, no rate changes have been made. Drainage revenues have increased due only to community growth, although commercial development does bring in more revenue due to the larger impervious surfaces involved. Receipts have leveled off in FY07-08 and FY08-09, due to the economic slowdown. Since then, they have shown modest increases over the years.

Over the years, Airport charges have shown moderate annual increases. In FY11-12, airport revenues went up, but decreased in FY12-13. Increases are anticipated in FY13-14 and FY14-15.

Transit Charges have shown increases in recent fiscal years with the advent of the City's fixed route transit service. However, these receipts can vary from year to year. In FY11-12 and FY12-13 they were down but increases are expected in FY13-14 and FY14-15.

### *Internal Charges*

Internal service funds are used to account for the financing of services provided by one City department or agency to others on a cost reimbursement basis. Internal Charges are the revenues received by these funds for the services rendered. For some City departments, they are a sizeable part of their operating budget. The City uses such funds for: self-insurance, vehicle operations and maintenance, equipment replacement, building maintenance, and central services (mail, telephone, copier services and information systems).

Internal Charges are determined by the Finance Division annually based on the projected budgets for the respective service programs. Overall, Internal Charges have shown moderate annual increases, but separate charges show annual variations.

Since FY08-09, some internal charges have been held down as a fiscal reduction measure. However, in FY11-12 they were allowed to increase again.

In FY12-13, fuel charges were up again. For FY13-14, equipment charges will be up. For FY14-15, information systems charges are the major cost increase.

<b>ESTIMATED REVENUES By Revenue Source</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Projection</b>	<b>% Change</b>
<b>6. OTHER REVENUES</b>									
<b>Fines &amp; Forfeitures</b>									
Vehicle Code Fines									
Vehicle Code Fines	133,826	107,612	-19.6%	120,000	94,300	78.6%	-12.4%	95,000	0.7%
General Fines									
City Fines & Forfeitures	17,878	6,025	-66.3%	9,000	6,100	67.8%	1.2%	6,200	1.6%
Parking Penalties	92,797	56,831	-38.8%	70,000	60,000	85.7%	5.6%	70,000	16.7%
Penalties Receivables - General F	1,275,881	392,630	-69.2%	1,200,000	733,000	61.1%	86.7%	745,000	1.6%
Admin Citations	6,020	3,005	-50.1%	5,000	3,000	60.0%	-0.2%	3,000	0.0%
Other Fines & Forfeitures									
Penalties Receivables - Other Fds	362,860	375,028	3.4%	368,000	380,000	103.3%	1.3%	380,000	0.0%
Narcotics Asset Seizure Proceeds	25,900	1,556	-94.0%	26,500	75,000	283.0%		15,000	-80.0%
Total for Fines & Forfeitures	1,915,162	942,687	-50.8%	1,798,500	1,351,400	75.1%	43.4%	1,314,200	-2.8%
<b>Revenue from Use of Money &amp; Property</b>									
Investment Earnings - City Treasurer									
General Fund	769,618	154,515	-79.9%	565,000	210,000	37.2%	35.9%	230,000	9.5%
Enterprise Funds	283,765	98,134	-65.4%	251,000	248,000	98.8%	152.7%	251,000	1.2%
Other Major Operating Funds	150,160	61,625	-59.0%	19,500	19,100	97.9%	-69.0%	19,500	2.1%
Sub-total	1,203,543	314,274	-73.9%	835,500	477,100	57.1%	51.8%	500,500	4.9%
Investment Earnings from Other Agencies									
Fiscal Agent Earnings	22,096	22,248	0.7%	23,000	23,000	100.0%	3.4%	23,000	0.0%
Property Tax Interest (Gen Fd)	2,711	8,987	231.5%	5,000	9,000	180.0%	0.1%	9,000	0.0%
Property Tax Interest (CDA)	2,955	0	-100.0%	0	0			0	
Sub-total	27,762	31,235	12.5%	28,000	32,000	114.3%	2.4%	32,000	0.0%
Rents & Concessions									
Rental - Other Facilities	468,108	450,962	-3.7%	450,500	457,000	101.4%	1.3%	463,000	1.3%
Downtown Business Promotions	0	0		0	0			0	
Sub-total	468,108	450,962	-3.7%	450,500	457,000	101.4%	1.3%	463,000	1.3%
Total for Revenue from Use of Money & Property	1,699,413	796,471	-53.1%	1,314,000	966,100	73.5%	21.3%	995,500	3.0%
<b>Sale of Property</b>									
Sale of Real Property	0	0		1,000	500	50.0%		1,000	100.0%
Sale of Personal Property	44,202	44,018	-0.4%	13,000	500	3.8%	-98.9%	13,000	2500.0%
Total for Sale of Property	44,202	44,018	-0.4%	14,000	1,000	-97.7%	-97.7%	14,000	1300.0%
(Continued)									

## OTHER REVENUES

This is the miscellaneous category for revenues. It includes fines & forfeitures, use of monies & property, the sale of property, contributions, refunds, and other income not classified elsewhere.

### *Fines & Forfeitures*

Fines and forfeitures are punitive assessments levied by the courts or government agencies upon violators of laws or regulations. The City receives from the county fines and forfeitures resulting adjudication of violations of the Vehicle Code and the City's Municipal Code. Vehicle Code fines are placed into a special fund and their use is restricted to traffic safety purposes. Other fines are unrestricted general revenue. While there was a decline in receipts compared to prior years and a backlog in county processing, the receipt of such fine monies appear to have recovered somewhat. However, only a nominal amount is projected for FY14-15.

By law parking violations are not criminalized and are not under the jurisdiction of the courts. The City is responsible for the adjudication and the collection of parking penalties. These activities have been contracted out, but the City will receive the net receipts from the penalties collected. Only nominal amounts are projected.

The City receives proceeds from the sale of assets seized in the enforcement of narcotics laws. These proceeds are placed into a special fund, and their use is restricted to law enforcement purposes. Due to changes in State law, these proceeds have declined.

The City also levies a 10% penalty on unpaid receivables, primarily on utility billings. However, the bulk of these receipts are deposited into the City's General Fund. These receipts are down in FY12-13 due to write offs.

### *Use of Monies & Property*

This category includes City income derived from Investment Earnings and the rental of City property. Monies not needed for immediate use are invested by the City Treasurer. Investment earnings are also realized from monies held by fiscal agents for various purposes. Investment earnings are credited to the funds which provided them. The City uses a pooled cash investment strategy. Interest earnings on pooled cash are allocated back to the contributing funds based upon the respective cash balances in each fund at the end of each month. For budgeting purposes, only investment earning for major operating funds are estimated and reported in the budget document. However, most City Funds earn interest if and when they have a positive cash balance. Actual earnings for a particular fund are a function of its average fund balance and current interest rates. Due to the fluctuation in interest rates, GASB 31 adjustments for market value, and actual cash balances, investment earnings can fluctuate from year to year.

Since FY 09-10, interest earnings have been declining along with the economy and declining cash balances.

Rental receipts are collected by the City for the use of the miscellaneous properties. Facilities rentals are budgeted at a nominal level because they tend to fluctuate from year to year.

### *Sale of Property*

The receipts in these categories can vary from year-to-year and are only a minor source of financing for the City.

### *Miscellaneous Revenues*

In some years, these revenues are high due to refunds from the PERS retirement system, the City's insurance pool, unclaimed deposits, and litigation awards. However, such high revenues cannot be expected every year. For FY14-15, only nominal amounts have been budgeted for.

ESTIMATED REVENUES By Revenue Source	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>6. OTHER REVENUES (Continued)</b>									
<b>Miscellaneous Revenues</b>									
Operating Contributions	126,601	173,212	36.8%	130,000	247,160	190.1%	42.7%	130,000	-47.4%
Refunds	513,293	469,043	-8.6%	380,000	637,100	167.7%	35.8%	145,000	-77.2%
Damage Reimbursements	12,008	1,348	-88.8%	25,000	4,000	16.0%	196.7%	7,000	75.0%
Med Lv Bank Receipts	665,679	174,847	-73.7%	680,000	670,000		283.2%	680,000	1.5%
Miscellaneous Revenues	249,013	23,677	-90.5%	50,600	162,000	320.2%	584.2%	718,200	343.3%
<b>Total for OTHER REVENUES</b>	<b>5,225,371</b>	<b>2,625,303</b>	<b>-49.8%</b>	<b>4,392,100</b>	<b>4,038,760</b>	<b>92.0%</b>	<b>53.8%</b>	<b>4,003,900</b>	<b>-0.9%</b>
<b>7. OTHER FINANCING SOURCES</b>									
<b>Debt Proceeds</b>									
Bond Proceeds	0	0		2,000,000	0		SD Fees	6,000,000	SD Fees
Bond Proceeds	13,382,384	0		14,000,000	0		WW Bonds	14,000,000	
Bond Proceeds	0	0		0	0			0	
Principal Repayment	24,272	98,123	304.3%	25,000	25,000	100.0%	-74.5%	25,000	0.0%
Note Proceeds	0	0		0	0			0	
<b>Lease Proceeds</b>									
Lease Proceeds	0	0		0	948,750			0	
<b>Capital Contributions</b>									
CIP Contributions	2,045,498	1,542,535	-24.6%	24,350,000	21,547,900	88.5%		6,300,000	-70.8%
<b>Total for OTHER FINANCING SOURCES</b>	<b>15,452,154</b>	<b>1,640,658</b>		<b>40,375,000</b>	<b>22,521,650</b>	<b>55.8%</b>		<b>26,325,000</b>	<b>16.9%</b>
<b>TOTAL REVENUES</b>	<b>159,330,465</b>	<b>161,579,586</b>	<b>1.4%</b>	<b>191,417,150</b>	<b>191,638,890</b>	<b>100.1%</b>	<b>18.6%</b>	<b>221,313,350</b>	<b>15.5%</b>
<b>8. INTERFUND TRANSFERS</b>									
Operating Transfers	1,174,163	1,933,930	64.7%	604,920	4,716,160	-48.5%		674,090	-85.7%
Capital Transfers	12,924,670	17,918,468		0	5,000,000		-72.1%	1,614,000	-67.7%
Debt Transfers	1,573,000	1,575,000	0.1%	1,604,000	1,604,000	100.0%	1.8%	1,604,000	0.0%
Loan & Loan Repayments	20,000	0		42,880	42,880			42,880	0.0%
Equity Transfers	0	0		0	0			0	
<b>Total Transfers</b>	<b>15,691,833</b>	<b>21,427,398</b>	<b>36.6%</b>	<b>2,251,800</b>	<b>11,363,040</b>	<b>504.6%</b>	<b>-47.0%</b>	<b>3,934,970</b>	<b>-65.4%</b>
<b>TOTAL REVENUES &amp; TRANSFERS</b>	<b>175,022,298</b>	<b>183,006,984</b>	<b>4.6%</b>	<b>193,668,950</b>	<b>203,001,930</b>	<b>104.8%</b>	<b>10.9%</b>	<b>225,248,320</b>	<b>11.0%</b>

## OTHER FINANCING SOURCES

This category provides for the receipt of monies borrowed from others to finance City activities and projects. These receipts are not revenues, strictly speaking, because they must be repaid at a later date. However, for the short term of a fiscal year, they must be accounted for as receipts for budgeting purposes. These sources can vary from year-to-year depending upon the City's needs. Various types of debt issues can be used, under certain rules, to finance capital improvement projects, while various lease arrangements can be used to finance the acquisition of equipment and other capital assets.

- For FY09-10 and FY11-12, there were no major debt receipts during these years.
- For FY11-12, debt worth \$13,382,400 was issued to refinance previous debt issues; but also, it provided a net of \$1,009,090 for capital improvements.
- For FY12-13, no debt issues were planned.
- For FY13-14, a lease purchase for \$948,750 was made for 2 fire engines. However, although budgeted for the year, new other debt was issued. Some debt refinancing is planned for late in the fiscal year.
- For FY14-15, debt issues worth \$6,000,000 are budgeted as a contingency for improvements in the development areas. Also, a debt issue worth \$14,000,000 for Wastewater bonds is anticipated for wastewater improvements. Minor receipts of principal repayments are anticipated from various housing loans.

## CIP Contributions

These are contributions toward capital projects by developers or property owners. They may be cash advances before or supplementing other funding or in-kind contributions rather than cash. CIP deposits are budgeted to balance with CIP project cost estimates. The major figures reflect primarily the anticipated dedication of arterial improvements to the City by the developer of the Tracy Gateway project.

## INTERFUND TRANSFERS

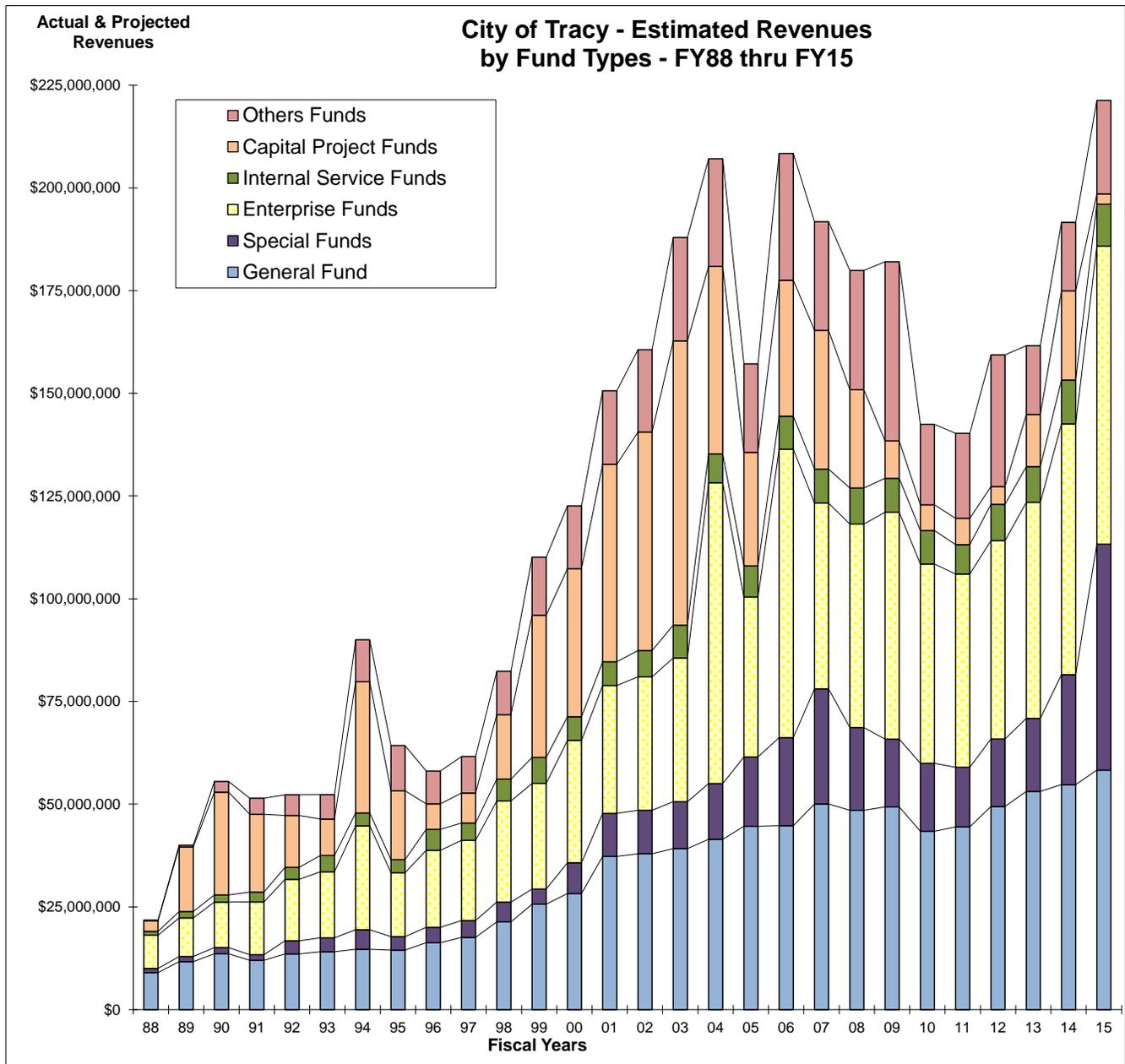
This category accounts for the transfers of monies between different City funds. Interfund transfers do not represent any additional monies received by the City. But, they must be accounted for as receipts by the receiving funds for budgeting purposes. Transfers are made for debt service, capital project financing, agency support, and to closeout no longer needed funds. In addition, there are a number of interfund loans and repayments among different City funds.

Previously, the City indirect costs were treated as interfund transfers. But, they have now been reclassified as interfund reimbursements and are accounted for in the operating budget. Interfund transfers are determined by the Finance Department annually based on projected budgets. A detail listing of the transfers for FY13-14 and for FY14-15 is on page D24.

In FY05-06, a policy target was set for the General Fund's fund balance. Any surplus or deficit balance in the General Fund at the end of a fiscal year was to be adjusted to the target by transfers into or from the Economic Uncertainty Fund 299. Prior to FY07-08, the transfers were from the General Fund; but since then, the transfers have been into the General Fund. However, in FY13-14 and FY14-15, surpluses are anticipated in the General Fund so there will be transfers out.

INTERFUND TRANSFERS By Fund & Purpose		FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected
Fund from	Fund to						
<b>Operating Transfers</b>							
101 General	Economy Uncertainty Fund 299	0	0	1,933,930	604,920	4,716,160	674,090
299 Economy Uncertainty	General Fund 101	1,963,300	52,330	0	0	0	0
Total		1,963,300	52,330	1,933,930	604,920	4,716,160	674,090
<b>Capital Transfers</b>							
101 General	General Projects Fund 301	0	0	0	0	0	0
299	General Projects Fund 301	0	0	0	0	0	1,614,000
381 CDA Project	City Redevel Obliv Fund 317	6,306,309	0	0	0	0	0
404 CDA Debt	CDA Housing Fund 281	1,656,435	871,833	0	0	0	0
8xx AD & CFD Debt	General Projects Fund 301	0	2,565,712	1,518,468	0	0	0
Total		7,962,744	3,437,545	1,518,468	0	0	1,614,000
<b>Debt Transfers</b>							
101 General	Land COP Fund 402	0	0	0	0	0	0
101 General	2008 Lease Rev Fund 408	889,000	889,000	893,000	924,900	924,900	924,900
101 General	2007 Lease Rev Fund 407	284,000	284,000	282,000	279,100	279,100	279,100
495 CDA Debt	2008 Lease Rev Fund 408	400,000	400,000	400,000	400,000	400,000	400,000
Total		1,573,000	1,573,000	1,575,000	1,604,000	1,604,000	1,604,000
<b>Loans &amp; Loan Repayments</b>							
211 So Co Fire Authority	Repay to Gen Fund 101	0	250,000	0	0	0	0
231 Asset Forefeiture	Vehicle Replacel Fund 606	0	0	0	22,000	22,000	22,000
281 CDA Housing	CDA Debt Fund 404	2,803,520	0	0	0	0	0
311 Parks Infill	Repay to RSP Parks Fund 341	0	0	0	0	0	0
513 Water >> Loan to	Airport Fund 563	0	0	0	0	0	0
521 Wastewater >> Loan to	Water Fund 513	0	0	0	0	5,000,000	0
513 Water >> Loan to	Repay Wastewater Fund 521	0	0	0	0	0	0
561 Airport	Repay Water Fund 513	20,000	20,000	0	20,880	20,880	20,880
Total		2,823,520	270,000	0	42,880	5,042,880	42,880
<b>Equity Transfers</b>							
357 NE Indus Area #2	NE Indus Area Fund 351	0	0	16,400,000	0	0	0
854 TOPJPA Rev Bd 2011A	CFD 99-2 Fund 838	0	10,358,958	0	0	0	0
Total		0	10,358,958	16,400,000	0	0	0
Total - All Funds		14,322,564	15,691,833	21,427,398	2,251,800	11,363,040	3,934,970

# ESTIMATED REVENUES BY FUNDS



ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>1. GENERAL FUND</b>									
<b>101 - General Fund</b>									
City Property Taxes	14,043,725	14,828,444	5.6%	14,410,000	15,638,820	108.5%	5.5%	16,259,400	4.0%
General Sales Tax	12,511,763	14,084,197	12.6%	14,502,900	15,406,120	106.2%	9.4%	16,078,080	4.4%
Temporary Tax - Measure E	5,910,308	6,469,067	9.5%	5,905,260	6,838,090	115.8%	5.7%	7,012,480	2.6%
Transient Lodging Tax	745,975	786,827	5.5%	760,000	850,000	111.8%	8.0%	860,000	1.2%
Business License Tax	585,327	603,399	3.1%	605,000	630,000	104.1%	4.4%	640,000	1.6%
Documentary Transfer Tax	282,674	362,793	28.3%	265,000	226,700	85.5%	-37.5%	250,000	10.3%
<b>Sub-total for Taxes</b>	<b>34,079,772</b>	<b>37,134,727</b>	<b>9.0%</b>	<b>36,448,160</b>	<b>39,589,730</b>	<b>108.6%</b>	<b>6.6%</b>	<b>41,099,960</b>	<b>3.8%</b>
Operating Assessments	352,344	363,535	3.2%	368,000	370,700	100.7%	2.0%	380,000	2.5%
<b>Sub-total for Oper Assmts</b>	<b>352,344</b>	<b>363,535</b>	<b>3.2%</b>	<b>368,000</b>	<b>370,700</b>	<b>100.7%</b>	<b>2.0%</b>	<b>380,000</b>	<b>2.5%</b>
Bldg & Construction Permits	438,853	759,026	73.0%	742,290	721,000	97.1%	-5.0%	1,309,680	81.6%
Special Licenses	115,863	117,012	1.0%	116,600	117,100	100.4%	0.1%	120,600	3.0%
Franchise Fees	2,442,841	2,499,946	2.3%	2,554,200	2,569,900	100.6%	2.8%	2,616,000	1.8%
<b>Sub-total for LP&amp;F Fees</b>	<b>2,997,557</b>	<b>3,375,984</b>	<b>12.6%</b>	<b>3,413,090</b>	<b>3,408,000</b>	<b>99.9%</b>	<b>0.9%</b>	<b>4,046,280</b>	<b>18.7%</b>
State Shared Taxes	517,802	549,539	6.1%	554,000	550,700	99.4%	0.2%	563,000	2.2%
State Grants & Reimbursements	372,627	314,307	-15.7%	282,220	266,810	94.5%	-15.1%	307,220	15.1%
County Grants & Reimbursements	32,046	888,978		40,000	40,000	100.0%	-95.5%	40,000	0.0%
Other Grants	277,247	438,832	58.3%	272,050	260,030	95.6%	-40.7%	260,030	0.0%
<b>Sub-total for IG Revenues</b>	<b>1,199,722</b>	<b>2,191,656</b>	<b>82.7%</b>	<b>1,148,270</b>	<b>1,117,540</b>	<b>97.3%</b>	<b>-49.0%</b>	<b>1,170,250</b>	<b>4.7%</b>
General Government Charges	635,624	622,502	-2.1%	412,500	413,200	100.2%	-33.6%	412,500	-0.2%
Public Safety Charges	167,698	151,002	-10.0%	166,000	163,040	98.2%	8.0%	163,540	0.3%
Engineering Charges	525,198	585,271	11.4%	532,000	1,001,500	188.3%	71.1%	2,008,200	100.5%
Planning & Zoning Charges	473,476	563,601	19.0%	308,140	615,000	199.6%	9.1%	253,000	-58.9%
Building Regulation Fees	197,652	554,295	180.4%	400,000	700,000	175.0%	26.3%	800,000	14.3%
Parks & Recreation Charges	779,860	873,800	12.0%	883,300	812,200	92.0%	-7.0%	903,550	11.2%
Cultural Arts Charges	266,547	303,735	14.0%	346,500	284,910	82.2%	-6.2%	372,660	30.8%
CIP Project Management Charges	5,167,108	5,276,062	2.1%	5,072,400	4,775,000	94.1%	-9.5%	5,160,600	8.1%
<b>Sub-total for Current Charges</b>	<b>8,213,163</b>	<b>8,930,268</b>	<b>8.7%</b>	<b>8,120,840</b>	<b>8,764,850</b>	<b>107.9%</b>	<b>-1.9%</b>	<b>10,074,050</b>	<b>14.9%</b>
Fines & Forfeitures	1,526,402	566,103	-62.9%	1,404,000	896,400	63.8%	58.3%	919,200	2.5%
Investment Earnings	772,329	163,502	-78.8%	570,000	219,000	38.4%	33.9%	239,000	9.1%
Rents & Concessions	221,181	206,912	-6.5%	200,000	207,000	103.5%	0.0%	210,000	1.4%
Sale of Property	0	0		2,000	1,000	50.0%		2,000	100.0%
Non-Governmental Contributions	126,601	173,212	36.8%	130,000	177,160	136.3%	2.3%	130,000	-26.6%
Miscellaneous Revenues	-26,112	11,751	-145.0%	30,000	25,000	83.3%	112.7%	30,000	20.0%
<b>Sub-total for Other Revenues</b>	<b>2,620,401</b>	<b>1,121,480</b>	<b>-57.2%</b>	<b>2,336,000</b>	<b>1,525,560</b>	<b>65.3%</b>	<b>36.0%</b>	<b>1,530,200</b>	<b>0.3%</b>
(Continued)									

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>101 - General Fund (Continued)</b>									
<b>Total Revenues</b>	49,462,959	53,117,650	7.4%	51,834,360	54,776,380	105.7%	3.1%	58,300,740	6.4%
Interfund Transfers	52,330	0	-100.0%	0	0			0	
Total of Other Financing Sources	52,330	0	-100.0%	0	0			0	
<b>Total for General Fund 101</b>	49,515,289	53,117,650	7.3%	51,834,360	54,776,380	105.7%	3.1%	58,300,740	6.4%
<b>2. SPECIAL REVENUE FUNDS</b>									
211 - South County Fire Authority									
TRFD & MHCS D Proceeds	6,298,897	6,611,806	5.0%	6,330,000	6,329,430	100.0%	-4.3%	6,358,500	0.5%
Grants Revenues	330,782	190,895	-42.3%	206,000	160,000	77.7%	-16.2%	206,000	28.8%
Fee Revenues	174,139	216,620	24.4%	175,500	202,000	115.1%	-6.7%	211,950	4.9%
<b>Fund Total</b>	6,803,818	7,019,321	3.2%	6,711,500	6,691,430	99.7%	-4.7%	6,776,450	1.3%
221 - Downtown Improvement District									
Downtown Business Assessments	113,978	113,979	0.0%	117,150	117,150	100.0%	2.8%	117,150	0.0%
Downtown Business Promotions	0	0		0	0			0	
	113,978	113,979	0.0%	117,150	117,150	100.0%	2.8%	117,150	0.0%
222 - Parking District									
Parking District Assessments	0	0		0	0			0	
231 - Asset Forfeiture									
Narcotics Enforcement Forfeitures	25,900	1,556	-94.0%	26,500	75,000	283.0%		15,000	-80.0%
241 - Transportation Development Act									
Transp Devel Tax - Streets	509,167	2,227,754	337.5%	1,500,000	2,300,000	153.3%	3.2%	1,620,000	-29.6%
242 - Transportation Sales Tax									
Transp Sales Tax - Prop K	1,039,600	1,140,020	9.7%	1,100,000	1,150,000	104.5%	0.9%	1,175,000	2.2%
COG - Prop K Reimbursement	529,162	0	-100.0%	0	505,460			0	
243 - Traffic Congestion Relief									
State Grants	0	0		0	0			0	
244 - State Prop 1B Road Bonds									
State Grants	1,205,764	0		0	0			0	
24x - Gas Taxes									
Gasoline Taxes	2,438,953	1,887,125	-22.6%	2,435,150	2,359,520	96.9%	25.0%	2,101,380	-10.9%
261 - ISTE A Grants									
Federal Highway Grants	1,218,289	2,113,739	73.5%	1,728,500	10,036,280	580.6%	374.8%	39,792,490	296.5%
263 - ARRA Funds									
Federal ARRA grants	0	0		0	0			0	
26x - Community Development Block Grant									
CDBG Allocation	87,350	302,611	246.4%	417,800	633,200	151.6%	109.2%	523,400	-17.3%
271 - Landscaping Districts									
Landscape District Fees	2,459,551	2,637,437	7.2%	2,640,190	2,657,250	100.6%	0.8%	2,650,700	-0.2%
Investment Earnings	18,554	50,000	169.5%	19,000	18,600	97.9%	-62.8%	19,000	2.2%
<b>Fund Total</b>	2,478,105	2,687,437	8.4%	2,659,190	2,675,850	100.6%	-0.4%	2,669,700	-0.2%

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>2. SPECIAL REVENUE FUNDS (Continued)</b>									
28x - CDA Housing & Successor									
CDA Property Taxes (20%)	879,063	0	-100.0%	0	0			0	
Debt Proceeds & Others	24,272	98,123	304.3%	25,000	25,000	100.0%	-74.5%	25,000	0.0%
Investment Earnings	3,719	11,625	212.6%	500	500	100.0%	-95.7%	500	0.0%
Fund Total	907,054	109,748	-87.9%	25,500	25,500	100.0%	-76.8%	25,500	0.0%
295 - Cable TV									
CTV Franchise Fees	176,302	188,225	6.8%	188,500	191,500	101.6%	1.7%	193,500	1.0%
299 - Economic Uncertainty									
Investment Earnings	0	0		0	0			0	
Interfund Transfers	0	1,933,930		604,920	4,716,160	779.6%	143.9%	674,090	-85.7%
Total for Special Revenue Funds	17,533,442	19,725,445	12.5%	17,514,710	31,477,050	179.7%	59.6%	55,683,660	76.9%
<b>3. CAPITAL PROJECTS FUNDS</b>									
301 - General Projects									
Interfund Transfers	759,089	1,518,468	100.0%	0	0		-100.0%	1,614,000	
Grants & Other Revenues	468,153	1,035,613	121.2%	252,000	107,590	42.7%	-89.6%	453,100	321.1%
311 - Infill Parks									
Capital Development Fees	0	0		25,000	5,500	22.0%		25,000	354.5%
312 - Infill Drainage									
Capital Development Fees	0	14,731		42,000	10,000	23.8%	-32.1%	42,000	320.0%
313 - Infill Arterials									
Capital Development Fees	0	33,820		110,000	40,000	36.4%	18.3%	110,000	175.0%
314 - Infill Buildings & Equipment									
Capital Development Fees	62	3,047		45,000	5,000	11.1%	64.1%	45,000	800.0%
316 - Downtown Improvements									
Capital Development Fees	4,048	3,212	-20.7%	2,400	0	0.0%	-100.0%	2,400	
318 - Redevelopment Obligations									
Interfund Transfers	0	0		0	0			0	
Grants & Other Revenues	369,173	0		0	0			0	
321 - Plan "C" Parks									
Capital Development Fees	0	0		0	0			0	
322 - Plan "C" Drainage									
Capital Development Fees	0	0		0	0			0	
323 - Plan "C" Arterials									
Capital Development Fees	0	0		0	0			0	
324 - Plan "C" General									
Capital Development Fees	0	0		0	0			0	
325 - Plan "C" Utilities									
Capital Development Fees	0	0		0	0			0	
345 - RSP Program Management									
Capital Development Fees	0	0		0	0			0	
(Continued)									

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>3. CAPITAL PROJECTS FUNDS (Continued)</b>									
351 - Northeast Industrial Area #1									
Capital Development Fees	603,571	277,330	-54.1%	260,000	50,000	19.2%	-82.0%	260,000	420.0%
Interfund Transfers	0	16,400,000		0	0			0	
352 - South MacArthur Planning Area									
Capital Development Fees	860,974	1,879,920	118.3%	200,000	250,000	125.0%	-86.7%	200,000	-20.0%
353 - I-205 Area Development									
Capital Development Fees	349,732	67,572	-80.7%	200,000	30,000	15.0%	-55.6%	200,000	566.7%
Grants & Other Revenues	818,508	0		0	0			0	
354 - Industrial SP, South									
Capital Development Fees	318,484	6,084	-98.1%	80,000	20,000	25.0%	228.7%	80,000	300.0%
355 - Presidio Planning Area									
Capital Development Fees	0	0		0	0			0	
356 - Tracy Gateway Area									
Capital Development Fees	0	0		100,000	10,000	10.0%		100,000	900.0%
CIP Contributions	0	0		0	1,120,000			0	-100.0%
357 - Northeast Industrial Area #2									
Capital Development Fees	0	8,066,638		200,000	2,600,000	1300.0%	-67.8%	200,000	-92.3%
381 - Com Dev Agency Project									
Grants, Property Sales & Tfrrs	0	0		0	0			0	
Interfund Transfers	0	0		0	0			0	
391 - UMP Facilities									
CIP Contributions	729,984	1,279,666	75.3%	10,000	2,682,900		109.7%	810,000	-69.8%
395 - CIP Deposits									
CIP Contributions	36,679	40,000	9.1%	15,350,000	14,750,000	96.1%		0	-100.0%
<b>Total for     Capital Projects Funds</b>	<b>5,318,457</b>	<b>30,626,101</b>	<b>475.8%</b>	<b>16,876,400</b>	<b>21,680,990</b>	<b>128.5%</b>	<b>-29.2%</b>	<b>4,141,500</b>	<b>-80.9%</b>
<b>4. DEBT SERVICE FUNDS</b>									
404 - Com Dev Agency Debt									
CDA Tax Increment	4,420,395	0	-100.0%	0	0			0	
407 - 2007 Lease Revenue Bonds									
Bond Proceeds	0	0		0	0			0	
Debt Transfer	284,000	282,000	-0.7%	279,100	279,100	100.0%	-1.0%	279,100	0.0%
408 - 2008 Lease Revenue Bonds									
Bond Proceeds	0	0		0	0			0	
Debt Transfer	1,289,000	1,293,000	0.3%	1,324,900	1,324,900	100.0%	2.5%	1,324,900	0.0%
495 - Successor Agency to CDA									
CDA Tax Increment	1,236,591	4,346,950	251.5%	3,800,000	3,800,000	100.0%	-12.6%	4,000,000	5.3%
<b>Total for Debt Service Funds</b>	<b>7,229,986</b>	<b>5,921,950</b>	<b>-18.1%</b>	<b>5,404,000</b>	<b>5,404,000</b>	<b>100.0%</b>	<b>-8.7%</b>	<b>5,604,000</b>	<b>3.7%</b>

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>5. ENTERPRISE FUNDS</b>									
511 & 513 - Water Funds									
Operating Charges	14,163,366	15,420,732	8.9%	14,791,000	16,089,000	108.8%	4.3%	15,688,000	-2.5%
Capital Development Fees	10,440	16,189	55.1%	20,000	21,200	106.0%	31.0%	20,000	-5.7%
Investment Earnings	122,890	65,880	-46.4%	125,000	125,000	100.0%	89.7%	125,000	0.0%
Fiscal Agent Earnings	0	0		0	0			0	
Miscellaneous Revenues	262,507	236,128	-10.0%	225,000	251,000	111.6%	6.3%	245,000	-2.4%
Loan Repayments	20,000	0	-100.0%	20,880	20,880	100.0%		20,880	
Grants	0	0		0	0			0	
Debt Proceeds	0	0		0	5,000,000			0	
Fund Total	14,579,203	15,738,929	8.0%	15,181,880	21,507,080	141.7%	36.6%	16,098,880	-25.1%
521 & 523 - Wastewater Funds									
Operating Charges	11,416,248	11,893,813	4.2%	12,823,500	12,278,800	95.8%	3.2%	12,530,000	2.0%
Capital Development Fees	16,243	185,369		9,030,000	3,040,000	33.7%		6,030,000	98.4%
Investment Earnings	131,501	25,000	-81.0%	100,000	100,000	100.0%	300.0%	100,000	0.0%
Fiscal Agent Earnings	2,768	2,502	-9.6%	3,000	3,000	100.0%	19.9%	3,000	0.0%
Miscellaneous Revenues	146,759	150,189	2.3%	163,000	159,000	97.5%	5.9%	165,000	3.8%
Debt Proceeds	0	0		14,000,000	0			14,000,000	
Fund Total	11,713,519	12,256,873	4.6%	36,119,500	15,580,800	43.1%	27.1%	32,828,000	110.7%
53X - Solid Waste Funds									
Operating Charges	18,742,727	20,269,537	8.1%	19,438,000	19,196,440	98.8%	-5.3%	19,560,000	1.9%
Investment Earnings	16,623	7,254	-56.4%	20,000	17,000	85.0%	134.4%	20,000	17.6%
Fiscal Agent Earnings	19,328	19,746	2.2%	20,000	20,000	100.0%	1.3%	20,000	0.0%
Miscellaneous Revenues	222,898	208,288	-6.6%	221,000	210,000	95.0%	0.8%	213,000	1.4%
Fund Total	19,001,576	20,504,825	7.9%	19,699,000	19,443,440	98.7%	-5.2%	19,813,000	1.9%
541 - Drainage Enterprise Fund									
Operating Charges	563,884	563,773	0.0%	585,000	571,100	97.6%	1.3%	580,000	1.6%
Investment Earnings	7,811	0	-100.0%	5,000	5,000	100.0%		5,000	0.0%
Miscellaneous Revenues	0	0		1,000	1,000	100.0%		1,000	0.0%
Fund Total	571,695	563,773	-1.4%	591,000	577,100	97.6%	2.4%	586,000	1.5%
561 & 563 - Airport Funds									
Operating Charges	343,907	319,964	-7.0%	340,550	350,050	102.8%	9.4%	891,840	154.8%
Airport Grants	42,552	335,039	687.4%	4,048,400	4,802,000	118.6%		20,000	-99.6%
Investment Earnings	0	0		500	500	100.0%		500	0.0%
Miscellaneous Revenues	5,750	2,300	-60.0%	3,500	4,000	114.3%	73.9%	4,000	0.0%
Fund Total	392,209	657,303	67.6%	4,392,950	5,156,550	117.4%	684.5%	916,340	-82.2%
(Continued)									

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>5. ENTERPRISE FUNDS (Continued)</b>									
571 & 573 - Transit Funds									
Transp Devel Tax - Transit	857,804	627,497	-26.8%	970,000	1,037,440	107.0%	65.3%	1,252,000	20.7%
Operating Grants	785,121	949,610	21.0%	935,000	787,830	84.3%	-17.0%	910,000	15.5%
Capital Grant	259,033	1,162,339	348.7%	0	1,843,300		58.6%	0	-100.0%
Operating Fares & Sales	80,891	81,420	0.7%	83,550	87,250	104.4%	7.2%	87,250	0.0%
Investment Earnings	4,940	0		500	500	100.0%		500	0.0%
Miscellaneous Revenues	38,873	36,959	-4.9%	43,000	41,000	95.3%	10.9%	40,600	-1.0%
Fund Total	2,026,662	2,857,825	41.0%	2,032,050	3,797,320	186.9%	32.9%	2,290,350	-39.7%
<b>Total for Enterprise Funds</b>	<b>48,284,864</b>	<b>52,579,528</b>	<b>8.9%</b>	<b>78,016,380</b>	<b>66,062,290</b>	<b>84.7%</b>	<b>25.6%</b>	<b>72,532,570</b>	<b>9.8%</b>
<b>6. INTERNAL SERVICE FUNDS</b>									
601 - Central Garage Fund									
Fuel & VM Charges	1,304,316	1,498,961	14.9%	1,435,500	1,520,600	105.9%	1.4%	1,544,500	1.6%
Lease Proceeds/IF Transfers	0	0		0	0			0	
Investment Earnings	0	0		0	0			0	
Miscellaneous Revenues	193	0		600	400	66.7%		600	50.0%
Fund Total	1,304,509	1,498,961	14.9%	1,436,100	1,521,000	105.9%	1.5%	1,545,100	1.6%
602 - Central Services Fund									
Central Services Charges	87,439	78,407	-10.3%	75,000	77,400	103.2%	-1.3%	78,000	0.8%
Information Systems Charges	1,024,255	991,520	-3.2%	1,043,400	1,043,400	100.0%	5.2%	1,434,350	37.5%
TeleComm Systems Charges	250,230	263,560	5.3%	275,000	275,000	100.0%	4.3%	300,000	9.1%
Investment Earnings	0	0		0	0			0	
Miscellaneous Revenues	0	481		1,000	700	70.0%	45.5%	1,000	42.9%
Fund Total	1,361,924	1,333,968	-2.1%	1,394,400	1,396,500	100.2%	4.7%	1,813,350	29.8%
605 & 606 - Equipment & Vehicle Acquisition Funds									
Equipment Charges	1,193,564	1,179,280	-1.2%	1,814,700	1,805,610	99.5%	53.1%	1,831,600	1.4%
Investment Earnings	0	0		0	0			0	
Miscellaneous Revenues	136,172	42,816	-68.6%	24,000	700	2.9%	-98.4%	2,000	185.7%
Lease Proceeds/IF Transfers	0	0		0	970,750			22,000	-97.7%
Fund Total	1,329,736	1,222,096	-8.1%	1,838,700	2,777,060	151.0%	127.2%	1,855,600	-33.2%
615 - Building Maintenance Fund									
Building Maintenance Charges	1,069,587	771,936	-27.8%	898,100	802,300	89.3%	3.9%	898,100	11.9%
Investment Earnings	0	0		0	0			0	
Miscellaneous Revenues	0	63		1,000	700	70.0%		1,000	
Fund Total	1,069,587	771,999	-27.8%	899,100	803,000	89.3%	4.0%	899,100	12.0%
(Continued)									

ESTIMATED REVENUES By Fund	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Budget	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Projection	% Change
<b>6. INTERNAL SERVICE FUNDS (Continued)</b>									
627 - Self-Insurance Fund									
Self-Insurance Charges	3,293,024	3,383,408	2.7%	3,296,000	3,401,500	103.2%	0.5%	3,296,900	-3.1%
Investment Earnings	0	0		0	0			0	
Miscellaneous Revenues	471,150	467,894	-0.7%	395,000	809,600	205.0%	73.0%	812,000	0.3%
Fund Total	3,764,174	3,851,302	2.3%	3,691,000	4,211,100	114.1%	9.3%	4,108,900	-2.4%
<b>Total for         Internal Service Funds</b>	8,829,930	8,678,326	-1.7%	9,259,300	10,708,660	115.7%	23.4%	10,222,050	-4.5%
<b>7. FIDUCIARY FUNDS</b>									
812 - Post Employment Benefit Trust	665,679	174,847	-73.7%	680,000	670,000	98.5%	283.2%	680,000	1.5%
831 - Assessment District 87-3	0	0		0	0			0	
835 - Community Facilities District 89-1	1,556,312	1,300,812	-16.4%	1,300,000	1,300,000	100.0%	-0.1%	1,300,000	0.0%
837 - Community Facilities District 99-1									
Assessment Charges, CFD 99-1	764,518	761,341	-0.4%	760,000	761,320	100.2%	0.0%	760,000	-0.2%
838 - Community Facilities District 99-2									
Bond Proceeds for AD or CFD	10,358,958	0		0	0			0	
Assessment Charges, CFD 99-2	929,152	0	-100.0%	0	0			0	
839 - Assessment District 00-2									
Assessment Charges, AD 00-2	85,945	0	-100.0%	0	0			0	
840 - Community Facilities District 00-1									
Assessment Charges, AD 00-1	939,315	959,747	2.2%	935,000	960,530	102.7%	0.1%	935,000	-2.7%
841 - Assessment District 94-1	441,844	441,621	-0.1%	441,600	441,700	100.0%	0.0%	441,600	0.0%
844 - Assessment District 93-1	360,474	360,474	0.0%	362,300	360,500	99.5%	0.0%	362,300	0.5%
846 - Assessment District 98-1	4,868,238	4,885,018	0.3%	4,750,000	4,885,600	102.9%	0.0%	4,750,000	-2.8%
847 - Assessment District 98-2	249,549	309,050	23.8%	330,000	309,100	93.7%	0.0%	330,000	6.8%
849 - Assessment District 98-4	213,895	0	-100.0%	0	0			0	
850 - I205 RAA Debt Refinancing	1,062,539	1,061,949	-0.1%	1,061,900	1,063,100	100.1%	0.1%	1,061,900	-0.1%
852 - Assessment District 03-1									
Assessment Charges, AD 03-1	83,282	82,342	-1.1%	81,000	82,340	101.7%	0.0%	81,000	-1.6%
853 - Assessment District 06-1									
Assessment Charges, AD 06-1	791,623	807,454	2.0%	800,000	807,500	100.9%	0.0%	800,000	-0.9%
854 - TOPJPA Rev Bonds 2011A									
Bond Proceeds	1,556,623	0		0	0			0	
Assessment Charges	0	1,213,329		1,224,000	1,213,370	99.1%	0.0%	1,224,000	0.9%
855 - Community Facilities District 11-1									
Assessment Charges, AD 11-1	0	0		38,000	37,500	98.7%		38,000	1.3%
8xx - New Financing Districts									
Bond Proceeds for AD or CFD	13,382,384	0		2,000,000	0	0.0%		6,000,000	
<b>Total for         Trust &amp; Agency Funds</b>	38,310,330	12,357,984	-67.7%	14,763,800	12,892,560	87.3%	4.3%	18,763,800	45.5%
<b>TOTAL - Budgeted Funds</b>	175,022,298	183,006,984	4.6%	193,668,950	203,001,930	104.8%	10.9%	225,248,320	11.0%

# *Operating Programs*



## OPERATING PROGRAMS

The core of the budget is presented within the operating programs. Appropriations for FY14-15 are detailed by operating programs within these pages.

For comparative purposes, the budget data is shown as Approved for FY14-15 along with the *Actual* data for FY11-12, FY12-13, and with the adopted budget and *Estimated* data for the current FY13-14.

### Program Structure

Most citizens understand that their City government is organized into a number of departments, each rendering a particular service. For example, the Public Works Department maintains city streets and parks, while the Police Department provides a variety of police services. Each City department in turn is organized into a number of divisions or offices.

For budget purposes, each department consists of a number of operating programs, which serve as the basic units of the City's operating budget. Under the City's program budget, the budget is organized around programs, which focus upon particular services provided by the City. This arrangement of operating programs to build the operating budget is called the program structure. In this budget, the structure consists of departments, divisions, and the operating programs.

The City's budget is not organized by funding sources. Funding sources can extend across the departments and operating programs. However, separate tallies are made so that funding sources can be summarized at the citywide and departmental level.

### Operating Budget Summary

The Operating Budget Summary on pages E3 and E4 show the total of the operating budget for FY14-15. Page E3 shows the operating budget by department. Page E4 shows the operating budget by objects and by funding sources respectively. Also, on page E3, a summary is provided of the Full-Time Equivalent (FTE) count of total City staffing by department.

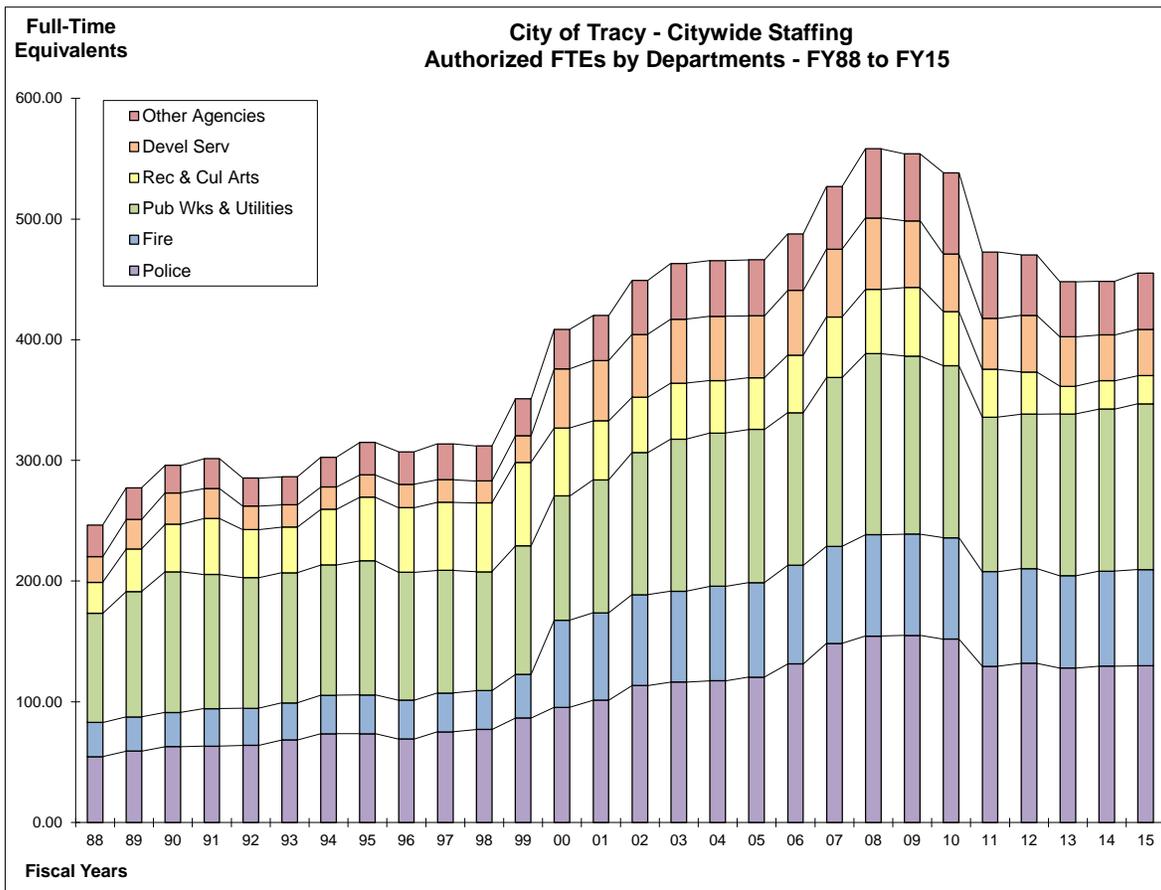
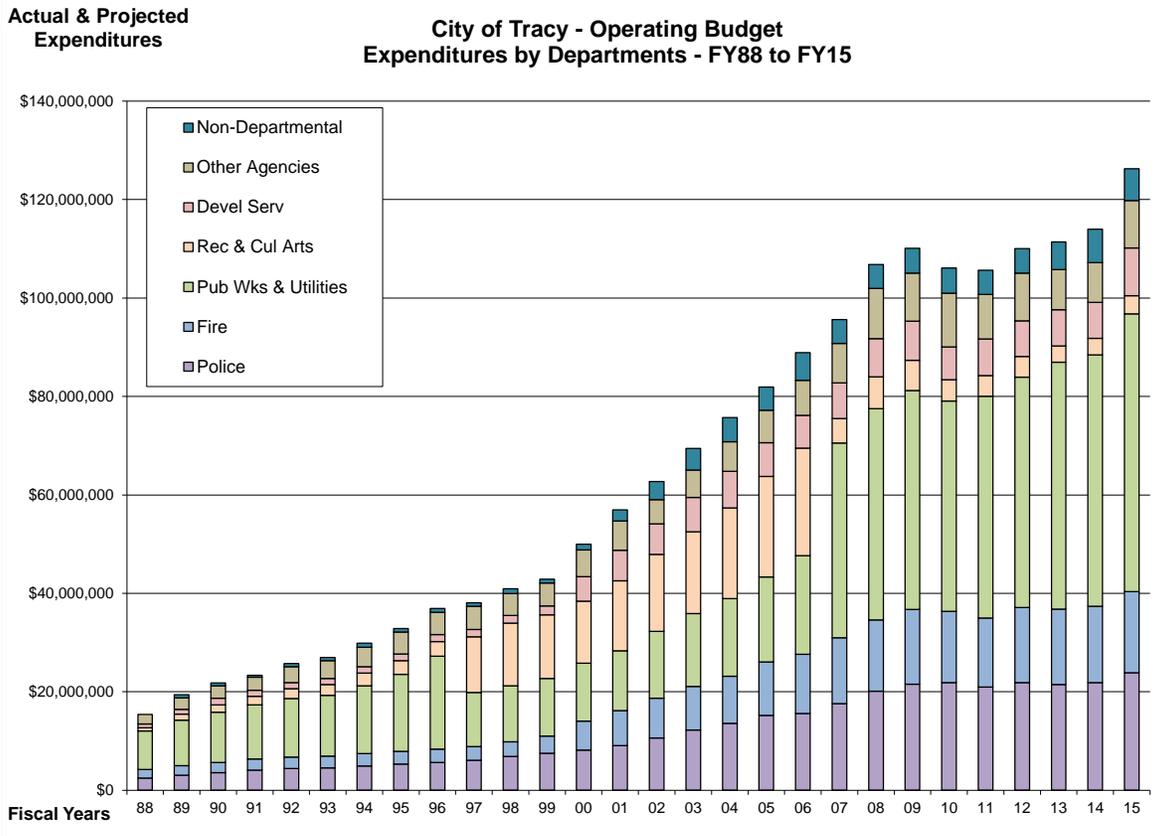
#### Operating Budget Graphs

Graphs are provided, showing the operating budget trends and proportional allocations by department, object category, funding sources, and staffing.

#### City Organizational Chart

Complementing the Operating Budget Summary, an organizational chart for the City government is provided on page E7.

OPERATING BUDGET HISTORY						
All Funds	Adopted Budget	Amended Budget	%	Actual Expenditures	%	% of Amended
			Change			
FY10-11	\$110,749,000	\$114,051,851	<b>3.0%</b>	\$105,630,921		92.6%
<i>Annual Change</i>	<b>2.9%</b>	<b>0.6%</b>		<b>4.2%</b>		
FY11-12	\$113,907,350	\$114,679,942	<b>0.7%</b>	\$110,037,962		96.0%
<i>Annual Change</i>	<b>-0.1%</b>	<b>1.3%</b>		<b>1.3%</b>		
FY12-13	\$113,836,710	\$116,195,848	<b>2.1%</b>	\$111,425,602		95.9%
<i>Annual Change</i>	<b>4.07%</b>	<b>3.7%</b>		<b>2.3%</b>		
FY 13-14	\$118,474,750	\$120,544,185	<b>1.7%</b>	\$113,987,940	*1	94.6%
<i>Annual Change</i>	<b>6.6%</b>					
FY14-15	\$126,270,560	2*				
<b>General Fund</b>						
FY10-11	\$47,504,540	\$48,832,531	<b>2.8%</b>	\$45,656,599		93.5%
<i>Annual Change</i>	<b>4.0%</b>	<b>1.9%</b>		<b>5.3%</b>		
FY11-12	\$49,408,150	\$49,779,317	<b>0.8%</b>	\$48,054,433		96.5%
<i>Annual Change</i>	<b>0.6%</b>	<b>2.1%</b>		<b>0.7%</b>		
FY12-13	\$49,703,820	\$50,845,495	<b>2.3%</b>	\$48,410,390		97.2%
<i>Annual Change</i>	<b>2.2%</b>	<b>2.1%</b>		<b>.6%</b>		
FY 13-14	\$50,775,440	\$51,900,281	<b>2.2%</b>	\$48,756,220	*1	93.7%
<i>Annual Change</i>	<b>11.1%</b>					
FY14-15	\$56,422,850	2*				
1* - Estimated Expenditures (year not yet completed)						
2* - Budget for New Year						

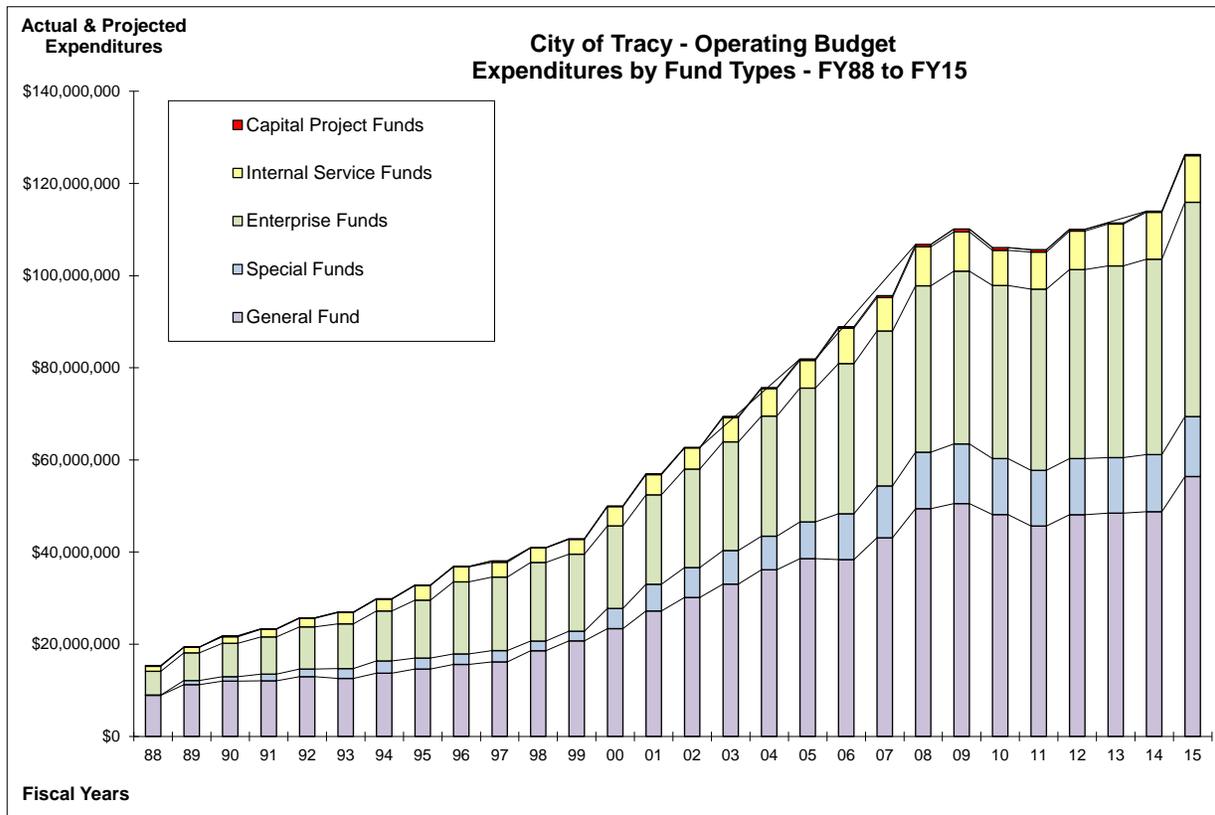
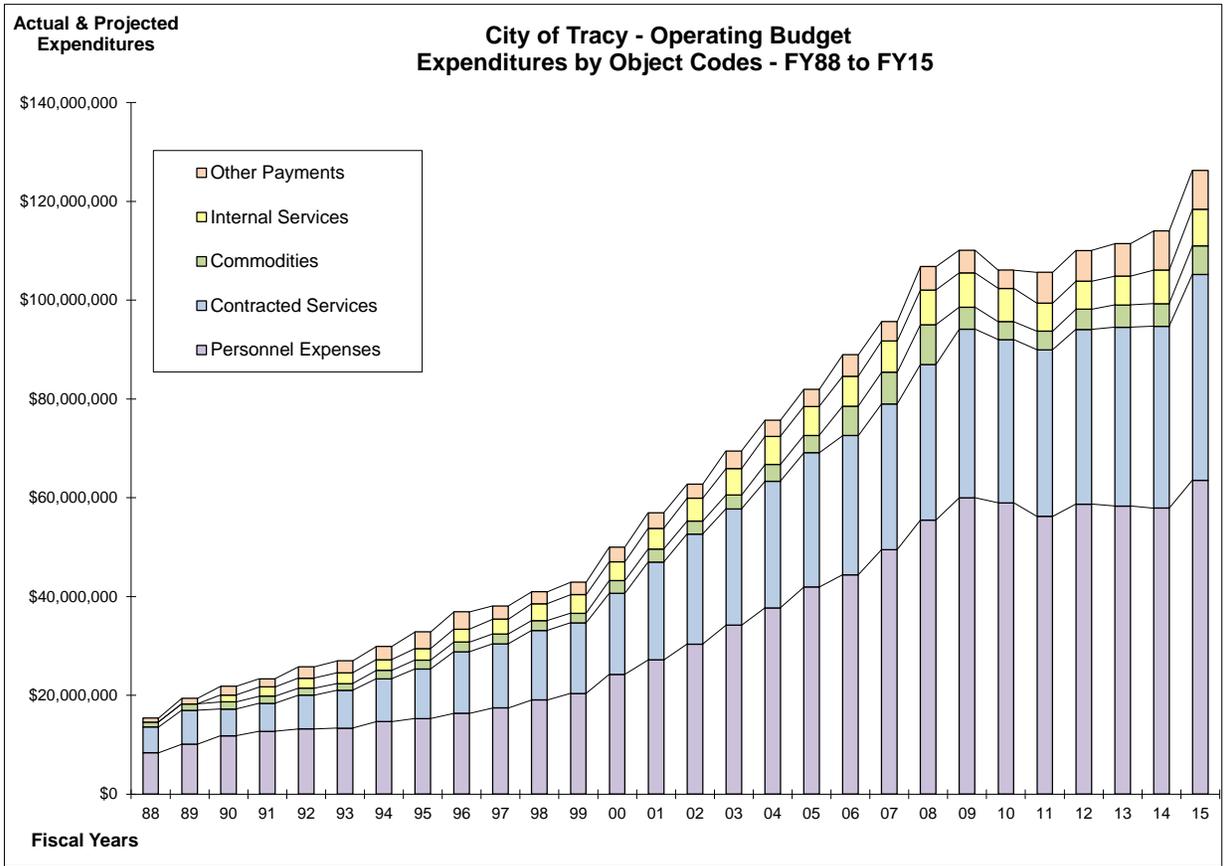


OPERATING EXPENDITURES BY DEPARTMENT	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
51000 - Police	21,893,215	21,487,012	-1.9%	22,805,350	21,876,490	95.9%	1.8%	23,883,090	4.7%
52000 - Fire	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%
53000 - Public Works	33,498,788	36,312,791	8.4%	39,465,320	37,486,910	95.0%	3.2%	41,252,840	4.5%
54000 - Utilities	13,323,131	13,837,007	3.9%	14,354,010	13,588,910	94.7%	-1.8%	15,176,550	5.7%
55000 - Parks & Community Services	4,195,165	0	-100.0%	0	0			0	
56000 - Development Services	7,226,194	7,380,415	2.1%	7,696,830	7,299,780	94.8%	-1.1%	9,732,900	26.5%
58100 - City Council	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
58200 - City Attorney's Office	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
58300 - City Manager's Office	3,014,185	1,852,239	-38.5%	1,841,010	1,782,570	96.8%	-3.8%	1,991,630	8.2%
58550 - Recreation & Cultural Arts	0	3,284,804		3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%
58400 - Administrative Services	5,804,196	5,432,916	-6.4%	5,789,290	5,484,690	94.7%	1.0%	6,611,690	14.2%
59000 - Non-Departmental Group	4,999,587	5,667,887	13.4%	6,431,510	6,759,120	105.1%	19.3%	6,495,080	1.0%
Operating Budget Total	110,037,962	111,425,602	1.3%	118,474,750	113,987,940	96.2%	2.3%	126,270,560	6.6%
Amended Budget	114,679,942	116,195,848	1.3%		120,544,185		3.7%	over 2 years	8.7%
% of Amended Spent	95.95%	95.89%			94.56%				
						Base Budget >>		118,281,650	-0.2%
						Augmentations >>		7,988,910	6.8%
<b>CITY STAFFING BY DEPARTMENT</b>	<b>FY11-12 Approved</b>	<b>FY12-13 Approved</b>	<b>% Cost Change</b>	<b>FY13-14 Adopted</b>	<b>FY13-14 Approved</b>	<b>% of Budget</b>	<b>% Cost Change</b>	<b>FY14-15 Approved</b>	<b>% Change</b>
51000 - Police	131.94	127.95	-3.0%	129.51	129.51	100.0%	1.2%	129.86	0.3%
52000 - Fire	78.45	76.45	-2.5%	78.70	78.70	100.0%	2.9%	79.70	1.3%
53000 - Public Works	78.09	85.29	9.2%	85.09	85.09	100.0%	-0.2%	88.05	3.5%
54000 - Utilities	49.81	48.81	-2.0%	48.81	49.31	101.0%	1.0%	49.35	0.1%
55000 - Parks & Community Services	34.95	0.00	-100.0%	0.00	0.00			0.00	
56000 - Development Services	46.90	40.90	-12.8%	37.90	37.90	100.0%	-7.3%	38.35	1.2%
58100 - City Council	1.00	1.00	0.0%	1.00	1.00	100.0%	0.0%	1.00	0.0%
58200 - City Attorney's Office	4.00	4.00	0.0%	4.00	4.00	100.0%	0.0%	4.00	0.0%
58300 - City Manager's Office	15.25	9.15	-40.0%	9.00	9.00	100.0%	-1.6%	9.00	0.0%
58550 - Recreation & Cultural Arts	0.00	22.95		22.95	23.45	102.2%	2.2%	23.25	-0.9%
58400 - Administrative Services	29.95	31.45	5.0%	29.45	30.45	103.4%	-3.2%	32.70	7.4%
Total - Full-Time Equivalents	470.34	447.95	-4.8%	446.41	448.41	100.4%	0.1%	455.26	1.5%

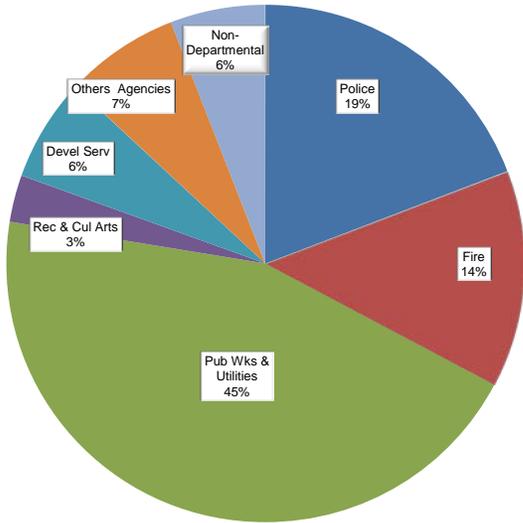
**COMMENTARY****City Staffing**

	FY10-11	Full-Time Regulars	Others Staffing	Total FTEs
As adopted for FY13-14, the City operating budget represents a 6.3% increase over FY12-13 expenditures.	427.00	427.00	45.64	472.64
Net Change		2.00	-4.30	
As proposed for FY14-15, the City operating budget would increase about 6.6% over adopted budget for FY13-14. But also, it would represent 8.7% increase over the FY12-13 amended budget.	429.00	429.00	41.34	470.34
Net Change		-15.00	-7.39	
The base component of the proposed budget represents a 0.2% decrease from the adopted FY13-14 budget. Budget augmentations, including equipment acquisition items, total to \$7,988,910 and represent a 6.8% increase over the base component.	414.00	414.00	33.95	447.95
Net Change		0.00	0.46	
	413.00	413.00	33.41	446.41
Net Change		1.00	1.00	
	414.00	414.00	34.41	448.41
Net Change		6.00	0.85	
	420.00	420.00	35.26	455.26

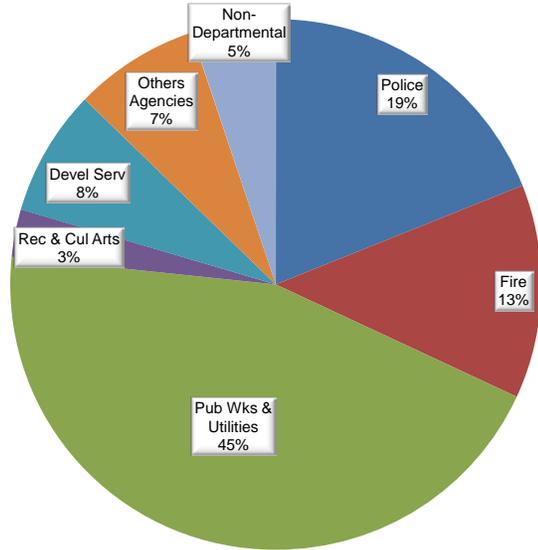
<b>OPERATING EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	58,706,788	58,309,839	-0.7%	60,239,780	57,888,220	96.1%	-0.7%	63,540,980	5.5%
Contracted Services	35,367,572	36,218,864	2.4%	38,579,030	36,818,740	95.4%	1.7%	41,661,580	8.0%
Commodities	4,077,757	4,498,250	10.3%	4,943,280	4,570,350	92.5%	1.6%	5,770,270	16.7%
Internal Charges	5,655,793	5,814,111	2.8%	6,846,880	6,788,630	99.1%	16.8%	7,406,860	8.2%
Other Payments	6,230,052	6,584,538	5.7%	7,865,780	7,922,000	100.7%	20.3%	7,890,870	0.3%
<b>Operating Budget Total</b>	<b>110,037,962</b>	<b>111,425,602</b>	<b>1.3%</b>	<b>118,474,750</b>	<b>113,987,940</b>	<b>96.2%</b>	<b>2.3%</b>	<b>126,270,560</b>	<b>6.6%</b>
<b>OPERATING EXPENDITURES BY FUNDING SOURCE</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>General Fund</b>									
101 - General Fund	48,098,159	48,455,851	0.7%	50,775,440	48,756,220	96.0%	0.6%	56,422,650	11.1%
<b>Special Revenue Funds</b>									
211 - South County Fire Authority	6,815,688	6,767,408	-0.7%	6,726,440	6,798,600	101.1%	0.5%	6,811,420	1.3%
221 - Downtown Improvement Dist	117,144	113,974	-2.7%	117,200	114,150	97.4%	0.2%	117,200	0.0%
222 - Parking District	0	0		0	0			0	
231 - Asset Seizure Fund	21,784	79,008	262.7%	8,000	8,000	100.0%	-89.9%	16,900	111.3%
24x - TDA & Gas Tax Funds	2,602,309	2,843,796	9.3%	2,994,440	2,867,810	95.8%	0.8%	3,012,910	0.6%
26x - Com Devel Block Grant	77,599	173,036	123.0%	342,770	342,000	99.8%	97.6%	312,370	-8.9%
271 - Landscaping Districts	2,141,890	1,958,522	-8.6%	3,083,560	2,143,340	69.5%	9.4%	2,601,120	-15.6%
281 - CDA Housing & Successor	306,039	0	-100.0%	0	0			0	
295 - Pub ED/Govt Cable TV	102,628	118,340	15.3%	116,140	120,780	104.0%	2.1%	139,320	20.0%
<b>Capital Project Funds</b>									
318 - CDA & Suc Ag Funds 3xx	369,706	233,446	-36.9%	250,000	235,000	94.0%	0.7%	250,000	0.0%
3xx - Other Capital Projects Funds	10,000	0	-100.0%	0	0			0	
<b>Enterprise Funds</b>									
511 - Water Fund	12,582,255	12,889,979	2.4%	13,338,740	12,979,790	97.3%	0.7%	13,901,070	4.2%
521 - Wastewater Fund	7,325,116	7,314,805	-0.1%	8,198,520	7,520,320	91.7%	2.8%	8,525,070	4.0%
531 - Solid Waste Fund	18,584,710	18,822,159	1.3%	19,385,010	19,157,230	98.8%	1.8%	20,196,940	4.2%
541 - Drainage Fund	458,483	535,091	16.7%	629,790	489,010	77.6%	-8.6%	636,600	1.1%
561 - Airport Fund	409,951	357,186	-12.9%	366,550	374,440	102.2%	4.8%	882,050	140.6%
571 - Transit Fund	1,663,790	1,685,138	1.3%	1,961,850	1,899,020	96.8%	12.7%	2,330,210	18.8%
<b>Internal Service Funds</b>									
601 - Central Garage Fund	1,273,171	1,440,229	13.1%	1,491,620	1,464,230	98.2%	1.7%	1,566,760	5.0%
602 - Central Services Fund	1,352,140	1,500,122	10.9%	1,517,650	1,498,850	98.8%	-0.1%	1,647,710	8.6%
605 - Eqpt Acquisition Fund	146,992	207,774	41.4%	733,690	718,250	97.9%	245.7%	627,910	-14.4%
606 - Vehicle Acquisition Fund	458,217	665,221	45.2%	1,058,000	1,329,950	125.7%	99.9%	342,960	-67.6%
615 - Building Maintenance Fund	978,304	741,963	-24.2%	859,470	815,070	94.8%	9.9%	925,050	7.6%
627 - Self Insurance Fund	4,141,887	4,522,554	9.2%	4,519,870	4,355,880	96.4%	-3.7%	5,004,340	10.7%
<b>Other Minor Funds</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>			<b>0</b>	
<b>Operating Budget Total</b>	<b>110,037,962</b>	<b>111,425,602</b>	<b>1.3%</b>	<b>118,474,750</b>	<b>113,987,940</b>	<b>96.2%</b>	<b>2.3%</b>	<b>126,270,560</b>	<b>6.6%</b>



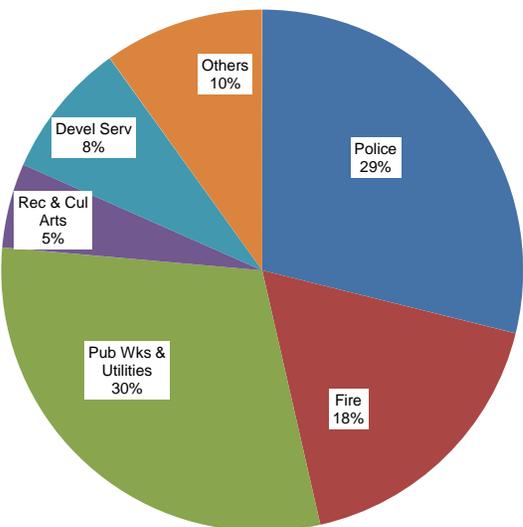
**City of Tracy - Operating Budget Expenditures by Departments - FY13-14**



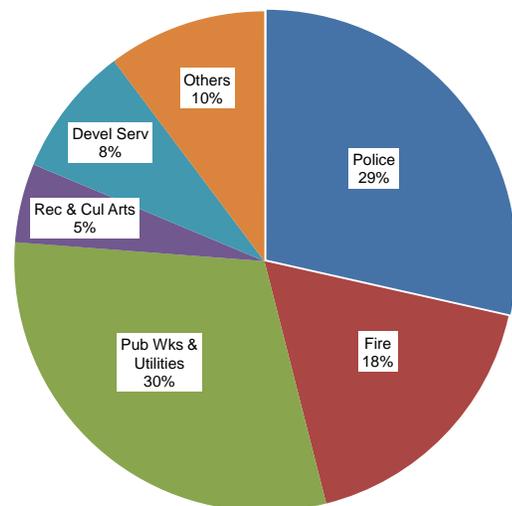
**City of Tracy - Operating Budget Expenditures by Departments - FY14-15**



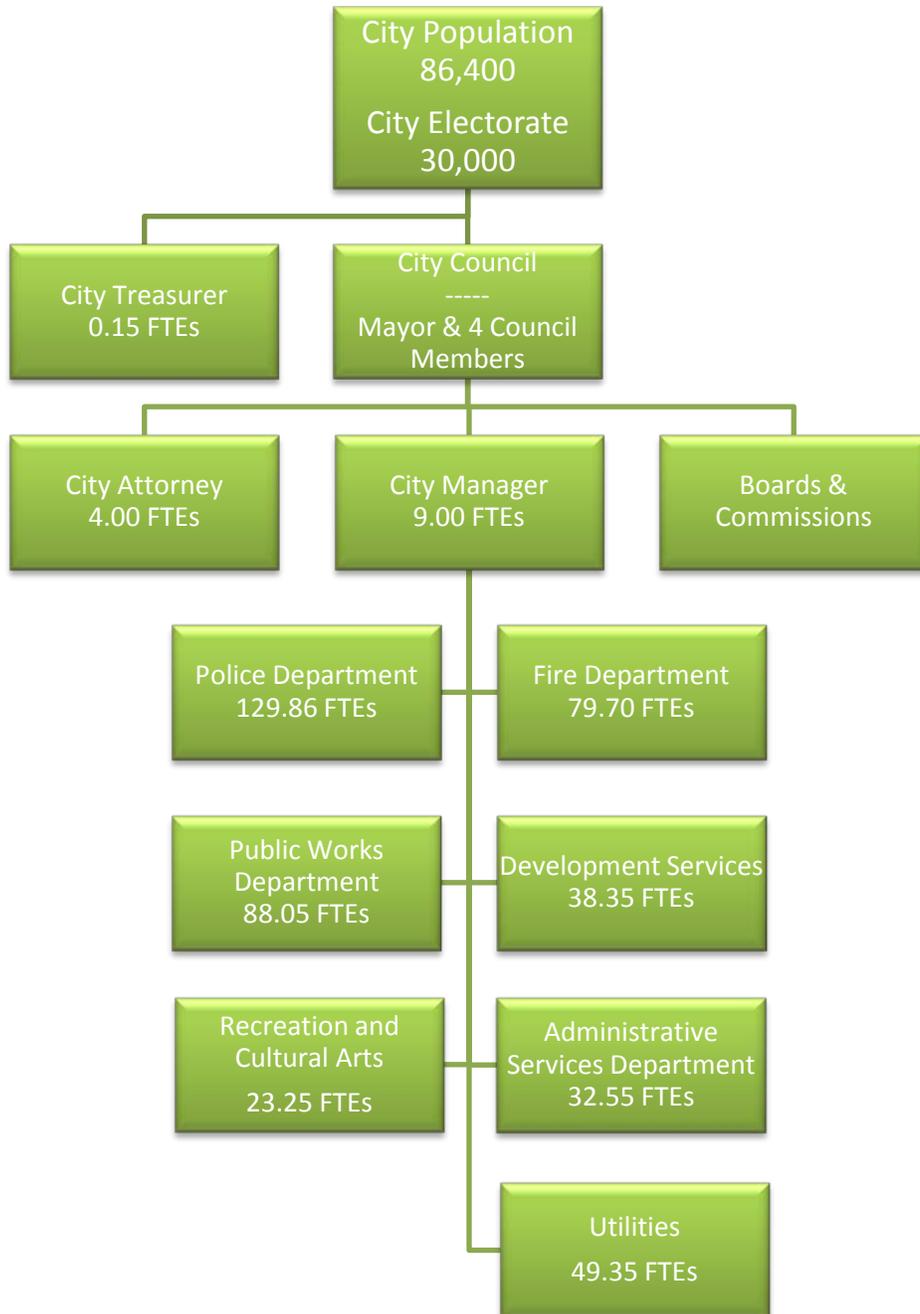
**City of Tracy - Citywide Staffing Authorized FTEs - FY13-14**



**City of Tracy - Citywide Staffing Authorized FTEs - FY14-15**



# City of Tracy ORGANIZATIONAL CHART Fiscal Year 14-15



## Operating Budget Analysis – Part A

The Operating Budget Analysis-Part A on page E9. This table shows the historical incremental growth/change of the City's operating budget from the FY11-12 adopted budget through to the adopted FY14-15 budget. It details the City's budget by objects showing annual increments in terms of base increments and augmentations which then become first the base budget and then the adopted budget. Also, it shows the actual budget used at year-end compared to the adopted budget.

The key elements of the increments are as follows:

- The base increment for personnel expenses represent annual pay raises and salary and benefit adjustments.
- The base increments for other objects represent annual cost adjustments for inflation and usage.
- Normally, operating budgets have annual increases. But, due to the economic downturn, the increment for FY11-12 and FY12-13 show cutbacks in base budget. However, in FY13-14 and FY14-15, there are increases in personnel expenses (PERS and Health Insurance, commodities (fuel), and internal charges).
- Base augmentation for personnel expenses represent the cost of new staffing, reclassifications, or added overtime or temporary hours.
- Base augmentations for other objects represent added monies for operational enhancements for program expansion, work improvements, and/or new activities.
- Negative figures mean base reductions. The negative figures for equipment outlays in the base reflect one- time major equipment purchases which are treated as augmentations.

## Operating Budget Analysis - Part B

The Operating Budget Analysis - Part B on page E10. This table is similar to Part A. It shows the historical incremental growth/change of the City's operating budget from the FY11-12 adopted budget through to the adopted FY14-15 budget, but it details the budget by the fund groups of the City's operating budget, instead of by objects.

## Operating Budget Analysis – Part C

The Operating Budget Analysis Part C on pages E11 and E12 is similar to Part A and Part B. However, the budget is detailed by department.

## Operating Budget Analysis - Part D

The table on page E13 provides an analysis of the proposed budget which contrasts the base budget and budget augmentations which increase the budget beyond normal increment; and thus highlight the major changes in the proposed operating budget.

The table "Operating Budget Analysis-Part D" is organized by department, broken down by their key components, by object category, and by fund groups. The base budget figures for FY14-15 are shown along with the proposed budget augmentations and compared to the current year adopted budget and two prior fiscal years of actual expenditures. The analysis highlights the base budget of \$118,281,650 contrasted with \$7,988,910 in augmentations.

In FY14-15, as the analysis shows, the base budget for the total City operating budget represents a 0.2% decrease from the FY13-14 adopted budget. Usually, it is an increase. While personnel expenses, commodities, and internal charges are up; other costs are down. The base for the General Fund though is up 3.8%.

Budget augmentations for FY14-15 for the total City operating budget show a 6.8% increase over the base budget; personnel expenses will show a 1.6% increase. For the General Fund, budget augmentations show a 7% increase over the base. These increases are due to added positions.

As the table shows, the biggest augmentation for FY14-15 is for the Building Division followed by the solid waste and transit programs in the Public Works Department. In terms of objects, the biggest augmentation is contracted services and other payments. In terms of funding source, the biggest augmentations are in the General Fund.

Operating Budget - All Departments Historical Budget Increments, Augmentations, and Usage

Operating Budget By Object	Prior Year Adopted Budgets	<<<<< Base Increment & Augmentations >>>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	FY10-11 \$ Budget									
Personnel Expenses	58,271,120	1,358,260	2.3%	59,629,380	219,070	0.4%	59,848,450	2.7%	58,706,788	98.1%
Contracted Services	36,434,320	1,120,620	3.1%	37,554,940	1,103,080	2.9%	38,658,020	6.1%	35,367,572	91.5%
Commodities	4,402,420	-27,500	-0.6%	4,374,920	405,280	9.3%	4,780,200	8.6%	4,077,757	85.3%
Internal Charges	5,853,070	-8,980	-0.2%	5,844,090	176,180	3.0%	6,020,270	2.9%	5,655,793	93.9%
Other Payments	5,593,970	-2,400,490	-42.9%	3,193,480	178,700	5.6%	3,372,180	-39.7%	5,206,887	154.4%
Equipment Outlays	1,194,100	-834,500	-69.9%	359,600	868,630	241.6%	1,228,230	2.9%	1,023,165	83.3%
Oper Budget Total	111,749,000	-792,590	-0.7%	110,956,410	2,950,940	2.7%	113,907,350	1.9%	110,037,962	96.6%
<b>FY12-13 Budget &gt;&gt;</b>	FY11-12 \$ Budget									
Personnel Expenses	59,848,450	1,445,500	2.4%	61,293,950	-1,818,800	-3.0%	59,475,150	-0.6%	58,309,839	98.0%
Contracted Services	38,658,020	-3,249,070	-8.4%	35,408,950	760,140	2.1%	36,169,090	-6.4%	36,218,864	100.1%
Commodities	4,780,200	-244,980	-5.1%	4,535,220	175,390	3.9%	4,710,610	-1.5%	4,498,250	95.5%
Internal Charges	6,020,270	-81,220	-1.3%	5,939,050	44,150	0.7%	5,983,200	-0.6%	5,814,111	97.2%
Other Payments	3,372,180	2,323,680	68.9%	5,695,860	174,940	3.1%	5,870,800	74.1%	5,286,766	90.1%
Equipment Outlays	1,228,230	-858,230	-69.9%	370,000	1,257,860	340.0%	1,627,860	32.5%	1,297,772	79.7%
Oper Budget Total	113,907,350	-664,320	-0.6%	113,243,030	593,680	0.5%	113,836,710	-0.1%	111,425,602	97.9%
<b>FY13-14 Budget &gt;&gt;</b>	FY12-13 \$ Budget									
Personnel Expenses	59,475,150	333,220	0.6%	59,808,370	431,410	0.7%	60,239,780	1.3%	57,888,220	96.1%
Contracted Services	36,169,090	-129,570	-0.4%	36,039,520	2,539,510	7.0%	38,579,030	6.7%	36,818,740	95.4%
Commodities	4,710,610	130,620	2.8%	4,841,230	102,050	2.1%	4,943,280	4.9%	4,570,350	92.5%
Internal Charges	5,983,200	853,880	14.3%	6,837,080	9,800	0.1%	6,846,880	14.4%	6,788,630	99.1%
Other Payments	5,870,800	-188,040	-3.2%	5,682,760	280,830	4.9%	5,963,590	1.6%	5,502,500	92.3%
Equipment Outlays	1,627,860	-1,245,860	-76.5%	382,000	1,520,190	398.0%	1,902,190	16.9%	2,419,500	127.2%
Oper Budget Total	113,836,710	-245,750	-0.2%	113,590,960	4,883,790	4.3%	118,474,750	4.1%	113,987,940	96.2%
<b>FY14-15 Adopted Budget &gt;&gt;</b>	FY13-14 \$ Budget									
Personnel Expenses	60,239,780	2,320,160	3.9%	62,559,940	981,040	1.6%	63,540,980	5.5%		
Contracted Services	38,579,030	-1,246,590	-3.2%	37,332,440	4,329,140	11.6%	41,661,580	8.0%		
Commodities	4,943,280	49,980	1.0%	4,993,260	777,010	15.6%	5,770,270	16.7%		
Internal Charges	6,846,880	339,840	5.0%	7,186,720	220,140	3.1%	7,406,860	8.2%		
Other Payments	5,963,590	-166,300	-2.8%	5,797,290	944,500	16.3%	6,741,790	13.0%		
Equipment Outlays	1,902,190	-1,490,190	-78.3%	412,000	737,080		1,149,080	-39.6%		
Oper Budget Total	118,474,750	-193,100	-0.2%	118,281,650	7,988,910	6.8%	126,270,560	6.6%		

## Operating Budget - All Funds

## Historical Budget Increments, Augmentations, and Usage

Operating Budget By Fund Groups	Prior Year Adopted Budgets	<<<<< Base Increment & Augmentations >>>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	FY10-11 \$ Budget									
General Fund	47,504,540	1,072,860	2.3%	48,577,400	830,750	1.7%	49,408,150	4.0%	48,098,159	97.3%
Special Revenue Funds	12,944,060	-76,700	-0.6%	12,867,360	253,630	2.0%	13,120,990	1.4%	12,185,081	92.9%
Enterprise Funds	42,375,670	-1,205,850	-2.8%	41,169,820	1,075,360	2.6%	42,245,180	-0.3%	41,024,305	97.1%
Internal Service Funds	8,322,990	-476,980	-5.7%	7,846,010	813,200	10.4%	8,659,210	4.0%	8,350,711	96.4%
Capital & Other Funds	601,740	-105,920	-17.6%	495,820	-22,000	-4.4%	473,820	-21.3%	379,706	80.1%
Oper Budget Total	111,749,000	-792,590	-0.7%	110,956,410	2,950,940	2.7%	113,907,350	1.9%	110,037,962	96.6%
<b>FY12-13 Budget &gt;&gt;</b>	FY11-12 \$ Budget									
General Fund	49,408,150	453,040	0.9%	49,861,190	-157,370	-0.3%	49,703,820	0.6%	48,455,851	97.5%
Special Revenue Funds	13,120,990	68,000	0.5%	13,188,990	-585,600	-4.4%	12,603,390	-3.9%	12,054,084	95.6%
Enterprise Funds	42,245,180	-434,770	-1.0%	41,810,410	503,160	1.2%	42,313,570	0.2%	41,604,358	98.3%
Internal Service Funds	8,659,210	-632,750	-7.3%	8,026,460	939,470	11.7%	8,965,930	3.5%	9,077,863	101.2%
Capital & Other Funds	473,820	-117,840	-24.9%	355,980	-105,980	-29.8%	250,000	-47.2%	233,446	93.4%
Oper Budget Total	113,907,350	-664,320	-0.6%	113,243,030	593,680	0.5%	113,836,710	-0.1%	111,425,602	97.9%
<b>FY13-14 Budget &gt;&gt;</b>	FY12-13 \$ Budget									
General Fund	49,703,820	133,800	0.3%	49,837,620	937,820	1.9%	50,775,440	2.2%	48,756,220	96.0%
Special Revenue Funds	12,603,390	-70,590	-0.6%	12,532,800	855,750	6.8%	13,388,550	6.2%	12,394,680	92.6%
Enterprise Funds	42,313,570	276,460	0.7%	42,590,030	1,290,430	3.0%	43,880,460	3.7%	42,419,810	96.7%
Internal Service Funds	8,965,930	-585,420	-6.5%	8,380,510	1,799,790	21.5%	10,180,300	13.5%	10,182,230	100.0%
Capital & Other Funds	250,000	0	0.0%	250,000	0	0.0%	250,000	0.0%	235,000	94.0%
Oper Budget Total	113,836,710	-245,750	-0.2%	113,590,960	4,883,790	4.3%	118,474,750	4.1%	113,987,940	96.2%
<b>FY14-15 Adopted Budget &gt;&gt;</b>	FY13-14 \$ Budget									
General Fund	50,775,440	1,940,990	3.8%	52,716,430	3,706,220	7.0%	56,422,650	11.1%		
Special Revenue Funds	13,388,550	-979,070	-7.3%	12,409,480	601,760	4.8%	13,011,240	-2.8%		
Enterprise Funds	43,880,460	-190,060	-0.4%	43,690,400	2,781,540	6.4%	46,471,940	5.9%		
Internal Service Funds	10,180,300	-964,960	-9.5%	9,215,340	899,390	9.8%	10,114,730	-0.6%		
Capital & Other Funds	250,000	0	0.0%	250,000	0	0.0%	250,000	0.0%		
Oper Budget Total	118,474,750	-193,100	-0.2%	118,281,650	7,988,910	6.8%	126,270,560	6.6%		

**Notes:**

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

Operating Budget - All Departments Historical Budget Increments, Augmentations, and Usage

Operating Budget By Department	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Change	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	FY10-11 \$ Budget									
Police	20,999,790	1,086,700	5.2%	22,086,490	344,360	1.6%	22,430,850	6.8%	21,893,215	97.6%
Fire	14,613,400	582,430	4.0%	15,195,830	31,880	0.2%	15,227,710	4.2%	15,230,215	100.0%
Public Works	48,890,710	-1,288,480	-2.6%	47,602,230	972,270	2.0%	48,574,500	-0.6%	33,498,788	69.0%
Parks & Comm Serv	4,742,810	-72,400	-1.5%	4,670,410	126,130	2.7%	4,796,540	1.1%	4,195,165	87.5%
Development Services	7,963,910	-144,340	-1.8%	7,819,570	293,330	3.8%	8,112,900	1.9%	7,226,194	89.1%
City Manager's Office	3,107,220	-184,430	-5.9%	2,922,790	-15,310	-0.5%	2,907,480	-6.4%	3,014,185	103.7%
Human Resources	1,231,490	-23,000	-1.9%	1,208,490	0	0.0%	1,208,490	-1.9%	1,092,690	90.4%
Admin Services	4,429,930	-99,480	-2.2%	4,330,450	329,650	7.6%	4,660,100	5.2%	5,804,196	124.6%
Other Agencies	5,769,740	-649,590	-11.3%	5,120,150	868,630	17.0%	5,988,780	3.8%	18,083,314	302.0%
<b>Oper Budget Total</b>	<b>111,749,000</b>	<b>-792,590</b>	<b>-0.7%</b>	<b>110,956,410</b>	<b>2,950,940</b>	<b>2.7%</b>	<b>113,907,350</b>	<b>1.9%</b>	<b>110,037,962</b>	<b>96.6%</b>
<b>FY12-13 Budget &gt;&gt;</b>	FY11-12 \$ Budget	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Police	22,430,850	401,330	1.8%	22,832,180	-600,690	-2.6%	22,231,490	-0.9%	21,487,012	96.7%
Fire	15,227,710	186,570	1.2%	15,414,280	-185,080	-1.2%	15,229,200	0.0%	15,325,322	100.6%
Public Works	48,574,500	346,100	0.7%	48,920,600	2,406,370	4.9%	51,326,970	5.7%	36,312,791	70.7%
Parks & Comm Serv	4,796,540	-2,791,820	-58.2%	2,004,720	-2,004,720	#####	0	#####	0	
Devel & Engineering	8,112,900	-351,580	-4.3%	7,761,320	-484,740	-6.2%	7,276,580	-10.3%	7,380,415	101.4%
City Manager's Office	2,907,480	-13,200	-0.5%	2,894,280	-1,024,060	-35.4%	1,870,220	-35.7%	1,852,239	99.0%
Recreation & Cul Arts	0	2,422,750		2,422,750	967,320	39.9%	3,390,070		1,092,690	32.2%
Admin Services	5,868,590	195,560	3.3%	6,064,150	17,610	0.3%	6,081,760	3.6%	5,432,916	89.3%
Other Agencies	5,988,780	-1,060,030	-17.7%	4,928,750	1,501,670	30.5%	6,430,420	7.4%	22,542,217	350.6%
<b>Oper Budget Total</b>	<b>113,907,350</b>	<b>-664,320</b>	<b>-0.6%</b>	<b>113,243,030</b>	<b>593,680</b>	<b>0.5%</b>	<b>113,836,710</b>	<b>-0.1%</b>	<b>111,425,602</b>	<b>97.9%</b>
<b>FY13-14 Budget &gt;&gt;</b>	FY12-13 \$ Budget	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Police	22,231,490	394,480	1.8%	22,625,970	179,380	0.8%	22,805,350	2.6%	21,876,490	95.9%
Fire	15,229,200	170,830	1.1%	15,400,030	182,170	1.2%	15,582,200	2.3%	15,483,320	99.4%
Public Works	51,326,970	486,560	0.9%	51,813,530	2,005,800	3.9%	53,819,330	4.9%	37,486,910	69.7%
Devel & Engineering	7,276,580	-121,040	-1.7%	7,155,540	541,290	7.6%	7,696,830	5.8%	7,299,780	94.8%
City Manager's Office	1,870,220	-29,210	-1.6%	1,841,010	0	0.0%	1,841,010	-1.6%	1,782,570	96.8%
Recreation & Cul Arts	3,390,070	142,490	4.2%	3,532,560	16,000	0.5%	3,548,560	4.7%	3,273,490	92.2%
Admin Services	6,081,760	-345,730	-5.7%	5,736,030	53,260	0.9%	5,789,290	-4.8%	5,484,690	94.7%
Other Agencies	6,430,420	-944,130	-14.7%	5,486,290	1,905,890	34.7%	7,392,180	15.0%	21,300,690	288.2%
<b>Oper Budget Total</b>	<b>113,836,710</b>	<b>-245,750</b>	<b>-0.2%</b>	<b>113,590,960</b>	<b>4,883,790</b>	<b>4.3%</b>	<b>118,474,750</b>	<b>4.1%</b>	<b>113,987,940</b>	<b>96.2%</b>

FY14-15 Proposed Budget	FY13-14 \$ Budget	Base Increment	\$ Base Budget	\$ Budget Augments	% Change	FY 14-15 \$ Budget	% Change
Police	\$22,805,350	\$882,250	\$23,687,600	\$195,490	0.80%	\$23,883,090	4.70%
Fire	15,582,200	667,530	16,249,730	207,240	1.30%	16,456,970	5.60%
Public Works	39,465,320	-413,960	39,051,360	2,061,080	5.30%	41,252,840	4.20%
Utilities	14,354,010	81,760	14,435,770	630,580	4.40%	15,176,550	5.70%
Development Services	7,696,830	-460,440	7,236,390	2,476,710	34.20%	9,732,900	26.50%
City Manager's Office	1,841,010	33,270	1,874,280	117,350	6.30%	1,991,630	8.20%
Recreation & Cultural Arts	3,548,560	47,310	3,595,870	68,380	1.90%	3,664,250	3.30%
Administrative Services	5,789,290	139,070	5,928,360	683,330	11.50%	6,609,690	14.20%
Other Agencies	7,392,180	-1,169,890	6,222,290	1,418,350	22.80%	7,500,640	1.50%
Operating Budget Total	\$118,474,750	-\$193,1000	\$118,281,650	\$7,858,510	6.6%	\$126,720,560	6.6%

### Operating Budget Carryovers

As mentioned on page C2, outstanding encumbrances from the prior fiscal year's operating budget are eligible to be carried over at the end of the fiscal year. However, the approved expenditure figures for FY14-15, shown on the following pages, reflect only new appropriations and do not include any such carryovers.

During the first quarter of the new fiscal year, a determination will be made as to what encumbrances must be carried forward in the financial system into the new fiscal year. Also, a separate determination is made for what amount of budget authority is to be carried forward for these encumbrances and then added to the approved operating budget. Generally, operating budget carry forwards of budget authority are allowed for only onetime items such as equipment purchases and special projects. Encumbrances for routine purchases and services are carried forward but without budget authority. They must be paid for out of the new appropriations of the new fiscal years.

It is anticipated that such carryovers, from FY13-14 into FY14-15 will not exceed \$750,000. Such carryovers are generally assumed within the estimated expenditure figures for FY13-14.

Budget authority, and their percent over the adopted budget, carried over for the operating budget into prior fiscal years, and their percent of the new adopted operating budget were as follows:

FY 07-08	\$1,608,184	1.40%
FY 08-09	\$1,031,207	0.85%
FY 09-10	\$1,298,363	1.08%
FY 10-11	\$733,391	0.66%
FY 11-12	\$526,923	0.46%
FY 12-13	\$184,883	0.16%
FY 13-14	\$842,415	0.71%

### Operating Budget Supplementals

After adoption and after the carryovers have been made, the operating budget can be increased by supplemental appropriations. Supplementals are usually needed to cover expenses for unforeseen events, unanticipated grants, higher than expected work volumes and mid-year adjustments. Supplemental appropriations must be approved by the City Council. In prior years, supplemental appropriations, and their percent of the adopted operating budget, were as follows:

FY 07-08	\$2,524,779	2.20%
FY 08-09	\$1,237,469	1.00%
FY 09-10	\$980,707	0.80%
FY 10-11	\$1,569,460	1.40%
FY 11-12	\$203,930	0.18%
FY 12-13	\$2,152,855	1.90%
FY 13-14	\$1,253,840	1.06%

OPERATING BUDGET BY DEPARTMENTS	FY11-12	FY12-13	%	FY13-14	%	FY14-15	%	FY14-15	% over
	\$ Actual	\$ Actual	Change	\$ Adopted	Change	\$ Base Budget	Change	\$ Bud Augment	Base
51000 - Police	21,893,215	21,487,012	-1.9%	22,805,350	6.1%	23,687,600	3.9%	195,490	0.8%
52000 - Fire	15,230,215	15,325,322	0.6%	15,582,200	1.7%	16,249,730	4.3%	207,240	1.3%
53000 - Public Works									
Pub Wks Admin	604,216	836,520	38.4%	626,350	-25.1%	638,950	2.0%	30,180	4.7%
Internal Maintenance	2,135,805	2,087,462	-2.3%	2,206,350	5.7%	2,228,700	1.0%	143,660	6.4%
Street Maintenance	2,895,648	2,936,151	1.4%	3,275,790	11.6%	3,324,960	1.5%	156,300	4.7%
Parks & Ldsp Maintenance	4,468,743	4,771,218	6.8%	6,347,950	33.0%	5,763,080	-9.2%	96,480	1.7%
Water & Wastewater	5,432,280	5,660,833	4.2%	6,128,220	8.3%	6,189,170	1.0%	100,400	1.6%
Solid Waste	17,962,096	18,138,379	1.0%	18,703,130	3.1%	18,706,640	0.0%	832,660	4.5%
Airport & Transit	1,879,936	1,882,228	0.1%	2,177,530	15.7%	2,199,860	1.0%	841,800	38.3%
54000 - Utilities	13,323,133	13,837,007	3.9%	14,354,010	3.7%	14,435,770	0.6%	740,780	5.1%
56000 - Development Services									
Admin & Planning	1,417,199	1,411,326	-0.4%	1,350,440	-4.3%	1,386,690	2.7%	39,170	2.8%
Building & Code Enforce	1,784,622	1,983,912	11.2%	2,265,670	14.2%	2,008,570	-11.3%	1,257,140	62.6%
Engineering	3,120,210	3,171,271	1.6%	2,857,170	-9.9%	2,951,390	3.3%	867,840	29.4%
Economic Development	298,056	293,450	-1.5%	513,580	75.0%	522,540	1.7%	19,990	3.8%
CDA, Housing, & Downtowr	528,508	347,420	-34.3%	367,200	5.7%	367,200	0.0%	0	0.0%
CDBG Program	77,599	173,036	123.0%	342,770	98.1%	0	-100.0%	312,370	
58000 - Gen Govt Ag									
City Council	90,644	93,529	3.2%	104,400	11.6%	105,780	1.3%	20,220	19.1%
City Attorney's Office	762,642	751,680	-1.4%	856,270	13.9%	876,410	2.4%	3,150	0.4%
City Manager's Office	1,761,932	619,164	-64.9%	1,841,010	197.3%	1,874,280	1.8%	117,350	6.3%
Cultural Arts	1,252,253	1,233,075	-1.5%	1,499,890	21.6%	1,519,350	1.3%	131,710	8.7%
Recreation	2,315,229	3,284,804	41.9%	2,048,670	-37.6%	2,076,520	1.4%	-63,330	-3.0%
58400 - Administrative Services									
Human Resources	1,140,563	1,140,563	0.0%	1,220,920	7.0%	1,245,880	2.0%	246,630	19.8%
Finance	3,391,499	2,901,627	-14.4%	3,152,810	8.7%	3,241,170	2.8%	273,200	8.4%
Information Technology	1,272,134	1,390,726	9.3%	1,415,560	1.8%	1,441,310	1.8%	163,500	11.3%
59000 - Non-Departmental Group									
Equipment Acquisition	1,023,165	1,297,772	26.8%	1,902,190	46.6%	412,000	-78.3%	737,080	178.9%
Self-Insurance	2,967,004	3,443,483	16.1%	3,504,300	1.8%	3,704,300	5.7%	241,700	6.5%
Others	1,009,418	926,632	-8.2%	1,025,020	10.6%	1,123,800	9.6%	276,200	24.6%
Operating Budget Total	110,037,964	111,425,602	1.3%	118,474,750	6.3%	118,281,650	-0.2%	7,988,910	6.8%
<b>OPERATING BUDGET BY OBJECTS</b>	<b>FY11-12</b>	<b>FY12-13</b>	<b>%</b>	<b>FY13-14</b>	<b>%</b>	<b>FY14-15</b>	<b>%</b>	<b>FY14-15</b>	<b>% over</b>
	<b>\$ Actual</b>	<b>\$ Actual</b>	<b>Change</b>	<b>\$ Adopted</b>	<b>Change</b>	<b>\$ Base Budget</b>	<b>Change</b>	<b>\$ Bud Augment</b>	<b>Base</b>
Personnel Expenses	58,706,788	58,309,839	-0.7%	60,239,780	3.3%	62,559,940	3.9%	981,040	1.6%
Contracted Services	35,367,572	36,218,864	2.4%	38,579,030	6.5%	37,332,440	-3.2%	4,329,140	11.6%
Commodities	4,077,757	4,498,250	10.3%	4,943,280	9.9%	4,993,260	1.0%	777,010	15.6%
Internal Charges	5,655,793	5,814,111	2.8%	6,846,880	17.8%	7,186,720	5.0%	220,140	3.1%
Other Payments	6,230,052	6,584,538	5.7%	7,865,780	19.5%	6,209,290	-21.1%	1,681,580	27.1%
Operating Budget Total	110,037,962	111,425,602	1.3%	118,474,750	6.3%	118,281,650	-0.2%	7,988,910	6.8%

OPERATING BUDGET BY FUND GROUPS	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Actual	% Change	FY14-15 \$ Actual	% Change	FY14-15 Budget Augmentations	% Over Base
General Fund	\$48,098,159	\$48,455,851	0.7%	\$50,775,440	4.8%	\$52,716,430	3.8%	\$3,706,220	7.0%
Special Revenue Funds	12,185,081	12,054,084	-1.1%	13,388,550	11.1%	12,409,480	-7.3%	601,760	4.8%
Capital Projects Fund	379,706	233,446	-38.5%	250,000	7.1%	250,000	0.0%	0	0.0%
Enterprise Funds	41,024,305	41,604,358	1.4%	43,880,460	5.5%	43,690,400	-0.4%	2,781,540	6.4%
Internal Service Funds	8,350,711	9,077,863	8.7%	10,180,300	12.1%	9,215,340	-9.5%	899,390	9.8%
Operating Budget Total	\$110,037,962	\$111,425,602	1.3%	\$118,474,750	6.3%	118,281,650	-0.2%	7,988,910	6.8%

## Departmental Sections

Each City department has its own section within this part of the budget document. A mission statement, a departmental budget summary, budget graph, an organizational chart, recent budget history, departmental accomplishments and projections, base analysis, and key indicators are provided. Afterward, program budget data forms for the departmental operating programs are presented.

### Departmental Budget Summary

A Departmental Budget Summary for each department is presented which summarizes the total operating budget for the department for FY13-14. Expenditure data is shown for four fiscal years and detailed by operating program, object category, and funding sources. The summary also provides various graphs highlighting departmental expenditure trends. Also, it details the full-time equivalent count of departmental staffing by position and/or job series. Finally, through the use of meaningful and measurable objectives, each program may later be reviewed in order to determine what objectives were achieved.

### Program Budget Data Forms

For each operating program, there is also a program budget data form. This form provides a program description and lists the program's performance objectives for FY 14-15. The form also provides fiscal and staffing data, and a commentary for the program.

### Performance Objectives

Each program lists a number of performance objectives regarding the activities of that program. Performance objectives are to clearly set forth the goals and objectives of the program. Through the use of meaningful and measurable objectives, each program may later be reviewed in order to determine what objectives were achieved.

### Commentary

The program budget data form provides a brief commentary on any budget trends and changes occurring in FY13-14 or anticipated for FY14-15 that would significantly affect the program.

### Fiscal & Staffing Data

In addition to the performance objectives and commentary, the program budget data form provides fiscal and staffing data pertaining to the program. It summarizes the operating expenditures for the program by object category and funding sources. Also, it provides the equivalency factor for program expenditures. Then, it provides a listing of the program staffing.

## Departmental Budget Analysis

The Departmental Budget Analysis-Part I tables are similar to the Operating Budget Analysis-Part A on page E9. These tables focus on the budgets showing the historical increment growth/change of the operating budgets of the various departments, detailed by objects.

Also, there are Departmental Budget Analysis-Part II tables included in this document. These tables are similar to the Operating Budget Analysis-Part D table on page E13. They detail a department's budget, broken down by its divisions and/or programs by objects, and funding sources. These tables provide an analysis which contrast the department's base budget and budget augmentations with current year adopted budget and two prior fiscal years of actual expenditures.

# POLICE DEPARTMENT

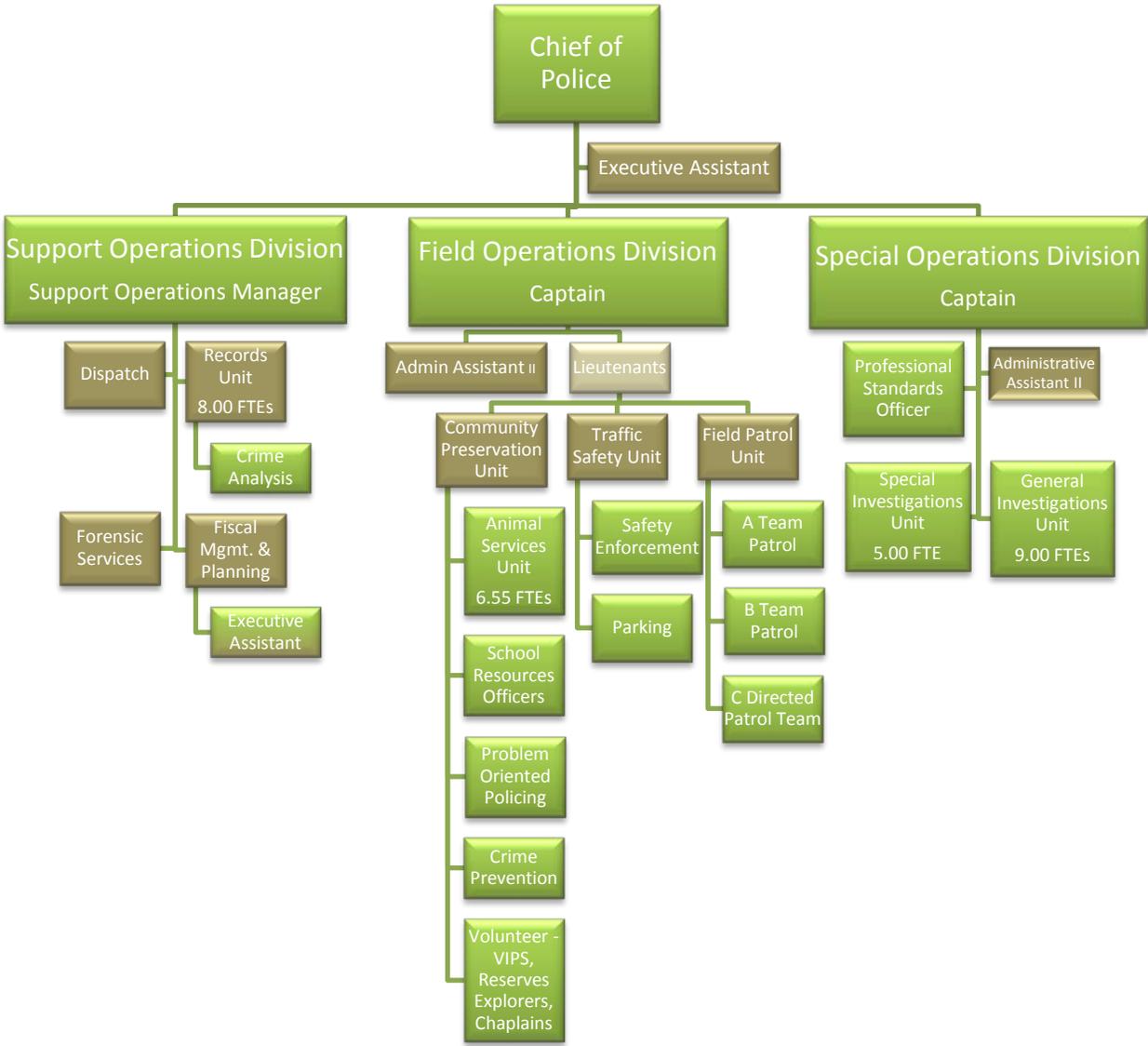
## Mission Statement

Committed to Community Partnerships

## **Department Head**

Gary Hampton, Police Chief

**City of Tracy**  
**POLICE DEPARTMENT**  
**Fiscal Year 14-15**



Department: **51000 - Police Department**

The Police Department provides for law enforcement and public safety within the City through patrol, traffic, investigations, animal control, and communication services.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 4.7% over the current year adopted budget, and this represents a 6.7% increase over the FY12-13 amended budget.

The base component of the budget represents a 3.9% increase over the current year adopted budget, while budget augmentations will show a 0.8% increase over the base budget.

In FY12-13, departmental staffing added 1 full-time regular position and 0.56 FTEs in other staffing. In FY14-15, departmental staffing will add 0.35 FTEs of other staffing.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Chief's Office</b>									
51110 - Police Administration	976,461	680,834	-30.3%	645,770	654,880	101.4%	-3.8%	718,830	11.3%
<b>Field Operations Division</b>									
51210 - Patrol Force	10,313,367	11,108,227	7.7%	11,069,410	10,838,610	97.9%	-2.4%	11,489,970	3.8%
51220 - Traffic Enforcement	938,160	775,135	-17.4%	987,220	967,820	98.0%	24.9%	1,005,970	1.9%
51230 - Parking Enforcement	122,393	149,427	22.1%	187,200	162,350	86.7%	8.6%	191,130	2.1%
51240 - Police Reserves	0	0		0	0			31,000	
51260 - Animal Services	619,471	636,215	2.7%	675,110	607,510	90.0%	-4.5%	701,510	3.9%
51270 - Youth Services	647,800	569,391	-12.1%	662,540	645,160	97.4%	13.3%	679,340	2.5%
51280 - Crime Prevention	666,806	523,069	-21.6%	543,750	523,520	96.3%	0.1%	557,660	2.6%
51290 - School Crossing Guards	192,945	202,728	5.1%	230,000	203,000	88.3%	0.1%	240,000	4.3%
<b>Special Operations Division</b>									
51310 - General Investigations	1,862,244	1,886,934	1.3%	2,125,040	2,058,910	96.9%	9.1%	2,265,950	6.6%
51330 - Special Investigations	1,579,184	1,115,070	-29.4%	1,230,430	1,205,000	97.9%	8.1%	1,293,610	5.1%
51340 - Forensic Services	748,401	699,312	-6.6%	867,500	728,710	84.0%	4.2%	894,380	3.1%
<b>Support Operations Division</b>									
51410 - Police Records	1,143,936	962,903	-15.8%	1,159,350	1,058,890	91.3%	10.0%	1,238,600	6.8%
51460 - Communications	2,082,047	2,177,767	4.6%	2,422,030	2,222,130	91.7%	2.0%	2,575,140	6.3%
<b>Department Total</b>	<b>21,893,215</b>	<b>21,487,012</b>	<b>-1.9%</b>	<b>22,805,350</b>	<b>21,876,490</b>	<b>95.9%</b>	<b>1.8%</b>	<b>23,883,090</b>	<b>4.7%</b>
<b>Amended Budget</b>	<b>22,338,267</b>	<b>22,378,102</b>	<b>0.2%</b>		<b>22,979,755</b>		<b>2.7%</b>		
<b>% of Amended Spent</b>	<b>98.01%</b>	<b>96.02%</b>			<b>95.20%</b>			<b>over 2 years</b>	<b>6.7%</b>
						<b>Base Budget &gt;&gt;</b>		<b>23,687,600</b>	<b>3.9%</b>
						<b>Augmentations &gt;&gt;</b>		<b>195,490</b>	<b>0.8%</b>

Department: 51000 - Police Department (Continued)

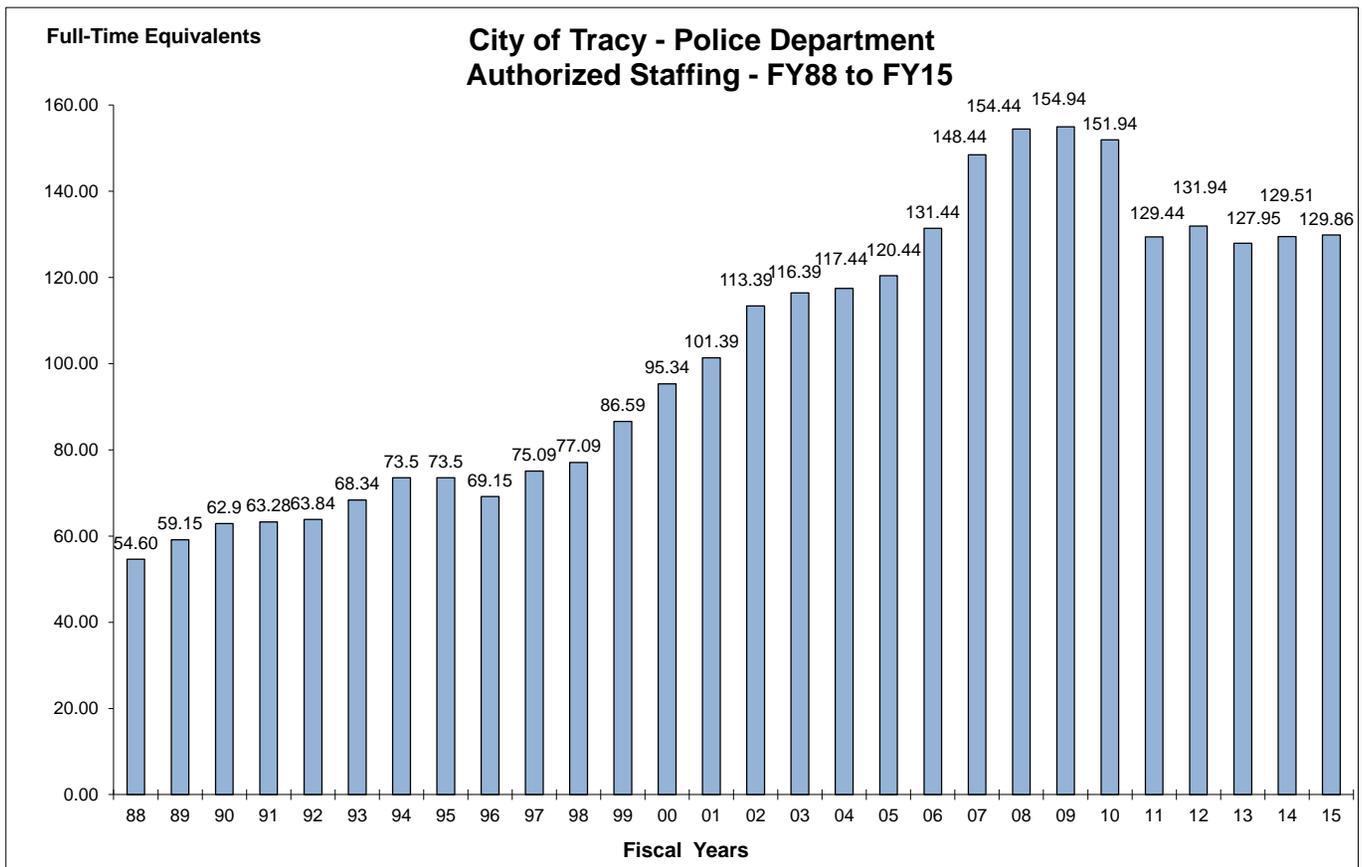
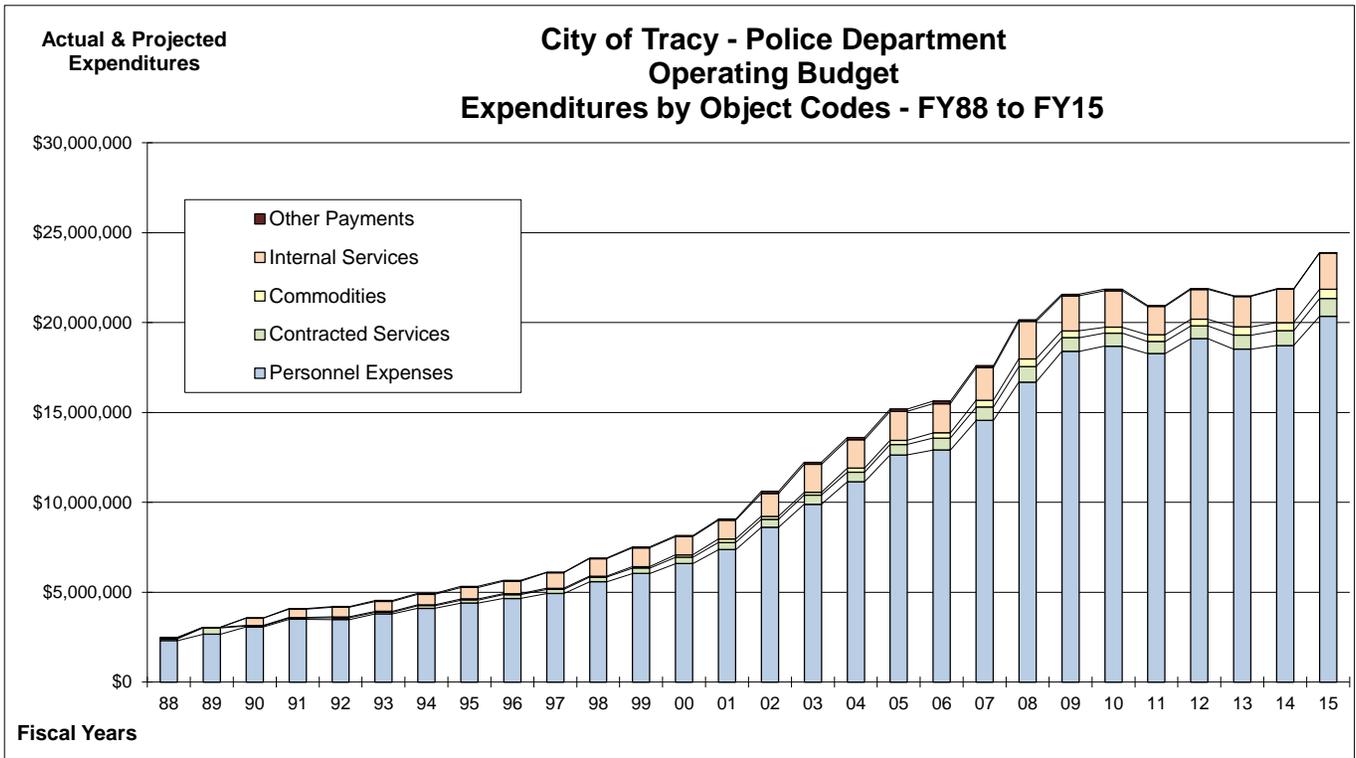
DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	19,109,447	18,519,784	-3.1%	19,547,600	18,722,420	95.8%	1.1%	20,343,620	4.1%
Contracted Services	723,063	784,334	8.5%	913,710	825,700	90.4%	5.3%	993,180	8.7%
Commodities	379,264	457,579	20.6%	480,080	451,750	94.1%	-1.3%	515,710	7.4%
Internal Charges	1,628,553	1,684,733	3.4%	1,856,460	1,849,900	99.6%	9.8%	2,023,080	9.0%
Other Payments	52,888	40,582	-23.3%	7,500	26,720	356.3%	-34.2%	7,500	0.0%
Department Total	21,893,215	21,487,012	-1.9%	22,805,350	21,876,490	95.9%	1.8%	23,883,090	4.7%

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101 - Taxes	21,016,806	20,659,850	-1.7%	21,975,880	21,058,794	95.8%	1.9%	23,084,450	5.0%
Fee Revenues	180,282	180,112	-0.1%	192,500	171,000	88.8%	-5.1%	171,000	-11.2%
Grant Revenues	457,168	437,748	-4.2%	421,970	441,696	104.7%	0.9%	392,740	-6.9%
Parking Assessments	89,085	57,294	-35.7%	70,000	60,000	85.7%	4.7%	70,000	0.0%
Traffic Fines Sub-fund 105	145,000	145,000	0.0%	145,000	145,000	100.0%	0.0%	148,000	2.1%
Asset Seizure Fund 231	4,874	7,008	43.8%	0	0		-100.0%	16,900	
Department Total	21,893,215	21,487,012	-1.9%	22,805,350	21,876,490	95.9%	1.8%	23,883,090	4.7%

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 Approved	% Cost Change	FY13-14 Adopted	FY13-14 Approved	% of Budget	% Cost Change	FY14-15 Approved	% Change
<i>Regular Positions</i>									
Chief of Police	1.00	1.00		1.00	1.00			1.00	
Police Mid-Managers	6.00	7.00		7.00	7.00			7.00	
Police Sergeants	12.00	10.00		10.00	10.00			10.00	
Police Corporals	0.00	12.00		12.00	12.00			12.00	
Police Officers	67.00	56.00		56.00	56.00			56.00	
Auxiliaries	11.00	7.00		7.00	7.00			7.00	
Civilian Supervisors	5.00	5.00		5.00	5.00			5.00	
Communication Operators	12.00	13.00		14.00	14.00			14.00	
Secretarial & Clerical	12.00	11.00		11.00	11.00			11.00	
Animal Control	4.00	4.00		4.00	4.00			4.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Communication Operators	0.34	0.35		0.35	0.35			0.35	
Reserve Officers	0.00	0.00		0.00	0.00			0.35	
Parking Enforcement	1.00	1.00		1.00	1.00			1.00	
Auxiliaries	0.60	0.60		1.16	1.16			1.16	
Total - Full Time Equivalents	131.94	127.95	-3.0%	129.51	129.51	100.0%	1.2%	129.86	0.3%



## Budget Narrative - Police Department

### Recent Budget Changes

#### *FY 10-11*

- Reduction in base budget by \$2,891,420 or 12.1% from FY09-10 Adopted Budget.
- Departmental staffing reduced by 17 full-time regular positions.

#### *FY 11-12*

- Increase in base budget by \$1,086,700 or 5.2% over FY10-11 Adopted Budget.
- Departmental staffing reduced by 1 full-time regular position.
- Overtime budget of \$717,260, including a \$125,000 increase for gang enforcement efforts.
- Budget augmentations of \$344,360.
- Midyear reorganization into 3 divisions with 3.5 net new positions added: Captain, Lieutenant, and Support Operations Manager. A Records Supervisor, and a part-time Performance Standards Officer were added.
- An Administrative Assistant II Position was deleted.

#### *FY 12-13*

- Increase in base budget of \$401,330 or 1.8% over FY11-12 adopted budget.
- Departmental staffing was reduced by 5 positions.
- Budget savings of \$600,690 due to retirements of 5 employees.
- A Communications Operator II position was added while a CSO position was deleted.
- New Equipment of \$314,000, including \$280,000 for a special purpose vehicle.

#### *FY 12-13 Continued*

- Equipment Replacement of \$731,970 including \$375,000 for 10 patrol vehicles, and \$150,000 for in-car video systems.
- A temporary Police Officer Position added with grant funding.

#### *FY 13-14*

- Increase in base budget of \$394,480 or 1.8% over FY12-13 Adopted Budget.
- Departmental staffing to add 1 full-time regular and .56 FTEs of other staffing.
- Budget Augmentations of \$179,380.
- A Communication Dispatcher was added at mid-year, while 5 dispatcher positions were upgraded to Lead Dispatcher.
- A part-time Range Master and a DARE Officer were added.
- Equipment replacement of \$416,040 including \$164,000 for 4 patrol vehicles a \$93,380 for firearms.

#### *Proposed Budget Changes for FY 14-15*

- Increase in base budget of \$882,250 or 3.9% over FY13-14 Adopted Budget. Increase in personnel expenditures of \$781,020.
- Budget augmentation of \$195,490
- Department staffing will add .35 FTEs for Police Reserves.
- Equipment replacement of \$313,940

Major Non-Personnel Expenses	FY12-13	%Change	FY13-14	%Change	FY14-15
School Crossing Guards	\$230,000	0.00%	\$230,000	0.00%	\$230,000
Police Training	57,020	87.60%	107,020	0.00%	107,020
Vehicle Rental	33,680	0.00%	33,680	0.00%	33,680
Laboratory Testing	65,540	0.00%	65,540	0.00%	65,540
Computer System Maintenance	109,560	0.00%	109,560	45.00%	159,560
Data Services	63,880	0.00%	63,380	14.00%	73,880
Comm Systems Maintenance	52,780	9.50%	57,780	-8.00%	52,780
DARE Contract	45,000	-100.00%	0	0.00%	0
New Equipment Acquisition	\$314,000		\$13,000		\$57,660
Equipment Replacement	\$731,970		\$416,040		\$313,940

51000 - Police Department

Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	18,181,000	1,041,700	5.7%	19,222,700	125,000	0.7%	19,347,700	6.4%	19,109,447	98.8%
Contracted Services	837,070	0	0.0%	837,070	20,980	2.5%	858,050	2.5%	723,063	84.3%
Commodities	363,050	0	0.0%	363,050	0	0.0%	363,050	0.0%	379,264	104.5%
Internal Charges	1,611,170	0	0.0%	1,611,170	77,180	4.8%	1,688,350	4.8%	1,628,553	96.5%
Other Payments	7,500	45,000	600.0%	52,500	121,200	230.9%	173,700	2216.0%	52,888	30.4%
<b>Department Total</b>	<b>20,999,790</b>	<b>1,086,700</b>	<b>5.2%</b>	<b>22,086,490</b>	<b>344,360</b>	<b>1.6%</b>	<b>22,430,850</b>	<b>6.8%</b>	<b>21,893,215</b>	<b>97.6%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Personnel Expenses	19,347,700	528,280	2.7%	19,875,980	-615,360	-3.1%	19,260,620	-0.5%	18,519,784	96.2%
Contracted Services	858,050	-3,250	-0.4%	854,800	14,670	1.7%	869,470	1.3%	784,334	90.2%
Commodities	363,050	-2,500	-0.7%	360,550	0	0.0%	360,550	-0.7%	457,579	126.9%
Internal Charges	1,688,350	0	0.0%	1,688,350	0	0.0%	1,688,350	0.0%	1,684,733	99.8%
Other Payments	173,700	-121,200	-69.8%	52,500	0	0.0%	52,500	-69.8%	40,582	77.3%
<b>Department Total</b>	<b>22,430,850</b>	<b>401,330</b>	<b>1.8%</b>	<b>22,832,180</b>	<b>-600,690</b>	<b>-2.6%</b>	<b>22,231,490</b>	<b>-0.9%</b>	<b>21,487,012</b>	<b>96.7%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Personnel Expenses	19,260,620	137,800	0.7%	19,398,420	149,180	0.8%	19,547,600	1.5%	18,722,420	95.8%
Contracted Services	869,470	-10,760	-1.2%	858,710	55,000	6.4%	913,710	5.1%	825,700	90.4%
Commodities	360,550	99,330	27.5%	459,880	20,200	4.4%	480,080	33.2%	451,750	94.1%
Internal Charges	1,688,350	168,110	10.0%	1,856,460	0	0.0%	1,856,460	10.0%	1,849,900	99.6%
Other Payments	52,500	0	0.0%	52,500	-45,000	-85.7%	7,500	-85.7%	26,720	356.3%
<b>Department Total</b>	<b>22,231,490</b>	<b>394,480</b>	<b>1.8%</b>	<b>22,625,970</b>	<b>179,380</b>	<b>0.8%</b>	<b>22,805,350</b>	<b>2.6%</b>	<b>21,876,490</b>	<b>95.9%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY14-15 \$ Budget</b>	<b>% Change</b>		
Personnel Expenses	19,547,600	781,020	4.0%	20,328,620	15,000	0.1%	20,343,620	4.1%		
Contracted Services	913,710	-15,100	-1.7%	898,610	94,570	10.5%	993,180	8.7%		
Commodities	480,080	9,980	2.1%	490,060	25,650	5.2%	515,710	7.4%		
Internal Charges	1,856,460	106,350	5.7%	1,962,810	60,270	3.1%	2,023,080	9.0%		
Other Payments	7,500	0	0.0%	7,500	0	0.0%	7,500	0.0%		
<b>Department Total</b>	<b>22,805,350</b>	<b>882,250</b>	<b>3.9%</b>	<b>23,687,600</b>	<b>195,490</b>	<b>0.8%</b>	<b>23,883,090</b>	<b>4.7%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 51000 - Police Department

Department Budget By Program	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
51110 - Police Administration	976,461	680,834	-30.3%	645,770	-5.2%	711,010	10.1%	7,820	1.1%
51210 - Patrol Force	10,313,367	11,108,227	7.7%	11,069,410	-0.3%	11,482,610	3.7%	7,360	0.1%
51220 - Traffic Enforcement	938,160	775,135	-17.4%	987,220	27.4%	1,005,370	1.8%	600	0.1%
51230 - Parking Enforcement	122,393	149,427	22.1%	187,200	25.3%	190,730	1.9%	400	0.2%
51240 - Police Reserves	0	0		0		0		31,000	
51260 - Animal Services	619,471	636,215	2.7%	675,110	6.1%	698,710	3.5%	2,800	0.4%
51270 - Youth Services	647,800	569,391	-12.1%	662,540	16.4%	678,110	2.4%	1,230	0.2%
51280 - Crime Prevention	666,806	523,069	-21.6%	543,750	4.0%	556,350	2.3%	1,310	0.2%
51290 - School Crossing Guard	192,945	202,728	5.1%	230,000	13.5%	230,000	0.0%	10,000	4.3%
51340 - Forensic Services	748,401	699,312	-6.6%	867,500	24.1%	891,860	2.8%	2,520	0.3%
51310 - General Investigations	1,862,244	1,886,934	1.3%	2,125,040	12.6%	2,261,840	6.4%	4,110	0.2%
51330 - Special Investigations	1,579,184	1,115,070	-29.4%	1,230,430	10.3%	1,257,510	2.2%	36,100	2.9%
51410 - Police Records	1,143,936	962,903	-15.8%	1,159,350	20.4%	1,199,250	3.4%	39,350	3.3%
51460 - Communications	2,082,047	2,177,767	4.6%	2,422,030	11.2%	2,524,250	4.2%	50,890	2.0%
Department Total	21,893,215	21,487,012	-1.9%	22,805,350	6.1%	23,687,600	3.9%	195,490	0.8%

## Department Budget by Object

Personnel Expenses	19,109,447	18,519,784	-3.1%	19,547,600	5.5%	20,328,620	4.0%	15,000	0.1%
Contracted Services	723,063	784,334	8.5%	913,710	16.5%	898,610	-1.7%	94,570	10.5%
Commodities	379,264	457,579	20.6%	480,080	4.9%	490,060	2.1%	25,650	5.2%
Internal Charges	1,628,553	1,684,733	3.4%	1,856,460	10.2%	1,962,810	5.7%	60,270	3.1%
Other Payments	52,888	40,582	-23.3%	7,500	-81.5%	7,500	0.0%	0	0.0%
Department Total	21,893,215	21,487,012	-1.9%	22,805,350	6.1%	23,687,600	3.9%	195,490	0.8%

## Department Budget by Funding Source

General Fund 101 - Taxes	21,016,806	20,659,850	-1.7%	21,975,880	6.4%	22,986,600	4.6%	97,850	0.4%
Fee Revenues	180,282	180,112	-0.1%	192,500	6.9%	195,500	1.6%	-24,500	-12.5%
Grant Revenues	457,168	437,748	-4.2%	421,970	-3.6%	287,500	-31.9%	105,240	36.6%
Parking Assessments	89,085	57,294	-35.7%	70,000	22.2%	70,000	0.0%	0	0.0%
Traffic Fines Sub-fund 105	145,000	145,000	0.0%	145,000	0.0%	148,000	2.1%	0	0.0%
Asset Seizure Fund 231	4,874	7,008	43.8%	0	-100.0%	0		16,900	
Department Total	21,893,215	21,487,012	-1.9%	22,805,350	6.1%	23,687,600	3.9%	195,490	0.8%

## Department Staffing

Total - Full Time Equivalent	131.94	127.95	-3.0%	129.51	1.2%	129.51	0.0%	0.35	0.3%
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## Department Equipment Purchase

Replacement Equipment	164,436	619,613	276.8%	416,040	-32.9%	40,000	-90.4%	273,940	684.9%
New Equipment	88,548	370,591	318.5%	13,000	-96.5%	30,000	130.8%	27,660	

## CORE MEASURES and Supporting Data for POLICE Department

from ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
Sworn and Civilian FTEs	129.44	131.94	127.95	-3.02%	129.51	129.51	1.22%	129.86	0.27%
per 1,000 Population	1.56	1.58	1.52	-3.60%	1.53	1.54	0.86%	1.53	-0.20%
Departmental Costs (\$1,000)	\$20,950	\$21,893	\$21,487	-1.85%	\$22,805	\$21,876	1.81%	\$23,883	9.17%
per Capita	\$251.68	\$262.00	\$254.39	-2.90%	\$269.88	\$256.92	1.00%	\$276.42	7.59%
Total Comm Center Calls	114,890	115,283	117,199	1.66%	129,876	121,558	3.72%	126,736	4.26%
Calls for Police Services	22,599	35,164	41,969	19.35%	41,761	43,608	3.91%	45,788	5.00%
Dispatched Police Calls	26,713	26,554	27,858	4.91%	29,873	28,750	3.20%	30,187	5.00%
Police Initiated Actions	22,318	21,089	17,354	-17.71%	24,115	18,464	6.40%	19,072	3.29%
Dispatched Calls/1,000 Populat	320.9	317.8	329.8	3.79%	353.5	337.7	2.38%	349.4	3.47%
# of Top Priority Calls	3,062	3,863	9,094	135.41%	6,438	10,138	11.48%	10,642	4.97%
as a % of all Dispatched Polir	11.46%	14.55%	32.64%	124.39%	21.55%	35.26%	8.02%	35.25%	-0.03%
per 1,000 Population	36.8	46.2	107.7	132.89%	76.2	119.1	10.59%	123.2	3.45%
Average Response Time (Seco	382	369	354	-4.07%	393	381	7.63%	390	2.36%
Receipt to Dispatch (Seconds)	121	118	112	-5.08%	122	126	12.50%	128	1.59%
Dispatch to Arrive (Seconds)	261	251	241	-3.98%	271	247	2.49%	250	1.21%
UCR Part I Crimes Reported	2,556	2,250	2,520	12.00%	2,722	2,646	5.00%	2,778	4.99%
Violent Crimes	128	139	153	10.07%	168	160	4.58%	168	5.00%
Property Crimes	2,428	2,111	2,367	12.13%	2,554	2,485	4.99%	2,609	4.99%
UCR Part I Crimes / 1,000 Popu	30.7	26.9	29.8	10.80%	32.2	31.1	4.16%	32.2	3.46%
assigned to Investigators	159	250	170	-32.00%	276	294	72.94%	276	-6.12%
% assigned to Investigators	6.22%	11.11%	6.75%	-39.29%	10.14%	11.11%	64.71%	9.94%	-10.58%
UCR Part I Crimes Cleared	497	447	400	-10.51%	492	325	-18.75%	402	23.69%
% of UCR Part I Crimes Clear	19.44%	19.87%	15.87%	-20.10%	18.07%	12.28%	-22.62%	14.47%	17.81%
UCR Part I Crimes Arrests	471	452	609	34.73%	547	639	4.93%	671	5.01%
Total Arrests	2,935	2,897	2,929	1.10%	3,505	3,075	4.98%	3,229	5.01%
Juvenile Arrests	437	383	212	-44.65%	463	222	4.72%	233	4.95%
Drug Arrests	550	365	341	-6.58%	441	358	4.99%	376	5.03%
DUI Arrests	232	126	150	19.05%	153	157	4.67%	165	5.10%
Total Arrests per 1,000 Populat	35.3	34.7	34.7	0.02%	41.5	36.1	4.15%	37.4	3.48%
Traffic Incidents	967	1,004	1,060	5.58%	943	1,113	5.00%	1,169	-19.10%
Fatal / Injuries	1 / 117	1 / 187	2 / 176	5.62%	1/195	1/184	0.02%	1/195	-39.80%
Citations Issued for Moving Violat	6,669	5,429	3,519	-35.18%	5,745	3,695	5.00%	3,880	-19.10%
<b>Citizen Survey Ratings (Safe or Very Safe)</b>									
Neighborhood Safety During Day					84.0%				
Neighborhood Safety After Dark					62.0%				
Business Area Safety During Day					87.0%				
Business Area Safety After Dark					49.0%				

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Purchased and deployed the All Purpose Vehicle for critical incidents and enhanced officer safety.
2. Stabilized Part-1 Crimes.
3. Joined county-wide AB109 Task Force to address the prison realignment and impact on the community.
4. Joined the district Attorney's Office in the County Protocol Response Team for officer involved shootings.
5. Collaborated with county, state and federal law enforcement partners to interdict and prosecute offenders involved in drug, vice and human trafficking activities.
6. Added a part-time professional standards officer position to investigate citizen complaints and conduct administrative audits to ensure compliance with Department policy as a risk management strategy.
7. Completed the installation of a new video camera system within the police department's detention facility and building perimeter as a risk management effort.

### Current Projections: FY 13-14

1. Implemented first phase of the CAD/RMS Project to provide the Department with a more efficient way to deploy officers in response to calls for service and to more efficiently and effectively manage police reports and records.
2. Completed the Firearms Range Facility CIP.
3. Enhanced community reporting of criminal activities, thereby improving focused deployment of proactive resources.
4. Continued collaboration with other county, state and federal law enforcement partners in the pursuit of criminals in Tracy by sharing resources during joint operations.
5. Completed the design of the new Animal Services Facility.
6. Adopt and implement year two of the department's Three Year Strategic Plan.

### Future Projections: FY 14-15

1. Implement the second phase of the CAD/RMS Project to provide the Department with citation writers and automated field reporting to more efficiently and effectively manage reports and records.
2. Begin construction on Phase I of the new Animal Services Facility.
3. Continue to use crime analysis to collect and analyze criminal activities and to identify crime patterns and assist with active deployment of resources.
4. Continue with collaboration with other county, state, and federal law enforcement partners in the pursuit of criminal in Tracy by sharing resources during joint operations.
5. Complete year three of the department's Three Year Strategic Plan.

Department: 51000 - Police Department  
 Division: 51100 - Chief's Office  
 Program: 51110 - Police Administration

Administer and direct the Police Department and provide the necessary administrative support for its operations and activities.

**PERFORMANCE OBJECTIVES**

1. To administer the 13 programs of the department at an Admin cost cost of 3.0% or less of the department operating budget.
2. To oversee a departmental budget of over \$23,883,090 and with an authorized staffing of 129.86 full-time equivalents.
3. To implement Year Two of the Five Year Strategic Plan.
4. To increase the department's efficiency and effectiveness, thereby reducing crime and enhancing community safety.

**COMMENTARY**

This program provides for the Police Chief and supporting administrative staff for the Department.  
 In FY11-12 and FY12-13, program staffing was reduced with reallocations to other departmental programs and staff retirements. So, program costs decrease, particularly personnel costs.  
 In FY13-14, program costs are expected to show a modest decrease; this is due to a decrease in personnel costs.  
 For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges and commodities, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	872,995	577,463	-33.9%	524,700	541,320	103.2%	-6.3%	586,940	11.9%
Contracted Services	17,664	12,454	-29.5%	28,590	21,560	75.4%	73.1%	32,090	12.2%
Commodities	28,541	29,080	1.9%	28,970	28,500	98.4%	-2.0%	29,970	3.5%
Internal Charges	57,261	61,837	8.0%	63,510	63,500	100.0%	2.7%	69,830	10.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>976,461</b>	<b>680,834</b>	<b>-30.3%</b>	<b>645,770</b>	<b>654,880</b>	<b>101.4%</b>	<b>-3.8%</b>	<b>718,830</b>	<b>11.3%</b>
Amended Budget	965,360	659,161			645,770				
% of Amended Spent	101.1%	103.3%			101.4%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	976,461	680,834	-30.3%	645,770	654,880	101.4%	-3.8%	718,830	11.3%
State Grants	0	0		0	0			0	
<b>Program Total</b>	<b>976,461</b>	<b>680,834</b>	<b>-30.3%</b>	<b>645,770</b>	<b>654,880</b>	<b>101.4%</b>	<b>-3.8%</b>	<b>718,830</b>	<b>11.3%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Chief of Police	1.00	1.00		1.00	1.00			1.00	
Exec Asst II -Senior Secretary	1.20	1.20		1.20	1.20			1.20	
Police Sergeant	1.00	0.00		0.00	0.00			0.00	
Deputy Chief/Police Captain	0.00	0.00		0.00	0.00			0.00	
Police Lieutenant	0.00	0.00		0.00	0.00			0.00	
Support Ops Manager	0.20	0.20		0.20	0.20			0.20	
<i>Other Staffing (Full-Time Equivalents)</i>									
Prof Standards Officer	0.50	0.50		0.50	0.50			0.50	
Police Chaplain (Volunteer)	0.10	0.10		0.10	0.10			0.10	
<b>Total - Full-Time Equivalents</b>	<b>4.00</b>	<b>3.00</b>	<b>-25.0%</b>	<b>3.00</b>	<b>3.00</b>	<b>100.0%</b>	<b>0.0%</b>	<b>3.00</b>	<b>0.0%</b>

Department: **51000 - Police Department**  
 Division: **51200 - Field Operations Division**  
 Program: **51210 - Patrol Force**

Provide a uniformed patrol force for first level response to the community with emphasis on crime prevention & suppression, law enforcement, order maintenance, traffic flow, and public safety.

**PERFORMANCE OBJECTIVES**

1. To provide adequate levels of police coverage by deploying a minimum force to cover six beats, 24 hours per day, 7 days a week.
2. To respond to Priority 1 assignments with an average response time of 6 minutes.
3. To respond to 58,500 service calls and to handle 21,000 incidents requiring officer-initiated action.
4. To increase traffic safety through a collaborative effort between the Patrol Unit and Traffic Safety Unit by providing additional DUI patrols, DUI checkpoints, and directed traffic safety enforcement.
5. To increase our efforts towards property crime reduction through enforcement and directed patrols, and collaboration with the Special Operations Division and Crime Analysis.

**COMMENTARY**

In FY11-12, program staffing increased by 6.95 FTEs, with reallocations from other departmental programs. So, program costs increased. In FY12-13, program staffing decreased; while program costs showed a moderate increase. While personnel costs were less than budget, fuel charges and vehicle repairs were driving costs.

In FY13-14, program costs are expected to show a modest decrease; due to vacancies, personnel costs are down for the year.

For FY14-15, program staffing will remain at its current level. The program budget provides for current staffing, some cost increments for internal charges and for fuel.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	9,160,194	9,804,905	7.0%	9,752,920	9,537,380	97.8%	-2.7%	10,082,620	3.4%
Contracted Services	84,056	162,175	92.9%	147,860	163,510	110.6%	0.8%	145,860	-1.4%
Commodities	252,264	329,569	30.6%	325,600	294,720	90.5%	-10.6%	345,500	6.1%
Internal Charges	813,643	811,578	-0.3%	843,030	843,000	100.0%	3.9%	915,990	8.7%
Other Payments	3,210	0		0	0			0	
<b>Program Total</b>	<b>10,313,367</b>	<b>11,108,227</b>	<b>7.7%</b>	<b>11,069,410</b>	<b>10,838,610</b>	<b>97.9%</b>	<b>-2.4%</b>	<b>11,489,970</b>	<b>3.8%</b>
Amended Budget	10,416,110	10,987,336			11,120,896				
% of Amended Spent	99.0%	101.1%			97.5%				

**FUNDING SOURCES**

General Fund 101 - Taxes	10,299,173	10,977,703	6.6%	10,909,190	10,711,654	98.2%	-2.4%	11,359,970	4.1%
State POST Reimbursements	14,194	83,260	486.6%	20,000	34,000	170.0%	-59.2%	30,000	50.0%
State Grants	0	47,264		140,220	92,956	66.3%	96.7%	100,000	-28.7%
<b>Program Total</b>	<b>10,313,367</b>	<b>11,108,227</b>	<b>7.7%</b>	<b>11,069,410</b>	<b>10,838,610</b>	<b>97.9%</b>	<b>-2.4%</b>	<b>11,489,970</b>	<b>3.8%</b>

**PROGRAM STAFFING**

*Regular Positions*

Deputy Chief/Police Captain	0.65	0.65		0.65	0.65			0.65	
Police Lieutenant	2.60	2.60		2.60	2.60			2.60	
Police Sergeant	7.00	7.00		7.00	7.00			7.00	
Police Corporals/Officers	46.00	47.00		47.00	47.00			47.00	
Police Asst/Comm Service Officer	4.00	1.00		1.00	1.00			1.00	
Executive Asst I/Admin Asst II	0.65	0.65		0.65	0.65			0.65	
Crime Analyst	0.30	0.30		0.30	0.30			0.30	

*Other Staffing (Full-Time Equivalents)*

Police Officer (Overhires)	0.00	0.00		0.00	0.00			0.00	
Range Master	0.00	0.00		0.11	0.11			0.11	
<b>Total - Full-Time Equivalents</b>	<b>61.20</b>	<b>59.20</b>	<b>-3.3%</b>	<b>59.31</b>	<b>59.31</b>	<b>100.0%</b>	<b>0.2%</b>	<b>59.31</b>	<b>0.0%</b>

Department: **51000 - Police Department**  
 Division: **51200 - Field Operations Division**  
 Program: **51220 - Traffic Enforcement**

Enforce Vehicle Code requirements and restrictions by uniformed vehicle and motorcycle patrol; investigate traffic accidents.

**PERFORMANCE OBJECTIVES**

1. To make 8,000 citizen contacts regarding traffic safety violations during vehicle and pedestrian stops.
2. To provide 50% of work hours to enforcement / patrol time.
3. To participate in four community events including the Annual Safety Fair and the Tracy Bean Festival to include an educational booth on traffic safety.
4. To conduct four Traffic Safety Public service announcements utilizing social media.
5. To conduct 550 hours of traffic safety enforcement in school zones, during peak traffic times.

**COMMENTARY**

This program provides for motorcycle traffic officers and their supervision. In FY11-12, program staffing increased supervisory hours. However, program costs showed a minor decrease, due to fewer hours spent on grant funded activities. In FY11-12, costs were down due to fewer hours.

In FY13-14, program costs will increase. While personnel costs are up, due to grant activities; they are below budget due to the vacancies.

For FY14-15, program staffing will remain at its current level. The program budget provides for current staffing, some cost increments for internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	881,437	717,859	-18.6%	913,800	880,830	96.4%	22.7%	931,550	1.9%
Contracted Services	594	795	33.8%	1,590	1,500	94.3%	88.7%	1,590	0.0%
Commodities	9,638	10,211	5.9%	12,750	14,190	111.3%	39.0%	12,750	0.0%
Internal Charges	46,491	46,270	-0.5%	59,080	59,000	99.9%	27.5%	60,080	1.7%
Other Payments	0	0		0	12,300			0	
<b>Program Total</b>	<b>938,160</b>	<b>775,135</b>	<b>-17.4%</b>	<b>987,220</b>	<b>967,820</b>	<b>98.0%</b>	<b>24.9%</b>	<b>1,005,970</b>	<b>1.9%</b>
Amended Budget	898,790	1,046,825			1,096,069				
% of Amended Spent	104.4%	74.0%			88.3%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	916,812	758,677	-17.2%	980,220	907,820	92.6%	19.7%	997,970	1.8%
State Grant	21,348	16,458	-22.9%	7,000	60,000		264.6%	8,000	
<b>Program Total</b>	<b>938,160</b>	<b>775,135</b>	<b>-17.4%</b>	<b>987,220</b>	<b>967,820</b>	<b>98.0%</b>	<b>24.9%</b>	<b>1,005,970</b>	<b>1.9%</b>

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Deputy Chief/Police Captain	0.15	0.15		0.15	0.15			0.15	
Police Lieutenant	0.60	0.60		0.60	0.60			0.60	
Police Sergeant	0.75	0.75		0.75	0.75			0.75	
Police Corporals/Officers	4.00	4.00		4.00	4.00			4.00	
Admin Asst III-Secretary	0.15	0.15		0.15	0.15			0.15	
Executive Asst I	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Police Officer (Overhires)	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>5.65</b>	<b>5.65</b>	<b>0.0%</b>	<b>5.65</b>	<b>5.65</b>	<b>100.0%</b>	<b>0.0%</b>	<b>5.65</b>	<b>0.0%</b>

Department: **51000 - Police Department**  
 Division: **51200 - Field Operations Division**  
 Program: **51230 - Parking Enforcement**

Enforce regulations pertaining to parked vehicles on public streets and abandoned vehicle abatement on private properties. Contract for the collection of parking penalties.

**PERFORMANCE OBJECTIVES**

1. To conduct at least 150 vehicle abatements from private and public property reducing blight and traffic safety.
2. To attend six Crime Prevention events for educational purposes pertaining to Abandon Vehicle Abatement.
3. To conduct four Abandoned Vehicle Abatement sweeps in cooperation with Code Enforcement and Crime Prevention.
4. To conduct four public service announcements via social media regarding Abandon Vehicle Abatement.

**COMMENTARY**

The program provides for 2 half-time enforcement positions and the contracted collection of parking penalties. Also, a county grant provides funding for abandoned vehicle abatement.  
 In FY11-12, program costs showed a decrease, due to staff vacancies. In FY12-13, program costs increased, although they were less than budget. In FY13-14, program costs show a moderate increase.  
 For FY14-15, program staffing will remain at its current level. The program budget provides for current staffing, some cost increments for internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	92,317	120,038	30.0%	150,700	129,980	86.3%	8.3%	153,930	2.1%
Contracted Services	13,398	10,188	-24.0%	18,040	14,240	78.9%	39.8%	18,040	0.0%
Commodities	3,495	1,976	-43.5%	3,280	3,130	95.4%	58.4%	3,280	0.0%
Internal Charges	13,183	17,225	30.7%	15,180	15,000	98.8%	-12.9%	15,880	4.6%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>122,393</b>	<b>149,427</b>	<b>22.1%</b>	<b>187,200</b>	<b>162,350</b>	<b>86.7%</b>	<b>8.6%</b>	<b>191,130</b>	<b>2.1%</b>
Amended Budget	117,680	180,850			187,200				
% of Amended Spent	104.0%	82.6%			86.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	2,219	51,113	2203.4%	77,200	62,350	80.8%		81,130	5.1%
Parking Assessments	89,085	57,294	-35.7%	70,000	60,000	85.7%	4.7%	70,000	0.0%
County Grant	31,089	41,020	31.9%	40,000	40,000	100.0%	-2.5%	40,000	0.0%
<b>Program Total</b>	<b>122,393</b>	<b>149,427</b>	<b>22.1%</b>	<b>187,200</b>	<b>162,350</b>	<b>86.7%</b>	<b>8.6%</b>	<b>191,130</b>	<b>2.1%</b>

**PROGRAM STAFFING**

<i>Regular Positions</i>									
Deputy Chief/Police Captain	0.05	0.05		0.05	0.05			0.05	
Police Lieutenant	0.20	0.20		0.20	0.20			0.20	
Police Sergeant	0.25	0.25		0.25	0.25			0.25	
Admin Asst III-Secretary	0.05	0.05		0.05	0.05			0.05	
Executive Asst I	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Parking Enforcement Intern	1.00	1.00		1.00	1.00			1.00	
<b>Total - Full-Time Equivalents</b>	<b>1.55</b>	<b>1.55</b>		<b>1.55</b>	<b>1.55</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.55</b>	<b>0.0%</b>

Department: 51000 - Police Department  
 Division: 51200 - Field Operations Division  
 Program: 51240 - Police Reserves

Provide a uniformed force of trained volunteer Reserve Officers to supplement the regular patrol force with deployment for special events, emergency circumstances, and selective preventive patrols.

**PERFORMANCE OBJECTIVES**

1. Provide 500 field hours of reserve officer service to supplement the patrol force.
2. To establish the number of POST certified reserve officers to five positions.
3. Use reserve officers at TUSD school sporting events and functions, as well as for parades, festivals and other special events.
4. To use reserve officers as transport units for prisoner bookings and transfers.

**COMMENTARY**

In FY10-11, the Police Reserves program was discontinued. So, program staffing and budget were deleted.

For FY14-15, the program will be reactivated.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			15,000	
Contracted Services	0	0		0	0			0	
Commodities	0	0		0	0			14,000	
Internal Charges	0	0		0	0			2,000	
Other Payments	0	0		0	0			0	
Program Total	0	0		0	0			31,000	
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	0	0		0	0			31,000	
Fee Revenues	0	0		0	0			0	
Program Total	0	0		0	0			31,000	
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Police Captain	0.00	0.00		0.00	0.00			0.00	
Police Lieutenant	0.00	0.00		0.00	0.00			0.00	
Police Sergeant	0.00	0.00		0.00	0.00			0.00	
Police Corporal	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Police Reserve Officers	0.00	0.00		0.00	0.00			0.35	
Total - Full-Time Equivalents	0.00	0.00		0.00	0.00			0.35	

Department: **51000 - Police Department**  
 Division: **51200 - Field Operations Division**  
 Program: **51260 - Animal Services**

**PERFORMANCE OBJECTIVES**

1. To assist 2,250 animals during the year and provide shelter for 15,750 kennel days during the year.
2. To give a minimum of 8 presentations at local schools to promote animal care and bite prevention.
3. To increase dog license compliance by 5%.
4. To occupy the new Animal Shelter facility with complete transfer of operation, personnel and animal inventory.
5. To hold monthly shelter tours with the assistance of local scout troops.

Provide enforcement of the City's animal control ordinances and laws. Investigate complaints and issue licenses. Provide an animal shelter to handle impounded, stray and relinquished animals.

**COMMENTARY**

In FY11-12, program staffing increased supervisory hours, and program costs showed a moderate increase. In FY12-13, program costs showed a modest increase.

In FY13-14, program costs will show a modest decrease, due to staff vacancies.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges and fuel, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	504,712	523,935	3.8%	543,100	488,840	90.0%	-6.7%	563,700	3.8%
Contracted Services	41,065	39,272	-4.4%	51,040	40,720	79.8%	3.7%	51,040	0.0%
Commodities	19,316	18,271	-5.4%	21,240	18,950	89.2%	3.7%	22,240	4.7%
Internal Charges	54,378	54,737	0.7%	59,730	59,000	98.8%	7.8%	64,530	8.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>619,471</b>	<b>636,215</b>	<b>2.7%</b>	<b>675,110</b>	<b>607,510</b>	<b>90.0%</b>	<b>-4.5%</b>	<b>701,510</b>	<b>3.9%</b>
Amended Budget	619,420	662,520			675,110				
% of Amended Spent	100.0%	96.0%			90.0%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	579,089	594,172	2.6%	632,610	566,510	89.6%	-4.7%	660,510	4.4%
Fee Revenues	40,382	42,043	4.1%	42,500	41,000	96.5%	-2.5%	41,000	-3.5%
<b>Program Total</b>	<b>619,471</b>	<b>636,215</b>	<b>2.7%</b>	<b>675,110</b>	<b>607,510</b>	<b>90.0%</b>	<b>-4.5%</b>	<b>701,510</b>	<b>3.9%</b>

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Deputy Chief/Police Captain	0.05	0.05		0.05	0.05			0.05	
Police Lieutenant	0.20	0.20		0.20	0.20			0.20	
Animal Control Supervisor	1.00	1.00		1.00	1.00			1.00	
Animal Control Officer	2.00	2.00		2.00	2.00			2.00	
Animal Control Assistant	2.00	2.00		2.00	2.00			2.00	
Exec Asst I/Admin Asst III	0.05	0.05		0.05	0.05			0.05	
Animal Control Aide - FT	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Animal Control Aide - PT	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>5.30</b>	<b>5.30</b>	<b>0.0%</b>	<b>5.30</b>	<b>5.30</b>	<b>100.0%</b>	<b>0.0%</b>	<b>5.30</b>	<b>0.0%</b>

Department: 51000 - Police Department  
 Division: 51200 - Field Operations Division  
 Program: 51270 - Youth Services

Conduct follow-up investigations on all cases involving juveniles as suspects or victims; coordinate efforts at juvenile delinquency prevention and truancy reduction.

**PERFORMANCE OBJECTIVES**

1. To provide 5,000 staff hours of field school site specific deployment throughout the year.
2. To participate in at least two "Stay In School" truancy detection programs throughout the year.
3. To participate in at least 90 hours of school related training to include scenario and skills based training.
4. To collaborate with site staff to provide district employee training and emergency planning/training as requested by TUSD.
5. To facilitate overtime police services as requested by the district for extra-curricular activities.

**COMMENTARY**

This program provides for School Resources Officers and their supervision.  
 In FY11-12, program staffing increased supervisory hours; and program costs showed a moderate increase. In FY12-13, program costs showed a moderate decrease, due to staff vacancies.  
 In FY13-14, DARE activities changed from a contract basis to a part-time DARE Officer. Program costs will show a major increase.  
 For FY14-15, program staffing will remain at its current level. The program budget provides for current staffing, some cost increments for internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	568,754	500,359	-12.0%	607,100	582,360	95.9%	16.4%	621,820	2.4%
Contracted Services	2,445	605	-75.3%	3,970	3,580	90.2%	491.7%	2,470	-37.8%
Commodities	3,837	3,927	2.3%	19,800	19,200	97.0%	388.9%	19,800	0.0%
Internal Charges	27,764	28,918	4.2%	31,670	30,600	96.6%	5.8%	35,250	11.3%
Other Payments	45,000	35,582	-20.9%	0	9,420			0	
<b>Program Total</b>	<b>647,800</b>	<b>569,391</b>	<b>-12.1%</b>	<b>662,540</b>	<b>645,160</b>	<b>97.4%</b>	<b>13.3%</b>	<b>679,340</b>	<b>2.5%</b>
Amended Budget	626,180	640,380			671,940				
% of Amended Spent	103.5%	88.9%			96.0%				

**FUNDING SOURCES**

General Fund 101 - Taxes	421,142	362,708	-13.9%	455,840	438,470	96.2%	20.9%	472,650	3.7%
School District Grants	226,658	206,683	-8.8%	206,700	206,690	100.0%	0.0%	206,690	0.0%
County Grants	0	0		0	0			0	
Federal & State Grants	0	0		0	0			0	
<b>Program Total</b>	<b>647,800</b>	<b>569,391</b>	<b>-12.1%</b>	<b>662,540</b>	<b>645,160</b>	<b>97.4%</b>	<b>13.3%</b>	<b>679,340</b>	<b>2.5%</b>

**PROGRAM STAFFING**

<i>Regular Positions</i>									
Police Captain	0.05	0.05		0.05	0.05			0.05	
Police Lieutenant	0.20	0.20		0.20	0.20			0.20	
Police Officer (School Resources)	3.00	3.00		3.00	3.00			3.00	
Admin Asst II	0.05	0.05		0.05	0.05			0.05	
<i>Other Staffing (Full-Time Equivalents)</i>									
DARE Officer	0.00	0.00		0.45	0.45			0.45	
<b>Total - Full-Time Equivalents</b>	<b>3.30</b>	<b>3.30</b>	<b>0.0%</b>	<b>3.75</b>	<b>3.75</b>	<b>100.0%</b>	<b>13.6%</b>	<b>3.75</b>	<b>0.0%</b>

Department: **51000 - Police Department**  
 Division: **51200 - Field Operations Division**  
 Program: **51280 - Crime Prevention**

Coordinate public education involvement efforts to reduce crime and promote community awareness.

**PERFORMANCE OBJECTIVES**

1. To maintain and oversee the following department programs: VIPS, RUOK and Neighborhood Watch.
2. To organize and sponsor annual events - TPD Safety Fair, Volunteer Recognition Event, Citizen's Academy and National Night Out.
3. To increase Neighborhood Watch groups by 5% and the VIPS program by 5%.
4. To implement a Restorative Policing program (NRO UNIT) that was developed in FY 13-14.
5. To develop and implement a receivership program on abandoned and foreclosed homes that are causing blight in the neighborhoods. (NRO)

**COMMENTARY**

In FY10-11 and FY11-12, program costs, while less than budgeted, showed major increases, due to added staffing and increased program effort. In FY12-13, program costs showed a major decrease, due to the reduction of program staffing.

In FY13-14, program costs will show a minimal increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges and commodities, but maintains current funding for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURES</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	613,980	459,474	-25.2%	488,400	468,420	95.9%	1.9%	500,100	2.4%
Contracted Services	2,669	2,575	-3.5%	2,630	2,600	98.9%	1.0%	2,630	0.0%
Commodities	9,734	9,635	-1.0%	10,540	10,500	99.6%	9.0%	10,540	0.0%
Internal Charges	40,423	51,385	27.1%	42,180	42,000	99.6%		44,390	5.2%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>666,806</b>	<b>523,069</b>	<b>-21.6%</b>	<b>543,750</b>	<b>523,520</b>	<b>96.3%</b>	<b>0.1%</b>	<b>557,660</b>	<b>2.6%</b>
Amended Budget	677,560	530,910			543,750				
% of Amended Spent	98.4%	98.5%			96.3%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	666,806	523,069	-21.6%	543,750	523,520	96.3%	0.1%	557,660	2.6%
Federal Grants	0	0		0	0			0	
State Grants	0	0		0	0			0	
<b>Program Total</b>	<b>666,806</b>	<b>523,069</b>	<b>-21.6%</b>	<b>543,750</b>	<b>523,520</b>	<b>96.3%</b>	<b>0.1%</b>	<b>557,660</b>	<b>2.6%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Police Captain	0.05	0.05		0.05	0.05			0.05	
Police Lieutenant	0.20	0.20		0.20	0.20			0.20	
Police Officer	2.00	2.00		2.00	2.00			2.00	
Crime Prevention Specialist	2.00	1.00		1.00	1.00			1.00	
Exec Asst I/Admin Asst III	0.05	0.05		0.05	0.05			0.05	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>4.30</b>	<b>3.30</b>		<b>3.30</b>	<b>3.30</b>			<b>3.30</b>	<b>0.0%</b>

Department: 51000 - Police Department  
 Division: 51200 - Field Operations Division  
 Program: 51290 - School Crossing Guards

Provide adult crossing guards at school crossing sites.  
 Since FY95-96, this service has been provided by a contractor.

**PERFORMANCE OBJECTIVES**

1. To provide Crossing Guard services at 26 posts for 37 weeks during the school year.
2. To ensure 100% of contract provisions are met by contractor.

**COMMENTARY**

Since FY95-96, program activities were contracted out, instead of using City employees. The increase in program costs over the year reflects a normal cost increment and added crossing being covered.

In FY12-13, program costs showed a moderate increase.

In FY13-14, program costs will show a modest increase.

For FY14-15, the program budget provides for 26 posts during the school year.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	192,945	202,728	5.1%	230,000	203,000	88.3%	0.1%	240,000	4.3%
Commodities	0	0		0	0			0	
Internal Charges	0	0		0	0			0	
Other Payments	0	0		0	0			0	
Program Total	192,945	202,728	5.1%	230,000	203,000	88.3%	0.1%	240,000	4.3%
Amended Budget	200,000	230,000			230,000				
% of Amended Spent	96.5%	88.1%			88.3%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	1,807	10,684	491.3%	37,950	10,950	28.9%	2.5%	44,950	18.4%
Traffic Fines Sub-fund 105	145,000	145,000	0.0%	145,000	145,000	100.0%	0.0%	148,000	2.1%
School District Grants	46,138	47,044	2.0%	47,050	47,050	100.0%	0.0%	47,050	0.0%
Program Total	192,945	202,728	5.1%	230,000	203,000	88.3%	0.1%	240,000	4.3%

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Approved
<i>Regular Positions</i>					
Police Captain	0.00	0.00	0.00	0.00	0.00
Police Sergeant	0.00	0.00	0.00	0.00	0.00
<i>Other Staffing (Full-Time Equivalents)</i>					
School Crossing Guards	0.00	0.00	0.00	0.00	0.00
Total - Full-Time Equivalents	0.00	0.00	0.00	0.00	0.00

Department: **51000 - Police Department**  
 Division: **51300 - Special Operations Division**  
 Program: **51310 - General Investigations**

Conduct follow-up investigations on all Part I crimes, felonies, sex & pornography offenses, misdemeanors, and missing persons cases, not completed by patrol officers.

**PERFORMANCE OBJECTIVES**

1. To provide 16,000 work hours for general investigations reviewing 3,300 cases and work 560 cases assigned.
2. To increase the case clearance rate by 5% over the previous year.
3. To continue to partner with Countywide law enforcement agencies for development of information and collaboration on leads.
4. To initiate at least three public awareness campaigns to mitigate and help reduce property crime in Tracy.
5. To streamline investigative work processes through the integration of the new Spillman CAD/RMS system.

**COMMENTARY**

In FY11-12, while program staffing showed an increase in supervisory hours. However, program costs showed a minor decrease. In FY12-13, program costs will show a modest increase.

In FY13-14, program costs will show a major increase. While less than budgeted, cost are up in all categories. Full year staffing is driving up personnel costs.

For FY14-15, program staffing will remain at its current level. The program budget provides for full-year staffing for 8 Detectives, a Sergeant, a Crime Analyst, and supervisory and supporting staff. Also, budget provides cost increments for internal charges and other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,721,435	1,726,201	0.3%	1,925,700	1,861,930	96.7%	7.9%	2,060,800	7.0%
Contracted Services	26,735	23,836	-10.8%	33,620	24,520	72.9%	2.9%	33,620	0.0%
Commodities	15,340	18,674	21.7%	20,910	27,960	133.7%	49.7%	17,710	-15.3%
Internal Charges	98,734	118,223	19.7%	144,810	144,500	99.8%	22.2%	153,820	6.2%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>1,862,244</b>	<b>1,886,934</b>	<b>1.3%</b>	<b>2,125,040</b>	<b>2,058,910</b>	<b>96.9%</b>	<b>9.1%</b>	<b>2,265,950</b>	<b>6.6%</b>
Amended Budget	1,955,460	1,996,700			2,125,040				
% of Amended Spent	95.2%	94.5%			96.9%				

**FUNDING SOURCES**

General Fund 101 - Taxes	1,844,169	1,861,591	0.9%	2,125,040	2,058,910	96.9%	10.6%	2,265,950	6.6%
County Grant	11,641	0	-100.0%	0	0		#DIV/0!	0	
Federal & State Grants	6,434	25,343		0	0			0	
<b>Program Total</b>	<b>1,862,244</b>	<b>1,886,934</b>	<b>1.3%</b>	<b>2,125,040</b>	<b>2,058,910</b>	<b>96.9%</b>	<b>9.1%</b>	<b>2,265,950</b>	<b>6.6%</b>

**PROGRAM STAFFING**

*Regular Positions*

Police Captain	0.50	0.50		0.50	0.50			0.50	
Police Lieutenant	0.50	0.50		0.50	0.50			0.50	
Police Sergeant	1.00	1.00		1.00	1.00			1.00	
Police Officer (Detectives)	8.00	8.00		8.00	8.00			8.00	
Admin Asst II	0.50	0.50		0.50	0.50			0.50	
Crime Analyst	0.40	0.40		0.40	0.40			0.40	

*Other Staffing (Full-Time Equivalents)*

<b>Total - Full-Time Equivalents</b>	<b>10.90</b>	<b>10.90</b>	<b>0.0%</b>	<b>10.90</b>	<b>10.90</b>	<b>100.0%</b>	<b>0.0%</b>	<b>10.90</b>	<b>0.0%</b>
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Department: **51000 - Police Department**  
 Division: **51300 - Special Operations Division**  
 Program: **51330 - Special Investigations**

Prevention of gambling, prostitution, illegal sale and use of narcotics and alcoholic beverages. Investigation of human trafficking and commercialized vice. Departmental SWAT expenses.

**PERFORMANCE OBJECTIVES**

1. To conduct at least 6 internal and external presentations and training sessions on the topics of narcotics, vice and human trafficking.
2. To participate in the investigation of at least 12 multi-agency cases to promote and encourage interagency cooperation and information sharing.
3. Conduct at least 20 joint operations involving other Tracy PD units to encourage internal exchange of information and facilitate inter-unit planning.
4. Conduct at least 30 interdiction operations with regional entities in order to disrupt narcotics trafficking in our area.

**COMMENTARY**

In FY11-12, program staffing decreased by 4 Police Officers, but added supervisory hours. So, program costs showed a decrease. In FY12-13, program costs showed a major decrease, due to staff vacancies.

In FY13-14, program costs will show a moderate increase. Full year staffing is driving personnel costs up.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges and fuel, but maintains current funding with other cost items. But also, the budget provides new asset forfeiture funding.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,421,132	978,118	-31.2%	1,071,000	1,057,500	98.7%	8.1%	1,108,900	3.5%
Contracted Services	36,495	30,625	-16.1%	44,730	37,380	83.6%	22.1%	61,300	37.0%
Commodities	21,350	17,462	-18.2%	16,660	14,820	89.0%	-15.1%	19,590	17.6%
Internal Charges	97,707	83,866	-14.2%	90,540	90,300	99.7%	7.7%	96,320	6.4%
Other Payments	2,500	5,000	100.0%	7,500	5,000	66.7%	0.0%	7,500	0.0%
<b>Program Total</b>	<b>1,579,184</b>	<b>1,115,071</b>	<b>-29.4%</b>	<b>1,230,430</b>	<b>1,205,000</b>	<b>97.9%</b>	<b>8.1%</b>	<b>1,293,610</b>	<b>5.1%</b>
Amended Budget	1,891,480	1,137,420			1,233,230				
% of Amended Spent	83.5%	98.0%			97.7%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	1,574,310	1,099,603	-30.2%	1,230,430	1,205,000	97.9%	9.6%	1,276,710	3.8%
County Grant	0	0		0	0			0	
Federal & State Grants	0	8,460		0	0			0	
Asset Seizure Fund 231	4,874	7,008	43.8%	0	0		-100.0%	16,900	
<b>Program Total</b>	<b>1,579,184</b>	<b>1,115,071</b>	<b>-29.4%</b>	<b>1,230,430</b>	<b>1,205,000</b>	<b>97.9%</b>	<b>8.1%</b>	<b>1,293,610</b>	<b>5.1%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Police Captain	0.25	0.25		0.25	0.25			0.25	
Police Lieutenant	0.25	0.25		0.25	0.25			0.25	
Police Sergeant	1.00	1.00		1.00	1.00			1.00	
Police Officer	4.00	4.00		4.00	4.00			4.00	
Admin Asst II	0.25	0.25		0.25	0.25			0.25	
Crime Analyst	0.30	0.30		0.30	0.30			0.30	
<b>Total - Full-Time Equivalents</b>	<b>6.05</b>	<b>6.05</b>	<b>0.0%</b>	<b>6.05</b>	<b>6.05</b>	<b>100.0%</b>	<b>0.0%</b>	<b>6.05</b>	<b>0.0%</b>

Department: 51000 - Police Department  
 Division: 51300 - Special Operations Division  
 Program: 51340 - Forensic Services

Process and store departmental property, supplies, and evidence.  
 Provide crime scene assistance in evidence collection and photography services.

**PERFORMANCE OBJECTIVES**

1. To work 120 crime scene investigations during the year and process 12,000 pieces of evidence.
2. To solve 20% more crimes through DNA and fingerprint evidence.
3. To purge and dispose of 20% more firearms, drugs and drug contraband evidence.

**COMMENTARY**

In FY11-12, the CSO position was transferred to another program, but the program added supervisory hours. Program costs showed a decrease. In FY12-13, program costs showed moderate decrease, due to staff vacancies.

In FY13-14, program costs will show a moderate increase. Full year staffing is driving personnel costs up, although they are below budget, still due to vacancies.

For FY14-15, no staffing changes are anticipated. The program budget provides for full-year staffing for 4 technicians and a supervisor. Also, the budget provides for some increases in internal charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	654,244	594,881	-9.1%	722,500	607,650	84.1%	2.1%	745,060	3.1%
Contracted Services	38,987	41,747	7.1%	70,430	47,100	66.9%	12.8%	69,330	-1.6%
Commodities	7,839	8,530	8.8%	9,250	8,960	96.9%	5.0%	9,250	0.0%
Internal Charges	47,331	54,154	14.4%	65,320	65,000	99.5%	20.0%	70,740	8.3%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>748,401</b>	<b>699,312</b>	<b>-6.6%</b>	<b>867,500</b>	<b>728,710</b>	<b>84.0%</b>	<b>4.2%</b>	<b>894,380</b>	<b>3.1%</b>
Amended Budget	786,648	814,320			867,500				
% of Amended Spent	95.1%	85.9%			84.0%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	748,401	699,312	-6.6%	867,500	728,710	84.0%	4.2%	894,380	3.1%
Federal & State Grants	0	0		0	0			0	
<b>Program Total</b>	<b>748,401</b>	<b>699,312</b>	<b>-6.6%</b>	<b>867,500</b>	<b>728,710</b>	<b>84.0%</b>	<b>4.2%</b>	<b>894,380</b>	<b>3.1%</b>

<b>PROGRAM STAFFING</b>	FY11-12	FY12-13	% Cost Change	FY13-14	FY13-14	% of Budget	% Cost Change	FY14-15	% Budget Change
<i>Regular Positions</i>									
Police Captain	0.25	0.25		0.25	0.25			0.25	
Police Lieutenant	0.25	0.25		0.25	0.25			0.25	
Crime Scene Tech Supervisor	1.00	1.00		1.00	1.00			1.00	
Crime Scene Technician	4.00	4.00		4.00	4.00			4.00	
Admin Asst II	0.25	0.25		0.25	0.25			0.25	
Community Service Officer	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalent)</i>									
<b>Total - Full-Time Equivalents</b>	<b>5.75</b>	<b>5.75</b>	<b>0.0%</b>	<b>5.75</b>	<b>5.75</b>	<b>100.0%</b>	<b>0.0%</b>	<b>5.75</b>	<b>0.0%</b>

Department: 51000 - Police Department  
 Division: 51300 - Support Operations Division  
 Program: 51410 - Police Records

**PERFORMANCE OBJECTIVES**

1. To provide 14,000 staff hours of records support for the department.
2. To assist and train officers and staff in the use of the new CAD/RMS system.
3. To purge documents per City approved retention schedule, thus saving labor costs and storage space.
4. To support patrol and investigations with statistical information and management of reports.
5. To update data, standards and work flow for new CAD/RMS system.

Process and maintain police records and reports. Provide information services for the public and other agencies and clerical assistance for other units of the Department.

**COMMENTARY**

In FY11-12, program staffing increased supervisory hours. Internal charges increased due to added support for the CAD/RMS systems. In FY12-13, program staffing deleted a Sergeant. The program costs showed a major decrease; both personnel and contracted costs were down.

In FY13-14, program costs will show a major increase. Costs were up in all categories.

For FY14-15, no staffing changes are anticipated. The program budget for full-year staffing for 7 Records Assistants, a Records Supervisor, and supervisory/support staff. Also, the budget provides for increases in internal charges and data & computer systems charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	920,379	735,882	-20.0%	889,600	784,910	88.2%	6.7%	919,500	3.4%
Contracted Services	76,035	74,509	-2.0%	74,380	79,110	106.4%	6.2%	98,380	32.3%
Commodities	4,240	6,242	47.2%	7,100	6,870	96.8%	10.1%	7,100	0.0%
Internal Charges	141,104	146,270	3.7%	188,270	188,000	99.9%	28.5%	213,620	13.5%
Other Payments	2,178	0		0	0			0	
<b>Program Total</b>	<b>1,143,936</b>	<b>962,903</b>	<b>-15.8%</b>	<b>1,159,350</b>	<b>1,058,890</b>	<b>91.3%</b>	<b>10.0%</b>	<b>1,238,600</b>	<b>6.8%</b>
Amended Budget	1,151,223	1,151,760			1,159,350				
% of Amended Spent	99.4%	83.6%			91.3%				

**FUNDING SOURCES**

General Fund 101 - Taxes	979,722	839,971	-14.3%	1,009,350	928,890	92.0%	10.6%	1,108,600	9.8%
Fee Revenues	126,651	122,932	-2.9%	150,000	130,000	86.7%	5.7%	130,000	-13.3%
Federal & State Grants	37,563	0		0	0			0	
<b>Program Total</b>	<b>1,143,936</b>	<b>962,903</b>	<b>-15.8%</b>	<b>1,159,350</b>	<b>1,058,890</b>	<b>91.3%</b>	<b>10.0%</b>	<b>1,238,600</b>	<b>6.8%</b>

**PROGRAM STAFFING**

<i>Regular Positions</i>									
Support Ops Manager	0.40	0.40		0.40	0.40			0.40	
Police Lieutenant	0.00	0.00		0.00	0.00			0.00	
Police Sergeant	1.00	0.00		0.00	0.00			0.00	
Records Supervisor	1.00	1.00		1.00	1.00			1.00	
Police Records Assts	7.00	7.00		7.00	7.00			7.00	
Admin Asst II-Secretary	0.00	0.00		0.00	0.00			0.00	
Executive Asst I	0.40	0.40		0.40	0.40			0.40	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>9.80</b>	<b>8.80</b>	<b>-10.2%</b>	<b>8.80</b>	<b>8.80</b>	<b>100.0%</b>	<b>0.0%</b>	<b>8.80</b>	<b>0.0%</b>

Department: **51000 - Police Department**  
 Division: **51300 - Support Operations Division**  
 Program: **51460 - Communications**

Provides public safety communications by processing service requests, dispatch service responses, and providing information to field units. Maintains linkage with County's Criminal Justice Information System.

**PERFORMANCE OBJECTIVES**

1. To operate the public safety communication center 24 hours per day, 365 days in the year, at a costs of \$289.58 per hour or less.
2. To answer 121,538 Emergency and Non-emergency calls in the Communications Center and to dispatch 30,873 calls for service.
3. To handle and process 19,325 officer initiated service calls.
4. To continue to expand dispatch technology, training and accountability for the purposes of improved efficiency in officer safety.
5. To improve overall customer service and community education.

**COMMENTARY**

In FY10-11, program staffing has increased supervisory hours and added a Comm Operator. Contracted costs and internal charges increased due to support for the CAD/RMS systems. Also, Comm Operators were retitled Public Safety Dispatchers.

In both FY12-13 and FY13-14, program staffing added new positions, while program costs showed modest increases.

For FY14-15, no staffing changes are anticipated. The program budget provides for full-year staffing for 14 full-time Dispatchers, a Supervisor, and supervisory/support staff. The program budget provides for increases in internal charges and data & computer systems charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,697,868	1,780,670	4.9%	1,958,080	1,781,300	91.0%	0.0%	2,053,700	4.9%
Contracted Services	166,465	182,825	9.8%	206,830	186,880	90.4%	2.2%	236,830	14.5%
Commodities	3,670	4,002	9.0%	3,980	3,950	99.2%	-1.3%	3,980	0.0%
Internal Charges	214,044	210,270	-1.8%	253,140	250,000	98.8%	18.9%	280,630	10.9%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>2,082,047</b>	<b>2,177,767</b>	<b>4.6%</b>	<b>2,422,030</b>	<b>2,222,130</b>	<b>91.7%</b>	<b>2.0%</b>	<b>2,575,140</b>	<b>6.3%</b>
Amended Budget	2,032,356	2,300,190			2,422,030				
% of Amended Spent	102.4%	94.7%			91.7%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	2,044,484	2,177,767	6.5%	2,421,030	2,221,130	91.7%	2.0%	2,574,140	6.3%
State 911 Reimbursement	0	0		1,000	1,000	100.0%		1,000	0.0%
Fee Revenues	0	0		0	0			0	
Federal & State Grants	37,563	0		0	0			0	
<b>Program Total</b>	<b>2,082,047</b>	<b>2,177,767</b>	<b>4.6%</b>	<b>2,422,030</b>	<b>2,222,130</b>	<b>91.7%</b>	<b>2.0%</b>	<b>2,575,140</b>	<b>6.3%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Support Ops Manager	0.40	0.40		0.40	0.40			0.40	
Police Lieutenant	0.00	0.00		0.00	0.00			0.00	
Police Sergeant	0.00	0.00		0.00	0.00			0.00	
Admin Asst II-Secretary	0.00	0.00		0.00	0.00			0.00	
Lead Dispatcher/Supervisor	1.00	1.00		6.00	6.00			6.00	
Public Safety Dispatcher II	12.00	13.00		9.00	9.00			9.00	
Executive Asst I	0.40	0.40		0.40	0.40			0.40	
<i>Other Staffing (Full-Time Equivalents)</i>									
Public Safety Dispatcher I	0.34	0.35		0.35	0.35			0.35	
<b>Total - Full-Time Equivalents</b>	<b>14.14</b>	<b>15.15</b>	<b>7.1%</b>	<b>16.15</b>	<b>16.15</b>	<b>100.0%</b>	<b>6.6%</b>	<b>16.15</b>	<b>0.0%</b>

# FIRE DEPARTMENT

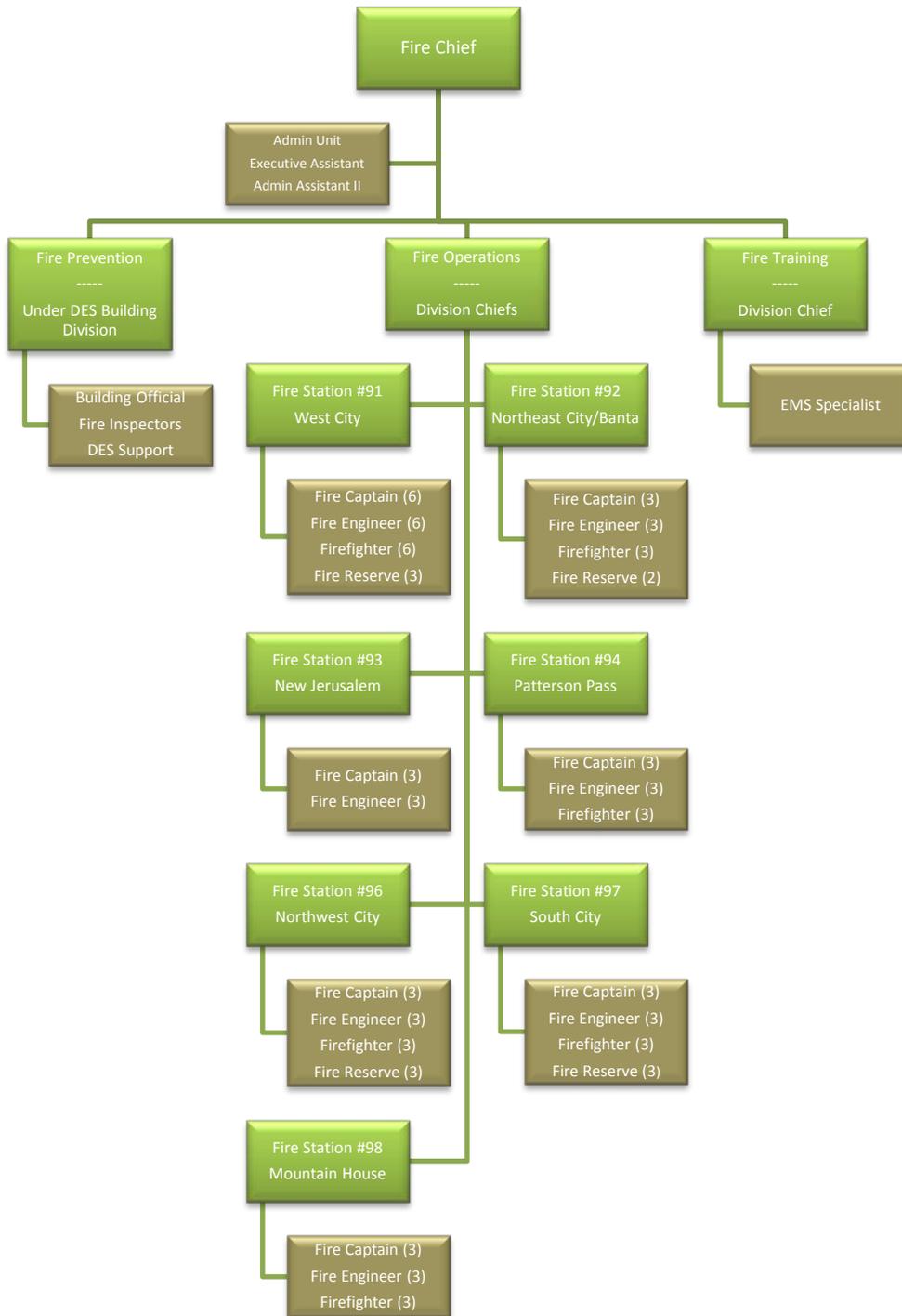
## Mission Statement

Committed to Providing a Diversity of Services  
for a Better Quality of Life

## **Department Head**

Alford Nero, Fire Chief

# City of Tracy FIRE DEPARTMENT Fiscal Year 14-15



Department: **52000 - Fire Department**

The Fire Department provides fire prevention, fire suppression and rescue services within the City limits.

Since 1999, the Department also provides services for the Tracy Rural Fire District (TRFD) and, since 2006, the Mountain House Community Services District (MHCSD). The total service area is 167 square miles, 26.3 in the City, and the populations served are about 84,500 in the City and 26,200 outside.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 5.6% over the current year adopted budget, and this represents a 7.7% increase over FY12-13 amended budget.

The base component of the budget represents a 4.3% increase over the current year adopted budget, while budget augmentations will show a 1.3% increase over the base budget.

In FY13-14, departmental staffing added 2 full-time regular positions. In FY14-15, departmental staffing will add 1 full-time regular position.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
52110 - Fire Administration	535,470	568,830	6.2%	557,920	579,840	103.9%	1.9%	607,060	8.8%
52150 - Fire Prevention & Education	346,181	355,538	2.7%	420,620	310,730	73.9%	-12.6%	429,840	2.2%
52210 - Fire Operations	14,133,024	14,174,379	0.3%	14,345,160	14,307,500	99.7%	0.9%	15,050,420	4.9%
52250 - Fire Training & Safety	215,540	226,575	5.1%	258,500	285,250	110.3%	25.9%	369,650	43.0%
Department Total	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%
Amended Budget	15,294,710	15,285,700	-0.1%		15,618,964		2.2%	over 2 years	7.7%
% of Amended Spent	99.58%	100.26%			99.13%				
						Base Budget >>		16,249,730	4.3%
						Augmentations >>		207,240	1.3%

Department: 52000 - Fire Department (Continued)

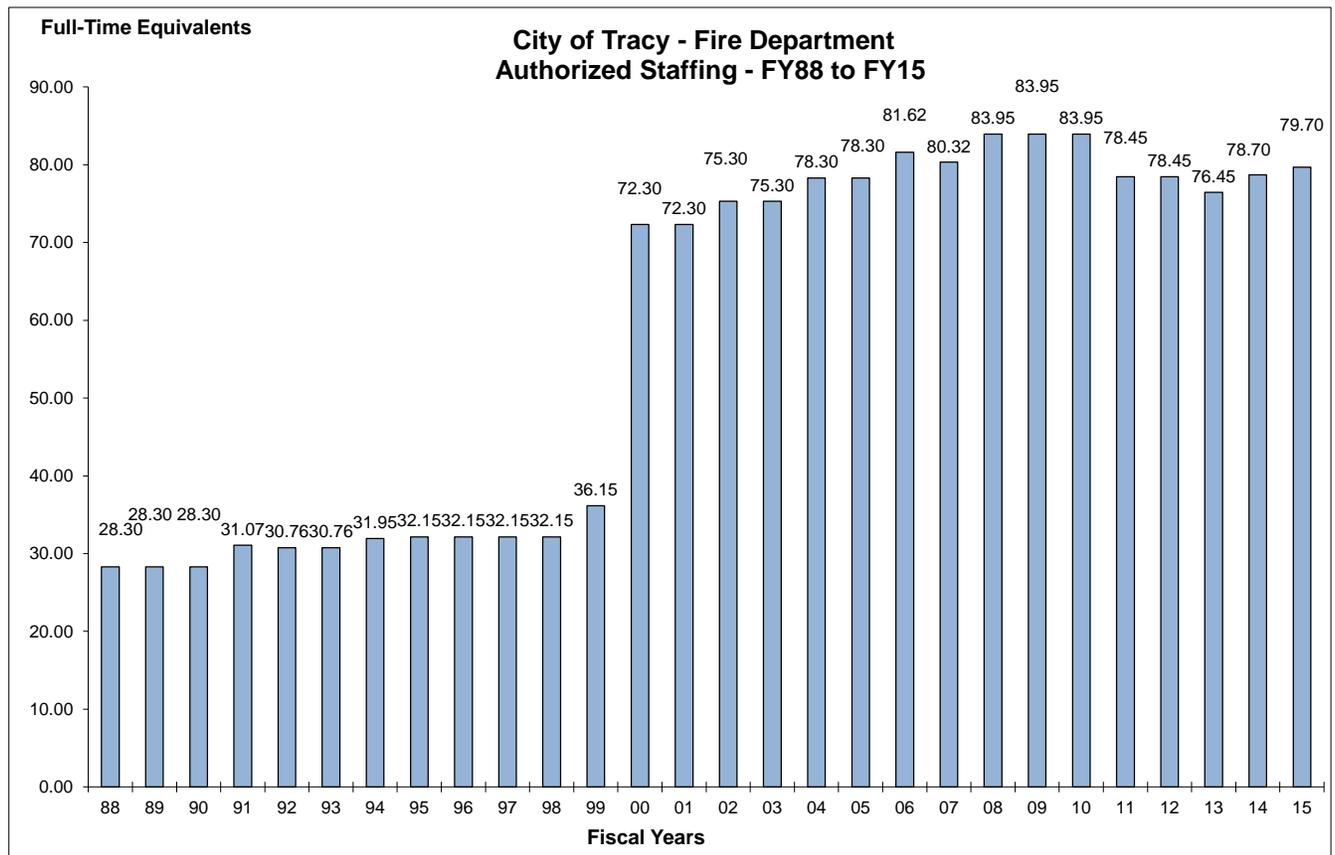
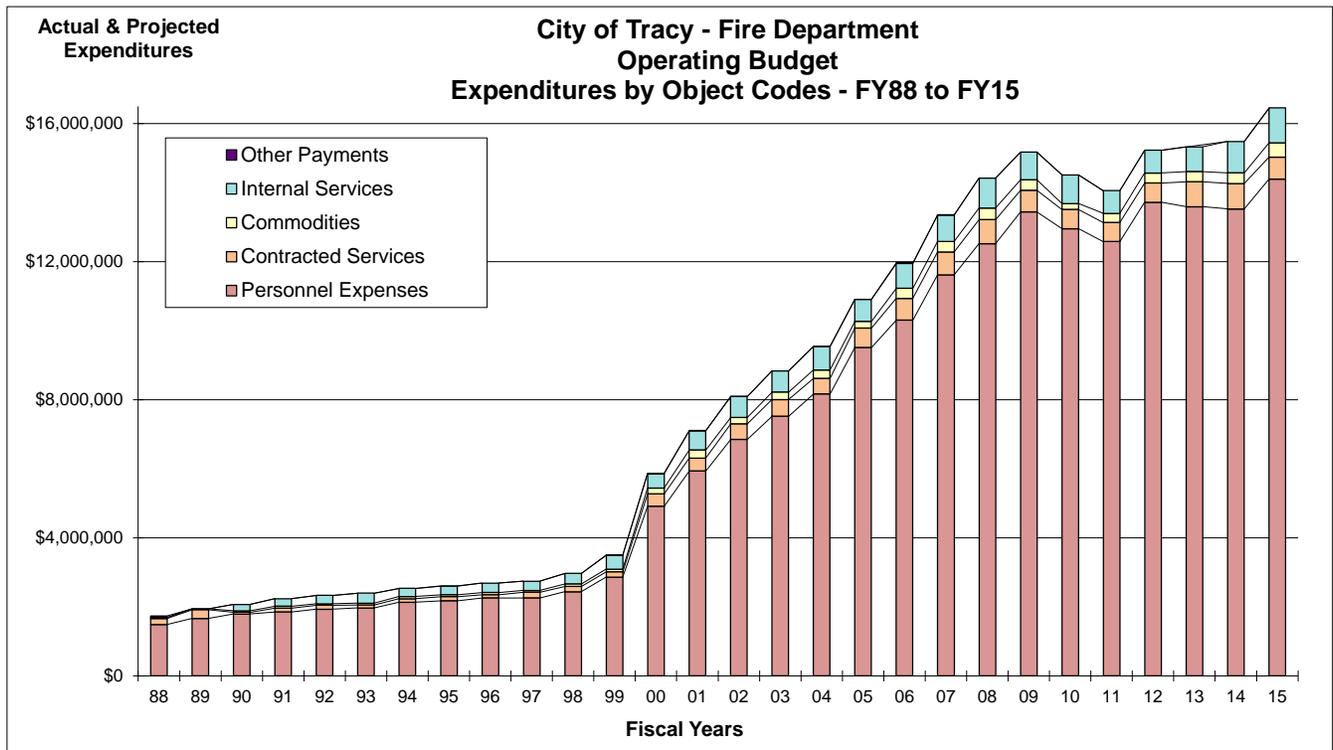
DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	13,724,233	13,592,085	-1.0%	13,722,770	13,530,640	98.6%	-0.5%	14,394,140	4.9%
Contracted Services	560,055	726,974	29.8%	638,360	736,670	115.4%	1.3%	638,960	0.1%
Commodities	289,982	294,047	1.4%	319,020	309,380	97.0%	5.2%	412,790	29.4%
Internal Charges	655,945	712,216	8.6%	902,050	906,630	100.5%	27.3%	1,011,080	12.1%
Other Payments	0	0		0	0			0	
Department Total	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101 - Taxes	8,736,518	8,751,942	0.2%	9,052,090	8,936,320	98.7%	2.1%	9,897,450	9.3%
Grant & Fee Revenues	0	0		0	0			0	
South County Fire Authority - Fund 211									
Fee Revenues	174,139	216,620	24.4%	175,500	197,800	112.7%	-8.7%	211,950	20.8%
Grant Revenues	0	102,391		206,000	215,000	104.4%	110.0%	212,000	2.9%
Mt House CSD	2,293,384	2,309,230	0.7%	2,313,290	2,307,870	99.8%	-0.1%	2,362,990	2.1%
Tracy Rural Fire District	4,026,174	3,945,139	-2.0%	3,835,320	3,826,330	99.8%	-3.0%	3,772,580	-1.6%
Department Total	15,230,215	15,325,322	0.6%	15,582,200	15,483,320	99.4%	1.0%	16,456,970	5.6%

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Fire Chief	1.00	1.00		1.00	1.00			1.00	
Executive Asst/Sr Secretary	1.00	1.00		1.00	1.00			1.00	
Fire Batt Chief	0.00	0.00		0.00	0.00			0.00	
Fire Captain	24.00	24.00		24.00	24.00			24.00	
Fire Engineer	24.00	24.00		24.00	24.00			24.00	
Firefighter	21.00	19.00		21.00	21.00			21.00	
Fire Inspector	2.00	2.00		2.00	2.00			2.00	
Admin Asst/Sr Admin Clerk	1.00	1.00		1.00	1.00			1.00	
Fire Division Chief	3.00	3.00		3.00	3.00			3.00	
EMS Specialist	0.00	0.00		0.00	0.00			1.00	
Allocation from Dev Services	0.30	0.30		0.30	0.30			0.30	
<i>Other Staffing (Full-Time Equivalents)</i>									
Firefighter Reserves	1.15	1.15		1.40	1.40			1.40	
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Fire Overhires	0.00	0.00		0.00	0.00			0.00	
Fire Inspector	0.00	0.00		0.00	0.00			0.00	
Total - Full Time Equivalents	78.45	76.45	-2.5%	78.70	78.70	100.0%	2.9%	79.70	1.3%



## Budget Narrative - Fire Department

### Historical Milestones

- Consolidation agreement with Tracy Rural Fire District (TRFD) approved and implemented on September 16, 1999.
- With consolidation, the department becomes the primary operating agency under the South County Fire Authority which serves both the City and the surrounding area, approximately 215 square miles.
- With consolidation, department staffing increased by 29 full-time regular positions.

### Recent Budget Changes

#### *FY 10-11*

- Reduction in base budget by \$1,712,140 or 10.5% from FY09-10 Adopted Budget.
- Departmental staffing reduced by 3 full-time regular positions and .80 FTEs in other staffing.

#### *FY 11-12*

- Increase in base budget by \$582,040 or 4.0% over FY10-11 Adopted Budget, entirely in personnel expenses.
- Only \$31,880 in budget augmentations for other costs.
- No change in departmental staffing.
- Overtime budget \$1,281,000 for Fire Operations Program.

#### *FY 12-13*

- Increase in base budget of \$186,570 or 1.2% over FY11-12 Adopted Budget. This is in personnel expenses.
- Departmental staffing will delete 2 Firefighters. This reduction is due to a TRFD reduction in station manning due to their inability to provide funding.

#### *FY 12-13 Continued*

- Budget augmentations of \$129,520.
- New equipment for \$14,000 and \$146,680 for equipment replacements.
- Consolidated operating budget split changed to: 5.9% City, 27.5% TRFD, and 16.6% MHCSD.

#### *FY 13-14*

- Increase in base budget of \$486,560 or 0.9%. Major increase in internal service charges for equipment replacement.
- Budget augmentations of \$182,170.
- Departmental staffing added 2 Firefighters in the latter part of the year. Also, Fire Reserves hours were increased.
- Equipment replacements of \$636,950.
- During the year, the department initiated the lease purchase of two fire engines for \$948,750.
- Late in the year, the department will move into two new fire stations, #92 and #96, replacing older fire stations.

### Proposed Budget Changes in FY 14-15

- Base budget increase of \$667,530 or 4.3% over the adopted FY13-14 budget. Increase in personnel expenses of \$553,670.
- Budget augmentations of \$207,240.
- Departmental staffing will add an EMS Specialist.
- Equipment replacement of \$131,730, including \$48,000 for a Records Management System.

Major Non Personnel Expense	FY 12-13	%Change	FY 13-14	%Change	FY 14-15
Vehicle Maintenance	\$207,000	0.00%	\$207,000	0.00%	\$207,000
Dispatch Service	113,550	0.00%	113,550	0.00%	113,550
Plan Checking	16,070	0.00%	16,070	0.00%	16,070
Weed Abatement	12,100	0.00%	12,100	0.00%	12,100
Utilities	103,140	0.00%	103,140	0.00%	103,140
Equipment Acquisition	\$14,000		\$0		\$73,000
Equipment Replacement	\$146,680		\$636,950		\$131,730

52000 - Fire Department

Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	13,050,500	582,430	4.5%	13,632,930	0	0.0%	13,632,930	4.5%	13,724,233	100.7%
Contracted Services	609,030	0	0.0%	609,030	6,330	1.0%	615,360	1.0%	560,055	91.0%
Commodities	284,370	0	0.0%	284,370	25,550	9.0%	309,920	9.0%	289,982	93.6%
Internal Charges	669,500	0	0.0%	669,500	0	0.0%	669,500	0.0%	655,945	98.0%
Other Payments	0	0		0	0		0		0	
<b>Department Total</b>	<b>14,613,400</b>	<b>582,430</b>	<b>4.0%</b>	<b>15,195,830</b>	<b>31,880</b>	<b>0.2%</b>	<b>15,227,710</b>	<b>4.2%</b>	<b>15,230,215</b>	<b>100.0%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Personnel Expenses	13,632,930	214,570	1.6%	13,847,500	-259,500	-1.9%	13,588,000	-0.3%	13,592,085	100.0%
Contracted Services	615,360	-28,000	-4.6%	587,360	45,000	7.7%	632,360	2.8%	726,974	115.0%
Commodities	309,920	0	0.0%	309,920	-3,500	-1.1%	306,420	-1.1%	294,047	96.0%
Internal Charges	669,500	0	0.0%	669,500	32,920	4.9%	702,420	4.9%	712,216	101.4%
Other Payments	0	0		0	0		0		0	
<b>Department Total</b>	<b>15,227,710</b>	<b>186,570</b>	<b>1.2%</b>	<b>15,414,280</b>	<b>-185,080</b>	<b>-1.2%</b>	<b>15,229,200</b>	<b>0.0%</b>	<b>15,325,322</b>	<b>100.6%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Personnel Expenses	13,588,000	-28,800	-0.2%	13,559,200	163,570	1.2%	13,722,770	1.0%	13,530,640	98.6%
Contracted Services	632,360	0	0.0%	632,360	6,000	0.9%	638,360	0.9%	736,670	115.4%
Commodities	306,420	0	0.0%	306,420	12,600	4.1%	319,020	4.1%	309,380	97.0%
Internal Charges	702,420	199,630	28.4%	902,050	0	0.0%	902,050	28.4%	906,630	100.5%
Other Payments	0	0		0	0		0		0	
<b>Department Total</b>	<b>15,229,200</b>	<b>170,830</b>	<b>1.1%</b>	<b>15,400,030</b>	<b>182,170</b>	<b>1.2%</b>	<b>15,582,200</b>	<b>2.3%</b>	<b>15,483,320</b>	<b>99.4%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY14-15 \$ Budget</b>	<b>% Change</b>		
Personnel Expenses	13,722,770	553,670	4.0%	14,276,440	117,700	0.8%	14,394,140	4.9%		
Contracted Services	638,360	-2,400	-0.4%	635,960	3,000	0.5%	638,960	0.1%		
Commodities	319,020	35,000	11.0%	354,020	58,770	16.6%	412,790	29.4%		
Internal Charges	902,050	81,260	9.0%	983,310	27,770	2.8%	1,011,080	12.1%		
Other Payments	0	0		0	0		0			
<b>Department Total</b>	<b>15,582,200</b>	<b>667,530</b>	<b>4.3%</b>	<b>16,249,730</b>	<b>207,240</b>	<b>1.3%</b>	<b>16,456,970</b>	<b>5.6%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 52000 - Fire Department

Department Budget By Program	FY11-12	FY12-13	%	FY13-14	%	FY14-15	%	FY14-15	% over
	\$ Actual	\$ Actual	Change	\$ Adopted	Change	\$ Base Budget	Change	\$ Bud Augment	Base
52110 - Fire Administration	535,470	568,830	6.2%	557,920	-1.9%	604,580	8.4%	2,480	0.4%
52150 - Fire Prevention & Educ	346,181	355,538	2.7%	420,620	18.3%	419,600	-0.2%	10,240	2.4%
52210 - Fire Operations	14,133,024	14,174,379	0.3%	14,345,160	1.2%	14,963,390	4.3%	87,030	0.6%
52250 - Fire Training & Safety	215,540	226,575	5.1%	258,500	14.1%	262,160	1.4%	107,490	41.0%
Department Total	15,230,215	15,325,322	0.6%	15,582,200	1.7%	16,249,730	4.3%	207,240	1.3%

## Department Budget by Object

Personnel Expenses	13,724,233	13,592,085	-1.0%	13,722,770	1.0%	14,276,440	4.0%	117,700	0.8%
Contracted Services	560,055	726,974	29.8%	638,360	-12.2%	635,960	-0.4%	3,000	0.5%
Commodities	289,982	294,047	1.4%	319,020	8.5%	354,020	11.0%	58,770	16.6%
Internal Charges	655,945	712,216	8.6%	902,050	26.7%	983,310	9.0%	27,770	2.8%
Other Payments	0	0		0		0		0	
Department Total	15,230,215	15,325,322	0.6%	15,582,200	1.7%	16,249,730	4.3%	207,240	1.3%

## Department Budget by Funding Source

General Fund 101 - Taxes	8,736,518	8,751,942	0.2%	9,052,090	3.4%	9,765,850	7.9%	131,600	1.3%
Grant & Fee Revenues	0	0		0		0		0	
South County Fire Authority - Fund 211									
Fee Revenues	174,139	216,620	24.4%	175,500	-19.0%	175,500	0.0%	36,450	20.8%
Grant Revenues	0	102,391		206,000	101.2%	206,000	0.0%	6,000	2.9%
Mt House CSD	2,293,384	2,309,230	0.7%	2,313,290	0.2%	2,292,210	-0.9%	70,780	3.1%
Tracy Rural Fire District	4,026,174	3,945,139	-2.0%	3,835,320	-2.8%	3,810,170	-0.7%	-37,590	-1.0%
Department Total	15,230,215	15,325,322	0.6%	15,582,200	1.7%	16,249,730	4.3%	207,240	1.3%

## Department Staffing

Total - Full Time Equivalent	78.45	76.45	-2.5%	78.70	2.9%	78.70	0.0%	1.00	1.3%
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## Department Equipment Purchase

Replacement Equipment	137,702	99,318	-27.9%	636,950	541.3%	40,000	-93.7%	91,730	229.3%
New Equipment	120,003	16,128	-86.6%	0		0		73,000	

## CORE MEASURES and Supporting Data for FIRE Department

from ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
City Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
City Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
Outside City Resident Population	25,369	25,369	25,491	0.48%	26,341	25,614	0.48%	25,737	0.48%
Outside Area Served (Square Mil)	144.0	144.0	140.7	-2.29%	144.0	140.7	0.00%	140.7	0.00%
Sworn and Civilian FTEs	78.45	78.45	76.45	-2.55%	78.45	78.70	2.94%	79.70	1.27%
per 1,000 Population	0.72	0.72	0.70	-3.46%	0.71	0.71	2.20%	0.71	0.03%
Fire Stations / 1st Line Units	7 / 8	7 / 8	7 / 8		7 / 8	7 / 8	0.00%	7 / 8	0.00%
Departmental Costs (\$1,000)	\$14,058	\$15,230	\$15,325	0.62%	\$15,582	\$15,483	1.03%	\$16,456	6.28%
per Capita	\$129.43	\$139.81	\$139.37	-0.32%	\$140.58	\$139.79	0.30%	\$146.75	4.98%
<b>Incidents</b>									
Structural Fire	35	61	58	-4.92%	61	66	13.79%	66	0.00%
Non-Structural Fire	445	359	366	1.95%	356	417	13.93%	417	0.00%
Emergency Medical	3,034	3,196	3,190	-0.19%	3,428	3,075	-3.61%	3,075	0.00%
Others	2,212	2,330	2,709	16.27%	2,480	2,740	1.14%	2,740	0.00%
Fire Incidents / 1,000 Population	4.42	3.86	3.86	0.01%	3.76	4.36	13.09%	4.31	-1.23%
EMS Incidents / 1,000 Population	27.93	29.34	29.01	-1.12%	30.93	27.76	-4.30%	27.42	-1.23%
Other Incidents / 1,000 Population	20.37	21.39	24.64	15.18%	22.37	24.74	0.41%	24.43	-1.23%
Fire Incidents per Week	1.35	2.35	2.23	-4.92%	2.35	2.54	13.79%	2.54	0.00%
EMS Incidents per Day	8.31	8.76	8.74	-0.19%	9.39	8.42	-3.61%	8.42	0.00%
Other Incidents per Day	6.06	6.38	7.42	16.27%	6.79	7.51	1.14%	7.51	0.00%
% of Calls within a 5 Minute Response	74.0%	70.0%	70.0%	0.00%	76.0%	76.0%	8.57%	76.0%	0.00%
Average EMS Response (Seconds)	235	240	260	8.33%	240	268	3.08%	268	0.00%
<b>Fire Prevention</b>									
Field Activities	3,498	3,492	8,202	99.56%	1,501	8,983	9.52%	9,453	5.23%
Field Activities per 1,000 population	32.21	32.06	74.59	86.49%	13.54	81.10	8.73%	84.30	3.94%
<b>Citizen Survey Ratings</b>									
<b>Fire Services</b>									
Quality: Good or Better					92.0%			92.0%	
Quality: Fair					6.5%			6.5%	
Timeliness: Good or Better					91.0%			91.0%	
Timeliness: Fair					6.1%			6.1%	
<b>EMS Services</b>									
Quality: Good or Better					96.0%			96.0%	
Quality: Fair					3.0%			3.0%	
Timeliness: Good or Better					93.0%			93.0%	
Timeliness: Fair					5.1%			5.1%	

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Complete a comprehensive review of the Department's Training Program and implement changes as needed – completed January 2013.
2. Construction and relocation of Fire Stations 92 and 96. Construction to begin April 2013; carried over to FY13-14.
3. Review Strategic Plan and provide implementation strategy to the governing boards – on hold until Consolidation (Governance Plan is completed)
4. Review Consolidation (Governance) Plan and provide implementation strategy to the governing boards – ongoing; carried over to FY13-14.
5. Continue to update all Department Standard Operating Guidelines – Completed February 2013.
6. Complete a comprehensive fleet study evaluating apparatus, future needs, replacement program and maintenance; carried over to FY13-14.
7. Implement Advanced Life Support at Station 92 – completed July 2012.

### Future Projections: FY 14-15

1. Implementation of Governance model.
2. Construction of quarter's modification at Fire Station 91.
3. Recruitment and hiring for four ranks within the department.
4. Oversee construction of 3 (2 City, 1 Rural) Type 1 triple combination fire pumps.
5. Implement Company Inspection program.
6. Implement inventory tracking system.

### Current Projections: FY 13-14

1. Construction and relocation of Fire Stations 92 and 96 – construction began in April 2013.
2. Construction of quarter modification at Fire Station 91.
3. Implement the accepted governance model plan.
4. Recruit, hire and train new firefighters.
5. Implement Hydrant Maintenance program.
6. Implement Company Inspection program.

Department: **52000 - Fire Department**  
 Division: **52100 - Chief's Office**  
 Program: **52111 - Fire Administration**

Administer and direct the Fire Department and provide the necessary administrative support for its programs and operations.

**PERFORMANCE OBJECTIVES**

1. To oversee the 4 programs of the Department at an admin cost of 3.7% or less of the department operating budget.
2. To oversee a departmental budget of over \$16,456,970 and with an authorized staffing of 79.70 full-time equivalents.
3. To oversee construction of 3 Type 1 Triple Combination Fire pumper values at \$1.4 million.
4. To oversee the recruitment and hiring of four ranks: Engineer, Captain, BC, and DC).

**COMMENTARY**

This program provides for the Fire Chief and secretarial support and for facility costs for the Fire Administration Building.  
 In FY10-11, the Fire Chief position was vacant for part of year. In FY11-12 and FY12-13, program costs are up, with full year staffing.  
 In FY13-14, program costs will show a modest increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements in other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	452,636	477,022	5.4%	460,300	473,240	102.8%	-0.8%	492,620	7.0%
Contracted Services	19,795	19,350	-2.2%	18,670	23,970	128.4%	23.9%	29,270	56.8%
Commodities	13,040	14,871	14.0%	19,650	16,500	84.0%	11.0%	20,150	2.5%
Internal Charges	49,999	57,587	15.2%	59,300	66,130	111.5%	14.8%	65,020	9.6%
Other Payments	0	0		0	0			0	
Program Total	535,470	568,830	6.2%	557,920	579,840	103.9%	1.9%	607,060	8.8%
Amended Budget	557,830	545,600			580,020				
% of Amended Spent	96.0%	104.3%			100.0%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	291,339	320,140	9.9%	317,080	329,330	103.9%	2.9%	363,770	14.7%
Grant & Fee Revenues	0	0		0	0			0	
Mt House CSD	83,650	93,986	12.4%	90,610	94,250	104.0%	0.3%	93,620	3.3%
Tracy Rural Fire District	160,481	154,704	-3.6%	150,230	156,260	104.0%	1.0%	149,670	-0.4%
Program Total	535,470	568,830	6.2%	557,920	579,840	103.9%	1.9%	607,060	8.8%

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Fire Chief	1.00	1.00		1.00	1.00			1.00	
Exec Asst II-Senior Secretary	1.00	1.00		1.00	1.00			1.00	
Admin Asst II-Sr Admin Clerk	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	3.00	3.00	0.0%	3.00	3.00	100.0%	0.0%	3.00	0.0%

Department: **52000 - Fire Department**  
 Division: **52100 - Chief's Office**  
 Program: **52150 - Fire Prevention**

Conduct fire investigations and provide code enforcement, fire code plan checks, permit issuance, and business inspections. Provide fire safety education. Test and maintain fire hydrants.

**PERFORMANCE OBJECTIVES**

1. To conduct 3,000 Fire Code inspections in the South County Fire Authority's protection area.
2. To review, analyze, and provide comments on fire code reviews for new and existing property construction projects within 20 business days for initial submittals and 10 business days for resubmittals.

**COMMENTARY**

In FY10-11, program supervision was changed from a Division Chief to the DES Building Official. In FY11-12, program costs showed a minor increase; while staffing costs were up, contracted costs were down. In FY12-13 and FY13-14, personnel costs are down due to staff vacancies. Contracted inspectors are being used to back fill the vacancies and for extra work. For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	268,732	170,252	-36.6%	289,300	76,000	26.3%	-55.4%	298,780	3.3%
Contracted Services	10,847	110,193	915.9%	38,330	147,800	385.6%	34.1%	29,230	-23.7%
Commodities	13,859	18,781	35.5%	24,710	18,930	76.6%	0.8%	29,710	20.2%
Internal Charges	52,742	56,312	6.8%	68,280	68,000	99.6%	20.8%	72,120	5.6%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>346,180</b>	<b>355,538</b>	<b>2.7%</b>	<b>420,620</b>	<b>310,730</b>	<b>73.9%</b>	<b>-12.6%</b>	<b>429,840</b>	<b>2.2%</b>
Amended Budget	379,280	419,330			436,100				
% of Amended Spent	91.3%	84.8%			71.3%				

**FUNDING SOURCES**

General Fund 101 - Taxes	15,177	(15,539)	-202.4%	64,830	(18,880)	-29.1%	21.5%	47,240	-27.1%
Fee Revenues	174,139	216,620	24.4%	175,500	197,800	112.7%	-8.7%	211,950	20.8%
Mt House CSD	53,749	58,373	8.6%	67,830	49,590	73.1%	-15.0%	65,670	-3.2%
Tracy Rural Fire District	103,115	96,084	-6.8%	112,460	82,220	73.1%	-14.4%	104,980	-6.7%
<b>Program Total</b>	<b>346,180</b>	<b>355,538</b>	<b>2.7%</b>	<b>420,620</b>	<b>310,730</b>	<b>73.9%</b>	<b>-12.6%</b>	<b>429,840</b>	<b>2.2%</b>

**PROGRAM STAFFING**

<i>Regular Positions</i>									
Fire Inspector	2.00	2.00		2.00	2.00			2.00	
Admin Asst II-Sr Admin Clerk	0.00	0.00		0.00	0.00			0.00	
Fire Division Chief	0.00	0.00		0.00	0.00			0.00	
Fire Marshal	0.00	0.00		0.00	0.00			0.00	
Allocation from Dev Serv	0.30	0.30		0.30	0.30			0.30	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Fire Inspector	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>2.30</b>	<b>2.30</b>	<b>0.0%</b>	<b>2.30</b>	<b>2.30</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.30</b>	<b>0.0%</b>

Department: **52000 - Fire Department**  
 Division: **52200 - Operations Division**  
 Program: **52210 - Fire Operations**

Responds to fire and emergency calls to provide fire suppression, rescue, emergency medical, and hazardous materials reponse services.

**PERFORMANCE OBJECTIVES**

1. To operate and maintain 7 stations, 7 Engine and 1 Truck companies, 24 hours per day, 365 days per year, utilizing 3 platoons.
2. To respond to all emergency calls within the City of Tracy within 6.5 minutes, 90% of the time.
3. To respond to all emergency calls within the Tracy Rural Fire District within 10 minutes, 90% of the time.
4. To respond to all emergency calls within the Mountain House CSD within 6.5 minutes, 90% of the time.
5. To perform hydrant maintenance in the SCFA service area on a continual basis per NFPA standards.
6. To develop a Company Inspection Program.

**COMMENTARY**

In FY11-12 and FY12-13, program costs showed modest increases. Also, in FY12-13, program costs were reduced by 2.00 FTEs, due to a cutback in minimum staffing for Tracy Rural stations.

In FY13-14, program staffing will increase by 2.25 FTEs, with FS #92 relocating and going to 3 person staffing. Also, hours for Fire Reserves will be increase. Program costs will show a minimal increase for the year.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements in other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	12,840,178	12,800,995	-0.3%	12,826,270	12,794,900	99.8%	0.0%	13,337,950	4.0%
Contracted Services	503,986	554,356	10.0%	508,550	507,600	99.8%	-8.4%	517,550	1.8%
Commodities	251,364	239,908	-4.6%	258,550	255,000	98.6%	6.3%	345,820	33.8%
Internal Charges	537,497	579,119	7.7%	751,790	750,000	99.8%	29.5%	849,100	12.9%
Other Payments	0	0		0	0			0	
Program Total	14,133,025	14,174,378	0.3%	14,345,160	14,307,500	99.7%	0.9%	15,050,420	4.9%
Amended Budget	14,126,570	14,070,140			14,365,160				
% of Amended Spent	100.0%	100.7%			99.6%				

**FUNDING SOURCES**

General Fund 101 - Taxes	8,313,123	8,325,924	0.2%	8,524,340	8,473,470	99.4%	1.8%	9,266,970	8.7%
Grant Revenues - Mutual Aid	0	96,599		206,000	200,000	97.1%		212,000	2.9%
Fee Revenues	0	0		0	0			0	
Mt House CSD	2,122,179	2,174,241	2.5%	2,112,460	2,119,690	100.3%	-2.5%	2,145,910	1.6%
Tracy Rural Fire District	3,697,723	3,577,614	-3.2%	3,502,360	3,514,340	100.3%	-1.8%	3,425,540	-2.2%
Program Total	14,133,025	14,174,378	0.3%	14,345,160	14,307,500	99.7%	0.9%	15,050,420	4.9%

**PROGRAM STAFFING**

*Regular Positions*

Fire Battalion Chiefs	0.00	0.00		0.00	0.00			0.00	
Fire Captain	24.00	24.00		24.00	24.00			24.00	
Fire Engineer	24.00	24.00		24.00	24.00			24.00	
Firefighter	21.00	19.00		21.00	21.00			21.00	
Fire Division Chief	2.33	2.33		2.33	2.33			2.33	

*Other Staffing (Full-Time Equivalents)*

Firefighter/Reserves	1.15	1.15		1.40	1.40			1.40	
Total - Full-Time Equivalents	72.48	70.48	-2.8%	72.73	72.73	100.0%	3.2%	72.73	0.0%

Department: 52000 - Fire Department  
 Division: 52200 - Operations Division  
 Program: 52250 - Fire Training & Safety

Provide and manage training program for the Department for career, reserve, and volunteer personnel. Training involves all facets of departmental functions and operations.

**PERFORMANCE OBJECTIVES**

1. To ensure that all personnel are appropriately trained to do their jobs.
2. To meet all local and state mandated training requirements.
3. To provide public education to citizens through school programs, public events, meetings, service clubs, etc., on fire and life safety.
4. To oversee live fire training twice per year, utilizing the burn trailer, and wildland live fire training once per year.
5. To schedule department physicals for 69 employees to be completed over a 3 month period.

**COMMENTARY**

This program provides for a departmental Training Officer and budgets for staff training and physical fitness evaluations. In FY11-12 and FY12-13, program costs showed increases. But, in FY12-13, grant outlays for a child car seat program drove up program costs.

In FY13-14, program costs will show a major increase, with fire academy training for 3 new Firefighters

For FY14-15, program staffing will add a EMS Specialist. The program budget provides for added staffing, some increases in internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	162,687	143,816	-11.6%	146,900	186,500	127.0%	29.7%	264,790	80.3%
Contracted Services	22,535	43,075	91.1%	72,810	57,300	78.7%	33.0%	62,910	-13.6%
Commodities	11,718	20,486	74.8%	16,110	18,950	117.6%	-7.5%	17,110	6.2%
Internal Charges	18,600	19,198	3.2%	22,680	22,500	99.2%	17.2%	24,840	9.5%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>215,540</b>	<b>226,575</b>	<b>5.1%</b>	<b>258,500</b>	<b>285,250</b>	<b>110.3%</b>	<b>25.9%</b>	<b>369,650</b>	<b>43.0%</b>
Amended Budget	231,030	251,170			237,684				
% of Amended Spent	93.3%	90.2%			120.0%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	116,879	123,918	6.0%	145,840	152,400	104.5%	23.0%	219,470	50.5%
Grant Revenues	0	5,793		0	15,000			0	
Mt House CSD	33,806	36,607	8.3%	42,390	44,340	104.6%	21.1%	57,790	36.3%
Tracy Rural Fire District	64,855	60,257	-7.1%	70,270	73,510	104.6%	22.0%	92,390	31.5%
<b>Program Total</b>	<b>215,540</b>	<b>226,575</b>	<b>5.1%</b>	<b>258,500</b>	<b>285,250</b>	<b>110.3%</b>	<b>25.9%</b>	<b>369,650</b>	<b>43.0%</b>

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Fire Battalion Chief	0.00	0.00		0.00	0.00			0.00	
Fire Division Chief	0.67	0.67		0.67	0.67			0.67	
Fire Captain	0.00	0.00		0.00	0.00			0.00	
EMS Specialist	0.00	0.00		0.00	0.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Secretary									
<b>Total - Full-Time Equivalents</b>	<b>0.67</b>	<b>0.67</b>	<b>0.0%</b>	<b>0.67</b>	<b>0.67</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.67</b>	<b>149.3%</b>

# PUBLIC WORKS DEPARTMENT

## Mission Statement

Maintain and operate Tracy's public facilities and infrastructure in an efficient and cost effective manner while preserving the health, safety, and aesthetics of our community

## Department Head

David Ferguson, Public Works Director

City of Tracy  
**PUBLIC WORKS DEPARTMENT**  
 Fiscal Year 14-15



Department: **53000 - Public Works Department**

The Public Works Department provides maintenance services for City streets, traffic systems, parks, landscaping districts, buildings, and vehicles; and operates and maintains the water distribution, sewer collection, and drainage systems within the City. Also, it administers the City's solid waste and recycling programs.

In FY12-13, the Transit, Airport, and Community Facilities programs were transferred into the Department from P&CS. In FY14-15, the Utilities Division will be re-established as a new City Department.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 4.5% over the current year adopted budget, and this represents a 10.6% increase over the FY12-13 amended budget.

The base component of the budget represents a 1.0% decrease from the current year adopted budget, while budget augmentations will show a 5.6% increase over the base budget.

In FY13-14, departmental staffing added 0.80 FTE's in other staffing. In FY14-15, staffing will add 1 new FT regular and 1.00 FTE's in other staffing.

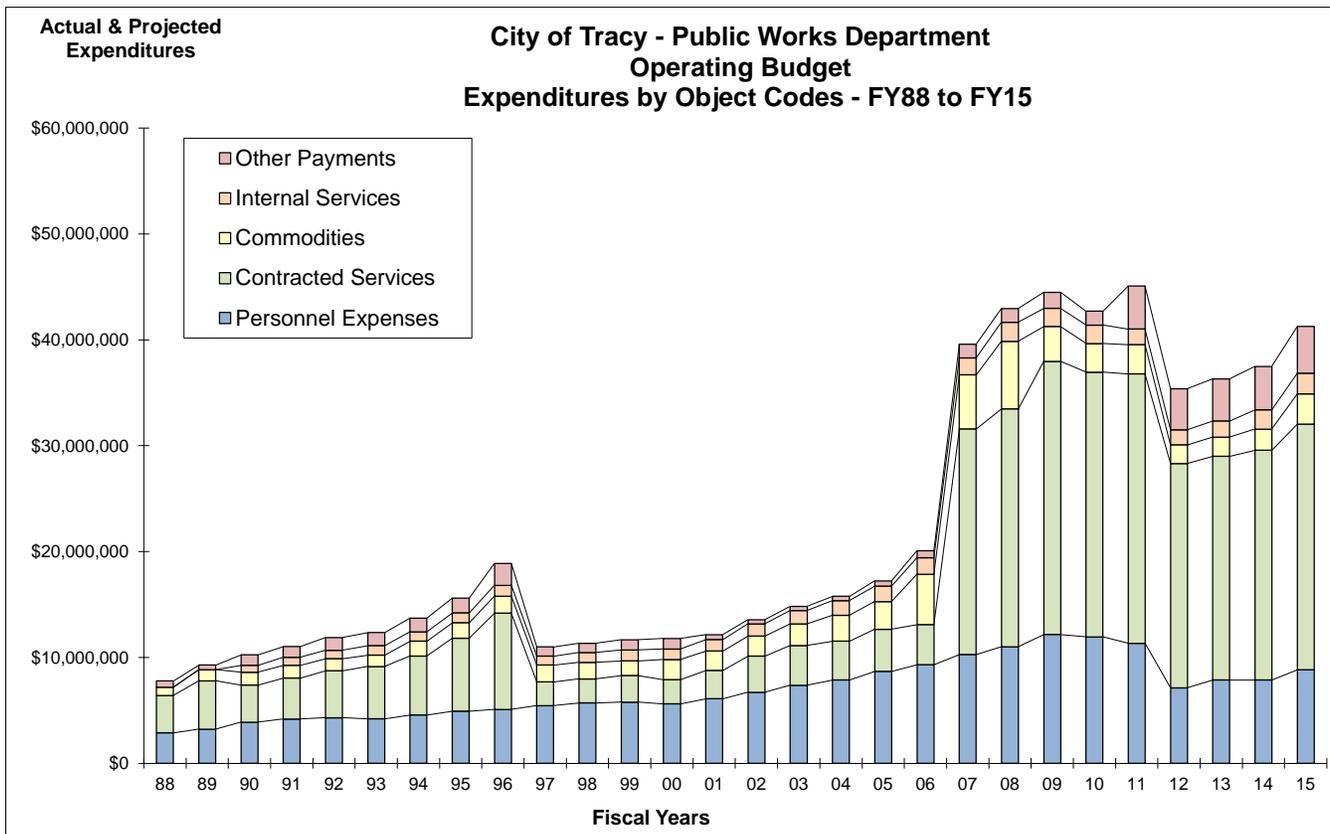
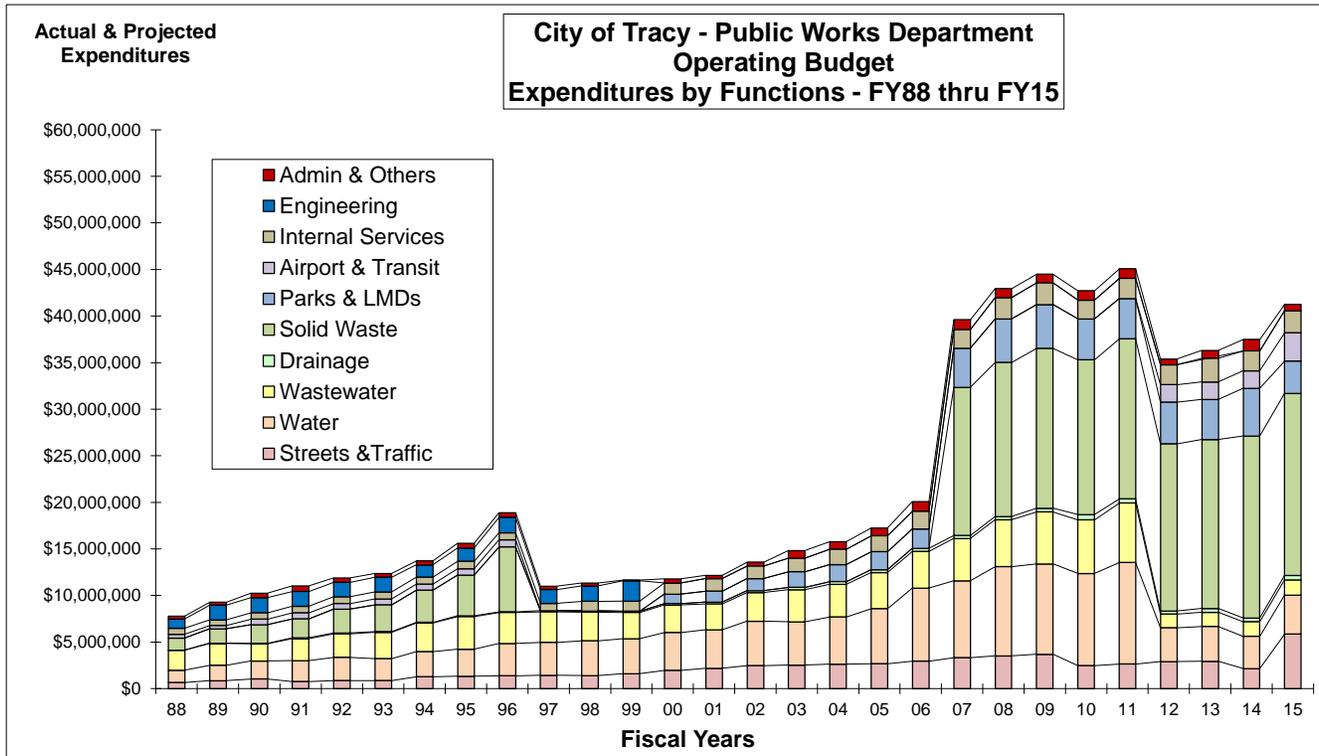
DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Director's Office</b>									
53110 - Public Works Administration	604,216	836,520	38.4%	626,350	657,830	105.0%	-21.4%	669,130	6.8%
53120 - Utilities Management	0	0		0	0			0	
<b>Maintenance Division</b>									
53310 - Central Garage	1,203,523	1,366,532	13.5%	1,419,730	1,401,490	98.7%	2.6%	1,492,460	5.1%
53320 - Building Maintenance	636,489	655,258	2.9%	683,090	659,770	96.6%	0.7%	768,070	12.4%
53330 - Custodial Maintenance	295,753	65,672	-77.8%	103,530	84,120	81.3%	28.1%	111,830	8.0%
53410 - Roadway Maintenance	769,050	798,567	3.8%	901,650	723,170	80.2%	-9.4%	941,860	4.5%
53420 - Sidewalk & Median Maint	431,175	417,936	-3.1%	491,220	404,100	82.3%	-3.3%	528,650	7.6%
53430 - Street Sweeping	358,995	419,215	16.8%	404,430	361,940	89.5%	-13.7%	409,330	1.2%
53440 - Traffic Maintenance	308,454	289,668	-6.1%	339,990	326,830	96.1%	12.8%	359,620	5.8%
53460 - Street Tree Maintenance	295,468	296,151	0.2%	329,770	325,550	98.7%	9.9%	333,430	1.1%
53470 - Graffiti Removal	73,661	80,556	9.4%	89,380	87,730	98.2%	8.9%	93,520	4.6%
53480 - Traffic & Lighting Electric	658,886	634,288	-3.7%	719,350	655,400	91.1%	3.3%	720,450	0.2%
53510 - Water Distribution	2,345,499	2,448,024	4.4%	2,598,260	2,672,160	102.8%	9.2%	2,650,150	2.0%
53520 - Water Meters	1,290,905	1,288,969	-0.1%	1,469,440	1,374,050	93.5%	6.6%	1,525,460	3.8%
53530 - Wastewater Collection	1,478,062	1,529,038	3.4%	1,583,520	1,583,130	100.0%	3.5%	1,648,580	4.1%
53540 - Storm Drain Maintenance	317,814	394,802	24.2%	477,000	390,430	81.9%	-1.1%	465,380	-2.4%
53710 - Parks Maintenance	1,603,090	1,591,937	-0.7%	1,897,990	1,733,290	91.3%	8.9%	1,962,000	3.4%
53720 - Sports Complex Maintenance	332,447	416,145	25.2%	404,150	382,660	94.7%	-8.0%	395,690	-2.1%
53730 - Legacy Fields Maintenance	0	0		0	16,430			79,650	
53750 - Landscaping Districts	2,533,205	2,308,314	-8.9%	3,509,430	2,502,970	71.3%	8.4%	2,920,190	-16.8%
Division Total	14,932,476	15,001,072	0.5%	17,421,930	15,685,220	90.0%	4.6%	17,406,320	-0.1%
<b>Utilities Division</b>									
53610 - WW Lift Stations	0	0		0	0			0	
53620 - Water Wells	0	0		0	0			0	
53630 - WWT Plant Maintenance	0	0		0	0			0	
53640 - Water Plant Maintenance	0	0		0	0			0	
53650 - Electrical Maintenance	0	0		0	0			0	
53660 - WWT Plant Operations	0	0		0	0			0	
53670 - Utilities Laboratory	0	0		0	0			0	
53680 - Water Plant Operations	0	0		0	0			0	
53690 - Water Management	0	0		0	0			0	
Division Total	0	0		0	0			0	

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Department: 53000 - Public Works Department (Continued)

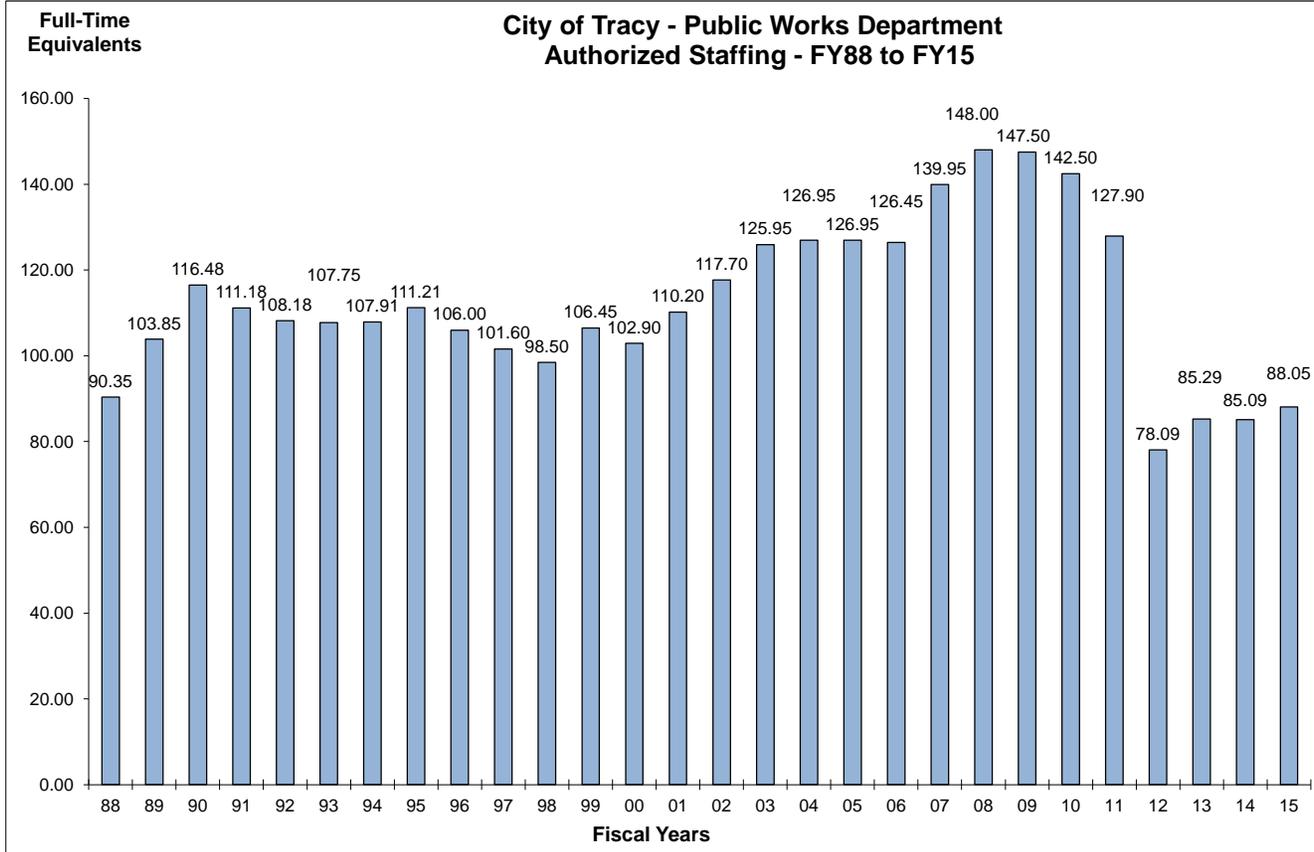
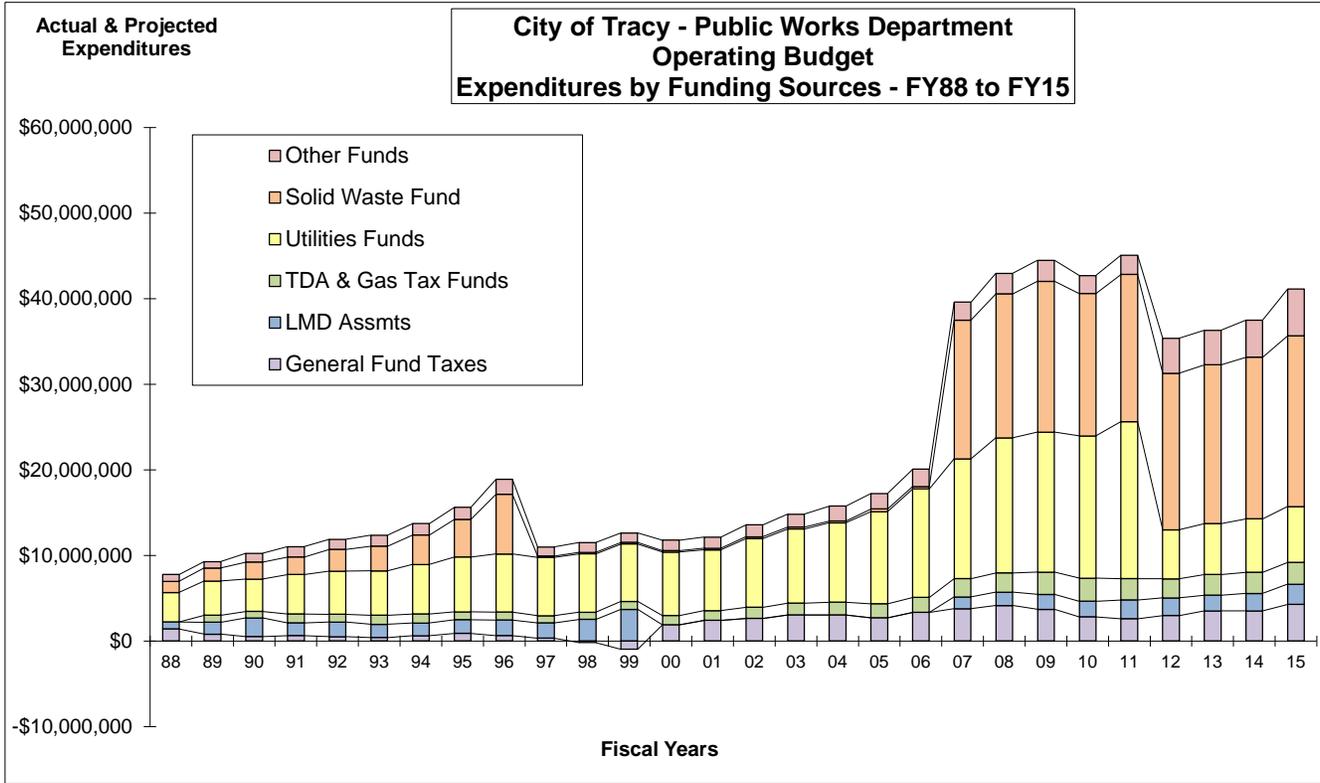
DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Solid Waste Programs</b>									
53810 - SW Collection & Disposal	15,310,805	15,692,609	2.5%	16,147,130	16,038,900	99.3%	2.2%	16,879,080	4.5%
53820 - SW Recycling	2,651,291	2,445,770	-7.8%	2,556,000	2,507,540	98.1%	2.5%	2,660,220	4.1%
Programs Total	17,962,096	18,138,379	1.0%	18,703,130	18,546,440	99.2%	2.2%	19,539,300	5.4%
<b>Community Services Division</b>									
55510 - Transit Operations	0	1,597,406		1,878,650	1,803,840	96.0%	12.9%	2,230,670	18.7%
55520 - Airport Operations	0	284,592		298,880	293,460	98.2%	3.1%	810,990	171.3%
55120 - Community Facilities	0	454,822		536,380	500,120	93.2%	10.0%	596,430	11.2%
Programs Total	0	2,336,820		2,713,910	2,597,420	95.7%	11.2%	3,638,090	34.1%
Department Total	33,498,788	36,312,791	8.4%	39,465,320	37,486,910	95.0%	3.2%	41,252,840	4.5%
Amended Budget	34,665,780	37,295,020	7.6%		39,530,086		6.0%		
% of Amended Spent	96.63%	97.37%			94.83%			over 2 years	10.6%
						Base Budget >>		39,051,360	-1.0%
						Augmentations >>		2,201,480	5.6%

DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
Personnel Expenses	6,573,600	7,867,292	19.7%	8,328,720	7,867,130	94.5%	0.0%	8,836,280	6.1%
Contracted Services	20,220,227	21,123,059	4.5%	22,982,030	21,704,310	94.4%	2.8%	23,198,710	0.9%
Commodities	1,655,001	1,832,135	10.7%	2,204,160	1,993,770	90.5%	8.8%	2,851,800	29.4%
Internal Charges	1,183,135	1,511,067	27.7%	1,840,590	1,814,200	98.6%	20.1%	1,949,130	5.9%
Other Payments	3,866,825	3,979,238	2.9%	4,109,820	4,107,500	99.9%	3.2%	4,416,920	7.5%
Department Total	33,498,788	36,312,791	8.4%	39,465,320	37,486,910	95.0%	3.2%	41,252,840	4.5%



Department: 53000 - Public Works Department (Continued)

DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	2,897,541	3,187,451	10.0%	3,713,980	3,231,010	87.0%	1.4%	3,983,880	7.3%
Facility Fees	0	238,747		214,500	202,050	94.2%		222,050	3.5%
Traffic Fines Sub-fund 105	85,000	90,000	5.9%	90,000	90,000	100.0%	0.0%	90,000	0.0%
TDA & Gas Tax Funds 24x	2,233,383	2,420,457	8.4%	2,550,000	2,485,000	97.5%	2.7%	2,560,000	0.4%
Landscaping Districts Fund 271	2,065,140	1,846,492	-10.6%	2,966,440	2,030,980	68.5%	10.0%	2,479,090	-16.4%
Water Fund 511	3,793,152	3,919,143	3.3%	4,230,540	4,209,050	99.5%	7.4%	4,341,210	2.6%
Wastewater Fund 521	1,501,991	1,544,598	2.8%	1,608,380	1,607,990	100.0%	4.1%	1,673,880	4.1%
Solid Waste Fund 531	18,288,931	18,545,801	1.4%	19,076,550	18,893,670	99.0%	1.9%	19,932,630	4.5%
Drainage Fund 541	422,500	474,932	12.4%	552,740	416,170	75.3%	-12.4%	506,380	-8.4%
Airport Fund 561	0	284,592		298,880	293,460	98.2%	3.1%	810,990	171.3%
Transit Fund 571	0	1,597,406		1,878,650	1,803,840	96.0%	12.9%	2,230,670	18.7%
Central Garage Fund 601	1,238,623	1,417,252	14.4%	1,456,190	1,437,950	98.7%	1.5%	1,529,560	5.0%
Building Maintenance Fund 615	972,527	745,920	-23.3%	828,470	785,740	94.8%	5.3%	892,500	7.7%
Department Total	33,498,788	36,312,791	8.4%	39,465,320	37,486,910	95.0%	3.2%	41,252,840	4.5%
<b>DEPARTMENTAL STAFFING</b>	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Director of Public Works	1.00	2.00		1.00	1.00			1.00	
Managers & Supervisors	6.00	7.00		7.00	7.00			8.00	
Secretarial & Clerical	2.00	4.00		4.00	4.00			4.00	
Street & Traffic Maintenance	12.00	12.00		12.00	12.00			12.00	
Parks & Landscape Maintenance	20.00	19.00		19.00	19.00			19.00	
Utilities Plant Maintenance	0.00	0.00		0.00	0.00			0.00	
Utilities Plant Operations	0.00	0.00		0.00	0.00			0.00	
Utilities Field Maintenance	23.00	21.00		21.00	21.00			21.00	
Laboratory	0.00	0.00		0.00	0.00			0.00	
Building & Eqpt Maintenance	9.00	9.00		9.00	9.00			9.00	
Custodial	0.00	0.00		0.00	0.00			0.00	
Water Conservation	0.00	0.00		0.00	0.00			0.00	
Solid Waste	1.00	1.00		1.00	1.00			1.00	
Transit	0.00	1.00		1.00	1.00			1.00	
Airport	0.00	2.00		2.00	2.00			2.00	
Allocated from Utilities	0.55	0.55		0.55	0.55			0.55	
Allocated to Utilities	-0.96	-0.96		-0.96	-0.96			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Parks & Landscape Maintenance	4.50	4.50		4.50	4.50			4.60	
Utilities Plant Maintenance	0.00	0.00		0.00	0.00			0.00	
Water Conservation	0.00	0.00		0.00	0.00			0.00	
Transportation Commissioners (7)	0.00	0.25		0.25	0.25			0.25	
Transit	0.00	0.25		0.25	0.25			0.25	
Facilities	0.00	2.70		3.50	3.50			4.40	
Total - Full Time Equivalents	78.09	85.29	9.2%	85.09	85.09	100.0%	-0.2%	88.05	3.5%



53000 - Public Works Department Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	12,867,410	-225,350	-1.8%	12,642,060	0	0.0%	12,642,060	-1.8%	6,573,600	52.0%
Contracted Services	27,233,070	-962,030	-3.5%	26,271,040	538,000	2.0%	26,809,040	-1.6%	20,220,227	75.4%
Commodities	3,146,950	-27,500	-0.9%	3,119,450	377,770	12.1%	3,497,220	11.1%	1,655,001	47.3%
Internal Charges	1,505,540	-2,000	-0.1%	1,503,540	24,000	1.6%	1,527,540	1.5%	1,183,135	77.5%
Other Payments	4,137,740	-71,600	-1.7%	4,066,140	32,500	0.8%	4,098,640	-0.9%	3,866,825	94.3%
<b>Department Total</b>	<b>48,890,710</b>	<b>-1,288,480</b>	<b>-2.6%</b>	<b>47,602,230</b>	<b>972,270</b>	<b>2.0%</b>	<b>48,574,500</b>	<b>-0.6%</b>	<b>33,498,788</b>	<b>69.0%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>									
Personnel Expenses	12,642,060	802,320	6.3%	13,444,380	437,120	3.3%	13,881,500	9.8%	7,867,292	56.7%
Contracted Services	26,809,040	-549,620	-2.1%	26,259,420	1,555,480	5.9%	27,814,900	3.8%	21,123,059	75.9%
Commodities	3,497,220	-202,950	-5.8%	3,294,270	313,200	9.5%	3,607,470	3.2%	1,832,135	50.8%
Internal Charges	1,527,540	24,230	1.6%	1,551,770	333,060	21.5%	1,884,830	23.4%	1,511,067	80.2%
Other Payments	4,098,640	-87,320	-2.1%	4,011,320	126,950	3.2%	4,138,270	1.0%	3,979,238	96.2%
<b>Department Total</b>	<b>48,574,500</b>	<b>-13,340</b>	<b>0.0%</b>	<b>48,561,160</b>	<b>2,765,810</b>	<b>5.7%</b>	<b>51,326,970</b>	<b>5.7%</b>	<b>36,312,791</b>	<b>70.7%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>									
Personnel Expenses	13,881,500	103,500	0.7%	13,985,000	31,520	0.2%	14,016,520	1.0%	7,867,130	56.1%
Contracted Services	27,814,900	1,000	0.0%	27,815,900	1,670,070	6.0%	29,485,970	6.0%	21,704,310	73.6%
Commodities	3,607,470	34,290	1.0%	3,641,760	78,390	2.2%	3,720,150	3.1%	1,993,770	53.6%
Internal Charges	1,884,830	347,770	18.5%	2,232,600	9,800	0.4%	2,242,400	19.0%	1,814,200	80.9%
Other Payments	4,138,270	0	0.0%	4,138,270	216,020	5.2%	4,354,290	5.2%	4,107,500	94.3%
<b>Department Total</b>	<b>51,326,970</b>	<b>486,560</b>	<b>0.9%</b>	<b>51,813,530</b>	<b>2,005,800</b>	<b>3.9%</b>	<b>53,819,330</b>	<b>4.9%</b>	<b>37,486,910</b>	<b>69.7%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>									
Personnel Expenses	8,328,720	288,870	3.5%	8,617,590	218,690	2.5%	8,836,280	6.1%		
Contracted Services	22,982,480	-775,870	-3.4%	22,206,610	992,100	4.5%	23,198,710	0.9%		
Commodities	2,204,160	5,000	0.2%	2,209,160	642,640	29.1%	2,851,800	29.4%		
Internal Charges	1,840,590	77,090	4.2%	1,917,680	31,450	1.6%	1,949,130	5.9%		
Other Payments	4,109,820	-9,500	-0.2%	4,100,320	316,600	7.7%	4,416,920	7.5%		
<b>Department Total</b>	<b>39,465,770</b>	<b>-414,410</b>	<b>-1.1%</b>	<b>39,051,360</b>	<b>2,201,480</b>	<b>5.6%</b>	<b>41,252,840</b>	<b>4.5%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## Budget Narrative- Public Works Department

### Recent Budget Changes

#### *FY 10-11*

- 15 full-time positions were deleted from departmental staffing, but 0.40 FTEs in temporary staffing were added.
- Reduction in base budget of \$4,918,230 or 9.7% from FY09-10 Adopted Budget.
- Budget augmentation of \$2,241,060 for utilities and \$782,600 for solid waste. These include a \$2,025,000 increase in franchise fee payments for Utilities Funds and a \$782,600 increase for Solid Waste Fund.

#### *FY 11-12*

- Decrease in base budget of \$1,288,480 or 2.6% from the FY10-11 Adopted Budget. About \$225,350 of this decrease was in personnel expenses; while \$962,030 was in contracted services.
- Budget augmentations of \$939,770. These included \$500,000 for SSJID water supply, \$250,000 for water meters, and \$38,000 for a water fund rate study. Also, this included an added \$100,000 for fleet fuel purchase and an added \$23,270 for fleet vehicle repairs.
- Departmental staffing remained at its current level of 127.50 FTEs.

#### *FY 12-13*

- Decrease in base budget of \$13,340 from FY11-12 adopted budget.
- The Airport and Transit programs with a \$1,909,710 base budget and 4.50 FTEs transferred in from the Parks and Community Services Department.
- The Community Facilities program with a \$320,370 base budget and 2.70 FTEs also transferred in from the Parks and Community Services Department.
- The current Director of Parks and Community Services and department Executive Assistant were transferred to Public Works. The current Public Works Director retired during the year.
- The department transferred a Management Analyst to the Administrative Services Department.

#### *FY 12-13 Continued*

- During the year, 3 Maintenance Workers and Plant Mechanic positions will be deleted. Budget savings of \$398,130 due to retirements.
- Budget augmentations of \$224,090 for Transit, \$445,700 for Utilities, \$100,000 for fuel, and \$114,300 for other programs.
- Department will move into new administrative offices at renovated City Hall Annex.

#### *FY 13-14*

- Base budget increase of \$315,460 or 0.8% over the FY12-13 Adopted Budget. Increases in all categories particularly internal service charges.
- Budget augmentations of \$1,834,700. Increases particularly in contracted services for solid waste and landscaping district maintenance.
- Departmental staffing will lose 1 full-time regular position due to retirement. Although there will be a .80 FTEs increase in Community Facilities.
- Solid waste contract will show a 4.5% increase reflecting new contract level based upon recent rate increases.
- Landscaping districts maintenance contract will show major increases due to periodic maintenance requirements.

### Proposed Budget Changes for FY 14-15

- Base budget decrease of \$413,860 or 1.0% from the FY12-13 Adopted Budget. However, personnel expenses increased \$288,870 or 3.5%. A major decrease of \$753,820 in landscaping district contracts offsets other increases in the department.
- Budget augmentations of \$2,061,080. These include \$837,660 for solid waste contracts, \$500,000 for airport fuel purchases, and \$338,890 for transit contracts.
- Departmental staffing will add 1 FTE in part-time staffing for the Community Facilities Program.

Public Works

Major Non-Personnel Expenses	FY 12-13	%Change	FY 13-14	%Change	FY14-15
Traffic and Street Light Electricity	\$670,000	3.79%	\$695,000	0.00%	\$695,000
Street Sweeping Contract	235,000	3.40%	243,000	6.00%	257,800
Street Trees Maintenance Contract	185,020	7.30%	198,520	0.00%	198,250
Sidewalk Maintenance Contracts	5,000	40.00%	7,000	0.00%	7,000
Street Maintenance Materials	136,310	0.00%	136,310	0.10%	136,450
Landscaping Districts Contracts	951,580	70.10%	1,625,120	-27.00%	438,820
LD Utilities	876,420	6.00%	882,150	6.80%	941,900
Utilities ROW Maintenance Fees	2,642,000	3.00%	2,721,400	0.00%	2,721,400
Solid Waste Contracts	16,392,600	4.50%	17,137,800	4.60%	17,923,000
Solid Waste Franchise Fees	1,148,000	3.50%	1,188,200	3.00%	1,224,000
Building Maintenance Repairs	50,000	0.00%	50,000	150.00%	75,000
Building Maintenance Materials	41,430	0.00%	41,430	0.00%	41,430
Fuel for City Fleet	610,000	0.00%	610,000	0.00%	610,000
Fleet Repair Parts	121,000	0.00%	121,000	4.10%	126,000
Fleet Repair Contracts	63,000	0.00%	63,000	0.00%	63,000
Transit Contracts	950,300	7.20%	1,018,430	33.00%	1,357,320
Transit Fuel	122,080	-26.20%	90,080	0.00%	90,080
Equipment Acquisition	\$25,000		\$33,000		\$48,000
Equipment Replacement	\$376,500		\$665,600		\$120,600

## 53000 - Public Works Department

Department Budget By Division	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
5311 - Pub Works Admin	604,216	836,520	38.4%	626,350	-25.1%	638,950	2.0%	30,180	4.7%
533x - PW - Internal Maint	2,135,805	2,087,462	-2.3%	2,206,350	5.7%	2,228,700	1.0%	143,660	6.4%
534x - PW - Street Maint	2,895,648	2,936,151	1.4%	3,275,790	11.6%	3,324,960	1.5%	156,300	4.7%
537x - PW - Park Maint	1,935,538	2,462,904	27.2%	2,838,520	15.3%	2,978,670	4.9%	-39,300	-1.3%
537x - PW - Ldsp Maint	2,533,205	2,308,314	-8.9%	3,509,430	52.0%	2,784,410	-20.7%	135,780	4.9%
535x - PW - Wtr & Swr Maint	5,432,280	5,660,833	4.2%	6,128,220	8.3%	6,189,170	1.0%	100,400	1.6%
538x - PW - Solid Waste	17,962,096	18,138,379	1.0%	18,703,130	3.1%	18,706,640	0.0%	832,660	4.5%
555x - PW - Transit & Airport	0	1,882,228		2,177,530		2,199,860	1.0%	841,800	38.3%
Department Total	33,498,788	36,312,791	8.4%	39,465,320	8.7%	39,051,360	-1.0%	2,201,480	5.6%
<b>Department Budget by Object</b>									
Personnel Expenses	6,573,600	7,867,292	19.7%	8,328,720	5.9%	8,617,590	3.5%	218,690	2.5%
Contracted Services	20,220,227	21,123,059	4.5%	22,982,030	8.8%	22,206,610	-3.4%	992,100	4.5%
Commodities	1,655,001	1,832,135	10.7%	2,204,160	20.3%	2,209,160	0.2%	642,640	29.1%
Internal Charges	1,183,135	1,511,067	27.7%	1,840,590	21.8%	1,917,680	4.2%	31,450	1.6%
Other Payments	3,866,825	3,979,238	2.9%	4,109,820	3.3%	4,100,320	-0.2%	316,600	7.7%
Department Total	33,498,788	36,312,791	8.4%	39,465,320	8.7%	39,051,360	-1.0%	2,201,480	5.6%
<b>Department Budget by Funding Source</b>									
General Fund 101 - Taxes	2,897,541	3,187,451	10.0%	3,713,980	16.5%	3,910,950	5.3%	72,930	1.9%
Facility Fees	0	0		214,500		137,400	-35.9%	84,650	61.6%
Traffic Fines Sub-fund 105	85,000	90,000	5.9%	90,000	0.0%	90,000	0.0%	0	0.0%
TDA & Gas Tax Funds 24x	2,233,383	2,420,457	8.4%	2,550,000	5.4%	2,560,000	0.4%	0	0.0%
Landscaping Districts Fund 271	2,065,140	1,846,492	-10.6%	2,966,440	60.7%	2,343,310	-21.0%	135,780	5.8%
Water Fund 511	3,793,152	3,919,143	3.3%	4,230,540	7.9%	4,291,710	1.4%	49,500	1.2%
Wastewater Fund 521	1,501,991	1,544,598	2.8%	1,608,380	4.1%	1,628,260	1.2%	45,620	2.8%
Solid Waste Fund 531	18,288,931	18,545,801	1.4%	19,076,550	2.9%	19,080,370	0.0%	852,260	4.5%
Drainage Fund 541	422,500	474,932	12.4%	552,740	16.4%	501,100	-9.3%	5,280	1.1%
Airport Fund 561	0	284,592		298,880	5.0%	309,750	3.6%	501,240	161.8%
Transit Fund 571	0	1,597,406		1,878,650	17.6%	1,890,110	0.6%	340,560	18.0%
Central Garage Fund 601	1,238,623	1,417,252	14.4%	1,456,190	2.7%	1,477,380	1.5%	52,180	3.5%
Building Maintenance Fund 615	972,527	745,920	-23.3%	828,470	11.1%	831,020	0.3%	61,480	7.4%
Department Total	33,498,788	36,074,044	7.7%	39,465,320	9.4%	39,051,360	-1.0%	2,201,480	5.6%
<b>Department Staffing</b>									
Total - Full Time Equivalent	78.09	85.29	9.2%	85.09	-0.2%	88.95	4.5%	-0.90	-1.0%
<b>Department Equipment Purchase</b>									
Replacement Equipment	173,299	89,341	-48.4%	376,500	321.4%	100,000	-73.4%	20,600	20.6%
New Equipment	0	0		25,000		0		48,000	

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Department: **53000 - Public Works Department**  
 Division: **53100 - Director's Office**  
 Program: **53110 - Public Works Administration**

**PERFORMANCE OBJECTIVES**

Administer and direct the Public Works Department and provide the necessary administrative support for its operations and activities.

1. To administer the 24 programs of the department at an admin costs of 1.6% or less of the department operating budget.
2. To oversee a departmental budget of over \$41,252,840 and with an authorized staffing of 88.05 full-time equivalents.
3. To install and implement a computerized work management system.
4. To obtain FAA grant for pavement rehabilitation at the Tracy Airport.
5. To negotiate with San Joaquin County for management of the solid waste disposal.

**COMMENTARY**

This program provided for the Director of Public Works and supporting staff. In FY11-12, program costs showed minor decreases, due to reduced staffing. In FY12-13, they showed a major increase, due to a temporary overhire in the Director position and other staffing changes.

In FY13-14, program staffing returned to a regular level. Program costs will show a major decrease.

For FY14-15, program staffing will show an increase, as positions formerly allocated to Utilities Management are reallocated back to this program. The program budget provides for added staffing, some increases in internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	%	FY13-14	FY13-14	% of	%	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	518,065	731,317	41.2%	510,900	546,350	106.9%	-25.3%	551,600	8.0%
Contracted Services	16,405	26,651	62.5%	20,300	20,000	98.5%	-25.0%	20,300	0.0%
Commodities	14,274	14,679	2.8%	15,790	12,180	77.1%	-17.0%	15,790	0.0%
Internal Charges	55,472	63,873	15.1%	79,360	79,300	99.9%	24.2%	81,440	2.6%
Other Payments	0	0		0	0			0	
Program Total	604,216	836,520	38.4%	626,350	657,830	105.0%	-21.4%	669,130	6.8%
Amended Budget	640,240	647,790			626,350				
% of Amended Spent	94.4%	129.1%			105.0%				

**FUNDING SOURCES**

General Fund 101 - Taxes	269,193	433,020	60.9%	278,300	309,780	111.3%	-28.5%	315,130	13.2%
Landscaping Dist Fund 271	55,833	66,590	19.3%	58,010	58,010	100.0%	-12.9%	58,900	1.5%
Water Fund 511	156,745	206,410	31.7%	162,840	162,840	100.0%	-21.1%	165,600	1.7%
Wastewater Fund 521	23,930	15,560	-35.0%	24,860	24,860	100.0%	59.8%	25,300	1.8%
Solid Waste Fund 531	7,980	12,020	50.6%	8,290	8,290	100.0%	-31.0%	8,500	2.5%
Drainage Fund 541	15,150	27,210	79.6%	15,740	15,740	100.0%	-42.2%	16,000	1.7%
Central Garage Fund 601	35,100	50,720	44.5%	36,460	36,460	100.0%	-28.1%	37,100	1.8%
Bldg Maint Fund 615	40,285	24,990	-38.0%	41,850	41,850	100.0%	67.5%	42,600	1.8%
Program Total	604,216	836,520	38.4%	626,350	657,830	105.0%	-21.4%	669,130	6.8%

**PROGRAM STAFFING***Regular Positions*

Director of Public Works	1.00	2.00		1.00	1.00			1.00	
Exec Asst II-Admin/Sr Secretary	0.00	1.00		1.00	1.00			1.00	
Admin Assts	1.36	1.36		1.36	1.36			2.00	
Deputy Director - Maintenance	0.00	0.00		0.00	0.00			0.00	
Management Analyst	1.68	0.68		0.68	0.68			1.00	
Total - Full-Time Equivalents	4.04	5.04	24.8%	4.04	4.04	100.0%	-19.8%	5.00	23.8%

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Continued regular scheduled street sweeping every two weeks in the residential areas and twice a week in the downtown Business District.
2. Continued to provide Internal Services (Central Garage, Building Maintenance, and Custodial Services) to other city departments.
3. Continued the street and park tree trimming and replanting program and continued contract tree trimming.
4. The concrete division had several requests for concrete replacement due to the increased number of mature trees.
5. Continued maintaining the traffic control signage throughout the City.
6. A total of 277 street related requests were made.
7. The LMD division experienced 714 tree related service requests. The volume increased exceptionally this year possibly due to drought conditions or more spring-like conditions.
8. Update and amend expired MOU's and license agreements.

### Current Projections: FY 13-14

1. Implement Phase II of Boyd Service Center renovations.
2. Continue to provide internal services (Central Garage, Building Maintenance, and Custodial Services) to other City departments.
3. Continue to provide service to the Development Services Department to stay abreast of any new improvements being made.

### Current Projections FY 13-14 Continued

4. Continue regularly scheduled street sweeping every two weeks in the residential areas and twice a week in the Downtown Business District.
5. Continue to provide street and park tree maintenance and replanting.
6. Continue Park and sports field maintenance, including turf enhancement.
7. Continue removal and replacement of signage and thermal plastic in the City.

### Future Projections: FY 14-15

1. Continue the replacement of walkways as needed or as indicated by Development Services.
2. Reduce customer calls by taking proactive measures.
3. Continue to replace traffic control signage as needed or as directed by Development Services.

## CORE MEASURES and Supporting Data for CENTRAL GARAGE Program

from

ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
<b># of Vehicles &amp; Equipment Pieces</b>									
Police Vehicles	30	49	46	-6.12%	52	46	0.00%	43	-6.52%
Light I Vehicles	153	132	150	13.64%	150	150	0.00%	148	-1.33%
Medium Vehicles	38	31	32	3.23%	16	32	0.00%	32	0.00%
Heavy Vehicles	11	13	13	0.00%	26	13	0.00%	13	0.00%
Heavy Equipment	22	22	35	59.09%	18	35	0.00%	35	0.00%
Light Equipment	93	134	70	-47.76%	140	70	0.00%	70	0.00%
<b>Total</b>	<b>347</b>	<b>381</b>	<b>346</b>	<b>-9.19%</b>	<b>402</b>	<b>346</b>	<b>0.00%</b>	<b>341</b>	<b>-1.45%</b>
<b>Vehicles Mileage</b>									
Police Vehicles	414,324	452,621	459,208	1.46%	504,510	420,000	-8.54%	450,000	7.14%
Light I Vehicles	787,486	692,155	837,828	21.05%	813,208	806,754	-3.71%	763,000	-5.42%
Medium Vehicles	89,824	133,218	133,158	-0.05%	43,291	160,842	20.79%	140,000	-12.96%
Heavy Vehicles	9,513	6,650	5,700	-14.29%	9,675	6,735	18.16%	6,300	-6.46%
<b>Total</b>	<b>1,301,147</b>	<b>1,284,644</b>	<b>1,435,894</b>	<b>11.77%</b>	<b>1,370,684</b>	<b>1,394,331</b>	<b>-2.89%</b>	<b>1,359,300</b>	<b>-2.51%</b>
<b>Equipment Hours Used</b>									
Heavy Equipment	1,190	1,190	2,769	132.69%	750	3,770	36.15%	3,000	-20.42%
<b># of Work Orders Completed</b>									
Police Vehicles	283	270	291	7.78%	331	283	-2.75%	300	6.01%
Light I Vehicles	543	403	477	18.36%	425	425	-10.90%	420	-1.18%
Medium Vehicles	131	102	78	-23.53%	60	77	-1.28%	78	1.30%
Heavy Vehicles	80	99	300	203.03%	87	84	-72.00%	90	7.14%
Heavy Equipment	83	88	235	167.05%	39	231	-1.70%	230	-0.43%
Light Equipment	522	527	536	1.71%	509	564	5.22%	560	-0.71%
<b>Total</b>	<b>1,642</b>	<b>1,489</b>	<b>1,917</b>	<b>28.74%</b>	<b>1,451</b>	<b>1,664</b>	<b>-13.20%</b>	<b>1,678</b>	<b>0.84%</b>
<b>Program Costs (\$1,000)</b>	<b>\$1,227</b>	<b>\$1,204</b>	<b>\$1,367</b>	<b>13.54%</b>	<b>\$1,420</b>	<b>\$1,401</b>	<b>2.52%</b>	<b>\$1,492</b>	<b>6.50%</b>
<b>per Vehicle-Pieces</b>	<b>\$3,536.02</b>	<b>\$3,158.79</b>	<b>\$3,949.42</b>	<b>25.03%</b>	<b>\$3,531.59</b>	<b>\$4,049.13</b>	<b>2.52%</b>	<b>\$4,375.37</b>	<b>8.06%</b>
<b>Maintenance Costs (\$1,000)</b>	<b>\$618.7</b>	<b>\$574.5</b>	<b>\$657.6</b>	<b>14.46%</b>	<b>\$718.20</b>	<b>\$699.5</b>	<b>6.37%</b>	<b>\$790.5</b>	<b>13.01%</b>
<b>per Vehicle-Pieces</b>	<b>\$1,782.93</b>	<b>\$1,507.87</b>	<b>\$1,900.58</b>	<b>26.04%</b>	<b>\$1,786.57</b>	<b>\$2,021.68</b>	<b>6.37%</b>	<b>\$2,318.18</b>	<b>14.67%</b>
<b>per Work Order</b>	<b>\$376.78</b>	<b>\$385.83</b>	<b>\$343.04</b>	<b>-11.09%</b>	<b>\$494.97</b>	<b>\$420.37</b>	<b>22.54%</b>	<b>\$471.10</b>	<b>12.07%</b>
<b>Billable Hours</b>	<b>3,490</b>	<b>3,614</b>	<b>3,900</b>	<b>7.91%</b>	<b>3,751</b>	<b>3,667</b>	<b>-5.97%</b>	<b>3,800</b>	<b>3.63%</b>
<b>Maintenance Cost/Billable Hour</b>	<b>\$177.27</b>	<b>\$158.97</b>	<b>\$168.62</b>	<b>6.07%</b>	<b>\$191.47</b>	<b>\$190.76</b>	<b>13.13%</b>	<b>\$208.03</b>	<b>9.05%</b>
<b>Fuel Costs (\$1,000)</b>	<b>\$500.2</b>	<b>\$629.0</b>	<b>\$709.4</b>	<b>12.78%</b>	<b>\$701.5</b>	<b>\$701.5</b>	<b>-1.11%</b>	<b>\$701.5</b>	<b>0.00%</b>
<b>Fuel Purchased (Gallons)</b>	<b>127,865</b>	<b>153,531</b>	<b>153,928</b>	<b>0.26%</b>	<b>159,178</b>	<b>149,173</b>	<b>-3.09%</b>	<b>150,000</b>	<b>0.55%</b>
<b>Fuel Costs/Gallon</b>	<b>\$3.91</b>	<b>\$4.10</b>	<b>\$4.61</b>	<b>12.49%</b>	<b>\$4.41</b>	<b>\$4.70</b>	<b>2.04%</b>	<b>\$4.68</b>	<b>-0.55%</b>
<b>Fuel Costs/Mile</b>	<b>\$0.38</b>	<b>\$0.49</b>	<b>\$0.49</b>	<b>0.90%</b>	<b>\$0.51</b>	<b>\$0.50</b>	<b>1.83%</b>	<b>\$0.52</b>	<b>2.58%</b>

Department: **53000 - Public Works Department**  
 Division: **53300 - Maintenance >> Internal Services**  
 Program: **53310 - Central Garage**

**PERFORMANCE OBJECTIVES**

Provide fuel, repairs, and preventative maintenance for City owned vehicles and equipment. Administer and monitor contracted repair work. Maintain vehicle operation & maintenance costs.

1. To provide vehicle maintenance for a fleet of 241 vehicles and equipment maintenance for 105 pieces of equipment.
2. To perform preventative maintenance and repairs on City vehicles and equipment, including fixed generators.
3. To complete annual smog & opacity tests on City vehicles and equipment.
4. To perform all life and crane inspections and certifications.
5. To maintain compliance with all State agencies.
6. To provide fuel valued at \$610,000 for City operations.

**COMMENTARY**

In FY08-09, a fleet utilization study was done; and fleet size was reduced to from about 282 to 211. But now, the size is back up to about 241.

In FY11-12, program costs showed a minimal decrease. While personnel and contracted costs were down, fuel and parts costs were up. In FY12-13, program costs increased, primarily due to full staffing and higher fuel costs.

In FY13-14, program costs will show a modest increase.

For FY14-15, the program budget provides for the current staffing, some increases for internal charges, and enhancement for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	371,342	458,878	23.6%	475,500	461,590	97.1%	0.6%	495,300	4.2%
Contracted Services	106,135	121,191	14.2%	124,560	124,400	99.9%	2.6%	163,960	31.6%
Commodities	680,526	741,383	8.9%	754,090	750,000	99.5%	1.2%	763,800	1.3%
Internal Charges	45,520	45,080	-1.0%	65,580	65,500	99.9%	45.3%	69,400	5.8%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>1,203,523</b>	<b>1,366,532</b>	<b>13.5%</b>	<b>1,419,730</b>	<b>1,401,490</b>	<b>98.7%</b>	<b>2.6%</b>	<b>1,492,460</b>	<b>5.1%</b>
Amended Budget	1,349,370	1,410,650			1,419,730				
% of Amended Spent	89.2%	96.9%			98.7%				
<b><u>FUNDING SOURCES</u></b>									
Central Garage Fund 601	1,203,523	1,366,532	13.5%	1,419,730	1,401,490	98.7%	2.6%	1,492,460	5.1%
<b>Program Total</b>	<b>1,203,523</b>	<b>1,366,532</b>	<b>13.5%</b>	<b>1,419,730</b>	<b>1,401,490</b>	<b>98.7%</b>	<b>2.6%</b>	<b>1,492,460</b>	<b>5.1%</b>
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Deputy Director of PW	0.00	0.00		0.00	0.00			0.00	
Pub Wks Superintendent	0.35	0.35		0.35	0.35			0.35	
Senior Equipment Mechanic	1.00	1.00		1.00	1.00			1.00	
Equipment Mechanic	2.00	2.00		2.00	2.00			2.00	
Equipment Service Worker	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalent)</i>									
<b>Total - Full-Time Equivalent</b>	<b>4.35</b>	<b>4.35</b>	<b>0.0%</b>	<b>4.35</b>	<b>4.35</b>	<b>100.0%</b>	<b>0.0%</b>	<b>4.35</b>	<b>0.0%</b>

## CORE MEASURES and Supporting Data for BUILDING MAINTENANCE Programs

from

ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
<b># of Facilities Maintained</b>									
Administrative Facilities	12	12	12	0.00%	12	12	0.00%	12	0.00%
Warehouse/Industrial Facilities	3	3	3	0.00%	3	3	0.00%	3	0.00%
Fire Stations	7	7	7	0.00%	8	8	14.29%	9	12.50%
Animal Care Facilities	1	1	1	0.00%	1	1	0.00%	1	0.00%
Library/Cultural Facilities	5	5	5	0.00%	5	5	0.00%	5	0.00%
Recreation/Community Facilities	5	5	5	0.00%	5	5	0.00%	5	0.00%
Others	1	1	1	0.00%	3	3	200.00%	4	33.33%
<b>Total</b>	<b>34</b>	<b>34</b>	<b>34</b>	<b>0.00%</b>	<b>37</b>	<b>37</b>	<b>8.82%</b>	<b>39</b>	<b>5.41%</b>
<b>Facilities Square Footage</b>									
Administrative Facilities	134,782	134,782	134,782	0.00%	134,782	134,782	0.00%	134,782	0.00%
Warehouse/Industrial Facilities	4,563	4,563	4,563	0.00%	4,563	4,563	0.00%	4,563	0.00%
Fire Stations	35,786	35,786	35,786	0.00%	35,786	46,058	28.70%	44,217	-4.00%
Animal Care Facilities	4,494	4,494	4,494	0.00%	4,494	4,494	0.00%	5,600	24.61%
Library/Cultural Facilities	67,989	67,989	67,989	0.00%	67,989	67,989	0.00%	67,989	0.00%
Recreation/Community Facilities	22,642	22,642	22,642	0.00%	21,296	21,296	-5.94%	21,296	0.00%
Others	1,000	1,000	1,000	0.00%	1,000	1,000	0.00%	1,000	0.00%
<b>Total</b>	<b>271,256</b>	<b>271,256</b>	<b>271,256</b>	<b>0.00%</b>	<b>269,910</b>	<b>280,182</b>	<b>3.29%</b>	<b>279,447</b>	<b>-0.26%</b>
<b>Custodial Services</b>									
Facilities In-house *1	0	0	0		0	0		0	
Facilities Contracted	27	27	27		25	24		24	
Square Footage In-house	0	0	0		0	0		0	
Square Footage Contracted	230,730	230,730	230,730	0.00%	207,000	207,000	-10.28%	207,000	0.00%
<b>BM Program Costs (\$1,000)</b>	<b>\$929</b>	<b>\$1,032</b>	<b>\$983</b>	<b>-4.68%</b>	<b>\$1,054</b>	<b>\$1,012</b>	<b>2.94%</b>	<b>\$1,190</b>	<b>17.56%</b>
<b>per Square Foot</b>	<b>\$3.42</b>	<b>\$3.80</b>	<b>\$3.62</b>	<b>-4.68%</b>	<b>\$3.90</b>	<b>\$3.61</b>	<b>-0.34%</b>	<b>\$4.26</b>	<b>17.87%</b>
<b>Maintenance Costs (\$1,000)</b>	<b>\$610.2</b>	<b>\$636.5</b>	<b>\$655.2</b>	<b>2.94%</b>	<b>\$683.1</b>	<b>\$659.8</b>	<b>0.70%</b>	<b>\$798.0</b>	<b>20.95%</b>
<b>per Square Foot</b>	<b>\$2.25</b>	<b>\$2.35</b>	<b>\$2.42</b>	<b>2.94%</b>	<b>\$2.53</b>	<b>\$2.35</b>	<b>-2.51%</b>	<b>\$2.86</b>	<b>21.26%</b>
<b>Custodial Costs (\$1,000)</b>	<b>\$318.7</b>	<b>\$295.7</b>	<b>\$328.0</b>	<b>10.92%</b>	<b>\$370.5</b>	<b>\$352.3</b>	<b>7.41%</b>	<b>\$391.8</b>	<b>11.21%</b>
<b>per Square Foot</b>	<b>\$1.38</b>	<b>\$1.28</b>	<b>\$1.42</b>	<b>10.92%</b>	<b>\$1.79</b>	<b>\$1.70</b>	<b>19.72%</b>	<b>\$1.89</b>	<b>11.21%</b>

\*1 In-House Custodial Services for only 3 months in FY09-10.

Department: **53000 - Public Works Department**  
 Division: **53300 - Maintenance >> Internal Services**  
 Program: **53320 - Building Maintenance**

**PERFORMANCE OBJECTIVES**

Provide maintenance and repair services for all City buildings and facilities. Such services include: structural, electrical, plumbing, HVAC, and moving services.

1. To provide building maintenance for 52 City buildings and leased facilities, with over 251,914 square feet to be maintained.
2. To perform preventative maintenance on City buildings and maintain their HVAC and energy management systems.
3. To perform maintenance repairs on City buildings and other miscellaneous work tasks.
4. To establish a proactive maintenance system for facilities.
5. To maintain building repair cost data.

**COMMENTARY**

In both FY12-12 and FY12-13, program costs showed modest increases.

In FY13-14, program costs will show a minor increase. Contracted services are expected to exceed their budget.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in contracted services.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	446,705	453,907	1.6%	478,900	437,840	91.4%	-3.5%	493,500	3.0%
Contracted Services	89,451	101,298	13.2%	71,730	95,530	133.2%	-5.7%	123,640	72.4%
Commodities	69,451	67,640	-2.6%	78,500	72,500	92.4%	7.2%	79,500	1.3%
Internal Charges	30,883	32,413	5.0%	44,460	44,400	99.9%	37.0%	41,430	-6.8%
Other Payments	0	0		9,500	9,500			30,000	
Program Total	636,490	655,258	2.9%	683,090	659,770	96.6%	0.7%	768,070	12.4%
Amended Budget	642,410	683,910			683,090				
% of Amended Spent	99.1%	95.8%			96.6%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			30,000	
Building Maintenance Fund 615	636,490	655,258	2.9%	683,090	659,770	96.6%	0.7%	738,070	8.0%
Capital Projects Funds	0	0		0	0			0	
Central Garage Fund 601	0	0		0	0			0	
Program Total	636,490	655,258	2.9%	683,090	659,770	96.6%	0.7%	768,070	12.4%

**PROGRAM STAFFING***Regular Positions*

Deputy Director of PW	0.00	0.00		0.00	0.00			0.00	
Pub Wks Superintendent	0.25	0.25		0.25	0.25			0.25	
Building Maintenance Worker	3.00	3.00		3.00	3.00			3.00	
Maintenance Worker II	0.50	0.50		0.50	0.50			0.50	
Senior Building Maint Worker	1.00	1.00		1.00	1.00			1.00	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	4.75	4.75	0.0%	4.75	4.75	100.0%	0.0%	4.75	0.0%
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Department: **53000 - Public Works Department**  
 Division: **53300 - Maintenance >> Internal Services**  
 Program: **53330 - Custodial Maintenance**

**PERFORMANCE OBJECTIVES**

Provide custodial service for City owned buildings: City Hall, Police Facility, Senior Center, Community Center, Library, Recreation Center, Old Jail, BSC, Tracy Trans, City Hall Annex, and others.

1. To provide custodial maintenance for 25 City buildings and leased facilities, with over 240,354 feet to be maintained.
2. To maintain 21 City facilities 5 days per week, and 4 facilities 7 days per week.
3. To clean carpets, wash windows, and wax floors semi-annually.
4. To purchase all paper products and chemicals for the contracted services.
5. To contract for all custodial services at City buildings, administering contract of about \$300,000.

**COMMENTARY**

In FY09-10, 5 Custodian positions were deleted, while custodial work was contracted out. Since then, program costs have shown sizable decreases. In both FY11-12 and FY12-13, program costs have shown decreases. In FY12-13, the various custodial contracts were moved from this program to the budgets of the departments using the facilities. This program provides management of the contracts, and funds custodial supplies.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	37,343	38,164	2.2%	41,800	38,640	92.4%	1.2%	43,100	3.1%
Contracted Services	239,026	0	-100.0%	20,490	7,600	37.1%		20,490	0.0%
Commodities	19,384	17,918	-7.6%	30,650	27,380	89.3%	52.8%	37,650	22.8%
Internal Charges	0	9,590		10,590	10,500	99.2%		10,590	0.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>295,753</b>	<b>65,672</b>	<b>-77.8%</b>	<b>103,530</b>	<b>84,120</b>	<b>81.3%</b>	<b>28.1%</b>	<b>111,830</b>	<b>8.0%</b>
Amended Budget	344,000	82,900			103,530				
% of Amended Spent	86.0%	79.2%			81.3%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	0	0		0	0			0	
Building Maintenance Fund 615	295,753	65,672	-77.8%	103,530	84,120	81.3%	28.1%	111,830	8.0%
<b>Program Total</b>	<b>295,753</b>	<b>65,672</b>	<b>-77.8%</b>	<b>103,530</b>	<b>84,120</b>	<b>81.3%</b>	<b>28.1%</b>	<b>111,830</b>	<b>8.0%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Deputy Director of PW	0.00	0.00		0.00	0.00			0.00	
Pub Wks Superintendent	0.25	0.25		0.25	0.25			0.25	
Custodians	0.00	0.00		0.00	0.00			0.00	
Senior Custodian	0.00	0.00		0.00	0.00			0.00	
Parks Supervisor	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Custodial Aides	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>0.25</b>	<b>0.25</b>	<b>0.0%</b>	<b>0.25</b>	<b>0.25</b>	<b>100.0%</b>	<b>0.0%</b>	<b>0.25</b>	<b>0.0%</b>

CORE MEASURES and Supporting Data for STREET MAINTENANCE Programs  
from

ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
<b>Street System Characteristics</b>									
Centerline Miles	241	241	241	0.00%	241	241	0.00%	241	0.00%
Lane Miles Maintained	533	536	536	0.00%	533	533	-0.56%	533	0.00%
Street Lights (City Owned)	4,300	4,350	4,350	0.00%	4,350	4,350	0.00%	4,350	0.00%
Street Lights (PG&E Owned)	1,080	1,080	1,080	0.00%	1,080	1,080	0.00%	1,080	0.00%
Signalized Intersections	68	68	68	0.00%	81	81	19.12%	87	7.41%
Traffic Signal Devices	2,250	2,250	2,250	0.00%	2,250	2,250	0	2,264	0.62%
Street Trees	43,308	43,308	43,308	0.00%	43,308	43,308	0.00%	39,053	-9.82%
Program Costs (\$1,000)	\$2,573	\$3,070	\$3,053	-0.55%	\$3,457	\$3,346	9.60%	\$3,530	5.50%
<b>per Lane Mile</b>	<b>\$4,827.02</b>	<b>\$5,727.61</b>	<b>\$5,695.90</b>	<b>-0.55%</b>	<b>\$6,486.49</b>	<b>\$6,277.67</b>	<b>10.21%</b>	<b>\$6,622.89</b>	<b>5.50%</b>
<b>per Capita</b>	<b>\$30.91</b>	<b>\$36.74</b>	<b>\$36.14</b>	<b>-1.62%</b>	<b>\$40.91</b>	<b>\$39.30</b>	<b>8.72%</b>	<b>\$40.86</b>	<b>3.97%</b>
<b>Street Sweeping</b>									
Linear Miles Swept	12,750	12,750	12,750	0.00%	12,750	12,750	0.00%	12,750	0.00%
Sweeping Costs (\$1,000)	\$276.2	\$263.5	\$330.9	25.58%	\$281.3	\$270.9	-18.13%	\$314.3	16.02%
<b>per Mile Swept</b>	<b>\$21.66</b>	<b>\$20.67</b>	<b>\$25.95</b>	<b>25.58%</b>	<b>\$22.06</b>	<b>\$21.25</b>	<b>-18.13%</b>	<b>\$24.65</b>	<b>16.02%</b>
<b>per Capita</b>	<b>\$3.32</b>	<b>\$3.15</b>	<b>\$3.92</b>	<b>24.23%</b>	<b>\$3.33</b>	<b>\$3.18</b>	<b>-18.79%</b>	<b>\$3.64</b>	<b>14.34%</b>
<b>Road Conditions</b>									
Paved Lane Miles Assessed	533								
Miles Assessed Satisfactory									
% of Mileage Assessed Satisfactory									
<b>Citizen Survey Ratings</b>									
Road Conditions: Good					87%			87%	
Street Sweeping: Good					66%			66%	
Street Sweeping: Fair					26%			26%	

Department: 53000 - Public Works Department  
 Division: 53400 - Maintenance >> Streets  
 Program: 53410 - Roadway Maintenance

**PERFORMANCE OBJECTIVES**

1. To maintain the roadway on 533 lane miles of City streets.
2. To respond to service requests within 2 business days and resolve within ten working days.
3. To respond to spills as soon as possible and remove debris within one business day.
4. To repair potholes within 2 business days.
5. To provide traffic control for special events.

Maintenance and repair of the roadway surface on City streets and the cleaning of catch basins within street right-of-ways. Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.

**COMMENTARY**

In FY11-12, program costs showed a minimal decrease. While personnel costs were up, material costs and internal charges were down. In FY12-13, program costs showed a modest increase, primarily due to full and added staffing. In FY13-14, program costs will show a modest decrease. Personnel costs are down, due to vacancies; but also, material costs are running less than budget. For FY14-15, program staffing will increase supervisory hours. The program budget provides for the added staffing, some increases in internal charges, but maintains current funding for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
<b>PROGRAM EXPENDITURES</b>									
Personnel Expenses	556,326	607,730	9.2%	627,800	499,850	79.6%	-17.8%	666,000	6.1%
Contracted Services	5,526	5,639	2.0%	11,730	9,700	82.7%	72.0%	11,230	-4.3%
Commodities	65,198	43,067	-33.9%	98,150	49,720	50.7%	15.4%	98,150	0.0%
Internal Charges	142,000	142,131	0.1%	163,970	163,900	100.0%	15.3%	166,480	1.5%
Other Payments	0	0		0	0			0	
Program Total	769,050	798,567	3.8%	901,650	723,170	80.2%	-9.4%	941,860	4.5%
Amended Budget	775,920	913,710			901,650				
% of Amended Spent	99.1%	87.4%			80.2%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	70,667	51,967	-26.5%	151,650	13,170	8.7%	-74.7%	191,860	26.5%
TDA & Gas Tax Funds 24x	698,383	746,600	6.9%	750,000	710,000	94.7%	-4.9%	750,000	
Program Total	769,050	798,567	3.8%	901,650	723,170	80.2%	-9.4%	941,860	4.5%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Pub Wks Superintendent	0.20	0.20		0.20	0.20			0.30	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	1.00	1.50		1.50	1.50			1.50	
Maintenance Worker II	4.00	4.00		4.00	4.00			4.00	
Maintenance Worker I	0.80	0.80		0.80	0.80			0.80	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	6.00	6.50	8.3%	6.50	6.50	100.0%	0.0%	6.60	1.5%

Department: **53000 - Public Works Department**  
 Division: **53400 - Maintenance >> Streets**  
 Program: **53420 - Sidewalk & Median Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance and repair of concrete surfaces of City streets, including sidewalks, curbs, and gutters.

1. To maintain the sidewalks, curbs, gutters on 533 lane miles of City streets.
2. To eliminate trip hazards within one business day of notification.
3. To respond to service requests within one business day and to resolve within 10 days.
4. To protect City trees where possible and minimize root damage.

**COMMENTARY**

Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.  
 In FY11-12, program costs showed a modest increase. In FY12-13, program staffing lost a Maintenance Worker. Program costs showed a modest decrease.  
 In FY13-14, program costs will show a modest decrease. Personnel costs will show a decrease, due to vacancies.  
 For FY14-15, program staffing will increase supervisory hours. The program budget provides the added staffing, some increases in internal charges and contracted services.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	304,828	309,773	1.6%	334,100	289,650	86.7%	-6.5%	363,100	8.7%
Contracted Services	14,183	3,543	-75.0%	19,230	7,000	36.4%	97.6%	25,730	33.8%
Commodities	36,748	29,864	-18.7%	54,770	32,450	59.2%	8.7%	54,770	0.0%
Internal Charges	75,416	74,756	-0.9%	83,120	75,000	90.2%	0.3%	85,050	2.3%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>431,175</b>	<b>417,936</b>	<b>-3.1%</b>	<b>491,220</b>	<b>404,100</b>	<b>82.3%</b>	<b>-3.3%</b>	<b>528,650</b>	<b>7.6%</b>
Amended Budget	504,660	469,750			491,220				
% of Amended Spent	85.4%	89.0%			82.3%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	56,175	15,936	-71.6%	61,220	14,100	23.0%	-11.5%	98,650	61.1%
TDA & Gas Tax Funds 24x	375,000	402,000	7.2%	430,000	390,000	90.7%	-3.0%	430,000	0.0%
Drainage Fund 541	0	0		0	0			0	
<b>Program Total</b>	<b>431,175</b>	<b>417,936</b>	<b>-3.1%</b>	<b>491,220</b>	<b>404,100</b>	<b>82.3%</b>	<b>-3.3%</b>	<b>528,650</b>	<b>7.6%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12	FY12-13	% Cost Change	FY13-14	FY13-14	% of Budget	% Cost Change	FY14-15	% Budget Change
<i>Regular Positions</i>									
Pub Wks Superintendent	0.22	0.22		0.22	0.22			0.30	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	1.00	1.00		1.00	1.00			1.00	
Maintenance Worker II	3.00	2.00		2.00	2.00			2.00	
Maintenance Worker I	0.10	0.10		0.10	0.10			0.10	
<i>Other Staffing (Full-Time Equivalent)</i>									
<b>Total - Full-Time Equivalent</b>	<b>4.32</b>	<b>3.32</b>	<b>-23.1%</b>	<b>3.32</b>	<b>3.32</b>	<b>100.0%</b>	<b>0.0%</b>	<b>3.40</b>	<b>2.4%</b>

Department: **53000 - Public Works Department**  
 Division: **53400 - Maintenance >> Streets**  
 Program: **53430 - Street Sweeping**

**PERFORMANCE OBJECTIVES**

Sweeping and cleaning of City streets, medians, and parking lots. Since 1-1-99, this service is carried out through a contractor. Staff costs for special events clean-ups and emergency spill clean-ups.

1. To sweep 12,800 miles of City streets annually.
2. To sweep all residential streets every 2 weeks.
3. To administer & monitor contract street sweeping.
4. To sweep all alleys and parking lots once each month.
5. To sweep the Downtown Business District twice a week.
6. To contract for \$200,000 worth of street sweeping and \$95,000 for the annual Leaf-Pick Up.

**COMMENTARY**

In FY98-99, program work was contracted out, and program workload has increased 77% from 7,230 miles up to 12,800 miles of streets swept annually. In FY12-13, program costs showed a major increase, due to higher contracted costs. Costs were over budget. In FY13-14, program costs will show a moderate decrease. Contracted costs are down for the year.

For FY14-15, the program budget provides for some added contracted costs. But otherwise, current funding is maintained.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	38,714	41,890	8.2%	43,700	43,300	99.1%	3.4%	44,600	2.1%
Contracted Services	316,345	372,866	17.9%	351,870	311,800	88.6%	-16.4%	355,870	1.1%
Commodities	616	1,138	84.7%	5,540	3,540	63.9%		5,540	0.0%
Internal Charges	3,320	3,320	0.0%	3,320	3,300	99.4%	-0.6%	3,320	0.0%
Other Payments	0	0		0	0			0	
Program Total	358,995	419,214	16.8%	404,430	361,940	89.5%	-13.7%	409,330	1.2%
Amended Budget	377,700	382,030			404,430				
% of Amended Spent	95.0%	109.7%			89.5%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	40,140	23,812	-40.7%	39,300	23,000	58.5%	-3.4%	24,500	-37.7%
TDA & Gas Tax Funds 24x	0	0		0	0			0	
Solid Waste Fund 531	318,855	395,402	24.0%	365,130	338,940	92.8%	-14.3%	384,830	5.4%
Program Total	358,995	419,214	16.8%	404,430	361,940	89.5%	-13.7%	409,330	1.2%

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Pub Wks Superintendent	0.10	0.10		0.10	0.10			0.10	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	0.00	0.00		0.00	0.00			0.00	
Maintenance Worker II	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	0.10	0.10	0.0%	0.10	0.10	100.0%	0.0%	0.10	0.0%

Department: **53000 - Public Works Department**  
 Division: **53400 - Maintenance >> Streets**  
 Program: **53440 - Traffic Maintenance**

**PERFORMANCE OBJECTIVES**

1. To maintain traffic signs and markings on 533 lane miles of City streets.
2. To evaluate traffic markings for reapplication of thermal plastic.
3. To replace damaged "critical" signs within two hours of receiving notification.
4. To respond to customers concerns/service requests within one business day.

To maintain proper traffic marking and signing on City streets.  
 Since FY98-99, City street mileage has increased 55% from 344 to 533 lane miles.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. Both personnel and contracted costs were up. In FY12-13, program costs showed a moderate decrease with contracted work down for the year.

In FY13-14, program costs will show a moderate increase. Contracted costs are up for the year.

For FY14-15, program staffing will increase supervisory hours. The program budget provides for the added staffing, some increases in internal charges, but maintains current funding for other items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	210,065	217,299	3.4%	232,100	221,670	95.5%	2.0%	250,000	7.7%
Contracted Services	27,311	861	-96.8%	20,050	21,780	108.6%	2429.6%	19,350	-3.5%
Commodities	39,814	39,533	-0.7%	45,680	41,380	90.6%	4.7%	45,680	0.0%
Internal Charges	31,264	31,975	2.3%	42,160	42,000	99.6%	31.4%	44,590	5.8%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>308,454</b>	<b>289,668</b>	<b>-6.1%</b>	<b>339,990</b>	<b>326,830</b>	<b>96.1%</b>	<b>12.8%</b>	<b>359,620</b>	<b>5.8%</b>
Amended Budget	310,020	323,740			339,990				
% of Amended Spent	99.5%	89.5%			96.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	98,454	49,668	-49.6%	49,990	36,830	73.7%	-25.8%	69,620	39.3%
Traffic Fines Sub-fund 105	90,000	90,000	0.0%	90,000	90,000	100.0%	0.0%	90,000	0.0%
TDA & Gas Tax Funds 24x	120,000	150,000	25.0%	200,000	200,000	100.0%	33.3%	200,000	0.0%
<b>Program Total</b>	<b>308,454</b>	<b>289,668</b>	<b>-6.1%</b>	<b>339,990</b>	<b>326,830</b>	<b>96.1%</b>	<b>12.8%</b>	<b>359,620</b>	<b>5.8%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12	FY12-13	% Change	FY13-14	FY13-14	% of Budget	% Change	FY14-15	% Budget
<i>Regular Positions</i>									
Pub Wks Superintendent	0.09	0.09		0.09	0.09			0.15	
Senior Maintenance Worker	1.00	1.00		1.00	1.00			1.00	
Maintenance Worker II	1.00	1.00		1.00	1.00			1.00	
Maintenance Worker I	0.10	0.10		0.10	0.10			0.10	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>2.19</b>	<b>2.19</b>	<b>0.0%</b>	<b>2.19</b>	<b>2.19</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.25</b>	<b>2.7%</b>

Department: 53000 - Public Works Department  
 Division: 53400 - Maintenance >> Streets  
 Program: 53460 - Street Tree Maintenance

**PERFORMANCE OBJECTIVES**

Maintenance of City owned trees, including inspections, trimming, replacements, removals, and new planting.

1. To provide maintenance for about 9,900 street trees.
5. To replant about 30 street trees during the year.
3. To remove hazardous trees as they are identified.
4. To establish a programmed pruning cycle for street trees.
5. To contract for \$198,520 worth of street tree trimming.

**COMMENTARY**

In FY09-10, maintenance crews were deleted, and tree trimming work was contracted out. In both FY11-12 and FY12-13, program costs show modest increase. Contracted work is significantly higher in FY12-13.

In FY13-14, program costs will show a moderate increase. Contracted costs are up for the year; while personnel costs are less than budgeted.

For FY14-15, program staffing provides for contract management and a contingent level for City staff for emergency work. The program budget provides for this staffing, as well as \$198,250 for contract tree trimming.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	93,750	50,344	-46.3%	76,100	36,880	48.5%	-26.7%	78,200	2.8%
Contracted Services	164,908	192,822	16.9%	200,650	236,400	117.8%	22.6%	200,650	0.0%
Commodities	3,565	1,549	-56.5%	4,700	4,270	90.9%	175.7%	4,700	0.0%
Internal Charges	33,245	51,436	54.7%	48,320	48,000	99.3%	-6.7%	49,880	3.2%
Other Payments	0	0		0	0			0	
Program Total	295,468	296,151	0.2%	329,770	325,550	98.7%	9.9%	333,430	1.1%
Amended Budget	315,470	327,650			367,141				
% of Amended Spent	93.7%	90.4%			88.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	45,468	46,151	1.5%	29,770	35,550	119.4%	-23.0%	33,430	12.3%
TDA & Gas Tax Funds 24x	250,000	250,000	0.0%	300,000	290,000	96.7%	16.0%	300,000	0.0%
Program Total	295,468	296,151	0.2%	329,770	325,550	98.7%	9.9%	333,430	1.1%

**PROGRAM STAFFING***Regular Positions*

Parks Superintendent	0.45	0.15		0.15	0.15			0.15	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	0.00	0.10		0.10	0.10			0.10	
Maintenance Worker II	0.00	0.30		0.30	0.30			0.30	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Maint Worker I (Seasonal)	0.00	0.00		0.00	0.00			0.00	
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Total - Full-Time Equivalents	0.45	0.55	22.2%	0.55	0.55		0.0%	0.55	
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Department: **53000 - Public Works Department**  
 Division: **53400 - Maintenance >> Streets**  
 Program: **53470 - Graffiti Removal**

**PERFORMANCE OBJECTIVES**

Daily patrol and removal of graffiti from public properties.

1. To remove identified graffiti from public property within 24 to 72 hours.
2. To report all graffiti to the Police Department for data recording.
3. To maintain adequate stock of paints & chemicals for graffiti removal.
4. To maintain graffiti removal cost data.
5. To recover clean-up costs whenever possible.

**COMMENTARY**

This program was established new in FY96-97 with a half-time Maintenance Worker as part of a special citywide effort devoted to the removal of graffiti from public properties.

In FY11-12, program costs showed an increase; both material costs and internal charges were up.

In FY13-14, program costs will show a moderate increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	51,233	53,397	4.2%	54,500	53,690	98.5%	0.5%	56,150	3.0%
Contracted Services	162	307	89.5%	940	840	89.4%	173.6%	940	0.0%
Commodities	8,134	9,718	19.5%	15,980	15,600	97.6%	60.5%	15,980	0.0%
Internal Charges	14,132	17,134	21.2%	17,960	17,600	98.0%	2.7%	20,450	13.9%
Other Payments	0	0		0	0			0	
Program Total	73,661	80,556	9.4%	89,380	87,730	98.2%	8.9%	93,520	4.6%
Amended Budget	82,360	81,390			89,380				
% of Amended Spent	89.4%	99.0%			98.2%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	73,661	80,556	9.4%	89,380	87,730	98.2%	8.9%	93,520	4.6%
Program Total	73,661	80,556	9.4%	89,380	87,730	98.2%	8.9%	93,520	4.6%

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Pub Wks Superintendent	0.05	0.05		0.05	0.05			0.05	
Maintenance Worker II	0.50	0.50		0.50	0.50			0.50	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
General Laborer	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	0.55	0.55		0.55	0.55	100.0%		0.55	0.0%

Department: **53000 - Public Works Department**  
 Division: **53400 - Maintenance >> Streets**  
 Program: **53480 - Traffic & Lighting Electric**

**PERFORMANCE OBJECTIVES**

Electric costs for traffic signals and street lights. These costs are paid from the General, TDA, and Gas Tax Funds.

1. To pay electric bills for 68 City traffic signals and about 4,350 City-owned street lights and 1,080 PG&E owned street lights.

**COMMENTARY**

Program costs have increased over the last few years due to City growth, and the addition of new streets and subdivisions to the City. Since FY99-00, program workload has increased 56% from 3,583 up to 5,583 street lights.

In FY11-12, program costs showed a moderate increase; but in FY12-13, a modest decrease occurred.

In FY13-14, program costs will show a modest increase.

For FY14-15, the program budget provides a contingent amount to pay electric bills for traffic signals and street lights. As budgeted, program costs are expected to show a minor increase.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	653,534	628,938	-3.8%	713,000	650,000	91.2%	3.3%	713,000	0.0%
Commodities	0	0		0	0			0	
Internal Charges	5,352	5,350	0.0%	6,350	5,400	85.0%	0.9%	7,450	17.3%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>658,886</b>	<b>634,288</b>	<b>-3.7%</b>	<b>719,350</b>	<b>655,400</b>	<b>91.1%</b>	<b>3.3%</b>	<b>720,450</b>	<b>0.2%</b>
Amended Budget	663,350	693,350			719,350				
% of Amended Spent	99.3%	91.5%			91.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	108,886	23,988	-78.0%	129,350	90,400	69.9%	276.9%	130,450	0.9%
TDA & Gas Tax Funds 24x	550,000	610,300	11.0%	590,000	565,000	95.8%	-7.4%	590,000	0.0%
Light & Landscape Dist Fund 271	0	0		0	0			0	
<b>Program Total</b>	<b>658,886</b>	<b>634,288</b>	<b>-3.7%</b>	<b>719,350</b>	<b>655,400</b>	<b>91.1%</b>	<b>3.3%</b>	<b>720,450</b>	<b>0.2%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Approved
<i>Regular Positions</i>					
<i>Other Staffing (Full-Time Equivalent)</i>					
<b>Total - Full-Time Equivalent</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Department: **53000 - Public Works Department**  
 Division: **53500 - Maintenance >> Utilities**  
 Program: **53510 - Water Distribution**

**PERFORMANCE OBJECTIVES**

Operate, maintain and repair the City's water distribution system including water mains, service connections, valves, and fire hydrants. Pays Right-of-Ways (ROWs) maintenance fee to the City.

1. To maintain a water distribution system of 420 miles of water lines and 23,450 water service connections.
2. To improve the system by repairing/replacing valves, and water services by performing 250 job orders.
3. To inspect and maintain approximately 2,000 fire hydrants.
4. To continue water main flushings and valve exercising.
5. To respond to water main breaks and service leaks within 1 hour.
6. To complete all USA (underground service alert) service requests.
7. To pay \$1,501,300 in ROW maintenance fees.

**COMMENTARY**

In FY11-12, program costs showed a small decrease. Personnel costs were less than budgeted and showed a decrease, due to staff vacancies. In FY12-13, program costs showed a modest increase, with personnel costs showing a major increase due to full-year staffing.

In FY13-14, program costs will show a moderate increase, again with personnel costs showing a major increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURE</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	587,654	711,827	21.1%	770,800	852,530	110.6%	19.8%	799,840	3.8%
Contracted Services	17,526	11,781	-32.8%	13,610	12,850	94.4%	9.1%	18,170	33.5%
Commodities	172,724	124,093	-28.2%	151,570	149,780	98.8%	20.7%	164,070	8.2%
Internal Charges	152,595	142,824	-6.4%	160,980	157,000	97.5%	9.9%	166,770	3.6%
Other Payments	1,415,000	1,457,500	3.0%	1,501,300	1,500,000	99.9%	2.9%	1,501,300	0.0%
<b>Program Total</b>	<b>2,345,499</b>	<b>2,448,025</b>	<b>4.4%</b>	<b>2,598,260</b>	<b>2,672,160</b>	<b>102.8%</b>	<b>9.2%</b>	<b>2,650,150</b>	<b>2.0%</b>
Amended Budget	2,524,270	2,446,630			2,598,260				
% of Amended Spen	92.9%	100.1%			102.8%				

**FUNDING SOURCES**

Water Fund 511	2,345,499	2,448,025	4.4%	2,598,260	2,672,160	102.8%	9.2%	2,650,150	2.0%
<b>Program Total</b>	<b>2,345,499</b>	<b>2,448,025</b>	<b>4.4%</b>	<b>2,598,260</b>	<b>2,672,160</b>	<b>102.8%</b>	<b>9.2%</b>	<b>2,650,150</b>	<b>2.0%</b>

**PROGRAM STAFFING**

*Regular Positions*

Util Lines Maint (PW) Supt	0.40	0.40		0.40	0.40			0.40	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	2.00	2.00		1.00	1.00			1.00	
Maintenance Worker II	4.00	4.00		5.00	5.00			5.00	
Maintenance Worker I	1.00	1.00		1.00	1.00			1.00	

*Other Staffing (Full-Time Equivalents)*

<b>Total - Full-Time Equivalents</b>	<b>7.40</b>	<b>7.40</b>	<b>0.0%</b>	<b>7.40</b>	<b>7.40</b>	<b>100.0%</b>	<b>0.0%</b>	<b>7.40</b>	<b>0.0%</b>
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Department: **53000 - Public Works Department**  
 Division: **53500 - Maintenance >> Utilities**  
 Program: **53520 - Water Meters**

**PERFORMANCE OBJECTIVES**

Read, test, repair, and replace water meters on the City's water system and provide water turn-on & off services.

1. To read over 23,450 water meters on a monthly basis to provide data for regular service billing.
2. To improve the system by replacing about 2,000 meters with new standard meters, with radio read devices.
3. To replace meter registers within ten days of notification.
4. To complete all water service requests on a timely basis.
5. To retrofit 900 meters with flex net transmitters.
6. To purchase \$220,000 in meter replacements.

**COMMENTARY**

In FY11-12, program costs showed a minor decrease. Personnel costs were down due to staff vacancies; meter replacements costs were up.

In FY12-13, program staffing deleted a Maintenance Worker. Program costs showed a slight decrease.

In FY13-14, program costs will show a moderate increase, with personnel costs showing a moderate increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURE</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	964,502	918,649	-4.8%	1,058,200	977,550	92.4%	6.4%	1,098,700	3.8%
Contracted Services	14,607	21,651	48.2%	37,060	26,500	71.5%	22.4%	34,760	-6.2%
Commodities	246,120	287,084	16.6%	288,280	285,000	98.9%	-0.7%	305,280	5.9%
Internal Charges	65,676	61,585	-6.2%	85,900	85,000	99.0%	38.0%	86,720	1.0%
Other Payments	0	0		0	0			0	
Program Total	1,290,905	1,288,969	-0.1%	1,469,440	1,374,050	93.5%	6.6%	1,525,460	3.8%
Amended Budget	1,509,980	1,486,090			1,469,440				
% of Amended Spen	85.5%	86.7%			93.5%				

**FUNDING SOURCES**

Water Fund 511	1,290,905	1,288,969	-0.1%	1,469,440	1,374,050	93.5%	6.6%	1,525,460	3.8%
Program Total	1,290,905	1,288,969	-0.1%	1,469,440	1,374,050	93.5%	6.6%	1,525,460	3.8%

**PROGRAM STAFFING***Regular Positions*

Util Lines Maint (PW) Supt	0.25	0.25		0.25	0.25			0.25	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	1.00	1.00		1.00	1.00			1.00	
Maintenance Worker II	8.00	7.00		7.00	7.00			7.00	
Meter Reader	3.00	3.00		3.00	3.00			3.00	

*Other Staffing (Full-Time Equivalents)*

Meter Reader	0.00	0.00		0.00	0.00			0.00	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	

Total - Full-Time Equivalents	12.25	11.25	-8.2%	11.25	11.25	100.0%	0.0%	11.25	0.0%
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Department: 53000 - Public Works Department  
 Division: 53500 - Maintenance >> Utilities  
 Program: 53530 - Wastewater Collection

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's sewer collection system including sewer mains and service laterals. Pays the Right-of-Ways (ROWs) maintenance fee to the City.

1. To maintain a wastewater collection system of 410 miles of sewer lines and 23,450 sewer service connections.
2. To provide preventive maintenance of the collection system by cleaning 20 miles of sewer mains.
3. To respond to sewer system overflows within one hour.
4. To repair/unplug sewer laterals and mains on a timely basis.
5. To pay \$1,184,500 in ROW maintenance fees.

**COMMENTARY**

In FY11-12, program costs showed a minor decrease. In FY12-13, program costs showed a modest increase.

In FY13-14, program costs will show a modest increase, with personnel costs showing a moderate increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements for other cost items.

<b>PROGRAM EXPENDITURE</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	224,321	236,567	5.5%	225,000	230,280	102.3%	-2.7%	233,000	3.6%
Contracted Services	12,418	18,285	47.2%	15,980	15,850	99.2%	-13.3%	15,280	-4.4%
Commodities	28,914	19,411	-32.9%	33,040	32,000	96.9%	64.9%	87,740	165.6%
Internal Charges	62,409	70,275	12.6%	89,400	85,000	95.1%	21.0%	92,460	3.4%
Other Payments	1,150,000	1,184,500	3.0%	1,220,100	1,220,000	100.0%	3.0%	1,220,100	0.0%
<b>Program Total</b>	<b>1,478,062</b>	<b>1,529,038</b>	<b>3.4%</b>	<b>1,583,520</b>	<b>1,583,130</b>	<b>100.0%</b>	<b>3.5%</b>	<b>1,648,580</b>	<b>4.1%</b>
Amended Budget	1,496,600	1,524,690			1,583,520				
% of Amended Spen	98.8%	100.3%			100.0%				

**FUNDING SOURCES**

Wastewater Fund 521	1,478,062	1,529,038	3.4%	1,583,520	1,583,130	100.0%	3.5%	1,648,580	4.1%
<b>Program Total</b>	<b>1,478,062</b>	<b>1,529,038</b>	<b>3.4%</b>	<b>1,583,520</b>	<b>1,583,130</b>	<b>100.0%</b>	<b>3.5%</b>	<b>1,648,580</b>	<b>4.1%</b>

**PROGRAM STAFFING**

*Regular Positions*

Util Lines Maint (PW) Supt	0.20	0.20		0.20	0.20			0.20	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	0.60	0.60		0.60	0.60			0.60	
Maintenance Worker II	2.20	1.20		1.20	1.20			1.20	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

<b>Total - Full-Time Equivalents</b>	<b>3.00</b>	<b>2.00</b>	<b>-33.3%</b>	<b>2.00</b>	<b>2.00</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.00</b>	<b>0.0%</b>
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Department: **53000 - Public Works Department**  
 Division: **53500 - Maintenance >> Utilities**  
 Program: **53540 - Drainage Maintenance**

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's storm drainage system including storm drains, catch basins, channels, ditches, and ponds. Program expenses includes electric costs for drainage pumps.

1. To maintain 150 miles of storm drains and and 20 miles of channels.
2. To keep storm drains, catch basins, and channels clear of debris.
3. To maintain routine weed abatement of all storm drain ponds and channels.
4. To respond to hazardous spills/dumping on a timely basis.
5. To inspect storm drain lines on a routine basis via TV.

**COMMENTARY**

In FY11-12, program costs showed a minor increase. Personnel costs showed a decrease, due to staff vacancies. In FY12-13, program staffing was increased, and program costs showed a major increase.

In FY13-14, program costs will show a slight decrease, with personnel costs decreasing due to staff vacancies.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and some enhancements for other cost items. The budget will show a modest decrease; a one-time items was budgeted in the prior year.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURE</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	205,227	256,398	24.9%	283,900	239,250	84.3%	-6.7%	294,200	3.6%
Contracted Services	60,210	75,713	25.7%	111,020	76,780	69.2%	1.4%	75,020	-32.4%
Commodities	16,962	21,958	29.5%	30,900	25,400	82.2%	15.7%	33,900	9.7%
Internal Charges	31,498	36,743	16.7%	41,880	40,000	95.5%	8.9%	52,960	26.5%
Other Payments	3,917	3,990	1.9%	9,300	9,000	96.8%	125.6%	9,300	0.0%
Program Total	317,814	394,802	24.2%	477,000	390,430	81.9%	-1.1%	465,380	-2.4%
Amended Budget	349,590	426,450			477,000				
% of Amended Spen	90.9%	92.6%			81.9%				
<b><u>FUNDING SOURCES</u></b>									
Drainage Fund 541	267,814	324,802	21.3%	387,000	300,430	77.6%	-7.5%	375,380	-3.0%
TDA & Gas Tax Funds 24x	50,000	70,000	40.0%	90,000	90,000	100.0%		90,000	0.0%
Program Total	317,814	394,802	24.2%	477,000	390,430	81.9%	-1.1%	465,380	-2.4%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Util Lines Maint (PW) Supt	0.15	0.15		0.15	0.15			0.15	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	0.40	0.80		0.80	0.80			0.80	
Maintenance Worker II	0.80	1.20		1.20	1.20			1.20	
Plant Mechanic	0.25	0.25		0.25	0.25			0.25	
Maintenance Supervisor/Mar	0.10	0.10		0.10	0.10			0.10	
Senior Electrician	0.05	0.05		0.05	0.05			0.05	
Instrumentation Technician	0.05	0.05		0.05	0.05			0.05	
Admin Asst II-P&PM Clerk	0.10	0.10		0.10	0.10			0.10	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	1.90	2.70	42.1%	2.70	2.70	100.0%	0.0%	2.70	0.0%

## CORE MEASURES and Supporting Data for PARKS &amp; RECREATION Programs

from ICMA-CPM Data Templates	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
<b>Park System Characteristics</b>									
Park Sites	70	70	70	0.00%	70	72	2.86%	72	0.00%
Park Acreage	260	260	260	0.00%	260	272	4.62%	272	0.00%
Recreation/Community Facilitie	5	5	5	0.00%	5	5	0.00%	5	0.00%
Playgrounds	85	85	85	0.00%	85	85	0.00%	85	0.00%
Playfields	30	30	30	0.00%	30	30	0.00%	30	0.00%
Maintenance Program Costs (\$1,000)	\$3,584	\$3,709	\$3,624	-2.29%	\$4,759	\$3,884	7.18%	\$4,481	15.38%
per Acre	\$13,783.85	\$14,264.23	\$13,938.08	-2.29%	\$18,303.85	\$14,279.41	2.45%	\$16,475.74	15.38%
per Capita	\$43.05	\$44.38	\$42.90	-3.33%	\$56.32	\$45.62	6.32%	\$51.87	13.71%
Recreation Program Costs (\$1,000)	\$1,703	\$1,639	\$1,876	14.47%	\$2,150	\$1,975	5.32%	\$2,086	5.58%
per Capita	\$20.46	\$19.61	\$22.21	13.24%	\$25.44	\$23.20	4.47%	\$24.14	4.05%
<b>Citizen Survey Ratings</b>									
Range of Activities: Good or Better					77.0%			77.0%	
Range of Activities: Fair					19.4%			19.4%	
Recreation Programs: Good or Better					72.8%			72.8%	
Recreation Programs: Fair					22.0%			22.0%	
Facility Appearance: Good or Better					68.9%			68.9%	
Facility Appearance: Fair					24.6%			24.6%	
Facility Safety: Good or Better					61.6%			61.6%	
Facility Safety: Fair					30.7%			30.7%	
Overall Rating: Good or Better					67.9%			67.9%	
Overall Rating: Fair					27.0%			27.0%	

Department: **53000 - Public Works Department**  
 Division: **53700 - Maintenance >> Parks**  
 Program: **53710 - Park Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance of City parks, grounds, and landscaped medians including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

1. To maintain 23 City parks and other landscaped areas and medians, consisting of over 200 acres.
2. To inspect, maintain, and repair equipment at 27 playgrounds, in a safe condition and in adherence with all State and Federal guidelines.
3. To continue the programmed tree pruning cycle in City parks.
4. To increase irrigation efficiency in city parks.
5. To reduce pesticide usage through the utilization of technological advances in equipment, materials, and IPM practices.
6. To provide landscaping maintenance for the Civic Center complex and the new Downtown Plaza.

**COMMENTARY**

Since FY97-98, park acreage has increased from 131 up to over 200 acres. But since FY08-09, program staffing has been reduced by 6.35 FTEs. In FY11-12, program costs showed a modest increase. In FY12-13, program costs showed a slight decrease.

In FY13-14, program costs will show a moderate increase. Costs are up in most categories.

For FY14-15, program staffing will add part-time hours but reduce supervisory hours. The program budget provides for revised staffing, some increases in internal charges, and some enhancements for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,069,941	1,063,762	-0.6%	1,295,280	1,168,430	90.2%	9.8%	1,276,200	-1.5%
Contracted Services	133,187	101,631	-23.7%	140,210	121,400	86.6%	19.5%	149,730	6.8%
Commodities	161,366	192,293	19.2%	232,700	209,460	90.0%	8.9%	261,810	12.5%
Internal Charges	238,596	234,251	-1.8%	229,800	234,000	101.8%	-0.1%	274,260	19.3%
Other Payments	0	0		0	0			0	
Program Total	1,603,090	1,591,937	-0.7%	1,897,990	1,733,290	91.3%	8.9%	1,962,000	3.4%
Amended Budget	1,787,330	1,779,370			1,897,990				
% of Amended Spent	89.7%	89.5%			91.3%				

**FUNDING SOURCES**

General Fund 101 - Taxes	1,603,090	1,591,937	-0.7%	1,897,990	1,733,290	91.3%	8.9%	1,962,000	3.4%
Capital Project Funds	0	0		0	0			0	
Program Total	1,603,090	1,591,937	-0.7%	1,897,990	1,733,290	91.3%	8.9%	1,962,000	3.4%

**PROGRAM STAFFING***Regular Positions*

Parks Superintendent	0.45	0.75		0.75	0.75			0.50	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	3.75	3.70		3.70	3.70			3.70	
Maintenance Worker II	7.90	6.75		6.75	6.75			6.75	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Maint Worker (Part-time)	1.50	1.50		1.50	1.40			1.50	
Maint Worker I (Seasonal)	1.50	1.50		1.50	1.50			1.50	

Total - Full-Time Equivalents	15.10	14.20	-6.0%	14.20	14.10	99.3%	-0.7%	13.95	-1.1%
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Department: **53000 - Public Works Department**  
 Division: **53700 - Maintenance >> Parks**  
 Program: **53720 - Sports Field Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance of Tracy Sports Complex and other playing fields, including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

1. To maintain 48 acres of sports facility turf and infields at the highest standard of safety and appearance.
2. To implement a quantitative measure of sorts field conditions through the use of Sports Turf Managers Association "Playing Condition Index" (PCI)
3. To increase bermuda grass cover at Plasencia Fields and Tracy Sports Complex.
4. To build the reputation of our sports fields among the best in the region.
5. To build stronger lines of communications with various sports leagues throughout the City.

**COMMENTARY**

This program was established in FY02-03 to account for the maintenance of the Tracy Sports Complex.  
 Since FY08-09, program staffing has been reduced by 1.15 FTEs. In both FY11-12 and FY12-13, they will show major increases, particularly staffing costs. In FY13-14, program costs will show a moderate decrease. Some costs are being reallocated to the new Legacy Fields program.  
 For FY14-15, some program staffing is being reallocated to the new Legacy Fields program. The program budget provides for revised staffing, some increases in internal charges and commodities.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	196,723	247,629	25.9%	195,600	201,860	103.2%	-18.5%	211,800	8.3%
Contracted Services	61,436	71,679	16.7%	60,880	65,800	108.1%	-8.2%	48,980	-19.5%
Commodities	40,002	47,029	17.6%	60,580	53,000	87.5%	12.7%	66,620	10.0%
Internal Charges	34,287	49,808	45.3%	62,760	62,000	98.8%	24.5%	68,290	8.8%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>332,448</b>	<b>416,145</b>	<b>25.2%</b>	<b>379,820</b>	<b>382,660</b>	<b>100.7%</b>	<b>-8.0%</b>	<b>395,690</b>	<b>4.2%</b>
Amended Budget	335,060	380,020			379,820				
% of Amended Spent	99.2%	109.5%			100.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	332,448	416,145	25.2%	379,820	382,660	100.7%	-8.0%	395,690	3.4%
Capital Project Funds	0	0		0	0			0	
<b>Program Total</b>	<b>332,448</b>	<b>416,145</b>	<b>25.2%</b>	<b>379,820</b>	<b>382,660</b>	<b>100.7%</b>	<b>-8.0%</b>	<b>395,690</b>	<b>4.2%</b>

**PROGRAM STAFFING**

*Regular Positions*

Parks Superintendent	0.10	0.10		0.10	0.08			0.08	
Senior Maintenance Worker	0.25	0.25		0.25	0.22			0.18	
Maintenance Worker II	2.10	1.55		1.55	1.52			1.48	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
General Laborer (Part-time)	0.00	0.00		0.00	0.00			0.00	
General Laborer (Seasonal)	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>2.45</b>	<b>1.90</b>	<b>-22.4%</b>	<b>1.90</b>	<b>1.82</b>	<b>95.8%</b>	<b>-4.2%</b>	<b>1.74</b>	<b>-4.4%</b>

Department: **53000 - Public Works Department**  
 Division: **53700 - Maintenance >> Parks**  
 Program: **53730 - Legacy Fields Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance of the Legacy Fields Complex and other playing fields, including trash pick-up, restroom cleaning, turf mowing, irrigation, vegetation care, and weed abatement.

1. To maintain landscaped areas of Legacy Fields at a base level, ensuring that the existing landscaping survives.
2. To keep weeds under control in non-landscape areas of the facility.

**COMMENTARY**

This program was established in FY13-14 to account for the maintenance of the new Legacy Fields Sports Complex.

In FY13-14, program costs are being reallocated from the Sports Field maintenance program.

For FY14-15, program staffing provides for added hours. The program budget provides for added staffing and basic budget for maintenance.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		8,030	2,430	30.3%		26,150	976.1%
Contracted Services	0	0		13,000	12,000	92.3%		46,000	283.3%
Commodities	0	0		3,300	2,000	60.6%		7,500	275.0%
Internal Charges	0	0		0	0			0	
Other Payments	0	0		0	0			0	
Program Total	0	0		24,330	16,430	67.5%		79,650	384.8%
Amended Budget	0	0			24,330				
% of Amended Spent					67.5%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	0	0		24,330	16,430	67.5%		79,650	384.8%
Capital Project Funds	0	0		0	0			0	
Program Total	0	0		24,330	16,430			79,650	

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Parks Superintendent	0.00	0.00		0.00	0.02			0.02	
Pub Wks Field Supervisor	0.00	0.00		0.00	0.00			0.00	
Senior Maintenance Worker	0.00	0.00		0.00	0.03			0.07	
Maintenance Worker II	0.00	0.00		0.00	0.03			0.07	
Maintenance Worker I	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
General Laborer (Part-time)	0.00	0.00		0.00	0.10			0.10	
General Laborer (Seasonal)	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	0.00	0.00		0.00	0.18			0.26	

Department: **53000 - Public Works Department**  
 Division: **53700 - Maintenance >> Parks**  
 Program: **53750 - Landscaping Districts**

**PERFORMANCE OBJECTIVES**

1. To manage the Consolidated Landscaping Districts with 41 zones maintaining 51 mini-parks with 220 park acres.
2. To maintain Channel ways.
3. To repair irrigation controllers and mainlines in 41 zones.
4. To trim trees as needed to remove hazards.
5. To contract for \$306,730 or less for grounds maintenance and \$129,560 or less for tree maintenance in the City Landscaping Districts.
6. To levy and collect \$2,640,190 in District special assessments.

Maintenance of landscaping within the City's newer subdivisions, including street trees, parkways, medians, and mini-parks. Administer special assessment districts for landscaping.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. But, in FY12-13, In FY12-13, program costs showed a moderate decrease. Both contracted costs and internal charges were down.

In FY13-14, program costs will show a moderate increase. However, costs will be much less than budget.

For FY14-15, program staffing will add a Management Analyst. The program budget provides for added staffing, some increases in internal charges, and a major decrease for contracted work. The budget includes \$941,540 for utilities and \$568,380 for contracted maintenance.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURES</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	583,333	590,948	1.3%	679,000	655,930	96.6%	11.0%	835,800	23.1%
Contracted Services	1,767,839	1,547,145	-12.5%	2,574,040	1,646,040	63.9%	6.4%	1,565,370	-39.2%
Commodities	41,843	52,294	25.0%	117,750	65,000	55.2%	24.3%	121,800	3.4%
Internal Charges	105,675	89,515	-15.3%	112,220	110,000	98.0%	22.9%	120,000	6.9%
Other Payments	34,515	28,412	-17.7%	26,420	26,000	98.4%	-8.5%	277,220	949.3%
Program Total	2,533,205	2,308,314	-8.9%	3,509,430	2,502,970	71.3%	8.4%	2,920,190	-16.8%
Amended Budget	2,780,500	2,790,360			3,509,430				
% of Amended Spent	91.1%	82.7%			71.3%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	194,361	239,756	23.4%	261,000	240,000	92.0%	0.1%	185,000	-29.1%
Landscape Dist Fund 271	2,009,308	1,779,902	-11.4%	2,908,430	1,972,970	67.8%	10.8%	2,420,190	-16.8%
TDA & Gas Tax Funds 24x	190,000	190,000	0.0%	190,000	190,000	100.0%	0.0%	200,000	5.3%
Drainage Enterprise Fund 541	139,536	98,656	-29.3%	150,000	100,000	66.7%	1.4%	115,000	-23.3%
Program Total	2,533,205	2,308,314	-8.9%	3,509,430	2,502,970	71.3%	8.4%	2,920,190	-16.8%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Pub Wks Superintendent	0.49	0.49		0.49	0.49			0.50	
Senior Maintenance Worker	1.00	1.00		1.05	1.05			1.05	
Maintenance Worker	5.00	5.00		5.00	5.00			5.00	
Management Analyst II	0.00	0.00		0.00	0.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Laborers	1.50	1.50		1.50	1.50			1.50	
Total - Full-Time Equivalents	7.99	7.99	0.0%	8.04	8.04	100.0%	0.6%	9.05	12.6%

Department: **53000 - Public Works Department**  
 Division: **53000 - Maintenance Division**  
 Program: **53780 - Community Facilities**

**PERFORMANCE OBJECTIVES**

To offer a clean, usable, and safe facilities for community use.  
 These facilities include: the Community Center and the Historical Museum, and meeting and assembly areas at other City facilities.

1. To coordinate and schedule use of 6 meeting rooms, 2 banquet halls, 15 athletic sites, park sites, and sports fields for permitted use.
2. To manage 13 MOU's with local non-profits groups.
3. To provide customer service at the City Hall for Facility and Park rentals and Recreation class registration.
4. To coordinate the routing of special event requests and applications to City departments for review and approval.
5. To generate over \$135,000 in program revenues.

**COMMENTARY**

In FY11-12, program costs showed a minimal decrease. In FY12-13, program staffing added 2.50 FTEs. So, program costs showed a major increase; both personnel and contracted costs were up.

In FY13-14, program costs will show a moderate increase. However, program revenues are down for the year.

For FY14-15, program staffing will show a 0.90 FTEs increase. The program budget provides for this added staffing, some increases in internal charges, but some reduction in other cost items. Program revenues will show an increase.

In FY12-13, this program was transferred from the Parks and Community Services Department to the Public Works Department.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	%	FY13-14	FY13-14	% of	%	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	162,994	301,903	85.2%	345,610	316,880	91.7%	5.0%	407,940	18.0%
Contracted Services	39,947	62,380	56.2%	70,910	68,900	97.2%	10.5%	64,580	-8.9%
Commodities	4,752	2,095	-55.9%	9,650	8,740	90.6%	317.2%	8,980	-6.9%
Internal Charges	86,831	88,444	1.9%	110,210	105,600	95.8%	19.4%	114,930	4.3%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>294,524</b>	<b>454,822</b>	<b>54.4%</b>	<b>536,380</b>	<b>500,120</b>	<b>93.2%</b>	<b>10.0%</b>	<b>596,430</b>	<b>11.2%</b>
Amended Budget	338,320	486,380			540,580				
% of Amended Spent	87.1%	93.5%			92.5%				

<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	204,066	216,075	5.9%	321,880	298,070	92.6%	37.9%	374,380	16.3%
Facility Fees	90,458	99,069	9.5%	135,000	72,900	54.0%	-26.4%	92,900	-31.2%
Ballfield Fees	0	139,678		79,500	129,150	162.5%	-7.5%	129,150	62.5%
<b>Program Total</b>	<b>294,524</b>	<b>454,822</b>	<b>54.4%</b>	<b>536,380</b>	<b>500,120</b>	<b>93.2%</b>	<b>10.0%</b>	<b>596,430</b>	<b>11.2%</b>

<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Recreation Supervisor	1.00	0.00		0.00	0.00			0.00	
Management Analyst	0.00	1.00		1.00	1.00			1.00	
Admin Asst II-Sr Admin Clerk	0.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recreation Program Coordinator	0.00	0.00		0.00	0.00			0.75	
Facility Attendant II	0.80	0.00		0.00	1.90			2.15	
Recreation Leader III	0.00	0.75		0.65	0.65			0.00	
Recreation Leader II	0.20	1.20		1.90	0.00			0.00	
Recreation Leader I	0.20	0.00		0.00	0.00			0.00	
Clerical	0.00	0.75		0.95	0.95			1.50	
<b>Total - Full-Time Equivalents</b>	<b>2.20</b>	<b>4.70</b>	<b>113.6%</b>	<b>5.50</b>	<b>5.50</b>	<b>100.0%</b>	<b>17.0%</b>	<b>6.40</b>	<b>16.4%</b>

## CORE MEASURES and Supporting Data for SOLID WASTE Programs

from

ICMA-CPM Data Templates

	FY10-11	FY11-12	FY12-13	%	FY13-14	FY13-14	%	FY14-15	%
	Actual	Actual	Actual	Change	Projected	Estimate	Change	Projected	Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
<b>Solid Waste Collection</b>									
Residential Customers	21,503	21,521	20,647	-4.06%	21,500	21,803	5.60%	22,000	0.90%
Tons of Refuse Collected	22,454	22,202	22,300	0.44%	22,300	23,300	4.48%	22,400	-3.86%
Average Ton/Customer	1.04	1.03	1.08	4.69%	1.04	1.07	-1.06%	1.02	-4.72%
Other Customers	786	786	758	-3.56%	850	732	-3.43%	750	2.46%
Tons of Refuse Collected	28,103	29,314	29,200	-0.39%	29,200	31,500	7.88%	33,400	6.03%
Average Ton/Customer	35.75	37.30	38.52	3.29%	34.35	43.03	11.71%	44.53	3.49%
Collection Costs (\$1,000)	\$4,063	\$4,253	\$4,368	2.70%	\$4,670	\$4,628	5.96%	\$4,795	3.59%
per Customer	\$182.29	\$190.66	\$204.06	7.03%	\$208.95	\$205.39	0.65%	\$210.75	2.61%
per Capita	\$48.81	\$50.90	\$51.71	1.60%	\$55.27	\$54.36	5.12%	\$55.49	2.09%
per Ton Collected	\$80.36	\$82.56	\$84.82	2.74%	\$90.68	\$84.46	-0.42%	\$85.93	1.73%
<b>Recycling Activities</b>									
Residential Customers	20,893	21,521	20,647	-4.06%	21,500	21,803	5.60%	22,000	0.90%
Tons of Material Collected	17,156	16,535	18,000	8.86%	18,000	17,200	-4.44%	16,900	-1.74%
Average Ton/Customer	0.82	0.77	0.87	13.47%	0.84	0.79	-9.51%	0.77	-2.62%
Recycling Costs (\$1,000)	\$2,016	\$2,569	\$2,709	5.45%	\$2,402	\$2,380	-12.13%	\$2,493	4.73%
per Customer	\$96.49	\$119.37	\$131.21	9.91%	\$111.72	\$109.18	-16.79%	\$113.32	3.79%
per Ton Collected	\$117.51	\$155.37	\$150.50	-3.13%	\$133.44	\$138.40	-8.04%	\$147.52	6.59%
<b>Material Recovery Facility (MRF)</b>									
Tons of Refuse from City	67,713	68,051	69,500	2.13%	69,500	72,000	3.60%	72,700	0.97%
Tons of Refuse from Others	42,985	39,771	43,500	9.38%	43,500	40,800	-6.21%	39,700	-2.70%
Tons of Refuse Diverted	28,001	20,822	27,700	33.03%	27,700	27,500	-0.72%	27,900	1.45%
MRF Costs (\$1,000)	\$6,993	\$7,370	\$7,553	2.48%	\$7,691	\$7,623	0.92%	\$7,927	4.00%
per Ton Processed	\$63.17	\$68.35	\$66.84	-2.21%	\$68.06	\$67.58	1.10%	\$70.53	4.37%
<b>Waste Diposal from MRF</b>									
Tons of Refuse to Landfill	82,697	81,404	85,300	4.79%	85,300	85,300	0.00%	84,500	-0.94%
Diposal Costs (\$1,000)	\$2,643	\$2,647	\$2,709	2.34%	\$2,741	\$2,717	0.29%	\$3,090	13.75%
per Ton Disposed of	\$31.96	\$32.52	\$31.76	-2.33%	\$32.13	\$31.85	0.29%	\$36.57	14.82%
<b>Citizen Survey Ratings</b>									
<b>Residential Collection</b>									
Good or Better					90.4%			90.4%	
Fair					8.2%			8.2%	
<b>Recycling</b>									
Good or Better					87.8%			87.8%	
Fair					9.6%			9.6%	

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Current Projections: FY 12-13

1. Completed and submitted the final report for a Multi-family Beverage Container Recycling Program.
2. Implemented a Commercial and Multi-family Recycling Program.
3. City-wide garage sales, electronic waste events, and household hazardous waste event continued to be held for Tracy residents.
4. Redesigned and launched the annual clean-up for Tracy residents.

### Current Projections: FY 13-14

1. Continued battery recycling, tire disposal programs, and other waste reduction events offered through the Solid Waste & Recycling Division.
2. City-wide garage sales for residents continue to be held to encourage reuse and recycling of old items.
3. Increase the number of sharps drop off locations for City residents.
4. A Paint Care Program continues to be available at a few retailers in Tracy for pain take back.

### Future Projections: FY 14-15

1. Continue to promote recycling and waste reduction programs through the Solid Waste and Recycling Division, such as battery recycling and tire disposal.
2. Provide advertising for city-wide garage sales.
3. Host quarterly electronic waste events for residents and businesses.
4. Continue to help coordinate the National Drug Drop-Off events for Tracy residents.
5. Create an Environmentally Preferable Purchasing Policy.
6. New agreement between the City and Tracy Material Recovery and Solid Waste Transfer Inc.
7. New three-way and four-way agreements between the City, County, Material Recovery Facility, and Mountain House.
8. Increase recycling program outreach at Tracy schools.
9. Pilot Big Belly Solar Compactors and Recycling Units in various locations in Tracy.
10. A Household Hazardous Waste Drop off event will be held on September 6, 2014 in Tracy.

Department: **53000 - Public Works Department**  
 Division: **53800 - Solid Waste Programs**  
 Program: **53810 - Solid Waste Collection & Disposal**

**PERFORMANCE OBJECTIVES**

Contracted services for the collection and disposal of solid waste from within the City. Coordinate of the City's solid waste collection and disposal. Pay the City's franchise fee for solid waste.

1. To provide solid waste collection service to over 21,214 residences, 589 multi-family complexes, and 732 businesses within the City.
2. To collect and dispose of 72,700 tons of solid waste, while recycling 16,900 tons through curbside and yard waste programs and 55,800 tons through the transfer station.
3. To administer solid waste contracts as follows:  
 \$4,760,000 for waste collection by franchise hauler,  
 \$7,870,000 for solid waste processing at Tracy MRF, and  
 \$3,068,000 for waste disposal at County landfills.
4. To provide \$1,224,000 for City franchise fees.

**COMMENTARY**

In May 1995, a new material recovery facility came on-line. Since then, program costs have increased modestly reflecting community growth. In both FY11-12 and FY12-13, program costs showed modest increases. Contracted costs are driving program costs.

In FY13-14, program costs will show a modest increase.

For FY14-15, the program budget provides for a modest increase in solid waste contracts. The budget includes \$1,200,000 to pay the City franchise fee.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	7,212	6,852	-5.0%	10,100	8,100	80.2%	18.2%	9,600	-5.0%
Contracted Services	14,033,571	14,384,543	2.5%	14,788,180	14,683,000	99.3%	2.1%	15,484,180	4.7%
Commodities	499	1,739	248.5%	4,020	3,300	82.1%	89.8%	4,020	0.0%
Internal Charges	6,130	5,426	-11.5%	6,630	6,500	98.0%	19.8%	7,280	9.8%
Other Payments	1,263,393	1,294,049	2.4%	1,338,200	1,338,000	100.0%	3.4%	1,374,000	2.7%
Program Total	15,310,805	15,692,609	2.5%	16,147,130	16,038,900	99.3%	2.2%	16,879,080	4.5%
Amended Budget	15,503,620	15,556,330			16,147,130				
% of Amended Spent	98.8%	100.9%			99.3%				

**FUNDING SOURCES**

Solid Waste Fund 53x	15,310,805	15,692,609	2.5%	16,147,130	16,038,900	99.3%	2.2%	16,879,080	4.5%
Program Total	15,310,805	15,692,609	2.5%	16,147,130	16,038,900	99.3%	2.2%	16,879,080	4.5%

**PROGRAM STAFFING**

*Regular Positions*

Solid Waste Coordinator	0.05	0.05		0.05	0.05			0.05	
Deputy Director	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	0.05	0.05		0.05	0.05		0.0%	0.05	0.0%
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Department: **53000 - Public Works Department**  
 Division: **53800 - Solid Waste Programs**  
 Program: **53820 - Solid Waste Recycling**

**PERFORMANCE OBJECTIVES**

Contracted services for the collection and disposal of recycleable materials from within the City. Coordinate of the City's recycling and waste reduction efforts.

1. To inform businesses and multi-family complexes about AB341, mandatory commercial recycling requirements.
2. To increase diversion by piloting the Big Beelly Solare trash and Recycling compactors in select locations in Tracy.
3. To promote environmental stewardship throughout the City, an Environmentally Preferable Purchasing Policy will be created.
4. To provide events promoting waste reduction, reuse, and recycling.
5. To administer recycling contract for \$2,475,000.

**COMMENTARY**

Program costs increase reflecting community growth with modest annual increases over the years.

In both FY11-12 and FY12-13, program costs showed decreases.

In FY13-14, program costs will show a modest increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for the current staffing but with a modest increase for the solid waste recycling contracts.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	106,318	111,979	5.3%	113,500	111,540	98.3%	-0.4%	116,900	3.0%
Contracted Services	2,527,188	2,321,200	-8.2%	2,404,060	2,362,600	98.3%	1.8%	2,504,060	4.2%
Commodities	8,861	1,021	-88.5%	16,740	12,400	74.1%	1114.5%	16,740	0.0%
Internal Charges	8,924	11,570	29.7%	16,700	16,000	95.8%	38.3%	17,520	4.9%
Other Payments	0	0		5,000	5,000	100.0%		5,000	0.0%
<b>Program Total</b>	<b>2,651,291</b>	<b>2,445,770</b>	<b>-7.8%</b>	<b>2,556,000</b>	<b>2,507,540</b>	<b>98.1%</b>	<b>2.5%</b>	<b>2,660,220</b>	<b>4.1%</b>
Amended Budget	2,383,150	2,385,050			2,556,000				
% of Amended Spent	111.3%	102.5%			98.1%				
<b><u>FUNDING SOURCES</u></b>									
Solid Waste Fund 53x	2,651,291	2,445,770	-7.8%	2,556,000	2,507,540	98.1%	2.5%	2,660,220	4.1%
<b>Program Total</b>	<b>2,651,291</b>	<b>2,445,770</b>	<b>-7.8%</b>	<b>2,556,000</b>	<b>2,507,540</b>	<b>98.1%</b>	<b>2.5%</b>	<b>2,660,220</b>	<b>4.1%</b>
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Solid Waste Coordinator	0.95	0.95		0.95	0.95			0.95	
Community Services Supervisor	0.00	0.00		0.00	0.00			0.00	
Deputy Director	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recycling Coordinator	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>0.95</b>	<b>0.95</b>	<b>0.0%</b>	<b>0.95</b>	<b>0.95</b>	<b>100.0%</b>	<b>0.0%</b>	<b>0.95</b>	<b>0.0%</b>

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Current Projections: FY12-13

1. Completed construction of Phase II of bus stop improvements utilizing federal stimulus funding.
2. Completed runway repairs and fencing project at New Jerusalem Airport.
3. Completed Pavement Maintenance and Management Plan (PMMP) for the Tracy Airport.
4. Began the design and engineering for pavement reconstruction at the Tracy Airport.
5. Installed of new Paratransit dispatching software.

### Future Projections: FY 14-15

1. Complete reconstruction of runway 12/30 and associated taxiways at the Tracy Airport.
2. Purchase two replacement fixed route buses.
3. Expand fixed route service.

### Future Projections: FY 13-14

1. Installation of security cameras at the Tracy Transit Station.
2. Complete installation of electric vehicle charging station at Tracy Transit Station.
3. Complete updated Airport Layout Plan for Tracy Airport.
4. Complete fiber optic installation from City Hall to the Tracy Transit Station.
5. Finalize design and engineering for reconstruction of runway 12/30 and associated taxiways at the Tracy Airport.

Department: **53000 - Public Works Department**  
 Division: **55500 - Community Services Division**  
 Program: **55510 - Transit Operations**

**PERFORMANCE OBJECTIVES**

Provide Tracy area residents with public transit, involving fixed route, modified dial-a-ride, and subsidized taxi services. Provide support for the commute based trip reduction efforts. Operate Tracy Center.

1. To operate a fixed route and paratransit systems providing service 6 days per week for 12 hours per day, Monday through Friday, and 10 hours on Saturday.
2. To provide fixed route service for over 100,000 riders traveling over 145,000 service and paratransit service for over 25,000 riders traveling over 75,000 service miles.
3. To contract for \$1,014,422 in transit operating and bus maintenance services.
4. To operate the new Tracy Transit Center.
5. To generate at least \$35,000 in revenue from rentals at the Tracy Transit Center.

**COMMENTARY**

In FY11-12, program costs showed a modest increase. In FY12-13, program costs again show a modest increase. Contracted costs were less than budgeted.

In FY13-14, program costs will show a major increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing but increases in funding for both contracted services and internal charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	%	FY13-14	FY13-14	% of	%	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	346,962	284,023	-18.1%	299,300	294,840	98.5%	3.8%	309,600	3.4%
Contracted Services	911,817	1,001,249	9.8%	1,141,870	1,075,000	94.1%	7.4%	1,480,760	29.7%
Commodities	80,408	99,291	23.5%	123,450	120,000	97.2%	20.9%	123,450	0.0%
Internal Charges	205,361	205,050	-0.2%	314,030	314,000	100.0%	53.1%	316,860	0.9%
Other Payments	0	7,793		0	0			0	
Program Total	1,544,548	1,597,406	3.4%	1,878,650	1,803,840	96.0%	12.9%	2,230,670	18.7%
Amended Budget	1,552,400	1,724,830			1,878,650				
% of Amended Spent	99.5%	92.6%			96.0%				

**FUNDING SOURCES**

Transit Fund 571 - Taxes	643,759	781,395	21.4%	900,100	932,590	103.6%	19.3%	1,225,420	36.1%
Transit Operating Grants	785,121	699,241	-10.9%	860,000	744,000	86.5%	6.4%	878,000	2.1%
Transit Fares	80,891	81,420	0.7%	83,550	87,250	104.4%	7.2%	87,250	4.4%
Transit Center Rentals	34,777	35,350	1.6%	35,000	40,000	114.3%	13.2%	40,000	14.3%
Program Total	1,544,548	1,597,406	3.4%	1,878,650	1,803,840	96.0%	12.9%	2,230,670	18.7%

**PROGRAM STAFFING***Regular Positions*

Sr Maintenance Worker	0.50	0.50		0.50	0.50			0.50	
Recreation Program Coordinator	1.00	1.00		1.00	1.00			1.00	
Management Analyst II	0.90	0.90		0.90	0.90			0.90	
Exec Asst II-Admin/Sr Secretary	0.20	0.00		0.00	0.00			0.00	
Admin Asst II-Sr Admin Clerk	0.00	0.00		0.00	0.00			0.00	
Parks & Comm Services Director	0.30	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Facility Attendant II	0.25	0.25		0.25	0.25			0.25	
Transportation Commissioners (7)	0.13	0.13		0.13	0.13			0.13	

Total - Full-Time Equivalents	3.28	2.78	-15.2%	2.78	2.78	100.0%	0.0%	2.78	0.0%
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Department: **53000 - Public Works Department**  
 Division: **55500 - Community Services Division**  
 Program: **55520 - Airport Operations**

**PERFORMANCE OBJECTIVES**

Operate and maintain the Tracy Municipal Airport and the airfield at New Jerusalem. Administer contract with the Fixed Base Operator (FBO) and leases with various airport tenants.

1. To provide airport space for 100 tiedowns, 51 city hangars, and 24 private hangars.
2. To contract for Fixed Base Operations to provide service 7 days a week and for 10 hours per day.
3. To construct an additional 42 T-hangars at the Tracy Airport to be rented by the public.
4. To purchase \$350,000 in aviation fuel for resale.
5. To generate at least \$300,000 in direct use airport fees.

**COMMENTARY**

In FY11-12, program costs showed a major increase; with both staffing and material costs were up. In FY12-13, program staffing reduced overhead hours. Program costs showed a major decrease, particularly for personnel costs.

In FY13-14, program costs will show a modest increase. During the year, the City had to terminate the FBO contractor and assume day-to-day operations, including the sale of airport fuel.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and maintains current funding for other items. The budget adds \$500,000 for fuel purchases.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	%	FY13-14	FY13-14	% of	%	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	212,783	174,054	-18.2%	169,000	178,050	105.4%	2.3%	175,000	3.6%
Contracted Services	61,625	51,686	-16.1%	56,660	52,540	92.7%	1.7%	56,660	0.0%
Commodities	19,318	17,339	-10.2%	28,330	18,670	65.9%	7.7%	528,330	1764.9%
Internal Charges	35,552	38,518	8.3%	44,890	44,200	98.5%	14.8%	51,000	13.6%
Other Payments	6,111	2,994		0	0		-100.0%	0	
<b>Program Total</b>	<b>335,389</b>	<b>284,591</b>	<b>-15.1%</b>	<b>298,880</b>	<b>293,460</b>	<b>98.2%</b>	<b>3.1%</b>	<b>810,990</b>	<b>171.3%</b>
Amended Budget	314,820	291,950			298,880				
% of Amended Spent	106.5%	97.5%			98.2%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			0	
Airport Fund 561	(43,251)	(95,373)	120.5%	(61,670)	(76,590)	124.2%	-19.7%	(65,850)	6.8%
Direct Use Fees	285,386	267,335	-6.3%	286,550	291,050	101.6%	8.9%	791,840	176.3%
State Grants	42,252	60,000	42.0%	20,000	20,000	100.0%	-66.7%	20,000	0.0%
Agricultural Leases	51,002	52,629	3.2%	54,000	59,000	109.3%	12.1%	65,000	20.4%
<b>Program Total</b>	<b>335,389</b>	<b>284,591</b>	<b>-15.1%</b>	<b>298,880</b>	<b>293,460</b>	<b>98.2%</b>	<b>3.1%</b>	<b>810,990</b>	<b>171.3%</b>

**PROGRAM STAFFING***Regular Positions*

Sr Maintenance Worker	0.50	0.50		0.50	0.50			0.50	
Airport Coordinator	1.00	1.00		1.00	1.00			1.00	
Management Analyst II	0.10	0.10		0.10	0.10			0.10	
Parks & Comm Services Director	0.20	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
FBO Attendants	0.00	0.00		0.00	0.00			0.00	
Transportation Commissioners (7)	0.12	0.12		0.12	0.12			0.12	
<b>Total - Full-Time Equivalents</b>	<b>1.92</b>	<b>1.72</b>	<b>-10.4%</b>	<b>1.72</b>	<b>1.72</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.72</b>	<b>0.0%</b>

# UTILITIES DEPARTMENT

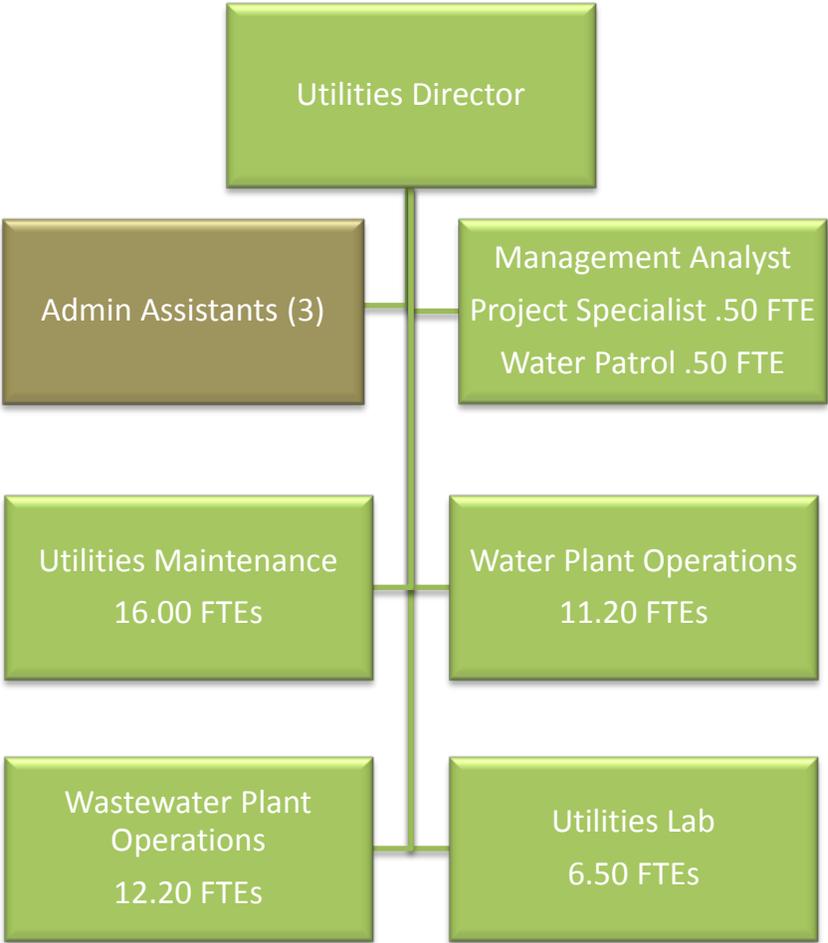
## Mission Statement

Maintain and operate Tracy's public facilities and infrastructure in an efficient and cost effective manner while preserving the health, safety, and aesthetics of our community

## **Department Head**

Kul Sharma, Utilities Director

City of Tracy  
UTILITIES DEPARTMENT  
Fiscal Year 14-15



Department: **54000 - Utilities Department**

The Utilities Department operates and maintains the City's water wells, water treatment plant, sewer lift stations, and wastewater plant.

In FY14-15, the Utilities Division was re-established as a City Department.

COMMENTARY

As proposed for FY14-15, the departmental budget will increase about 5.7% over the current year adopted budget, and this represents a 6.4% increase over the FY12-13 amended budget.

The base component of the budget represents a 0.6% increase over the current year adopted budget, while budget augmentations will show a 5.1% increase over the base budget.

In FY13-14, departmental staffing added a temporary position. In FY14-15, departmental staffing will add 1 full-time regular position.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Director's Office</b>									
53120 - Utilities Management	391,797	416,912	6.4%	465,690	349,790	75.1%	-16.1%	637,330	36.9%
53690 - Water Management	160,772	191,447	19.1%	219,860	211,030	96.0%	10.2%	265,920	20.9%
53610 - WW Lift Stations	96,511	132,997	37.8%	179,260	126,260	70.4%	-5.1%	149,570	-16.6%
53620 - Water Wells	481,850	553,011	14.8%	653,820	572,940	87.6%	3.6%	688,030	5.2%
53630 - WWT Plant Maintenance	1,323,333	1,231,479	-6.9%	1,361,540	1,180,570	86.7%	-4.1%	1,443,120	6.0%
53640 - Water Plant Maintenance	717,341	760,854	6.1%	782,860	720,670	92.1%	-5.3%	828,130	5.8%
53650 - Electrical Maintenance	249,421	284,541	14.1%	386,990	319,580	82.6%	12.3%	338,280	-12.6%
53660 - WWT Plant Operations	3,059,821	3,014,840	-1.5%	3,238,960	3,077,090	95.0%	2.1%	3,407,670	5.2%
53670 - Utilities Laboratory	883,132	1,051,945	19.1%	1,147,780	1,053,420	91.8%	0.1%	1,175,100	2.4%
53680 - Water Plant Operations	5,959,153	6,198,981	4.0%	5,917,250	5,977,560	101.0%	-3.6%	6,243,400	5.5%
Department Total	13,323,131	13,837,007	3.9%	14,354,010	13,588,910	94.7%	-1.8%	15,176,550	5.7%
Amended Budget	13,940,990	14,267,213	2.3%		14,568,470		2.1%		
% of Amended Spent	95.57%	96.98%			93.28%			over 2 years	6.4%
						Base Budget >>		14,435,770	0.6%
						Augmentations >>		740,780	5.1%

Department: **54000 - Utilities Department (Continued)**

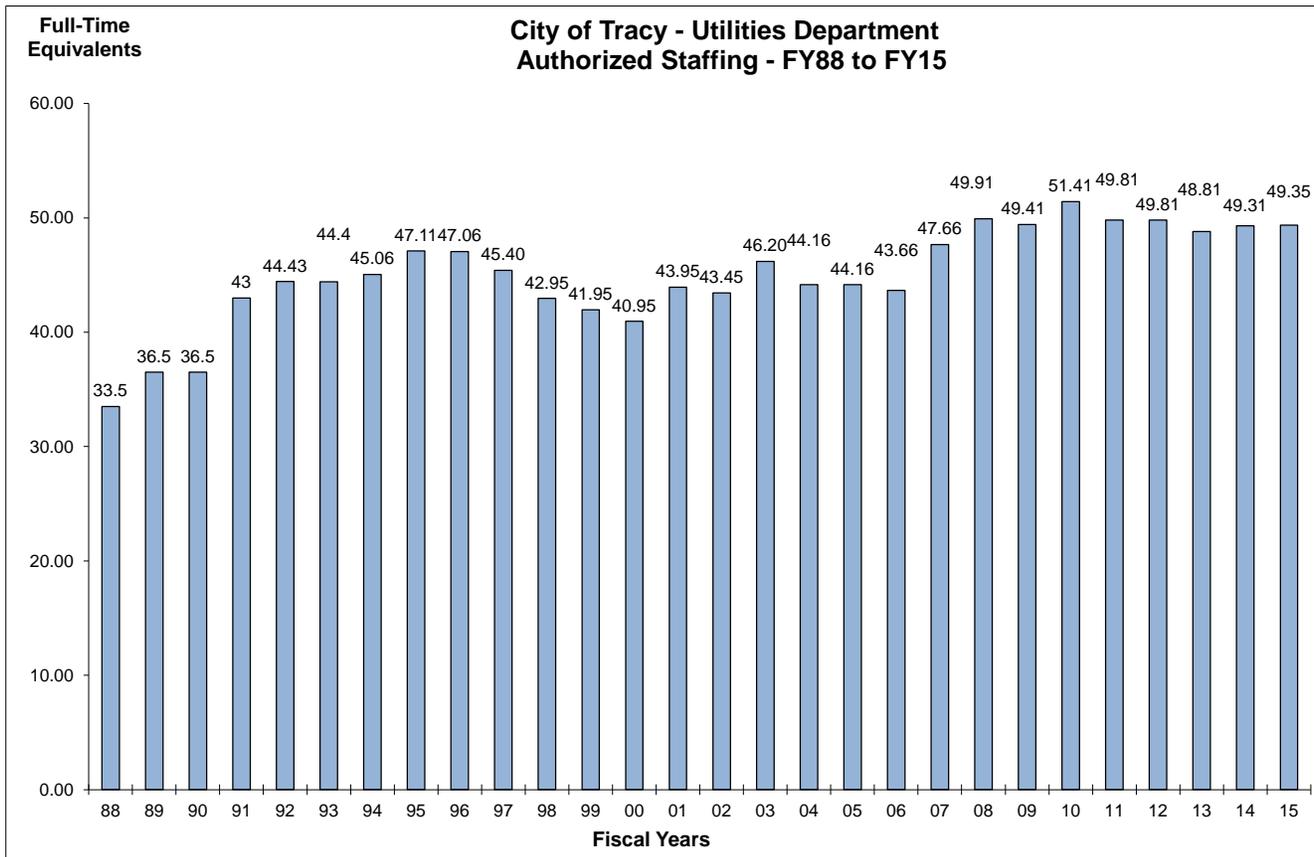
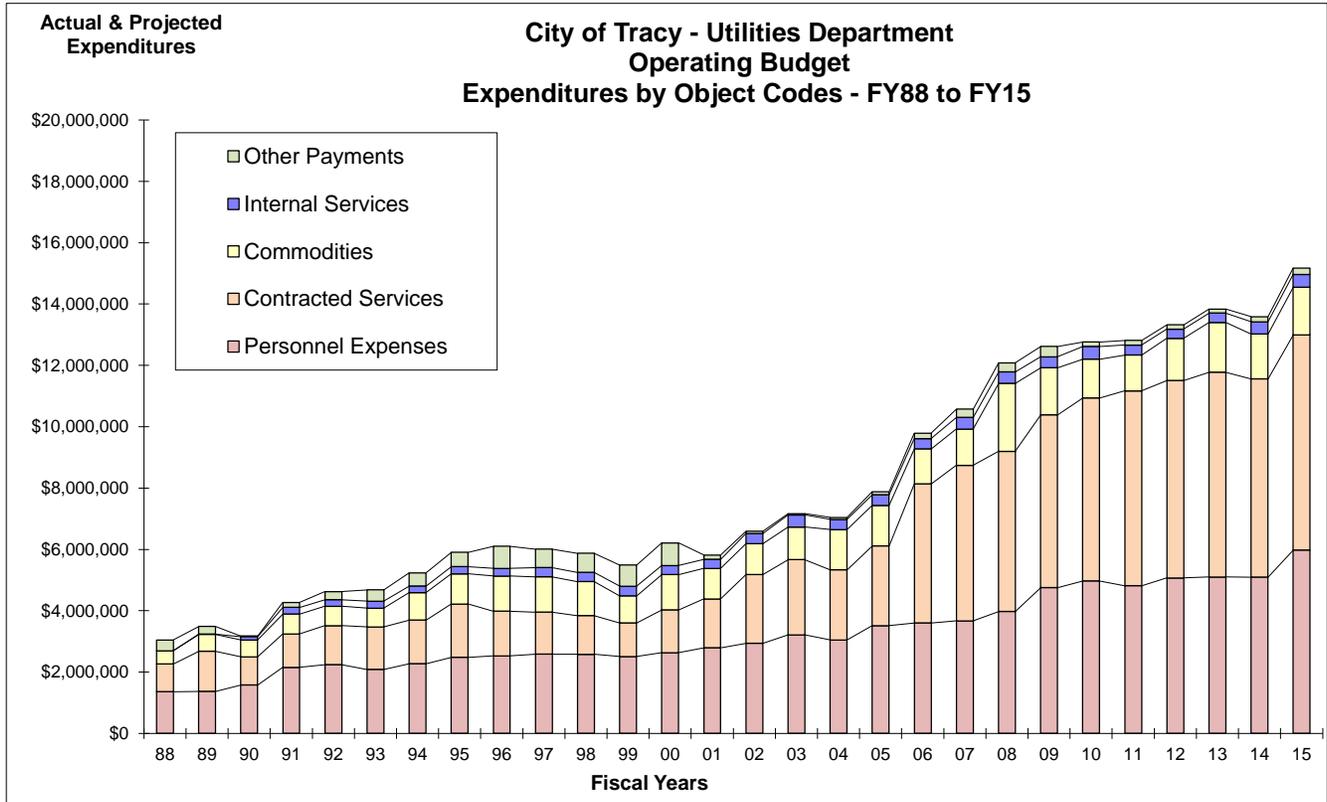
<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	5,070,104	5,098,178	0.6%	5,687,800	5,095,470	89.6%	-0.1%	5,978,360	5.1%
Contracted Services	6,434,655	6,677,781	3.8%	6,503,940	6,463,160	99.4%	-3.2%	7,014,190	7.8%
Commodities	1,375,883	1,623,705	18.0%	1,515,990	1,464,360	96.6%	-9.8%	1,555,990	2.6%
Internal Charges	301,701	307,223	1.8%	401,810	399,500	99.4%	30.0%	418,540	4.2%
Other Payments	140,788	130,120	-7.6%	244,470	166,420	68.1%	27.9%	209,470	-14.3%
<b>Department Total</b>	<b>13,323,131</b>	<b>13,837,007</b>	<b>3.9%</b>	<b>14,354,010</b>	<b>13,588,910</b>	<b>94.7%</b>	<b>-1.8%</b>	<b>15,176,550</b>	<b>5.7%</b>

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101 - Taxes	99,421	86,540	-13.0%	186,990	169,580	90.7%	96.0%	138,280	-26.0%
TDA & Gas Tax Funds 24x	150,000	198,000	32.0%	200,000	150,000	75.0%	-24.2%	200,000	0.0%
Water Fund 511	7,788,808	8,219,885	5.5%	8,091,930	7,960,200	98.4%	-3.2%	8,630,130	6.7%
Wastewater Fund 521	5,284,902	5,332,582	0.9%	5,855,090	5,289,130	90.3%	-0.8%	6,143,140	4.9%
Drainage Fund 541	0	0		20,000	20,000	100.0%	#DIV/0!	65,000	225.0%
<b>Department Total</b>	<b>13,323,131</b>	<b>13,837,007</b>	<b>3.9%</b>	<b>14,354,010</b>	<b>13,588,910</b>	<b>94.7%</b>	<b>-1.8%</b>	<b>15,176,550</b>	<b>5.7%</b>

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Utilities Director	0.00	0.00		0.00	1.00			1.00	
Managers & Supervisors	5.32	5.32		5.32	4.32			4.00	
Secretarial & Clerical	2.64	2.64		2.64	2.64			3.00	
Street & Traffic Maintenance	2.00	2.00		2.00	2.00			2.00	
Utilities Plant Maintenance	16.00	15.00		15.00	15.00			15.00	
Utilities Plant Operations	17.00	17.00		17.00	17.00			17.00	
Laboratory	5.00	5.00		5.00	5.00			5.00	
Water Conservation	1.00	1.00		1.00	1.00			1.00	
Allocated to Pub Works Dept	-0.55	-0.55		-0.55	-0.55			-0.55	
<i>Other Staffing (Full-Time Equivalents)</i>									
Utilities Plant Maintenance	0.90	0.90		0.90	0.90			0.90	
Water Conservation	0.50	0.50		0.50	0.50			0.50	
Project Specialist	0.00	0.00		0.00	0.50			0.50	
<b>Total - Full Time Equivalents</b>	<b>49.81</b>	<b>48.81</b>	<b>-2.0%</b>	<b>48.81</b>	<b>49.31</b>	<b>101.0%</b>	<b>1.0%</b>	<b>49.35</b>	<b>0.1%</b>



## Budget Narrative - Utilities Department

### Recent Budget Changes

#### *FY 13-14*

- Utilities Division re-established as a separate City department.
- Deputy Director position converted to Utilities Director. Former Deputy Director still employed as a part-time Project Specialist.
- Base budget increase was \$171,100 or 1.3%
- Budget augmentations were \$102,000

### Proposed Budget Changes for FY 14-15

- Base budget increase of \$81,760 or 0.6% over the adopted FY12-13 budget. Personnel expenses increased \$200,400 or 3.5%. Reductions in other categories offset these increases.
- Budget augmentation for \$740,780.
- Departmental staffing will add an Administrative Assistant position.

Major Non-Personnel Expenses	FY 12-13	%Change	FY 13-14	%Change	FY 14-15
Utilities Systems Electricity	\$1,846,200	0.00%	\$1,846,200	9.70%	\$2,026,200
Bulk Water Purchase	3,655,000	0.00%	3,655,000	5.20%	3,847,000
Utilities Plant Chemicals	495,000	0.00%	495,000	8.00%	535,200
Utilities Lab Testing	163,260	0.00%	163,260	0.00%	163,260
Equipment Acquisition	\$0		\$8,000		\$35,000
Equipment Replacement	\$48,199		\$289,000		\$134,000

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## 54000 - Utilities Department

Department Budget By Division	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
53120 - Utilities Management	391,797	416,912	6.4%	465,690	11.7%	475,490	2.1%	161,840	34.0%
53690 - Water Management	160,772	191,447	19.1%	219,860	14.8%	219,040	-0.4%	46,880	21.4%
53610 - WW Lift Stations	96,511	132,997	37.8%	179,260	34.8%	129,160	-27.9%	20,410	15.8%
53620 - Water Wells	481,850	553,011	14.8%	653,820	18.2%	657,620	0.6%	30,410	4.6%
53630 - WWT Plant Maintenanc	1,323,333	1,231,479	-6.9%	1,361,540	10.6%	1,402,040	3.0%	41,080	2.9%
53640 - Water Plant Maintenanc	717,341	760,854	6.1%	782,860	2.9%	807,150	3.1%	20,980	2.6%
53650 - Electrical Maintenance	249,421	284,541	14.1%	386,990	36.0%	337,520	-12.8%	760	0.2%
53660 - WWT Plant Operations	3,059,821	3,014,840	-1.5%	3,238,960	7.4%	3,284,160	1.4%	123,510	3.8%
53670 - Utilities Laboratory	883,132	1,051,945	19.1%	1,147,780	9.1%	1,173,270	2.2%	1,830	0.2%
53680 - Water Plant Operations	5,959,153	6,198,981	4.0%	5,917,250	-4.5%	5,950,320	0.6%	293,080	4.9%
<b>Department Total</b>	<b>13,323,131</b>	<b>13,837,007</b>	<b>3.9%</b>	<b>14,354,010</b>	<b>3.7%</b>	<b>14,435,770</b>	<b>0.6%</b>	<b>740,780</b>	<b>5.1%</b>
<b>Department Budget by Object</b>									
Personnel Expenses	5,070,104	5,098,178	0.6%	5,687,800	11.6%	5,888,200	3.5%	90,160	1.5%
Contracted Services	6,434,655	6,677,781	3.8%	6,503,940	-2.6%	6,476,440	-0.4%	537,750	8.3%
Commodities	1,375,883	1,623,705	18.0%	1,515,990	-6.6%	1,515,990	0.0%	40,000	2.6%
Internal Charges	301,701	307,223	1.8%	401,810	30.8%	405,670	1.0%	12,870	3.2%
Other Payments	140,788	130,120	-7.6%	244,470	87.9%	149,470	-38.9%	60,000	40.1%
<b>Department Total</b>	<b>13,323,131</b>	<b>13,837,007</b>	<b>3.9%</b>	<b>14,354,010</b>	<b>3.7%</b>	<b>14,435,770</b>	<b>0.6%</b>	<b>740,780</b>	<b>5.1%</b>
<b>Department Budget by Funding Source</b>									
General Fund 101 - Taxes	99,421	86,540	-13.0%	186,990		137,520	-26.5%	760	0.6%
TDA & Gas Tax Funds 24x	150,000	198,000	32.0%	200,000		200,000	0.0%	0	0.0%
Water Fund 511	7,788,808	8,219,885	5.5%	8,091,930		8,165,980	0.9%	464,150	5.7%
Wastewater Fund 521	5,284,902	5,332,582	0.9%	5,855,090		5,912,270	1.0%	230,870	3.9%
Drainage Fund 541	0	0		20,000		20,000	0.0%	45,000	225.0%
<b>Department Total</b>	<b>13,323,131</b>	<b>13,837,007</b>	<b>3.9%</b>	<b>14,354,010</b>	<b>3.7%</b>	<b>14,435,770</b>	<b>0.6%</b>	<b>740,780</b>	<b>5.1%</b>
<b>Department Staffing</b>									
Total - Full Time Equivalent:	49.81	48.81	-2.0%	48.81	0.0%	49.31	1.0%	0.04	0.1%
<b>Department Equipment Purchase</b>									
Replacement Equipment	189,479	48,199	-74.6%	289,000	499.6%	80,000	-72.3%	134,000	167.5%
New Equipment	1,187	0	-100.0%	8,000		0		35,000	

## CORE MEASURES and Supporting Data for UTILITIES Programs

	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimate	% Change	FY14-15 Projected	% Change
<b>Water System Characteristics</b>									
Water System Customers	23,450	23,508	23,670	0.69%	23,490	23,553	-0.49%	23,770	0.92%
Miles of Water Lines	410	415	420	1.20%	415	420	0.00%	420	0.00%
<b>Potable Water Produced (MG)</b>									
from Water Wells	200	137	100	-27.01%	100	300	200.00%	400	33.33%
Purchased from Others	5,500	5,900	6,000	1.69%	5,300	6,000	0.00%	6,000	0.00%
Water Program Costs (\$1,000)	\$9,477	\$9,830	\$10,327	5.06%	\$10,201	\$10,345	0.17%	\$10,999	6.33%
<b>per Customer</b>	\$404.14	\$418.15	\$436.30	4.34%	\$434.27	\$439.22	0.67%	\$462.75	5.36%
<b>per MG Produced</b>	\$1,662.65	\$1,628.28	\$1,692.98	3.97%	\$1,889.09	\$1,642.06	-3.01%	\$1,718.66	4.66%
Water Distribution Costs (\$1,000)	\$2,261	\$2,221	\$2,279	2.61%	\$2,475	\$2,545	11.64%	\$2,674	5.09%
<b>per Customer</b>	\$96.43	\$94.50	\$96.30	1.91%	\$105.37	\$108.05	12.20%	\$112.51	4.13%
<b>per Mile of Water Line</b>	\$5,515.12	\$5,352.77	\$5,427.14	1.39%	\$5,964.34	\$6,059.05	11.64%	\$6,367.38	5.09%
Water Production Costs (\$1,000)	\$7,216	\$7,609	\$8,048	5.77%	\$7,726	\$7,800	-3.08%	\$8,325	6.73%
<b>per Customer</b>	\$307.71	\$323.66	\$340.00	5.05%	\$328.90	\$331.18	-2.60%	\$350.24	5.76%
<b>per MG Produced</b>	\$1,265.95	\$1,260.31	\$1,319.31	4.68%	\$1,430.72	\$1,238.13	-6.15%	\$1,300.80	5.06%
<b>Wastewater System Characteristics</b>									
Wastewater System Customer:	22,830	22,840	22,840	0.00%	22,870	22,290	-2.41%	23,071	3.50%
Miles of Wastewater Lines	410	415	415	0.00%	415	415	0.00%	415	0.00%
Wastewater Treated (MG)	3,300	3,300	3,300	0.00%	3,000	3,300	0.00%	3,000	-9.09%
Wastewater Program Costs (\$1,000)	\$5,241	\$5,401	\$5,432	0.57%	\$5,837	\$5,462	0.55%	\$6,239.30	14.22%
<b>per Customer</b>	\$229.56	\$236.49	\$237.84	0.57%	\$255.23	\$245.06	3.03%	\$270.44	10.36%
<b>per MG Produced</b>	\$69.56	\$71.66	\$72.07	0.57%	\$85.08	\$74.26	3.03%	\$90.15	21.39%
WW Collection Costs (\$1,000)	\$335.0	\$328.0	\$344.5	5.03%	\$340.2	\$363.0	5.37%	\$428.5	18.04%
<b>per Customer</b>	\$14.67	\$14.36	\$15.08	5.03%	\$14.88	\$16.29	7.97%	\$18.57	14.05%
<b>per Mile of Wastewater Line</b>	\$817.07	\$790.36	\$830.12	5.03%	\$819.76	\$874.70	5.37%	\$1,032.53	18.04%
WW Treatment Costs (\$1,000)	\$4,906	\$5,073	\$5,088	0.28%	\$5,497	\$5,099	0.23%	\$5,811	13.95%
<b>per Customer</b>	\$214.89	\$222.13	\$222.76	0.28%	\$240.35	\$228.77	2.70%	\$251.87	10.09%
<b>per MG Treated</b>	\$1,486.64	\$1,537.39	\$1,541.76	0.28%	\$1,832.27	\$1,545.25	0.23%	\$1,936.93	25.35%
<b>Drainage System Characteristics</b>									
Miles of Drainage Pipes	170	170	170	0.00%	172	170	0.00%	170	0.00%
Miles of Drainage Channels	10	10	10	0.00%	10	10	0.00%	10	0.00%
Drainage Program Costs (\$1,000)	\$316.9	\$317.8	\$394.8	24.23%	\$426.5	\$390.4	-1.11%	\$465.4	19.21%
<b>per Capita</b>	\$3.81	\$3.80	\$4.67	22.90%	\$5.05	\$4.59	-1.90%	\$5.39	17.48%

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Secured permits for new Wastewater Treatment Plant outfall pipeline.
2. Rehabilitated two production wells.
3. Completed Phase II ASR project and commenced Phase III.
4. Placed 2,000 acre-feet in Semitropic Water Storage Banking.
5. Completed paving sludge drying beds at Wastewater Treatment Plant.

### Current Projections: FY 13-14

1. Secure additional water supply from South San Joaquin Irrigation District.
2. Secure additional water supply from Byron-Bethany Irrigation District.
3. Secure additional water supply from the West Side Irrigation District.
4. Obtain approval from the RWQCB for a permanent Aquifer Storage and Recovery Program.
5. Secure right-of-way for new Wastewater Treatment Plant outfall pipeline.

### Future Projections: FY 14-15

1. Remain in compliance with all NPDES permits.
2. Maintain streetlights and traffic signals.
3. Secure additional water supply from South San Joaquin Irrigation District.
4. Commence construction of new Wastewater Treatment Plant outfall pipeline.
5. Renew 40-year US Bureau of Reclamation water supply contract.
6. Construction by others of Wastewater Desalination Facility.

Department: **54000 - Utilities Department**  
 Division: **54100 - Director's Office**  
 Program: **53120 - Utilities Management**

Administer and direct the Utilities Department and provide the necessary administrative support for its operations and activities.

**PERFORMANCE OBJECTIVES**

1. To administer the 10 programs of the department at an admin cost of 3.6% or less of the department operating budget.
2. To oversee a department budget of over \$15,176,550 and with an authorized staffing of 49.35 full-time equivalents.
3. To establish water supplies through the Semitropic and Aquifer Storage and Recovery programs.
4. To research methods of reducing salinity in the City's wastewater effluent in order to meet Delta salinity standards.
5. To update and maintain Utilities data in the GIS.
6. To ensure regulatory reporting requirements related to water, wastewater, and storm water are met.

**COMMENTARY**

This program provided for the Deputy Director for Utilities and an allocation of support staff. Program costs have shown moderate increases over the years. However, they may fluctuate from year-to-year due to legal, financial, and engineering costs for the utility systems.

In FY13-14, the City re-established the Utilities Department. The Deputy Director position was converted to a Director, while the former is still employed as a part-time consultant. However, program costs will show a major decrease.

For FY14-15, program staffing will add full-time Administrative Assistant. The program budget provides for the new staffing, some increases in internal charges, and added funding for contracted services.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	307,751	290,945	-5.5%	310,400	224,590	72.4%	-22.8%	408,560	31.6%
Contracted Services	67,334	106,248	57.8%	129,370	100,000	77.3%	-5.9%	201,370	55.7%
Commodities	0	0		700	700	100.0%		700	0.0%
Internal Charges	16,712	19,720	18.0%	25,220	24,500	97.1%	24.2%	26,700	5.9%
Other Payments	0	0		0	0			0	
Program Total	391,797	416,913	6.4%	465,690	349,790	75.1%	-16.1%	637,330	36.9%
Amended Budget	463,610	511,650			465,690				
% of Amended Spent	84.5%	81.5%			75.1%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			0	
Water Fund 511	180,265	172,106	-4.5%	183,160	160,000	87.4%	-7.0%	304,980	66.5%
Wastewater Fund 521	211,532	244,807	15.7%	282,530	189,790	67.2%	-22.5%	332,350	17.6%
Program Total	391,797	416,913	6.4%	465,690	349,790	75.1%	-16.1%	637,330	36.9%

**PROGRAM STAFFING***Regular Positions*

Utilities Director	0.00	0.00		0.00	1.00			1.00	
Deputy Director - Utilities	1.00	1.00		1.00	0.50			0.50	
Admin Asst II-Sr Admin Clerk	0.32	0.32		0.32	0.32			1.00	
Admin Asst III-Secretary	0.32	0.32		0.32	0.32			0.00	
Management Analyst	0.32	0.32		0.32	0.32			0.00	
Total - Full-Time Equivalents	1.96	1.96	0.0%	1.96	2.46	125.5%	25.5%	2.50	1.6%

Department: **54000 - Utilities Department**  
 Division: **54200 - Facilities Maintenance Division**  
 Program: **53610 - WW Lift Stations**

**PERFORMANCE OBJECTIVES**

Operate, maintain, and repair the City's wastewater lift stations and provide safe sanitary services. Program expenses include electric costs for lift stations.

1. To operate and maintain 4 wastewater lift stations.
2. To operate lift stations for 35,040 operating hours during the year.
3. To minimize lift station downtime to no more than 1/2 hour.
4. To reduce call-outs after normal work hours.
5. To keep stations clean and operational.

**COMMENTARY**

Program costs can vary due to staffing allocations, the need for contracted repairs, and utilities for lift stations. Program staffing and personnel costs have remained stable over the past few years, while other costs have fluctuated.

In FY11-12, program costs were down; while in FY12-13, they went up. In FY13-14, they are expected to decrease due to reduced contracted repairs.

For FY14-15 program budget provides for a contingent level of maintenance and operations and includes \$46,200 for electric.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURES</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	46,862	47,121	0.6%	53,100	47,500	89.5%	0.8%	55,200	4.0%
Contracted Services	30,476	75,017	146.2%	72,270	42,380	58.6%	-43.5%	67,270	-6.9%
Commodities	13,703	5,373	-60.8%	14,450	9,780	67.7%	82.0%	14,450	0.0%
Internal Charges	5,220	5,220	0.0%	11,690	11,600	99.2%	122.2%	12,400	6.1%
Other Payments	250	266	6.4%	27,750	15,000	54.1%	5539.1%	250	-99.1%
Program Total	96,511	132,997	37.8%	179,260	126,260	70.4%	-5.1%	149,570	-16.6%
Amended Budget	161,860	138,260			166,780				
% of Amended Spent	59.6%	96.2%			75.7%				
<b>FUNDING SOURCES</b>									
Wastewater Fund 521	96,511	132,997	37.8%	179,260	126,260	70.4%	-5.1%	149,570	-16.6%
Program Total	96,511	132,997	37.8%	179,260	126,260	70.4%	-5.1%	149,570	-16.6%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Maintenance Supervisor/Manager	0.06	0.06		0.06	0.06			0.06	
Senior Electrician	0.06	0.06		0.06	0.06			0.06	
Instrumentation Technician	0.06	0.06		0.06	0.06			0.06	
Plant Mechanic	0.30	0.30		0.30	0.30			0.30	
Maintenance Worker II	0.00	0.00		0.00	0.00			0.00	
Admin Asst II-P&PM Clerk	0.12	0.12		0.12	0.12			0.12	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	0.60	0.60	0.0%	0.60	0.60	100.0%	0.0%	0.60	0.0%

Department: **54000 - Utilities Department**  
 Division: **54200 - Facilities Maintenance Division**  
 Program: **53620 - Water Wells & Pumping**

**PERFORMANCE OBJECTIVES**

Maintain and repair the City's water wells and booster water pump stations. Program expenses include electric costs for the water wells and pump stations.

1. To operate and maintain 9 water system wells.
2. To operate water wells for 35,040 operating hours during the year.
3. To flush water system wells on a monthly basis.
4. To carry out Phase II of the Aquifer Storage and Recovery demo project at the Tidewater Well.
5. To operate wells during the off-peak hours as a means of saving energy.

**COMMENTARY**

In FY11-12, program costs showed a modest increase; but in FY12-13, they showed a major increase, due to higher electric costs.

In FY13-14, program costs will show a modest increase. All costs are less than budgeted but will show increases.

For FY13-14, program budget provides for a contingent level of maintenance and operations, and includes \$340,000 for electric.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	156,334	157,197	0.6%	170,000	156,560	92.1%	-0.4%	177,500	4.4%
Contracted Services	290,502	348,153	19.8%	387,560	356,780	92.1%	2.5%	417,560	7.7%
Commodities	21,215	29,101	37.2%	70,990	34,500	48.6%	18.6%	70,990	0.0%
Internal Charges	13,633	18,354	34.6%	21,100	21,000	99.5%	14.4%	21,810	3.4%
Other Payments	166	206	24.1%	4,170	4,100			170	-95.9%
<b>Program Total</b>	<b>481,850</b>	<b>553,011</b>	<b>14.8%</b>	<b>653,820</b>	<b>572,940</b>	<b>87.6%</b>	<b>3.6%</b>	<b>688,030</b>	<b>5.2%</b>
Amended Budget	674,120	628,840			653,820				
% of Amended Spent	71.5%	87.9%			87.6%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Water Fund 511	481,850	553,011	14.8%	653,820	572,940	87.6%	3.6%	688,030	5.2%
<b>Program Total</b>	<b>481,850</b>	<b>553,011</b>	<b>14.8%</b>	<b>653,820</b>	<b>572,940</b>	<b>87.6%</b>	<b>3.6%</b>	<b>688,030</b>	<b>5.2%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Maintenance Supervisor/Manager	0.20	0.20		0.20	0.20			0.20	
Senior Electrician	0.20	0.20		0.20	0.20			0.20	
Instrumentation Technician	0.20	0.20		0.20	0.20			0.20	
Plant Mechanic	1.00	1.00		1.00	1.00			1.00	
Maintenance Worker II	0.00	0.00		0.00	0.00			0.00	
Admin Asst II-P&PM Clerk	0.40	0.40		0.40	0.40			0.40	
<i>Other Staffing (Full-Time Equivalents)</i>									
Laborer	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>2.00</b>	<b>2.00</b>	<b>0.0%</b>	<b>2.00</b>	<b>2.00</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.00</b>	<b>0.0%</b>

Department: **54000 - Utilities Department**  
 Division: **54200 - Facilities Maintenance Division**  
 Program: **53630 - WWT Plant Maintenance**

Maintain, repair, and replace the mechanical and electrical systems and equipment at the City's Wastewater Treatment Plant (WWTP).

**PERFORMANCE OBJECTIVES**

1. To perform maintenance and repair on plant equipment so the plant can operate 24 hours a day, 365 days a year.
2. To ensure plant equipment is running safely and efficiently.

**COMMENTARY**

Program costs can vary due to staffing allocations and the need for repair work at the WWTP. Also, whether repairs are contracted out or done in-house can vary annual changes in contracted repairs versus parts/materials costs.

Program costs were up in FY11-12; but they were down in FY11-12, when program staffing lost 0.50 of a Plant Mechanic.

In FY13-14, program costs will show a modest decrease.

For FY14-15, the program budget provides for current staffing, some increases in internal charges, but maintains current funding for other costs items. But also, it provides \$40,000 for capital outlays.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	920,585	887,382	-3.6%	922,800	796,990	86.4%	-10.2%	963,500	4.4%
Contracted Services	164,659	116,186	-29.4%	177,180	125,980	71.1%	8.4%	177,180	0.0%
Commodities	174,552	177,780	1.8%	184,880	181,000	97.9%	1.8%	184,880	0.0%
Internal Charges	63,537	50,131	-21.1%	71,680	71,600	99.9%	42.8%	77,560	8.2%
Other Payments	0	0		5,000	5,000			40,000	
Program Total	1,323,333	1,231,479	-6.9%	1,361,540	1,180,570	86.7%	-4.1%	1,443,120	6.0%
Amended Budget	1,383,680	1,363,910			1,359,680				
% of Amended Spent	95.6%	90.3%			86.8%				

**FUNDING SOURCES**

Wastewater Fund 521	1,323,333	1,231,479	-6.9%	1,361,540	1,180,570	86.7%	-4.1%	1,443,120	6.0%
Program Total	1,323,333	1,231,479	-6.9%	1,361,540	1,180,570	86.7%	-4.1%	1,443,120	6.0%

**PROGRAM STAFFING***Regular Positions*

Maintenance Supervisor/Manager	0.40	0.40		0.40	0.40			0.40	
Senior Electrician	1.00	1.00		1.00	1.00			1.00	
Instrumentation Technician	1.00	1.00		1.00	1.00			1.00	
Plant Mechanic	3.50	3.00		3.00	3.00			3.00	
Maintenance Worker II	1.00	1.00		1.00	1.00			1.00	
Admin Asst II-P&PM Clerk	1.00	1.00		1.00	1.00			1.00	
Custodian	0.66	0.66		0.66	0.66			0.66	
<i>Other Staffing (Full-Time Equivalents)</i>									
Laborer	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	8.56	8.06	-5.8%	8.06	8.06	100.0%	0.0%	8.06	0.0%

Department: **54000 - Utilities Department**  
 Division: **54200 - Facilities Maintenance Division**  
 Program: **53640 - Water Plant Maintenance**

Maintain, repair, and replace the mechanical and electrical systems and equipment at the City's Water Treatment Plant (WTP).

**PERFORMANCE OBJECTIVES**

1. To perform maintenance and repair on plant equipment so the plant can operate 24 hours a day, 365 days a year.
2. To ensure plant equipment is running safely and efficiently.

**COMMENTARY**

Program costs can vary due to staffing allocations, the need for repair work at the WTP. Also, whether repairs are contracted out or done in-house can vary annual changes in contracted repairs versus parts and materials costs. Program costs increased in both FY11-12 and FY12-13. In FY12-13, program staffing lost 0.50 of a Plant Mechanic. In FY13-14, program costs will show a modest decrease. For FY14-15, the program budget provides for current staffing, some increases in internal charges, but maintains current funding for other costs items. But also, it provides \$20,000 for capital outlays.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	646,095	661,087	2.3%	645,000	614,130	95.2%	-7.1%	668,600	3.7%
Contracted Services	16,285	22,593	38.7%	69,780	38,740	55.5%	71.5%	69,780	0.0%
Commodities	39,769	49,463	24.4%	43,240	43,000	99.4%	-13.1%	43,240	0.0%
Internal Charges	15,192	15,190	0.0%	24,840	24,800	99.8%	63.3%	26,510	6.7%
Other Payments	0	12,521		0	0			20,000	
Program Total	717,341	760,854	6.1%	782,860	720,670	92.1%	-5.3%	828,130	5.8%
Amended Budget	776,310	787,890			782,860				
% of Amended Spent	92.4%	96.6%			92.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Water Fund 511	717,341	760,854	6.1%	782,860	720,670	92.1%	-5.3%	828,130	5.8%
Program Total	717,341	760,854	6.1%	782,860	720,670	92.1%	-5.3%	828,130	5.8%

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Maintenance Supervisor/Manager	0.19	0.19		0.19	0.19			0.19	
Senior Electrician	0.69	0.69		0.69	0.69			0.69	
Instrumentation Technician	0.69	0.69		0.69	0.69			0.69	
Plant Mechanic	1.95	1.45		1.45	1.45			1.45	
Maintenance Worker II	1.00	1.00		1.00	1.00			1.00	
Admin Asst II-P&PM Clerk	0.38	0.38		0.38	0.38			0.38	
Custodian	0.34	0.34		0.34	0.34			0.34	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	5.24	4.74	-9.5%	4.74	4.74	100.0%	0.0%	4.74	0.0%

Department: **54000 - Utilities Department**  
 Division: **54200 - Facilities Maintenance Division**  
 Program: **53650 - Electrical Maintenance**

**PERFORMANCE OBJECTIVES**

Maintenance and repair of the City's traffic signals and street lights. Support to building maintenance for electrical systems in City Buildings.

1. To maintain 68 City traffic signals and over 4,350 City-owned street lights.
2. To report and replace stolen traffic signal equipment in a timely manner.
3. To traffic signal outages in a timely manner.

**COMMENTARY**

In FY11-12, program costs were down, although personnel costs are up. In FY12-13, program costs showed a major increase. Program staffing returned to full-time. Both personnel and material costs were up.

In FY13-14, program costs will show major increases, due to personnel costs and capital outlays.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b><u>PROGRAM EXPENDITURES</u></b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	167,648	200,080	19.3%	235,000	223,280	95.0%	11.6%	243,500	3.6%
Contracted Services	435	140	-67.8%	1,970	1,800	91.4%	1185.7%	1,970	0.0%
Commodities	24,220	31,464	29.9%	26,220	26,000	99.2%	-17.4%	26,220	0.0%
Internal Charges	57,118	51,500	-9.8%	65,300	65,000	99.5%	26.2%	66,590	2.0%
Other Payments	0	1,356		58,500	3,500	6.0%		0	-100.0%
<b>Program Total</b>	<b>249,421</b>	<b>284,540</b>	<b>14.1%</b>	<b>386,990</b>	<b>319,580</b>	<b>82.6%</b>	<b>12.3%</b>	<b>338,280</b>	<b>-12.6%</b>
Amended Budget	293,240	327,640			386,990				
% of Amended Spent	85.1%	86.8%			82.6%				

<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	99,421	86,540	-13.0%	186,990	169,580	90.7%	96.0%	138,280	-26.0%
TDA & Gas Tax Funds 24x	150,000	198,000	32.0%	200,000	150,000	75.0%	-24.2%	200,000	0.0%
Light & Landscaping Dist Fund 271	0	0		0	0			0	
<b>Program Total</b>	<b>249,421</b>	<b>284,540</b>	<b>14.1%</b>	<b>386,990</b>	<b>319,580</b>	<b>82.6%</b>	<b>12.3%</b>	<b>338,280</b>	<b>-12.6%</b>

<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Senior Electrician	1.00	1.00		1.00	1.00			1.00	
Electrician	1.00	1.00		1.00	1.00			1.00	
General Laborer	0.00	0.00		0.00	0.00			0.00	
Maintenance Supervisor/Manager	0.05	0.05		0.05	0.05			0.05	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>2.05</b>	<b>2.05</b>	<b>0.0%</b>	<b>2.05</b>	<b>2.05</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.05</b>	<b>0.0%</b>

Department: **54000 - Utilities Department**  
 Division: **54300 - Wastewater Treatment Division**  
 Program: **53660 - WWT Plant Operations**

**PERFORMANCE OBJECTIVES**

Operate the City's Wastewater Treatment Plant to treat and dispose of the wastewater collected from within the City. Program expenses include plant electric and chemical costs.

1. To operate the City's wastewater treatment plant for 365 days for 24 hours per day.
2. To treat and disposal of 3,300 million gallons of wastewater during the year.
3. To ensure wastewater effluent is meeting State discharge standards.
4. To ensure proper treatment and disposal of waste solids.
5. To operate the plant in an energy efficient manner.

**COMMENTARY**

In FY11-12, program costs showed a modest increase. In FY12-13, program costs show a slight decrease. Personnel costs were down but contracted costs were up.

In FY13-14, program costs will show modest increase, due to personnel costs and internal charges.

For FY14-15, the program budget provides for current staffing, but with some augmentations for other items. The budget includes \$1,100,000 for electric, \$260,000 for chemicals, \$180,700 for sludge removals, and \$151,800 for State permits.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,117,207	1,042,668	-6.7%	1,288,400	1,137,490	88.3%	9.1%	1,330,300	3.3%
Contracted Services	1,456,846	1,506,097	3.4%	1,478,620	1,470,000	99.4%	-2.4%	1,556,470	5.3%
Commodities	323,586	296,650	-8.3%	300,110	298,600	99.5%	0.7%	340,110	13.3%
Internal Charges	58,984	63,580	7.8%	67,830	67,000	98.8%	5.4%	76,790	13.2%
Other Payments	103,198	105,845	2.6%	104,000	104,000	100.0%	-1.7%	104,000	0.0%
<b>Program Total</b>	<b>3,059,821</b>	<b>3,014,840</b>	<b>-1.5%</b>	<b>3,238,960</b>	<b>3,077,090</b>	<b>95.0%</b>	<b>2.1%</b>	<b>3,407,670</b>	<b>5.2%</b>
Amended Budget	3,109,490	3,206,074			3,238,960				
% of Amended Spent	98.4%	94.0%			95.0%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Wastewater Fund 521	3,059,821	3,014,840	-1.5%	3,238,960	3,077,090	95.0%	2.1%	3,407,670	5.2%
<b>Program Total</b>	<b>3,059,821</b>	<b>3,014,840</b>	<b>-1.5%</b>	<b>3,238,960</b>	<b>3,077,090</b>	<b>95.0%</b>	<b>2.1%</b>	<b>3,407,670</b>	<b>5.2%</b>

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Deputy Director - Utilities	0.00	0.00		0.00	0.00			0.00	
Plant Supervisor	0.00	0.00		0.00	0.00			0.00	
WW Oper Manager	1.00	1.00		1.00	1.00			1.00	
Utility Plant Operator	9.00	9.00		9.00	9.00			9.00	
Maintenance Worker I	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Safety Specialist	0.20	0.20		0.20	0.20			0.20	
<b>Total - Full-Time Equivalents</b>	<b>11.20</b>	<b>11.20</b>	<b>0.0%</b>	<b>11.20</b>	<b>11.20</b>	<b>100.0%</b>	<b>0.0%</b>	<b>11.20</b>	<b>0.0%</b>

Department: **54000 - Utilities Department**  
 Division: **54400 - Laboratory Division**  
 Program: **53670 - Utilities Laboratory**

**PERFORMANCE OBJECTIVES**

Provide laboratory and environmental control services for the City Utilities and other Departments. Laboratory testing is done both in-house and through contracted work.

1. To perform routine lab analysis to ensure wastewater, biosolids, and receiving water are meeting State standards.
2. To ensure safe laboratory hazardous materials management.
3. To work closely with operations staff to address plant process optimization.

**COMMENTARY**

The need for contracted lab testing varies from year to year; so, program costs can go up and down reflecting this need. In FY11-12, program costs showed only a minimal increase. But, in FY12-13, program costs showed a major increase. Personnel costs were up with full staffing and increased contract lab testing.

In FY13-14, program costs will show a minimal increase. For FY14-15, the program budget provides for current staffing, with some increases for internal charges, but maintains current funding for other items, and includes \$228,190 for contracted testing and \$75,490 for lab supplies.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	568,710	673,792	18.5%	704,100	641,840	91.2%	-4.7%	728,300	3.4%
Contracted Services	190,603	232,718	22.1%	286,790	254,780	88.8%	9.5%	286,790	0.0%
Commodities	92,211	102,307	10.9%	103,020	103,000	100.0%	0.7%	103,020	0.0%
Internal Charges	31,608	43,128	36.4%	53,870	53,800	99.9%	24.7%	56,990	5.8%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>883,132</b>	<b>1,051,945</b>	<b>19.1%</b>	<b>1,147,780</b>	<b>1,053,420</b>	<b>91.8%</b>	<b>0.1%</b>	<b>1,175,100</b>	<b>2.4%</b>
Amended Budget	1,157,150	1,134,244			1,147,780				
% of Amended Spent	76.3%	92.7%			91.8%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Water Fund 511	289,427	343,486	18.7%	354,980	338,000	95.2%	-1.6%	364,670	2.7%
Wastewater Fund 521	593,705	708,459	19.3%	792,800	715,420	90.2%	1.0%	810,430	2.2%
<b>Program Total</b>	<b>883,132</b>	<b>1,051,945</b>	<b>19.1%</b>	<b>1,147,780</b>	<b>1,053,420</b>	<b>91.8%</b>	<b>0.1%</b>	<b>1,175,100</b>	<b>2.4%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Laboratory Supervisor	1.00	1.00		1.00	1.00			1.00	
Envir Control Inspector	1.00	1.00		1.00	1.00			1.00	
Laboratory Technician	4.00	4.00		4.00	4.00			4.00	
WW Oper Manager	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Laborer	0.50	0.50		0.50	0.50			0.50	
<b>Total - Full-Time Equivalents</b>	<b>6.50</b>	<b>6.50</b>	<b>0.0%</b>	<b>6.50</b>	<b>6.50</b>	<b>100.0%</b>	<b>0.0%</b>	<b>6.50</b>	<b>0.0%</b>

Department: **54000 - Utilities Department**  
 Division: **54500 - Water Treatment Division**  
 Program: **53680 - Water Plant Operations**

Operate the City's Water Treatment Plant to provide a potable water supply for the City. Program expenses include plant electric and chemicals costs, and bulk water purchases from other agencies.

**PERFORMANCE OBJECTIVES**

1. To operate the City's water treatment plant for 365 days for 24 hours per day.
2. To treat and produce 6,100 million gallons of water during the year.
3. To treat and produce 100 million gallons of potable water during the year from the JJ Water Treatment Plant.
4. To purchase 6,000 million gallons of treated potable water from the South San Joaquin Irrigation District.
5. To ensure drinking water meets CA Department of Public Health water quality standards.
6. To operate the plant in an energy efficient manner.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. In FY12-13, they also show a moderate increase, with a major increase in bulk water purchases.

In FY13-14, even though the adopted budget has been increased, program costs will show a modest decrease from the prior year. Personnel costs will up but contracted costs will be down.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing but with some increases for internal charges and contracted services, and includes \$500,000 for electric, \$275,200 for chemicals, \$56,000 for sludge removal, and \$4,122,200 for bulk water purchases.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,023,587	1,003,354	-2.0%	1,226,800	1,115,140	90.9%	11.1%	1,265,800	3.2%
Contracted Services	4,204,706	4,235,638	0.7%	3,868,670	4,041,000	104.5%	-4.6%	4,159,770	7.5%
Commodities	682,756	930,193	36.2%	765,740	762,300	99.6%	-18.0%	765,740	0.0%
Internal Charges	30,112	29,796	-1.0%	40,040	40,000	99.9%	34.2%	36,090	-9.9%
Other Payments	17,992	0	-100.0%	16,000	19,120	119.5%		16,000	0.0%
<b>Program Total</b>	<b>5,959,153</b>	<b>6,198,981</b>	<b>4.0%</b>	<b>5,917,250</b>	<b>5,977,560</b>	<b>101.0%</b>	<b>-3.6%</b>	<b>6,243,400</b>	<b>5.5%</b>
Amended Budget	5,670,990	5,958,810			6,090,750				
% of Amended Spent	105.1%	104.0%			98.1%				

**FUNDING SOURCES**

Water Fund 511	5,959,153	6,198,981	4.0%	5,917,250	5,977,560	101.0%	-3.6%	6,243,400	5.5%
<b>Program Total</b>	<b>5,959,153</b>	<b>6,198,981</b>	<b>4.0%</b>	<b>5,917,250</b>	<b>5,977,560</b>	<b>101.0%</b>	<b>-3.6%</b>	<b>6,243,400</b>	<b>5.5%</b>

**PROGRAM STAFFING**

*Regular Positions*

Deputy Director - Utilities	0.00	0.00		0.00	0.00			0.00	
Plant Supervisor	1.00	1.00		1.00	1.00			1.00	
Utility Plant Operator	8.00	8.00		8.00	8.00			8.00	
Maintenance Worker I	1.00	1.00		1.00	1.00			1.00	
General Laborer	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Safety Specialist	0.20	0.20		0.20	0.20			0.20	
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<b>Total - Full-Time Equivalents</b>	<b>10.20</b>	<b>10.20</b>	<b>0.0%</b>	<b>10.20</b>	<b>10.20</b>	<b>100.0%</b>	<b>0.0%</b>	<b>10.20</b>	<b>0.0%</b>
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Department: **54000 - Utilities Department**  
 Division: **54100 - Director's Office**  
 Program: **53690 - Water Management**

Plan and coordinate City water conservation and stormwater management efforts, including public education and monitoring and enforcement activities.

**PERFORMANCE OBJECTIVES**

1. To promote water conservation to meet the requirements of the State 20 X 2020 Plan.
2. To provide \$29,050 in rebates for residential toilets and washing machine retrofits.

**COMMENTARY**

Program costs vary depending upon the annual need for water conservation. In FY04-05, stormwater management was added to program activities. Program costs increase annually; but are less than budget. Major cost outlays are for program advertising and water conservation rebates.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and a major increase in contracted services. The budget will be augmented by \$45,000 for storm water compliance.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	115,325	134,552	16.7%	132,200	137,950	104.3%	2.5%	137,100	3.7%
Contracted Services	12,809	34,991	173.2%	31,730	31,700	99.9%	-9.4%	76,030	139.6%
Commodities	3,871	1,374	-64.5%	6,640	5,480	82.5%	298.8%	6,640	0.0%
Internal Charges	9,585	10,604	10.6%	20,240	20,200	99.8%	90.5%	17,100	-15.5%
Other Payments	19,182	9,926	-48.3%	29,050	15,700	54.0%	58.2%	29,050	0.0%
Program Total	160,772	191,447	19.1%	219,860	211,030	96.0%	10.2%	265,920	20.9%
Amended Budget	220,540	209,895			224,160				
% of Amended Spent	72.9%	91.2%			94.1%				

**FUNDING SOURCES**

Water Fund 511	160,772	191,447	19.1%	199,860	191,030	95.6%	-0.2%	200,920	0.5%
Drainage Fund 541	0	0		20,000	20,000	100.0%		65,000	225.0%
Program Total	160,772	191,447	19.1%	219,860	211,030	96.0%	10.2%	265,920	20.9%

**PROGRAM STAFFING**

<i>Regular Positions</i>									
Water Resources Coordinator	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Stormwater Inspector - PT	0.00	0.00		0.00	0.00			0.00	
Water Patrol	0.50	0.50		0.50	0.50			0.50	
Total - Full-Time Equivalents	1.50	1.50	0.0%	1.50	1.50	100.0%	0.0%	1.50	0.0%

# PARKS & COMMUNITY SERVICES DEPARTMENT

## Mission Statement

Working together to Enhance our Quality of Life

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Department **55000 - Parks & Community Services Department**

The Parks & Community Services Department conducts and provides the City's recreation programs, operates community facilities, operates and maintains the City's airports, and coordinates transit operations. Also, contracts for operations at the City's Library.

In FY09-10, the Cultural Arts Division and five programs were transferred to the City Manager's Office.

COMMENTARY

In FY12-13, the Department was disbanded. The Transit, Airport, and Community Facilities programs were transferred to the Public Works Department. The Recreation Division and all other programs went to the City Manager's Office.

In FY12-13, the Department transferred 8.7 FTE's to the Public Works Department and 14.81 FTE's to the City Manager's Office.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Director's Office</b>									
55110 - Pks & Comm Ser Admin	474,685	0	-100.0%	0	0			0	
55120 - Community Facilities	294,524	0	-100.0%	0	0			0	
55130 - Library	160,822	0	-100.0%	0	0			0	
<b>Recreation Division</b>									
55410 - Special Interest Classes	242,937	0	-100.0%	0	0			0	
55420 - Aquatics/Community Pool	300,665	0	-100.0%	0	0			0	
55430 - Athletics	146,860	0	-100.0%	0	0			0	
55440 - Youth Development	167,301	0	-100.0%	0	0			0	
55450 - Senior Citizens	228,624	0	-100.0%	0	0			0	
55460 - Mayor's Comm Youth Suppr	18,816	0		0	0			0	
55470 - Pre-school	0	0		0	0			0	
55480 - Community Events	190,782	0	-100.0%	0	0			0	
55490 - Teen Recreation	89,213	0	-100.0%	0	0			0	
<b>Community Services Division</b>									
55510 - Transit Operations	1,544,547	0	-100.0%	0	0			0	
55520 - Airport Operations	335,389	0	-100.0%	0	0			0	
<b>Cultural Arts Division</b>									
55610 - Cultural Arts	0	0		0	0			0	
55620 - Arts Education	0	0		0	0			0	
55630 - Art Gallery	0	0		0	0			0	
55640 - Theatre Presentations	0	0		0	0			0	
55650 - Theatre Rentals	0	0		0	0			0	
Department Total	4,195,165	0	-100.0%	0	0			0	
Amended Budget	4,789,590	0	-100.0%		0			over 2 years	
% of Amended Spent	87.59%								
						Base Budget >>		0	
						Augmentations >>		0	

Department: 55000 - Parks &amp; Community Services Department (Continued)

DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,694,530	0	-100.0%	0	0			0	
Contracted Services	1,616,002	0	-100.0%	0	0			0	
Commodities	180,740	0	-100.0%	0	0			0	
Internal Charges	599,761	0	-100.0%	0	0			0	
Other Payments	104,132	0	-100.0%	0	0			0	
Department Total	4,195,165	0	-100.0%	0	0			0	

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101 - Taxes	1,494,595	0	-100.0%	0	0			0	
Recreation Fees	779,434	0	-100.0%	0	0			0	
Recreation Grants	0	0		0	0			0	
Cultural Art Fees	0	0		0	0			0	
TDA & Gas Tax Funds 24x	0	0		0	0			0	
Comm Devel Block Gt Fund 269	0	0		0	0			0	
Landscaping Districts Fund 271	0	0		0	0			0	
Solid Waste Fund 531	0	0		0	0			0	
Drainage Fund 541	0	0		0	0			0	
Airport Fund 561	335,389	0	-100.0%	0	0			0	
Transit Fund 571	1,585,747	0	-100.0%	0	0			0	
Capital Projects Funds	0	0		0	0			0	
Department Total	4,195,165	0	-100.0%	0	0			0	

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Parks & Comm Services Director	1.00	0.00		0.00	0.00			0.00	
Managers & Supervisors	4.00	0.00		0.00	0.00			0.00	
Secretarial & Clerical	1.50	0.00		0.00	0.00			0.00	
Landscaping Maintenance	0.00	0.00		0.00	0.00			0.00	
Facilities Operations	2.00	0.00		0.00	0.00			0.00	
Recreation	1.50	0.00		0.00	0.00			0.00	
Cultural Arts	0.00	0.00		0.00	0.00			0.00	
Transit	1.00	0.00		0.00	0.00			0.00	
Solid Waste	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Parks & Com Serv Commissioners (7)	0.25	0.00		0.00	0.00			0.00	
Transportation Commissioners (7)	0.25	0.00		0.00	0.00			0.00	
Transit	0.25	0.00		0.00	0.00			0.00	
Recreational	23.20	0.00		0.00	0.00			0.00	
Cultural Arts	0.00	0.00		0.00	0.00			0.00	
Airport	0.00	0.00		0.00	0.00			0.00	
Total - Full Time Equivalents	34.95	0.00	-100.0%	0.00	0.00			0.00	

# DEVELOPMENT SERVICES DEPARTMENT

Previously, Community Development Department  
& Development & Engineering Services

## Mission Statement

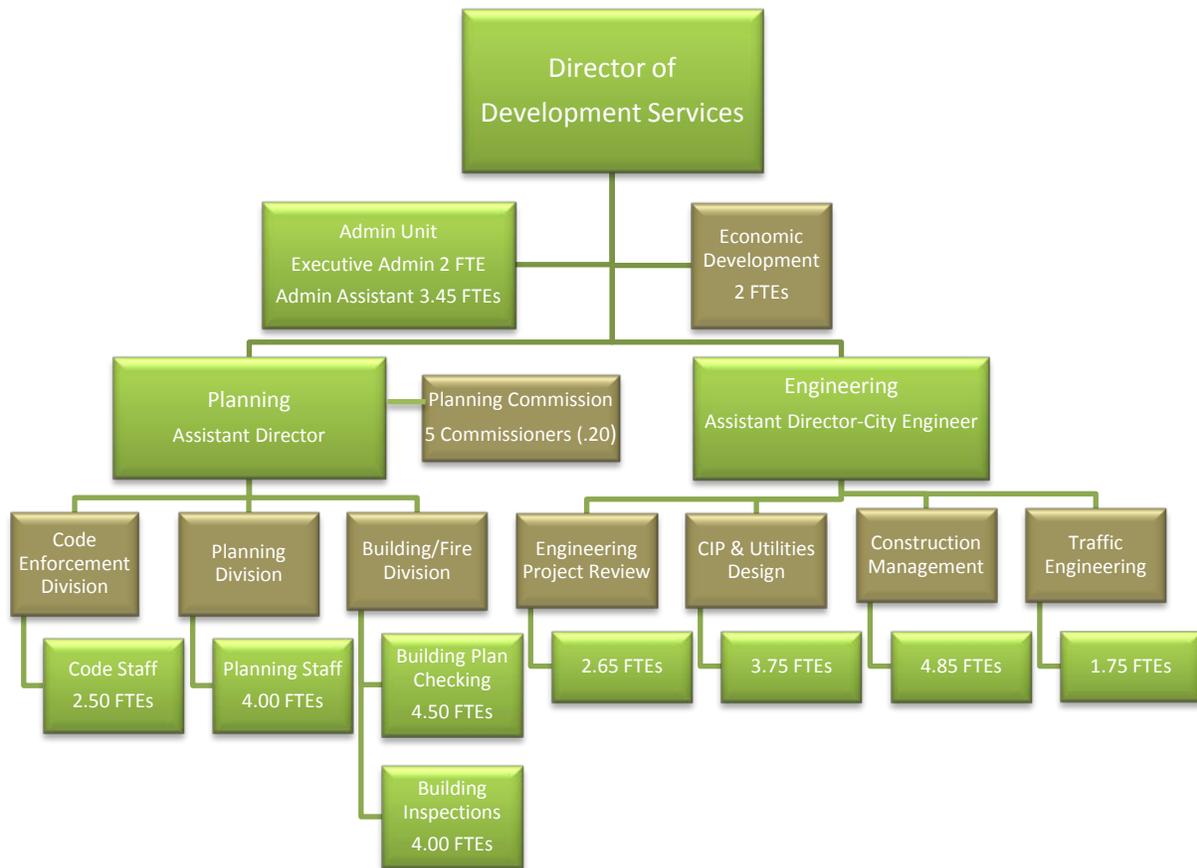
Through High Quality Service, Protect Public Health and  
Safety and Enhance Long-Term Development Character

### Department Head

Andrew Malik

Development Services Director

City of Tracy  
DEVELOPMENT SERVICES  
Fiscal Year 14-15



Department: **56000 - Development Services**

The Development Services Department provides planning and development services for the City including advance and current planning, building plan review and inspections, code enforcement, engineering services, and economic development.

Economic Development included redevelopment and housing. But, since the State abolished redevelopment agencies, these activities were discontinued.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 26.5% over the current year adopted budget, and this represents a 16.8% increase over the FY12-13 amended budget.

The base component of the budget represents a 6.0% decrease over the current year adopted budget, while budget augmentations will show a 34.5% increase over the base budget.

In FY13-14, departmental staffing lost 3 full-time regular positions, due to retirements. In FY14-15, departmental staffing will add part-time hours.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Director's Office</b>									
56110 - Development Services Administration	381,685	383,053	0.4%	377,940	375,190	99.3%	-2.1%	411,230	8.8%
<b>Planning Division</b>									
56210 - Advanced Planning	0	0		0	0			0	
56220 - Current Planning	0	0		0	0			0	
56230 - Planning Services	1,035,514	1,028,273	-0.7%	972,500	875,250	90.0%	-14.9%	1,014,630	4.3%
<b>Building Division</b>									
56410 - Building Plans Checking	643,057	783,655	21.9%	705,960	789,450	111.8%	0.7%	1,231,380	74.4%
56420 - Building Inspections	653,543	821,065	25.6%	1,121,870	1,108,350	98.8%	35.0%	1,506,560	34.3%
<b>Code Enforcement Division</b>									
56510 - Code Enforcement	488,022	379,192	-22.3%	437,840	435,180	99.4%	14.8%	527,770	20.5%
<b>Engineering Division</b>									
56610 - Engineering Review	680,093	735,724	8.2%	687,080	583,700	85.0%	-20.7%	1,343,560	95.5%
56620 - Capital Project Design	1,120,967	1,085,318	-3.2%	761,800	710,550	93.3%	-34.5%	804,470	5.6%
56630 - Utilities Engineering	101,086	111,675	10.5%	186,220	132,070	70.9%	18.3%	199,530	7.1%
56640 - Traffic Engineering	235,084	255,571	8.7%	340,340	284,020	83.5%	11.1%	344,930	1.3%
56650 - Construction Management	982,980	982,983	0.0%	881,730	835,520	94.8%	-15.0%	1,126,740	27.8%
<b>Economic Development Division</b>									
56810 - Economic Development	298,056	293,450	-1.5%	513,580	479,350	93.3%	63.3%	542,530	5.6%
56820 - Redevelopment	171,735	0	-100.0%	0	0			0	
56830 - Housing	239,629	0	-100.0%	0	0			0	
56840 - Comm Dev Block Grant	77,599	173,036	123.0%	342,770	342,000	99.8%	97.6%	312,370	-8.9%
56850 - Downtown Promotion	117,144	113,974	-2.7%	117,200	114,150	97.4%	0.2%	117,200	0.0%
56860 - Downtown Parking	0	0		0	0			0	
641xx - CDA-Succesor Agency	0	233,446		250,000	235,000			250,000	
Department Total	7,226,194	7,380,415	2.1%	7,696,830	7,299,780	94.8%	-1.1%	9,732,900	26.5%
Amended Budget	8,194,015	8,333,333	1.7%		8,291,880		-0.5%	over 2 years	16.8%
% of Amended Spent	88.19%	88.56%			88.04%				
						Base Budget >>		7,236,390	-6.0%
						Augmentations >>		2,496,510	34.5%

Department: 56000 - Development Services Department (Continued)

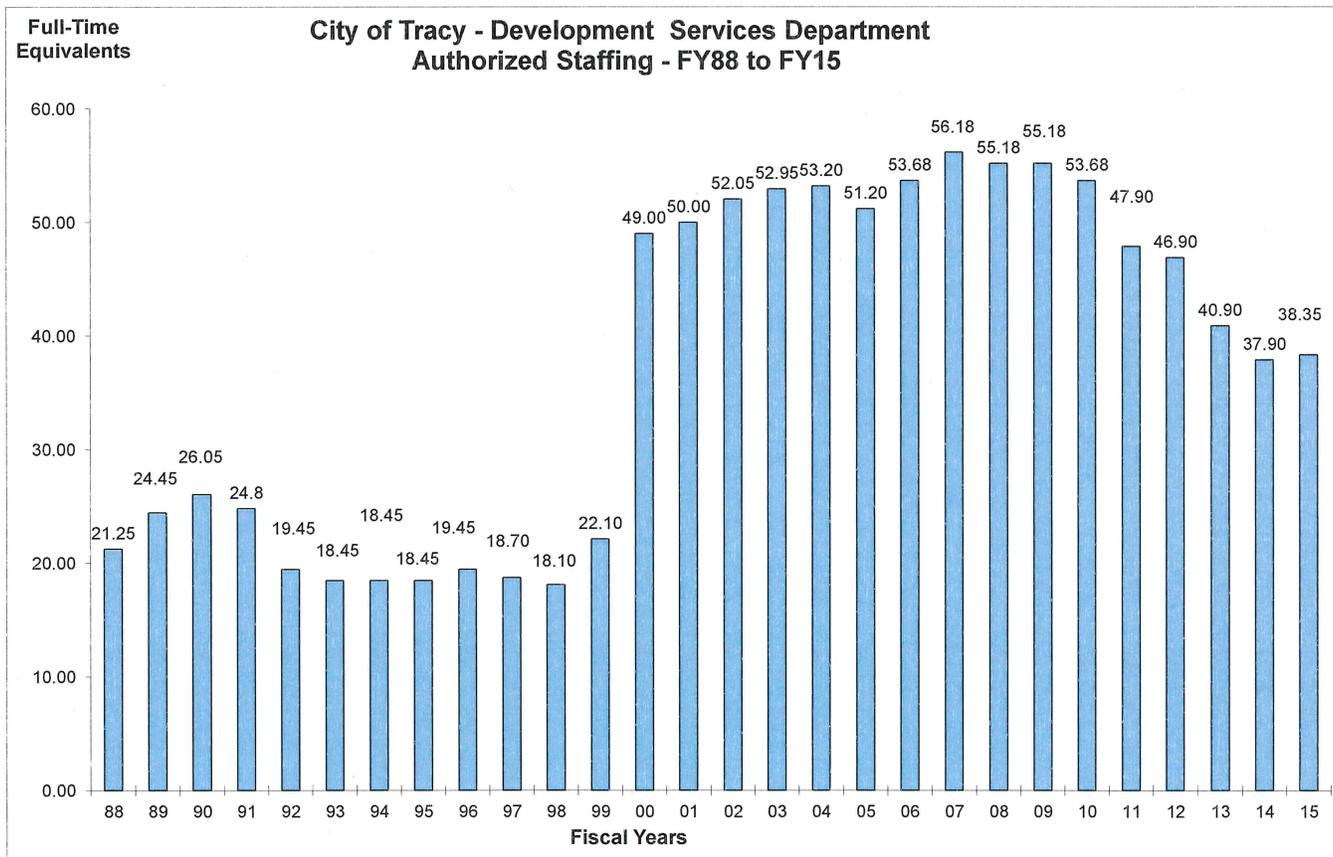
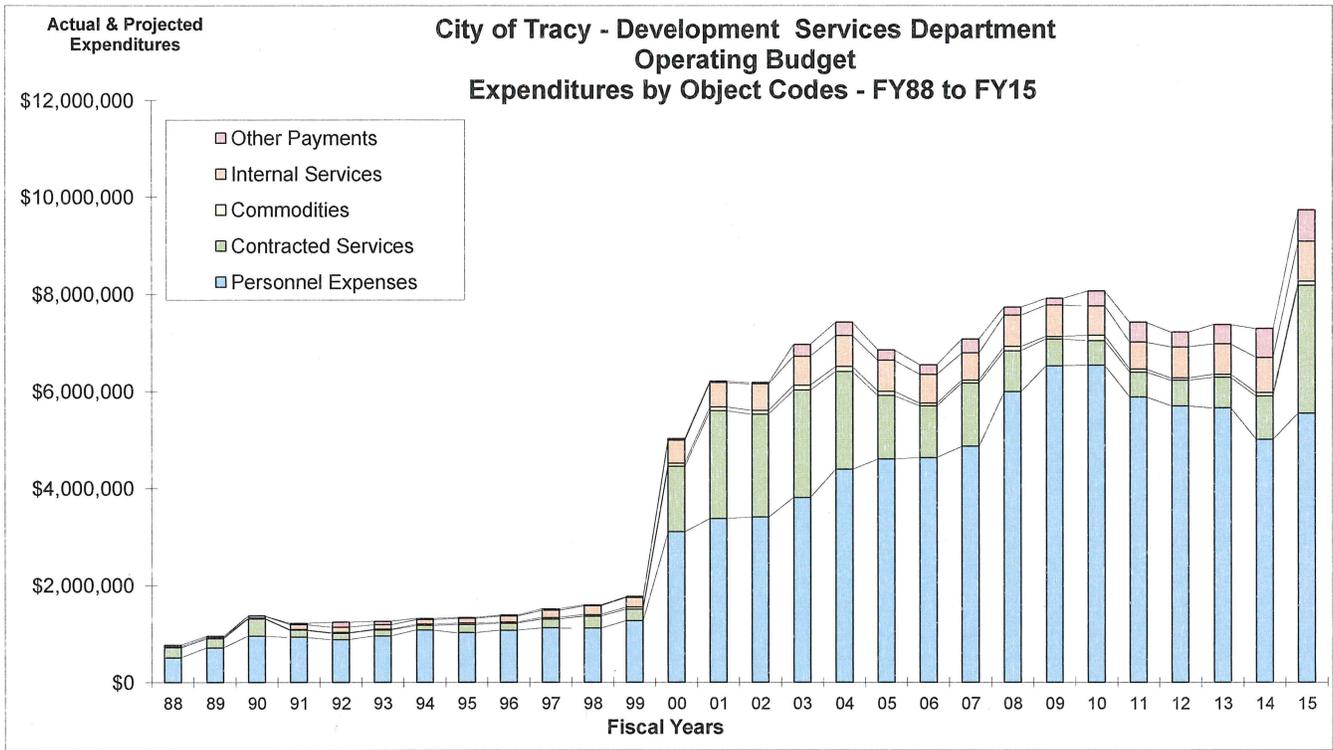
DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	5,701,312	5,659,662	-0.7%	5,356,000	5,012,880	93.6%	-11.4%	5,550,010	3.6%
Contracted Services	589,959	632,103	7.1%	913,720	891,640	97.6%	41.1%	2,634,550	188.3%
Commodities	45,968	61,603	34.0%	80,210	70,690	88.1%	14.8%	80,210	0.0%
Internal Charges	560,104	630,427	12.6%	746,030	729,100	97.7%	15.7%	823,160	10.3%
Other Payments	328,851	396,620	20.6%	600,870	595,470	99.1%	50.1%	644,970	7.3%
Department Total	7,226,194	7,380,415	2.1%	7,696,830	7,299,780	94.8%	-1.1%	9,732,900	26.5%

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101 - Taxes	2,079,060	1,375,024	-33.9%	2,285,810	1,058,060	46.3%	-23.1%	2,156,070	-5.7%
Planning Fees	469,396	688,999	46.8%	329,960	629,000	190.6%	-8.7%	272,860	-17.3%
Building Fees	643,459	1,264,380	96.5%	1,030,000	1,372,000	133.2%	8.5%	1,910,000	85.4%
Engineering Fees	557,838	617,677	10.7%	622,470	1,036,500	166.5%	67.8%	2,206,500	254.5%
Capital Project Offset	2,505,021	2,631,916	5.1%	2,357,400	2,206,000	93.6%	-16.2%	2,128,370	-9.7%
Downtown Impvmt Dist Fund 221	117,144	113,974		117,200	114,150	97.4%	0.2%	117,200	0.0%
Parking District Fund 222	0	0		0	0			0	
TDA & Gas Tax Funds 24x	151,176	170,289	12.6%	175,000	175,000	100.0%	2.8%	180,000	2.9%
Com Devel Block Gt Fund 26x	77,599	173,036	123.0%	342,770	342,000	99.8%	97.6%	312,370	-8.9%
CDA Housing & Successor Fund 28x	239,629	0	-100.0%	0	0			0	
CDA & Suc Ag Project Fund 3xx	274,786	233,446	-100.0%	250,000	235,000	94.0%	0.7%	250,000	0.0%
Water Fund 511	45,544	55,018	-15.0%	76,700	59,500	77.6%	-74.5%	78,000	1.7%
Wastewater Fund 521	45,542	46,656	20.8%	84,520	57,570	68.1%	23.4%	96,530	14.2%
Drainage Fund 541	10,000	10,000	2.4%	25,000	15,000	60.0%	50.0%	25,000	0.0%
Other Funds	10,000	0		0	0			0	
Department Total	7,226,194	7,380,415	2.1%	7,696,830	7,299,780	94.8%	-1.1%	9,732,900	26.5%

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Department Director	2.00	1.00		1.00	1.00			1.00	
Planners	5.00	5.00		5.00	5.00			5.00	
Engineers	10.00	10.00		9.00	9.00			9.00	
Program Analysts	2.00	1.00		2.00	2.00			2.00	
Engineering Technical	7.00	7.00		5.00	5.00			5.00	
Building Official	1.00	1.00		1.00	1.00			1.00	
Building Regulation	7.00	6.00		6.00	6.00			6.00	
Code Enforcement	2.00	2.00		2.00	2.00			2.00	
Secretarial & Clerical	10.00	8.00		7.00	7.00			7.00	
Allocated to Fire Dept	-0.30	-0.30		-0.30	-0.30			-0.30	
<i>Other Staffing (Full-Time Equivalents)</i>									
Commission & Board Members	0.20	0.20		0.20	0.20			0.20	
Project Manager/Project Specialist	1.00	0.00		0.00	0.00			0.00	
Temp Clerk	0.00	0.00		0.00	0.00			0.45	
Total - Full Time Equivalents	46.90	40.90	-12.8%	37.90	37.90	100.0%	-7.3%	38.35	1.2%



## Budget Narrative - Development Services

### Historical Milestones

#### *FY 10-11*

- Departmental staffing deleted 5.5 full-time regular positions. About 0.60 of staff time was allocated to other departments.
- Reduction in base budget of \$986,160 compared to FY09-10 Adopted Budget.

#### *FY 11-12*

- Decrease in base budget of \$10,340 or 0.2% below the FY10-11 Adopted Budget, entirely in personnel expenses.
- \$95,000 in budget augmentations included \$20,000 for graffiti abatement and \$75,000 for system charges.
- The department was renamed Development Services.
- During the year, the department lost 4 full-time positions and 1.00 FTEs in temporary help.

#### *FY 12-13*

- Decrease in base budget of \$225,590 or 2.8% from the FY11-12 adopted budget.
- Departmental staffing deleted 4 positions.
- Savings of \$322,550 in the department budget due to retirements.
- No major budget augmentations. During the year, an Engineering Technician position was converted to a Junior Engineer.

#### *FY 13-14*

- Base budget decrease of \$121,040 or 1.7%. Decreases in all categories except internal service charges. Decreases in personnel expenses reflect staff retirements.
- Budget augmentations of \$541,290. The major increase is \$360,000 for temporary building inspectors. In addition, \$66,320 was added for economic development activities.
- Departmental staffing showed a net decrease of 3.00 FTEs: 2 Associate Engineers, 1 Engineering Technician, and 1 Executive Assistant. While 4 positions were deleted due to retirement; one new Analyst position was added in the Economic Development Division.

### Proposed Budget Changes in FY 14-15

- Decrease in the base budget of \$460,440 or 6.0% over the adopted FY13-14 budget. The decrease was in contracted services and grant outlays. However, personnel expenses did increase \$162,210 or 3.0%.
- Budget augmentations of \$2,496,510. These include: \$500,000 for building plan checks, \$670,000 for building inspectors, \$625,000 for engineering plan checks, \$160,000 for engineering inspectors, and \$56,030 for project testing.
- Departmental staffing will increase by .45 FTEs for added part-time clerical help.
- Community Development Block Grant (CDBG) allocation of \$312,370.

Major Non Personnel Expense	FY 12-13	%Change	FY 13-14	%Change	FY 14-15
Building Plan Check	\$50,000	0.00%	\$50,000	1100%	\$550,000
Building Inspections	0	0.00%	360,000	200%	720,000
Engineering Plan Check	25,000	0.00%	25,000	2600%	650,000
Project Contract Testing	43,970	0.00%	43,970	227%	100,000
Project Contract Inspections	40,000	0.00%	40,000	500%	200,000
Economic Development Contracts	57,000	87.70%	107,000	117%	125,000
Economic Development Grants	98,900	0.00%	98,900	0.00%	98,900
Equipment Acquisition	\$20,000		\$20,000		\$15,000
Equipment Replacement	\$0		\$0		\$53,060

56000 - Development Services

Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Change	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	5,383,220	-10,340	-0.2%	5,372,880	0	0.0%	5,372,880	-0.2%	5,701,312	106.1%
Contracted Services	459,830	0	0.0%	459,830	15,000	3.3%	474,830	3.3%	589,959	124.2%
Commodities	64,650	0	0.0%	64,650	5,000	7.7%	69,650	7.7%	45,968	66.0%
Internal Charges	567,120	0	0.0%	567,120	75,000	13.2%	642,120	13.2%	560,104	87.2%
Other Payments	42,000	0	0.0%	42,000	0	0.0%	42,000	0.0%	328,851	783.0%
<b>Department Total</b>	<b>6,516,820</b>	<b>-10,340</b>	<b>-0.2%</b>	<b>6,506,480</b>	<b>95,000</b>	<b>1.5%</b>	<b>6,601,480</b>	<b>1.3%</b>	<b>7,226,194</b>	<b>109.5%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>									
Personnel Expenses	5,372,880	-203,140	-3.8%	5,169,740	268,940	5.2%	5,438,680	1.2%	5,659,662	104.1%
Contracted Services	474,830	-125,140	-26.4%	349,690	171,330	49.0%	521,020	9.7%	632,103	121.3%
Commodities	69,650	-5,500	-7.9%	64,150	20,060	31.3%	84,210	20.9%	61,603	73.2%
Internal Charges	642,120	0	0.0%	642,120	11,450	1.8%	653,570	1.8%	630,427	96.5%
Other Payments	42,000	-17,800	-42.4%	24,200	554,900		579,100	1278.8%	396,620	68.5%
<b>Department Total</b>	<b>6,601,480</b>	<b>-351,580</b>	<b>-5.3%</b>	<b>6,249,900</b>	<b>1,026,680</b>	<b>16.4%</b>	<b>7,276,580</b>	<b>10.2%</b>	<b>7,380,415</b>	<b>101.4%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>									
Personnel Expenses	5,438,680	-132,460	-2.4%	6,279,640	49,780	0.8%	5,356,000	-1.5%	5,012,880	93.6%
Contracted Services	521,020	-10,000	-1.9%	922,290	402,700	43.7%	913,720	75.4%	891,640	97.6%
Commodities	84,210	-3,000	-3.6%	99,990	-1,000	-1.0%	80,210	-4.8%	70,690	88.1%
Internal Charges	653,570	92,460	14.1%	748,790	0	0.0%	746,030	14.1%	729,100	97.7%
Other Payments	579,100	-68,040	-11.7%	130,000	89,810	69.1%	600,870		595,470	99.1%
<b>Department Total</b>	<b>7,276,580</b>	<b>-121,040</b>	<b>-1.7%</b>	<b>8,180,710</b>	<b>541,290</b>	<b>6.6%</b>	<b>7,696,830</b>	<b>5.8%</b>	<b>7,299,780</b>	<b>94.8%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>									
Personnel Expenses	5,356,000	162,210	3.0%	5,518,210	31,800	0.6%	5,550,010	3.6%		
Contracted Services	913,720	-302,960	-33.2%	610,760	2,023,790	331.4%	2,634,550	188.3%		
Commodities	80,210	0	0.0%	80,210	0	0.0%	80,210	0.0%		
Internal Charges	746,030	30,520	4.1%	776,550	46,610	6.0%	823,160	10.3%		
Other Payments	600,870	-350,210	-58.3%	250,660	394,310	157.3%	644,970	7.3%		
<b>Department Total</b>	<b>7,696,830</b>	<b>-460,440</b>	<b>-6.0%</b>	<b>7,236,390</b>	<b>2,496,510</b>	<b>34.5%</b>	<b>9,732,900</b>	<b>26.5%</b>		

Economic Development Prog  
with \$1,415,350 added to D  
for FY12-13

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

56000 - Development Services

Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Change	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	5,383,220	-10,340	-0.2%	5,372,880	0	0.0%	5,372,880	-0.2%	5,701,312	106.1%
Contracted Services	459,830	0	0.0%	459,830	15,000	3.3%	474,830	3.3%	589,959	124.2%
Commodities	64,650	0	0.0%	64,650	5,000	7.7%	69,650	7.7%	45,968	66.0%
Internal Charges	567,120	0	0.0%	567,120	75,000	13.2%	642,120	13.2%	560,104	87.2%
Other Payments	42,000	0	0.0%	42,000	0	0.0%	42,000	0.0%	328,851	783.0%
<b>Department Total</b>	<b>6,516,820</b>	<b>-10,340</b>	<b>-0.2%</b>	<b>6,506,480</b>	<b>95,000</b>	<b>1.5%</b>	<b>6,601,480</b>	<b>1.3%</b>	<b>7,226,194</b>	<b>109.5%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Personnel Expenses	5,372,880	-203,140	-3.8%	5,169,740	268,940	5.2%	5,438,680	1.2%	5,659,662	104.1%
Contracted Services	474,830	-125,140	-26.4%	349,690	171,330	49.0%	521,020	9.7%	632,103	121.3%
Commodities	69,650	-5,500	-7.9%	64,150	20,060	31.3%	84,210	20.9%	61,603	73.2%
Internal Charges	642,120	0	0.0%	642,120	11,450	1.8%	653,570	1.8%	630,427	96.5%
Other Payments	42,000	-17,800	-42.4%	24,200	554,900		579,100	1278.8%	396,620	68.5%
<b>Department Total</b>	<b>6,601,480</b>	<b>-351,580</b>	<b>-5.3%</b>	<b>6,249,900</b>	<b>1,026,680</b>	<b>16.4%</b>	<b>7,276,580</b>	<b>10.2%</b>	<b>7,380,415</b>	<b>101.4%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Personnel Expenses	5,438,680	-132,460	-2.4%	6,279,640	49,780	0.8%	5,356,000	-1.5%	5,012,880	93.6%
Contracted Services	521,020	-10,000	-1.9%	922,290	402,700	43.7%	913,720	75.4%	891,640	97.6%
Commodities	84,210	-3,000	-3.6%	99,990	-1,000	-1.0%	80,210	-4.8%	70,690	88.1%
Internal Charges	653,570	92,460	14.1%	748,790	0	0.0%	746,030	14.1%	729,100	97.7%
Other Payments	579,100	-68,040	-11.7%	130,000	89,810	69.1%	600,870		595,470	99.1%
<b>Department Total</b>	<b>7,276,580</b>	<b>-121,040</b>	<b>-1.7%</b>	<b>8,180,710</b>	<b>541,290</b>	<b>6.6%</b>	<b>7,696,830</b>	<b>5.8%</b>	<b>7,299,780</b>	<b>94.8%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY14-15 \$ Budget</b>	<b>% Change</b>	Economic Development Prog with \$1,415,350 added to D for FY12-13	
Personnel Expenses	5,356,000	162,210	3.0%	5,518,210	31,800	0.6%	5,550,010	3.6%		
Contracted Services	913,720	-302,960	-33.2%	610,760	2,023,790	331.4%	2,634,550	188.3%		
Commodities	80,210	0	0.0%	80,210	0	0.0%	80,210	0.0%		
Internal Charges	746,030	30,520	4.1%	776,550	46,610	6.0%	823,160	10.3%		
Other Payments	600,870	-350,210	-58.3%	250,660	394,310	157.3%	644,970	7.3%		
<b>Department Total</b>	<b>7,696,830</b>	<b>-460,440</b>	<b>-6.0%</b>	<b>7,236,390</b>	<b>2,496,510</b>	<b>34.5%</b>	<b>9,732,900</b>	<b>26.5%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 56000 - Development Services

Department Budget By Program	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
5611 - Devel Services Admin	381,685	383,053	0.4%	377,940	-1.3%	387,690	2.6%	23,540	6.1%
56230 - Planning	1,035,514	1,028,273	-0.7%	972,500	-5.4%	999,000	2.7%	15,630	1.6%
564x0 - Building	1,296,600	1,604,720	23.8%	1,827,830	13.9%	1,558,630	-14.7%	1,179,310	75.7%
56510 - Code Enforcement	488,022	379,192	-22.3%	437,840	15.5%	449,940	2.8%	77,830	17.3%
56610 - Engineering Review	680,093	735,724	8.2%	687,080	-6.6%	713,240	3.8%	630,320	88.4%
56620 - Capital Project Design	1,120,967	1,085,318	-3.2%	761,800	-29.8%	798,700	4.8%	5,770	0.7%
56630 - Utilities Engineering	101,086	111,675	10.5%	186,220	66.8%	193,870	4.1%	5,660	2.9%
56640 - Traffic Engineering	235,084	255,571	8.7%	340,340	33.2%	341,370	0.3%	3,560	1.0%
56650 - Construction Managem	982,980	982,983	0.0%	881,730	-10.3%	904,210	2.5%	222,530	24.6%
56810 - Economic Development	298,056	293,450	-1.5%	513,580	75.0%	522,540	1.7%	19,990	3.8%
568x0 - CDA, Housing & Others	606,107	520,456	-14.1%	709,970	36.4%	367,200	-48.3%	312,370	85.1%
Department Total	7,226,194	7,380,415	2.1%	7,696,830	4.3%	7,236,390	-6.0%	2,496,510	34.5%

## Department Budget by Object

Personnel Expenses	5,701,312	5,659,662	-0.7%	5,356,000	-5.4%	5,518,210	3.0%	31,800	0.6%
Contracted Services	589,959	632,103	7.1%	913,720	44.6%	610,760	-33.2%	2,023,790	331.4%
Commodities	45,968	61,603	34.0%	80,210	30.2%	80,210	0.0%	0	0.0%
Internal Charges	560,104	630,427	12.6%	746,030	18.3%	776,550	4.1%	46,610	6.0%
Other Payments	328,851	396,620	20.6%	600,870	51.5%	250,660	-58.3%	394,310	157.3%
Department Total	7,226,194	7,380,415	2.1%	7,696,830	4.3%	7,236,390	-6.0%	2,496,510	34.5%

## Department Budget by Funding Source

General Fund 101 - Taxes	2,079,060	1,375,024	-33.9%	2,285,810	66.2%	2,388,970	4.5%	-232,900	-9.7%
Planning Fees	469,396	688,999	46.8%	329,960	-52.1%	335,600	1.7%	-62,740	-18.7%
Building Fees	643,459	1,264,380	96.5%	1,030,000	-18.5%	790,750	-23.2%	1,119,250	141.5%
Engineering Fees	557,838	617,677	10.7%	622,470	0.8%	633,600	1.8%	1,572,900	248.2%
Capital Project Offset	2,505,021	2,631,916	5.1%	2,357,400	-10.4%	2,346,400	-0.5%	-218,030	-9.3%
CDA Project Fund 31x	274,786	233,446	-15.0%	250,000	7.1%	250,000	0.0%	0	0.0%
CDA Housing/Sucessor Fund 28:	239,629	0	-100.0%	0		0		0	
Com Devel Block Gt Fund 26x	77,599	173,036	123.0%	342,770	98.1%	0	-100.0%	312,370	
Downtown Impt Dist Fund 221	117,144	113,974	-2.7%	117,200	2.8%	117,200	0.0%	0	0.0%
TDA & Gas Tax Funds 24x	151,176	170,289	12.6%	175,000	2.8%	180,000	2.9%	0	0.0%
Enterprise Funds	101,086	111,674	10.5%	186,220	66.8%	193,870	4.1%	5,660	2.9%
Other Funds	10,000	0	-100.0%	0		0		0	
Department Total	7,226,194	7,380,415	2.1%	7,696,830	4.3%	7,236,390	-6.0%	2,496,510	34.5%

## Department Staffing

Total - Full Time Equivalent	46.90	40.90	-12.8%	37.90	-7.3%	37.90	0.0%	0.45	1.2%
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## Department Equipment Purchase

Replacement Equipment	0	0		20,000		20,000	0.0%	53,060	265.3%
New Equipment	6,700	3,575		0		0		15,000	

Department: **56000 - Development Services**  
 Division: **56100 - Director's Office**  
 Program: **56110 - Development Services Administration**

**PERFORMANCE OBJECTIVES**

Administer and direct the Development & Engineering Department and provide the necessary administrative support for its operations and activities. Provide secretarial support to the Planning Commission.

1. To administer the 14 programs of the department at an admin cost of 5.0% or less of the department operating budget.
2. To oversee a departmental budget about \$9,732,900 and with an authorized staffing of 38.35 full-time equivalents.
3. To complete 90% of all plan reviews and permit inspections within the department's time standards.
4. To receive a rating of good or better on 90% of our customer satisfaction surveys.
5. To design and construction of all full funded CIP projects within schedule and budget.

**COMMENTARY**

This program provides for the departmental Director, clerical support, and the City Planning Commission.  
 In FY09-10 and FY10-11, program costs decreased, reflecting furloughs and absences. In FY11-12, normal program costs were down, although costs for temporary help and professional services were up.  
 In FY13-14, program costs will show a minimum decrease.  
 For FY14-15, program staffing will show an increase in support hours. The budget provides for current and added staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	290,960	318,241	9.4%	318,900	319,690	100.2%	0.5%	349,400	9.6%
Contracted Services	57,780	35,965	-37.8%	21,450	21,000	97.9%	-41.6%	21,450	0.0%
Commodities	4,247	4,658	9.7%	8,880	5,800	65.3%	24.5%	8,880	0.0%
Internal Charges	28,698	24,189	-15.7%	28,710	28,700	100.0%	18.6%	31,500	9.7%
Other Payments	0	0		0	0			0	
Program Total	381,685	383,053	0.4%	377,940	375,190	99.3%	-2.1%	411,230	8.8%
Amended Budget	385,430	375,090			377,940				
% of Amended Spent	99.0%	102.1%			99.3%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	168,885	36,213	-78.6%	82,340	83,590	101.5%	130.8%	110,530	34.2%
Building Fees	70,800	174,520	146.5%	147,800	145,800	98.6%	-16.5%	150,300	1.7%
Engineering Fees	71,000	86,160	21.4%	73,900	72,900	98.6%	-15.4%	75,200	1.8%
Capital Project Offset	71,000	86,160	21.4%	73,900	72,900	98.6%	-15.4%	75,200	1.8%
CDA Project Fund 381	0	0		0	0			0	
Program Total	381,685	383,053	0.4%	377,940	375,190	99.3%	-2.1%	411,230	8.8%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Director of Development Servs	1.00	1.00		1.00	1.00			1.00	
Exec Asst II-Admin Secretary	0.00	0.00		0.00	0.00			0.50	
Admin Assts-Sr Admin Clerk	0.55	0.55		0.50	0.50			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Planning Commissioners (5)	0.20	0.20		0.20	0.20			0.20	
Temp Clerk	0.00	0.00		0.00	0.00			0.45	
Total - Full-Time Equivalents	1.75	1.75	0.0%	1.70	1.70	100.0%	-2.9%	2.15	26.5%

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Completed and adopted the City's Roadways, Water, Wastewater, Storm Drainage, Parks, Public/Facilities, and Public Safety Infrastructure Master Plans with DS, Engineering Division, Public Works Department, the City Manager's Recreation Division, Police and Fire Departments.
2. Prepared Zoning Code Updates to implement Housing Element requirements.
3. Prepared Growth Management ordinance amendment to address Housing Element Requirements for RHNA allocation.
4. Completed the Ellis Specific Plan, CA, EIR and Annexation.
5. Completed final review and permits for Amazon.com.
6. Completed Specific Plan, Draft EIR and Development Agreement and annexation of the 1,790 Cordes Ranch project.
7. Initiated work on Draft Amendment to Tracy Hills Specific Plan and environmental document.
8. Approved over 150 land use permit actions, including more than 15 major projects requiring Commission or Council action (such as Amazon.com, Prime Shine, McDonald's and three apartment projects) in the I-205 Corridor Specific Plan, Infill, Northeast Industrial Area, and South Industrial Specific Plan areas.
9. Completed a comprehensive update to the Growth Management Ordinance Guidelines.

### Current Projections: FY 13-14

1. Complete development and building permits for one major employer in the Cordes Ranch project.
2. Publish Amendment to the Tracy Hills Specific Plan and environmental documents for public review.
3. Oversee preparation and monitoring of environmental documents such as Environmental Impact Reports and Negative Declarations in support of General Plan and specific development applications.
4. Approve over 150 land use permit actions, including more than 15 major projects requiring Commission or Council action in the I-205 Corridor Specific Plan, Infill, Cordes Ranch, Northeast Industrial Area, and South Industrial Specific Plan areas.

### Current Projections: FY 13-14 Continued

5. Complete SB610 Water Supply Assessments for new development projects.
6. Conduct Planning Commission workshops on development under the General Plan.
7. Initiate review of 130-acre proposed senior housing project at Corral Hollow and Valpico Road.
8. Initiate review of proposed 250-unit single family neighborhood on Lammers Road.
9. Participate in San Joaquin County projects and processes including Regional Housing Needs Allocation process, Habitat Conservation Technical Advisory Committee, and Regional Transportation Plan/Sustainable Communities Strategy.

### Future Projections: FY 14-15

1. Amend the I-205 Specific Plan to further streamline development approvals.
2. Complete review of various infill subdivision maps, including San Marco infill project.
3. Complete review of major apartment projects at Middlefield Road, Joe Pombo Parkway, and Grant Line Road.
4. Complete development permits for two major employers within the Cordes Ranch project.
5. Complete review of development permits for one major employer at Tracy Gateway.
6. Complete review of subdivision maps within the Tracy Hills project, and Ellis project.
7. Complete review of 130-acre senior housing community at Corral Hollow and Valpico Road.
8. Complete review of 250-unit single family neighborhood on Lammers Road.
9. Initiate work on land use entitlements for General Plan Area Urban Reserve 3.
10. Approve over 150 land use permit actions, including more than 15 major projects requiring Commission or council action in the I-205 Corridor Specific Plan, Infill, Cordes Ranch, Northeast Industrial Areas, and south Industrial Specific Plan areas.
11. Continue participating in San Joaquin County projects and processes.

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Department: **56000 - Development Services**  
 Division: **56200 - Planning Division**  
 Program: **56230 - Planning Services**

**PERFORMANCE OBJECTIVES**

Coordinate long-range planning activities related to the General Plan, specific plans, rezoning, and annexations. Process and review plans and applications for zoning, subdivisions, and growth management.

1. To process 125 land use permit applications, including 15 major projects
2. To provide accurate, timely information to the public regarding City land-use and development policies and standards.
3. To prepare and coordinate Planning Commission agenda and report preparation and distribution; and prepare and maintain Commission minutes and Reports of Action.
4. To complete amendment to Tracy Hills Specific Plan, zoning document for 2 major residential projects, development permits for 2 major employers at Cordes Ranch, 3 subdivisions, and apartment complexes throughout the City.
5. To generate \$407,660 in program revenues and recover 40% of program costs.

**COMMENTARY**

In FY10-11, the Advanced Planning and the Current Planning programs were consolidated into this new program. In FY11-12 and FY12-13, program costs showed a modest decrease, while personnel costs were up, contracted costs were down.

In FY13-14, program costs will show a major decrease, due to a decrease in support hours and personnel costs.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b><u>PROGRAM EXPENDITURES</u></b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	790,855	845,420	6.9%	820,300	724,460	88.3%	-14.3%	852,700	3.9%
Contracted Services	134,559	58,833	-56.3%	20,490	20,000	97.6%	-66.0%	19,490	-4.9%
Commodities	1,254	2,005	59.9%	5,250	4,570	87.0%	127.9%	5,250	0.0%
Internal Charges	72,668	83,540	15.0%	94,960	93,900	98.9%	12.4%	105,690	11.3%
Other Payments	36,177	38,475	6.4%	31,500	32,320	102.6%	-16.0%	31,500	0.0%
Program Total	1,035,513	1,028,273	-0.7%	972,500	875,250	90.0%	-14.9%	1,014,630	4.3%
Amended Budget	1,064,516	1,035,100			972,500				
% of Amended Spent	97.3%	99.3%			90.0%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	470,460	240,250	-48.9%	542,540	96,250	17.7%	-59.9%	601,770	10.9%
Planning Fees	500,984	587,028	17.2%	329,960	629,000	190.6%	7.1%	272,860	-17.3%
Capital Project Offset	64,069	200,995	213.7%	100,000	150,000	150.0%	-25.4%	140,000	40.0%
Program Total	1,035,513	1,028,273	-0.7%	972,500	875,250	90.0%	-14.9%	1,014,630	4.3%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Senior Planner	2.00	2.00		2.00	2.00			2.00	
Associate Planner	0.70	1.00		1.00	1.00			1.00	
Assistant Planner	1.00	1.00		1.00	1.00			1.00	
Admin Assts	0.45	0.45		0.50	0.50			0.00	
Exec Assts-Sr Secretary/Secretary	1.00	1.00		0.50	0.50			1.00	
Assistant Director	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	6.15	6.45		6.00	6.00			6.00	

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Completed 6,147 building inspections.
2. Processed and issued 1,435 building permits.
3. Generated \$1,299,742 in building permit/plan revenue.
4. Completed 2,533 fire code inspections.
5. Processed 57 fire construction permits.
6. Generated \$235,644 in fire permit (construction and operational)/plan review revenue.
7. Continued to develop capabilities and skills of plans examiners with mentoring process. Specialized instruction included building accessibility for persons with disabilities and plan review based upon the Fire Code including proprietary engineered fire suppression systems for commercial kitchen hoods and residential sprinkler systems, fire alarm plan review and development review applications.
8. Continued weekly in-house training for plans examiners, fire and building inspectors, and permit technicians.
9. Continued to digitize building plan archives. Goal - 85% by fiscal year's end.
10. Goal of digitizing 30% of fire prevention plans met. The department also met the goal of digitizing 5% of the fire prevention files.
11. Continued to implement the goal of reaching 65% of all permits to be over the counter (OTC) permits via expanded plan review processes by Permit Technicians and to expand additional permit types for the OTC process through training and modified forms. 57.7% of issued permits were either OTC 48.7%) or instant permits over the internet (9%). Permit Technicians have been trained to perform OTC plan review in gas line sizing, residential swimming pools and most commercial signs in addition to reviewing and approving SOQ's for special inspection agencies.
12. Continued to play an active role in the development of the Building Division's share of the city-wide update to the website.
13. Continued to involve inspection staff in plan review for larger projects.
14. Continued to involve inspection staff in plan review for larger projects.
15. Completed 71% of all plan reviews targeted for a maximum of a 20-day review on time. Goal is 90%.
16. Completed 77% of all plan review targeted for a maximum of a 10-day review on time. Goal is 90%.
17. Completed 99.65% of all plan review targeted for same day service within that given day. Goal is 95%.
18. Goal was met to receive an "Excellent" or "Good" rating for services performed from 90% of our customers via the Building Safety Division Customer Satisfaction Survey. The goal was met 100% of the time.
19. Assisted Code Enforcement with combined inspections within 24 hours or received request. Our objective is to meet this target 95% of the time.

### Past Accomplishments: FY 12-13 Continued

20. Continued the "Green Review" process wherein the Building Safety and Fire Prevention Division can implement the newly purchased Laserfiche modules of Workflow and Autotrail. Three additional Laserfiche licenses and 30" dual monitors have been purchased and set up to allow electronic plan review for both the Fire Prevention Division and Building Division. The goal was not met by the Planning and Engineering Divisions to accommodate electronic review by end of 2013 calendar year.

### Current Projections FY 13-14

1. Continue to develop capabilities of plan examiners with the mentoring process.
2. Continue to provide weekly in-house training for Plans Examiners, Fire and Building Inspectors and Permit Technicians.
3. Reinstate bi-weekly process system review meetings.
4. Continue to help Code Enforcement with combined inspections within 24 hours of the request being received.
5. Continue to expand the use of the tracking software reporting systems to included Engineering, Planning, and Fire Prevention.
6. OTC has been expanded to include plot plan submittals with the training of Permit Technicians.
7. Complete 90% of all plan review targeted for a maximum of a 20-day review on time.
8. Complete 90% of all plan review targeted for a maximum of a 10-day review on time.
9. Complete 95% of all plan review targeted for same day service within that given day.
10. Continue to receive an "Excellent" or "Good" rating for services performed and processing time from 90% of our customers who complete our survey.
11. Complete 8,000 building inspections. 4,322 have been completed at the mid-point of the current fiscal year.
12. Process 2,000 building permits. 928 have been processed at the mid-point of the current fiscal year.
13. Generate \$1,100,000 in building permit/plan review revenue. \$856,122 has been collected at the mid-point of the current fiscal year.
14. Perform 2,500 fire code inspections. 1,180 have been completed at the mid-point of the current fiscal year.
15. Process 60 fire construction permits. 30 have been processed at the mid-point of the current fiscal year.
16. Generate \$400,000 in fire permit (construction and operational)/plan review revenue. \$217,657 has been collected at the mid-point of the current fiscal year.
17. Continue to digitize Fire Prevention files. Thus far, 75% of the rolled plans have been digitized.

#### Current Projections: FY 13-14 Continued

18. Completed digitizing 100% of building plan archives (plans and files).
19. Continue to exercise the efficiencies created to meet the goal of 65% of all permits to be issued over the counter (OTC) or instantly through on-line permitting.
20. Completed redesign of the Building Division's website as it no encompasses Fire Prevention.
21. Completed post-disaster building evaluation and assessment kit.
22. Develop list of historical dates associated with building code adoption dates mandated by the State and/or adopted locally by the City. Project is 50% complete.
23. Meet with SB1608 provisions to ensure that a "sufficient number" of inspectors/plan reviewers are certified as CASp. Currently, out of six, three are certified.
24. Continue to adjust performance objectives for various listed construction projects as on-going training and processes allow for more efficient reviews.

#### Future Projections: FY 14-15

1. Continue to develop capabilities of plan examiners, fire and building inspectors and permit technicians.
2. Continue to provide weekly in-house training of staff.
3. Continue weekly process system review meetings with a team building component.
4. Begin implementation of new tracking software (Tyler) and reporting systems for the benefit of Building, Engineering, Planning, Code Enforcement, and Fire Prevention.
5. Continue to exercise the efficiencies created to meet the goal of 65% of all permits to be issued over the counter or instantly online.
6. Complete 90% of all plan review targeted for a maximum of a 20-day review on time.

#### Future Projections: FY 14-15 Continued

7. Complete 90% of all plan review targeted for a maximum of a 10-day review on time.
8. Complete 95% of all plan review targeted for same day service within that given day.
9. Continue to receive an "Excellent" or "Good" rating for services performed and processing time from 90% of our customers who complete our survey.
10. Complete 8,000 building inspections.
11. Process 2,000 building permits.
12. Generate \$1,900,000 in building permit and plan review revenue.
13. Perform 2,500 fire code inspections.
14. Process 60 fire construction permits.
15. Generate \$400,000 in fire permit (construction and operational)/plan review revenue.
16. Continue to digitize the remainder of the Fire Prevention files.
17. Continue to ensure that two out of the three inspection staff and plan examiners become Certified Access Specialists (CASp) per SB1608 that specifies a "sufficient number" of both examiners and inspectors are certified as CASp.
18. Complete 'Green Review' process wherein the Development Services Department will be able to perform electronic plan review.
19. Consider expanding permit types that are currently being offered online to include other simple permits.
20. Continue to conduct semi-annual meetings with our stakeholders to ensure quality services are being provided to our customer base and to make process adjustments where possible.

## CORE MEASURES and Supporting Data for Building &amp; Code Enforcement Programs

from

ICMA-CPM Data Templates

	FY10-11	FY11-12	FY12-13	%	FY13-14	FY13-14	%	FY14-15	%
	Actual	Actual	Actual	Change	Projected	Estimate	Change	Projected	Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
<b>Building Division</b>									
Building Permits - New Homes	9	15	47	213.33%	20	50	6.38%	300	500.00%
Building Permits - others	1,412	1,278	1,388	8.61%	1,480	1,390	0.14%	1,700	22.30%
Building Valuation (\$1,000)	\$29,775	\$44,551	\$138,840	211.64%	\$48,700	\$50,000	-63.99%	\$65,000	30.00%
Permitting Program Costs (\$1,000)	\$649	\$643	\$784	21.87%	\$706	\$789	0.74%	\$1,231	55.99%
<b>Costs/Permit</b>	<b>\$456.72</b>	<b>\$497.29</b>	<b>\$546.06</b>	<b>9.81%</b>	<b>\$470.60</b>	<b>\$548.19</b>	<b>0.39%</b>	<b>\$615.70</b>	<b>12.31%</b>
Building Inspections	5,353	4,568	6,189	35.49%	5,000	6,190	0.02%	8,000	29.24%
Inspection Program Costs (\$1,000)	\$714	\$654	\$821	25.54%	\$1,122	\$1,108	34.99%	\$1,507	35.93%
<b>Costs/Inspection</b>	<b>\$133.38</b>	<b>\$143.17</b>	<b>\$132.65</b>	<b>-7.34%</b>	<b>\$224.36</b>	<b>\$179.05</b>	<b>34.97%</b>	<b>\$188.31</b>	<b>5.17%</b>
Building Revenues (\$1,000)	\$538	\$644	\$1,264	96.49%	\$1,030	\$1,372	8.51%	\$1,910	39.21%
<b>per Permit</b>	<b>\$378.89</b>	<b>\$497.68</b>	<b>\$881.11</b>	<b>77.04%</b>	<b>\$686.67</b>	<b>\$952.78</b>	<b>8.13%</b>	<b>\$955.00</b>	<b>0.23%</b>
<b>as a % of Valuation</b>	<b>1.8%</b>	<b>1.4%</b>	<b>0.9%</b>	<b>-36.95%</b>	<b>2.1%</b>	<b>2.7%</b>	<b>201.31%</b>	<b>2.9%</b>	<b>7.09%</b>
<b>Code Enforcement Division</b>									
Code Enforcement Complaints									
Housing	0	0	0		1,500	0		1,500	
Zoning	0	0	0		0	0		0	
Nuisance	0	0	0		0	0		0	
Dangerous Buildings	0	0	0		0	0		0	
Total	0	0	0		1,500	0		1,500	
Field Inspections					875			875	
Cases with Violations									
Program Costs (\$1,000)	\$500	\$488	\$379	-22.30%	\$438	\$435	14.74%	\$528	21.28%
<b>Costs/Inspection</b>					<b>\$291.9</b>			<b>\$351.8</b>	
<b>Costs/Violation</b>					<b>\$500.3</b>			<b>\$603.1</b>	
<b>Citizen Survey Ratings-Community Conditions</b>									
Not a Problem					28.2%			29.0%	
A Small Problem					36.1%			37.0%	
Somewhat of a Problem					26.2%			25.0%	
Major Problem					9.5%			9.0%	

Department: **56000 - Development Services**  
 Division: **56400 - Building Division**  
 Program: **56410 - Building Plans Checking**

**PERFORMANCE OBJECTIVES**

Process and review plans and applications for building and construction projects within the City. Issue building permits and maintain the plans and records for such projects.

1. To issue 2,000 building permits, including 300 for single family homes.
2. To complete 90% of plan checks for major permits within 20 working days for initial review. and 10 days for all subsequent reviews.
3. To receive a "good" or better ratings from 90% of customers.
4. To complete 90% of plan checks for major permits within 10 working days for subsequent reviews.
5. To generate at least \$800,000 in program revenues and recover 65% of program costs.

**COMMENTARY**

In FY11-12, program costs showed a minor decrease; but revenues were up. In FY12-13, due to a pick-up in workload, program costs and revenues showed a major increase, particularly for contracted plan check costs. In FY13-14, program costs will show a slight increase, with a reduction in in contracted costs compared to FY12-13. For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and provides for major increases in contracted work. Workload and revenues are expected to increase significantly.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	544,014	531,191	-2.4%	563,200	553,270	98.2%	4.2%	581,300	3.2%
Contracted Services	30,976	181,882	487.2%	63,380	157,030	247.8%	-13.7%	562,380	787.3%
Commodities	4,228	7,495	77.3%	5,200	5,150	99.0%	-31.3%	5,200	0.0%
Internal Charges	63,839	63,087	-1.2%	74,180	74,000	99.8%	17.3%	82,500	11.2%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>643,057</b>	<b>783,655</b>	<b>21.9%</b>	<b>705,960</b>	<b>789,450</b>	<b>111.8%</b>	<b>0.7%</b>	<b>1,231,380</b>	<b>74.4%</b>
Amended Budget	641,140	1,054,870			811,840				
% of Amended Spent	100.3%	74.3%			97.2%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	480,804	229,360	-52.3%	379,860	162,350	42.7%	-29.2%	506,530	33.3%
Building Fees	162,253	554,295	241.6%	326,100	627,100	192.3%	13.1%	724,850	122.3%
<b>Program Total</b>	<b>643,057</b>	<b>783,655</b>	<b>21.9%</b>	<b>705,960</b>	<b>789,450</b>	<b>111.8%</b>	<b>0.7%</b>	<b>1,231,380</b>	<b>74.4%</b>
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Building Official	0.50	0.50		0.50	0.50			0.50	
Plan Examiner	2.00	2.00		2.00	2.00			2.00	
Admin Assts-Sr Admin Clerk	0.50	0.50		0.50	0.50			0.50	
Building Permit Technician	2.00	2.00		2.00	2.00			2.00	
Allocated to Fire Dept	-0.20	-0.20		-0.20	-0.20			-0.20	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Project Specialist	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>4.80</b>	<b>4.80</b>	<b>0.0%</b>	<b>4.80</b>	<b>4.80</b>	<b>100.0%</b>	<b>0.0%</b>	<b>4.80</b>	<b>0.0%</b>

Department: **56000 - Development Services**  
 Division: **56400 - Building Division**  
 Program: **56420 - Building Inspections**

**PERFORMANCE OBJECTIVES**

Perform field inspections on permitted building and construction projects to ensure compliance to adopted codes and ordinances. Perform enforcement against any unpermitted projects.

1. To perform & record results of 8,000 building inspections.
2. To provide combination inspections within 24 hours of request.
3. To receive a "good" or better rating from 90% of customers.

**COMMENTARY**

In FY11-12, program costs showed decreases; but revenues were up. In FY12-13, due to a pick-up in workload, program costs and revenues showed major increases, particularly for contracted inspection costs.

In FY13-14, program costs will show a another increase, with increases again for contracted inspection costs.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and provides for major increases in contracted work. Workload and revenues are expected to increase significantly.

4. To generate at least \$1,100,000 in program revenues, and recover 73% of program costs.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	562,951	620,619	10.2%	621,100	623,250	100.3%	0.4%	640,800	3.2%
Contracted Services	18,825	118,815	531.2%	399,900	386,270	96.6%	225.1%	759,400	89.9%
Commodities	5,120	14,265	178.6%	10,920	9,830	90.0%	-31.1%	10,920	0.0%
Internal Charges	66,647	67,366	1.1%	89,950	89,000	98.9%	32.1%	95,440	6.1%
Other Payments	0	0		0	0			0	
Program Total	653,543	821,065	25.6%	1,121,870	1,108,350	98.8%	35.0%	1,506,560	34.3%
Amended Budget	698,539	836,300			1,472,840				
% of Amended Spent	93.6%	98.2%			75.3%				

**FUNDING SOURCES**

General Fund 101 - Taxes	301,870	115,385	-61.8%	565,770	509,250	90.0%	341.3%	471,710	-16.6%
Building Fees	351,673	705,680	100.7%	556,100	599,100	107.7%	-15.1%	1,034,850	86.1%
Program Total	653,543	821,065	25.6%	1,121,870	1,108,350	98.8%	35.0%	1,506,560	34.3%

**PROGRAM STAFFING***Regular Positions*

Building Official	0.50	0.50		0.50	0.50			0.50	
Building Inspector	2.50	2.50		2.50	2.50			2.50	
Admin Asst II-Sr Admin Clerk	0.75	0.75		0.70	0.70			0.70	
Building Inspector Supervisor	1.00	1.00		1.00	1.00			1.00	
Allocated to Fire Dept	-0.10	-0.10		-0.10	-0.10			-0.10	

*Other Staffing (Full-Time Equivalents)*

Building Inspector	0.00	0.00		0.00	0.00			0.00	
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Total - Full-Time Equivalents	4.65	4.65	0.0%	4.60	4.60	100.0%	-1.1%	4.60	0.0%
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## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments FY 12-13

1. Continued participating in the Public Safety Strategy Program's implementation.
2. Conducted the City's 5<sup>th</sup> annual Free Mosquito Fish Program.
3. Continued working with Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
4. Conducted four IDEA Team meetings and neighborhood walkthroughs.
5. Worked with the City's Finance Division on loss prevention methods as a result of water theft.
6. Established more aggressive enforcement of illegally removed shopping carts.
7. Worked with disabled adults program and court ordered community service individuals on volunteer opportunities regarding removal of illegal signage, neighborhood clean-ups and vegetation abatement.
8. Continued education of Code Enforcement staff for building inspection and code enforcement certification.
9. Continued education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer and certification.

### Current Projections: FY 13-14

1. Participated in the Public Safety Strategy Program implementation.
2. Promote community education on code enforcement issues by way of literature, public service announcements on Access Channel 26, and presenting information to civic organizations and watch programs.
3. Conduct the City's 6<sup>th</sup> annual Free Mosquito Fish Program.
4. Implement a volunteer-based Graffiti Paint-Out Program to abate graffiti on private property (with owner's consent).
5. Continue education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer safety and certification.

### Current Projections: FY 13-14 Continued

6. Continue working with the Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
7. Conduct four IDEA Team meetings and neighborhood walkthroughs.
8. Continuing education of Code Enforcement staff for building inspection and code enforcement certification.
9. Work with receivership attorneys on receiver methods for problem properties.
10. Establish more aggressive enforcement of illegally removed shopping carts.

### Future Projections: FY 14-15

1. Conduct the City's 7<sup>th</sup> annual Free Mosquito Fish Program.
2. Provide education to elementary school aged children on building construction hazards, public nuisances, and graffiti.
3. Continue education on trends in code enforcement, with an emphasis on abandoned buildings, foreclosed properties, drug house abatements, officer safety and certification.
4. Continue working with the Tracy Police Department's Street Crimes Unit with back-up assistance when inspecting substandard units, marijuana grow houses, and methamphetamine labs.
5. Conduct four IDEA Team meetings and neighborhood walkthroughs.
6. Continue education of Code Enforcement staff for building inspection and code enforcement certification.
7. Work with receivership attorneys on receiver methods for problem properties.

Department: **56000 - Development Services**  
 Division: **56500 - Code Enforcement Division**  
 Program: **56510 - Code Enforcement**

**PERFORMANCE OBJECTIVES**

Perform field inspections and respond to complaints to enforce codes and ordinances related to zoning, land-use, and sub-standard dwellings.

1. To respond to 900 complaints within 48 hours of receipt.
2. To perform 1,200 field inspections.
3. To resolve 98% of the violations without court action.
4. To achieve voluntary compliance of 95% of code enforcement

**COMMENTARY**

In FY11-12, program costs showed modest decreases. In FY12-13, program staffing was reduced by 1.5 FTEs in both field and support staffing. Program costs showed a moderate decrease due to this staff reduction.

In FY13-14, program costs will show an increase, due to contract work for abatements.

For FY13-14, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, and increases for court costs. The budget includes \$30,500 for abatements and \$75,000 for court costs.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURES</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	432,476	322,936	-25.3%	337,200	338,950	100.5%	5.0%	347,800	3.1%
Contracted Services	5,720	7,366	28.8%	32,830	30,000	91.4%	307.3%	34,030	3.7%
Commodities	4,135	7,404	79.1%	11,220	9,730	86.7%	31.4%	11,220	0.0%
Internal Charges	43,816	39,830	-9.1%	46,090	46,000	99.8%	15.5%	49,720	7.9%
Other Payments	1,875	1,656	-11.7%	10,500	10,500	100.0%	534.1%	85,000	709.5%
<b>Program Total</b>	<b>488,022</b>	<b>379,192</b>	<b>-22.3%</b>	<b>437,840</b>	<b>435,180</b>	<b>99.4%</b>	<b>14.8%</b>	<b>527,770</b>	<b>20.5%</b>
Amended Budget	549,840	420,750			446,840				
% of Amended Spent	88.8%	90.1%			97.4%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	384,971	379,192	-1.5%	437,840	435,180	99.4%	14.8%	527,770	20.5%
CDA Project Fund	103,051	0	-100.0%	0	0		#DIV/0!	0	
<b>Program Total</b>	<b>488,022</b>	<b>379,192</b>	<b>-22.3%</b>	<b>437,840</b>	<b>435,180</b>	<b>99.4%</b>	<b>14.8%</b>	<b>527,770</b>	<b>20.5%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Comm Preservation Mgr	1.00	1.00		1.00	1.00			1.00	
Code Enforcement Officer	1.00	1.00		1.00	1.00			1.00	
Admin Asst II-Sr Admin Clerk	1.20	0.20		0.20	0.20			0.20	
Building Inspector	1.00	0.50		0.50	0.50			0.50	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>4.20</b>	<b>2.70</b>	<b>-35.7%</b>	<b>2.70</b>	<b>2.70</b>	<b>100.0%</b>	<b>0.0%</b>	<b>2.70</b>	<b>0.0%</b>

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments Projections: FY 12-13

1. EIR study for Lammers Road/I-205 Interchange, CIP 73084.
2. I-205/Chrisman Road new interchange (Product Study Report-PSR/PDS), CIP 73109.
3. Bike improvements, Phase II.
4. Construction of park equipment replacement.
5. Construction of Public Works facility expansion.
6. Construction of Holly Drive and 11th Street signal replacement.
7. Construction of water and wastewater lines at Corral Hollow Road and mall entry.
8. Construction of eastside drainage channel improvement Phase II, CIP 76036.
9. Water & Wastewater line replacement - annual program, CIP 75111/CIP 74092.
10. Construction of storm drainage pipe replacement (annual program).
11. Constructions of Firearms Training Facility, Phase I, CIP 76061.
12. Construction of sludge drying beds Phase II.
13. Construction of Lincoln Park Improvements.
14. Construction of Dr. Power Park renovations.
15. Construction of traffic signal at Byron and Lammers Road.
16. Installation of CCTV cameras on Grant Line Road.
17. Construction of traffic signal coordination on Grant Line Road and Tracy Boulevard.
18. Construction of street patch overlay of various streets (annual program).
19. MacArthur Road and Schulte Road sidewalk improvements, CIP 73132.
20. Reconstruction of railroad crossings, CIP 73137.
21. Construction of Lincoln Well rehabilitation.

### Current Projections: FY 13-14

1. Construction of Fire Station #96 and #92, CIP 74061/CIP 71062.
2. Design, environmental study and right of way-Eleventh Street bridge replacement. Completed EIR, right of way in progress, CIP 730963
3. Design of Corral Hollow Road widening to 6 lanes from Eleventh Street to Schulte Road, CIP 73103.

### Current Projections: FY 13-14 Continued

4. Design of right of way-Valpico Road widening (Tracy Blvd. to Pebblebrook), CIP 73095.
5. Design and right of way-Valpico Road widening (Pebblebrook to MacArthur Drive), CIP 73061.
6. Traffic loop replacement in various locations.
7. Design, right of way and EIR-MacArthur Drive widening (Valpico Road to Schulte Road), CIP 73126.
8. Complete traffic signal at Lammers Road and Schulte Road intersection, CIP 72068.
9. Design I-205 and Chrisman Road interchange-EIR, CIP 73109.
10. Design of Corral Hollow Road widening between Byron Road and Grant Line Road, CIP 73102.
11. Eleventh Street and Old MacArthur intersection improvements-environmental and design, CIP72069.
12. Larch Road reconstruction-Holly Drive to Tracy Boulevard., CIP 73125.
13. Design of Wastewater Treatment Plant Expansion-Phase IIA, CIP 74107.
14. Wastewater line upgrade-Eastside, design, CIP 74084.
15. Construction of Holly sugar Sports Complex, CIP 78115.
16. Construction of Lincoln Well Rehabilitation, CIP 75076.
17. Corral Hollow Road and Golden Leaf Drive intersection improvements, CIP 72071.
18. Airport hangar improvements, CIP 77027.
19. Energy efficient street light conversion, CIP 72085.
20. Construction of street patch and overlay FY13-14, CIP 73138.
21. Construction of sidewalk, curb and gutter repairs, CIP 73134.
22. Wastewater recycling pipeline-Phase I, CIP 74091.
23. Wastewater collection system upgrade-Hansen Road, CIP 74097.
24. Wastewater line replacement FY13-14, CIP 74098.
25. Water line replacement FY13-14, CIP 75117.

### Future Projections: FY 14-15

1. Continue working on FY13-14 projects including multi-year projects.
2. Street patch and overlay.
3. Sidewalk replacement, CIP73PP-00.
4. Wastewater line replacement.

Future Projections: FY 14-15 Continued

5. Design of wastewater line replacement on Bessie Avenue) Emerson to Grant Line Road).
6. Water line replacement.
7. Design of Fabian Road improvements.
8. Storm drainage pipe replacement.
9. Arbor Avenue drainage improvement.
10. Chaparral Pump Station Rehabilitation.
11. Design of Bessie Avenue Reconstruction (Eaton Avenue to Grant Line Road).
12. Lowell Avenue detention basin right of way.
13. City buildings including library building door modification.
14. Traffic signal at Tracy Boulevard and Linne Road.
15. Tracy Boulevard advance traffic signal, CIP 72-PP-112.

Department: 56000 - Development Services  
 Division: 56600 - Engineering Division  
 Program: 56610 - Engineering Project Review

**PERFORMANCE OBJECTIVES**

Process and review applications and plans for the private development of subdivisions and for permits pertaining to the use of the City's right-of-ways.

1. To review and process applications for 12 final subdivision maps and 3 final parcel maps, 4 lot line adjustments, and 3 vacation of ROWs.
2. To review and process 24 preliminary site plans, grading, drainage and utility improvement plans and offsite improvement plans.
3. To review and process 14 development review, preliminary/final development plans and conditional use permit applications, lot line adjustment, and vacation of right of way.
4. To review and process 14 grading permits, 420 encroachment permits, 415 transportation permits, 36 residential building permits, 24 commercial building permits and 18 tenant improvement permits.
5. To generate at least \$2,024,000 in program revenues.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase, but revenues were down. In FY12-13, program costs will show a moderate increase, with added contract costs, while revenues were up.

In FY13-14, program costs will show a major decrease, reflecting staff reductions due to retirements. Also, contracted costs are down, but program revenues will show a increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, and added contracted costs. The budget provides a contingent \$660,800 for contracted plan check work. Program revenues will show a significant increase.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	590,595	569,541	-3.6%	549,960	466,630	84.8%	-18.1%	573,400	4.3%
Contracted Services	12,685	99,167	681.8%	54,200	34,500	63.7%	-65.2%	676,700	1148.5%
Commodities	2,931	2,469	-15.8%	5,730	5,570	97.2%	125.6%	5,730	0.0%
Internal Charges	73,882	64,546	-12.6%	77,190	77,000	99.8%	19.3%	87,730	13.7%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>680,093</b>	<b>735,723</b>	<b>8.2%</b>	<b>687,080</b>	<b>583,700</b>	<b>85.0%</b>	<b>-20.7%</b>	<b>1,343,560</b>	<b>95.5%</b>
Amended Budget	944,020	763,870			687,080				
% of Amended Spent	72.0%	96.3%			85.0%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	423,840	358,926	-15.3%	370,810	34,730	9.4%	-90.3%	(103,800)	-128.0%
Engineering Fees	256,253	376,797	47.0%	316,270	548,970	173.6%	45.7%	1,447,360	357.6%
Capital Project Offset	0	0		0	0			0	
<b>Program Total</b>	<b>680,093</b>	<b>735,723</b>	<b>8.2%</b>	<b>687,080</b>	<b>583,700</b>	<b>85.0%</b>	<b>-20.7%</b>	<b>1,343,560</b>	<b>95.5%</b>

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Assistant Director of DES	0.45	0.45		0.45	0.45			0.45	
Senior Civil Engineer	1.00	1.00		1.00	1.00			1.00	
Associate Civil Engineer	0.55	0.55		0.55	0.55			0.55	
Junior/Assistant Civil Engineer	1.00	1.00		0.05	0.05			0.05	
Engineering Technician	1.50	1.50		1.05	1.05			1.05	
Exec Assts	0.85	0.85		0.45	0.45			0.45	
Admin Assts	0.55	0.55		0.50	0.50			0.50	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>5.90</b>	<b>5.90</b>	<b>0.0%</b>	<b>4.05</b>	<b>4.05</b>	<b>100.0%</b>	<b>-31.4%</b>	<b>4.05</b>	<b>0.0%</b>

Department: 56000 - Development Services  
 Division: 56600 - Engineering Division  
 Program: 56620 - Capital Projects Design

**PERFORMANCE OBJECTIVES**

Plan and design City capital improvement projects. Coordinate land acquisition activities necessary for City capital improvement projects; and prepare assessments maps.

1. To complete design on 4 major and 10 minor projects.
2. To prepare construction documents and award construction contracts for 10 projects.
3. To complete environmental work for the MacArthur Drive Widening and and I205/Chrisman Road Interchange projects.
4. To complete the design on the I205/Lammers Road Interchange project and on 11th Street Bridge project.
5. To complete the design and construction of all fully-funded street maintenance CIP's within the year they are approved.
6. To generate at least \$1,000,000 in program revenues from CIP charges and recover program costs and some overhead costs.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. In FY12-13, program costs showed a modest decrease.

In FY13-14, program costs will show a major decrease, reflecting staff reductions due to retirements. Also, program revenues will show a decrease.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, but maintains current funding for other cost items. Program revenues however are projected to show a significant increase.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,011,900	976,967	-3.5%	627,920	583,500	92.9%	-40.3%	661,780	5.4%
Contracted Services	3,397	5,126	50.9%	14,370	9,470	65.9%	84.7%	14,370	0.0%
Commodities	3,856	2,869	-25.6%	8,650	7,580	87.6%	164.2%	8,650	0.0%
Internal Charges	101,814	100,356	-1.4%	110,860	110,000	99.2%	9.6%	119,670	7.9%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>1,120,967</b>	<b>1,085,318</b>	<b>-3.2%</b>	<b>761,800</b>	<b>710,550</b>	<b>93.3%</b>	<b>-34.5%</b>	<b>804,470</b>	<b>5.6%</b>
Amended Budget	866,860	855,750			776,800				
% of Amended Spent	129.3%	126.8%			91.5%				

**FUNDING SOURCES**

General Fund 101 - Taxes	(432,271)	(235,158)	-45.6%	(445,370)	(189,920)	42.6%	-19.2%	(151,090)	-66.1%
Capital Project Offset	1,551,798	1,315,487	-15.2%	1,205,670	898,970	74.6%	-31.7%	947,360	-21.4%
Engineering Fees	1,440	4,989	246.5%	1,500	1,500	100.0%	-69.9%	8,200	446.7%
<b>Program Total</b>	<b>1,120,967</b>	<b>1,085,318</b>	<b>-3.2%</b>	<b>761,800</b>	<b>710,550</b>	<b>93.3%</b>	<b>-34.5%</b>	<b>804,470</b>	<b>5.6%</b>

**PROGRAM STAFFING**

*Regular Positions*

Assistant Director of DES	0.30	0.30		0.30	0.30			0.30	
Senior Civil Engineer	1.10	1.10		1.10	1.10			1.10	
Associate Civil Engineer	1.25	1.25		1.25	1.25			1.25	
Junior/Assistant Civil Engineer	0.80	0.80		0.05	0.05			0.05	
Engineering Technician	1.30	1.30		0.85	0.85			0.85	
Exec Assts	0.15	0.15		0.15	0.15			0.15	
Admin Assts	0.45	0.45		0.45	0.45			0.45	

*Other Staffing (Full-Time Equivalents)*

Intern/Project Specialist	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>5.35</b>	<b>5.35</b>	<b>0.0%</b>	<b>4.15</b>	<b>4.15</b>	<b>100.0%</b>	<b>-22.4%</b>	<b>4.15</b>	<b>0.0%</b>

Department: **56000 - Development Services**  
 Division: **56600 - Engineering Division**  
 Program: **56630 - Utilities Engineering**

**PERFORMANCE OBJECTIVES**

Conduct engineering studies and coordinate capital improvement projects for the City's water, sewer, and drainage systems.  
 Contract production of utilities systems mapping.

1. To complete the design and construction documents for annual water and wastewater line replacement projects.
2. To complete water, wastewater, and storm drainage improvements for major roadways.
3. To complete the design and construction documents for the water line on MacArthur Drive from Linne Road to Valpico Road.
4. To complete the design and construction documents for the eastside wastewater trunk line (south of Grant Line Rd).
5. To complete the design and construction documents for the Larch Rd. Force Main, upgrade of Corral Hollow Road pump station.
6. To complete the design and construction documents for the South MacArthur Storm Channel.

**COMMENTARY**

This program provides staffing for engineering studies and work related to the City's water, wastewater, & drainage systems. Less hours are usually spent on the program than are budgeted, so program costs are less than budgeted, and staffing costs get charged to other programs or capital projects.

In FY13-14, as usually happens, program costs are less than budgeted, although they will show an increase over the prior fiscal year.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	58,190	60,775	4.4%	110,900	68,150	61.5%	12.1%	115,550	4.2%
Contracted Services	14,208	10,380	-26.9%	23,330	12,460	53.4%	20.0%	23,330	0.0%
Commodities	0	0		1,590	1,460	91.8%		1,590	0.0%
Internal Charges	28,688	40,520	41.2%	50,400	50,000	99.2%	23.4%	59,060	17.2%
Other Payments	0	0		0	0			0	
Program Total	101,086	111,675	10.5%	186,220	132,070	70.9%	18.3%	199,530	7.1%
Amended Budget	203,020	208,730			186,220				
% of Amended Spent	49.8%	53.5%			70.9%				

**FUNDING SOURCES**

Water Fund 511	45,544	55,018	20.8%	76,700	59,500	77.6%	8.1%	78,000	1.7%
Wastewater Fund 521	45,542	46,657	2.4%	84,520	57,570	68.1%	23.4%	96,530	14.2%
Drainage Fund 541	10,000	10,000	0.0%	25,000	15,000	60.0%	50.0%	25,000	0.0%
Program Total	101,086	111,675	10.5%	186,220	132,070	70.9%	18.3%	199,530	7.1%

**PROGRAM STAFFING**

*Regular Positions*

Assistant Director of DES	0.05	0.05		0.05	0.05			0.05	
Senior Civil Engineer	0.20	0.20		0.20	0.20			0.20	
Associate Civil Engineer	0.20	0.20		0.20	0.20			0.20	
Assistant Civil Engineer	0.20	0.20		0.00	0.00			0.00	
Engineering Technician	0.20	0.20		0.10	0.10			0.10	
Admin Assts	0.05	0.05		0.05	0.05			0.05	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	0.90	0.90	0.0%	0.60	0.60	100.0%	-33.3%	0.60	0.0%
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Department: **56000 - Development Services**  
 Division: **56600 - Engineering Division**  
 Program: **56640 - Traffic Engineering**

Conduct traffic and parking studies; coordinate the implementation of approved traffic and parking control measures.

**PERFORMANCE OBJECTIVES**

1. To maintain and update the Pavement Management System with 1,663 street segments and update the Traffic Collision Management System.
2. To acknowledge traffic complaints within 72 hours and respond with a traffic analysis within 45 days.
3. To update speed zone surveys as required to meet compliance for enforcement.
4. To respond to approximately 100 street addressing inquiries in a timely manner.
5. To update signal timings and upgrade the traffic control center.
6. To complete traffic related projects.
7. To implement the Traffic Calming Program in residential areas as new complaints are received.
8. To review development plans for traffic related issues and compliance with Transportation Master Plan.

**COMMENTARY**

This program provides engineering staff and contracts for traffic studies. In FY11-12, program costs showed a minor decrease. In FY12-13, program costs showed an increase.

In FY13-14, as usually happens, program costs are less than budgeted, although they will show an increase over the prior fiscal year. Staff hours are some times charged to capital projects.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	204,486	209,808	2.6%	272,970	218,640	80.1%	4.2%	271,500	-0.5%
Contracted Services	1,048	1,177	12.3%	17,580	15,780	89.8%	#####	17,580	0.0%
Commodities	439	1,455	231.4%	1,670	1,600	95.8%	10.0%	1,670	0.0%
Internal Charges	29,112	37,790	29.8%	48,120	48,000	99.8%	27.0%	54,180	12.6%
Other Payments	0	5,341		0	0			0	
Program Total	235,085	255,571	8.7%	340,340	284,020	83.5%	11.1%	344,930	1.3%
Amended Budget	314,510	336,320			335,510				
% of Amended Spent	74.7%	76.0%			84.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	83,909	95,571	13.9%	165,340	109,020	65.9%	14.1%	164,930	-0.2%
Engineering Fees	0	0		0	0			0	
TDA & Gas Tax Funds 24x	151,176	160,000	5.8%	175,000	175,000	100.0%	9.4%	180,000	2.9%
Program Total	235,085	255,571	8.7%	340,340	284,020	83.5%	11.1%	344,930	1.3%

**PROGRAM STAFFING**

*Regular Positions*

Assistant Director of DES	0.05	0.05		0.05	0.05			0.05	
Senior Civil Engineer	0.85	0.85		0.85	0.85			0.85	
Associate Civil Engineer	0.00	0.00		0.00	0.00			0.00	
Engineering Technician	1.00	1.00		0.90	0.90			0.90	
Admin Assts	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	1.90	1.90	0.0%	1.80	1.80	100.0%	-5.3%	1.80	0.0%
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Department: **56000 - Development Services**  
 Division: **56600 - Engineering Division**  
 Program: **56650 - Construction Management**

Management of City capital improvement projects under construction; monitor and inspect private construction in new subdivisions and work done in the City's right-of-ways. Coordinate contracted inspections.

**PERFORMANCE OBJECTIVES**

1. To provide construction inspections for 10 subdivisions and 10 private development projects.
2. To provide construction management and inspections for 5 major and 15 minor Capital Improvement Projects.
3. To perform 15 daily inspections on private projects and 15 daily inspections on City projects.
4. To provide inspections on 300 encroachment permits.
5. To coordinate status of construction with other departments through quarterly or as needed CIP meetings.
6. To generate at least \$3,170,000 in program revenues in Engineering permit and/or inspection fees and CIP charges.

**COMMENTARY**

In FY11-12, program costs showed a major increase. Both staffing and contracted costs were up. In FY12-13, program costs were about the same, although staffing costs were up, while contracted costs were down.

In FY13-14, program costs will show an decrease. While staffing costs are down, contracted costs are up.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, and added contracted costs. The budget provides a contingent \$300,000 contracted testing and inspections. Program revenues will show a moderate increase.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	809,025	843,007	4.2%	652,350	678,570	104.0%	-19.5%	671,280	2.9%
Contracted Services	72,761	38,454	-47.2%	112,720	54,350	48.2%	41.3%	328,750	191.7%
Commodities	8,196	7,769	-5.2%	9,040	7,600	84.1%	-2.2%	9,040	0.0%
Internal Charges	92,997	93,753	0.8%	107,620	95,000	88.3%	1.3%	117,670	9.3%
Other Payments	0	0		0	0			0	
Program Total	982,979	982,983	0.0%	881,730	835,520	94.8%	-15.0%	1,126,740	27.8%
Amended Budget	1,016,790	842,000			881,730				
% of Amended Spent	96.7%	116.7%			94.8%				

**FUNDING SOURCES**

General Fund 101 - Taxes	(163,605)	(280,522)	71.5%	(326,900)	(661,740)	202.4%	135.9%	(514,810)	57.5%
Engineering Fees	296,429	189,039	-36.2%	230,800	413,130	179.0%	118.5%	675,740	192.8%
Capital Projects Offset	850,155	1,074,466	26.4%	977,830	1,084,130	110.9%	0.9%	965,810	-1.2%
Program Total	982,979	982,983	0.0%	881,730	835,520	94.8%	-15.0%	1,126,740	27.8%

**PROGRAM STAFFING***Regular Positions*

Assistant Director of DES	0.15	0.15		0.15	0.15			0.15	
Senior Civil Engineer	0.85	0.85		0.85	0.85			0.85	
Associate Civil Engineer	1.00	1.00		1.00	1.00			1.00	
Construction Inspector	3.00	3.00		3.00	3.00			3.00	
Admin Assts	0.50	0.50		0.50	0.50			0.50	
<i>Other Staffing (Full-Time Equivalents)</i>									
Project Manager	0.00	0.00		0.00	0.00			0.00	
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	5.50	5.50	0.0%	5.50	5.50	100.0%	0.0%	5.50	0.0%

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Current Projections: FY 12-13

1. Conducted 45+ business retention visits to local businesses.
2. Conducted a business forum event in conjunction with the Tracy Chamber – included an ED update, Grow Tracy Fund & Enterprise Zone presentations, as well as economist John Mitchell as the keynote speaker.
3. Conducted a marketing workshop for local businesses, in conjunction with the Tracy Chamber.
4. Attend 8 national trade shows focused on outreach and recruitment of industrial/office/retail businesses.
5. Continued to work with and support the Tracy City Center Association; and assisted in recruitment of business attraction specialist.
6. Issued a \$100,000 Grow Tracy loan to a local manufacturing business, with an additional loan in underwriting.
7. Completed the FY13-14 Economic Development Strategic Plan which was adopted by City Council.
8. Conducted Economic Development presentations to CVAR, Sunrise & Noon Rotary, and various Chamber events.
9. Represented the City at multiple Chamber ribbon cutting events, mixers and coffees.
10. Worked with Amazon representatives to assist with hiring needs and product procurement.
11. Conducted multiple presentations and tours to Cal Lutheran University.
12. Negotiated ENRA for two City-owned sites for potential retail/restaurant development.

### Current Projections: FY 13-14

1. Conduct 45+ business retention visits.
2. Conduct a business forum event.
3. Conduct an Enterprise Zone workshop.
4. Conduct a small business workshop.
5. Attend 4-6 trade shows focused on outreach and recruitment.
6. Develop and implement marketing and outreach plan for business recruitment of businesses in target industries.
7. Develop a business recognition program for top sales tax generators in the City.

### Current Projections: FY 13-14 Continued

8. Conduct co-op advertising with development partners for retail and industrial attraction.
9. Focus on higher education recruitment.
10. Develop a business tech incubator in the community and attract Silicon Valley start-ups.
11. Secure successful restaurant for Westside Market building.
12. Complete negotiations for retail and restaurant development on City-owned parcel adjacent to Texas Roadhouse & park-and-ride lot.
13. Continue to work with and support the Tracy City Center Association.
14. Issue a minimum of \$500,000 in Grow Tracy Fund loans.
15. Work with West Valley Mall to attract restaurants and retailers for vacant properties.

### Future Projections: FY 14-15

1. Complete renewal of TCCA Community Benefit District for downtown Tracy.
2. Conduct various business education workshops in conjunction with the Chamber of Commerce.
3. Conduct annual Business Forum event to include: ED update, along with guest speaker.
4. Conduct 45+ business retention visits to local businesses.
5. Attend 4-6 tradeshow focused on outreach and recruitment of industrial and retail businesses.
6. Continue focus on higher educational recruitment.
7. Complete negotiations for tenant(s) at City-owned Westside Market property.
8. Finalize sale of City-owned I-205 properties for restaurant use.
9. Issue a minimum of two Grow Tracy Fund Loans.
10. Continue pursuit of Regional Waterpark for Tracy, finalize negotiations with development partner.
11. Finalize marketing material related to tourism, and industrial recruitment.
12. Partner with West Valley Mall leasing agents for retail recruitment efforts.

Department: **56000 - Development Services**  
 Program: **56810 - Economic Development**

Promote and coordinate business attraction, expansion, and retention for the City. Evaluate the local business environment. Provide City grants supporting County and local economic development activities.

**PERFORMANCE OBJECTIVES**

1. To increase overall job growth by 5% citywide annually;
2. To approve 2 Grow Tracy Fund loans to new/existing businesses;
3. To attract 5 unique retailers that are not currently in the trade area;
4. To increase sales tax revenue by 8%;
5. To decrease downtown vacancy rate by 5%.

**COMMENTARY**

For FY13-14, program staffing will add a new Analyst position. The program In FY11-12, staffing costs were down, due to a vacant Director position, but contracted costs increased. In FY12-13, with the close out of the Tracy CDA, all remaining ED activities and staff were consolidated into this program.

In FY13-14, program staffing added a new Analyst position. Program costs will show a significant increase, due to staffing costs, contracted services and grants.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases for internal charges, but maintains current funding for other items.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	84,322	138,082	63.8%	241,200	217,770	90.3%	57.7%	249,500	3.4%
Contracted Services	134,406	64,567	-52.0%	143,470	135,780	94.6%	110.3%	162,070	13.0%
Commodities	11,537	11,213	-2.8%	12,060	11,800	97.8%	5.2%	12,060	0.0%
Internal Charges	15,580	15,450	-0.8%	17,950	17,500	97.5%	13.3%	20,000	11.4%
Other Payments	52,211	64,138	22.8%	98,900	96,500	97.6%	50.5%	98,900	0.0%
Program Total	298,056	293,450	-1.5%	513,580	479,350	93.3%	63.3%	542,530	5.6%
Amended Budget	435,690	397,420			532,610				
% of Amended Spent	68.4%	73.8%			90.0%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	288,056	293,450	1.9%	513,580	479,350	93.3%	63.3%	542,530	5.6%
Project Reimbursement	0	0		0	0			0	
CDA Project Fund 381	0	0		0	0			0	
Capital Project Fund	10,000	0		0	0			0	
Program Total	298,056	293,450	-1.5%	513,580	479,350	93.3%	63.3%	542,530	5.6%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Economic Development Director	0.50	0.00		0.00	0.00			0.00	
Economic Development Analyst	0.50	1.00		2.00	2.00			2.00	
Admin Assts-Sr Admin Clerk	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	1.00	1.00		2.00	2.00	100.0%	100.0%	2.00	0.0%

Department: 56000 - Development Services

Program: 56820 - Redevelopment

Effective January 31st, 2012, all redevelopment agencies in the State were disbanded by State legislation. A Successor Agency was established to close out of the Tracy Community Development Agency and oversee the payoff of its outstanding debt.

**PERFORMANCE OBJECTIVES**

1. To make debt service payments as scheduled on the outstanding debt of the closed Tracy Community Development Agency.
2. To completed the close out process for the Tracy CDA.

**COMMENTARY**

In FY11-12, program costs were down, due to reduced staffing and a vacant Director position, and close out of reduced program activities.

In FY12-13 and FY13-14, program costs are for the Successor Agency activities to oversee the CDA close out and debt management.

As proposed for FY14-15, the program budget provides a contingency of \$250,000 for the Successor Agency to continue its activities. Staffing for the Agency is provided by City staff.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	115,312	218,923	89.9%	240,000	220,000	91.7%	0.5%	235,000	-2.1%
Contracted Services	25,394	14,522	-42.8%	10,000	15,000	150.0%	3.3%	15,000	50.0%
Commodities	0	0		0	0			0	
Internal Charges	11,469	0	-100.0%	0	0			0	
Other Payments	19,560	0	-100.0%	0	0			0	
Program Total	171,735	233,445	35.9%	250,000	235,000	94.0%	0.7%	250,000	0.0%
Amended Budget	256,270	250,000			250,000				
% of Amended Spent	67.0%	93.4%			94.0%				
<b>FUNDING SOURCES</b>									
CDA Project Fund	171,735	233,445	35.9%	250,000	235,000	94.0%	0.7%	250,000	0.0%
Project Reimbursement	0	0		0	0			0	
	0	0		0	0			0	
Program Total	171,735	233,445	35.9%	250,000	235,000	94.0%	0.7%	250,000	0.0%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Redevelopment & Housing Mgr	0.00	0.00		0.00	0.00			0.00	
Comm Devel Analyst	0.00	0.00		0.00	0.00			0.00	
Admin Assts-Sr Admin Clerk	0.25	0.00		0.00	0.00			0.00	
Economic Development Director	0.35	0.00		0.00	0.00			0.00	
Economic Development Analyst	0.40	0.00		0.00	0.00			0.00	
Associate Planner	0.20	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Project Specialist	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	1.20	0.00	-100.0%	0.00	0.00			0.00	

Department: 56000 - Development Services  
 Program: 56830 - Housing

PERFORMANCE OBJECTIVES

Effective January 31st, 2012, all redevelopment agencies in the State were disbanded by State legislation. Program activities were transferred to the City. However, without any source of funding, program activities have been discontinued.

COMMENTARY

In FY10-11, program staffing reduced Housing Inspector hours but added Planner hours. Program showed a major decrease, with cost reductions again primarily due to lower personnel costs.

In FY11-12, program costs were down, due to reduced staffing and a vacant Director position, and reduced program activities.

Effective January 31st, 2012, all redevelopment agencies in the State were disbanded by State legislation. So, all program activities were phased out.

There are no program activities planned after FY11-12.

<u>PROGRAM EXPENDITURES</u>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	206,224	0	-100.0%	0	0			0	
Contracted Services	20,160	0	-100.0%	0	0			0	
Commodities	26	0	-100.0%	0	0			0	
Internal Charges	13,219	0	-100.0%	0	0			0	
Other Payments	0	0		0	0			0	
Program Total	239,629	0	-100.0%	0	0			0	
Amended Budget	341,560	0							
% of Amended Spent	70.2%								

<u>FUNDING SOURCES</u>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
CDA Housing/Sucessor Fund 28x	239,629	0	-100.0%	0	0			0	
Program Total	239,629	0	-100.0%	0	0			0	

<u>PROGRAM STAFFING</u>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Admin Assts-Sr Admin Clerk	0.70	0.00		0.00	0.00			0.00	
Comm Devel Analyst	0.00	0.00		0.00	0.00			0.00	
Housing Program Specialist	1.00	0.00		0.00	0.00			0.00	
Housing Program Inspector	0.50	0.00		0.00	0.00			0.00	
Economic Development Director	0.15	0.00		0.00	0.00			0.00	
Economic Development Analyst	0.10	0.00		0.00	0.00			0.00	
Associate Planner	0.10	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Project Specialist	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	2.55	0.00	-100.0%	0.00	0.00			0.00	

Department: **56000 - Development Services**  
 Program: **56840 - Community Development Block Grant**

**PERFORMANCE OBJECTIVES**

Administer the City's participation in the federal CDBG program.  
 Provide support to community agencies for social services with Community Development Block Grant funds.

1. To administer the program in accordance with the Federal guidelines
2. To assist outside agencies in making the best use of program funds.
3. To assist funded departments in the proper expenditure of funds.
4. To disburse \$312,370 to community groups.
5. To utilize CDBG to better serve the needs of the City, particularly for infrastructure.

**COMMENTARY**

Program outlays can vary from year-to-year depending upon how CDBG grants are allocated for the year. The City is a sub-grantee of the County for CDBG. In FY01-02, the County adopted a procedure where they make direct payments to City sub-grantees, instead of passing them through the City financial system. However, the City's auditor still requires that the transactions be recorded on the City's books.

For FY14-15, the program budget includes \$312,370 for CDBG grants.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b><u>PROGRAM EXPENDITURES</u></b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	0	0		0	0			0	
Commodities	0	0		0	0			0	
Internal Charges	0	0		0	0			0	
Other Payments	77,599	173,036	123.0%	342,770	342,000	99.8%	97.6%	312,370	-8.9%
<b>Program Total</b>	<b>77,599</b>	<b>173,036</b>	<b>123.0%</b>	<b>342,770</b>	<b>342,000</b>	<b>99.8%</b>	<b>97.6%</b>	<b>312,370</b>	<b>-8.9%</b>
Amended Budget	340,830	840,023			342,770				
% of Amended Spent	22.8%	20.6%			99.8%				
<b><u>FUNDING SOURCES</u></b>									
CDBG Fund 26x	77,599	173,036	123.0%	342,770	342,000	99.8%	97.6%	312,370	-8.9%
CDA Project Fund 381	0	0		0	0			0	
<b>Program Total</b>	<b>77,599</b>	<b>173,036</b>	<b>123.0%</b>	<b>342,770</b>	<b>342,000</b>	<b>99.8%</b>		<b>312,370</b>	<b>-8.9%</b>
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Redevelopment & Housing Mgr	0.00	0.00		0.00	0.00			0.00	
Comm Devel Analyst	0.05	0.00		0.00	0.00			0.00	
Admin Assts-Sr Admin Clerk	0.00	0.15		0.00	0.00			0.00	
Economic Development Analyst	0.00	0.15		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
<b>Total - Full-Time Equivalents</b>	<b>0.05</b>	<b>0.30</b>		<b>0.00</b>	<b>0.00</b>			<b>0.00</b>	

Department: **56000 - Development Services**  
 Program: **56850 - Downtown Promotion**

**PERFORMANCE OBJECTIVES**

The Downtown Tracy Public Business Improvement District (DTPBID) promotes the economic viability and historical value of the Central Business District (CBD) of the City. This program is funded through special assessments collected by the County on properties located in the City's downtown core area.

1. To pass through to the Downtown Tracy PBID the special assessments collected by the County for its activities.

**COMMENTARY**

In FY10-11, the DTBIA was disbanded and replaced by a new Public Business Improvement District to serve the downtown area. The City now receives the assessments collected by the County and pass them forward to the new PBID. The City is not involved in the fiscal affairs of the PBID.

For FY14-15, the program budget provides for the pass thru of collected assessments to the Tracy PBID.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	0	0		0	0			0	
Commodities	0	0		0	0			0	
Internal Charges	0	0		0	0			0	
Other Payments	117,144	113,974		117,200	114,150			117,200	0.0%
Program Total	117,144	113,974	-2.7%	117,200	114,150	97.4%	0.2%	117,200	0.0%
Amended Budget	135,000	117,200			117,200				
% of Amended Spent	86.8%	97.2%			97.4%				
<b><u>FUNDING SOURCES</u></b>									
DID Fund 221 - Assessments	117,144	113,974	-2.7%	117,200	114,150	97.4%	0.2%	117,200	0.0%
Promotional Income	0	0		0	0			0	
General Fund 101 - Taxes	0	0		0	0			0	
Program Total	117,144	113,974	-2.7%	117,200	114,150	97.4%	0.2%	117,200	0.0%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
<i>Other Staffing (Full-Time Equivalents)</i>									
DTBIA Coordinator	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	0.00	0.00		0.00	0.00			0.00	

# CITY COUNCIL

Brent Ives, Mayor

Michael Macial, Mayor pro Tem

Robert Rickman, Council Member

Nancy Young, Council Member

Charles Manne, Council Member

<b>KEY INDICATORS</b> Citywide	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimated	% Change	FY14-15 Projected	% Change
City Population as of Jan 1st	83,242	83,562	84,466	1.1%	84,500	85,146	0.8%	86,400	1.5%
City Area (Square Miles)	23.0	23.0	26.3	14.3%	23.0	29.1	10.6%	29.1	0.0%
Businesses within City	4,437	4,343	4,410	1.5%	4,500	4,430	0.5%	4,500	1.6%
Employment within City	25,300	25,600	25,600	0.0%	26,100	25,600	0.0%	26,500	3.5%
Registered Voters	28,100	29,100	29,500	1.4%	29,300	29,800	1.0%	30,000	0.7%
<b>KEY INDICATORS</b> City Budget	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimated	% Change	FY14-15 Projected	% Change
Operating Budget (\$ million)	\$114.1	\$114.6	\$116.2	1.4%	\$117.7	\$115.8	-0.4%	\$127.0	9.7%
Capital Budget (\$ million)	\$115.4	\$107.7	\$94.3	-12.4%	\$94.6	\$106.3	12.7%	\$134.3	26.4%
Debt Budget (\$ million)	\$24.9	\$38.4	\$27.2	-29.2%	\$21.1	\$27.2	0.0%	\$26.9	-1.1%
Authorized Staffing (Full-Time Equivalents)	472.64	470.34	447.95	-4.8%	446.41	448.41	0.1%	455.26	1.5%
<b>KEY INDICATORS</b> City Staffing	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimated	% Change	FY14-15 Projected	% Change
Authorized Staffing (Full-Time Equivalents)									
Full-Time Regular Employees	427.00	429.00	414.00	-3.5%	413.00	414.00	0.0%	420.00	1.4%
Other Staffing (FTEs)	45.64	41.34	33.95	-17.9%	33.41	34.41	1.4%	35.26	2.5%
<b>KEY INDICATORS</b> City Expenditures	FY10-11 Actual	FY11-12 Actual	FY12-13 Actual	% Change	FY13-14 Projected	FY13-14 Estimated	% Change	FY14-15 Projected	% Change
Operating Expenditures (\$ million)	\$105.9	\$109.9	\$111.4	1.4%	\$115.0	\$113.9	2.2%	\$125.5	10.2%
as % of Operating Budget	92.8%	95.9%	95.9%	0.0%	97.7%	98.4%	2.6%	98.8%	0.4%
Capital Expenditures (\$ million)	\$31.8	\$32.2	\$27.5	-14.6%	\$50.0	\$44.1	60.4%	\$50.0	13.4%
as % of Capital Budget	27.6%	29.9%	29.2%	-2.5%	52.8%	41.5%	42.3%	37.2%	-10.3%
Debt Expenditures (\$ million)	\$25.1	\$38.3	\$24.3	-36.6%	\$20.7	\$20.6	-15.2%	\$26.8	30.1%
as % of Debt Budget	100.7%	99.7%	89.3%	-10.4%	98.1%	75.7%	-15.2%	99.6%	31.5%

Department: **58100 - City Council**

The City Council, consisting of a Mayor and 4 Council Members, is the City's governing body and enacts City legislation and policies.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 20.7% over the current year adopted budget, and this represents a 25.5% increase over the FY12-13 amended budget.

The base component of the budget represents a 1.3% increase over the current year adopted budget, while budget augmentations will show a 19.1% increase over the base budget.

<b>DEPARTMENTAL EXPENDITURES BY PROGRAM</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>City Council</b>									
58110 - Legislation & Policy	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
Department Total	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
Amended Budget	99,900	100,400	0.5%		118,400		17.9%	over 2 years	25.5%
% of Amended Spent	90.73%	93.16%			94.20%				
						Base Budget >>		105,780	1.3%
						Augmentations >>		20,220	19.1%
<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	39,114	38,605	-1.3%	39,150	48,060	122.8%	24.5%	57,410	46.6%
Contracted Services	12,359	7,830	-36.6%	11,210	10,100	90.1%	29.0%	11,210	0.0%
Commodities	1,798	5,764	220.6%	5,710	5,570	97.5%	-3.4%	5,710	0.0%
Internal Charges	37,373	41,330	10.6%	48,330	47,800	98.9%	15.7%	51,670	6.9%
Other Payments	0	0		0	0			0	
Department Total	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
<b>DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES</b>									
General Fund 101	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
Department Total	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
<b>DEPARTMENTAL STAFFING</b>	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Elected Officials</i>									
Mayor & 4 Council Members	1.00	1.00		1.00	1.00			1.00	
Total - Full Time Equivalents	1.00	1.00	0.0%	1.00	1.00	100.0%	0.0%	1.00	0.0%

**PERFORMANCE OBJECTIVES**

Department: **58100 - City Council**  
 Program: **58110 - Legislation & Policy**

The City Council, consisting of a Mayor and 4 Council Members, is the City's governing body and has primary responsibility for enacting City legislation and policies.

1. To enact legislation and policies which protect the health, safety, and general welfare of the citizenry.
2. To protect the City's environment while providing growth and economic development.
3. To oversee the financial affairs of the City.
4. To participate in regional issues.
5. To enhance the quality of life through recreational and cultural activities.

**COMMENTARY**

Most program costs are fairly stable from year-to-year. With the paperless agenda, there was a decrease in copying costs but an increase for computer system charges. Contracted costs increase when professional facilitators are hired for Council activities.

In FY12-13, program costs showed a moderate increase. In FY13-14, program costs will show a major increase.

In FY14-15, program costs will again show a major increase. The program budget provides added personnel costs, some increases in internal charges but maintains current funding for other cost items.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
<b>PROGRAM EXPENDITURES</b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	39,114	38,605	-1.3%	39,150	48,060	122.8%	24.5%	57,410	46.6%
Contracted Services	8,404	7,830	-6.8%	11,210	10,100	90.1%	29.0%	11,210	0.0%
Commodities	1,798	5,764	220.6%	5,710	5,570	97.5%	-3.4%	5,710	0.0%
Internal Charges	41,328	41,330	0.0%	48,330	47,800	98.9%	15.7%	51,670	6.9%
Other Payments	0	0		0	0			0	
Program Total	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
Amended Budget	99,900	100,400			109,400				
% of Amended Spent	90.7%	93.2%			101.9%				
<b>FUNDING SOURCES</b>									
Central Admin Fund 125	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
Program Total	90,644	93,529	3.2%	104,400	111,530	106.8%	19.2%	126,000	20.7%
<b>PROGRAM STAFFING</b>									
<i>Elected Officials</i>									
Mayor (1)	0.20	0.20		0.20	0.20			0.20	
Council Members (4)	0.80	0.80		0.80	0.80			0.80	
<i>Full-Time Equivalents</i>									
Mayor & Council	1.00	1.00		1.00	1.00			1.00	
Total - Full-Time Equivalents	1.00	1.00	0.0%	1.00	1.00	100.0%	0.0%	1.00	0.0%

# CITY ATTORNEY'S OFFICE

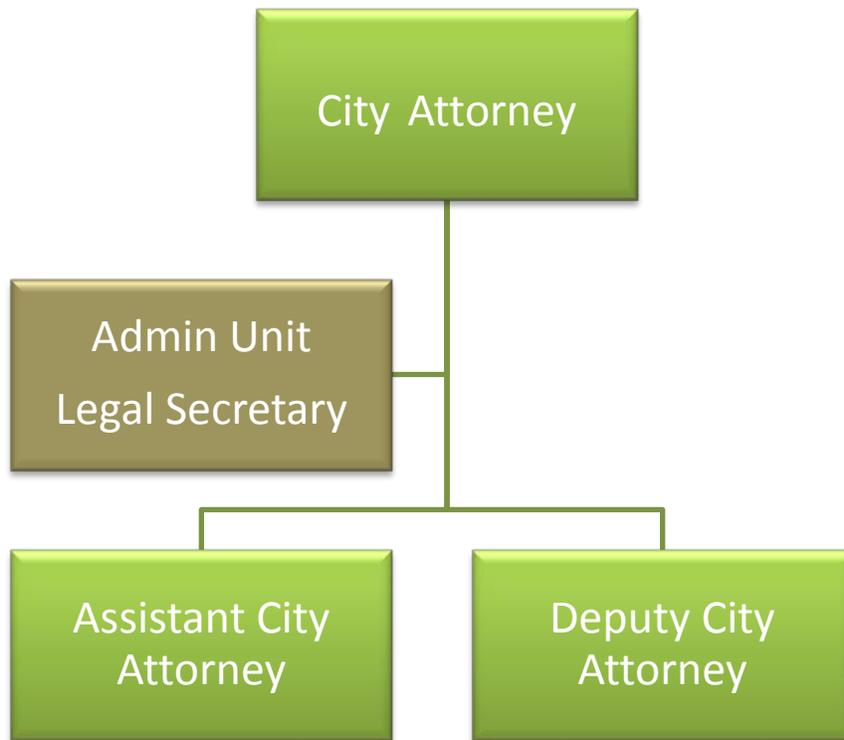
## Mission Statement

Safeguarding the Rights and Interests of Our Community

## **Department Head**

Dan Sodergren, City Attorney

City of Tracy  
CITY ATTORNEY'S OFFICE  
Fiscal Year 14-15



Department: **58200 - City Attorney's Office**

The City Attorney provides legal counsel to the City Council, the Planning Commission, and City Staff.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 2.7% over the current year adopted budget, and this represents a 2.1% increase over the FY12-13 amended budget.

The base component of the budget represents a 2.4% increase over the current year adopted budget, while budget augmentations will show a 0.4% increase over the base budget.

In FY12-13, FY13-14, and as proposed for FY14-15, departmental staffing will leave one full-time regular position vacant but backfill with temporary help.

<b>DEPARTMENTAL EXPENDITURES BY PROGRAM</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>City Attorney's Office</b>									
58210 - Legal Counsel	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
Department Total	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
Amended Budget	829,630	861,540	3.8%		856,270		-0.6%	over 2 years	2.1%
% of Amended Spent	91.93%	87.25%			89.25%				
						Base Budget >>		876,410	2.4%
						Augmentations >>		3,150	0.4%
<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	646,645	644,049	-0.4%	727,700	644,540	88.6%	0.1%	746,280	2.6%
Contracted Services	69,692	63,626	-8.7%	75,210	67,890	90.3%	6.7%	74,630	-0.8%
Commodities	13,233	12,945	-2.2%	14,450	13,800	95.5%	6.6%	14,450	0.0%
Internal Charges	33,072	31,060	-6.1%	38,910	38,000	97.7%	22.3%	44,200	13.6%
Other Payments	0	0		0	0			0	
Department Total	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
<b>DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
Department Total	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	15.1%
<b>DEPARTMENTAL STAFFING</b>	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
City Attorney	1.00	1.00		1.00	1.00			1.00	
Assistant & Deputy City Attorneys	2.00	2.00		2.00	2.00			2.00	
Secretarial & Clerical	1.00	1.00		1.00	1.00			1.00	
Total - Full Time Equivalents	4.00	4.00	0.0%	4.00	4.00	100.0%	0.0%	4.00	0.0%

## Budget Narrative - City Attorney's Office

### Recent Budget Changes

#### *FY 10-11*

- The department budget showed a 18.3% decrease from the adjusted budget for FY10-11
- The Deputy City Attorney position remained vacant but temporary help was used to backfill the position.

#### *FY 11-12*

- Increase in base budget of \$10,710 or 1.3% over the FY10-11 Adopted Budget. This increase was personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No changes in departmental staffing.

#### *FY 12-13*

- Increase in base budget of \$44,510 or 5.4% over the FY11-12 Adopted Budget. This increase was personnel expenses; other costs were held at the FY11-12 base level.
- No budget augmentations.
- No changes in departmental staffing.

#### *FY 13-14*

- Base budget decrease of \$5,270 or 0.6% from the adopted FY12-13 Budget. This decrease was in personnel expenses; other costs were held at the FY12-13 level.
- No budget augmentations.
- No changes in departmental staffing.

### Proposed Budget Changes for FY 14-15

- Base budget decrease of \$20,140 or 2.4% over the adopted FY13-14 budget.
- Budget augmentations of \$3,150 for internal systems charges.
- No changes in departmental staffing.

## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments FY 12-13

1. Processed over 625 document reviews with a turnaround time of ten days or less.
2. Reviewed and commented on over 300 staff reports.
3. Attended all City Council, Planning Commission, Community Development Agency, and Fire Authority meetings.
4. Prepared and/or assisted in the preparation of all contracts.
5. Provided legal advice to City Council, Commissions, Boards, and City staff.
6. Prosecuted Tracy Municipal Code Violations.
7. Coordinated and supervised outside counsel.
8. Provided training on the Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

### Current Projections FY 13-14

1. Process over 650 document reviews.
2. Review and comment on over 300 staff reports.
3. Attend all City Council, Planning Commission, Successor Agency, and Fire Authority meetings.
4. Prepare, assist and/or review all legal documents such as ordinances, resolutions, and leases.
5. Prepare and/or assist in the negotiation of all contracts.
6. Provide legal advice to the City Council, Commissions, Boards and City staff.
7. Prosecute Tracy Municipal Code violations.
8. Coordinate and supervise outside counsel.
9. Provide training on Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

### Future Projections: FY 14-15

1. Process over 650 document reviews.
2. Review and comment on over 300 staff reports.
3. Attend all City Council, Planning Commission, Successor Agency, and Fire Authority meetings.
4. Prepare, assist and/or review all legal documents such as ordinances, resolutions, and leases.
5. Prepare and/or assist in the negotiation of all contracts.
6. Provide legal advice to the City Council, Commissions, Boards, and City staff.
7. Prosecute Tracy Municipal Code violations. Coordinate and supervise outside counsel.
8. Provide training on Public Records Act, Brown Act, CEQA, Ethics (AB1234), Firefighters Procedural Bill of Rights Act (FBOR), and other regulatory statutes as needed and/or requested.

Department: **58200 - City Attorney's Office**  
 Program: **58210 - Legal Counsel**

**CITY ATTORNEY'S OFFICE**  
**MISSION STATEMENT**

The City Attorney provides legal counsel to the City Council, Planning Commission, and all City staff.

Safeguarding the Rights and Interests of Our Community

**COMMENTARY**

This program provides for a City Attorney, with one Assistant CA, one Deputy CA, and a Legal Secretary.

In FY10-11, program staffing left a Deputy City Attorney position vacant but backfilled with temporary help. Since then, program staffing has remain the same with temporary help backfilling. In FY12-13, program costs showed a minor increase.

In FY13-14, program costs will show a minor increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

**PERFORMANCE OBJECTIVES**

1. To attend all City Council, Planning Commission, and Successor Agency meetings.
2. To prepare and/or assist in the preparation of and/or review of all legal documents: ordinances, resolutions, and leases.
3. To prepare and/or assist in the negotiation of all contracts.
4. To give legal advice to the City Council, Commissions, Boards and City staff.
5. To prosecute Tracy Municipal Code violations.
6. To assist in the coordination and supervision of special counsel.
7. To provide training on Public Records Act, Brown Act, CEQA, and other regulatory statues as needed and/or requested.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	646,645	644,049	-0.4%	727,700	644,540	88.6%	0.1%	746,280	2.6%
Contracted Services	66,696	63,626	-4.6%	75,210	67,890	90.3%	6.7%	74,630	-0.8%
Commodities	13,233	12,945	-2.2%	14,450	13,800	95.5%	6.6%	14,450	0.0%
Internal Charges	36,068	31,060	-13.9%	38,910	38,000	97.7%	22.3%	44,200	13.6%
Other Payments	0	0		0	0			0	
Program Total	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%
Amended Budget	829,630	861,540			856,270				
% of Amended Spent	91.9%	87.2%			89.3%				

<b><u>FUNDING SOURCES</u></b>									
Central Admin Fund 125	762,642	751,680	-1.4%	841,270	749,230	89.1%	-0.3%	864,560	2.8%
Project Reimbursement	0	0		15,000	15,000	100.0%		15,000	
Program Total	762,642	751,680	-1.4%	856,270	764,230	89.3%	1.7%	879,560	2.7%

<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
City Attorney	1.00	1.00		1.00	1.00			1.00	
Senior Secretary	0.00	0.00		0.00	0.00			0.00	
Assistant/Deputy City Attorney	2.00	2.00		2.00	2.00			2.00	
Legal Secretary	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	4.00	4.00	0.0%	4.00	4.00	100.0%	0.0%	4.00	0.0%

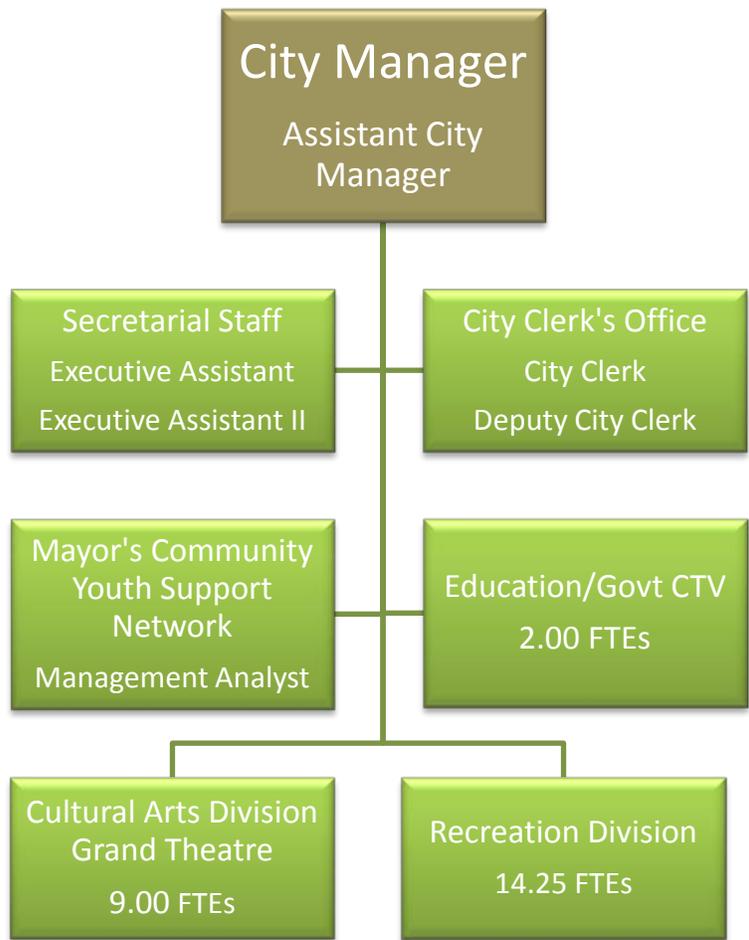
# CITY MANAGER'S OFFICE

## Department Head

Maria Hurtado, Interim City Manager

Gary Hampton, Interim Assistant City Manager

City of Tracy  
CITY MANAGER'S OFFICE  
Fiscal Year 14-15



Department: **58300 - City Manager's Office**

The City Manager manages City affairs supervising policy and program implementation and enforcement activities and provides policy and program development support to the City Council.

Also, the CM Office supervises the City Clerk, Community Promotions, the City's Cable TV program, and the Recreation and Cultural Arts programs.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 8.2% over the current year adopted budget, and this represents a 1.7% decrease from the FY12-13 amended budget.

The base component of the budget represents a 1.8% increase over the current year adopted budget, while budget augmentations will show a 6.3% increase over the base budget.

In FY13-14, departmental staffing was reduced when an elected part-time position was deleted. In FY14-15, departmental staffing will remain at its current level.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>City Manager's Office</b>									
58310 - City Administration	868,639	880,330	1.3%	913,990	869,250	95.1%	-1.3%	939,740	2.8%
58320 - City Clerk	280,821	367,316	30.8%	306,590	282,630	92.2%	-23.1%	419,720	36.9%
58350 - Education/Govt CTV	79,572	82,240	3.4%	94,430	82,880	87.8%	0.8%	100,970	6.9%
58360 - Mayor's Community Youth C	415,403	377,111	-9.2%	366,850	380,310	103.7%	0.8%	372,050	1.4%
58370 - Cultural Arts	1,233,075	0	-100.0%	0	0			0	
58380 - Community Promotions	136,675	145,242	6.3%	159,150	167,500	105.2%	15.3%	159,150	0.0%
<b>Department Total</b>	<b>3,014,185</b>	<b>1,852,239</b>	<b>-38.5%</b>	<b>1,841,010</b>	<b>1,782,570</b>	<b>96.8%</b>	<b>-3.8%</b>	<b>1,991,630</b>	<b>8.2%</b>
Amended Budget	3,168,509	2,025,510	-36.1%		2,005,090		-1.0%	over 2 years	-1.7%
% of Amended Spent	95.13%	91.45%			88.90%				
						Base Budget >>		1,874,280	1.8%
						Augmentations >>		117,350	6.3%

Department: **58300 - City Manager's Office (Continued)**

<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,636,951	1,189,109	-27.4%	1,231,200	1,185,580	96.3%	-0.3%	1,264,120	2.7%
Contracted Services	755,435	279,458	-63.0%	240,190	231,290	96.3%	-17.2%	239,590	-0.2%
Commodities	85,010	25,721	-69.7%	25,530	24,200	94.8%	-5.9%	25,530	0.0%
Internal Charges	242,881	117,330	-51.7%	128,590	126,000	98.0%	7.4%	144,890	12.7%
Other Payments	293,908	240,621	-18.1%	215,500	215,500	100.0%	-10.4%	317,500	47.3%
<b>Department Total</b>	<b>3,014,185</b>	<b>1,852,239</b>	<b>-38.5%</b>	<b>1,841,010</b>	<b>1,782,570</b>	<b>96.8%</b>	<b>-3.8%</b>	<b>1,991,630</b>	<b>8.2%</b>

**DEPARTMENTAL EXPENDITURES  
BY FUNDING SOURCES**

General Fund 101	2,668,066	1,769,999	-33.7%	1,746,580	1,699,690	97.3%	-4.0%	1,890,660	8.2%
Cultural Arts Fees	266,547	0	-100.0%	0	0			0	
Self Insurance Fund 627	0	0		0	0			0	
Cable TV Fund 295	79,572	82,240	3.4%	94,430	82,880	87.8%	0.8%	100,970	6.9%
<b>Department Total</b>	<b>3,014,185</b>	<b>1,852,239</b>	<b>-38.5%</b>	<b>1,841,010</b>	<b>1,782,570</b>	<b>96.8%</b>	<b>-3.8%</b>	<b>1,991,630</b>	<b>8.2%</b>

**DEPARTMENTAL STAFFING**

	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
City Manager	1.00	1.00		1.00	1.00			1.00	
Assistant/Deputy City Manager	1.00	1.00		1.00	1.00			1.00	
Mid Managers	2.00	2.00		2.00	2.00			2.00	
Secretarial & Clerical	3.50	3.00		3.00	3.00			3.00	
Cultural Arts	2.50	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Elected Officials	0.15	0.15		0.00	0.00			0.00	
Clerical	0.50	0.00		0.00	0.00			0.00	
CCTV	2.00	2.00		2.00	2.00			2.00	
Cultural Arts	2.50	0.00		0.00	0.00			0.00	
Cultural Arts Commissioners (7)	0.10	0.00		0.00	0.00			0.00	
<b>Total - Full Time Equivalents</b>	<b>15.25</b>	<b>9.15</b>	<b>-40.0%</b>	<b>9.00</b>	<b>9.00</b>	<b>100.0%</b>	<b>-1.6%</b>	<b>9.00</b>	<b>0.0%</b>

## Budget Narrative - City Manager's Office

### Recent Budget Changes

#### *FY 10-11*

- Departmental staffing reduced by 5 full-time regular positions and 1.00 FTE in other staffing.
- Reduction in the base budget of \$1,105,500 compared to the FY09-10 Adopted Budget.

#### *FY 11-12*

- Decrease in base budget of \$184,430 or 5.9% from FY10-11 Adopted Budget. The major decrease is \$150,000 for municipal elections. No elections were planned or anticipated in FY11-12. The balance of the decrease was in personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No change in departmental staffing.

#### *FY 12-13*

- Increase in the base budget of \$1,630 or 0.1% over the FY11-12 Adopted Budget.
- No change in staffing in the City Manager's Office proper.
- \$80,000 budgeted for November 2012 municipal election.
- \$11,000 augmentation for upgrade of the City Council meeting display system.

#### *FY 13-14*

- Base budget decrease of \$29,210 or 1.6% from adopted FY12-13 budget. While personnel expenses and internal service charges are up; contracted services are down.

### Proposed Budget Changes FY 14-15

- Base budget increase of \$33,270 or 1.8% from adopted FY13-14 budget.
- Budget augmentation for \$117,350, including \$102,000 for November, 2014 municipal elections.
- Equipment replacement of \$9,540 for a copier, and \$15,550 for new CTU equipment.

Major Non-Personnel Expenses	FY12-13	%Change	FY13-14	%Change	FY14-15
City Manager's Professional Services	\$20,400	0.00%	\$20,400	0.00%	\$20,400
Municipal Elections*	80,000	0.00%	0	0.00%	102,000
MCYSN Professional Services	10,000	0.00%	10,000	0.00%	10,000
MCYSN Grants	200,000	0.00%	200,000	0.00%	200,000
Chamber Support	15,500	0.00%	15,500	0.00%	15,500
Lobbyist Contract	27,700	59.00%	44,000	0.00%	44,000
Equipment Acquisition	\$0		\$24,000		\$49,090

\*every second year

58300 - City Manager's Office

Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<<< Base Increment & Augmentations >>>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	FY10-11 \$ Budget									
Personnel Expenses	1,207,010	-34,430	-2.9%	1,172,580	-15,310	-1.3%	1,157,270	-4.1%	1,175,974	101.6%
Contracted Services	413,010	-150,000	-36.3%	263,010	0	0.0%	263,010	-36.3%	208,449	79.3%
Commodities	24,210	0	0.0%	24,210	0	0.0%	24,210	0.0%	38,531	159.2%
Internal Charges	117,330	0	0.0%	117,330	0	0.0%	117,330	0.0%	89,337	76.1%
Other Payments	215,500	10,000	4.6%	225,500	0	0.0%	225,500	4.6%	292,479	129.7%
<b>Department Total</b>	<b>1,977,060</b>	<b>-174,430</b>	<b>-8.8%</b>	<b>1,802,630</b>	<b>-15,310</b>	<b>-0.8%</b>	<b>1,787,320</b>	<b>-9.6%</b>	<b>1,804,770</b>	<b>101.0%</b>
<b>FY12-13 Budget &gt;&gt;</b>	FY11-12 \$ Budget	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Personnel Expenses	1,157,270	34,400	3.0%	1,191,670	0	0.0%	1,191,670	3.0%	1,189,109	99.8%
Contracted Services	263,010	58,500	22.2%	321,510	0	0.0%	321,510	22.2%	279,458	86.9%
Commodities	24,210	0	0.0%	24,210	0	0.0%	24,210	0.0%	25,721	106.2%
Internal Charges	117,330	0	0.0%	117,330	0	0.0%	117,330	0.0%	117,330	100.0%
Other Payments	225,500	-10,000	-4.4%	215,500	0	0.0%	215,500	-4.4%	240,621	111.7%
<b>Department Total</b>	<b>1,787,320</b>	<b>82,900</b>	<b>4.6%</b>	<b>1,870,220</b>	<b>0</b>	<b>0.0%</b>	<b>1,870,220</b>	<b>4.6%</b>	<b>1,852,239</b>	<b>99.0%</b>
<b>FY13-14 Budget &gt;&gt;</b>	FY12-13 \$ Budget	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Personnel Expenses	1,191,670	39,530	3.3%	1,231,200	0	0.0%	1,231,200	3.3%	1,185,580	96.3%
Contracted Services	321,510	-81,320	-25.3%	240,190	0	0.0%	240,190	-25.3%	231,290	96.3%
Commodities	24,210	1,320	5.5%	25,530	0	0.0%	25,530	5.5%	24,200	94.8%
Internal Charges	117,330	11,260	9.6%	128,590	0	0.0%	128,590	9.6%	126,000	98.0%
Other Payments	215,500	0	0.0%	215,500	0	0.0%	215,500	0.0%	215,500	100.0%
<b>Department Total</b>	<b>1,870,220</b>	<b>-29,210</b>	<b>-1.6%</b>	<b>1,841,010</b>	<b>0</b>	<b>0.0%</b>	<b>1,841,010</b>	<b>-1.6%</b>	<b>1,782,570</b>	<b>96.8%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	FY13-14 \$ Budget	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY14-15 \$ Budget</b>	<b>% Change</b>		
Personnel Expenses	1,231,200	24,870	2.0%	1,256,070	8,050	0.6%	1,264,120	2.7%		
Contracted Services	240,190	-600	-0.2%	239,590	0	0.0%	239,590	-0.2%		
Commodities	25,530	0	0.0%	25,530	0	0.0%	25,530	0.0%		
Internal Charges	128,590	9,000	7.0%	137,590	7,300	5.3%	144,890	12.7%		
Other Payments	215,500	0	0.0%	215,500	102,000	47.3%	317,500	47.3%		
<b>Department Total</b>	<b>1,841,010</b>	<b>33,270</b>	<b>1.8%</b>	<b>1,874,280</b>	<b>117,350</b>	<b>6.3%</b>	<b>1,991,630</b>	<b>8.2%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Implemented the 8-Point Fiscal Plan that moved the City forward towards addressing the structural budget deficit.
2. Successfully implemented the FY11-12 and FY12-13 Council 2-Year Strategic Priorities and Business Plans which included the four priority strategies: (1) Economic Development, (2) Public Safety, (3) Organizational Efficiencies, and (4) Livability.
3. Completed a feasibility study for a business incubator.
4. Successfully merged the Finance and Administrative Services Department with the Human Resources. Department to create a new Administrative Services Department which includes three divisions (Finance, Information Technology, and Human Resources) as part of the City's organizational restructure.
5. Successfully transferred the Transit and Airport divisions into the Public Works Department as part of the City's organizational restructure.
6. Successfully recruited, interviewed and hired a Public Works Director to oversee the restructured Public Works Department which includes the addition of the Transit and airport divisions.
7. Successfully held a Council retreat which resulted in the identification of four strategy priority areas for the upcoming two years.

### Current Projections: FY 13-14

1. Successfully implement the FY13-14 two-year Council Strategies Priorities and Business Plans which include the four priorities strategies: (1) Economic Development, (2) Public Safety, (3) Quality of Life, and (4) Governance.
2. Began the research to attract a higher education institution to provide college level class options in Tracy by completing the Capacity Analysis.
3. Obtained administrative commitments from California Lutheran University and Notre Dame de Namur to establish satellite campuses in Tracy. Final decisions res with their respective boards of trustees. In addition, communication was established with Santa Clara University. Collaborated with community in establishing non-profit organization and fundraising infrastructure.
4. Prepared agenda/minutes for 24 regular Council meetings and any special meetings of the Council, the South County Fire Authority, or the TOPJPA.
5. As part of the continued reorganization structure of the City, we successfully merged the Recreation Division and the Grand Theatre functions for enhanced program coordination, maximization of resources, centralized oversight and marketing within the City Manager's Office.

### Current Projections: FY 13-14 Continued

6. Hosted three parent education workshops on the topics of substance abuse, suicide and bullying through the Mayor's Community Youth Support Network (MCYSN).
7. Partnered with San Joaquin County Behavioral Health to work with local non-profits in creating awareness of mental health needs in Tracy through the MCYSN collaborative.
8. Completed a corporate training and development program (Tracy WINS). Increased percentage of internal promotions and employee readiness. This program is considered best in class in California and nationally, by Dr. Frank Benest.
9. Permits up in 2013 by 20%, value up by 56%. Building valuations up compared to 2012, b 130%. Forty housing construction permits issued in 2013. Ellis was successfully annexed and completed entitlements. A timetable has been agreed upon with Tracy Hills for its DA, EIP, and specific plan amendments. Next two phases of Wastewater Treatment Plan upgrades are fully subscribed from the development community, and the third and final phase subscriptions have begun.
10. Senior Center expanded patio recreational area improvements and upgrades were completed.

### Future Projections: FY 14-15

1. Hold a council retreat with new Council to identify the Strategic Priorities for the upcoming two years.
2. Convert files to the File/Pro system to improve management of the City Clerk's filing system.
3. Prepare agenda/minutes for 24 regular Council meetings and any special meetings of the Council, the South County Fire Authority, or the TOPJPA.
4. Open the animal shelter by the end of the 2014 calendar year.
5. Pursue higher education options and work with the Tracy Consortium to continue momentum with California Lutheran University and Notre Dame de Namur to establish a satellite campus in Tracy.
6. Fully implement the FY14-15 Council Strategic Priorities and Business Plans which include the four priorities strategies: (1) Economic Development, (2) Public Safety, (3) Quality of life, and (4) Governance.
7. Install a Computerized Maintenance Management System (CMMS) tracking service requests and routing work orders.
8. Increase head of household jobs by 5%.
9. Ensure 90% satisfaction rates for all development review and building permit applications. Process 4 projects in the Cordes Ranch development.
10. Process 500 new single family/multi-family residential units to include infill, Tracy Hills, and Ellis.

Department: **58300 - City Manager's Office**  
 Program: **58310 - City Administration**

The City Manager manages City affairs supervising policy and program implementation and enforcement activities and provides policy and program development support to the City Council.

**PERFORMANCE OBJECTIVES**

1. To manage City affairs while supervising policy and program implementation and enforcement activities.
2. To oversee and administer the various services provided by operational and support departments.
3. To provide policy and program development support to the City Council. Recommend action on legislation.
4. To respond to Council and constituency inquiries.
5. To manage the allocation of City's resources and administer a comprehensive and equitable personnel system.
6. To provide the fiscal foundation for municipal services through planning, budgeting, and reporting.

**COMMENTARY**

This program provides for a City Manager, an Assistant City Manager, and their support staff.

In both FY11-12 and FY12-13, program costs showed modest increases.

In FY13-14, program costs will show a modest decrease. This will be due a vacant City Manager position for at least 3 months.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	759,246	764,799	0.7%	793,000	751,450	94.8%	-1.7%	812,000	2.4%
Contracted Services	41,713	45,872	10.0%	52,610	50,200	95.4%	9.4%	52,610	0.0%
Commodities	7,956	9,939	24.9%	6,660	6,600	99.1%	-33.6%	6,660	0.0%
Internal Charges	59,724	59,720	0.0%	61,720	61,000	98.8%	2.1%	68,470	10.9%
Other Payments	0	0		0	0			0	
Program Total	868,639	880,330	1.3%	913,990	869,250	95.1%	-1.3%	939,740	2.8%
Amended Budget	846,990	881,190			908,990				
% of Amended Spent	102.6%	99.9%			95.6%				
<b>FUNDING SOURCES</b>									
Central Admin Fund 125	868,639	880,330	1.3%	913,990	869,250	95.1%	-1.3%	939,740	2.8%
Program Total	868,639	880,330	1.3%	913,990	869,250	95.1%	-1.3%	939,740	2.8%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
City Manager	1.00	1.00		1.00	1.00			1.00	
Assistant City Manager	1.00	1.00		1.00	1.00			1.00	
Exec Asst-Secretary to the City Mgr	1.00	1.00		1.00	1.00			1.00	
Exec Asst II-Sr Secretary	1.00	1.00		1.00	1.00			1.00	
Admin Asst II-Admin Clerk	0.00	0.00		0.00	0.00			0.00	
Admin Asst III-Sr Admin Clerk	0.00	0.00		0.00	0.00			0.00	
Public Affairs Officer	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	4.00	4.00	0.0%	4.00	4.00	100.0%	0.0%	4.00	0.0%

Department: **58300 - City Manager's Office**  
 Program: **58320 - City Clerk**

**PERFORMANCE OBJECTIVES**

The City Clerk prepares Council and agendas and minutes, maintains official records and documents, and provides general administrative support for the Mayor and City Council. The City Clerk also coordinates City elections.

1. To prepare agendas/minutes for 24 regular Council meetings and any special meetings of the Council or SCFA.
2. To convert files to the FilePro system to improve management of the City Clerk's filing system.
3. To conduct recruitments for all City boards and commissions and ensure compliance with the Maddy Act.
4. To consolidate with San Joaquin County the November 2014 election

**COMMENTARY**

Program costs vary from year-to-year depending upon whether or not a general and/or a special election is held. In FY11-12, program costs were down with no elections being held. But, in FY12-13, costs were up with increases for contracted services and \$66,235 spent on the November 2012 election.

In FY13-14, program costs will show a decrease again, with no elections. For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing and funding, with some increases in internal charges; and provides \$102,000 for the November 2014 elections.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
<b><u>PROGRAM EXPENDITURES</u></b>									
Personnel Expenses	248,263	255,904	3.1%	250,400	232,000	92.7%	-9.3%	259,150	3.5%
Contracted Services	6,648	18,549	179.0%	25,610	20,230	79.0%	9.1%	25,610	0.0%
Commodities	1,782	2,498	40.2%	1,450	1,400	96.6%	-44.0%	1,450	0.0%
Internal Charges	24,128	24,130	0.0%	29,130	29,000	99.6%	20.2%	31,510	8.2%
Other Payments	0	66,235		0	0			102,000	
Program Total	280,821	367,316	30.8%	306,590	282,630	92.2%	-23.1%	419,720	36.9%
Amended Budget	282,230	383,610			306,590				
% of Amended Spent	99.5%	95.8%			92.2%				
<b><u>FUNDING SOURCES</u></b>									
Central Admin Fund 125	280,821	367,316	30.8%	306,590	282,630	92.2%	-23.1%	419,720	36.9%
Program Total	280,821	367,316	30.8%	306,590	282,630	92.2%	-23.1%	419,720	36.9%
<b><u>PROGRAM STAFFING</u></b>									
<i>Elected Officials</i>									
City Clerk (1)	0.15	0.15		0.00	0.00			0.00	
<i>Regular Positions</i>									
City Clerk	0.00	0.00		0.00	0.42			1.00	
Assistant City Clerk	1.00	1.00		1.00	0.58			0.00	
Admin Asst II-Admin Clerk	0.00	0.00		0.00	0.00			0.00	
Deputy City Clerk	1.00	1.00		1.00	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	2.15	2.15	0.0%	2.00	2.00	100.0%	-7.0%	2.00	0.0%

Department: **58300 - City Manager's Office**  
 Program: **58350 - Education/Government CTV**

**PERFORMANCE OBJECTIVES**

Manage and coordinate the City's public education/government cable television activities. Record and televise City Council meetings. Funding is provided by the City's cable franchise fee.

1. To provide quality public access programs for the Tracy community.
2. To assist community organizations in the production of programs through the use of Community Access facilities and equipment.

**COMMENTARY**

In FY11-12, program costs showed a modest decrease. In FY12-13, they showed a modest increase, although personnel expenses were down.

In FY13-14, program costs will show a minimal increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	56,834	51,362	-9.6%	57,700	47,980	83.2%	-6.6%	59,170	2.5%
Contracted Services	352	3,696	950.0%	4,190	3,900	93.1%	5.5%	4,190	0.0%
Commodities	3,354	8,153	143.1%	9,750	9,000	92.3%	10.4%	9,750	0.0%
Internal Charges	19,032	19,030	0.0%	22,790	22,000	96.5%	15.6%	27,860	22.2%
Other Payments	0	0		0	0			0	
Program Total	79,572	82,241	3.4%	94,430	82,880	87.8%	0.8%	100,970	6.9%
Amended Budget	91,166	90,470			94,430				
% of Amended Spent	87.3%	90.9%			87.8%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			0	
Cable TV Fund 295	79,572	82,241	3.4%	94,430	82,880	87.8%	0.8%	100,970	6.9%
Program Total	79,572	82,241	3.4%	94,430	82,880	87.8%		100,970	6.9%

**PROGRAM STAFFING***Regular Positions*

Assistant City Clerk	0.00	0.00		0.00	0.00			0.00	
Public Affairs Officer	0.00	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Comm Access Coordinator	0.50	0.50		0.50	0.50			0.50	
Intern & Program Asst	1.50	1.50		1.50	1.50			1.50	
	2.00	2.00	0.0%	2.00	2.00	100.0%	0.0%	2.00	0.0%

Department: **58300 - City Manager's Office**  
 Program: **58360 - Mayor's Community Youth Support**

**PERFORMANCE OBJECTIVES**

Coordinate the Mayor's Community Youth Support Network (MCYSN) multi-departmental and interagency efforts of prevention, intervention, and suppression. Administer MCYSN contracts and grants.

1. To complete a comprehensive community gang assessment in partnership with Tracy Police.
2. To open the "Reconnecting Our Youth" grant program, cycle 4, for local service providers who can fill gaps in services.
3. To coordinate at least two parent workshops, open to the public on social impacts like bullying, gangs, and drug abuse.
4. To identify service gaps and needs through the gang assessment process and update the ROY RFQ to mirror those needs.
5. To produce an updated youth & family guide both in print and on the web.

**COMMENTARY**

In both FY11-12 and FY12-13, program costs showed modest decreases, while grant outlays also dropped.

In FY13-14, program costs will show a modest increase, but again a drop is expected for grant outlays.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other items, including \$200,000 for grant funding.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	111,631	117,044	4.8%	130,100	154,150	118.5%	31.7%	133,800	2.8%
Contracted Services	14,386	19,130	33.0%	17,300	8,060	46.6%	-57.9%	16,700	-3.5%
Commodities	6,881	2,291	-66.7%	4,500	4,100	91.1%	79.0%	4,500	0.0%
Internal Charges	14,456	14,450	0.0%	14,950	14,000	93.6%	-3.1%	17,050	14.0%
Other Payments	268,049	224,196	-16.4%	200,000	200,000	100.0%	-10.8%	200,000	0.0%
Program Total	415,403	377,111	-9.2%	366,850	380,310	103.7%	0.8%	372,050	1.4%
Amended Budget	575,113	511,090			534,850				
% of Amended Spent	72.2%	73.8%			71.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	236,731	227,345	-4.0%	366,850	380,310	103.7%	67.3%	372,050	1.4%
County Grant	178,672	149,766	-16.2%	0	0		-100.0%	0	
Program Total	415,403	377,111	-9.2%	366,850	380,310	103.7%	0.8%	372,050	1.4%

<b><u>PROGRAM STAFFING</u></b>	FY11-12	FY12-13	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Management Analyst I	1.00	1.00		1.00	1.00			1.00	
Admin Asst II	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	1.00	1.00	0.0%	1.00	1.00			1.00	

Department: **58300 - City Manager's Office**  
 Program: **58380 - Community Promotions**

**PERFORMANCE OBJECTIVES**

City support for promotional activities and events to enhance the City's image and prosperity.

1. To support community activities through fiscal contributions.
2. To support community activities through use of safety personnel.
3. To promote city services and awareness through various community functions.

**COMMENTARY**

Program outlays can vary from year-to-year depending upon community events and promotions. For FY13-14, the program budget includes:

- \$15,500 for City promotions through Chamber of Commerce
- \$15,800 for City Pride newsletters printing
- \$50,000 for City lobbyist.
- \$44,000 for City grant writer.
- \$23,700 for City membership in League of CA Cities

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	117,347	125,976	7.4%	140,480	148,900	106.0%	18.2%	140,480	0.0%
Commodities	3,828	2,840	-25.8%	3,170	3,100	97.8%	9.2%	3,170	0.0%
Internal Charges	0	0		0	0			0	
Other Payments	15,500	16,425	6.0%	15,500	15,500	100.0%	-5.6%	15,500	0.0%
Program Total	136,675	145,241	6.3%	159,150	167,500	105.2%	15.3%	159,150	0.0%
Amended Budget	147,600	159,150			169,230				
% of Amended Spent	92.6%	91.3%			99.0%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	136,675	145,241	6.3%	159,150	167,500	105.2%	15.3%	159,150	0.0%
Central Services Fund 602	0	0		0	0			0	
Comm Devel Block Gt Fund 269	0	0		0	0			0	
Project Reimbursement	0	0		0	0			0	
Program Total	136,675	145,241	6.3%	159,150	167,500	105.2%	15.3%	159,150	0.0%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	0.00	0.00		0.00	0.00			0.00	

Department: **55000 - Recreation & Cultural Arts Programs**

The City Manager's Office oversees the City's Recreation and Cultural Arts Divisions, operates community facilities, and contract for the operations at the City's Library.

Prior to FY12-13, the Recreation Division was under the Parks & Community Services Department. The Cultural Arts Division has been under the City Manager's Office since FY09-10.

COMMENTARY

As proposed for FY14-15, the departmental budget will increase about 3.3% over the current year adopted budget, and this represents a 6.2% increase over the FY12-13 amended budget.

The base component of the budget represents a 1.3% increase over the current year adopted budget, while budget augmentations will show a 1.9% increase over the base budget.

In FY13-14, programs staffing showed a temporary increase. As proposed for FY14-15, programs staffing will show an increase of 1 new regular position but a decrease of 1.20 FTEs in other staffing.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Recreation Division</b>									
55150 - Recreation Management	474,685	171,789	-63.8%	252,670	251,290	99.5%	46.3%	340,670	34.8%
55120 - Community Facilities	0	0		0	0			0	
55130 - Library	160,822	212,324	32.0%	182,870	173,770	95.0%	-18.2%	183,360	0.3%
55410 - Special Interest Classes	242,937	255,882	5.3%	270,690	261,510	96.6%	2.2%	240,760	-11.1%
55420 - Aquatics/Community Pool	300,665	264,677	-12.0%	304,360	295,390	97.1%	11.6%	304,780	0.1%
55430 - Athletics	146,860	129,367	-11.9%	169,550	157,640	93.0%	21.9%	151,410	-10.7%
55440 - Youth Development	167,301	188,700	12.8%	222,980	185,980	83.4%	-1.4%	224,670	0.8%
55450 - Senior Citizens	228,624	245,877	7.5%	250,550	238,320	95.1%	-3.1%	249,820	-0.3%
55460 - Community Youth Support	18,816	16,170	-14.1%	24,440	20,720	84.8%	28.1%	25,660	5.0%
55480 - Community Events	190,782	219,808	15.2%	244,070	220,860	90.5%	0.5%	186,240	-23.7%
55490 - Teen Recreation	89,213	100,388	12.5%	126,490	94,980	75.1%	-5.4%	105,820	-16.3%
Division Total	2,020,705	1,804,982	-10.7%	2,048,670	1,900,460	92.8%	5.3%	2,013,190	-1.7%
<b>Cultural Arts Division</b>									
58371 - Cultural Arts	569,259	679,393	19.3%	689,130	621,420	90.2%	-8.5%	713,780	3.6%
58372 - Arts Education	353,497	293,992	-16.8%	336,030	328,370	97.7%	11.7%	402,260	19.7%
58373 - Art Gallery	84,730	110,761	30.7%	82,140	90,310	109.9%	-18.5%	110,200	34.2%
58374 - Theatre Presentations	102,466	256,605	150.4%	235,680	248,610	105.5%	-3.1%	263,570	11.8%
58375 - Theatre Rentals	123,123	139,071	13.0%	156,910	161,220	102.7%	15.9%	161,250	2.8%
Division Total	1,233,075	1,479,822	20.0%	1,499,890	1,449,930	96.7%	-2.0%	1,651,060	10.1%
Department Total	3,253,780	3,284,804	1.0%	3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%
Amended Budget	3,809,460	3,451,546	-9.4%		3,558,560		3.1%	over 2 years	6.2%
% of Amended Spent	85.41%	95.17%			94.15%				
						Base Budget >>		3,595,870	1.3%
						Augmentations >>		68,380	1.9%

Department: 55000 - Recreation &amp; Cultural Arts Programs (Continued)

DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	1,314,145		1,431,920	1,319,500	92.1%	0.4%	1,497,840	4.6%
Contracted Services	0	1,416,293		1,379,900	1,310,130	94.9%	-7.5%	1,389,100	0.7%
Commodities	0	119,515		145,530	134,540	92.4%	12.6%	161,730	11.1%
Internal Charges	0	331,451		455,780	450,900	98.9%	36.0%	480,150	5.3%
Other Payments	0	103,400		135,430	135,320	99.9%	30.9%	135,430	0.0%
Department Total	0	3,284,804		3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%

DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	0	2,375,884		2,533,260	2,455,330	96.9%	3.3%	2,606,390	2.9%
Recreation Fees	0	638,853		668,800	610,150	91.2%	-4.5%	681,500	1.9%
Recreation Grants	0	27,687		0	0			0	
Cultural Art Fees	0	242,380		346,500	284,910	82.2%	17.5%	376,360	8.6%
Capital Projects Funds	0	0		0	0			0	
Department Total	0	3,284,804		3,548,560	3,350,390	94.4%	2.0%	3,664,250	3.3%

DEPARTMENTAL STAFFING	FY11-12 Approved	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Change
<i>Regular Positions</i>									
Parks & Comm Services Director	1.00	0.00		0.00	0.00			0.00	
Managers & Supervisors	3.00	3.00		3.00	2.00			3.00	
Secretarial & Clerical	2.00	2.00		2.00	1.00			1.00	
Recreation	1.50	2.00		2.00	2.00			2.00	
Cultural Arts	2.50	1.00		1.00	3.00			3.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Parks & Com Serv Commissioners (7)	0.25	0.25		0.25	0.25			0.25	
Cultural Arts Commissioners (7)	0.10	0.10		0.10	0.10			0.10	
Part-time Recreational	23.20	10.95		10.95	10.95			9.50	
Part-time Cultural Arts	3.00	3.65		3.65	4.15			4.40	
Total - Full Time Equivalents	36.55	22.95	-37.2%	22.95	23.45	102.2%	2.2%	23.25	-0.9%

## Budget Narrative - Recreation and Cultural Arts Program

### Recent Budget Changes

#### *FY 10-11*

- Department deleted 4 full-time regular positions and 1.07 FTEs in other staffing
- Reduction in base budget of \$939,880 or 17% from FY09-10 adopted Budget

#### *FY 11-12*

- Decrease in base budget by \$72,400 or 1.5% below the FY10-11 Adopted Budget. This is entirely in personnel expenses.
- There was \$126,130 in budget augmentations. These included \$19,000 for West High School Pool annual rent, which now will be \$49,000 per year, \$25,000 contingent for any repairs for West High Pool, and \$75,000 for community events.
- Parks & Community Services staffing was reduced by 4.80 FTEs in temporary staffing.
- The Cultural Arts Division staffing was reduced by 3.00 FTEs.

#### *FY 12-13*

- The Parks & Community Service Department was disbanded and the Recreation Division was transferred to the City Manager's Office
- The base budget for the Recreation Division is \$2,326,230, which is an 8.8% decrease from its FY11-12 adopted budget
- The base budget for the Cultural Arts Division is \$1,201,250, which is a 2% decrease from its FY11-12 adopted budget. Its base staffing was 6.10 FTEs.
- Budget augmentations for the Cultural Arts Division are \$234,970 and include 0.90 FTEs. The FTEs are transferred from other departments.

#### *FY 13-14*

- Base budget increase of \$142,490 or 4.29%, due primarily to personnel expenses.
- Budget augmentations of \$16,000.
- An Administrative Assistant position was re-classed to a Box Office Coordinator.
- An Arts Manager was deleted, while a second Theatre Technician was added.
- During the year, staffing temporarily increased by 0.50 FTEs.

### Proposed Budget Changes for FY 14-15

- Base budget increase of \$47,310 or 1.3% over adopted FY13-14 budget. Increase primarily in personnel expenses.
- Budget augmentations of \$68,380.
- Departmental staffing will show a net increase of 0.30 FTEs over FY12-13. A new Recreation Supervisor will be added, while hourly staffing is reduced by 1.20 FTEs.
- There will be an increase of \$38,400 for Senior Center operations and staffing.
- 2 Recreation Coordinators will be re-classed to Recreation Specialists.
- There will be a \$43,060 increase for arts education.

## 55000 - Recreation &amp; Cultural Arts Programs

Department Budget By Program	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
55150 - Recreation Managemer	474,685	171,789	-63.8%	252,670	47.1%	259,650	2.8%	81,020	31.2%
55130 - Library	160,822	212,324	32.0%	182,870	-13.9%	183,070	0.1%	290	0.2%
55410 - Special Interest Classe:	242,937	255,882	5.3%	270,690	5.8%	274,560	1.4%	-33,800	-12.3%
55420 - Aquatics/Community Pr	300,665	264,677	-12.0%	304,360	15.0%	304,170	-0.1%	610	0.2%
55430 - Athletics	146,860	129,367	-11.9%	169,550	31.1%	171,790	1.3%	-20,380	-11.9%
55440 - Youth Development	167,301	188,700	12.8%	222,980	18.2%	226,860	1.7%	-2,190	-1.0%
55450 - Senior Citizens	228,624	245,877	7.5%	250,550	1.9%	255,840	2.1%	-6,020	-2.4%
55460 - Community Youth Supp	18,816	16,170	-14.1%	24,440	51.1%	25,240	3.3%	420	1.7%
55480 - Community Events	190,782	219,808	15.2%	244,070	11.0%	247,600	1.4%	-61,360	-24.8%
55490 - Teen Recreation	89,213	100,388	12.5%	126,490	26.0%	127,740	1.0%	-21,920	-17.2%
58371 - Cultural Arts	569,259	679,393	19.3%	689,130	1.4%	697,660	1.2%	16,120	2.3%
58372 - Arts Education	353,497	293,992	-16.8%	336,030	14.3%	338,170	0.6%	64,090	19.0%
58373 - Art Gallery	84,730	110,761	30.7%	82,140	-25.8%	83,700	1.9%	26,500	31.7%
58374 - Theatre Presentations	102,466	256,605	150.4%	235,680	-8.2%	238,570	1.2%	25,000	10.5%
58375 - Theatre Rentals	123,123	139,071	13.0%	156,910	12.8%	161,250	2.8%	0	0.0%
Department Total	3,253,780	3,284,804	1.0%	3,548,560	8.0%	3,595,870	1.3%	68,380	1.9%

## Department Budget by Object

Personnel Expenses	1,432,768	1,314,145	-8.3%	1,431,920	9.0%	1,470,830	2.7%	27,010	1.8%
Contracted Services	1,149,600	1,416,293	23.2%	1,379,900	-2.6%	1,375,900	-0.3%	13,200	1.0%
Commodities	137,471	119,515	-13.1%	145,530	21.8%	145,530	0.0%	16,200	11.1%
Internal Charges	425,561	331,451	-22.1%	455,780	37.5%	468,180	2.7%	11,970	2.6%
Other Payments	108,380	103,400	-4.6%	135,430	31.0%	135,430	0.0%	0	0.0%
Department Total	3,253,780	3,284,804	1.0%	3,548,560	8.0%	3,595,870	1.3%	68,380	1.9%

## Department Budget by Funding Source

General Fund 101 - Taxes	2,298,257	2,375,884	3.4%	2,533,260	6.6%	2,495,270	-1.5%	111,120	4.5%
Recreation Fees	688,976	638,853	-7.3%	668,800	4.7%	747,500	11.8%	-66,000	-8.8%
Recreation Grants	0	27,687		0		0		0	
Cultural Arts Fees	266,547	242,380		346,500	43.0%	353,100	1.9%	23,260	6.6%
Department Total	3,253,780	3,284,804	1.0%	3,548,560	8.0%	3,595,870	1.3%	68,380	1.9%

## Department Staffing

Total - Full Time Equivalent	36.55	22.95	-37.2%	22.95	0.0%	22.95	0.0%	0.30	1.3%
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## Department Equipment Purchase

Replacement Equipment	0	5,415		30,000		30,000		0	0.0%
New Equipment	0	1,871		0		0		0	

## DEPARTMENTAL ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. The City of Tracy contracted the YMCA to facilitate the Youth Hoops program. There were 229 participants and 38 volunteer coaches who participated in the league.
2. Partnered with the Tracy City Center Association to host a new downtown family event the "Then and Now Care Show" engaging over 3,000 participants and 45 local business owners.

### Current Projections: FY 13-14

1. Host two Girls Night Out events with 600 in attendance at each event and generate over \$23,000 in revenue.
2. The Senior Center will utilize the Senior Center outdoor Recreation Area to expand recreational and education classes and increase participation by 20%.

### Future Projections: FY 14-15

1. The Aquatics program will offer a new Senior Water Exercise class and will increase new participants by 10%.
2. The Athletics program will continue to offer Flag Football and reach over 180 youth and generate at least \$14,000 in revenue.
3. The Senior Center will increase their recreational and Health & Wellness programs to increase participation by 20%.
4. Host two additional Summer Downtown Block Party Parties for a total of 7 and increase participation by 15%.

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55130 - Library**

**PERFORMANCE OBJECTIVES**

Maintain City owned facility and coordinate Library activities with City functions. Library operations are provided under contract with the City of Stockton. City contributions to library operations.

1. To maintain Library facility and provide for operations for 42 hours per week.
2. To provide a \$15,000 contribution for Library materials and \$44,360 for Library operations.
3. To liaison with San Joaquin County and the City of Stockton on Tracy branch library matters.

**COMMENTARY**

The City provide facility maintenance and pays utilities costs. Since FY96-97, the City also provides funds for Library operations and materials. In FY11-12, program costs decreased, due to a reduction in contributions for operations and materials, while internal charges were increasing. In FY12-13, program costs showed a moderate increase, with custodial and electric costs going up. In FY13-14, program costs will show a modest increase.

For FY14-15, the program budget will remain at its current level, but with some increases in internal charges. Contributions will be at the same level.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	57	0		0	0			0	
Contracted Services	36,996	58,237	57.4%	68,040	59,500	87.4%	2.2%	68,040	0.0%
Commodities	2,511	2,558	1.9%	3,290	2,950	89.7%	15.3%	3,290	0.0%
Internal Charges	63,060	51,680	-18.0%	52,180	52,000	99.7%	0.6%	52,670	0.9%
Other Payments	58,198	59,314	1.9%	59,360	59,320	99.9%	0.0%	59,360	0.0%
Program Total	160,822	171,789	6.8%	182,870	173,770	95.0%	1.2%	183,360	0.3%
Amended Budget	193,750	182,370			182,870				
% of Amended Spent	83.0%	94.2%			95.0%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101 - Taxes	160,822	171,789	6.8%	182,870	173,770	95.0%	1.2%	183,360	0.3%
Program Total	160,822	171,789	6.8%	182,870	173,770	95.0%	1.2%	183,360	0.3%
<b><u>PROGRAM STAFFING</u></b>									
<i>Regular Positions</i>									
Recreation Supervisor	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Custodial Aides	0.00	0.00		0.00	0.00			0.00	
Bldg Maint Staff	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	0.00	0.00		0.00	0.00			0.00	

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55401 - Recreation Management**

Manage and direct the Recreation Division and provide the necessary administrative support for its programs and activities. Pay advertising, registration, and software costs. Recreation fee rebates.

**COMMENTARY**

In FY12-13, with the transfer of the Recreation Division and its programs to the City Manager's Office, this program was established to provide a cost center for the overhead costs of the Division.

In FY13-14, program costs will show a major increase, reflecting a full-year of operations.

For FY14-15, program staffing will add 0.50 of the Division Manager. The program budget provides for this added staffing, some increases in internal charges, but maintains current funding for other cost items. maintains current funding for other cost items.

**PERFORMANCE OBJECTIVES**

1. To administer the 10 programs of the department at an admin cost of 10.2% or less of the department operating budget.
2. To oversee a Divisiona budget of over \$3,664,250 and with an authorized staffing of 23.25 full-time equivalents.
3. To provide staff support to 3 City advisory Commissions/Boards.
4. To support the Downtown Tracy City Center Association and to collaborate with them on downtown events.
5. To coordinate park planning, design, and programming.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	72,016		88,200	91,080	103.3%	26.5%	170,550	93.4%
Contracted Services	0	60,122		86,360	83,870	97.1%	39.5%	84,660	-2.0%
Commodities	0	13,660		10,610	8,940	84.3%	-34.6%	10,610	0.0%
Internal Charges	0	27,020		26,430	26,400	99.9%	-2.3%	33,780	27.8%
Other Payments	0	39,506		41,070	41,000	99.8%	3.8%	41,070	0.0%
<b>Program Total</b>	<b>0</b>	<b>212,324</b>		<b>252,670</b>	<b>251,290</b>	<b>99.5%</b>	<b>18.4%</b>	<b>340,670</b>	<b>34.8%</b>
Amended Budget	0	166,889			252,670				
% of Amended Spent					99.5%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	0	212,324		159,580	251,290	157.5%	18.4%	340,670	113.5%
Recreation Fees	0	0		0	0			0	
Capital Project Funds	0	0		0	0			0	
Landscape Dist Fund 271	0	0		0	0			0	
Solid Waste Fund 531	0	0		0	0			0	
Transit Fund 571	0	0		0	0			0	
<b>Program Total</b>	<b>0</b>	<b>212,324</b>		<b>159,580</b>	<b>251,290</b>	<b>157.5%</b>		<b>340,670</b>	<b>113.5%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Admin Asst II-Sr Admin Clerk	0.00	1.00		1.00	1.00			1.00	
Division Manager	0.00	0.00		0.00	0.00			0.50	
<i>Other Staffing (Full-Time Equivalents)</i>									
Parks & Rec Commissioners (7)	0.00	0.25		0.25	0.25			0.25	
<b>Total - Full-Time Equivalents</b>	<b>0.00</b>	<b>1.25</b>		<b>1.25</b>	<b>1.25</b>			<b>1.75</b>	

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55410 - Special Interest Classes**

Manage and provide special interest recreation classes through contracted services at city facilities and local school sites.

**PERFORMANCE OBJECTIVES**

1. To offer 700 recreational classes and serve 3,600 participants.
2. To increase the number of class offerings 15% from 600 to 700 annual by recruiting instructors to offer classes that the community wants as expressed through interest surveys.
3. To increase our customer base and number of participants by 5% from 2,000 to 2,100 with an average participation rate of 2 classes per year.
4. To expose class offering to a larger audience through increased marketing and advertising.
5. To generate at least \$237,000 in program revenues and recover 96% of program costs.

**COMMENTARY**

In FY11-12, program costs will show a moderate increase. Staffing costs were up, but contracted costs were down, as were program revenues. In FY12-13, program costs showed a moderate increase. Both staffing and contracted costs were up, as were program revenues.

In FY13-14, program costs will show a modest increase. While personnel costs are up, they are less than budget. Revenues though are down.

For FY14-15, supervisory hours will be reduced. The program budget provides for this reduced staffing, some increases in internal charges, but maintains current funding for other cost items. Revenues are projected to increase.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	88,101	91,540	3.9%	105,100	98,680	93.9%	7.8%	73,300	-30.3%
Contracted Services	129,339	137,891	6.6%	137,250	134,730	98.2%	-2.3%	137,250	0.0%
Commodities	373	331	-11.3%	1,220	1,100	90.2%	232.3%	1,220	0.0%
Internal Charges	25,124	26,120	4.0%	27,120	27,000	99.6%	3.4%	28,990	6.9%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>242,937</b>	<b>255,882</b>	<b>5.3%</b>	<b>270,690</b>	<b>261,510</b>	<b>96.6%</b>	<b>2.2%</b>	<b>240,760</b>	<b>-11.1%</b>
Amended Budget	273,520	265,230			270,690				
% of Amended Spent	88.8%	96.5%			96.6%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	48,476	54,393	12.2%	39,690	75,510	190.2%	38.8%	3,760	-90.5%
Recreation Fees	194,461	201,489	3.6%	231,000	186,000	80.5%	-7.7%	237,000	2.6%
Recreation Grants	0	0		0	0			0	
Capital Project Funds	0	0		0	0			0	
<b>Program Total</b>	<b>242,937</b>	<b>255,882</b>	<b>5.3%</b>	<b>270,690</b>	<b>261,510</b>	<b>96.6%</b>	<b>2.2%</b>	<b>240,760</b>	<b>-11.1%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Recreation Manager	0.00	0.25		0.25	0.25			0.00	
Recreation Supervisor	0.25	0.00		0.00	0.00			0.20	
Recreation Specialist	0.00	0.00		0.00	0.00			0.50	
Recreation Program Coordinator	0.50	0.50		0.50	0.50			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recreation Leader III	0.00	0.40		0.40	0.40			0.40	
Recreation Leader II	0.00	0.10		0.10	0.10			0.10	
Clerical	0.50	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>1.25</b>	<b>1.25</b>	<b>0.0%</b>	<b>1.25</b>	<b>1.25</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.20</b>	<b>-4.0%</b>

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55420 - Aquatics/Community Pool**

**PERFORMANCE OBJECTIVES**

Operate and maintain the Community Pool; provide swimming lessons, recreational swimming, aquatics special events and pool rentals. Provide staffing & programming at the new West High pool.

1. To offer 200 aquatic classes and teach 3,000 participants.
2. To offer 240 hours for recreational swimming and serve 4,000 participants.
3. To administer the agreement with the YMCA to operate the West High Pool.
4. To offer summer family events to educate families about swim safety and the benefits of basic swimming skills.
5. To generate at least \$170,000 in program revenues and recover 59% of program costs.

**COMMENTARY**

In FY11-12, most part-time workers were contracted out, so program staffing was reduced. Program costs showed a major decrease, while staffing costs were down, contracted costs were up. In FY12-13, program costs again showed major decrease, although program revenues were up.

In FY13-14, program costs will show a moderate increase. While staffing costs are up, contracted costs are down.

For FY14-15, supervisory hours will be increased. The program budget provides for this added staffing, some increases in internal charges, but maintains current funding for other cost items. Revenues are projected to increase.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	50,848	7,302	-85.6%	20,300	13,210	65.1%	80.9%	21,300	4.9%
Contracted Services	210,646	217,113	3.1%	211,320	210,780	99.7%	-2.9%	211,320	0.0%
Commodities	8,007	9,102	13.7%	13,960	13,400	96.0%	47.2%	13,960	0.0%
Internal Charges	31,164	31,160	0.0%	33,780	33,000	97.7%	5.9%	33,200	-1.7%
Other Payments	0	0		25,000	25,000	100.0%		25,000	0.0%
<b>Program Total</b>	<b>300,665</b>	<b>264,677</b>	<b>-12.0%</b>	<b>304,360</b>	<b>295,390</b>	<b>97.1%</b>	<b>11.6%</b>	<b>304,780</b>	<b>0.1%</b>
Amended Budget	458,840	306,180			304,360				
% of Amended Spent	65.5%	86.4%			97.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
General Fund 101 - Taxes	154,319	95,701	-38.0%	158,360	125,390	79.2%	31.0%	134,780	-14.9%
Recreation Fees	146,346	168,976	15.5%	146,000	170,000	116.4%	0.6%	170,000	16.4%
<b>Program Total</b>	<b>300,665</b>	<b>264,677</b>	<b>-12.0%</b>	<b>304,360</b>	<b>295,390</b>	<b>97.1%</b>	<b>11.6%</b>	<b>304,780</b>	<b>0.1%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
<i>Regular Positions</i>									
Recreation Manager	0.00	0.00		0.00	0.00			0.00	
Recreation Supervisor	0.55	0.00		0.00	0.00			0.05	
Senior Maintenance Worker	0.00	0.00		0.00	0.00			0.00	
Recreation Specialist	0.00	0.00		0.00	0.00			0.10	
Recreation Program Coordinator	0.00	0.10		0.10	0.10			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
RS III/Pool Manager	1.10	0.25		0.25	0.25			0.25	
RS II/Senior Lifeguard	1.20	0.00		0.00	0.00			0.00	
RS I/Lifeguard	5.80	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>8.65</b>	<b>0.35</b>	<b>-96.0%</b>	<b>0.35</b>	<b>0.35</b>	<b>100.0%</b>	<b>0.0%</b>	<b>0.40</b>	<b>14.3%</b>

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55430 - Athletics**

Conduct youth and adult sporting events, manage contracts, coordinate league & team scheduling, at the Tracy Ballpark and Tracy Sports Complex.

**PERFORMANCE OBJECTIVES**

1. To conduct an adult softball league with 3 seasons, 75 teams, and 15 leagues, serving 1,200 participants.
2. To conduct our annual Youth Hoops basketball program and increase participation to serve over 275 participants.
3. To conduct a Youth and Adult Flag Football program to serve over 200 participants.
4. To conduct our Jr. Giants youth baseball program with over 500 participants and 75 volunteer coaches.
5. To generate at least \$164,000 in program revenues and recover 97% of program costs.

Program costs and revenues DO NOT cover the costs of sports field maintenance provided by the Public Works Department.

**COMMENTARY**

In FY11-12, program staffing had a decrease in hours; so, program costs showed a major decrease. Costs were down in all categories, but program revenues were up. In FY12-13, program costs and revenues showed major decreases. Staffing costs were down but contracted costs were up.

In FY13-14, program costs will show a major increase. Costs are up in all categories. Program revenues will show a modest increase.

For FY14-15, program staffing will show reductions. The program budget provides for reduced staffing, some increases in internal charges, but maintains current funding for other cost items. Revenues will remain at their current level.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	83,655	47,630	-43.1%	76,410	68,340	89.4%	43.5%	56,970	-25.4%
Contracted Services	29,081	56,120	93.0%	65,010	62,000	95.4%	10.5%	62,510	-3.8%
Commodities	15,580	6,287	-59.6%	7,800	7,000	89.7%	11.3%	9,300	19.2%
Internal Charges	18,544	19,330	4.2%	20,330	20,300	99.9%	5.0%	22,630	11.3%
Other Payments	0	0		0	0			0	
Program Total	146,860	129,367	-11.9%	169,550	157,640	93.0%	21.9%	151,410	-10.7%
Amended Budget	213,290	168,700			169,550				
% of Amended Spent	68.9%	76.7%			93.0%				

**FUNDING SOURCES**

General Fund 101 - Taxes	(35,034)	57,710	-264.7%	85,050	78,640	92.5%	36.3%	72,410	-14.9%
Recreation Fees	181,894	71,657	-60.6%	84,500	79,000	93.5%	10.2%	79,000	-6.5%
Program Total	146,860	129,367	-11.9%	169,550	157,640	93.0%	21.9%	151,410	-10.7%

**PROGRAM STAFFING***Regular Positions*

Recreation Manager	0.00	0.00		0.00	0.00			0.00	
Recreation Supervisor	0.45	0.00		0.00	0.00			0.10	
Recreation Specialist	0.00	0.00		0.00	0.00			0.40	
Recreation Program Coordinator	0.00	0.20		0.20	0.20			0.00	

*Other Staffing (Full-Time Equivalents)*

Recreation Leader III	0.40	0.80		0.80	0.80			0.00	
Recreation Leader II	0.35	0.45		0.45	0.45			0.55	
Recreation Leader I	0.45	0.20		0.20	0.20			0.00	

Total - Full-Time Equivalents	1.65	1.65	0.0%	1.65	1.65	100.0%	0.0%	1.05	-36.4%
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Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55440 - Youth Development**

**PERFORMANCE OBJECTIVES**

Provide recreation activities at school sites before and after school hours during the school year. Provide day camps at schools and on City park sites during the school closures.

1. To offer supervised after school recreational activities at 3 sites during the school year for 18 hours per week, serving 1,000 registrants.
2. To offer at least 2 art and fitness activities to the afterschool program and summer camp.
3. To offer summer camp for 8 weeks serving 30 participants per camp.
4. To generate at least \$155,000 in program revenues and recover 69% of program costs.

**COMMENTARY**

In FY11-12, program staffing was reduced. Program costs showed a minimal decrease, while program revenues showed an increase. In FY12-13, program costs showed a major increase. Staffing costs were up, as were revenues.

In FY13-14, program costs will show a minor decrease. Staffing costs are down, as are revenues.

For FY14-15, supervisory hours will be increased. The program budget provides for the added staffing, some increases in internal charges, but maintains current funding for other cost items. Revenues are projected to increase.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	122,515	142,125	16.0%	165,340	133,180	80.5%	-6.3%	165,280	0.0%
Contracted Services	3,840	4,885	27.2%	11,510	9,100	79.1%	86.3%	10,710	-7.0%
Commodities	10,879	11,220	3.1%	14,660	12,700	86.6%	13.2%	14,660	0.0%
Internal Charges	30,066	30,470	1.3%	31,470	31,000	98.5%	1.7%	34,020	8.1%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>167,300</b>	<b>188,700</b>	<b>12.8%</b>	<b>222,980</b>	<b>185,980</b>	<b>83.4%</b>	<b>-1.4%</b>	<b>224,670</b>	<b>0.8%</b>
Amended Budget	251,620	218,710			222,980				
% of Amended Spent	66.5%	86.3%			83.4%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	45,311	55,183	21.8%	67,980	76,670	112.8%	38.9%	100,670	48.1%
Recreation Fees	121,989	133,517	9.5%	155,000	109,310	70.5%	-18.1%	124,000	-20.0%
Recreation Grant	0	0		0	0			0	
Com Devel Block Gt Fund 269	0	0		0	0			0	
<b>Program Total</b>	<b>167,300</b>	<b>188,700</b>	<b>12.8%</b>	<b>222,980</b>	<b>185,980</b>	<b>83.4%</b>	<b>-1.4%</b>	<b>224,670</b>	<b>0.8%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Recreation Manager	0.10	0.10		0.10	0.10			0.00	
Recreation Supervisor	0.00	0.00		0.00	0.00			0.20	
Recreation Specialist	0.00	0.00		0.00	0.00			0.25	
Recreation Program Coordinator	0.20	0.25		0.25	0.25			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recreation Leader III	0.50	0.50		0.50	0.50			0.50	
Recreation Leader II	2.65	1.25		1.25	1.25			1.25	
Recreation Leader I	1.70	2.15		2.15	2.15			2.15	
<b>Total - Full-Time Equivalents</b>	<b>5.15</b>	<b>4.25</b>	<b>-17.5%</b>	<b>4.25</b>	<b>4.25</b>	<b>100.0%</b>	<b>0.0%</b>	<b>4.35</b>	<b>2.4%</b>

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55450 - Senior Citizens**

Provide recreational, educational, and social service activities for the senior citizen population of the City and its environs. Operate and maintain the City's Senior Center.

**PERFORMANCE OBJECTIVES**

- To operate the Senior Center for 5 days and 30 hours per week, serving on the average 160 participants per day.
- To continue to offer quarterly safety presentation in partnership with the Tracy Fire Department and other community agencies and increase participation by 10%
- To provide recreational and educational programs utilizing the Senior Center Outdoor Recreation Area.
- To offer recreation programs and special events that are successful and generate revenue and increase participation by 20%.
- To generate at least \$21,000 in program revenues and recover 8.4% of program costs.

In FY11-12, program staffing was reduced; but program costs show a moderate increase, although costs were less than budgeted. In FY12-13, program costs again showed a moderate increase. Both staffing and contracted costs were up.

In FY13-14, program costs will show a modest decrease. Staffing costs are expected to show a decrease.

For FY14-15, staffing will reduce supervisory hours but increase program hours. The program budget provides for the revised staffing, some increases in internal charges and some enhancement in other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	146,611	153,835	4.9%	155,270	147,740	95.2%	-4.0%	131,840	-15.1%
Contracted Services	25,924	33,128	27.8%	33,920	31,500	92.9%	-4.9%	48,320	42.5%
Commodities	6,253	5,764	-7.8%	6,210	6,080	97.9%	5.5%	10,710	72.5%
Internal Charges	49,836	53,150	6.6%	55,150	53,000	96.1%	-0.3%	58,950	6.9%
Other Payments	0	0		0	0			0	
Program Total	228,624	245,877	7.5%	250,550	238,320	95.1%	-3.1%	249,820	-0.3%
Amended Budget	237,610	237,660			260,550				
% of Amended Spent	96.2%	103.5%			91.5%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	208,105	224,571	7.9%	229,550	217,320	94.7%	-3.2%	225,820	-1.6%
Recreation Fees	20,519	21,306	3.8%	21,000	21,000	100.0%	-1.4%	24,000	14.3%
Recreation Grants	0	0		0	0			0	
Program Total	228,624	245,877	7.5%	250,550	238,320	95.1%	-3.1%	249,820	-0.3%

<b>PROGRAM STAFFING</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Regular Positions</b>									
Recreation Manager	0.30	0.30		0.30	0.30			0.00	
Recreation Supervisor	0.00	0.00		0.00	0.00			0.25	
Recreation Program Coordinator	0.50	0.30		0.30	0.30			0.00	
<b>Other Staffing (Full-Time Equivalents)</b>									
Recreation Leader III	0.70	0.70		0.70	0.70			0.70	
Recreation Leader II	1.20	1.45		1.45	1.45			1.75	
Clerical	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	2.70	2.75	1.9%	2.75	2.75	100.0%	0.0%	2.70	-1.8%

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55460 - Mayor's Community Youth Support**

**PERFORMANCE OBJECTIVES**

To develop and administer recreational youth activities that focus on the Youth Continuum of Care (Prevention and Intervention), as part of the Mayor's Community Youth Support Network.

1. To continue to offer an Arts Education, Leadership and Recreation program serving over 45 participants twice a week at SAFE.
2. To expose and engage youth in art, leadership and recreation programming by offering on site classes 2 times a week taught by professionals and accessibility to additional off site locations at least once a month.
3. To offer recreation program support at community events to increase community awareness of Parks and Community Services programming
4. To offer a community event that inspires youth to make healthy choices.

**COMMENTARY**

The Mayor's Community Youth Support Network (MCYSN) provides youth services involving prevention, intervention and suppression activities. Program costs generally are less than anticipated. In FY11-12 and FY12-13, program costs were again less than budgeted and showed decreases.

In FY13-14, program costs will show an increase. However, costs will still be less than budgeted.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	6,630	5,376	-18.9%	10,200	7,060	69.2%	31.3%	10,700	4.9%
Contracted Services	3,468	995	-71.3%	1,500	1,460	97.3%	46.7%	1,500	0.0%
Commodities	14	1,559	11035.7%	4,000	3,500	87.5%	124.5%	4,000	0.0%
Internal Charges	9,401	8,240	-12.3%	8,740	8,700	99.5%	5.6%	9,460	8.2%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>19,513</b>	<b>16,170</b>	<b>-17.1%</b>	<b>24,440</b>	<b>20,720</b>	<b>84.8%</b>	<b>28.1%</b>	<b>25,660</b>	<b>5.0%</b>
Amended Budget	102,090	26,360			24,440				
% of Amended Spent	19.1%	61.3%			84.8%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	19,513	16,170	-17.1%	24,440	20,720	84.8%	28.1%	25,660	5.0%
Recreation Fees	0	0		0	0			0	
Recreation Grant	0	0		0	0			0	
<b>Program Total</b>	<b>19,513</b>	<b>16,170</b>	<b>-17.1%</b>	<b>24,440</b>	<b>20,720</b>	<b>84.8%</b>	<b>28.1%</b>	<b>25,660</b>	<b>5.0%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Approved
<i>Regular Positions</i>					
Recreation Supervisor	0.00	0.00	0.00	0.00	0.00
Recreation Specialist	0.00	0.00	0.00	0.00	0.05
Recreation Program Coordinator	0.05	0.05	0.05	0.05	0.00
<i>Other Staffing (Full-Time Equivalents)</i>					
Recreation Leader III	0.00	0.05	0.00	0.05	0.05
Recreation Leader II	1.80	0.10	0.10	0.10	0.10
Recreation Leader I	0.00	0.00	0.05	0.00	0.00
<b>Total - Full-Time Equivalents</b>	<b>1.85</b>	<b>0.20</b>	<b>0.20</b>	<b>0.20</b>	<b>0.20</b>

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55480 - Community Events**

Plan, develop, and coordinate community events that encompass activities for children and adults and increase community pride and involvement.

**PERFORMANCE OBJECTIVES**

1. To increase the attendance at the 2 scheduled Girls Night Out events by 20% and increase sponsorship opportunities for local businesses.
2. To increase attendance at the Summer Block Party Series by 20% which will be held in the new Downtown Plaza at 6th St. and Central Ave.
3. To continue to offer and expand events in partnership with the Tracy City Center Association and the Tracy Chamber of Commerce.
4. To offer one new event and engage over 20 local businesses and 300 community members in Tracy to participate.
5. To continue to offer quality family entertainment at the Movies on the Civic Center Plaza and increase participation by 20%.

**COMMENTARY**

In FY11-12, program costs showed a major increase, due to increased activities in the downtown area. Program staffing showed a minor increase. In FY12-13, program staffing was increased by 0.30 FTEs. Program costs showed a major increase, with staffing costs going over budget.

In FY13-14, program costs will show a minimal increase. While staffing costs are down, other costs are up.

For FY14-15, staffing will increase supervisory hours but reduce other hours. The program budget provides for the revised staffing, some increases in internal charges but maintaining current funding for other cost items.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	102,502	133,461	30.2%	129,100	126,060	97.6%	-5.5%	70,470	-45.4%
Contracted Services	63,928	54,591	-14.6%	78,560	61,000	77.6%	11.7%	78,560	0.0%
Commodities	13,665	20,716	51.6%	24,900	22,300	89.6%	7.6%	24,900	0.0%
Internal Charges	10,687	11,040	3.3%	11,510	11,500	99.9%	4.2%	12,310	7.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>190,782</b>	<b>219,808</b>	<b>15.2%</b>	<b>244,070</b>	<b>220,860</b>	<b>90.5%</b>	<b>0.5%</b>	<b>186,240</b>	<b>-23.7%</b>
Amended Budget	226,300	233,100			244,070				
% of Amended Spent	84.3%	94.3%			90.5%				

<b>FUNDING SOURCES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	171,721	185,712	8.1%	219,070	183,480	83.8%	-1.2%	146,240	-33.2%
Recreation Fees	19,061	34,096		25,000	37,380	149.5%		40,000	60.0%
Capital Project Funds	0	0		0	0			0	
	0	0		0	0			0	
<b>Program Total</b>	<b>190,782</b>	<b>219,808</b>	<b>15.2%</b>	<b>244,070</b>	<b>220,860</b>	<b>90.5%</b>	<b>0.5%</b>	<b>186,240</b>	<b>-23.7%</b>

<b>PROGRAM STAFFING</b>	FY11-12 FTE	FY12-13 FTE	% Cost Change	FY13-14 FTE	FY13-14 FTE	% of Budget	% Cost Change	FY14-15 FTE	% Budget Change
<i>Regular Positions</i>									
Recreation Manager	0.25	0.25		0.25	0.25			0.00	
Recreation Supervisor	0.00	0.00		0.00	0.00			0.20	
Recreation Specialist	0.00	0.00		0.00	0.00			0.50	
Recreation Program Coordinator	0.00	0.40		0.40	0.40			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recreation Leader III	0.85	0.85		0.85	0.85			0.00	
Recreation Leader II	0.50	0.40		0.40	0.40			0.40	
<b>Total - Full-Time Equivalents</b>	<b>1.60</b>	<b>1.90</b>	<b>18.8%</b>	<b>1.90</b>	<b>1.90</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.10</b>	<b>-42.1%</b>

Department: **58300 - City Manager's Office**  
 Division: **55400 - Recreation Division**  
 Program: **55490 - Teen Recreation**

**PERFORMANCE OBJECTIVES**

Provide programs and activities for Tracy teens, including operations of a Teen Center and collaboration with other community youth-serving organizations. Provide support to the Youth Advisory Commission.

1. To offer an on-campus middle school afterschool program with art, recreational activities, tutoring, and physical fitness programs serving at least 40 participants a day.
2. To maintain current participation at capacity at SAFE by offering a leadership component to increase leadership skills and self-esteem.
3. To offer 3 teen summer camps that are recreational and educational that engar teens to live a healthy lifestyle.
4. To increase the Youth Advisory Commission visibility in the community to assist in marketing teen programs to teens in Tracy, and to conduct several service projects in Tracy that benefit the community.

**COMMENTARY**

In FY11-12, program staffing was reduced; and, program costs showed a modest decrease. In FY12-13, program costs showed a major increase, although they were less than budgeted.

In FY13-14, program costs will show a modest decrease. Staffing costs will decrease.

For FY14-15, supervisory hours will be reduced. The program budget provides for reduced staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	64,332	73,268	13.9%	81,840	66,090	80.8%	-9.8%	60,220	-26.4%
Contracted Services	5,527	7,451	34.8%	21,470	8,950	41.7%	20.1%	21,470	0.0%
Commodities	6,417	6,239	-2.8%	9,250	6,940	75.0%	11.2%	9,250	0.0%
Internal Charges	12,937	13,430	3.8%	13,930	13,000	93.3%	-3.2%	14,880	6.8%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>89,213</b>	<b>100,388</b>	<b>12.5%</b>	<b>126,490</b>	<b>94,980</b>	<b>75.1%</b>	<b>-5.4%</b>	<b>105,820</b>	<b>-16.3%</b>
Amended Budget	125,990	118,960			126,490				
% of Amended Spent	70.8%	84.4%			75.1%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	84,078	96,375	14.6%	120,190	87,520	72.8%	-9.2%	98,320	-18.2%
Recreation Fees	5,135	4,013	-21.9%	6,300	7,460	118.4%	85.9%	7,500	19.0%
Recreation Grant	0	0		0	0			0	
<b>Program Total</b>	<b>89,213</b>	<b>100,388</b>	<b>12.5%</b>	<b>126,490</b>	<b>94,980</b>	<b>75.1%</b>	<b>-5.4%</b>	<b>105,820</b>	<b>-16.3%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<i>Regular Positions</i>									
Recreation Manager	0.10	0.10		0.10	0.10			0.00	
Recreation Supervisor	0.00	0.00		0.00	0.00			0.00	
Recreation Specialist	0.00	0.00		0.00	0.00			0.20	
Recreation Program Coordinator	0.25	0.20		0.20	0.20			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Recreation Leader II	1.30	1.30		1.30	1.30			1.30	
<b>Total - Full-Time Equivalents</b>	<b>1.65</b>	<b>1.60</b>	<b>-3.0%</b>	<b>1.60</b>	<b>1.60</b>	<b>100.0%</b>	<b>0.0%</b>	<b>1.50</b>	<b>-6.3%</b>

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Department: **58300 - City Manager's Office**  
 Division: **58370 - Cultural Arts Division**  
 Program: **58371 - Cultural Arts**

**PERFORMANCE OBJECTIVES**

Manage and coordinate the City's cultural arts activities, including the planning and development of a new Cultural Arts Center for the City. Provide staff support to the City's Cultural Arts Commission.

1. To effectively manage business systems of the Division resulting in a high level of internal and external communications.
2. To provide Division level budget oversight, identifying expenditure and revenue trends and goals.
3. To provide a high level of internal and external customer service utilizing staff and users feedback systems.
4. To assess program development in relationship to overall Division-wide goals and facility operational plan.
5. To provide stewardship of the Center.
6. To maintain the Center as a celebrated arts re-development project in historic downtown Tracy.

**COMMENTARY**

In FY11-12, program costs showed a major increase for contracted services and internal charges. In FY12-13, program staffing was increased, and program costs again showed a major increase. Both personnel and contracted costs went up.

In FY13-14, program staffing decrease, and program costs will show a moderate decrease, due to the reallocation of internal charges to other programs

For FY14-15, minor staffing changes are anticipated. The program budget provides for revised staffing, some increases in internal charges, and some reallocation of other costs to other programs.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	84,103	131,795	56.7%	199,790	142,120	71.1%	7.8%	245,530	22.9%
Contracted Services	297,485	369,098	24.1%	384,540	375,000	97.5%	1.6%	349,330	-9.2%
Commodities	25,197	21,780	-13.6%	22,660	22,300	98.4%	2.4%	32,660	44.1%
Internal Charges	153,544	152,140	-0.9%	72,140	72,000	99.8%	-52.7%	76,260	5.7%
Other Payments	8,930	4,580	-48.7%	10,000	10,000	100.0%	118.3%	10,000	0.0%
<b>Program Total</b>	<b>569,259</b>	<b>679,393</b>	<b>19.3%</b>	<b>689,130</b>	<b>621,420</b>	<b>90.2%</b>	<b>-8.5%</b>	<b>713,780</b>	<b>3.6%</b>
Amended Budget	568,470	696,040			666,130				
% of Amended Spent	100.1%	97.6%			93.3%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	561,021	678,147	20.9%	689,130	617,820	89.7%	-8.9%	710,080	3.0%
Cultural Arts Fees	8,238	1,246		0	3,600		188.9%	3,700	
ALA Contribution	0	0		0	0			0	
<b>Program Total</b>	<b>569,259</b>	<b>679,393</b>	<b>19.3%</b>	<b>689,130</b>	<b>621,420</b>	<b>90.2%</b>	<b>-8.5%</b>	<b>713,780</b>	<b>3.6%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b><i>Regular Positions</i></b>									
Division Manager	0.00	0.00		0.00	0.00			0.50	
Box Office Coordinator	0.00	0.00		0.00	0.50			0.50	
Admin Asst II	0.25	0.50		0.50	0.00			0.00	
Theatre Coordinator	0.25	0.50		0.50	0.00			0.00	
Cul Arts Mgr-Visual Arts	0.25	0.40		0.40	0.40			0.10	
Theatre Oper& Tech Asst	0.00	0.25		0.25	0.00			0.00	
<b><i>Other Staffing (Full-Time Equivalents)</i></b>									
Cultural Arts Commissioner	0.10	0.10		0.10	0.10			0.10	
Program Assistant	0.50	0.00		0.00	0.00			0.00	
Clerical	0.00	0.50		0.50	1.00			1.00	
	1.35	2.25	66.7%	2.25	2.00	88.9%	-11.1%	2.20	10.0%

Department: **58300 - City Manager's Office**  
 Division: **58370 - Cultural Arts Division**  
 Program: **58372 - Arts Education**

Manage and provide arts education classes through contracted services at the Grand Theatre, city facilities and local school sites. Classes are offered in visual arts, ceramics, dance, drama, and music.

**PERFORMANCE OBJECTIVES**

1. To annually provide 100's of classes to 1,000's of students.
2. To provide high quality life-long learning opportunities in specialized studio environments.
3. To serve a diverse student population including children, teens, adults, seniors, and the disabled.
4. To compliment and supplement youth arts education in Tracy, in collaboration with the Tracy Unified School District.
5. To emulate the State of California's Visual & Performing Arts (VAPA) Standards within curriculum content.

**COMMENTARY**

In FY11-12, program costs showed a major increase; both staffing and contracted costs were up. In FY12-13, program staffing was increased, but program costs showed a major decrease, with a reduction in contracted services.

In FY13-14, program costs will show a major increase. While personnel costs are down, contracted costs are up. Also, the program started picking up a share of building maintenance charges. Program revenues are down.

For FY14-15, program staffing will show an increase. The program budget provides for the added staffing, some increases in internal charges and contracted services. Revenues are projected to decrease.

<b>PROGRAM EXPENDITURES</b>	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	167,581	188,492	12.5%	160,260	158,270	98.8%	-16.0%	216,280	35.0%
Contracted Services	168,118	100,154	-40.4%	129,520	125,000	96.5%	24.8%	139,530	7.7%
Commodities	16,369	5,346	-67.3%	13,250	12,100	91.3%	126.3%	13,450	1.5%
Internal Charges	0	0		33,000	33,000	100.0%		33,000	0.0%
Other Payments	1,429	0	-100.0%	0	0			0	
<b>Program Total</b>	<b>353,497</b>	<b>293,992</b>	<b>-16.8%</b>	<b>336,030</b>	<b>328,370</b>	<b>97.7%</b>	<b>11.7%</b>	<b>402,260</b>	<b>19.7%</b>
Amended Budget	382,220	344,840			336,030				
% of Amended Spent	92.5%	85.3%			97.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	225,713	184,000	-18.5%	196,030	248,370	126.7%	35.0%	282,260	44.0%
Arts Education Fees	127,784	109,992	-13.9%	140,000	80,000	57.1%	-27.3%	120,000	-14.3%
<b>Program Total</b>	<b>353,497</b>	<b>293,992</b>	<b>-16.8%</b>	<b>336,030</b>	<b>328,370</b>	<b>97.7%</b>	<b>11.7%</b>	<b>402,260</b>	<b>19.7%</b>

**PROGRAM STAFFING***Regular Positions*

Recreation Program Coordinator	0.50	0.00		0.00	0.00			0.00	
Cul Arts Mgr-Visual Arts	0.25	0.25		0.25	0.25			0.35	
Box Office Coordinator	0.00	0.00		0.00	0.25			0.25	
Admin Asst II	0.25	0.25		0.25	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Recreation Leaders	1.00	1.40		1.40	1.40			1.40	
Arts Education Coordinator	0.00	0.75		0.75	0.75			1.00	
Program Assistant	0.00	0.00		0.00	0.00			0.00	

	2.00	2.65	32.5%	2.65	2.65	100.0%	0.0%	3.00	13.2%
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Department: **58300 - City Manager's Office**  
 Division: **58370 - Cultural Arts Division**  
 Program: **58373 - Arts Gallery**

Manage and provide arts exhibitions at the Grand Theatre and other City facilities.

**PERFORMANCE OBJECTIVES**

1. To provide a diverse, professional Exhibition Season in relationship to rank, geography, media and content.
2. To collaborate with Artists, Arts Educators, Arts Organizations, Curators, Collectors and Lenders.
3. To present five exhibitions annually in the Grand Galleries, with opportunities for community inreach and outreach.
4. To operate a curated, co-operative in the Matthews Gallery offering original art, editions and products at low price points.
5. To provide training and mentorship to Gallery Staff, Docents and Interns utilizing the Galleries as a learning environment.

**COMMENTARY**

FY11-12, program costs showed a moderate increase. In FY12-13, program staffing was decreased; but program costs showed a major increase with both personnel and contracted costs were up.

In FY13-14, program costs will show a major decrease. Both personnel and contracted costs are up. Also, the program started picking up a share of building maintenance charges from other programs.

For FY14-15, program staffing will show an increase. The program budget provides for added staffing, some increases in internal charges, but maintains current funding for other cost items.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	69,017	75,905	10.0%	48,940	57,310	117.1%	-24.5%	77,000	57.3%
Contracted Services	12,725	32,737	157.3%	11,230	11,100	98.8%	-66.1%	11,230	0.0%
Commodities	2,988	2,119	-29.1%	1,970	1,900	96.4%	-10.3%	1,970	0.0%
Internal Charges	0	0		20,000	20,000	100.0%		20,000	0.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>84,730</b>	<b>110,761</b>	<b>30.7%</b>	<b>82,140</b>	<b>90,310</b>	<b>109.9%</b>	<b>-18.5%</b>	<b>110,200</b>	<b>34.2%</b>
Amended Budget	80,150	109,787			82,140				
% of Amended Spent	105.7%	100.9%			109.9%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	80,463	104,739	30.2%	80,640	84,000	104.2%	-19.8%	100,850	25.1%
Gallery Fees	4,267	6,022	41.1%	1,500	6,310		4.8%	9,350	
<b>Program Total</b>	<b>84,730</b>	<b>110,761</b>	<b>30.7%</b>	<b>82,140</b>	<b>90,310</b>	<b>109.9%</b>	<b>-18.5%</b>	<b>110,200</b>	<b>34.2%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12	FY12-13	% Change	FY13-14	FY13-14	% of Budget	% Change	FY14-15	% Budget Change
<i>Regular Positions</i>									
Cul Arts Mgr-Visual Arts	0.50	0.35		0.35	0.35			0.55	
<i>Other Staffing (Full-Time Equivalents)</i>									
	0.50	0.35	-30.0%	0.35	0.35	100.0%	0.0%	0.55	57.1%

Department: **58300 - City Manager's Office**  
 Division: **58370 - Cultural Arts Division**  
 Program: **58374 - Theatre Presentations**

Manage and present theatre presentations at the Grand Theatre and other City facilities.

**PERFORMANCE OBJECTIVES**

1. To provide a professional Presenting Season that brands the Center as a quality venue and a Downtown anchor.
2. To increase the audience base for presentations by 10%.
3. To collaborate with other performing arts organizations to strengthen community co-present opportunities.
4. To diversify marketing strategies that will increase attendance and visibility in our region.
5. To increase the number of performances in the season by 25%.

**COMMENTARY**

In FY11-12, program costs showed a modest increase. In FY12-13, program staffing was increased, and program costs showed a major increase. Both staffing and contracted costs were up, as were revenues.

In FY13-14, program staffing was further increased. But, program costs will show a modest decrease. While personnel costs and internal service charges are up contracted costs are down. Program revenues are expected to increase.

For FY14-15, no staffing changes are anticipated. The program budget provides for the current staffing, some increases in internal charges and contracted services. Revenues are projected to increase.

	FY11-12	FY12-13	% Cost	FY13-14	FY13-14	% of	% Cost	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
<b>PROGRAM EXPENDITURES</b>									
Personnel Expenses	37,426	61,455	64.2%	82,010	94,930	115.8%	54.5%	84,900	3.5%
Contracted Services	53,724	188,143	250.2%	127,420	125,670	98.6%	-33.2%	152,420	19.6%
Commodities	11,316	7,007	-38.1%	6,250	8,010	128.2%	14.3%	6,250	0.0%
Internal Charges	0	0		20,000	20,000	100.0%		20,000	0.0%
Other Payments	0	0		0	0			0	
Program Total	102,466	256,605	150.4%	235,680	248,610	105.5%	-3.1%	263,570	11.8%
Amended Budget	97,900	212,660			258,680				
% of Amended Spent	104.7%	120.7%			96.1%				
<b>FUNDING SOURCES</b>									
General Fund 101 - Taxes	56,472	121,070	114.4%	95,680	88,610	92.6%	-26.8%	88,570	-7.4%
Presentation Fees	45,994	135,535	194.7%	140,000	160,000	114.3%	18.1%	175,000	25.0%
Program Total	102,466	256,605	150.4%	235,680	248,610	105.5%	-3.1%	263,570	11.8%
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Theatre Coordinator	0.25	0.25		0.25	0.00			0.00	
Box Office Coordinator	0.00	0.00		0.00	0.25			0.25	
Theatre Oper & Tech Asst	0.00	0.25		0.25	1.00			1.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Program Assistant	0.17	0.30		0.30	0.30			0.30	
	0.42	0.80	90.5%	0.80	1.55	193.8%	93.8%	1.55	0.0%

Department: **58300 - City Manager's Office**  
 Division: **58370 - Cultural Arts Division**  
 Program: **58375 - Theatre Rentals**

**PERFORMANCE OBJECTIVES**

Manage theatre rentals at the Grand Theatre for performance and and community events, when otherwise available for usage.

1. To provide facility usage for community and commercial uses.
2. To increase marketing efforts to attract various types of user and rentals.
3. To provide technical assistance to our clients.
4. To increase commercial rentals by 10%.

**COMMENTARY**

In FY11-12, program costs showed a major increase, particularly for staffing. In FY12-13, program staffing was decreased by 0.13 FTEs. Program costs though show a major increase; but program revenues decreased.

In FY13-14, program costs will show a major increase. Both personnel and contracted costs are up. Also, the program started picking up a share of building maintenance charges from other programs. Revenues are down.

For FY14-15, no staffing changes are anticipated. The program budget provides for current staffing, some increases in internal charges, but maintains current funding for other cost items. Revenues are projected to increase.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	102,850	129,945	26.3%	109,160	115,430	105.7%	-11.2%	113,500	4.0%
Contracted Services	14,934	3,299	-77.9%	12,250	10,470	85.5%	217.4%	12,250	0.0%
Commodities	5,339	5,827	9.1%	5,500	5,320	96.7%	-8.7%	5,500	0.0%
Internal Charges	0	0		30,000	30,000	100.0%		30,000	0.0%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>123,123</b>	<b>139,071</b>	<b>13.0%</b>	<b>156,910</b>	<b>161,220</b>	<b>102.7%</b>	<b>15.9%</b>	<b>161,250</b>	<b>2.8%</b>
Amended Budget	96,670	164,060			156,910				
% of Amended Spent	127.4%	84.8%			102.7%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
General Fund 101 - Taxes	42,859	88,131	105.6%	91,910	126,220	137.3%	43.2%	92,940	1.1%
Rental Fees	80,264	50,940	-36.5%	65,000	35,000	53.8%	-31.3%	68,310	5.1%
<b>Program Total</b>	<b>123,123</b>	<b>139,071</b>		<b>156,910</b>	<b>161,220</b>	<b>102.7%</b>	<b>15.9%</b>	<b>161,250</b>	<b>2.8%</b>

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Approved
<i>Regular Positions</i>					
Theatre Coordinator	0.50	0.25	0.25	0.00	0.00
Theatre Oper & Tech Asst	0.00	0.50	0.50	1.00	1.00
Admin Asst II	0.00	0.25	0.25	0.00	0.00
<i>Other Staffing (Full-Time Equivalent)</i>					
Program Assistant	1.33	0.70	0.70	0.70	0.70
<b>Total</b>	<b>1.83</b>	<b>1.70</b>	<b>1.70</b>	<b>1.70</b>	<b>1.70</b>

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# ADMINISTRATIVE SERVICES DEPARTMENT

formerly the Human Resources and  
Finance Departments

## Mission Statement

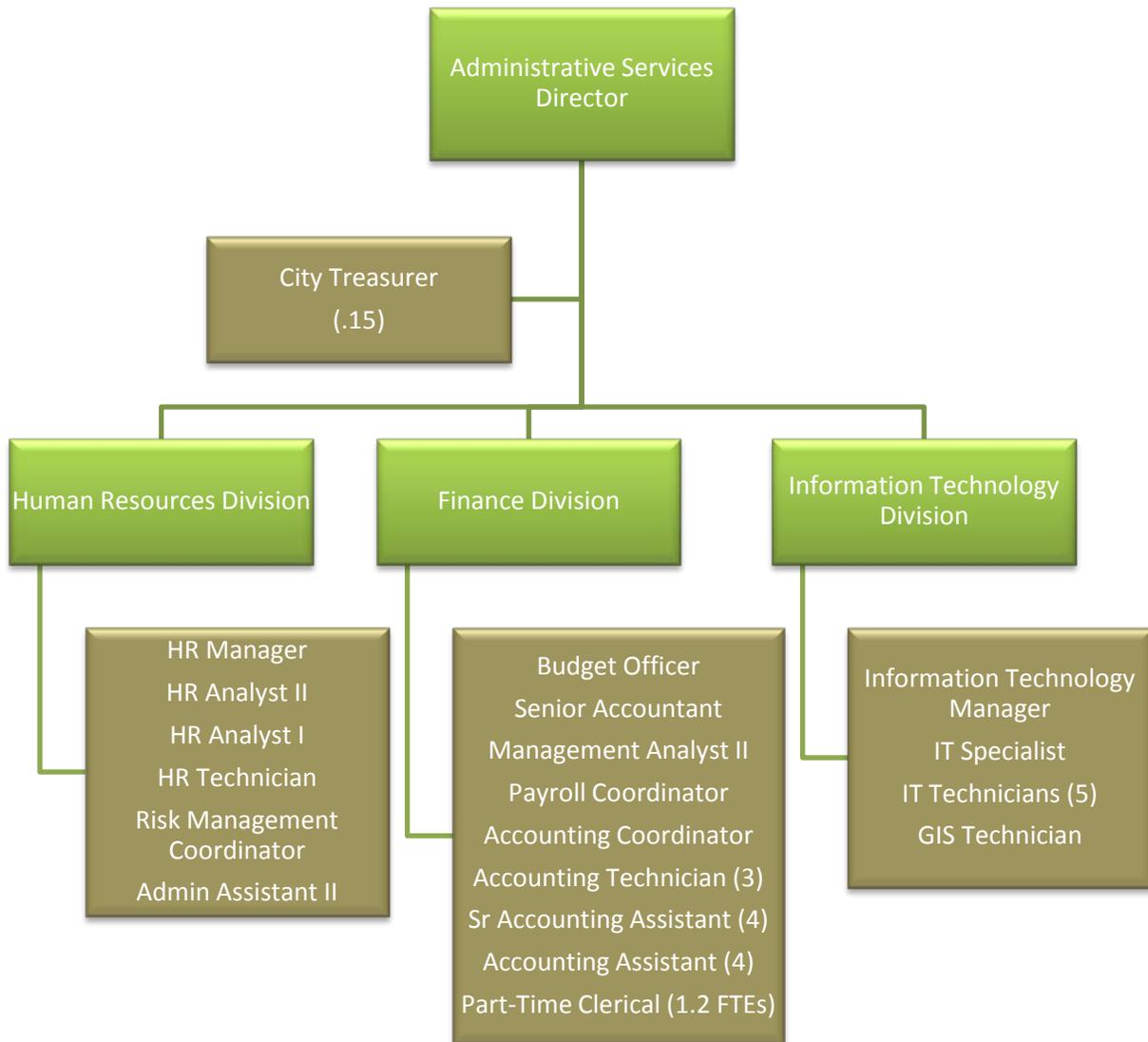
To Ensure the Fiscal Foundation and Information Systems  
Necessary to Deliver Community Services

### **Department Head**

Jenny Haruyama

Administrative Services Director

City of Tracy  
 ADMINISTRATIVE SERVICES DEPARTMENT  
 Fiscal Year 14-15



Department: **58400 - Administrative Services Department**

The **Human Resources Division** provides central personnel, employment, and risk management services for City Departments and employees. The **Finance Division** administers the City's financial system providing financial management, budget coordination, fiscal operations, accounting, and revenue collection services. The **Information Technology Division** provides computer and telecommunications services.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 14.2% over the current year adopted budget, and this represents a 7.8% increase over the FY12-13 amended budget.

The base component of the budget represents a 2.4% increase over current year adopted budget, while budget augmentations will show a 11.5% increase over the base budget.

In FY13-14, departmental staffing lost two full-time regular position, but then added back one. As proposed for FY14-15, departmental staffing will add two full-time regular positions and 0.25 FTEs of a temporary position.

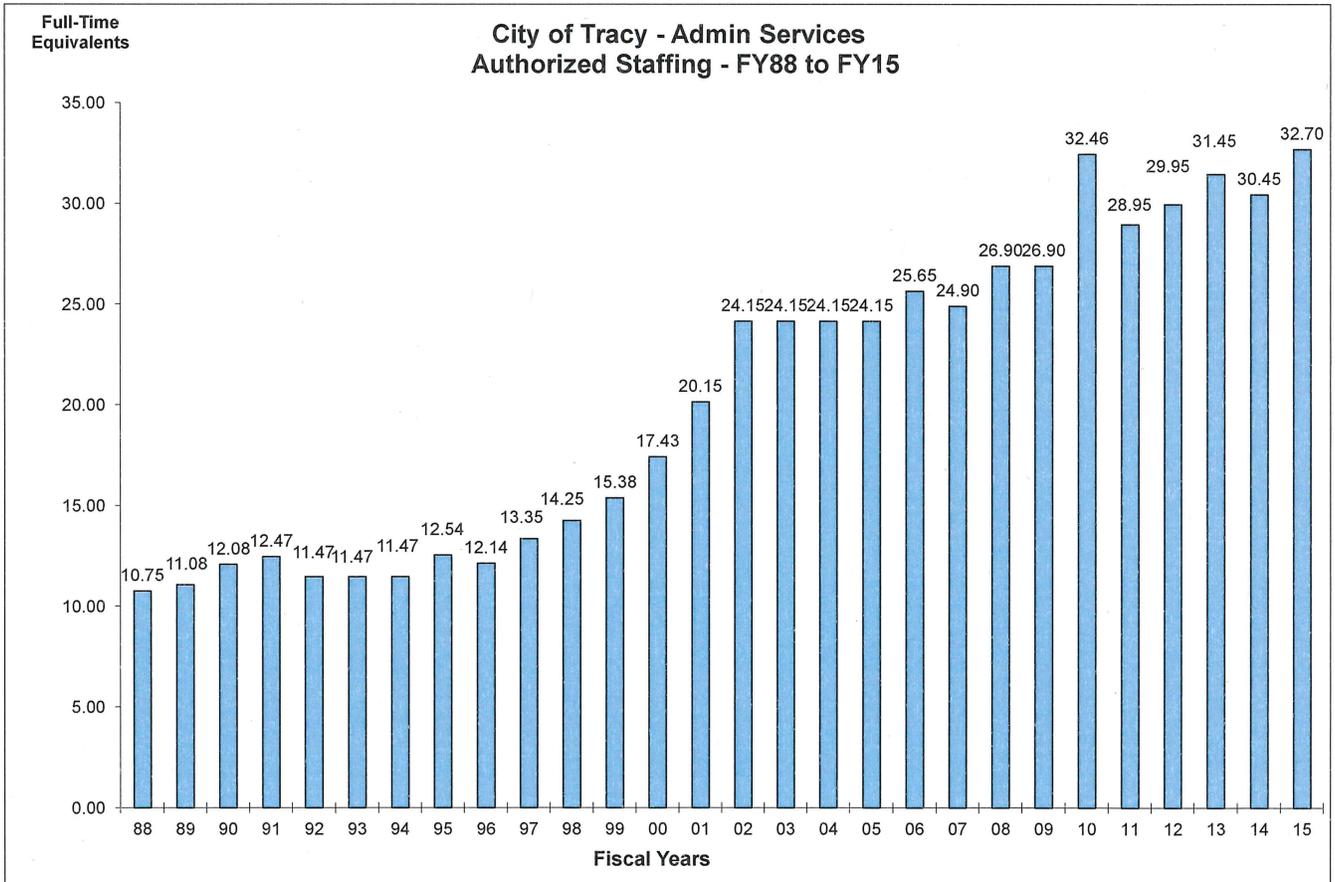
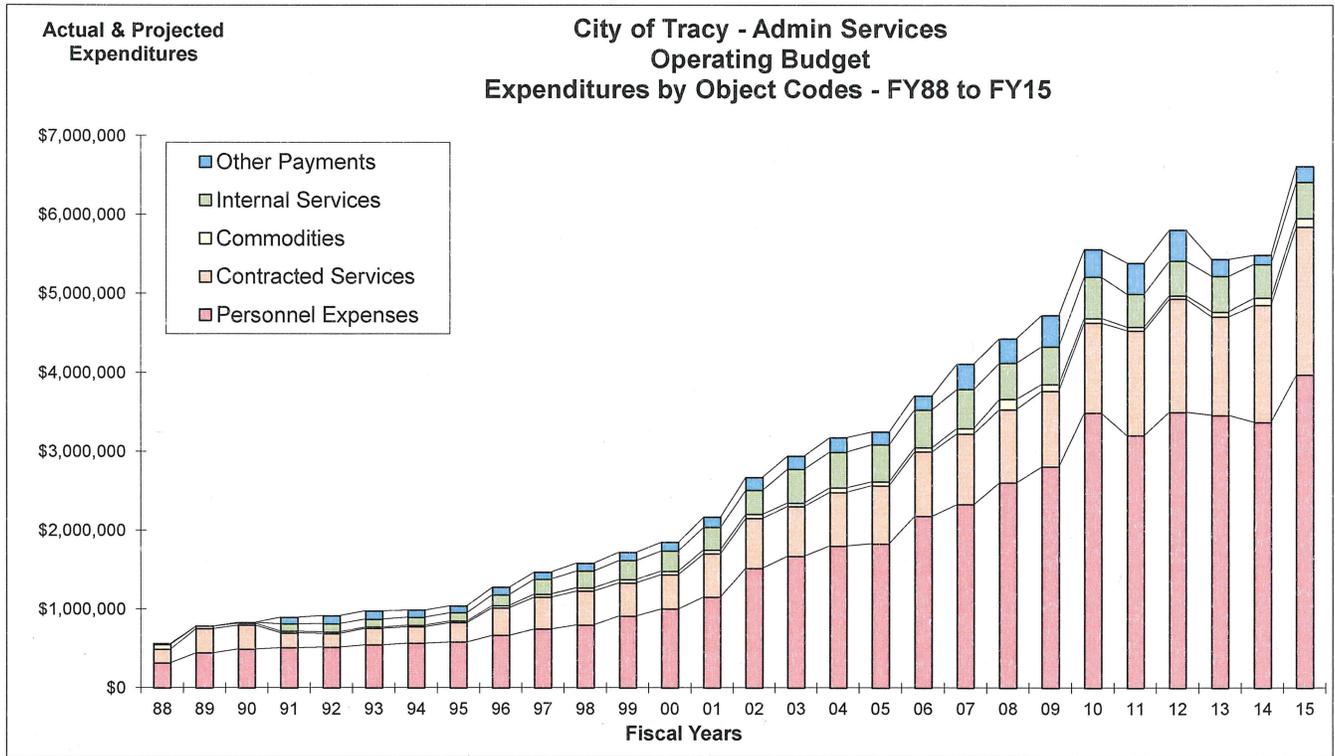
DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
<b>Human Resources Division</b>									
58410 - Human Resources	655,324	552,013	-15.8%	705,350	623,740	88.4%	13.0%	889,170	26.1%
58420 - Risk Management	485,239	458,378	-5.5%	515,570	486,260	94.3%	6.1%	603,340	17.0%
<b>Finance Division</b>									
58710 - Central Services	89,137	93,115	4.5%	102,090	91,580	89.7%	-1.6%	102,900	0.8%
58720 - Cash Management	340,237	302,156	-11.2%	337,670	294,840	87.3%	-2.4%	342,970	1.6%
58730 - Budget Coordination	288,484	340,968	18.2%	334,490	330,610	98.8%	-3.0%	433,370	29.6%
58740 - Fiscal Operations	513,582	418,929	-18.4%	482,070	510,860	106.0%	21.9%	563,690	16.9%
58750 - Accounting Services	311,925	270,097	-13.4%	279,160	274,490	98.3%	1.6%	317,590	13.8%
58760 - Revenue Collection	1,848,134	1,606,534	-13.1%	1,617,330	1,465,040	90.6%	-8.8%	1,753,850	8.4%
<b>Information Technology Division</b>									
58770 - Information Technology	1,272,134	1,390,726	9.3%	1,415,560	1,407,270	99.4%	1.2%	1,604,810	13.4%
Department Total	5,804,196	5,432,916	-6.4%	5,789,290	5,484,690	94.7%	1.0%	6,611,690	14.2%
Amended Budget	5,961,750	6,135,754	2.9%		5,922,860		-3.5%	over 2 years	7.8%
% of Amended Spent	97.36%	88.55%			92.60%				
						Base Budget >>		5,928,360	2.4%
						Augmentations >>		683,330	11.5%

Department: **58400 - Administrative Services Department (Continued)**

<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Cost Change</b>	<b>FY13-14 \$ Adopted</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Cost Change</b>	<b>FY14-15 \$ Approved</b>	<b>% Budget Change</b>
Personnel Expenses	3,501,434	3,460,298	-1.2%	3,391,900	3,370,700	99.4%	-2.6%	3,972,920	17.1%
Contracted Services	1,448,778	1,248,467	-13.8%	1,666,460	1,479,550	88.8%	18.5%	1,871,460	12.3%
Commodities	49,101	62,777	27.9%	102,600	92,290	90.0%	47.0%	106,350	3.7%
Internal Charges	413,268	447,274	8.2%	428,330	426,600	99.6%	-4.6%	460,960	7.6%
Other Payments	391,615	214,100	-45.3%	200,000	115,550	57.8%	-46.0%	200,000	0.0%
<b>Department Total</b>	<b>5,804,196</b>	<b>5,432,916</b>	<b>-6.4%</b>	<b>5,789,290</b>	<b>5,484,690</b>	<b>94.7%</b>	<b>1.0%</b>	<b>6,611,690</b>	<b>14.2%</b>

<b>DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES</b>	<b>FY11-12 \$ Actual</b>	<b>FY12-13 \$ Actual</b>	<b>% Cost Change</b>	<b>FY13-14 \$ Adopted</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Cost Change</b>	<b>FY14-15 \$ Approved</b>	<b>% Budget Change</b>
General Fund 101	2,920,034	2,617,264	-10.4%	2,646,870	2,664,580	100.7%	1.8%	3,392,440	28.2%
Com Devel Block Gt Fund 26x	0	0		0	0			0	
Landscaping Districts Fund 271	0	38,350		35,000	35,000	100.0%	-8.7%	35,800	2.3%
CDA & Suc Ag Project Fund 3xx	0	0		0	0			0	
Water Fund 511	525,230	395,690	-24.7%	542,400	400,000	73.7%	1.1%	457,200	-15.7%
Wastewater Fund 521	236,510	187,760	-20.6%	239,700	180,000	75.1%	-4.1%	221,500	-7.6%
Solid Waste Fund 531	266,110	227,110	-14.7%	275,500	210,000	76.2%	-7.5%	229,700	-16.6%
Drainage Fund 541	9,800	24,570	150.7%	16,600	10,000	60.2%	-59.3%	24,000	44.6%
Central Services Fund 602	1,361,273	1,483,841	9.0%	1,517,650	1,498,850	98.8%	1.0%	1,647,710	8.6%
Self Insurance Fund 627	485,239	458,331	-5.5%	515,570	486,260	94.3%	6.1%	603,340	17.0%
<b>Department Total</b>	<b>5,804,196</b>	<b>5,432,916</b>	<b>-6.4%</b>	<b>5,789,290</b>	<b>5,484,690</b>	<b>94.7%</b>	<b>1.0%</b>	<b>6,611,690</b>	<b>14.2%</b>

<b>DEPARTMENTAL STAFFING</b>	<b>FY11-12 Approved</b>	<b>FY12-13 \$ Actual</b>	<b>% Change</b>	<b>FY13-14 \$ Adopted</b>	<b>FY13-14 \$ Estimated</b>	<b>% of Budget</b>	<b>% Change</b>	<b>FY14-15 \$ Approved</b>	<b>% Change</b>
<i>Elected Officials</i>									
City Treasurer	[ 1.00 ]	[ 1.00 ]		[ 1.00 ]	[ 1.00 ]			[ 1.00 ]	
<i>Regular Positions</i>									
Directors	2.00	2.00		1.00	1.00			1.00	
HR Professionals	2.00	3.00		3.00	3.00			4.00	
Finance Professionals	2.00	3.00		3.00	3.00			3.00	
Technicians & Clerks	16.00	15.00		14.00	15.00			15.00	
Information Technology	7.00	7.00		7.00	7.00			8.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Elected Officials	0.15	0.15		0.15	0.15			0.15	
Temp Clerk/Intern	0.80	1.30		1.30	1.30			1.55	
<b>Total - Full Time Equivalents</b>	<b>29.95</b>	<b>31.45</b>	<b>5.0%</b>	<b>29.45</b>	<b>30.45</b>	<b>103.4%</b>	<b>-3.2%</b>	<b>32.70</b>	<b>7.4%</b>



# Budget Narrative – Administrative Services Department - Human Resources Division

Recent Budget Changes

*FY 10-11*

- Departmental staffing was reduced by 1 full-time regular and 0.71 FTEs in other staffing.
- Reduction in base budget of \$371,910 or 23.5% compared to FY09-10 Adopted Budget.

*FY 11-12*

- Decrease in base budget of \$23,000 or 1.9% from FY10-11 Adopted Budget. This decrease is in personnel expenses; other costs were held at the FY10-11 base level.
- No budget augmentations.
- No change in departmental staffing.

*FY 12-13*

- The department will become a division in the new Administrative Services Department.
- Increase in base budget of \$70,000 or 5.8% over the FY11-12 adopted budget.
- The new Director will have to spend part of her time overseeing and supporting a larger department.
- Division staffing will show only a 0.50 FTE reduction for the year.
- For the year, the department will show a savings of \$107,920.
- No budget augmentations.

*FY 13-14*

- Base budget increase of \$19,480 or 1.6%.
- Budget augmentation of \$23,360 primarily for contracted services.
- The Director will have to spend more time overseeing other programs of the Department, .50 FTE will be lost from the divisional staffing.

Proposed Budget Changes for FY 14-15

- Increase in base budget of \$24,960 or 2.0%.
- Budget augmentation of \$244,630.
- Division staffing will add new Risk Management Coordinator.
- \$100,000 increase in professional services costs.

Major Non-Personnel Expenses	FY11-12	%Change	FY12-13	%Change	FY13-14	%Change	FY14-15
Human Resources Advertising	\$20,000	0.00%	\$20,000	0.00%	\$20,000	0.00%	\$20,000
Human Resources Consultants	175,440	0.00%	175,440	3.90%	182,280	55.00%	282,380
Human Resources Training	64,000	0.00%	64,000	0.00%	64,000	26.00%	80,500
Risk Management Insurance Premium*1	2,722,500	0.00%	2,722,500	10.1%	2,998,300	8.00%	3,240,000
Claims and Litigation*2	506,000	0.00%	506,000	19.8%	606,000	16.00%	706,000
Equipment Acquisition	\$0		\$0		\$0		\$0
Equipment Replacement	\$0		\$0		\$0		\$0

\*1 Budgeted Separately. See Program 59410

\*2 Budgeted Separately. See Program 59420

## Budget Narrative - Administrative Services Department - Finance & IT Divisions

### Recent Budget Changes

#### *FY 10-11*

- Departmental staffing reduced by 1.95 FTEs in other staffing. While one full-time regular position was deleted, another was added.
- Reduction in base budget of \$453,760 or 9.5% compared to FY09-10 Adopted Budget.
- Budget augmentations were \$57,000 for software licensing and \$55,820 to convert a part-time position to full-time for the new GIS system.

#### *FY 11-12*

- Decrease in base budget of \$99,480 or 2.2% from FY10-11 Adopted Budget. This decrease is in personnel expenses; other costs were held at the FY10-11 base level.
- Budget augmentations of \$329,650 included \$95,250 for a new position, \$100,000 for sales tax auditing, \$79,000 for GIS software, and \$55,400 for other software licenses and maintenance.
- Departmental staffing added one full-time regular position in the Information Systems Division for the Police CAD/RMS development.
- During the year, added 3 over hire positions in anticipation of upcoming retirements. These were a Senior Accountant and 2 clerical positions.

#### *FY 12-13*

- The department will become part of the new Administrative Services Department, as the Finance Division and the Information Technology Division.
- Increase in base budget of \$118,050 or 2.5% over the adopted budget for FY11-12.

#### *FY 12-13 Continued*

- Three retirements are anticipated in June 2012.
- The Senior Accountant will replace the Accounting Officer.
- The current Finance Director will remain with the City through January 2013. This will result in a half-year over hire.
- Departmental staffing will add a Management Analyst position being transferred over from Public Works.

#### *FY 13-14*

- Base budget decrease of \$365,210 or 7.4%. Decrease in personnel costs and tax administration fees.
- Budget augmentations of \$59,900 for contracted services fee to Information Technology Division and \$20,000 for audit costs in Finance Division.
- Division staffing will lose 2.00 FTEs due to retirements: the former Finance Director and a Payroll Technician.

### Proposed Budget Changes for FY 14-15

- Increase in base budget of \$115,110 or 2.5, primarily personnel expenses.
- Budget augmentations of \$436,700.
- Division staffing will add an Accounting Coordinator for development and a GIS Technician. Also, added temporary hours and overtime added for implementation of the new financial software.
- \$45,000 increase for a cost of service study.
- \$37,200 increase for IT contracted service costs.

Major Non-Personnel Expenses	FY 12-13	%Change	FY13-14	%Change	FY14-15
Citywide Systems Maintenance	\$258,450	18.70%	\$306,850	9.80%	\$336,850
Finance Systems Maintenance	83,740	0.00%	83,740	17.60%	98,540
Data/Telecom Lines	139,990	29.60%	181,490	4.00%	188,690
Treasurer's Investment Fees	256,000	0.00%	256,000	0.00%	256,000
Property Tax Collection Fee	400,000	-50.0%	200,000	0.00%	200,000
Citywide Postage	45,000	-2.20%	44,000	-5.20%	41,700
Audit Fees	58,640	0.00%	75,140	20.00%	90,140
Utilities Billing Services	134,860	0.00%	134,860	-11.30%	119,660
Other Financial Services	144,100	-3.10%	139,600	-7.20%	129,600
Equipment Acquisition	\$0		\$0		\$0
Equipment Replacement	\$52,500		\$0		\$0

58700 - Administrative Services Historical Budget Increments, Augmentations, and Usage

Department Budget By Object	Prior Year Adopted Budgets	<<<< Base Increment & Augmentations >>>>					New Year Adopted Budgets		Actual Budget Use	
		Base Increment	% Change	\$ Base Budget	\$ Budget Augments	% Base	FY11-12 \$ Budget	% Change	FY11-12 \$ Actual	% Used
<b>FY11-12 Budget &gt;&gt;</b>	<b>FY10-11 \$ Budget</b>									
Personnel Expenses	2,634,260	-99,480	-3.8%	2,534,780	95,250	3.8%	2,630,030	-0.2%	3,501,434	133.1%
Contracted Services	1,015,380	0	0.0%	1,015,380	234,400	23.1%	1,249,780	23.1%	1,448,778	115.9%
Commodities	76,250	0	0.0%	76,250	0	0.0%	76,250	0.0%	49,101	64.4%
Internal Charges	348,040	0	0.0%	348,040	0	0.0%	348,040	0.0%	413,268	118.7%
Other Payments	356,000	0	0.0%	356,000	0	0.0%	356,000	0.0%	391,615	110.0%
<b>Department Total</b>	<b>4,429,930</b>	<b>-99,480</b>	<b>-2.2%</b>	<b>4,330,450</b>	<b>329,650</b>	<b>7.6%</b>	<b>4,660,100</b>	<b>5.2%</b>	<b>5,804,196</b>	<b>124.6%</b>
<b>FY12-13 Budget &gt;&gt;</b>	<b>FY11-12 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY12-13 \$ Budget</b>	<b>% Change</b>	<b>FY12-13 \$ Actual</b>	<b>% Used</b>
Personnel Expenses	2,630,030	237,010	9.0%	2,867,040	738,890	25.8%	3,605,930	37.1%	3,460,298	96.0%
Contracted Services	1,249,780	-22,500	-1.8%	1,227,280	307,330	25.0%	1,534,610	22.8%	1,248,467	81.4%
Commodities	76,250	-19,000	-24.9%	57,250	43,940	76.8%	101,190	32.7%	62,777	62.0%
Internal Charges	348,040	0	0.0%	348,040	91,990	26.4%	440,030	26.4%	447,274	101.6%
Other Payments	356,000	0	0.0%	356,000	44,000	12.4%	400,000	12.4%	214,100	53.5%
<b>Department Total</b>	<b>4,660,100</b>	<b>195,510</b>	<b>4.2%</b>	<b>4,855,610</b>	<b>1,226,150</b>	<b>25.3%</b>	<b>6,081,760</b>	<b>30.5%</b>	<b>5,432,916</b>	<b>89.3%</b>
<b>FY13-14 Budget &gt;&gt;</b>	<b>FY12-13 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY13-14 \$ Budget</b>	<b>% Change</b>	<b>FY13-14 \$ Estimated</b>	<b>% Used</b>
Personnel Expenses	3,605,930	-214,030	-5.9%	3,391,900	0	0.0%	3,391,900	-5.9%	3,370,700	99.4%
Contracted Services	1,534,610	0	0.0%	1,534,610	131,850	8.6%	1,666,460	8.6%	1,479,550	88.8%
Commodities	101,190	0	0.0%	101,190	1,410	1.4%	102,600	1.4%	92,290	90.0%
Internal Charges	440,030	-11,700	-2.7%	428,330	0	0.0%	428,330	-2.7%	426,600	99.6%
Other Payments	400,000	-120,000	-30.0%	280,000	-80,000	-28.6%	200,000	-50.0%	115,550	57.8%
<b>Department Total</b>	<b>6,081,760</b>	<b>-345,730</b>	<b>-5.7%</b>	<b>5,736,030</b>	<b>53,260</b>	<b>0.9%</b>	<b>5,789,290</b>	<b>-4.8%</b>	<b>5,484,690</b>	<b>94.7%</b>
<b>FY14-15 Adopted Budget &gt;&gt;</b>	<b>FY13-14 \$ Budget</b>	<b>Base Increment</b>	<b>% Change</b>	<b>\$ Base Budget</b>	<b>\$ Budget Augments</b>	<b>% Change</b>	<b>FY14-15 \$ Budget</b>	<b>% Change</b>	Human Resources Programs with \$1,208,490 added to Department for FY12-13	
Personnel Expenses	3,391,900	126,650	3.7%	3,518,550	454,370	12.9%	3,972,920	17.1%		
Contracted Services	1,666,460	-2,500	-0.2%	1,663,960	207,500	12.5%	1,871,460	12.3%		
Commodities	102,600	0	0.0%	102,600	3,750	3.7%	106,350	3.7%		
Internal Charges	428,330	14,920	3.5%	443,250	17,710	4.0%	460,960	7.6%		
Other Payments	200,000	0	0.0%	200,000	0	0.0%	200,000	0.0%		
<b>Department Total</b>	<b>5,789,290</b>	<b>139,070</b>	<b>2.4%</b>	<b>5,928,360</b>	<b>683,330</b>	<b>11.5%</b>	<b>6,611,690</b>	<b>14.2%</b>		

Notes:

1. The Base Increment for personnel expenses represents annual **salary/benefit adjustments**.
2. The Base Increment for other objects represents the annual **Cost Adjustments** for inflation and usage.
3. Base Augments for personnel expenses represent the costs of **New Staffing** for the department or added overtime or temporary hours.
4. Base Augments for other objects represent **Operational Enhancements** for program expansion, work improvement, and new activities.

## 58400 - Administrative Services Department

Department Budget By Program	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	% Change	FY14-15 \$ Base Budget	% Change	FY14-15 \$ Bud Augment	% over Base
58410 - Human Resources	655,324	552,013	-15.8%	705,350	27.8%	718,000	1.8%	171,170	23.8%
58420 - Risk Management	485,239	458,378	-5.5%	515,570	12.5%	527,880	2.4%	75,460	14.3%
58710 - Central Services	89,137	93,115	4.5%	102,090	9.6%	102,900	0.8%	0	0.0%
58720 - Cash Management	340,237	302,156	-11.2%	337,670	11.8%	342,240	1.4%	730	0.2%
58730 - Budget Coordination	288,484	340,968	18.2%	334,490	-1.9%	344,490	3.0%	88,880	25.8%
58740 - Fiscal Operations	513,582	418,929	-18.4%	482,070	15.1%	496,840	3.1%	66,850	13.5%
58750 - Accounting Services	311,925	270,097	-13.4%	279,160	3.4%	285,750	2.4%	31,840	11.1%
58760 - Revenue Collection	1,848,134	1,606,534	-13.1%	1,617,330	0.7%	1,668,950	3.2%	84,900	5.1%
58770 - Information Technology	1,272,134	1,390,726	9.3%	1,415,560	1.8%	1,441,310	1.8%	163,500	11.3%
<b>Department Total</b>	<b>5,804,196</b>	<b>5,432,916</b>	<b>-6.4%</b>	<b>5,789,290</b>	<b>6.6%</b>	<b>5,928,360</b>	<b>2.4%</b>	<b>683,330</b>	<b>11.5%</b>
<b>Department Budget by Object</b>									
Personnel Expenses	3,501,434	3,460,298	-1.2%	3,391,900	-2.0%	3,518,550	3.7%	454,370	12.9%
Contracted Services	1,448,778	1,248,467	-13.8%	1,666,460	33.5%	1,663,960	-0.2%	207,500	12.5%
Commodities	49,101	62,777	27.9%	102,600	63.4%	102,600	0.0%	3,750	3.7%
Internal Charges	413,268	447,274	8.2%	428,330	-4.2%	443,250	3.5%	17,710	4.0%
Other Payments	391,615	214,100	-45.3%	200,000	-6.6%	200,000	0.0%	0	0.0%
<b>Department Total</b>	<b>5,804,196</b>	<b>5,432,916</b>	<b>-6.4%</b>	<b>5,789,290</b>	<b>6.6%</b>	<b>5,928,360</b>	<b>2.4%</b>	<b>683,330</b>	<b>11.5%</b>
<b>Department Budget by Funding Source</b>									
General Fund 101	2,920,034	2,617,264	-10.4%	2,646,870	1.1%	3,000,470	13.4%	391,970	13.1%
Landscaping Districts Fund 271	0	0		35,000		35,800	2.3%	0	0.0%
Water Fund 511	525,230	395,690	-24.7%	542,400	37.1%	410,000	-24.4%	47,200	11.5%
Wastewater Fund 521	236,510	187,760	-20.6%	239,700	27.7%	184,000	-23.2%	37,500	20.4%
Solid Waste Fund 531	266,110	227,110	-14.7%	275,500	21.3%	215,000	-22.0%	14,700	6.8%
Drainage Fund 541	9,800	24,570	150.7%	16,600	-32.4%	11,000	-33.7%	13,000	118.2%
Central Services Fund 602	1,361,273	1,483,841	9.0%	1,517,650	2.3%	1,544,210	1.8%	103,500	6.7%
Self Insurance Fund 627	485,239	458,331	-5.5%	515,570	12.5%	527,880	2.4%	75,460	14.3%
<b>Department Total</b>	<b>5,804,196</b>	<b>5,394,566</b>	<b>-7.1%</b>	<b>5,789,290</b>	<b>7.3%</b>	<b>5,928,360</b>	<b>2.4%</b>	<b>683,330</b>	<b>11.5%</b>
<b>Department Staffing</b>									
Total - Full Time Equivalent:	29.95	31.45	5.0%	29.45	-6.4%	29.45	0.0%	3.25	11.0%
<b>Department Equipment Purchase</b>									
Replacement Equipment	16,120	2,394	-85.1%	61,700		50,000	-19.0%	20,000	40.0%
New Equipment	5,156	0	-100.0%	0		0		0	

## Administrative Services Department – Human Resources Division

### DIVISION ACCOMPLISHMENTS AND PROJECTIONS

#### Past Accomplishments: FY 12-13

1. Complete merger of Finance and Administrative Services and Human Resources Departments into three (3) Divisions in an Administrative Services Department. The new department will consist of Finance, Information Technology, and Human Resources. Continue evaluation of streamlining opportunities where possible.

In August 2012, the merger of Finance and Administrative Services and Human Resources departments was completed with the hiring of the new Administrative Services Director. The Administrative Services Director has spent the last few months evaluating each of the divisions and continues to work with staff to identify opportunities to streamline processes.

2. Develop and implement the Talent Development dimension in the City's performance evaluation form.

During FY11-12, the Workforce Readiness Initiative's (WRI) Leadership Development Team Subcommittee worked toward incorporating a Talent Development dimension into the City's Performance Evaluation form. Hailed as one of the successful outcomes of WRI, the City provided workshops for managers related to coaching, mentoring, and communication skills. This was done to better equip managers to proactively develop and grow talent within their respective units. This proactive, self-initiated input by managers, toward the professional development of employees, was then addressed as part of the revised evaluation tool. The evaluation tool will further augment the connection to the City's Mission, Vision and Values. This form will be in use by all City departments by July 1, 2013.

3. Develop training curriculum for Leadership Academy in conjunction with Leadership Development Team. In January 2013, personnel witnessed the inauguration of the City's Tracy Performance Institute (TPI); the commitment and endorsement by the City to develop talent within the organization. TPI will offer 11 workshops on various topics in 2013 and there will be a repeat of those workshops in 2014 to allow those pursuing certification an opportunity to attend all workshops.

4. Continue further implementation of additional modules in the web based application software to further increase efficiency.

Implementation of additional modules has been delayed due to staffing priorities. With continued use of the web based application software by staff, it was suggested that the priority be redirected to provide staff with additional formalized training on current modules to maximize efficiency and use of current modules. In FY13-14 specialized training was provided to some staff members and division wide training is currently ongoing.

#### Current Projections: FY 13-14

1. Research and offer three (3) seminars in professional development with an emphasis on resume writing, interviewing skills and providing employees with tools and techniques to better demonstrate their preparedness for advancement.

Due to a shift in Division priorities and workload, these seminars have been temporarily tabled and timelines will be reviewed at a later date.

2. Implementation of new performance evaluation form to streamline the process of annual employee evaluations for supervisors.

The Talent Development dimension has been fully implemented and is available on the City's intranet: <http://iwww.ci.tracy.ca.us>, under "Documents/Human Resources." This evaluation tool further augments the connection to the City's Mission, Vision and Values.

3. Continue formalized staff training in web based application software to further increase efficiency and continually improve recruitment timelines.

In October 2013 staff attended targeted training on the web based application to further utilize software modules and automate and streamline recruitment processes. In-house and web-based training of modules is ongoing.

Future Projections: FY 14-15

1. Complete labor negotiations to secure new collective bargaining agreements or Compensation and Benefits plans for 12 employee group.
2. Update and distribute five Administrative Policies and Procedures to employees.
3. Conduct risk Review assessment to determine most cost effective provider for Workers Compensation & Liability Insurance programs.

## CORE MEASURES and Supporting Data for Human Resources Programs

from

ICMA-CPM Data Templates

	FY10-11	FY11-12	FY12-13	%	FY13-14	FY13-14	%	FY14-15	%
	Actual	Actual	Actual	Change	Projected	Estimate	Change	Projected	Change
Resident Population	83,242	83,562	84,466	1.08%	84,500	85,146	0.81%	86,400	1.47%
Area Served (Square Miles)	23.0	23.0	26.3	14.35%	23.0	29.1	10.65%	29.1	0.00%
<b>Authorized Staffing (Full-Time Equivalants)</b>									
Full-time Regular Employees	427.00	429.00	414.00	-3.50%	413.00	414.00	0.00%	420.00	1.45%
Other Staffing FTEs	45.64	41.34	33.95	-17.88%	33.41	34.41	1.35%	35.26	2.47%
Total	472.64	470.34	447.95	-4.76%	446.41	448.41	0.10%	455.26	1.53%
<b>Total Personnel Costs (\$1,000)</b>	\$56,223	\$58,707	\$58,307	-0.68%	\$60,240	\$57,888	-0.72%	\$63,541	9.76%
Personnel Costs/FTE	\$118,955	\$124,818	\$130,164	4.28%	\$134,943	\$129,097	-0.82%	\$139,571	8.11%
Personnel Costs/Capita	\$675.42	\$702.56	\$690.30	-1.74%	\$712.90	\$679.87	-1.51%	\$735.43	8.17%
<b>Human Resources Program</b>									
<b>Program Costs (\$1,000)</b>	\$639	\$655	\$552	-15.76%	\$705	\$624	12.99%	\$889	42.56%
Program Costs/FTE	\$1,352	\$1,393	\$1,232	-11.55%	\$1,579	\$1,391	12.87%	\$1,953	40.42%
Program Costs/Capita	\$7.68	\$7.84	\$6.54	-16.67%	\$8.34	\$7.33	12.09%	\$10.29	40.49%
<b>Risk Management Program</b>									
<b>Program Costs (\$1,000)</b>	\$3,479	\$3,452	\$3,902	13.04%	\$4,120	\$3,675	-5.83%	\$4,549	23.81%
Program Costs/FTE	\$7,361	\$7,339	\$8,711	18.69%	\$9,229	\$8,195	-5.93%	\$9,993	21.94%
Program Costs/Capita	\$41.79	\$41.31	\$46.20	11.83%	\$48.76	\$43.16	-6.58%	\$52.65	22.01%
<b>Worker' Compensation Claims</b>		34	34		33			33	
WC Claims/FTE		0.07	0.08		0.07			0.07	
<b>General Liability Claims</b>		54	61		75			75	
GL Claims/FTE		0.11	0.14		0.17			0.16	
GL Claims/10,000 Population		6.5	7.2		8.9			8.7	
Average Days to Settlement		239	159		165			165	

Department: 58700 - Administrative Services  
 Program: 58410 - Human Resources

**PERFORMANCE OBJECTIVES**

Provide central personnel services including compensation review, recruitment, examinations, transaction processing, employee development, and records maintenance.

1. To provide support to City departments for 419 full-time regular positions and for 35.26 FTEs of other staffing.
2. To perform progressive outreach and timely recruitments that assure a well-qualified and diverse City workforce.
3. To provide leadership and expertise in identifying, evaluating, and resolving departmental personnel issues.
4. To enhance a variety of training opportunities at all levels of the organization and continue City-wide high performance training.
5. To implement a City wellness program that promotes employee health and well-being.

**COMMENTARY**

In FY11-12, program costs showed a modest increase. In FY12-13, program costs will show a major decrease, due to staff changes and lower contract costs.

In FY13-14, program costs will show a major increase. Costs will be up in all categories.

In FY14-15, program staffing will add 0.20 FTEs. The program budget provides for the added staffing and increases in other cost items. The budget includes \$20,000 for advertising, \$300,600 for legal and professional services, and \$10,000 for system implementation.

	FY11-12	FY12-13	% Costs	FY13-14	FY13-14	% of	% Costs	FY14-15	% Budget
<u>PROGRAM EXPENDITURES</u>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	358,345	315,614	-11.9%	350,600	335,710	95.8%	6.4%	395,700	12.9%
Contracted Services	228,058	154,086	-32.4%	259,840	195,630	75.3%	27.0%	386,640	48.8%
Commodities	5,297	18,693	252.9%	25,290	22,800	90.2%	22.0%	28,290	11.9%
Internal Charges	63,624	63,620	0.0%	69,620	69,600	100.0%	9.4%	78,540	12.8%
Other Payments	0	0		0	0			0	
Program Total	655,324	552,013	-15.8%	705,350	623,740	88.4%	13.0%	889,170	26.1%
Amended Budget	742,620	725,088			708,040				
% of Amended Spent	88.2%	76.1%			88.1%				

**FUNDING SOURCES**

Central Admin Fund 125	655,324	552,013	-15.8%	705,350	623,740	88.4%	13.0%	889,170	26.1%
Program Total	655,324	552,013	-15.8%	705,350	623,740	88.4%	13.0%	889,170	26.1%

**PROGRAM STAFFING**

*Regular Positions*

Admin Services Director	0.50	0.50		0.25	0.25			0.25	
Human Resources Manager	0.00	0.00		0.00	0.00			0.60	
Senior Human Resources Analyst	0.40	0.40		0.40	0.40			0.00	
Human Resources Analyst	0.30	0.80		0.80	0.80			0.80	
Human Resources Technician	0.80	0.75		0.75	0.75			0.75	
Risk Management Coordinator	0.00	0.00		0.00	0.00			0.25	
Admin Asst II-Sr Admin Clerk	0.50	0.50		0.50	0.50			0.50	
Executive Asst	0.50	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	3.00	2.95	-1.7%	2.70	2.70	100.0%	-8.5%	3.15	16.7%
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Department: **58700 - Administrative Services**  
 Program: **58420 - Risk Management**

**PERFORMANCE OBJECTIVES**

Manage workers compensation, public liability insurance, and safety and loss prevention activities. Costs covered by the Self-Insurance Fund, which is funded by charges against other City programs based upon their staffing and proportional risks.

1. To provide support to City departments for 419 full-time regular positions and for 35.26 FTEs of other staffing.
2. To actively pursue cost recovery through subrogation.
3. To increase safety awareness and enhance safe work practices which decrease the number of injuries in the workplace.
4. To provide risk management education in order to prevent or reduce potential litigation.
5. To actively participate on the Board of Directors of the Risk Management Authority and provide the Authority timely reports of injury.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. In FY12-13, they showed a moderate decrease. Personnel costs were down, but contracted costs were up.

In FY13-14, program costs will show a moderate increase.

In FY14-15, program staffing will add 0.80 FTEs. The program budget provides for the added staffing and increases in other cost items. The budget includes \$67,280 for legal and professional services.

	FY11-12	FY12-13	% Costs	FY13-14	FY13-14	% of	% Costs	FY14-15	% Budget
<b><u>PROGRAM EXPENDITURES</u></b>	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
Personnel Expenses	402,849	361,705	-10.2%	380,700	364,560	95.8%	0.8%	468,990	23.2%
Contracted Services	50,562	65,576	29.7%	82,180	73,000	88.8%	11.3%	78,180	-4.9%
Commodities	3,456	2,727	-21.1%	19,320	15,400	79.7%	464.7%	20,070	3.9%
Internal Charges	28,372	28,370	0.0%	33,370	33,300	99.8%	17.4%	36,100	8.2%
Other Payments	0	0		0	0			0	
Program Total	485,239	458,378	-5.5%	515,570	486,260	94.3%	6.1%	603,340	17.0%
Amended Budget	493,970	511,000			544,450				
% of Amended Spent	98.2%	89.7%			89.3%				

**FUNDING SOURCES**

Self Insurance Fund 627	485,239	458,378	-5.5%	515,570	486,260	94.3%	6.1%	603,340	17.0%
Program Total	485,239	458,378	-5.5%	515,570	486,260	94.3%	6.1%	603,340	17.0%

**PROGRAM STAFFING***Regular Positions*

Admin Services Director	0.50	0.50		0.25	0.25			0.25	
Human Resources Manager	0.00	0.00		0.00	0.00			0.40	
Senior Human Resources Analyst	0.60	0.60		0.60	0.60			0.00	
Human Resources Analyst	0.70	1.20		1.20	1.20			1.20	
Human Resources Technician	0.20	0.25		0.25	0.25			0.25	
Risk Management Coordinator	0.00	0.00		0.00	0.00			0.75	
Admin Asst II-Sr Admin Clerk	0.50	0.50		0.50	0.50			0.50	
Executive Asst	0.50	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Total - Full-Time Equivalents	3.00	3.05	1.7%	2.80	2.80	100.0%	-8.2%	3.35	19.6%
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## DIVISION ACCOMPLISHMENTS AND PROJECTIONS

### Past Accomplishments: FY 12-13

1. Prepare award winning CAFR and Budget.
2. Identify and implement long-term budget strategies to balance the City's budget in 2016 without Measure E Revenue.
3. Work with new organizational structure of the Administrative Services Department and coordinate matters between retiring Finance Director and new Administrative Services Director.
4. Provide fiscal guidance for labor negotiations.
5. Work on formation of new financing districts and methods as the City begins next phase of industrial and commercial development associated with upcoming proposed annexations.
6. Complete close out of the Tracy Community Development Agency.
7. Complete training of all personnel necessary to assume new responsibilities as long term City employees retire.
8. Upgrade Cisco Telephone Infrastructure (Call Manager, Unity, Call Center).
9. Completed Phases 1 and 2 of the Citywide new computer rollout (including upgrade to Windows 7).
10. Completed initial test deployment of Wifi.
11. Installation and begin testing of CAD/RMS.
12. Installation, configuration, and testing of new RouteMatch software for Transit Division.
13. Update GIS to comply with NENA standards.
14. Complete infrastructure upgrade for new Admin Building in BSC.
15. Replaced aging SAN infrastructure.
16. Standardized on a single video camera infrastructure.
17. Assist PD with 17 camera surveillance system and integrate with current infrastructure.
18. Initiate changes to Payroll and Human Resource systems for statutory changes due to pension reform legislation.
19. Initiate changes to business license process due to legislative changes.

### Current Projections: FY 13-14

1. Begin acquisition and installation of new Enterprise Resource Planning (ERP) software.
2. Continue close out of Tracy Community Development Agency.
3. Initiate direct vendor payments through ACH processing.

### Current Projection: FY13-14 Continued

4. Phase 3 (final phase) of the new computer rollout (including Window 7 upgrade).
5. Deploy Wifi to most City buildings.
6. Move new CAD/RMS from test phase to live.
7. Replace aging server infrastructure.
8. Upgrade Microsoft Exchange infrastructure.
9. Consolidate and upgrade various internal websites into a single "Intranet".
10. Upgrade VMWare Infrastructure.
11. Continue development of budget strategies to address City's long term fiscal condition.

### Future Projections: FY 14-15

1. Implement phases Enterprise Resource Planning (ERP) system.
2. Complete Internal Control Review Plan including city-wide Administrative Policy update.
3. Develop budget strategies to address the expiration of Measure E.
4. Update and implement a General Fund Reserve Policy.
5. Support Development through the creation of Community Facilities Districts (CFDs) and Assessment Districts (Ads).
6. Develop Request for Proposals (RFP) for new City auditors for FY14-15 including an independent auditor report.
7. Provide fiscal guidance to Labor Negotiation Team.
8. Coordinate with City Attorney's Office to develop City-wide contract review and routing practices.
9. Complete Solid Waste Franchise Negotiations.
10. Implement Open Government Web Page.
11. Update City budget development processes and document.
12. Continue deploy Wifi to most City buildings.
13. Replace backup infrastructure.
14. Deploy Microsoft Sharepoint City-wide.
15. Replace internal Help Desk software.
16. Update all systems to meet PCI compliance standards.
17. Migrate internal/external GIS websites to ESRI's ArcGIS Online.
18. Upgrade network connectivity to remote City buildings.
19. Implement Mobile Device Management (MDM).
20. Create a policy for mobile devices.

**PERFORMANCE OBJECTIVES**

Department: **58700 - Administrative Services**  
 Program: **58710 - Central Services**

Provide central duplicating and mail services for City Departments.  
 Also, provides an overhead cost center for programs located at  
 at City Hall. Program costs are reimbursed by user departments.

1. To process all incoming and outgoing mail prior to postal deadlines.
2. To account for telephone expenses in such a way as to provide effective control, identification, and review of the expenditures.
3. To account for duplicating expenses in such a way as to provide effective control, identification, and review of the expenditures.

**COMMENTARY**

Program costs have increased due to increased Citywide postage costs and electric costs for City Hall.

In FY11-12, program costs showed a decrease, particularly for contracted services. In FY12-13, program costs will show a modest increase, while personnel costs are down, contracted services and commodities are down.

In FY13-14, while program staffing is decreasing, program cost will show a modest decrease.

For FY14-15, the program budget provides continued current operations, which includes \$44,000 for City postage.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	22,169	14,866	-32.9%	18,700	14,170	75.8%	-4.7%	19,510	4.3%
Contracted Services	39,910	42,329	6.1%	50,120	44,510	88.8%	5.2%	50,120	0.0%
Commodities	10,388	19,250	85.3%	14,600	14,300	97.9%	-25.7%	14,600	0.0%
Internal Charges	16,670	16,670	0.0%	18,670	18,600	99.6%	11.6%	18,670	0.0%
Other Payments	0	0		0	0			0	
Program Total	89,137	93,115	4.5%	102,090	91,580	89.7%	-1.6%	102,900	0.8%
Amended Budget	108,830	99,940			102,090				
% of Amended Spent	81.9%	93.2%			89.7%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			0	
Central Services Fund 602	89,137	93,115	4.5%	102,090	91,580	89.7%	-1.6%	102,900	0.8%
Program Total	89,137	93,115	4.5%	102,090	91,580	89.7%	-1.6%	102,900	0.8%

**PROGRAM STAFFING***Regular Positions*

Admin Services Director	0.05	0.05		0.02	0.02			0.02	
Executive Asst I	0.05	0.00		0.00	0.00			0.00	

*Other Staffing (Full-Time Equivalents)*

Temp Clerk	0.15	0.15		0.15	0.15			0.15	
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Total - Full-Time Equivalents	0.25	0.20	-20.0%	0.17	0.17	100.0%	-15.0%	0.17	0.0%
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**PERFORMANCE OBJECTIVES**

Department: **58700 - Administrative Services**  
 Program: **58720 - Cash Management**

The City Treasurer is responsible for the management of the City's cash and investment portfolio. Banking, Investment, and Credit Card charges are recorded as expenses of this program.

1. To invest City funds, with a portfolio of over \$150 million, in accordance with sound treasury management, California Government Code, and City policy.
2. To insure safety of funds first, liquidity to meet cash flows second, and yield consistent with these others.
3. To make a monthly report of treasury activities within 45 days of the close of the month.
4. To realize investment earnings of at least \$6,000,000. of the close of the year.

**COMMENTARY**

Program costs are offset by charges deducted from the City's investment earnings. The major expense items are banking, investment advisors, and credit card charges. These charges may vary reflecting on fluctuations in the City's cash portfolio.

In FY12-13, program costs showed a moderate decrease. In FY13-14, program costs will show a modest decrease. These decreases reflects a reduction in the City's cash portfolio, but also reduced staffing.

For FY14-15 the program budget provides continued current operations, which includes \$256,000 for investment and banking charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	51,075	45,533	-10.9%	50,900	46,970	92.3%	3.2%	54,950	8.0%
Contracted Services	281,661	243,348	-13.6%	269,300	231,670	86.0%	-4.8%	269,300	0.0%
Commodities	409	545	33.3%	1,740	1,200	69.0%	120.2%	1,740	0.0%
Internal Charges	7,092	12,730	79.5%	15,730	15,000	95.4%	17.8%	16,980	7.9%
Other Payments	0	0		0	0			0	
Program Total	340,237	302,156	-11.2%	337,670	294,840	87.3%	-2.4%	342,970	1.6%
Amended Budget	330,240	335,315			337,670				
% of Amended Spent	103.0%	90.1%			87.3%				
<b>FUNDING SOURCES</b>									
Central Admin Fund 125	340,237	302,156	-11.2%	337,670	294,840	87.3%	-2.4%	342,970	1.6%
Program Total	340,237	302,156	-11.2%	337,670	294,840	87.3%	-2.4%	342,970	1.6%
<b>PROGRAM STAFFING</b>									
<i>Elected Officials</i>									
City Treasurer (1)	0.15	0.15		0.15	0.15			0.15	
<i>Regular Positions</i>									
Admin Services Director	0.05	0.05		0.03	0.03			0.03	
Executive Asst I	0.05	0.05		0.00	0.00			0.00	
Accounting Technicians	0.00	0.00		0.05	0.05			0.05	
Account Assts-Clerks	0.00	0.00		0.00	0.00			0.00	
<i>Other Staffing (Full-Time Equivalents)</i>									
Total - Full-Time Equivalents	0.25	0.25	0.0%	0.23	0.23	100.0%	-8.0%	0.23	0.0%

Department: **58700 - Administrative Services**  
 Program: **58730 - Budget Coordination**

Coordinate the preparation, review, and control of the City's operating budget and Capital Improvement Program; monitor fiscal transactions and trends; provide internal fiscal reports; and perform fiscal analysis.

**PERFORMANCE OBJECTIVES**

1. To prepare a FY15-16 program budget and capital improvement program, while maintaining GFOA & CSMFO award's status.
2. To prepare and distribution monthly and quarterly budget reports.
3. To prepare long-range forecasts and other analyses pertaining to the City's fiscal condition.
4. To develop and implement a scheduling and monitoring system for the Capital Improvement Program, which budgets over \$100 million for capital projects in FY15-16.
5. To process 150 budget adjustments; and to audit 1,500 budget related fiscal transactions.

**COMMENTARY**

Program costs usually show modest year-to-year increases, which reflect rising staffing and printing costs. In FY12-13, program staffing added 0.60 of a Management Analyst position. Program costs will show a major increase, due to personnel and professional studies costs.

In FY13-14, program staffing lost 0.55 FTEs. Program costs will show a modest decrease.

For FY14-15, program staffing will add 0.25 FTEs. The program budget provides for added staffing, some increases in internal charges, \$45,000 for a major study, and overtime for finance system implementation.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	255,279	304,511	19.3%	285,700	284,500	99.6%	-6.6%	336,910	17.9%
Contracted Services	10,823	12,443	15.0%	20,710	18,210	87.9%	46.3%	65,710	217.3%
Commodities	1,229	2,864	133.0%	2,930	2,900	99.0%	1.3%	2,930	0.0%
Internal Charges	21,152	21,150	0.0%	25,150	25,000	99.4%	18.2%	27,820	10.6%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>288,483</b>	<b>340,968</b>	<b>18.2%</b>	<b>334,490</b>	<b>330,610</b>	<b>98.8%</b>	<b>-3.0%</b>	<b>433,370</b>	<b>29.6%</b>
Amended Budget	302,950	404,580			359,990		91.8%		
% of Amended Spent	95.2%	84.3%							
<b>FUNDING SOURCES</b>									
Central Admin Fund 125	288,483	340,968	18.2%	334,490	330,610	98.8%	-3.0%	433,370	29.6%
CDA Project Fund 381	0	0		0	0			0	
<b>Program Total</b>	<b>288,483</b>	<b>340,968</b>	<b>18.2%</b>	<b>334,490</b>	<b>330,610</b>	<b>98.8%</b>	<b>-3.0%</b>	<b>433,370</b>	<b>29.6%</b>
<b>PROGRAM STAFFING</b>									
<i>Regular Positions</i>									
Budget Officer	0.60	0.60		0.60	0.60			0.60	
Admin Services Director	0.10	0.30		0.15	0.15			0.15	
Accounting Technicians	1.00	0.95		0.55	0.80			0.80	
Executive Asst I	0.25	0.00		0.00	0.00			0.00	
Account Assts-Clerks	0.00	0.10		0.10	0.10			0.10	
Management Analyst II	0.00	0.60		0.60	0.60			0.60	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.05	0.05		0.05	0.05			0.05	
<b>Total - Full-Time Equivalents</b>	<b>2.00</b>	<b>2.60</b>	<b>30.0%</b>	<b>2.05</b>	<b>2.30</b>	<b>112.2%</b>	<b>-11.5%</b>	<b>2.30</b>	<b>0.0%</b>

**PERFORMANCE OBJECTIVES**

Department: **58700 - Administrative Services**  
 Program: **58740 - Fiscal Operations**

Process the City's payroll and payables; maintain supporting fiscal records; disburse City checks for employees, vendors, contractors, and other payees.

1. To process fiscal transactions disbursing over \$150,000,000 authorized by the City's budget.
2. To process the City's payroll twice a month and issue over 8,500 payroll checks.
3. To process the City's payables weekly and process 21,000 invoices.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. In FY12-13, program staffing added 0.20 FTEs in technical and clerical hours. But, program costs showed a major decrease.

In FY13-14, program staffing lost 0.25 FTEs. Program costs will show a major increase.

For FY14-15, program staffing will add 0.35 FTEs. The program budget provides for added staffing, some increases in internal charges and contracted services, and overtime for finance system implementation.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	410,401	320,700	-21.9%	377,700	406,960	107.7%	26.9%	449,060	18.9%
Contracted Services	53,921	46,349	-14.0%	45,370	45,300	99.8%	-2.3%	51,570	13.7%
Commodities	6,104	5,730	-6.1%	7,850	7,500	95.5%	30.9%	7,850	0.0%
Internal Charges	43,156	46,150	6.9%	51,150	51,100	99.9%	10.7%	55,210	7.9%
Other Payments	0	0		0	0			0	
<b>Program Total</b>	<b>513,582</b>	<b>418,929</b>	<b>-18.4%</b>	<b>482,070</b>	<b>510,860</b>	<b>106.0%</b>	<b>21.9%</b>	<b>563,690</b>	<b>16.9%</b>
Amended Budget	504,780	505,770			482,070				
% of Amended Spent	101.7%	82.8%			106.0%				

**FUNDING SOURCES**

Central Admin Fund 125	513,582	418,929	-18.4%	482,070	510,860	106.0%	21.9%	563,690	16.9%
CDA Project Fund 381	0	0		0	0			0	
<b>Program Total</b>	<b>513,582</b>	<b>418,929</b>	<b>-18.4%</b>	<b>482,070</b>	<b>510,860</b>	<b>106.0%</b>	<b>21.9%</b>	<b>563,690</b>	<b>16.9%</b>

**PROGRAM STAFFING***Regular Positions*

Budget Officer	0.40	0.40		0.40	0.40			0.40	
Admin Services Director	0.20	0.20		0.10	0.10			0.10	
Account Assts-Clerks	1.00	0.00		0.00	0.00			0.00	
Payroll/Accounting Technicians	1.25	1.25		1.10	1.45			1.45	
Executive Asst I	0.40	0.00		0.00	0.00			0.00	
Senior Account Assts-Clerks	0.00	1.60		1.60	1.60			1.60	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
<b>Total - Full-Time Equivalents</b>	<b>3.25</b>	<b>3.45</b>	<b>6.2%</b>	<b>3.20</b>	<b>3.55</b>	<b>110.9%</b>	<b>2.9%</b>	<b>3.55</b>	<b>0.0%</b>

**PERFORMANCE OBJECTIVES**

Department: **58700 - Administrative Services**  
 Program: **58750 - Accounting Services**

Maintain the City's accounting records; prepare the City's financial reports; and coordinate the audits of the City's finances.

1. To prepare the City's annual comprehensive financial report (CAFR).
2. To maintain CSMFO and GFOA award winning status for financial reporting.
3. To prepare other financial and accounting reports as required by auditors, the State of California, and other agencies.
4. To prepare cash reconciliations monthly.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase. A new Senior Accountant was hired to replace the Accounting Officer, and this resulted in a 2.5 months temporary overhire. In FY12-13, program staffing added 0.10 FTEs in technical and clerical hours. But, program costs show a decreased.

In FY13-14, program staffing lost 0.35 FTEs. Program costs will show a modest increase, although personnel costs will be down.

For FY14-15, program staffing will remain the same. The program budget provides for current staffing, some increases in internal charges and contracted services, and overtime for finance system implementation.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	212,297	158,985	-25.1%	146,500	147,490	100.7%	-7.2%	166,800	13.9%
Contracted Services	73,193	80,401	9.8%	97,040	92,000	94.8%	14.4%	112,040	15.5%
Commodities	1,771	1,952	10.2%	2,860	2,600	90.9%	33.2%	2,860	0.0%
Internal Charges	24,664	28,760	16.6%	32,760	32,400	98.9%	12.7%	35,890	9.6%
Other Payments	0	0		0	0			0	
Program Total	311,925	270,098	-13.4%	279,160	274,490	98.3%	1.6%	317,590	13.8%
Amended Budget	318,300	300,090			314,860				
% of Amended Spent	98.0%	90.0%			87.2%				

**FUNDING SOURCES**

Central Admin Fund 125	311,925	270,098	-13.4%	279,160	274,490	98.3%	1.6%	317,590	13.8%
Comm Devel Block Gt Fund 269	0	0		0	0			0	
CDA Project Fund 381	0	0		0	0			0	
Program Total	311,925	270,098	-13.4%	279,160	274,490	98.3%	1.6%	317,590	13.8%

**PROGRAM STAFFING***Regular Positions*

Accounting Officer/Senior Accountant	0.50	0.65		0.65	0.65			0.65	
Accounting Technicians	0.50	0.50		0.25	0.25			0.25	
Admin Services Director	0.20	0.20		0.10	0.10			0.10	
Executive Asst I	0.15	0.00		0.00	0.00			0.00	
Account Assts-Clerks	0.00	0.10		0.10	0.10			0.10	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.00	0.00		0.00	0.00			0.00	
Total - Full-Time Equivalents	1.35	1.45	7.4%	1.10	1.10	100.0%	-24.1%	1.10	0.0%

**PERFORMANCE OBJECTIVES**

Department: **58700 - Administrative Services**  
 Program: **58760 - Revenue Collection**

Receipt of all revenues received by the City including utility billings, delinquencies, business licenses, miscellaneous accounts receivables (MARS). City fee for County property tax collection.

1. To identify and bill all businesses that required licenses and collect all fees and taxes billed. Estimate about 4,700 businesses.
2. To accurately and timely prepare 291,000 utility bills to utility system customers.
3. To maintain collection of active utility accounts at 98% of current bills.
4. To courteously assist customers regarding their utility accounts, other receivable accounts, or other inquiries of municipal services.

**COMMENTARY**

In FY11-12, program costs showed a moderate increase, due to a 3 months overhire of 2.5 positions. In FY12-13, program staffing added 0.55 FTEs, but program costs show a major decrease. While personnel costs were up, contracted costs and the property tax collection fee were down.

In FY13-14, program staffing lost 0.30 FTEs. Program costs will show a moderate decrease, with lower personnel costs.

For FY14-15, program staffing will add 0.40 FTEs. The program budget provides for the added staffing, some increases in internal charges and commodities, and overtime for finance system implementation.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,033,512	1,108,862	7.3%	950,400	925,390	97.4%	-16.5%	1,099,820	15.7%
Contracted Services	307,668	177,074	-42.4%	344,990	303,400	87.9%	71.3%	323,790	-6.1%
Commodities	9,595	6,772	-29.4%	17,750	16,700	94.1%	146.6%	17,750	0.0%
Internal Charges	106,932	99,726	-6.7%	104,190	104,000	99.8%	4.3%	112,490	8.0%
Other Payments	390,428	214,100	-45.2%	200,000	115,550	57.8%	-46.0%	200,000	0.0%
Program Total	1,848,135	1,606,534	-13.1%	1,617,330	1,465,040	90.6%	-8.8%	1,753,850	8.4%
Amended Budget	1,834,770	1,859,360			1,658,130				
% of Amended Spent	100.7%	86.4%			88.4%				

**FUNDING SOURCES**

Central Admin Fund 125	420,057	518,954	23.5%	308,130	514,490	167.0%	-0.9%	485,650	57.6%
General Fund 101 - Property Taxes	390,428	214,100	-45.2%	200,000	115,550	57.8%	-46.0%	300,000	50.0%
Landscaping Districts Fund 271	0	38,350		35,000	35,000	100.0%	-8.7%	35,800	2.3%
Enterprise Funds	1,037,650	835,130	-19.5%	1,074,200	800,000	74.5%	-4.2%	932,400	-13.2%
Program Total	1,848,135	1,606,534	-13.1%	1,617,330	1,465,040	90.6%	-8.8%	1,753,850	8.4%

**PROGRAM STAFFING***Regular Positions*

Senior Account Assts-Clerks	2.00	2.20		2.20	2.20			2.20	
Account Assts-Clerks	4.00	4.00		4.00	4.00			4.00	
Admin Services Director	0.40	0.20		0.10	0.10			0.10	
Accounting Officer/Senior Accountant	0.50	0.35		0.35	0.35			0.35	
Accounting Technicians	2.25	2.25		2.05	2.45			2.45	
Executive Asst I	0.10	0.00		0.00	0.00			0.00	
Management Analyst II	0.00	0.40		0.40	0.40			0.40	
<i>Other Staffing (Full-Time Equivalents)</i>									
Temp Clerk	0.60	1.10		1.10	1.10			1.35	
Total - Full-Time Equivalents	9.85	10.50	6.6%	10.20	10.60	103.9%	1.0%	10.85	2.4%

Department: **58700 - Administrative Services**  
 Program: **58770 - Information Technology**

Provide central coordination for the development and implementation of City information systems to support data and communications needs. System costs for City's computer and telephone systems.

**PERFORMANCE OBJECTIVES**

1. To maintain and enhance citywide networks, including all hardware and software.
2. To maintain and support City computer, telephone, and voice mail systems.
3. To assist the Police Department with county information systems and new mobile data terminals.
4. To assist the Fire Department with software for performance measurement.

**COMMENTARY**

Program costs have increased due to added staffing, higher operational and maintenance costs, and the leasing costs for many of City computers.

In FY11-12, program staffing added a new full-time position, and program costs showed a major increase. In FY12-13, program costs showed a moderate increase, due to full staffing and added contract costs.

In FY13-14, program costs will show a modest increase.

For FY14-15, program staffing will add a GIS Technician. The program budget provides for the added staffing, increases in contracted services, and some increases in internal charges.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Costs Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Costs Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	755,507	829,523	9.8%	830,700	844,950	101.7%	1.9%	981,180	18.1%
Contracted Services	383,132	426,861	11.4%	496,910	475,830	95.8%	11.5%	534,110	7.5%
Commodities	4,253	4,244	-0.2%	10,260	8,890	86.6%	109.5%	10,260	0.0%
Internal Charges	128,056	130,098	1.6%	77,690	77,600	99.9%	-40.4%	79,260	2.0%
Other Payments	1,187	0		0	0			0	
<b>Program Total</b>	<b>1,272,135</b>	<b>1,390,726</b>	<b>9.3%</b>	<b>1,415,560</b>	<b>1,407,270</b>	<b>99.4%</b>	<b>1.2%</b>	<b>1,604,810</b>	<b>13.4%</b>
Amended Budget	1,325,290	1,394,610			1,415,560				
% of Amended Spent	96.0%	99.7%			99.4%				

**FUNDING SOURCES**

General Fund 101 - Taxes	0	0		0	0			0	
Central Services Fund 602	1,272,135	1,390,726	9.3%	1,415,560	1,407,270	99.4%	1.2%	1,544,810	9.1%
Enterprise Funds	0	0		0	0			60,000	
<b>Program Total</b>	<b>1,272,135</b>	<b>1,390,726</b>	<b>9.3%</b>	<b>1,415,560</b>	<b>1,407,270</b>	<b>99.4%</b>		<b>1,604,810</b>	<b>13.4%</b>

**PROGRAM STAFFING***Regular Positions*

Information Technology Manager	0.00	0.00		0.00	0.00			1.00	
Information Systems Administrator	1.00	1.00		1.00	1.00			0.00	
Information Systems Technicians	6.00	6.00		6.00	6.00			7.00	

*Other Staffing (Full-Time Equivalents)*

Intern	0.00	0.00		0.00	0.00			0.00	
Webmaster	0.00	0.00		0.00	0.00			0.00	

	7.00	7.00		7.00	7.00	100.0%	0.0%	8.00	14.3%
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Department: **59000 - Non-Departmental Group**

The Non-Departmental Group provides a miscellaneous category for indirect costs, equipment acquisition, special projects, and special reserves.

**COMMENTARY**

As proposed for FY14-15, the departmental budget will increase about 1.0% over the current year adopted budget, and this represents a 7.1% increase over the FY12-13 amended budget.

The base component of the budget represents a 18.5% decrease from current year adopted budget, while budget augmentations will show a 23.9% increase over the base budget.

Equipment acquisitions, including replacement, generally range above \$1,200,000 per year.

DEPARTMENTAL EXPENDITURES BY PROGRAM	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
59210 - Indirect Costs	1,166,955	1,018,252	-12.7%	1,084,810	1,055,210	97.3%	3.6%	1,139,070	5.0%
593x0 - Equipment Acquisition	1,023,165	1,297,772	26.8%	1,902,190	2,419,500	127.2%	86.4%	1,149,080	-39.6%
59410 - Insurance	2,596,313	2,945,815	13.5%	2,998,300	2,741,800	91.4%	-6.9%	3,240,000	8.1%
59420 - Claims & Litigation	370,691	497,668	34.3%	606,000	446,520	73.7%	-10.3%	706,000	16.5%
59510 - Special Reserves	1,009,418	926,632	-8.2%	925,020	1,151,300	124.5%	24.2%	1,400,000	51.3%
Indirect Costs Reimbursements	(1,166,955)	(1,018,252)	-12.7%	(1,084,810)	(1,055,210)	97.3%	3.6%	(1,139,070)	5.0%
Department Total	4,999,587	5,667,887	13.4%	6,431,510	6,759,120	105.1%	19.3%	6,495,080	1.0%
Amended Budget	5,396,801	6,061,731	12.3%		7,097,690		17.1%	over 2 years	7.1%
% of Amended Spent	92.64%	93.50%			95.23%				
						Base Budget >>		5,240,100	-18.5%
						Augmentations >>		1,254,980	23.9%

Department: 59000 - Non-Departmental Group (Continued)

<b>DEPARTMENTAL EXPENDITURES BY OBJECT CATEGORY</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Cost Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Cost Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,009,418	926,632	-8.2%	775,020	1,091,300	140.8%	17.8%	900,000	16.1%
Contracted Services	2,937,347	3,258,939	10.9%	3,254,300	3,098,300	95.2%	-4.9%	3,596,000	10.5%
Commodities	1,777	2,459	38.4%	50,000	10,000	20.0%	306.7%	40,000	-20.0%
Capital Outlay	1,021,388	1,348,435	32.0%	1,902,190	2,419,500	127.2%	79.4%	1,149,080	-39.6%
Other Payments	1,196,612	1,149,674	-3.9%	1,534,810	1,195,230	77.9%	4.0%	1,949,070	27.0%
Indirect Costs Reimbursements	(1,166,955)	(1,018,252)	-12.7%	(1,084,810)	(1,055,210)	97.3%	3.6%	(1,139,070)	5.0%
<b>Department Total</b>	<b>4,999,587</b>	<b>5,667,887</b>	<b>13.4%</b>	<b>6,431,510</b>	<b>6,759,120</b>	<b>105.1%</b>	<b>19.3%</b>	<b>6,495,080</b>	<b>1.0%</b>
<b>DEPARTMENTAL EXPENDITURES BY FUNDING SOURCES</b>									
General Fund 101									
Expenditures	321,557	544,147	69.2%	269,020	414,000	153.9%	-23.9%	737,160	174.0%
Indirect Costs Reimbursements	(1,166,955)	(1,018,252)	-12.7%	(1,084,810)	(1,055,210)	97.3%	3.6%	(1,139,070)	5.0%
SC Fire Authority - Fund 211	321,991	194,028	-39.7%	196,330	251,600	128.2%	29.7%	251,900	28.3%
Transp Development Fund 241	33,000	25,360	-23.2%	35,020	26,630	76.0%	5.0%	36,770	5.0%
Gas Tax Fund 247	34,750	29,690	-14.6%	34,420	31,180	90.6%	5.0%	36,140	5.0%
Com Devel Block Gt Fund 269	0	0		0	0			0	
Landscaping Districts Fund 271	76,750	73,680	-4.0%	82,120	77,360	94.2%	5.0%	86,230	5.0%
CDA Housing & Successor Fund 28x	66,410	0	-100.0%	0	0			0	
Comm Access CTV Fund 295	23,056	36,100	56.6%	21,710	37,900	174.6%	5.0%	38,350	76.6%
CDA & Suc Ag Project Fund 3xx	94,920	0	-100.0%	0	0			0	
Water Fund 511	429,521	300,243	-30.1%	397,170	351,040	88.4%	16.9%	394,530	-0.7%
Wastewater Fund 521	256,171	203,209	-20.7%	410,830	385,630	93.9%	89.8%	390,020	-5.1%
Solid Waste Fund 531	29,669	49,248	66.0%	32,960	53,560	162.5%	8.8%	34,610	5.0%
Drainage Fund 541	16,183	25,589	58.1%	15,450	27,840	180.2%	8.8%	16,220	5.0%
Airport Fund 561	74,562	72,594	-2.6%	67,670	80,980	119.7%	11.6%	71,060	5.0%
Transit Fund 571	78,043	87,732	12.4%	83,200	95,180	114.4%	8.5%	99,540	19.6%
Central Garage Fund 601	34,548	22,977	-33.5%	35,430	26,280	74.2%	14.4%	37,200	5.0%
Central Services Fund 602	(9,133)	16,281	-278.3%	0	0		-100.0%	0	
Equipment Acquisition Fund 605	146,992	207,774	41.4%	733,690	718,250	97.9%	245.7%	627,910	-14.4%
Vehicle Acquisition Fund 605	458,217	665,221	45.2%	1,058,000	1,329,950	125.7%	99.9%	342,960	-67.6%
Building Maintenance Fund 615	5,777	(3,957)	-168.5%	31,000	29,330		-841.2%	32,550	
Self Insurance Fund 627	3,656,648	4,064,223	11.1%	4,004,300	3,869,620	96.6%	-4.8%	4,401,000	9.9%
Other Minor Funds	16,910	72,000	325.8%	8,000	8,000	100.0%	-88.9%	0	-100.0%
<b>Department Total</b>	<b>4,999,587</b>	<b>5,667,887</b>	<b>13.4%</b>	<b>6,431,510</b>	<b>6,759,120</b>	<b>105.1%</b>	<b>19.3%</b>	<b>6,495,080</b>	<b>1.0%</b>

Department: **59000 - Non-Departmental Group**  
 Program: **59210 - Indirect Costs**

**PERFORMANCE OBJECTIVES**

Indirect costs are payments made by City Departments & programs for their share of the cost of Citywide overhead and support activities. Overall, they have a net effect of zero on the total City budget. But, they are charges to the Funds involved, and are reimbursements to the General Fund for General Government programs and activities.

- To make indirect costs payments for FY14-15 as budgeted with the appropriate adjustment for FY13-14 actual cost figures.

For FY13-14, estimate a 8.0% increase  
 For FY14-15, estimate a 5.0% increase

Indirect costs change proportionate primarily to personnel costs and relative share of non-General Funds as a part of the total City operating budget.

Note: Worksheets showing the calculation of the indirect costs charges are included in the Appendix of this budget document.

**COMMENTARY**

In FY97-98, a cost study was done to determine and validate City charges for indirect costs. An update of the calculations was done early in FY00-01. Since then, cost calculations have been maintained and updated yearly. In FY12-13, program costs decreased 16.3%. In FY13-14, an 8.0% increase is expected. For FY14-15, a 5.0% increase is budgeted.

<b>PROGRAM EXPENDITURES</b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	0	0		0	0			0	
Commodities	0	0		0	0			0	
Internal Charges	0	0		0	0			0	
Other Payments	1,166,952	977,020	-16.3%	1,084,810	1,055,210	97.3%	8.0%	1,139,070	5.0%
Program Total	1,166,952	977,020	-16.3%	1,084,810	1,055,210	97.3%	8.0%	1,139,070	5.0%
Amended Budget	1,154,000	1,144,240			1,084,810				
% of Amended Spent	101.1%	85.4%			97.3%				
<b><u>FUNDING SOURCES</u></b>									
SC Fire Authority Fund 211	199,552	159,810	-19.9%	181,330	167,800	92.5%	5.0%	190,400	5.0%
Transp Development Fund 241	33,000	25,360	-23.2%	35,020	26,630	76.0%	5.0%	36,770	5.0%
Gas Tax Fund 247	34,750	29,690	-14.6%	34,420	31,180	90.6%	5.0%	36,140	5.0%
Comm Devel Block Grant Fund 269	0	0		0	0			0	
Landscaping Districts Fund 271	76,750	73,680	-4.0%	82,120	77,360	94.2%	5.0%	86,230	5.0%
CDA Housing & Successor Fund 28	66,410	0	-100.0%	0	0			0	
Community Access CTV Fund 295	17,900	36,100	101.7%	21,710	37,900	174.6%	5.0%	22,800	5.0%
CDA Project Fund 3xx	94,920	0	-100.0%	0	0			0	
Water Fund 511	252,790	224,800	-11.1%	277,170	236,040	85.2%	5.0%	291,030	5.0%
Wastewater Fund 521	170,610	176,310	3.3%	213,830	185,130	86.6%	5.0%	224,520	5.0%
Solid Waste Fund 531	29,610	51,010	72.3%	32,960	53,560	162.5%	5.0%	34,610	5.0%
Drainage Fund 541	14,750	26,510	79.7%	15,450	27,840	180.2%	5.0%	16,220	5.0%
Airport Fund 561	74,560	77,120	3.4%	67,670	80,980	119.7%	5.0%	71,060	5.0%
Transit Fund 571	69,050	71,600	3.7%	56,700	75,180	132.6%	5.0%	59,540	5.0%
Central Garage Fund 601	32,300	25,030	-22.5%	35,430	26,280	74.2%	5.0%	37,200	5.0%
Central Services Fund 602	0	0		0	0			0	
Building Maintenance Fund 615	0	0		31,000	29,330	94.6%		32,550	5.0%
Program Total	1,166,952	977,020	-16.3%	1,084,810	1,055,210	97.3%	8.0%	1,139,070	5.0%

Department: 59000 - Non-Departmental Group  
 Program: 593x0 - Equipment Acquisition

**PERFORMANCE OBJECTIVES**

Acquisition of new and replacement furniture, vehicles, and equipment for use by City Departments.

1. To provide funding to acquire new and replacement equipment for City Departments, as follows:

	Replacement	New	Total
Police Department	\$313,940	\$57,660	\$371,600
Fire Department	131,730	73,000	204,730
Public Works Department	120,600	8,000	128,600
Airport & Transit	0	40,000	40,000
Utilities Department	134,000	35,000	169,000
Development Services	73,060	15,000	88,060
Administrative Services	70,000	0	70,000
General Govt Agencies	31,540	15,550	47,090
Recreation & Cultural Arts	30,000	0	30,000
<b>Total</b>	<b>\$904,870</b>	<b>\$244,210</b>	<b>\$1,149,080</b>

Replacement items for governmental funded programs comes from the Equipment Acquisition Fund, which provides a reserve for replacements. New items are funded out of their primary program funding source. Enterprise programs use their own funds for both new and replacement items.

As proposed for FY14-15, equipment acquisitions will consist of \$904,870 for replacement items and \$244,210 for new equipment. The following pages provide a list of the equipment items by departments. Program outlays generally exceed the adopted budget due to carryovers from the prior year and new acquisitions approved during the fiscal year.

PROGRAM EXPENDITURES	FY11-12	FY12-13	%	FY13-14	FY13-14	% of	%	FY14-15	% Budget
	\$ Actual	\$ Actual	Change	\$ Adopted	\$ Estimated	Budget	Change	\$ Approved	Change
for Police Department	252,984	990,204	291.4%	429,040	460,000	107.2%	-53.5%	371,600	-13.4%
for Fire Department	257,705	115,446	-55.2%	636,950	1,195,000	187.6%	935.1%	204,730	-67.9%
for Public Works Department	173,299	89,341	-48.4%	375,000	375,000	100.0%	319.7%	128,600	-65.7%
for PWD, Utilities Division	189,480	48,199	-74.6%	297,000	314,500	105.9%	552.5%	169,000	-43.1%
for Airport & Transit	6,650	27,311	310.7%	26,500	25,000	94.3%	-8.5%	40,000	50.9%
for Development Services	6,700	3,575	-46.6%	20,000	0	0.0%	-100.0%	88,060	340.3%
for Administrative Services	21,275	16,410	-22.9%	65,790	50,000	76.0%	204.7%	70,000	6.4%
for General Government Agencies	100,000	0		21,910	0	0.0%		47,090	114.9%
for Recreation & Cultural Arts	15,071	7,286	-51.7%	30,000	0	0.0%	-100.0%	30,000	0.0%
<b>Program Total</b>	<b>1,023,164</b>	<b>1,297,772</b>	<b>26.8%</b>	<b>1,902,190</b>	<b>2,419,500</b>	<b>127.2%</b>	<b>86.4%</b>	<b>1,149,080</b>	<b>-39.6%</b>
Amended Budget	1,497,041	1,910,791			2,509,100				
% of Amended Spent	68.3%	67.9%			96.4%				

FUNDING SOURCES									
General Fund 101	99,869	312,598	213.0%	9,000	9,000	100.0%	-97.1%	117,160	1201.8%
SC Fire Authority Fund 211	100,592	8,661	-91.4%	0	68,800		694.4%	36,500	
Landscaping Districts Fund 271	0	0		0	0			0	
Water Fund 511	133,978	831	-99.4%	95,000	90,000	94.7%	10730.3%	53,500	-43.7%
Wastewater Fund 521	55,501	47,368	-14.7%	172,000	175,500	102.0%	270.5%	115,500	-32.8%
Solid Waste Fund 531	0	0		0	0			0	
Drainage Fund 541	0	0		0	0			0	
Airport Fund 561	0	0		0	0			0	
Transit Fund 571	6,650	27,311	310.7%	26,500	20,000	75.5%	-26.8%	40,000	50.9%
Central Garage Fund 601	0	0		0	0			0	
Equipment Acquisition Fund 605	148,068	163,782	10.6%	633,690	718,250	113.3%	338.5%	527,910	-16.7%
Vehicle Acquisition Fund 606	458,217	665,221	45.2%	958,000	1,329,950	138.8%	99.9%	242,960	-74.6%
Building Maintenance Fund 615	0	0		0	0			0	
Other Minor Funds	20,289	72,000	254.9%	8,000	8,000	100.0%	-88.9%	15,550	94.4%
<b>Program Total</b>	<b>1,023,164</b>	<b>1,297,772</b>	<b>26.8%</b>	<b>1,902,190</b>	<b>2,419,500</b>	<b>127.2%</b>	<b>86.4%</b>	<b>1,149,080</b>	<b>-39.6%</b>

CITY OF TRACY  
APPROVED EQUIPMENT LIST  
for FY14-15

Date: 01-Jul-14

Acct #	Equipment Items	\$ Amount	Estimated Equipment Allocations	
			General	Vehicles
<u>Department 58200 - City Attorney</u>				
			CC, CA, & CMO	
			Begin Balances	\$180,110 \$0
			FY14-15 Allocations	\$34,700 \$0
			Lease Obligations	\$0 \$0
			CIP Purchase	\$0 \$0
605-59380 -6xx-E8	R x	\$0		
605-59380	R x	\$0		
101-59380	N x	\$0		
101-59380	N x	\$0		
		<u>\$0</u>	Available Balances	\$214,810 \$0
			Proposed Outlays	\$31,540 \$0
			Remaining Balances	\$183,270 \$0
<u>Department 58030 - City Manager's Office</u>				
605-59380 -671-E8008	R Copier	\$9,540		
605-59380	R x	\$0		
605-59380	R	\$0		
295-59380 -67x-E8009	N CTV Equipment	\$15,550		
101-59380	N	\$0		
295-59380	N	\$0		
605-59380 -679-ER083	R Emergency Reserve - CMO	\$22,000		
		\$0		
		<u>\$47,090</u>	Cent Serv Fund 602	
			Begin Balances	\$373,200 \$19,130
			FY14-15 Allocations	\$41,210 \$2,200
			Lease Obligations	\$0 \$0
			CIP	(\$200,000)
			Available Balances	\$214,410 \$21,330
			Proposed Outlays	\$30,000 \$0
			Remaining Balances	\$184,410 \$21,330
<u>Department 58040 - Human Resources</u>				
605-59380 -6xx-E8	R X	\$0	HR Div	
605-59380	R X	\$0	Begin Balances	\$129,280 \$0
605-59380	R X	\$0	FY14-15 Allocations	\$22,920 \$0
627-59380	N x	\$0	Lease Obligations	\$0 \$0
101-59380	N	\$0	CIP Purchase	\$0 \$0
		<u>\$0</u>	Available Balances	\$152,200 \$0
			Proposed Outlays	\$0 \$0
			Remaining Balances	\$152,200 \$0
<u>Department 58050 - Finance</u>				
605-59380 -671-E8709	R Copiers	\$20,000	Fin Dept	
605-59380	R X	\$0	Begin Balances	\$269,290 \$0
605-59380	R X	\$0	FY14-15 Allocations	\$57,350 \$0
605-59380	R X	\$0	Lease Obligations	\$0 \$0
605-59380	R X	\$0	Available Balances	\$326,640 \$0
605-59380	R X	\$0	Proposed Outlays	\$20,000 \$0
602-59380	N X	\$0	Remaining Balances	\$306,640 \$0
5xx-59380	N X	\$0		
101-59380	N X	\$0		
605-59380 -679-ER087	R Emergency Reserve - Fin	\$20,000		
605-59380 -679-ER088	R Emergency Reserve - IS	\$30,000		
		<u>\$70,000</u>		
		<u>\$117,090</u>		
	Department Total			



CITY OF TRACY  
APPROVED EQUIPMENT LIST  
for FY14-15

Date: 01-Jul-14

Acct #	Equipment Items	\$ Amount	Estimated Equipment Allocations	
			General	Vehicles
<u>Department 56000 - Development Services</u>				
	Dev Serv	Begin Balances	\$322,100	\$233,240
605-59360 -67S-E6009	R Software Upgrades	\$27,100	FY14-15 Allocations	\$62,440
605-59360 -681-E6010	R Sedan	\$25,960	Lease Obligations	\$0
605-59360	R x	\$0	CIP Purchase	\$0
605-59360	R x	\$0		
605-59360	R x	\$0	Available Balances	\$384,540
605-59360	R x	\$0		\$262,640
281-59360	R x	\$0	Proposed Outlays	\$47,100
101-59360 -671-E6011	N Furnitures/Fixtures	\$15,000		\$25,960
101-59360	N X	\$0	Remaining Balances	\$337,440
112-59360	N X	\$0		\$236,680
281-59360	N X	\$0		
541-59360	N X	\$0		
605-59360 -679-ER061	R Emergency Reserve	\$20,000		
	Department Total	\$88,060		
<u>Department 53000 - Public Works</u>				
	PW Gen	Begin Balances	\$314,890	\$658,730
606-59330 -676-E3710	R Infield Grommer	\$20,600	Fund FY14-15 Allocations	\$76,040
606-59330	R x	\$0	Lease Obligations	\$0
605-59330	R x	\$0	CIP Purchase	\$0
606-59330	R x	\$0		
606-59330	R x	\$0	Available Balances	\$390,930
571-59330	R x	\$0		\$864,930
606-59330	R x	\$0	Proposed Outlays	\$60,600
606-59330	R x	\$0		\$30,000
606-59330	R x	\$0	Remaining Balances	\$330,330
605-59330	R x	\$0		\$834,930
605-59330	R x	\$0		
605-59330	R x	\$0		
605-59330	R x	\$0		
605-59330	R x	\$0		
101-59330 -676-E3711	N Laser Grader	\$8,000	Drainage	Begin Balances
101-59330	N X	\$0	Fund FY14-15 Allocations	\$89,360
101-59330	N X	\$0		\$258,370
101-59330	N X	\$0	Fund FY14-15 Allocations	\$3,340
101-59330	N X	\$0	Lease Obligations	\$0
101-59330	N X	\$0		\$0
247-59330	N X	\$0	Available Balances	\$92,700
247-59330	N X	\$0		\$274,570
101-59330	N X	\$0		
101-59330	N X	\$0		
101-59330	N X	\$0		
101-59330	N X	\$0		
101-59330	N X	\$0		
571-59330 -681-E3901	N Transit Vehicle	\$40,000	Solid Waste	Begin Balances
601-59330	N X	\$0	Fund FY14-15 Allocations	\$33,437
601-59330	N X	\$0		\$17,520
615-59330	N X	\$0	Lease Obligations	\$4,350
615-59330	N X	\$0		\$2,300
605-59340 -679-ER044	R Emergency Reserve - F541	\$30,000	Available Balances	\$37,787
606-59310 -679-ER031	R Emergency Reserve	\$30,000		\$19,820
605-59330 -679-ER031	R Emergency Reserve	\$40,000		
	Department Total	\$168,600		
	Cen Garage	Begin Balances	\$169,490	\$4,820
	Fund FY14-15 Allocations	\$9,160		\$1,500
	Lease Obligations	\$0		\$0
	Available Balances	\$178,650		\$6,320
	Bldg Maint	Begin Balances	\$136,900	\$127,190
	Fund FY14-15 Allocations	\$7,370		\$8,300
	Lease Obligations	\$0		\$0
	Available Balances	\$144,270		\$135,490

CITY OF TRACY  
APPROVED EQUIPMENT LIST  
for FY14-15

Date: 01-Jul-14

Acct #	Equipment Items	\$ Amount	Estimated Equipment Allocations			
			General	Vehicles		
<u>Department 54000 - Utilities</u>						
	Water Fund		Begin Balances	\$327,160	\$506,490	
			FY14-15 Allocations	\$37,630	\$73,000	
			Lease Obligations	\$0	\$0	
			Available Balances	\$364,790	\$579,490	
			Proposed Outlays	\$51,000	\$0	
			Remaining Balances	\$313,790	\$579,490	
511-59340 -674-E4021	R SCADA Computers (6)	\$18,000				
511-59340 -674-E4022	R Laptop Computers (1)	\$3,000				
511-59340	R x	\$0				
511-59340	R x	\$0				
511-59340 -678-E4023	N Milling Machine	\$2,500				
511-59340 -679-ER041	R Emergency Reserve - F511	\$30,000				
511-59340	N X	\$0				
521-59340 -677-E4551	R x Laboratory Eqpt	\$23,000				
521-59340 -683-E4552	R Pick-up Truck, 1/2 ton	\$25,000				
521-59340 -678-E4553	R Dissolved Oxygen Probes (8)	\$24,000				
521-59340 -674-E4554	R Laptop Computers (2)	\$6,000				
521-59340 -671-E4555	R Copier Machine @ Ut Lab	\$2,000				
521-59340 -678-E4023	N Milling Machine	\$2,500				
521-59340 -678-E4556	N Ice Machine	\$3,000				
521-59340		\$0				
521-59340		\$0				
521-59340 -679-ER045	R Emergency Reserve - F521	\$30,000				
	Department Total	\$169,000				
<u>Department 55000 - Recreation &amp; Cultural Arts</u>						
	Recreation Fund		Begin Balances	\$459,922	\$15,610	
			FY14-15 Allocations	\$26,540	\$4,800	
			Lease Obligations	\$0	\$0	
			CIP Purchase	\$0		
			Available Balances	\$486,462	\$20,410	
			Proposed Outlays	\$30,000	\$0	
			Remaining Balances	\$456,462	\$20,410	
605-59350 -6xx-5xxx	R x	\$0				
605-59350	R x	\$0				
605-59350	R x	\$0				
605-59350	R x	\$0				
605-59350	R x	\$0				
605-59350	R x	\$0				
605-59350	R x	\$0				
101-59350	R x	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
101-59350	N X	\$0				
561-59350	N X	\$0				
561-59350	N X	\$0				
571-59350	N X	\$0				
571-59350	N X	\$0				
571-59350	N X	\$0				
571-59350	N X	\$0				
571-59350	N X	\$0				
605-5935 -679-ER51	R Emergency Reserve	\$30,000				
	Department Total	\$30,000				
	City Total	\$1,149,080				
	new replacement	\$307,210				
		\$841,870				
			Water Fund	Begin Balances	\$575,260	\$441,090
				FY14-15 Allocations	\$49,560	\$71,900
				Lease Obligations	\$0	\$0
				Available Balances	\$624,820	\$512,990
				Proposed Outlays	\$90,500	\$25,000
				Remaining Balances	\$534,320	\$487,990
			Wastewater Fund	Begin Balances	\$50,960	\$49,380
				FY14-15 Allocations	\$4,700	\$9,700
				Lease Obligations	\$0	
				Available Balances	\$55,660	\$59,080



Department: **59000 - Non-Departmental Group**  
 Program: **59420 - Claims & Litigation**

**PERFORMANCE OBJECTIVES**

Outlays for claims and litigation not covered through the Risk Management Authority. Costs are paid from the Self-Insurance Fund.

1. To minimize the number of claims through risk management analysis and improvements.
2. To address all recommendations from the RMA with regard to hazard reduction or improved programs and practices.

**COMMENTARY**

Program costs can vary from year-to-year due to claims and litigation on different cases. Program costs for recent years are much less than they were previously.  
 In FY11-12 program costs showed a major increase, although they remained within budget. In FY12-13, they again show a major increase.  
 In FY13-14, program expenditures will show a moderate decrease.  
 For FY14-15, the program's budget provides a contingency to meet any new claims, emergency equipment replacement, and/or any new and on-going litigation.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	0	0		0	0			0	
Contracted Services	341,034	313,124	-8.2%	256,000	356,500	139.3%	13.9%	356,000	39.1%
Commodities	29,657	0		0	0			0	
Internal Charges	0	0		0	0			0	
Other Payments	0	184,544		350,000	90,020			350,000	0.0%
Program Total	370,691	497,668	34.3%	606,000	446,520	73.7%	-10.3%	706,000	16.5%
Amended Budget	506,000	506,000			806,000				
% of Amended Spent	73.3%	98.4%			55.4%				

<b><u>FUNDING SOURCES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Budget Change
Self Insurance Fund 627	370,691	453,676	22.4%	406,000	446,520	110.0%	-1.6%	506,000	24.6%
Equipment Acquisition Fund 605	0	43,992		100,000	0	0.0%		100,000	0.0%
Vehicle Acquisition Fund 606	0	0		100,000	0			100,000	
Program Total	370,691	497,668	34.3%	606,000	446,520	73.7%	-10.3%	706,000	16.5%

<b><u>PROGRAM STAFFING</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Approved
<i>Regular Positions</i>					
<i>Other Staffing (Full-Time Equivalents)</i>					
Total - Full-Time Equivalents	0.00	0.00	0.00	0.00	0.00

Department: **59000 - Non-Departmental Group**  
 Program: **59510 - Special Reserves**

**PERFORMANCE OBJECTIVES**

This program accounts for any reserves set aside for any special purposes or needs. Also, it is used to show any accounting adjustments effecting prior year expenditure figures.

1. To provide a sufficient reserve to cover any increase in the City's liability for uncompensated absences.

2. To provide funding for special purposes as follows:

- \$245,000 for compensated absences, including \$120,000 in the General Fund.
- \$40,000 reserve for fuel purchases.
- \$100,000 reserve for General Fund.
- \$360,000 reserve for LIRA support.
- \$655,000 for Medical Leave Bank outlays.

**COMMENTARY**

This program provides a reserve for any unexpected adjustments or other special outlays. It provides a budget for any increases in uncompensated absences, and medical leave bank (MLB) outlays.

In FY11-12, program outlays showed a modest increase. In FY12-13, they are expected to show a moderate decrease. In FY13-14, they are expected to show a minor increase.

For FY14-15, \$245,000 has been reserved for compensation absences, \$655,000 for MLB outlays, and \$360,000 for LIRA support. Also, reserves have been budgeted added fuel costs.

<b><u>PROGRAM EXPENDITURES</u></b>	FY11-12 \$ Actual	FY12-13 \$ Actual	% Change	FY13-14 \$ Adopted	FY13-14 \$ Estimated	% of Budget	% Change	FY14-15 \$ Approved	% Budget Change
Personnel Expenses	1,009,419	926,633	-8.2%	775,020	1,091,300	140.8%	17.8%	900,000	16.1%
Contracted Services	0	0		0	0			0	
Commodities	0	0		50,000	10,000	20.0%		40,000	-20.0%
Other Payments	0	0		100,000	50,000	50.0%		460,000	360.0%
Prior Year Adjustments	0	0		0	0			0	
Program Total	1,009,419	926,633	-8.2%	925,020	1,151,300	124.5%	24.2%	1,400,000	51.3%
Amended Budget	671,160	615,020			957,020				
% of Amended Spent	150.4%	150.7%			120.3%				
<b><u>FUNDING SOURCES</u></b>									
General Fund 101	222,386	190,318	-14.4%	260,020	405,000	155.8%	112.8%	620,000	138.4%
SC Fire Authority Fund 211	21,847	25,557	17.0%	15,000	15,000	100.0%	-41.3%	25,000	66.7%
Water Fund 511	42,753	74,612	74.5%	25,000	25,000	100.0%	-66.5%	50,000	100.0%
Wastewater Fund 521	30,060	(20,469)	-168.1%	25,000	25,000	100.0%	-222.1%	50,000	100.0%
Solid Waste Fund 531	59	(1,762)	-3086.4%	0	0			0	
Central Garage Fund 601	2,248	(2,052)	-191.3%	0	0			0	
Medical Leave Bank	668,149	664,732	-0.5%	600,000	681,300	113.6%	2.5%	655,000	9.2%
Other Funds	21,917	(4,303)	-119.6%	0	0			0	
Program Total	1,009,419	926,633	-8.2%	925,020	1,151,300	124.5%	24.2%	1,400,000	51.3%

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# *Capital Improvement Program*



## CAPITAL IMPROVEMENT PROGRAM & CAPITAL BUDGET

The Capital Improvement Program is the City's comprehensive multi-year plan for the development of the City's capital facilities and improvements. The plan identifies all capital maintenance, facilities, and improvements needed within the next few years. The projects to accomplish or develop usually involve high costs, take a year or more to complete, and result in the creation of a capital asset. The project costs identified for the first year of the Capital Improvement Program plan make up the adopted Capital Budget for the new fiscal year.

Senior Center  
CIP 78136



### CIP Format

The format for the Capital Improvement Program (CIP) emphasizes the functional grouping of CIP projects. All CIP projects of a similar type are listed together regardless of their funding sources. The format also shows the projects over their respective project lives. Thus, the long-term history and projection of expenditures can be viewed for each project and considered in relation to similar projects.

### Functional Groups

Under the format, CIP projects are grouped into 9 functional groups and one special category, as follows:

- Group 71: General Government & Public Safety Facilities
- Group 72: Traffic Safety
- Group 73: Streets & Highways
- Group 74: Wastewater Improvements
- Group 75: Water Improvements
- Group 76: Drainage Improvements
- Group 77: Airport and Transit Improvements
- Group 78: Parks & Recreation Improvements
- Group 79: Miscellaneous Projects
- Group 79R: Interfund CIP Reimbursements

### CIP Project Numbering

CIP projects are numbered in the following manner "7xyy". The "7x" component designates the respective project group, for example, 71000 or 71PP. A "yyy" designates an active project or one proposed to become active in the new capital budget, while a "PP" designates a project proposal, which would not become active until future years, after the new capital budget. The lower the "yyy," usually the older the projects.



Plaza 6<sup>th</sup> and Central  
CIP 78117

### Summary by Functional Groups

The first schedule on pages F5 through F7 summarizes the five-year CIP plan for the City. This plan covers the period of fiscal years 2013-2014 through 2017-2018. For each functional group, the number of current projects, new projects, and future projects for the group are listed along with total costs including both past expenditures and projected appropriations.

**Current Projects** - A current project would be any CIP project active for a substantial portion of FY13-14 for which funds have been previously appropriated. Most of these projects will not require any additional appropriations for completion. However, some projects require additional appropriations in FY14-15 and/or future years for completion.

**New Projects** - A new project would be any CIP project approved and expected to become active in FY14-15. They require appropriations to become active.

**Future Projects** - A future project is a CIP proposed to become active in future years. These, if authorized, will require appropriations to become active and may require appropriations in more than one year.

**Capital Budget** - The new appropriations approved for FY14-15 are highlighted in the column labeled "Approved Capital Budget".

## Summary by Funding Sources

The second schedule shows the totals of funding appropriated and required for the CIP plan. Totals are provided on pages F9 through F10, which summarize the total requirements necessary for each funding source for each fiscal year of the CIP plan.

The first page of each group section details the funding sources for the projects in each functional group in the CIP plan. For each group, the funding previously appropriated and the new appropriations required are detailed by funding sources.

## Listings of CIP Projects

Starting on page F11 are the project listings by functional group. For each functional group there are three project listings, one for current projects, one for new projects, and one for future projects. The project numbers are keyed to the functional category to which the project belongs. For each project, the following is shown:

1. Project Number and Title
2. Project Total - This figure represents the total cost of the project including past, current, and future requirements.
3. Funding Sources - The funding sources for the project are listed and totaled with detail columns showing new appropriations required over the next five fiscal years. Current projects, prior expenditures and current appropriations are also shown along with the new appropriations required for future years. Again, the approved Capital Budget for FY14-15 is highlighted

Project Completion - The actual or anticipated completion date is provided along with the current status of the project.



Fire Station 92  
CIP 74062

## Interfund CIP Reimbursements

On the final pages F83 and F84 of the CIP section of this document, you will find the various Interfund CIP reimbursements. The figures here net to \$0 for the CIP as a whole, although they do represent expenditures and reimbursements to the funds involved. These reimbursements usually represent the payment by new development areas to older areas or the City's Enterprise Funds for the excess capacity and/or benefits derived from previous CIP projects.

## Approved Capital Budget

The Council's budget review included consideration of the proposed capital budget. Any modifications to the proposed capital budget resulting from City Council deliberations have been incorporated into the final adopted budget, which then provides authorization for City staff to start work upon the approved capital projects.



Fire Station 96  
CIP 71061

## Capital Budget Carryovers

Outstanding encumbrances and unexpended appropriations for uncompleted capital projects from the prior fiscal year capital budget are eligible to be carried over at the end of the fiscal year. The capital budget figures for FY14-15 reflect only new appropriations and do not include any such carryovers. During the first quarter of the new fiscal year, a determination will be made as to which encumbrances and unexpended appropriations must be carried forward and added to the approved capital budget.

For FY13-14, the amended capital budget was \$106,785,165. However, through March, only \$30,483,837 or 28.7% was expended and \$15,158,741 or 14.3% was encumbered. It is estimated about \$44,109,825 or 41.5% will be spent by the end of the fiscal year.

Budget authority carried over for the capital budget into prior fiscal years were as follows.

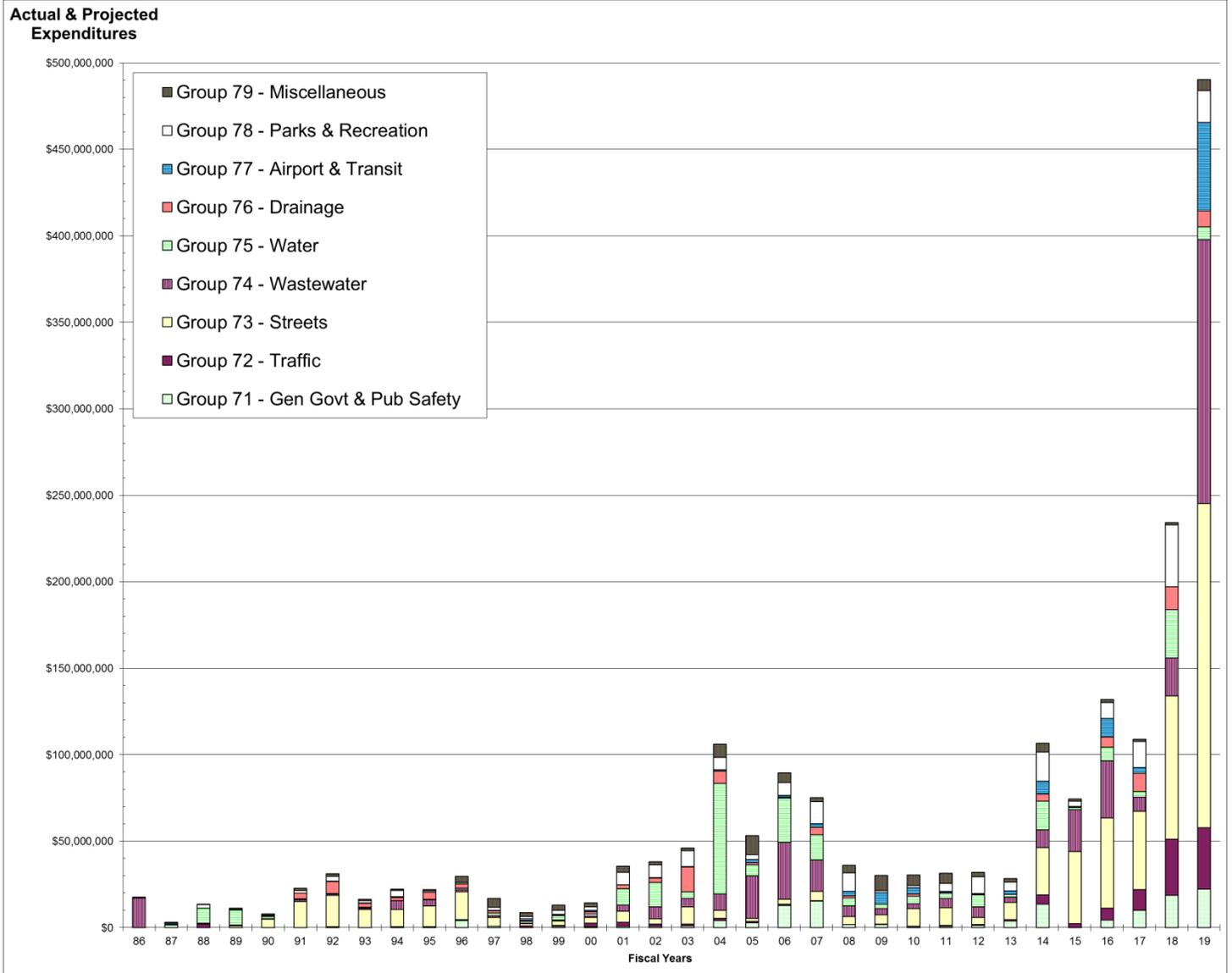
<u>Fiscal Year</u>	<u>Carried Forward</u>
FY 04-05	\$129,737,751
FY 05-06	\$144,387,809
FY 06-07	\$95,084,627
FY 07-08	\$66,966,323
FY 08-09	\$55,996,515
FY 09-10	\$62,962,490
FY 10-11	\$69,935,722
FY 11-12	\$79,575,858
FY 12-13	\$73,030,800
FY 13-14	\$64,583,995

It is anticipated that carryovers into FY14-15 will amount to about \$59,678,840. These amounts are due to the backlog in the implementation of the Capital Improvement Program. With the carryovers and new appropriations, the amended capital budget for FY14-15 will total about \$134,409,050.



*Lincoln Park Gazebo Renovations and Park Improvement  
CIP 78123 & CIP 78126*

## Tracy Capital Improvement Program by Functional Groups – FY86 thru FY19



Summary by Functional Groups

FY14-15 CIP Adopted

Group # & Functional Groups	Group \$ Totals	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED								
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19			
<b>Group 71 - General Government &amp; Public Safety Facilities</b>				<b>Approved Capital Budget</b>								
9	Current Projects	20,232,608	6,351,291	13,786,317	95,000	95,000	0	0	0	0	2	Projects Requiring New Funding in FY14-15
5	New Projects	387,200	0	0	387,200	387,200	0	0	0	0	5	Projects Becoming Active in FY14-15
19	Future Projects	56,134,348	60,698	0	56,073,650	0	4,490,430	10,065,400	18,991,920	22,525,900		
33	Totals	76,754,156	6,411,989	13,786,317	56,555,850	482,200	4,490,430	10,065,400	18,991,920	22,525,900		
<b>Group 72 - Traffic Safety</b>												
13	Current Projects	7,673,202	591,198	5,315,904	1,766,100	1,469,100	0	297,000	0	0	4	Projects Requiring New Funding in FY14-15
3	New Projects	1,099,000	0	0	1,099,000	559,000	0	0	540,000	0	3	Projects Becoming Active in FY14-15
47	Future Projects	86,613,912	689,067	0	85,924,845	0	6,978,145	11,856,200	31,744,000	35,346,500		
63	Totals	95,386,114	1,280,265	5,315,904	88,789,945	2,028,100	6,978,145	12,153,200	32,284,000	35,346,500		
<b>Group 73 - Streets &amp; Highways</b>												
21	Current Projects	230,343,965	23,166,069	27,345,496	179,832,400	40,730,500	13,360,900	11,492,000	18,510,000	95,739,000	11	Projects Requiring New Funding in FY14-15
2	New Projects	1,656,710	0	0	1,656,710	928,910	0	0	727,800	0	2	Projects Becoming Active in FY14-15
52	Future Projects	231,958,422	4,162,382	0	227,796,040	0	38,688,980	33,759,900	63,634,560	91,712,600		
75	Totals	463,959,097	27,328,451	27,345,496	409,285,150	41,659,410	52,049,880	45,251,900	82,872,360	187,451,600		
<b>Group 74 - Wastewater Improvements</b>												
17	Current Projects	72,653,906	9,516,657	10,237,749	52,899,500	20,895,000	26,304,500	4,700,000	1,000,000	0	7	Projects Requiring New Funding in FY14-15
5	New Projects	7,173,800	0	0	7,173,800	3,306,800	3,867,000	0	0	0	5	Projects Becoming Active in FY14-15
15	Future Projects	180,396,650	59,920	0	180,336,730	0	2,977,580	3,572,000	21,022,930	152,764,220		
37	Totals	260,224,356	9,576,577	10,237,749	240,410,030	24,201,800	33,149,080	8,272,000	22,022,930	152,764,220		

## Summary by Functional Groups

## FY14-15 CIP Adopted

Group # & Functional Groups	Group \$ Totals	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED							
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
<b>Group 75 - Water Improvements</b>				<b>Adopted Capital Budget</b>							
15	Current Projects	37,256,059	13,459,567	16,550,962	7,245,530	400,000	6,020,530	275,000	275,000	275,000	7 Projects Requiring New Funding in FY14-15 3 Projects Becoming Active in FY14-15
3	New Projects	1,020,000	0	0	1,020,000	1,020,000	0	0	0	0	
13	Future Projects	39,371,700	0	0	39,371,700	0	1,812,400	2,880,000	27,520,200	7,159,100	
31	Totals	77,647,759	13,459,567	16,550,962	47,637,230	1,420,000	7,832,930	3,155,000	27,795,200	7,434,100	
<b>Group 76 - Drainage Improvements</b>											
5	Current Projects	4,224,861	77,070	4,147,791	0	0	0	0	0	0	0 Projects Requiring New Funding in FY14-15 3 Projects Becoming Active in FY14-15
3	New Projects	483,100	0	0	483,100	483,100	0	0	0	0	
20	Future Projects	44,896,037	5,780,937	0	39,115,100	0	6,000,300	10,486,300	13,473,700	9,154,800	
28	Totals	49,603,998	5,858,007	4,147,791	39,598,200	483,100	6,000,300	10,486,300	13,473,700	9,154,800	
<b>Group 77 - Airport &amp; Transit Improvements</b>											
13	Current Projects	12,350,216	2,222,333	7,565,883	2,562,000	0	2,562,000	0	0	0	2 Projects Requiring New Funding in FY14-15 1 Projects Becoming Active in FY14-15
1	New Projects	5,603,990	0	0	5,603,990	100,000	3,482,000	2,021,990	0	0	
26	Future Projects	57,202,600	0	0	57,202,600	0	4,702,000	1,470,000	0	51,030,600	
40	Totals	75,156,806	2,222,333	7,565,883	65,368,590	100,000	10,746,000	3,491,990	0	51,030,600	
<b>Group 78 - Parks &amp; Recreation Improvements</b>											
15	Current Projects	31,560,796	15,833,114	16,661,682	-934,000	-1,909,000	975,000	0	0	0	0 Projects Requiring New Funding in FY14-15 5 Projects Becoming Active in FY14-15
5	New Projects	4,744,600	0	0	4,744,600	4,744,600	0	0	0	0	
28	Future Projects	77,535,722	162,352	0	77,373,370	0	8,056,600	15,046,600	35,729,670	18,540,500	
48	Totals	113,841,118	15,995,466	16,661,682	81,183,970	2,835,600	9,031,600	15,046,600	35,729,670	18,540,500	

Summary by Functional Groups

FY14-15 CIP Adopted

Group # & Functional Groups	Group \$ Totals	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Projects Requiring		
				Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<b>Group 79 - Miscellaneous Projects</b>				<b>Adopted Capital Budget</b>							
30	Current Projects	34,275,339	20,524,700	5,173,381	8,577,258	670,000	847,500	749,229	635,000	5,675,529	9 New Funding 1 in FY14-15 2 Projects Becoming Active in FY14-15
2	New Projects	850,000	0	0	850,000	850,000	0	0	0	0	
4	Future Projects	2,440,000	0	0	2,440,000	0	910,000	510,000	510,000	510,000	
36	Totals	37,565,339	20,524,700	5,173,381	11,867,258	1,520,000	1,757,500	1,259,229	1,145,000	6,185,529	
<b>TOTALS - All Groups</b>				<b>Adopted Capital Budget</b>							
138	Current Projects	450,570,952	91,741,999	106,785,165	252,043,788	62,350,600	50,070,430	17,513,229	20,420,000	101,689,529	42 New Funding 15 in FY14-15 29 Projects Becoming Active in FY14-15
29	New Projects	23,018,400	0	0	23,018,400	12,379,610	7,349,000	2,021,990	1,267,800	0	
224	Future Projects	776,549,391	10,915,356	0	765,634,035	0	74,616,435	89,646,400	212,626,980	388,744,220	
391	Totals	1,250,138,743	102,657,355	106,785,165	1,040,696,223	74,730,210	132,035,865	109,181,619	234,314,780	490,433,749	

CIP Expenditures

in FY12-13	28,622,805	57,464,300
in FY11-12	32,182,933	64,583,995
in FY10-11	31,818,634	-23,984,036
in FY09-10	30,636,673	8,720,906

New Appropriations	
Carryovers from FY13	
Recisions & Deferrals	
Supplementals	

CIP Forecast Estimates

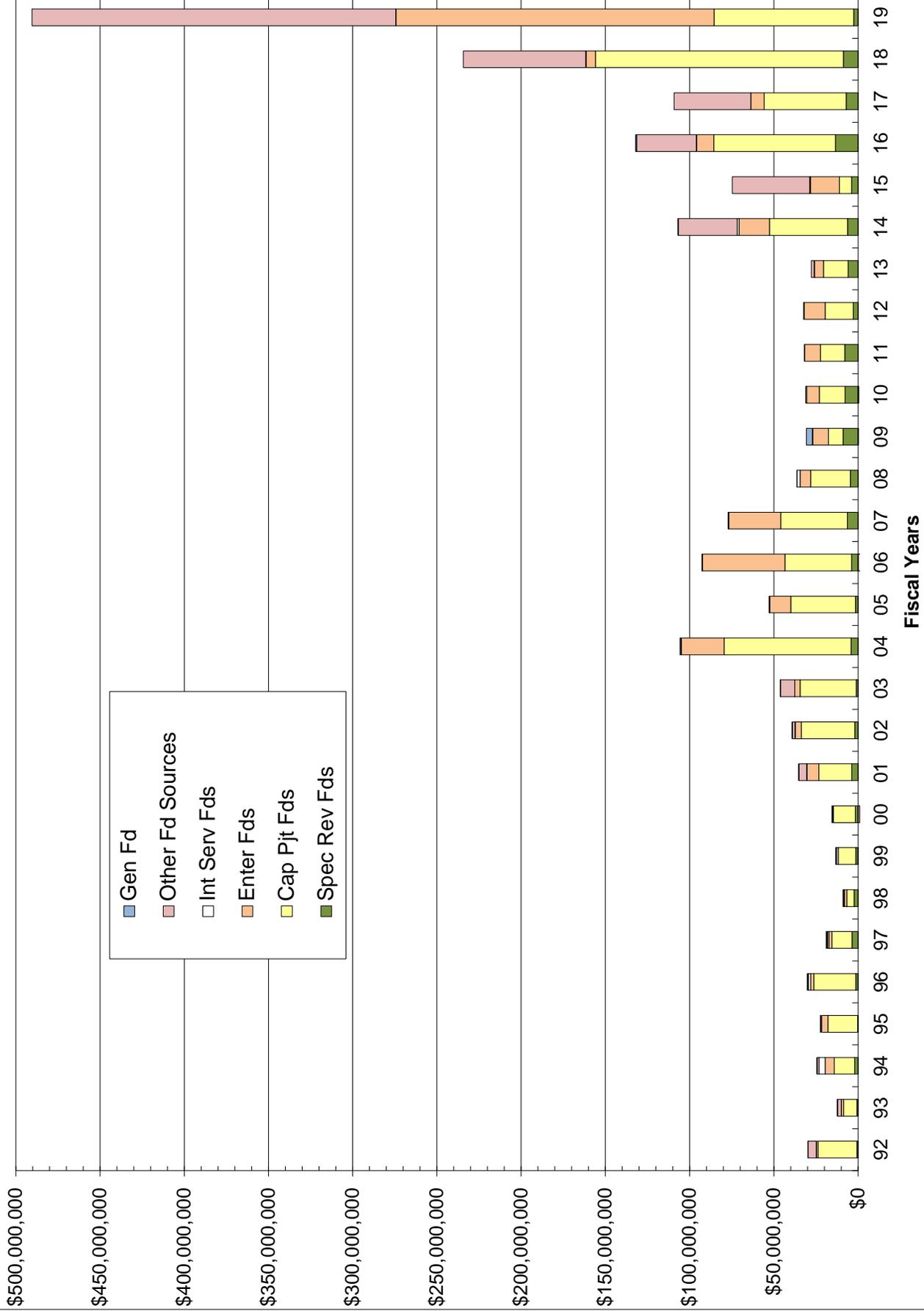
Estimated Expenditures in FY14	44,109,825
Estimated Lapsed Appropriations from FY14	2,996,500

Estimated Carryovers from FY14 into FY15 59,678,840

134,409,050 Amended Capital Budget with Carryovers

### Tracy Capital Improvement Program by Funding Sources FY92 to FY19

Actual & Projected  
Expenditures



## Summary by Funding Sources

## FY14-15 CIP Adopted

by Funding Sources	Funds	Prior Years	FY13-14	NEW APPROPRIATIONS REQUIRED						
		Expenditures	Appropriations	Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
					<b>Adopted Capital Budget</b>					
General Fund	F101-General	1,077,877	100,000	560,000	0	560,000	0	0	0	
Special Revenue Funds	F241-Transp Devel Tax	0	730,000	700,000	700,000	0	0	0	0	
	F242-Transp Sales Tax	3,920,926	2,508,317	12,603,720	1,772,320	2,307,000	4,435,400	1,450,000	2,639,000	
	F245-Gas Tax	1,664,789	2,661,111	21,200,700	408,700	11,126,000	2,560,500	7,238,000	-132,500	
	F26x-Com Dev Block Gt	225,336	137,255	411,000	211,000	50,000	50,000	50,000	50,000	
	F271-Landscaping District	26,989	211,011	832,000	832,000	0	0	0	0	
	Sub-Total	5,838,040	6,247,694	35,747,420	3,924,020	13,483,000	7,045,900	8,738,000	2,556,500	
Capital Project Funds	F301-General Projects	13,667,609	14,109,600	76,470,110	2,489,800	9,953,100	10,616,450	22,158,460	31,252,300	
	F311-Infill Parks	0	0	5,303,000	0	0	527,000	0	4,776,000	
	F312-Infill Storm Drainage	21,005	0	6,608,400	0	184,000	6,069,600	293,800	61,000	
	F313-Infill Arterials	150,645	-75,645	25,547,050	84,000	2,386,250	1,017,400	4,066,000	17,993,400	
	F314-Infill Bldgs & Eqpt	0	714,600	739,400	0	10,400	120,000	0	609,000	
	F318-CDA Agency-Projec	5,583,612	171,798	0	0	0	0	0	0	
	F321-Plan"C" - Parks	1,648,000	0	0	0	0	0	0	0	
	F322-Plan"C" - Drainage	5,540,222	621,600	1,565,700	0	415,600	1,040,900	0	109,200	
	F323-Plan"C" - Arterials	7,490,436	1,975,564	1,365,700	472,900	0	892,800	0	0	
	F324-Plan"C" - Gen Bldgs	1,949,652	1,663,121	2,900,530	0	1,111,330	860,200	0	929,000	
	F325-Plan"C" - Utilities	6,619,058	983,086	-1,053,060	405,000	-683,860	-774,200	0	0	
*	F345-RSP Pgm Mgmt	-10,997,178	4,811,602	784,870	193,000	-83,030	-795,300	380,000	1,090,200	
	F351-NE Indus Area #1	18,792,548	12,079,937	9,734,794	340,100	8,224,300	1,170,394	0	0	
	F352-South MacArthur Ar	1,592,957	641,547	4,857,435	0	349,600	715,935	2,772,000	1,019,900	
	F353-I205 Area Spec Plar	7,224,541	2,346,136	15,634,000	0	5,987,000	7,628,700	493,800	1,524,500	
	F354-Indus SP, South	2,625,853	1,608,652	25,903,190	68,000	8,833,590	12,316,000	2,721,400	1,964,200	
	F355-Presidio Area	1,513,439	201,657	3,200,900	0	5,800	937,200	1,334,700	923,200	
	F356-Tracy Gateway Area	2,139,852	544,760	67,469,200	1,603,800	30,143,500	2,517,500	20,302,100	12,902,300	
	F357-NE Indus Area #2	12,772,236	3,050,284	36,435,765	633,000	4,801,865	3,498,100	22,124,700	5,378,100	
	F3xx-Ellis Prgm Area	0	0	72,985,140	0	200,000	248,000	70,393,720	2,143,420	
	F381-Com Dev Ag Project	0	0	0	0	0	0	0	0	
	F387-RSP Reserves	0	0	0	0	0	0	0	0	
	F391-UMP Facilities	10,285,867	829,978	1,443,059	800,000	247,000	100,000	100,000	196,059	
(Continued)	Sub-Total	88,620,354	46,278,277	357,895,183	7,089,600	72,086,445	48,706,679	147,140,680	82,871,779	

## Summary by Funding Sources (Continued)

## FY14-15 CIP Adopted

		Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>by Funding Sources</u>	<u>Funds</u>				<b>Adopted</b>					
					<b>Capital Budget</b>					
Enterprise Funds	F513-Water	-2,145,480	8,766,538	7,720,810	1,420,000	-315,490	3,155,000	2,586,300	875,000	
	Bond Issue	0	0	0	0	0	0	0	0	
	State Loan or Grant	0	0	0	0	0	0	0	0	
	F523-Wastewater	290,034	2,249,478	156,263,900	1,560,000	-309,920	1,721,700	2,939,500	150,352,620	
	Bond Issue	0	0	14,000,000	14,000,000	0	0	0	0	
	State Loan or Grant	0	0	0	0	0	0	0	0	
	F541-Drainage Enterprise	14,924	228,101	1,364,000	143,000	753,000	382,000	43,000	43,000	
	F563-Airport	152,666	-2,630	3,795,400	0	178,000	170,000	0	3,447,400	
	FAA Grant	118,779	4,781,661	41,031,740	100,000	6,503,800	1,045,440	0	33,382,500	
	State Loan or Grant	0	0	2,842,300	0	2,131,000	0	0	711,300	
	F573-Transit	332,842	227,158	540,000	0	260,000	260,000	0	20,000	
	Federal Grant	1,523,854	1,507,346	2,080,000	0	1,040,000	1,040,000	0	0	
	State Loan or Grant	51,369	391,571	0	0	0	0	0	0	
	Sub-Total	338,988	18,149,223	229,638,150	17,223,000	10,240,390	7,774,140	5,568,800	188,831,820	
Internal Service Funds	F601-Central Garage	0	0	0	0	0	0	0	0	
	F602-Central Services	0	0	0	0	0	0	0	0	
	F605-Eqpt Acq	0	1,021,582	1,340,000	500,000	210,000	210,000	210,000	210,000	
	Sub-Total	0	1,021,582	1,340,000	500,000	210,000	210,000	210,000	210,000	
Other Sources	Developers Contribution	7,355,528	16,170,937	66,237,190	6,000,000	1,174,540	4,320,300	16,373,500	38,368,850	
	Tracy Rural Fire District	0	925,000	0	0	0	0	0	0	
	Federal TEA Grants	353,702	10,035,780	61,290,290	39,792,490	3,646,500	10,106,300	7,745,000	0	
	Other Federal Grants	314,619	0	1,000,000	0	0	1,000,000	0	0	
	State & Local Grants	4,114,497	306,672	8,216,390	201,100	1,101,790	6,463,500	450,000	0	
	Future Developments	0	7,550,000	278,771,600	0	29,533,200	23,554,800	48,088,800	177,594,800	
	F834-AD 84-1 Debt	-3,418,900	0	0	0	0	0	0	0	
	F835-CFD89-1 Debt	-1,937,350	0	0	0	0	0	0	0	
	Sub-Total	6,782,096	34,988,389	415,515,470	45,993,590	35,456,030	45,444,900	72,657,300	215,963,650	
	CIP Totals	102,657,355	106,785,165	1,040,696,223	74,730,210	132,035,865	109,181,619	234,314,780	490,433,749	

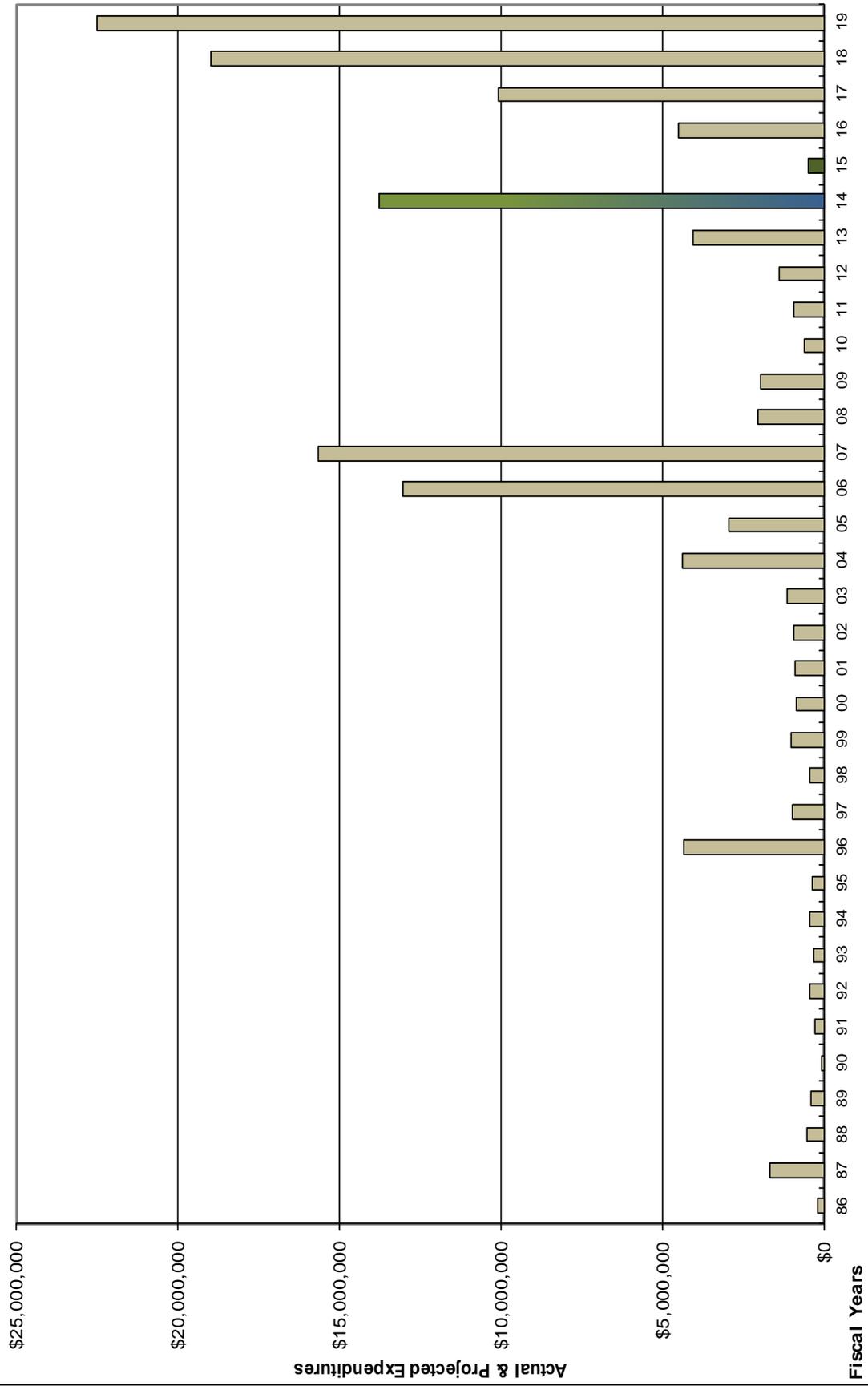
Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Projects Requiring 2 New Funding 2 in FY14-15	
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
9	Current Projects	20,232,608	6,351,291	13,786,317	95,000	95,000	0	0	0	0	
5	New Projects	387,200	0	0	387,200	387,200	0	0	0	0	
19	Future Projects	56,134,348	60,698	0	56,073,650	0	4,490,430	10,065,400	18,991,920	22,525,900	
33	Totals	76,754,156	6,411,989	13,786,317	56,555,850	482,200	4,490,430	10,065,400	18,991,920	22,525,900	
<b>by Funding Sources</b>											
	F101-General	560,000	0	0	560,000	0	560,000	0	0	0	
	F26x-Com Dev Block G	345,200	0	0	345,200	145,200	50,000	50,000	50,000	50,000	
	F301-General Projects	26,531,671	3,724,147	8,760,264	14,047,260	262,000	620,000	5,441,400	3,705,460	4,018,400	
	F314-Infill Bldgs & Eqpt	1,454,000	0	714,600	739,400	0	10,400	120,000	0	609,000	
	F324-Gen Fac - Plan "C	2,497,103	856,117	656	1,640,330	0	711,330	0	0	929,000	
	F345-RSP Area	2,373,200	0	828,000	1,545,200	75,000	0	0	380,000	1,090,200	
	F351-NE Indus Area #1	384,220	62,220	322,000	0	0	0	0	0	0	
	F352-So MacArthur Are	452,300	143,000	0	309,300	0	93,300	0	0	216,000	
	F353-I205 Area Spec Pl	2,457,400	1,094,385	1,363,015	0	0	0	0	0	0	
	F354-ISP South Area	1,649,100	334,600	0	1,314,500	0	151,200	0	0	1,163,300	
	F355-Presidio Area	102,700	96,900	0	5,800	0	5,800	0	0	0	
	F356-Tracy Gateway Ar	583,900	0	0	583,900	0	15,400	70,000	498,500	0	
	F357-NE Indus Area #2	331,200	60,000	271,200	0	0	0	0	0	0	
	F3xx-Ellis Prgm Area	4,219,660	0	0	4,219,660	0	0	48,000	4,171,660	0	
	F245-Gas Tax	40,620	40,620	0	0	0	0	0	0	0	
	F5x3-Water & Wastewa	1,961,300	0	0	1,961,300	0	0	0	1,961,300	0	
	F605-Equipment Acquis	601,582	0	601,582	0	0	0	0	0	0	
	Tracy Rural Fire District	925,000	0	925,000	0	0	0	0	0	0	
	Future Developments	29,284,000	0	0	29,284,000	0	2,273,000	4,336,000	8,225,000	14,450,000	
	Totals	76,754,156	6,411,989	13,786,317	56,555,850	482,200	4,490,430	10,065,400	18,991,920	22,525,900	

CIP Expenditures	in FY12-13 >>	4,063,125	937,300	New Appropriations
	in FY11-12 >>	1,396,832	13,526,557	Carryovers from FY13
	in FY10-11 >>	936,940	-1,350,000	Deferrals
	in FY09-10 >>	596,578	672,460	Supplementals

**Tracy Capital Improvement Program  
Gen Govt & Pub Safety Facilities**



Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
						Total	FY14-15	FY15-16	FY16-17	FY17-18	
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>					
71033	Monitoring UG Tanks - 6 locations	447,295	F301-General Projects F245-Gas Tax	276,445 40,620 0	55,230 0 0	0 0 75,000	0 0 75,000	0 0 0	0 0 0	0 0 0	Jun 15 Priority B Monitoring Underway 3 Sites Completed
71054	Expansion Pub Works Facility - Phase I	2,079,720	F301-General Projects F324-Gen Fac - Plan "C" F351-NE Indus Area #1 F352-So MacArthur A F F354-ISP South Area F355-Presidio Area F357-NE Indus Area #2	504,284 832,344 62,220 143,000 334,600 96,900 60,000	45,716 656 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	Jul 13 Work Completed See 71PP-072 for future phase II
71061	New Fire Station - Relocate Station #96 - West Grant Line Rd	4,000,000	F353-I205 Area Spec P F314-Infill Buildings F345-RSP Area	1,094,385 0 0	1,363,015 714,600 828,000	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Apr 14 Contract Award Nov 12
71062	New Fire Station - Relocate #92 - Banta East Grant Line Rd	4,155,000	F301-General Projects F351-NE Indus Area #1 F357-NE Indus Area #2 Tracy Rural Fire District	1,371,057 0 0 0	1,265,743 322,000 271,200 925,000	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	Apr 14 Contract Award Nov 12
71063	Police CAD/RMS Replacement	3,376,583	F301-General Projects F605-Eqpt Acquisition	1,201,906 0	1,673,095 501,582	0 0	0 0	0 0	0 0	0 0	Feb 14 Work Underway
71064	New Animal Shelter Phase I	5,395,460	F301-General Projects Grant Funding	199,520 0	5,195,940 0	0 0	0 0	0 0	0 0	0 0	Dec 14 Contract Award Apr 14
71071	Fuel Dispenser Replacement - Boyd	100,000	F605-Equipment Acquis	0 0	100,000 0	0 0	0 0	0 0	0 0	0 0	Feb 14 Work Completed
71072	Firearms Training Facility - Phase I Improvements	629,250	F301-General Projects	134,010	495,240	0	0	0	0	0	Jun 14 Work Underway
(Continued)											

Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>CURRENT PROJECTS (Continued)</u>												
							<u>Approved Capital Budget</u>					
71075	Quarters Modifications - Fire Station #91	49,300	F301-General Projects Grant Funding	0 0	29,300 0	20,000 0	20,000 0	0 0	0 0	0 0	0 0	Sep 14 Added funding needed
<b>Totals</b>												
9	Current Projects	20,232,608		6,351,291	13,786,317	95,000	95,000	0	0	0	0	

Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>NEW PROJECTS</u>						<u>Approved Capital Budget</u>						
71076	ADA Door Modifications at Support Services Bldg	134,000	F301-General Projects F26x-Com Dev Block G	0 0	0 0	30,000 104,000	30,000 104,000	0 0	0 0	0 0	0 0	Dec 15 Priority B New Facility
71077	Install Automatic Doors at City Hall	53,700	F301-General Projects F26x-Com Dev Block G	0 0	0 0	12,500 41,200	12,500 41,200	0 0	0 0	0 0	0 0	Dec 15 Priority B New Facility
71078	New Radio Tower - FS9	74,500	F301-General Projects Future Developments	0 0	0 0	74,500 0	74,500 0	0 0	0 0	0 0	0 0	Jun 15 Priority C3 New Facility
71079	Remodel of old FS#96	50,000	F301-General Projects	0	0	50,000	50,000	0	0	0	0	Jun 15 Priority D
71080	Repainting of Civic Center Water Tower	75,000	F301-General Projects	0	0	75,000	75,000	0	0	0	0	Jun 15 Priority D
5	Totals New Projects	387,200		0	0	387,200	387,200	0	0	0	0	

Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
71027	Retrofit Water Towers - Civic Center	400,400	F301-General Projects	0	0	400,400	0	0	400,400	0	0	Jun 17 Priority C8 Deferred to FY16-17
71035	City Hall Vehicles - New Development	97,503	F324-Gen Fac - Plan "C	23,773	0	44,730	0	44,730	0	0	0	Jan 16 New Equipment
			F352-So MacArthur Are	0	0	7,000	0	7,000	0	0	0	Deferred to FY15-16
			F354-ISP South Area	0	0	16,200	0	16,200	0	0	0	
			F355-Presidio Area	0	0	5,800	0	5,800	0	0	0	
71050	Public Safety Communications Tower	1,718,925	F301-General Projects	36,925	0	1,682,000	0	170,000	1,512,000	0	0	Apr 17 Deferred to FY15-17
71052	Police Radio Repeater & Tower - SMPA	18,300	F352-So MacArthur Are	0	0	18,300	0	18,300	0	0	0	Apr 16
			Future Developments	0	0	0	0	0	0	0	0	Deferred to FY15-16
71PP-001	ADA Compliance - City Buildings, Future Phases	236,000	F26x-Com Dev Block G	0	0	200,000	0	50,000	50,000	50,000	50,000	Phased Annual Program Rehabilitation
			F301-General Projects	0	0	36,000	0	9,000	9,000	9,000	9,000	
71PP- 003	Police Technical Facility Boyd Service Center	1,214,000	F301-General Projects	0	0	1,214,000	0	120,000	1,094,000	0	0	Jun 17 Priority C Expansion
71PP- 038	New Fire Station - Tracy Hills	7,850,000	F301-General Projects	0	0	0	0	0	0	0	0	Jun 18 Priority D New Facility
			Future Developments	0	0	7,850,000	0	0	900,000	6,950,000	0	
71PP- 045	Recarpeting/Repainting Police Facility	168,000	F301-General Projects	0	0	168,000	0	168,000	0	0	0	Sep 15 Priority B4 Rehabilitation
71PP- 052	Public Safety Facilities New Development Areas	2,321,720	F356-Tracy Gateway Ar	0	0	568,500	0	0	70,000	498,500	0	Jun 18 Priority C New & Expansion
			F3xx-Ellis Prgm Area	0	0	1,753,220	0	0	48,000	1,705,220	0	
71PP- 053	Police SWAT Equipmen Tracy Gateway Share	15,400	F356-Tracy Gateway Ar	0	0	15,400	0	15,400	0	0	0	Jun 16 Priority B New Equipment
71PP- 055	Haz Mat & Rescue Vehicle for Fire Department	560,000	F101-General	0	0	560,000	0	560,000	0	0	0	Jun 16 Priority B New Equipment
			Grant Funding	0	0	0	0	0	0	0	0	

(Continued)

Group 71 - General Government & Public Safety Facilities

FY14-15 CIP Adopted

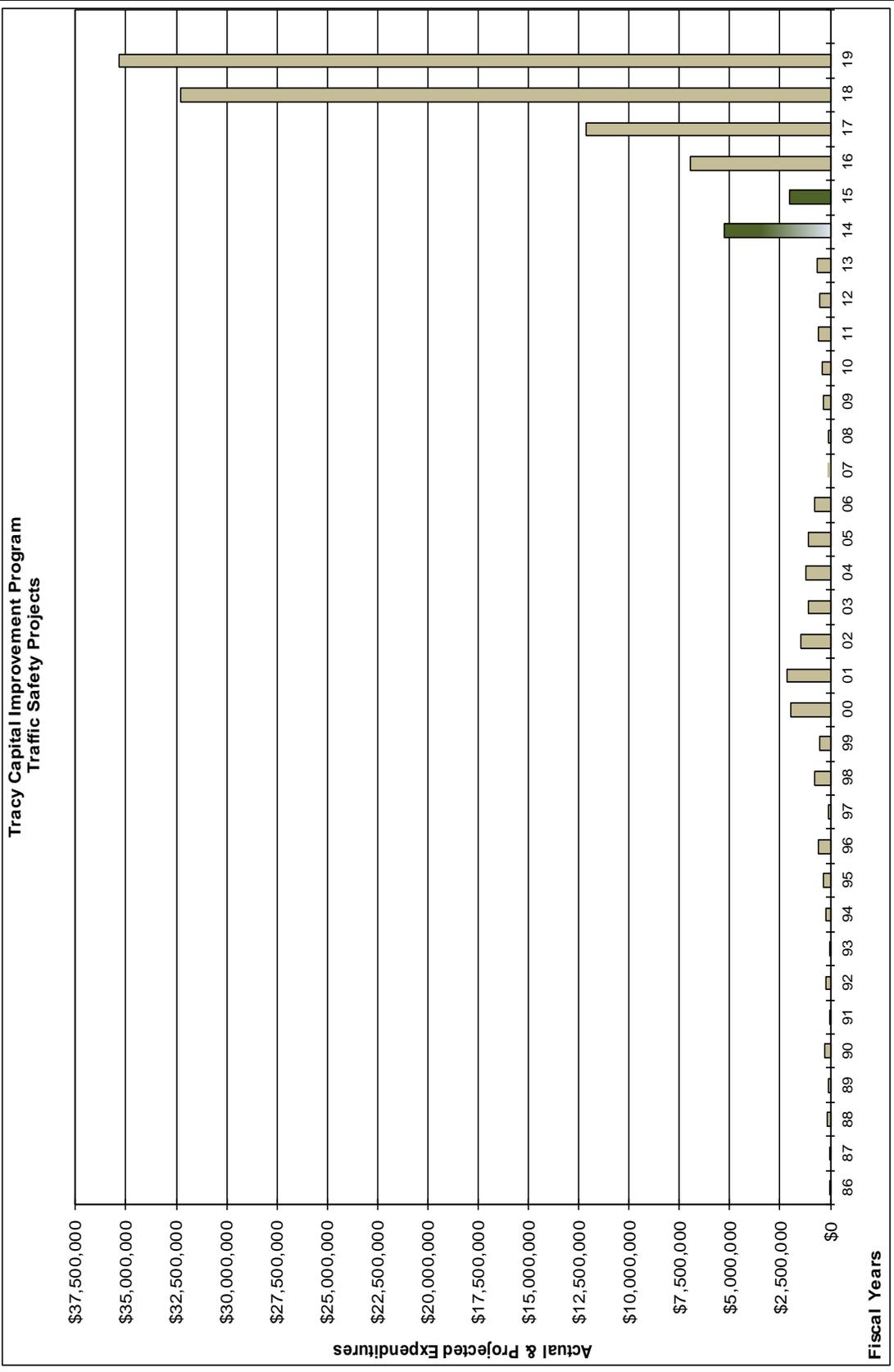
Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
71PP- 058	New Fire Vehicle - Ladder Truck	1,000,000	F314-Infill Buildings	0	0	130,400	0	10,400	120,000	0	0	Jun 17	Priority B New Equipment
			F324-Gen Fac - Plan "C	0	0	666,600	0	666,600	0	0	0		
			F352-So MacArthur Are	0	0	68,000	0	68,000	0	0	0		
			F354-ISP South Area	0	0	135,000	0	135,000	0	0	0		
71PP- 065	Remodel Police Facility	1,516,800	F301-General Projects	0	0	1,516,800	0	153,000	1,363,800	0	0	Jun 17	Priority C Rehabilitation
71PP- 067	New Fire Station - Southwest Tracy	4,189,000	F301-General Projects	0	0	0	0	0	0	0	0	Dec 17	Priority C New Facility
			Future Developments	0	0	4,189,000	0	753,000	3,436,000	0	0		
71PP- 068	New Fire Station - Relocate Station #97 - South Tracy	4,387,500	F301-General Projects	0	0	0	0	0	0	0	0	Jun 19	Priority D Replacement
			F314-Infill Buildings	0	0	609,000	0	0	0	0	609,000		
			F344-RSP Area	0	0	1,470,200	0	0	0	380,000	1,090,200		
			F324-Gen Fac - Plan "C	0	0	929,000	0	0	0	0	929,000		
			F352-So MacArthur Are	0	0	216,000	0	0	0	0	216,000		
			F354-ISP South Area	0	0	1,163,300	0	0	0	0	1,163,300		
71PP- 072	Expansion Pub Works Facility - Phase II	7,845,000	F301-General Projects	0	0	3,417,260	0	0	610,000	2,807,260	0	Dec 18	Priority D Expansion
			F513-Water Capital	0	0	1,961,300	0	0	0	1,961,300	0		
			F3xx-Ellis Prgm Area	0	0	2,466,440	0	0	0	2,466,440	0		
71PP- 074	Firearms Training Facility - Future Phases Improvements	2,205,800	F301-General Projects	0	0	2,205,800	0	0	452,200	639,200	1,114,400	Jun 19	Priority C Expansion
				0	0	0	0	0	0	0	0		
71PP- 075	Public Safety Training Facility	17,245,000	F301-General Projects	0	0	0	0	0	0	0	0	Jun 19	Priority D New Facility
			Future Developments	0	0	17,245,000	0	1,520,000	0	1,275,000	14,450,000		
71PP- 079	New Animal Shelter Phase II	3,145,000	F301-General Projects	0	0	3,145,000	0	0	0	250,000	2,895,000	Jun 19	Priority D Expansion
			Grant Funding	0	0	0	0	0	0	0	0		
<b>Totals</b>													
19	Future Projects	56,134,348		60,698	0	56,073,650	0	4,490,430	10,065,400	18,991,920	22,525,900		

Group 72 - Traffic Safety

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Projects Requiring	
				Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
					<b>Approved Capital Budget</b>					
13 Current Projects	7,673,202	591,198	5,315,904	1,766,100	1,469,100	0	297,000	0	0	4 New Funding 3 in FY14-15
3 New Projects	1,099,000	0	0	1,099,000	559,000	0	0	540,000	0	
47 Future Projects	86,613,912	689,067	0	85,924,845	0	6,978,145	11,856,200	31,744,000	35,346,500	
<b>63 Totals</b>	<b>95,386,114</b>	<b>1,280,265</b>	<b>5,315,904</b>	<b>88,789,945</b>	<b>2,028,100</b>	<b>6,978,145</b>	<b>12,153,200</b>	<b>32,284,000</b>	<b>35,346,500</b>	
<b>by Funding Sources</b>										
F301-General Projects	70,000	0	0	70,000	70,000	0	0	0	0	
F241-TDA Sales Tax	1,410,000	0	710,000	700,000	700,000	0	0	0	0	
F242-Transp Sales Tax	850,000	0	600,000	250,000	250,000	0	0	0	0	
F245-Gas Tax	5,315,562	516,605	1,139,357	3,659,600	105,000	1,745,100	1,350,500	409,000	50,000	
F313-Infill Arterials	2,839,300	0	0	2,839,300	84,000	540,000	273,900	843,200	1,098,200	
F323-Arterials Plan "C"	1,254,600	0	361,800	892,800	0	0	892,800	0	0	
F351-NE Indus Area #	555,000	0	555,000	0	0	0	0	0	0	
F352-So MacArthur PA	1,359,000	0	0	1,359,000	0	0	50,000	1,309,000	0	
F353-I205 Area Spec F	4,781,800	100	0	4,781,700	0	666,400	2,676,000	493,800	945,500	
F354-Indus SP, South	2,333,036	10,756	102,000	2,220,280	68,000	1,612,280	540,000	0	0	
F355-Presidio Area	1,016,700	0	0	1,016,700	0	0	461,900	554,800	0	
F356-Tracy Gateway A	2,481,400	0	192,900	2,288,500	0	0	0	0	2,288,500	
F357-NE Indus Area #	14,311,000	3,035	385,400	13,922,565	0	1,496,965	0	12,425,600	0	
F3xx-Ellis Prgm Area	2,121,860	0	0	2,121,860	0	0	0	2,121,860	0	
Grant Funding	6,081,740	0	1,000,000	5,081,740	751,100	152,500	1,578,500	2,599,640	0	
Developer's Contributic	3,552,916	749,769	269,447	2,533,700	0	764,900	1,498,800	0	270,000	
Future Developments	45,052,200	0	0	45,052,200	0	0	2,830,800	11,527,100	30,694,300	
	<b>95,386,114</b>	<b>1,280,265</b>	<b>5,315,904</b>	<b>88,789,945</b>	<b>2,028,100</b>	<b>6,978,145</b>	<b>12,153,200</b>	<b>32,284,000</b>	<b>35,346,500</b>	

CIP Expenditures	in FY12-13 >>	638,834	2,543,700	New Appropriations
	in FY11-12 >>	530,814	2,942,589	Carryovers from FY13
	in FY10-11 >>	567,078	-239,838	Deferrals
	in FY09-10 >>	409,299	69,453	Supplementals



Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>						
72025	Traffic Signal Grant Line & Paradise	244,000	F351-NE Indus Area #1	0	244,000	0	0	0	0	0	0	Nov 13 Work Completed
72056	Signal Modifications - 11th & Lammers	405,000	F356-Tracy Gateway Ar F245-Gas Tax	0 0	192,900 212,100	0 0	0 0	0 0	0 0	0 0	0 0	Jun 14 Priority A Upgrade
72068	Traffic Signal Lammers & West Schulte	906,940	F323-Arterials Plan "C" Developer's Contribution Grant Funding	0 74,593 0	361,800 269,447 0	0 0 201,100	0 0 201,100	0 0 0	0 0 0	0 0 0	0 0 0	Jun 15 Priority B Design Underway
72069	Intersection Improvement 11th Street & Old MacArthur	4,115,862	F241-TDA Sales Tax F242-Transp Sales Tax F245-Gas Tax Grant Funding	0 0 435,251 0	710,000 400,000 620,611 1,000,000	700,000 250,000 0 0	700,000 250,000 0 0	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	Design Underway Jun 15
72072	Signal Modifications - 11th Street at East	275,000	F245-Gas Tax F242-Transp Sales Tax	7,910 0	67,090 200,000	0 0	0 0	0 0	0 0	0 0	0 0	Dec 13 Work Completed
72080	Traffic Signal Controlle Replacement FY12	50,000	F245-Gas Tax	1,813	48,187	0	0	0	0	0	0	Dec 13 Work Completed
72082	Traffic Signal Valpico & Sycamore Pkwy	420,000	F354-Indus SP, South Grant Funding	0 0	102,000 0	68,000 250,000	68,000 250,000	0 0	0 0	0 0	0 0	Jun 15 Priority B New Installation
72083	Intersection Imprmts - MacArthur & Pescadero	318,000	F245-Gas Tax	1,650	19,350	297,000	0	0	297,000	0	0	Jun 17 Priority B Contract Award Jul 2013
72084	Fiber Optics Standards Loops - FY13	60,000	F245-Gas Tax	7,081	52,919	0	0	0	0	0	0	Dec 13 Work Completed
72085	Street Light Installation	132,000	F245-Gas Tax	62,547	69,453	0	0	0	0	0	0	Jun 14 Work Underway
72086	Traffic Calming - Various Locations - FY13 Phase	50,000	F245-Gas Tax	353	49,647	0	0	0	0	0	0	Jun 13 Annual Program New Installation
(Continued)												



Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>NEW PROJECTS</b>						<b>Approved Capital Budget</b>						
72089	Advanced Traffic Signal Controllers - Tracy Blvd	405,000	F245-Gas Tax Grant Funding	0 0	0 0	105,000 300,000	105,000 300,000	0 0	0 0	0 0	0 0	Jun 15 Priority B Upgrade
72090	Traffic Signal - Tracy & Linne Road	624,000	F313-Infill Arterials	0	0	624,000	84,000	0 0	0 0	540,000 0	0 0	Jun 18 Priority D New Installation
72091	Downtown Up Lighting 10th Street	70,000	F301-General Projects F245-Gas Tax	0	0	70,000	70,000	0 0	0 0	0 0	0 0	Jun 15 Priority B Upgrade
3	Totals New Projects	1,099,000		0	0	1,099,000	559,000	0	0	540,000	0	

Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
72014	Traffic Signal Upgrades I205 area eastside	1,531,776	F353-I205 Area Spec P F323-Arterials Plan "C" F313-Infill Arterials Developer's Contribution	100 0 0 105,076	0 0 0 0	261,300 573,600 273,900 317,800	0 0 0 0	0 0 0 0	261,300 573,600 273,900 317,800	0 0 0 0	0 0 0 0	Jun 17 Priority C Upgrades
72038	Traffic Signal - Tracy Blvd & Valpico	573,036	F354-Indus SP, South Developer's Contribution	2,936 570,100	0 0	570,100 -570,100	0 0	570,100 -570,100	0 0	0 0	0 0	Dec 04 Work Completed Reimbursement Due
72053	Intersection Imprmts - Tracy Blvd & Sycamore Pkwy	400,000	F245-Gas Tax	0	0	400,000	0	400,000	0	0	0	Jun 16 Priority C Expansion
72062	Intersection Improveme I205 & MacArthur	21,525,800	F352-So MacArthur PA F355-Presidio Area F357-NE Indus Area #2 Future Developments	0 0 3,035 0	0 0 0 0	1,081,000 814,800 13,922,565 5,704,400	0 0 0 0	0 0 1,496,965 0	0 260,000 0 0	1,081,000 554,800 12,425,600 5,704,400	0 0 0 0	Jun 18 Priority C Expansion
72073	Intersection Imprmts - MacArthur & Valpico	310,000	F354-Indus SP, South	3,910	0	306,090	0	306,090	0	0	0	Jun 16 Design Completed
72074	Intersection Imprmts - Tracy & Valpico	200,000	F354-Indus SP, South	3,910	0	196,090	0	196,090	0	0	0	Jun 16 Design Completed
72PP- 008	Traffic Striping & Signing Survey	100,000	F245-Gas Tax	0	0	100,000	0	100,000	0	0	0	Dec 15 Priority B Study
72PP- 011	Traffic Signal - MacArthur & Mt Diablo	390,000	F245-Gas Tax F354-Indus SP, South	0 0	0 0	390,000 0	0 0	0 0	390,000 0	0 0	0 0	Jun 17 Priority C New Installation
72PP- 013	Traffic Signal - Tracy & Gandy Dancer	540,000	F313-Infill Arterials	0 0	0 0	540,000 0	0 0	540,000 0	0 0	0 0	0 0	Jun 16 Priority B New Installation
72PP- 024	Intersection Improveme Morris Phelps & Schulte Road	337,500	F245-Gas Tax	0	0	337,500	0	0	337,500	0	0	Jun 17 Priority C New Installation
(Continued)												

Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
72PP- 028	Traffic Signal Grant Line Road & Street "A"	356,500	F353-I205 Area Spec P	0	0	356,500	0	356,500	0	0	0	Jun 16	Priority B New Installation
72PP- 029	Traffic Signal Naglee Road & Auto Plaza Drive	350,000	F353-I205 Area Spec P F245-Gas Tax	0 0	0 0	309,900 40,100	0 0	309,900 40,100	0 0	0 0	0 0	Jun 16	Priority B New Installation
72PP- 030	Intersection Improvement 1580 & Corral Hollow	4,376,000	F352-So MacArthur PA F355-Presidio Area Future Developments	0 0 0	0 0 0	278,000 201,900 3,896,100	0 0 0	0 0 0	50,000 201,900 118,100	228,000 0 3,778,000	0 0 0	Jun 18	Priority D Expansion
72PP- 033	Traffic Signals -2.6 Collectors	959,000	F351-NE Indus Area #1 Future Developments	0 0	0 0	0 959,000	0 0	0 0	0 0	0 959,000	0 0	Jun 18	Priority D New Installation
72PP- 036	Traffic Centerline Stripping - various locations	60,000	F245-Gas Tax	0	0	60,000	0	60,000	0	0	0	Jun 16	Priority B New Installation
72PP- 042	Traffic Signal - MacArthur & Glenbrook	337,500	Developer's Contribution Grant Funding	0 0	0 0	185,000 152,500	0 0	185,000 152,500	0 0	0 0	0 0	Jun 16	Priority B New Installation
72PP- 053	Traffic Signal - Corral Hollow & Valpico	540,000	F354-Indus SP, South	0	0	540,000	0	0	540,000	0	0	Jun 17	Priority B New Installation
72PP- 054	Traffic Signal - Corral Hollow & Linne	540,000	F354-Indus SP, South	0	0	540,000	0	540,000	0	0	0	Jun 16	Priority B New Installation
72PP- 064	Intersection Imprmts - various locations	303,200	F245-Gas Tax F313-Infill Arterials	0 0	0 0	0 303,200	0 0	0 0	0 0	0 303,200	0 0	Jun 18	Priority D Replacement
72PP- 071	Left Turn Traffic Signal Chrisman & Kellogs Entrance	270,000	F351-NE Indus Area #1 Developer's Contribution	0 0	0 0	0 270,000	0 0	0 0	0 0	0 0	0 270,000	Jun 19	Priority D New Installation
72PP- 074	Lighted Crosswalk/Flas Lowell Ave, west of Tracy	126,000	F245-Gas Tax	0	0	126,000	0	63,000	0	63,000	0	Jun 18	Biannual Program New Installation
(Continued)													

Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
72PP- 075	Red Light Flasher - Linne & Tracy Road	240,000	F245-Gas Tax	0	0	240,000	0	240,000	0	0	0	Jun 16	Priority B New Installation
72PP- 076	Traffic Signal Coordina Schulte Road & MacArthur Drive	240,000	F245-Gas Tax	0	0	240,000	0	120,000	0	120,000	0	Jun 18	Priority A Study & Plans
72PP- 079	Traffic Calming - Various Locations - Future Years	100,000	F245-Gas Tax	0	0	100,000	0	50,000	50,000	0	0	Jun 17	Annual Program New Installation
72PP- 081	Intersection Impmts - Grant Line & Lammers Roads	3,839,700	F353-I205 Area Spec P Future Developments	0 0	0 0	50,200 3,789,500	0 0	0 0	0 0	0 0	50,200 3,789,500	Jun 19	Priority D Upgrade
72PP- 082	Intersection Impmts - Grant Line & Naglee Roads	271,500	F353-I205 Area Spec P Future Developments	0 0	0 0	20,800 250,700	0 0	0 0	0 0	0 0	20,800 250,700	Jun 19	Priority D Upgrade
72PP- 083	Intersection Impmts - Naglee Road & Pavilion Pkwy	807,400	F353-I205 Area Spec P Future Developments	0 0	0 0	345,300 462,100	0 0	0 0	345,300 462,100	0 0	0 0	Jun 17	Priority C Upgrade
72PP- 084	Intersection Impmts - Grant Line & I205 Ramps	2,538,500	F353-I205 Area Spec P Future Developments	0 0	0 0	493,800 2,044,700	0 0	0 0	0 0	493,800 2,044,700	0 0	Jun 18	Priority D Upgrade
72PP- 085	Intersection Impmts - Grant Line & Corral Hollow Rds	4,639,200	F353-I205 Area Spec P F323-Arterials Plan "C" Future Developments	0 0 0	0 0 0	2,069,400 319,200 2,250,600	0 0 0	0 0 2,250,600	2,069,400 319,200 0	0 0 0	0 0 0	Jun 17	Priority C Upgrade
72PP- 086	Intersection Impmts - Lammers & 11th Street	24,001,200	F353-I205 Area Spec P Future Developments	0 0	0 0	864,700 23,136,500	0 0	0 0	0 0	0 0	864,700 23,136,500	Jun 19	Priority D Upgrade
72PP- 087	Intersection Impmts - 11th Street & Corral Hollow Road	975,500	F353-I205 Area Spec P Future Developments	0 0	0 0	9,800 965,700	0 0	0 0	0 0	0 0	9,800 965,700	Jun 19	Priority D Upgrade
72PP- 090	Traffic Signal/Intersecti Impmts - Chrisman Rd, south of Parac Developer's Contributio	385,000	F245-Gas Tax	0	0	0 385,000	0 0	0 0	0 385,000	0 0	0 0	Jun 17	Priority B New Installation
(Continued)													

## Group 72 - Traffic Safety

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<u>Approved Capital Budget</u>						
72PP- 091	Traffic Signal/Intersecti Impmts - Chrisman & Paradise	458,500	F245-Gas Tax Developer's Contribution	0 0	0 0	0 458,500	0 0	0 0	0 458,500	0 0	0 0	Jun 17 Priority B New Installation
72PP- 092	Traffic Signal - Pescadero & Western Drwy	337,500	F245-Gas Tax Developer's Contribution	0 0	0 0	0 337,500	0 0	0 0	0 337,500	0 0	0 0	Jun 17 Priority B New Installation
72PP- 093	Intersection Impmts - 11th Street & MacArthur Drive	671,600	F313-Infill Arterials Future Developments	0 0	0 0	172,000 499,600	0 0	0 0	0 0	0 0	172,000 499,600	Jun 19 Priority D Upgrade
72PP- 094	Intersection Impmts - 11th Street & Lincoln Blvd	899,200	F313-Infill Arterials Future Developments	0 0	0 0	196,900 702,300	0 0	0 0	0 0	0 0	196,900 702,300	Jun 19 Priority D Upgrade
72PP- 095	Intersection Impmts - Grant Line Road & Tracy Blvd	695,300	F313-Infill Arterials Future Developments	0 0	0 0	203,000 492,300	0 0	0 0	0 0	0 0	203,000 492,300	Jun 19 Priority D Upgrade
72PP- 096	Intersection Impmts - Schulte Road & Tracy Blvd	1,384,000	F313-Infill Arterials Future Developments	0 0	0 0	526,300 857,700	0 0	0 0	0 0	0 0	526,300 857,700	Jun 19 Priority D Upgrade
72PP- 097	Intersection Impmts - Lammers & 11th Street	1,150,000	F245-Gas Tax Developer's Contribution	0 0	0 0	0 1,150,000	0 0	0 1,150,000	0 0	0 0	0 0	Jun 16 Priority B New Installation
72PP- 098	Traffic Improvements - Tracy Gateway Area	2,288,500	F356-Tracy Gateway Ar	0	0	2,288,500	0	0	0	0	2,288,500	Jun 19 Priority D Rehabilitation
72PP- 103	Study of Pedestrial Crossings - Arterials & Railroads	135,000	F245-Gas Tax	0	0	135,000	0	135,000	0	0	0	Jun 16 Priority A Study
72PP- 104	Traffic Signal Controlle Replacement - Future Years	200,000	F245-Gas Tax	0	0	200,000	0	50,000	50,000	50,000	50,000	Annual Contingency Replacement
72PP- 106	Replacement of Traffic Loops - Future Phases	352,000	F245-Gas Tax	0	0	352,000	0	176,000	0	176,000	0	Jun 18 Priority B Biannual Program
72PP- 109	Street Light Installation	82,000	F245-Gas Tax	0	0	82,000	0	0	82,000	0	0	Jun 17 Priority B
(Continued)												

Group 72 - Traffic Safety

FY14-15 CIP Adopted

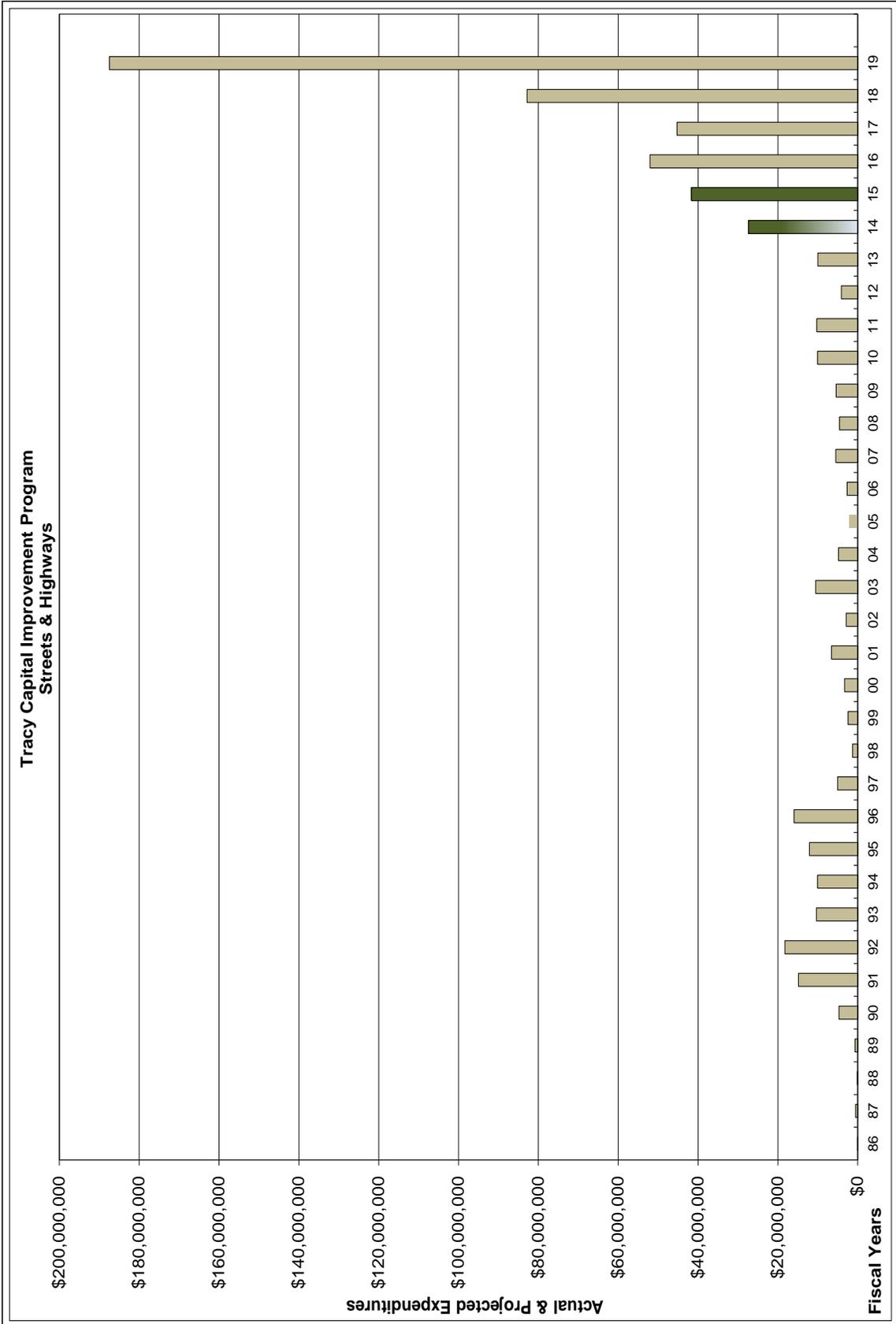
Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>						
72PP- 110	Adaptive Traffic System Corral Hollow Road, Schulte to Mall	1,122,250	F245-Gas Tax Grant Funding	0	0	245,000	0	165,000	80,000	0	0	Jun 17 Priority B Upgrade
				0	0	877,250	0	0	877,250	0	0	
72PP- 111	Adaptive Traffic System 11th Street, Corral Hollow to MacArthur	911,250	F245-Gas Tax Grant Funding	0	0	210,000	0	146,000	64,000	0	0	Jun 17 Priority B Upgrade
				0	0	701,250	0	0	701,250	0	0	
72PP- 201	Intersection Imprmts - Ellis Prgm Area	3,762,500	F3xx-Ellis Prgm Area Grant Funding	0	0	2,121,860	0	0	0	2,121,860	0	Jun 18 Priority C New Installation
				0	0	1,640,640	0	0	0	1,640,640	0	
<b>Totals</b>												
47	Future Projects	86,613,912		689,067	0	85,924,845	0	6,978,145	11,856,200	31,744,000	35,346,500	

Group 73 - Streets & Highways

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Projects Requiring	
					FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
21	Current Projects	230,343,965	23,166,069	27,345,496	179,832,400	40,730,500	13,360,900	11,492,000	18,510,000	95,739,000	11 New Funding 3 in FY14-15
2	New Projects	1,656,710	0	0	1,656,710	928,910	0	0	727,800	0	
52	Future Projects	231,958,422	4,162,382	0	227,796,040	0	38,688,980	33,759,900	63,634,560	91,712,600	
75	Totals	463,959,097	27,328,451	27,345,496	409,285,150	41,659,410	52,049,880	45,251,900	82,872,360	187,451,600	
<b>by Funding Sources</b>											
	F301-General Projects	2,400,000	0	0	2,400,000	0	800,000	800,000	800,000	0	
	F241-Transp Devel Tax	0	0	0	0	0	0	0	0	0	
	F242-Transp Sales Tax	17,782,963	3,920,926	1,908,317	11,953,720	1,522,320	2,107,000	4,435,400	1,250,000	2,639,000	
	F245-Gas Tax	20,051,038	1,148,184	1,361,754	17,541,100	303,700	9,380,900	1,210,000	6,829,000	-182,500	
	F269-Com Dev Block G	100,535	0	100,535	0	0	0	0	0	0	
	F313-Infill Arterials	22,782,750	150,645	-75,645	22,707,750	0	1,846,250	743,500	3,222,800	16,895,200	
	F323-Arterials Plan "C"	2,796,000	709,336	1,613,764	472,900	472,900	0	0	0	0	
	F345-RSP Arterials	3,520,905	1,172,171	1,649,964	698,770	118,000	580,770	0	0	0	
	F351-NE Industrial #1	15,639,788	7,512,227	8,127,561	0	0	0	0	0	0	
	F352-So MacArthur Are	2,897,200	0	0	2,897,200	0	152,000	478,300	1,463,000	803,900	
	F353-I205 Area Spec Pl	10,392,160	4,014,917	962,743	5,414,500	0	2,350,600	2,484,900	0	579,000	
	F354-Indus SP, South	15,949,732	1,054,961	1,001,071	13,893,700	0	1,644,800	9,602,500	2,646,400	0	
	F355-Presidio Area	2,062,700	0	0	2,062,700	0	0	359,600	779,900	923,200	
	F356-Tracy Gateway Ar	43,040,000	1,552,970	25,660	41,461,370	0	23,451,370	0	18,010,000	0	
	F357-NE Industrial #2	7,207,991	270,391	0	6,937,600	0	1,421,700	3,418,100	0	2,097,800	
	F3xx-Ellis Prgm Area	5,056,000	0	0	5,056,000	0	0	0	5,056,000	0	
	Developers Contribution	57,653,925	1,211,933	1,340,792	55,101,200	0	558,500	2,622,700	14,174,500	37,745,500	
	Highways Grants	71,350,210	4,609,790	9,328,980	57,411,440	39,242,490	4,495,790	8,527,800	5,145,360	0	
	Future Developments	163,275,200	0	0	163,275,200	0	3,260,200	10,569,100	23,495,400	125,950,500	
		463,959,097	27,328,451	27,345,496	409,285,150	41,659,410	52,049,880	45,251,900	82,872,360	187,451,600	

CIP Expenditures:	in FY12-13 >>	10,019,175	1,920,600	New Appropriations
	in FY11-12 >>	4,092,308	26,183,353	Carryovers from FY13
	in FY10-11 >>	10,269,232	-1,522,100	Deferrals
	in FY09-10 >>	10,203,979	763,643	Supplementals



## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
						Total	FY14-15	FY15-16	FY16-17	FY17-18	
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>					
73014	Widening - Corral Hollow Road - Grant Line to Mall Entry	7,777,410	F345-RSP Arterials Developer Contribution F353-I205 Area Spec P F242-Transp Sales Tax Highways Grants	421,622 739,700 2,302,310 3,064,218 791,844	-36 0 -1,292 350,888 108,156	0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	Feb 13 Work Completed
73048	Widening - Grant Line R MacArthur to City Limits	16,686,680	F351-NE Industrial #1 Developer Contribution	7,512,227 0	8,127,561 1,046,892	0 0	0 0	0 0	0 0	0 0	Nov 13 Priority B Contract Award Apr 2013
73061	Extension - Valpico Rd, Peddlebrook to MacArthur	3,101,832	F354-Indus SP, South F313-Infill Arterials	1,054,961 0	1,071 0	2,045,800 0	0 0	300,000 0	1,745,800 0	0 0	Jun 17 Partial Completion Deferred to FY15-17
73063	Bridge Replacement - 11th Street Bridge	46,067,520	F242-Transp Sales Tax F245-Gas Tax Highways Grants	378,047 636,342 2,688,479	27,253 51,458 1,373,441	1,120,010 550,000 39,242,490	1,120,010 200,000 39,242,490	0 350,000 0	0 0 0	0 0 0	Sep 16 ROW Acq/Design Underwa Out to Bid -Jul 14; Award Sept 14
73084	New Interchange - I205 & Lammers Road	61,523,800	F356-Tracy Gateway Ar Federal TEA Grant F242-Transp Sales Tax Developer Contribution Future Development	54,340 858,613 6,910 206,063 0	25,660 5,789,187 93,127 293,900 0	18,010,000 0 2,579,000 0 33,607,000	0 0 0 0 0	0 0 0 0 0	18,010,000 0 500,000 0 0	0 0 2,079,000 0 33,607,000	Jun 20 Design Start Aug 13
73095	Widening - Valpico Road, Tracy to Pebblebrook	11,005,000	F242-Transp Sales Tax F313-Infill Arterials F354-Indus SP, South	160,985 0 0	339,015 0 1,000,000	100,000 203,500 9,201,500	0 0 0	100,000 0 1,344,800	0 203,500 7,856,700	0 0 0	Jun 17 Priority B Contract Award Jan 2013
73102	Widening - Corral Hollow Road, Byron to Grant Line (Phase II)	4,160,200	F353-I205 Area Spec P Future Development	335,965 0	964,035 0	0 2,860,200	0 0	0 2,860,200	0 0	0 0	Jun 14 - Dec 16 Contract Award Sep 2012
73103	Widening - Corral Hollow Road, 11th to Schulte	5,779,000	F323-Arterials Plan "C" F245-Gas Tax	709,336 3,888	1,613,764 188,112	0 3,263,900	0 0	0 3,263,900	0 0	0 0	Dec 16 Design Underway
73109	New Interchange - I205 & Paradise Road	61,289,838	F245-Gas Tax Federal TEA Grant Future Development	122,766 127,810 0	317,072 672,190 0	50,000 0 60,000,000	50,000 0 0	0 0 0	0 0 0	0 0 60,000,000	Jul 22 Priority D Prelim Planning Complete
(Continued)											

## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<u>CURRENT PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
73125	Reconstruction - Larch Road, Holly Drive to e of Tracy	1,182,000	F242-Transp Sales Tax	56,374	55,626	1,070,000	0	1,070,000	0	0	0	Jun 16 Design Underway	
73126	Widening - MacArthur D Schulte to Valpico, Phase II	5,843,900	F313-Infill Arterials	150,645	-75,645	886,000	0	346,000	540,000	0	0	Jun 17	
			Federal TEA Grant	143,044	399,856	1,146,000	0	0	1,146,000	0	0	EIR Underway	
			RSTP Grant	0	0	3,194,000	0	3,194,000	0	0	0	0	See 73PP-120 for Phase :
73130	Street Patch & Overlay Program - FY13 Phase	1,002,100	F242-Transp Sales Tax	94,751	285,249	0	0	0	0	0	0	Jun 14	
			F245-Gas Tax	1,930	140,070	0	0	0	0	0	0	0	Contract Award Dec 2013
			RSTP Grant	0	480,100	0	0	0	0	0	0	0	
73131	Pavement Management System - FY13	163,000	F245-Gas Tax	36,515	23,485	103,000	0	50,000	0	0	53,000	Dec 13 Next Phase FY15-16	
73132	Sidewalk Improvements MacArthur & Schulte	235,000	F245-Gas Tax	222,423	12,577	0	0	0	0	0	0	Mar 13 Work Completed	
73133	Temporary Sidewalk - Valpico, Tracy to MacArthur	170,000	F245-Gas Tax	7,443	162,557	0	0	0	0	0	0	Jun 14 Contract Award May 2013	
73134	Sidewalk Repairs & Wheelchair Ramps - FY13 Phase	265,000	F242-Transp Sales Tax	159,641	105,359	0	0	0	0	0	0	Sep 13 Work Completed	
73135	Construction - N-S Para Road, s of GLR, w of Chrisman	1,200,000	F357-NE Industrial #2	0	0	0	0	0	0	0	0	Nov 13	
			F345-RSP Arterials	0	1,200,000	0	0	0	0	0	0	0	Developer Built
73136	Directional Signs in I205 at 2 locations	1,050,000	F245-Gas Tax	0	0	0	0	0	0	0	0	Jun 16	
			F345-RSP Arterials	0	450,000	600,000	118,000	482,000	0	0	0	0	Design Underway
73137	Reconstruct Railroad Crossings - 4 locations	200,000	F242-Transp Sales Tax	0	0	0	0	0	0	0	0	Aug 13	
			F245-Gas Tax	116,877	83,123	0	0	0	0	0	0	0	Work Completed
73138	Street Patch & Overlay Program - FY14 Phase	1,166,050	F242-Transp Sales Tax	0	530,000	0	0	0	0	0	0	Sep 14	
			F245-Gas Tax	0	130,000	0	0	0	0	0	0	0	Design Underway
			RSTP Grant	0	506,050	0	0	0	0	0	0	0	
(Continued)													

Group 73 - Streets & Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
<u>CURRENT PROJECTS (Continued)</u>													
							<b>Approved Capital Budget</b>						
73139	Sidewalk, Curb, & Gutter Repairs - FY14 Phase	475,635	F242-Transp Sales Tax F245-Gas Tax F269-Com Dev Block G	0 0 0	121,800 253,300 100,535	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Sep 14 Priority A Contract Award Jun 14	
<b>Totals</b>													
21	Current Projects	230,343,965		23,166,069	27,345,496	179,832,400	40,730,500	13,360,900	11,492,000	18,510,000	95,739,000		

Group 73 - Streets & Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>NEW PROJECTS</b>												
							<b>Approved Capital Budget</b>					
73140	Street Patch & Overlay Program - FY15 Phase	456,010	F242-Transp Sales Tax F245-Gas Tax RSTP Grant	0 0 0	0 0 0	402,310 53,700 0	402,310 53,700 0	0 0 0	0 0 0	0 0 0	0 0 0	Phased Annual Program Rehabilitation
73141	Improvements - Fabian Road, w of Corral Hollow	1,200,700	F323-Arterials Plan "C" Future Development	0 0	0 0	472,900 727,800	472,900 0	0 0	0 0	0 727,800	0 0	Jun 18 Priority C Expansion
2	Totals New Projects	1,656,710		0	0	1,656,710	928,910	0	0	727,800	0	

## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
73002	Extension - MacArthur Drive, 11th to Mt Diablo Phase I	12,195,519	F345-RSP Arterials Highways Grants F242-Transp Sales Tax	750,549 0 0	0 0 0	98,770 7,650,800 3,695,400	0 0 0	98,770 500,000 0	0 7,150,800 3,695,400	0 0 0	0 0 0	Jun 17 ROW Acq/Design Underwa
73035	Widening - Grant Line Road - Naglee to Lammers	3,502,412	F35X-I205 Area Plannir F353-I205 Area Spec P Developer Contribution	53,527 1,323,115 266,170	0 0 0	0 1,859,600 0	0 0 0	0 1,859,600 0	0 0 0	0 0 0	0 0 0	Jun 16 Partial Completion Expansion
73057	Construction - Street "C" Naglee to Corral Hollow	2,134,200	F353-I205 Area Spec P	0	0	2,134,200	0	241,000	1,893,200	0	0	Jun 17 Priority B New Facility
73067	Turn Lane - Grant Line Road & I205	110,000	F245-Gas Tax	0 0	0 0	110,000 0	0 0	110,000 0	0 0	0 0	0 0	Jun 16 Priority B Rehabilitation
73069	Construct - Street "A", Grant Line Road to Auto Mall Drive	1,917,600	F353-I205 Area Spec P Developer Contribution	0 0	0 0	841,700 1,075,900	0 0	250,000 0	591,700 1,075,900	0 0	0 0	Jun 17 Priority C New Installation
73090	Extension - Chrisman R Grant Line Rd to I205	3,985,891	F357-NE Industrial #2	270,391	0	3,715,500	0	297,400	3,418,100	0	0	Jun 17 Priority B Prelim Plan Completed
73092	Widening - Lammers Rc 3,000 feet south of 11th Street	10,976,000	F356-Tracy Gateway Ar	1,498,630	0	9,477,370	0	9,477,370	0	0	0	Jun 16 Priority B Expansion
73093	Widening - 11th Street, 4,500 feet west of Lammers	13,974,000	F356-Tracy Gateway Ar	0	0	13,974,000	0	13,974,000	0	0	0	Jun 16 Priority B Expansion
73PP- 001b	Street Patch & Overlay Program - Future Phases	3,399,790	F242-Transp Sales Tax F245-Gas Tax RSTP Grant	0 0 0	0 0 0	2,180,000 550,000 669,790	0 0 0	530,000 130,000 669,790	540,000 135,000 0	550,000 140,000 0	560,000 145,000 0	Phased Annual Program Rehabilitation
73PP- 007	Reconstruction - Clover Road, Lincoln to City Limits	2,016,000	F245-Gas Tax SJ County Participation	0 0	0 0	1,008,000 1,008,000	0 0	0 0	0 0	1,008,000 1,008,000	0 0	Jun 18 Priority D Rehabilitation
73PP- 010	Widening - Grant Line Road, Parker to MacArthur	0	F245-Gas Tax F313-Infill Arterials	0 0	0 0	-2,710,500 2,710,500	0 0	0 0	0 0	0 0	-2,710,500 2,710,500	Jun 19 Reimbursement for 73052
(Continued)												

## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
73PP- 021	Reconstruction MacArth Drive, Linne to Valpico	4,300,000	Future Development	0	0	4,300,000	0	400,000	3,900,000	0	0	Jun 17	Priority C Expansion
73PP- 025	Extension - Lincoln Blvd Kavanagh to Clover	1,265,000	F245-Gas Tax Assessments	0	0	1,265,000	0	0	0	1,265,000	0	Jun 18	Priority D New Installation
73PP- 028	Intchg Improvements - I205 & Grant Line Road, Phase II	12,260,000	F245-Gas Tax Future Development	0	0	300,000	0	0	0	50,000	250,000	Jun 19	Priority D Expansion
				0	0	11,960,000	0	0	0	5,750,000	6,210,000		
73PP- 040	Widening - Corral Hollow Road, I205 north to City Limits	2,976,000	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0	0	57,900	0	0	0	57,900	0	Jun 18	Priority C Expansion See 7314
				0	0	43,200	0	0	0	43,200	0		
				0	0	1,662,200	0	0	0	1,662,200	0		
				0	0	1,212,700	0	0	0	1,212,700	0		
73PP- 041	Widening - MacArthur Drive, 11th to Schulte Phases II & III	6,161,300	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0	0	125,400	0	0	0	0	125,400	Jun 19	Priority D Expansion See 7302
				0	0	94,100	0	0	0	0	94,100		
				0	0	3,113,800	0	0	0	586,000	2,527,800		
				0	0	2,828,000	0	0	0	0	2,828,000		
73PP- 042	Widening - Grant Line Road, Tracy to Corral Hollow	4,990,400	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0	0	155,300	0	0	0	155,300	0	Jun 18	Priority C Expansion See 7303
				0	0	116,400	0	0	0	116,400	0		
				0	0	2,281,500	0	0	0	2,281,500	0		
				0	0	2,437,200	0	0	0	2,437,200	0		
73PP- 043	Widening - Grant Line Road, Byron to Street A	2,927,400	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0	0	86,000	0	0	0	86,000	0	Jun 18	Priority C Expansion
				0	0	65,000	0	0	0	65,000	0		
				0	0	1,227,100	0	0	0	1,227,100	0		
				0	0	1,549,300	0	0	0	1,549,300	0		
73PP- 044	Widening - 11th Street, MacArthur to Chrisman	8,490,500	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0	0	223,700	0	0	223,700	0	0	Jun 18	Priority C Expansion
				0	0	168,200	0	0	168,200	0	0		
				0	0	3,295,800	0	0	0	3,295,800	0		
				0	0	4,802,800	0	0	326,200	4,476,600	0		

(Continued)

Group 73 - Streets & Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>						
73PP- 045	Widening - Central Ave, Sycamore to Tracy	1,902,800	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	0 0 832,800 1,070,000	0 0 0 0	0 0 0 0	0 0 188,100 0	0 0 644,700 1,070,000	0 0 0 0	Jun 18 Priority C Expansion
73PP- 046	Widening - Corral Hollow Road, I580 to Old Schulte	23,081,600	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	630,600 474,000 9,287,900 12,689,100	0 0 0 0	0 0 0 0	0 0 0 0	630,600 474,000 1,000,000 0	0 0 8,287,900 12,689,100	Jun 19 Priority D Expansion
73PP 047	Widening - Lammers Road, 11th south to City Limit	15,068,600	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	425,200 319,400 6,262,000 8,062,000	0 0 0 0	0 0 0 0	0 0 0 0	425,200 0 974,500 0	0 319,400 5,287,500 8,062,000	Jun 19 Priority D Expansion
73PP 047	Widening - Schulte Road w of Lammers, 3,200 LF	4,065,200	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	108,000 81,300 1,593,200 2,282,700	0 0 0 0	0 0 0 0	0 0 0 0	108,000 81,300 1,593,200 2,282,700	0 0 0 0	Jun 18 Priority C Expansion
73PP 049	Constructuon - Schulte Road, Lammers to Crossroads Drive	5,553,000	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	162,000 121,400 2,379,900 2,889,700	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	162,000 121,400 2,379,900 2,889,700	Jun 19 Priority D Expansion
73PP 050	Widening - Schulte Road Sycamore to Crossroad Drive, Phase II	6,543,100	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	205,000 154,300 3,069,700 3,114,100	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	205,000 154,300 3,069,700 3,114,100	Jun 19 Priority D Expansion
73PP 051	Widening - Valpico Road Lammers to Corral Hollow	11,688,800	F352-So MacArthur Are F355-Presidio Area Future Development Developer Contribution	0 0 0 0	0 0 0 0	311,500 234,000 4,580,700 6,562,600	0 0 0 0	0 0 0 0	0 0 0 0	0 0 0 0	311,500 234,000 4,580,700 6,562,600	Jun 19 Priority D Expansion
(Continued)												

## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>						
73PP 054	Aqueduct Crossings - Corral Hollow Road	4,198,600	F352-So MacArthur Arc F355-Presidio Area Future Development	0 0 0	0 0 0	254,600 191,400 3,752,600	0 0 0	0 0 0	254,600 191,400 0	0 0 3,752,600	0 0 0	Jun 18 Expansion Priority C
73PP- 055	Widening - MacArthur D I205 to Pescadero	1,124,300	F357-NE Industrial #2	0	0	1,124,300	0	1,124,300	0	0	0	Jun 16 Expansion Priority B
73PP 070	Extension - Larch Road, Holly to MacArthur Dr	1,500,250	F313-Infill Arterials	0	0	1,500,250	0	1,500,250	0	0	0	Jun 16 New Installation Priority B
73PP- 071	Widening - MacArthur D I205, north to Arbor	1,033,000	F313-Infill Arterials F245-Gas Tax	0 0	0 0	543,000 490,000	0 0	0 0	0 60,000	0 430,000	543,000 0	Jun 19 Expansion Priority D
73PP- 080	Intersection Modificator 11th & Tracy	3,570,000	F245-Gas Tax	0	0	3,570,000	0	0	0	1,780,000	1,790,000	Jun 19 Rehabilitation Priority D
73PP- 093	Widening - Pescadero Ave, MacArthur to Paradise	2,097,800	F357-NE Industrial #2	0	0	2,097,800	0	0	0	0	2,097,800	Jun 19 Expansion Priority D
73PP- 095	Construct - Crossroads, Greystone to Schulte	8,412,100	F352-So MacArthur Arc Developer Contribution Future Development	0 0 0	0 0 0	152,000 1,779,100 6,481,000	0 0 0	152,000 558,500 0	0 1,220,600 6,481,000	0 0 0	0 0 0	Jun 17 New Installation Priority B
73PP- 097	Widening - Linne Road, Corral Hollow to Tracy	13,641,700	F313-Infill Arterials Developer Contribution	0 0	0 0	13,641,700 0	0 0	0 0	0 0	0 0	13,641,700 0	Jun 19 Expansion Priority D
73PP- 098b	Sidewalk, Curb, & Gutte Repairs - Future Phases	930,000	F245-Gas Tax F269-Com Dev Block G	0 0	0 0	930,000 0	0 0	525,000 0	130,000 0	135,000 0	140,000 0	Phased Annual Program Rehabilitation
73PP- 103	Construction- North Roadways, I205 NW Area	579,000	F353-I205 Area Spec P	0	0	579,000	0	0	0	0	579,000	Jun 19 New Installation Priority B
73PP- 105	Reconstruction - Bessie Ave, Carlton to Grantline	1,170,000	F242-Transp Sales Tax F245-Gas Tax	0 0	0 0	0 1,170,000	0 0	0 1,170,000	0 0	0 0	0 0	Jun 16 Rehabilitation Priority B
(Continued)												

## Group 73 - Streets &amp; Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>							
73PP- 108	Construction - Larch Rd north side, east of Holly	850,000	F245-Gas Tax	0	0	850,000	0	850,000	0	0	0	Jun 16	Priority B Upgrade
73PP- 109	Reconstruction MacArth Drive, I205 to Arbor Rd	500,000	F245-Gas Tax	0	0	500,000	0	500,000	0	0	0	Jun 16	Priority B Rehabilitation
73PP- 110	Reconstruction Lammer Road, north of Redbridge Rd	111,000	F245-Gas Tax	0	0	111,000	0	111,000	0	0	0	Jun 16	Priority B Rehabilitation
73PP- 111	Reconstruction 6th St, west of Tracy Blvd	200,000	F245-Gas Tax	0	0	200,000	0	200,000	0	0	0	Jun 16	Priority B Rehabilitation
73PP- 112	Widening - Schulte Road west of Barcelona	1,600,000	F245-Gas Tax Developer Contribution	0 0	0 0	0 1,600,000	0 0	0 0	0 0	0 0	0 1,600,000	Jun 19	Priority D Expansion
73PP- 113	Rehabilitation Street Shoulders - Citywide	600,000	F245-Gas Tax	0	0	600,000	0	150,000	150,000	150,000	150,000	Phased	Annual Program Rehabilitation
73PP- 114	Install Sidewalk - Lowell Ave, southside Tracy to Chester	115,000	F245-Gas Tax	0	0	115,000	0	115,000	0	0	0	Jun 16	Priority B New Installation
73PP- 117	Reconstruction - Larch Road, Holly Drive to WWTP	207,000	F242-Transp Sales Tax	0	0	207,000	0	207,000	0	0	0	Jun 16	Priority B Rehabilitation
73PP- 119	Street Light Repairs - various locations	76,000	F245-Gas Tax	0	0	76,000	0	76,000	0	0	0	Jun 16	Priority B Replacement
73PP- 120	Widening - MacArthur D Schulte to Valpico, Phase II	7,015,200	F313-Infill Arterials F354-Indus SP, South Developer Contribution	0 0 0	0 0 0	3,222,800 2,646,400 1,146,000	0 0 0	0 0 0	0 0 0	3,222,800 2,646,400 1,146,000	0 0 0	Jun 18	Priority D Expansion See 73126 for Phase 2
73PP- 121	Reconstruction - Corral Hollow Road, north of I580	1,780,000	F245-Gas Tax	0	0	1,780,000	0	1,780,000	0	0	0	Jun 16	Priority B Rehabilitation
(Continued)													

Group 73 - Streets & Highways

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>FUTURE PROJECTS (Continued)</u>							<b>Approved Capital Budget</b>					
73PP- 122	Reconstruction - Tracy Blvd, south of Linne	1,871,000	F245-Gas Tax	0	0	1,871,000	0	0	0	1,871,000	0	Jun 18 Priority C Rehabilitation
73PP- 123	Reconstruction - Arbor Road, Holly east to RR	735,000	F245-Gas Tax	0	0	735,000	0	0	735,000	0	0	Jun 17 Priority B Rehabilitation
73PP- 126	11th Street Beautificatio Corral Hollow to MacArthur	3,591,000	F242-Transp Sales Tax	0	0	600,000	0	200,000	200,000	200,000	0	Jun 18 Priority B Rehabilitation
			F301-General Projects	0	0	2,400,000	0	800,000	800,000	800,000	0	
			Highways Grants	0	0	591,000	0	132,000	231,000	228,000	0	
73PP- 201	Street Improvements - Ellis Prgm Area	8,965,360	F3xx-Ellis Prgm Area	0	0	5,056,000	0	0	0	5,056,000	0	
			Highways Grants	0	0	3,909,360	0	0	0	3,909,360	0	
52	Totals Future Projects	231,958,422		4,162,382	0	227,796,040	0	38,688,980	33,759,900	63,634,560	91,712,600	

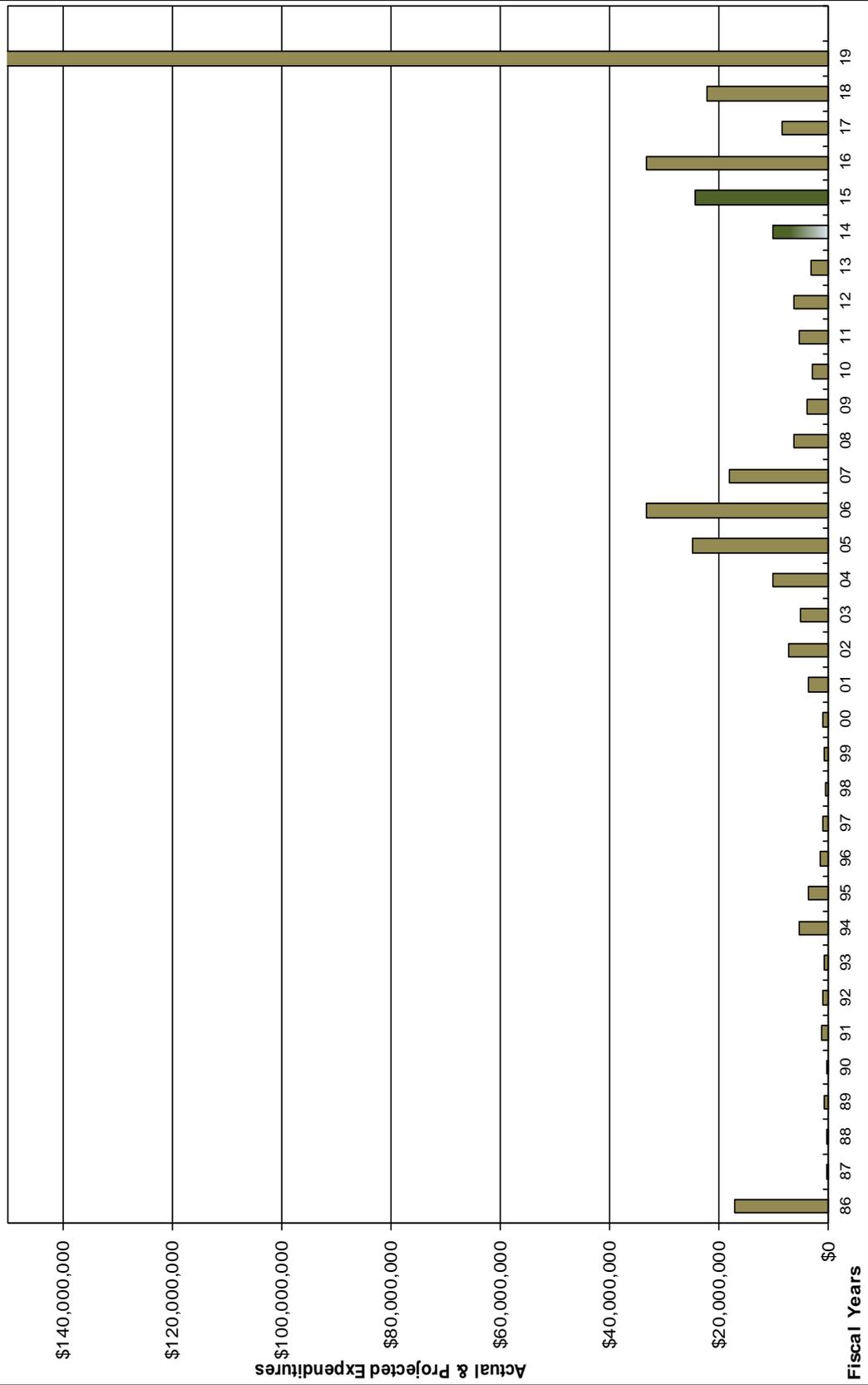
Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Projects Requiring 7 New Funding 3 in FY14-15	
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
				<b>Approved Capital Budget</b>							
17	Current Projects	72,653,906	9,516,657	10,237,749	52,899,500	20,895,000	26,304,500	4,700,000	1,000,000	0	
5	New Projects	7,173,800	0	0	7,173,800	3,306,800	3,867,000	0	0	0	
15	Future Projects	180,396,650	59,920	0	180,336,730	0	2,977,580	3,572,000	21,022,930	152,764,220	
37	Totals	260,224,356	9,576,577	10,237,749	240,410,030	24,201,800	33,149,080	8,272,000	22,022,930	152,764,220	
<b>by Funding Sources</b>											
	F513-Water Capital	748,534	722,624	25,910	0	0	0	0	0	0	
	F523-Wastewater Capit	171,525,592	5,566,614	2,249,478	163,709,500	1,560,000	4,932,880	3,924,500	2,939,500	150,352,620	
	Debt Proceeds	14,000,000	0	0	14,000,000	14,000,000	0	0	0	0	
	F325-Utilities - Plan "C"	405,000	0	0	405,000	405,000	0	0	0	0	
	F351-NE Indus Area #1	0	0	0	0	0	0	0	0	0	
	F352-Utilities - SMPA	0	0	0	0	0	0	0	0	0	
	F353-I205 Area Spec Pl	0	0	0	0	0	0	0	0	0	
	F354-ISP South Area	2,115,200	45,402	210,298	1,859,500	0	1,859,500	0	0	0	
	F356-Tracy Gateway Ar	9,070,000	474,348	-14,348	8,610,000	1,603,800	553,500	2,347,500	1,693,600	2,411,600	
	F357-NE Indus Area #2	7,436,200	2,767,589	2,232,411	2,436,200	633,000	1,803,200	0	0	0	
	F3xx-Ellis Prgm Area	15,129,530	0	0	15,129,530	0	0	0	15,129,530	0	
	State & Local Grant	0	0	0	0	0	0	0	0	0	
	Assessments Bonds	0	0	0	0	0	0	0	0	0	
	Developer's Contributor	10,353,000	0	2,534,000	7,819,000	6,000,000	0	0	1,819,000	0	
	Future Developments	29,441,300	0	3,000,000	26,441,300	0	24,000,000	2,000,000	441,300	0	
		260,224,356	9,576,577	10,237,749	240,410,030	24,201,800	33,149,080	8,272,000	22,022,930	152,764,220	

CIP Expenditure:	in FY12-13 >>	3,136,735	25,565,000	New Appropriations
	in FY11-12 >>	6,251,335	4,960,847	Carryovers from FY13
	in FY10-11 >>	5,250,991	-20,522,098	Deferrals
	in FY09-10 >>	2,756,906	234,000	Supplementals

Tracy Capital Improvement Program  
Wastewater Improvements



## Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>							
74004	Lining Sludge Drying Be WW Treatment Plant	1,549,018	F523-Wastewater Capit	1,513,928	35,090	0	0	0	0	0	0	Oct 12 Work Completed	
74073	NPDES Permit Tech Studies	2,648,000	F523-Wastewater Capit	2,591,018	56,982	0	0	0	0	0	0	Jun 13 Completed	
74081	Geographical Informatio System for Utilities	1,804,923	F513-Water Capital F523-Wastewater Capit	722,624 1,056,389	25,910 0	0 0	0 0	0 0	0 0	0 0	0 0	Jun 13 Completed	
74083	Second Outfall Pipeline - WW Treatment Plant	25,000,000	F357-NE Indus Area #2 Debt Proceeds Developer's Contributio	2,767,589 0 0	2,232,411 0 0	0 14,000,000 6,000,000	0 14,000,000 6,000,000	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Jun 16 Design Underway
74084	WW Upgrades - East Grant Line Road	2,115,200	F523-Wastewater Capit F354-ISP South Area	0 45,402	0 210,298	0 1,859,500	0 0	0 1,859,500	0 0	0 0	0 0	0 0	Jun 16 Design Underway
74091	Wastewater Recycling Pipeline, Phase I	3,045,000	F523-Wastewater Capit	39,530	305,470	2,700,000	0	0	2,700,000	0	0	0	Dec 16 Priority B New
74092	WW Lines Replacement Program - FY12	270,765	F523-Wastewater Capit	235,903	34,862	0	0	0	0	0	0	0	Jun 13 Work Completed
74094	WWCS Capacity Maint Mgmt System-Data Acquisition	125,000	F523-Wastewater Capit	53,357	21,643	50,000	25,000	25,000	0	0	0	0	Jun 16 Work Underway
74097	Upgrade WW Collection System - Hansen Road	1,580,000	F356-Tracy Gateway A Developer's Contributio	474,348 0	-14,348 1,120,000	0 0	0 0	0 0	0 0	0 0	0 0	0 0	Jun 15 Design Underway
74098	WW Lines Replacement Program - FY13 Phase	265,000	F523-Wastewater Capit	1,982	263,018	0	0	0	0	0	0	0	Jun 15 Design Underway
74100	Wastewater Discharge Permit Study - FY13	390,000	F523-Wastewater Capit	0	390,000	0	0	0	0	0	0	0	Jun 17 Priority A Study
(Continued)													

Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<b>CURRENT PROJECTS (Continued)</b>						<b>Approved Capital Budget</b>						
74101	Security Cameras for - WW Treatment Plant	30,000	F523-Wastewater Capit	0	30,000	0	0	0	0	0	0	Nov 13 Priority A New Equipment
74102	Laboratory Information Management System	32,000	F523-Wastewater Capit	14,587	17,413	0	0	0	0	0	0	Jan 13 Priority A Work Completed
74103	WW Lines Replacement Bessie Ave, Emerson to Grant Line Rd	960,000	F523-Wastewater Capit	0	90,000	870,000	870,000	0	0	0	0	Jun 15 Priority B Replacement
74104	WW Lines Replacement Program - FY14 Phase	265,000	F523-Wastewater Capit	0	265,000	0	0	0	0	0	0	Oct 14 Design Underway
74105	WWT Plant Replacemer Program - FY14 Phase	240,000	F523-Wastewater Capit	0	240,000	0	0	0	0	0	0	Annual Contingency Replacement
74106	Pump Station Replacem Larch Road PS	1,920,000	F523-Wastewater Capit	0	500,000	1,420,000	0	420,000	0	1,000,000	0	Jun 18 Priority D5 Rehabilitation
74107	Wastewater Treatment Plant Expansion - Phase 2	29,000,000	F523-Wastewater Capit Future Developments	0 0	0 3,000,000	0 26,000,000	0 0	0 24,000,000	0 2,000,000	0 0	0 0	Jul 17 Priority B1 Expansion
74110	WWS Improvements - Tracy Hills Area	1,414,000	F523-Wastewater Capit Developer's Contributio	0 0	0 1,414,000	0 0	0 0	0 0	0 0	0 0	0 0	Design Underway
<b>Totals</b>												
17	Current Projects	72,653,906		9,516,657	10,237,749	52,899,500	20,895,000	26,304,500	4,700,000	1,000,000	0	

Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>NEW PROJECTS</b>						<b>Approved Capital Budget</b>						
74111	WW Lines Replacement Program - FY15 Phase	255,000	F523-Wastewater Capit	0	0	255,000	255,000	0	0	0	0	Annual Contingency Replacement
74112	Fiber Optic Lines from Civic Center to WWTP	250,000	F523-Wastewater Capit	0	0	250,000	250,000	0	0	0	0	Annual Contingency Replacement
74113	Force Main Expansion - Larch Road	2,008,800	F325-Utilities - Plan "C" F356-Tracy Gateway A	0	0	405,000 1,603,800	405,000 1,603,800	0	0	0	0	Jun 16 Priority B2 Expansion
74114	WWCS Improvements - NE Industrial Area #2 - Phase 2	4,500,000	F357-NE Indus Area #2 Future Developments	0	0	2,436,200 2,063,800	633,000 0	1,803,200 2,063,800	0	0	0	Nov 16 Priority D2 New
74115	Wastewater Discharge Permit Studies - FY15 Phase	160,000	F523-Wastewater Capit	0	0	160,000	160,000	0	0	0	0	Jun 15 Priority B4 Study
5	Totals New Projects	7,173,800		0	0	7,173,800	3,306,800	3,867,000	0	0	0	

Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Anticipated Completion & Comments
						Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
74064	Reclaimed Water Pipe - 11th Street, west of Lammers	1,893,600	F356-Tracy Gateway Area	0	0	1,893,600	0	0	200,000	1,693,600	0	Jun 18 Priority D New
74069	WW Lines Extension - to Chrisman Site	1,819,000	F523-Wastewater Capital Developer's Contribution	59,920	0	-59,920	0	1,759,080	0	-1,819,000	0	Dec 13 Design Underway
74PP- 001b	WW Lines Replacement Program - Future Phases	1,090,000	F523-Wastewater Capital	0	0	1,090,000	0	265,000	270,000	275,000	280,000	Annual Contingency Replacement
74PP- 049	Wastewater Treatment Plant Expansion - Phase 3	24,228,320	F523-Wastewater Capital Future Developments	0	0	10,228,320	0	0	0	0	10,228,320	Oct 21 Priority D8 Expansion
74PP- 054	WWT Plant Replacement Program - Future Phases	1,020,000	F523-Wastewater Capital	0	0	1,020,000	0	240,000	250,000	260,000	270,000	Annual Contingency Replacement
74PP- 064	Wastewater Conveyance for Tracy Gateway, Phase I	2,147,500	F356-Tracy Gateway Area	0	0	2,147,500	0	0	2,147,500	0	0	Jun 17 Priority C1 New
74PP- 065	Reclaimed WD System for Tracy Gateway Area	553,500	F356-Tracy Gateway Area	0	0	553,500	0	553,500	0	0	0	Jun 16 Priority B3 New
74PP- 067	Reclaimed Water Impvt for Tracy Gateway Area	15,866,900	F356-Tracy Gateway Area Future Developments	0	0	2,411,600	0	0	0	0	2,411,600	Jun 19 Priority D7 New
74PP- 101	Wastewater Treatment Plant Expansion - Phase 4	105,100,000	F523-Wastewater Capital Future Developments	0	0	0	0	0	0	0	0	Jun 25 Priority D9 Expansion
74PP- 105	Wastewater Recycling Pipeline, Phase II	1,500,000	F523-Wastewater Capital	0	0	1,500,000	0	0	0	0	1,500,000	Jun 19 Priority D6 New
74PP- 108	Wastewater Discharge Permit Studies - Future Phases	645,000	F523-Wastewater Capital	0	0	645,000	0	160,000	270,000	215,000	0	Jun 19 Priority B4 Study
74PP- 111	Outfall Pipeline Rehab MacArthur Drive, North of WWTP	1,220,000	F523-Wastewater Capital	0	0	1,220,000	0	0	220,000	0	1,000,000	Jun 19 Priority D4 Replacement
(Continued)												

Group 74 - Wastewater Improvements

FY14-15 CIP Adopted

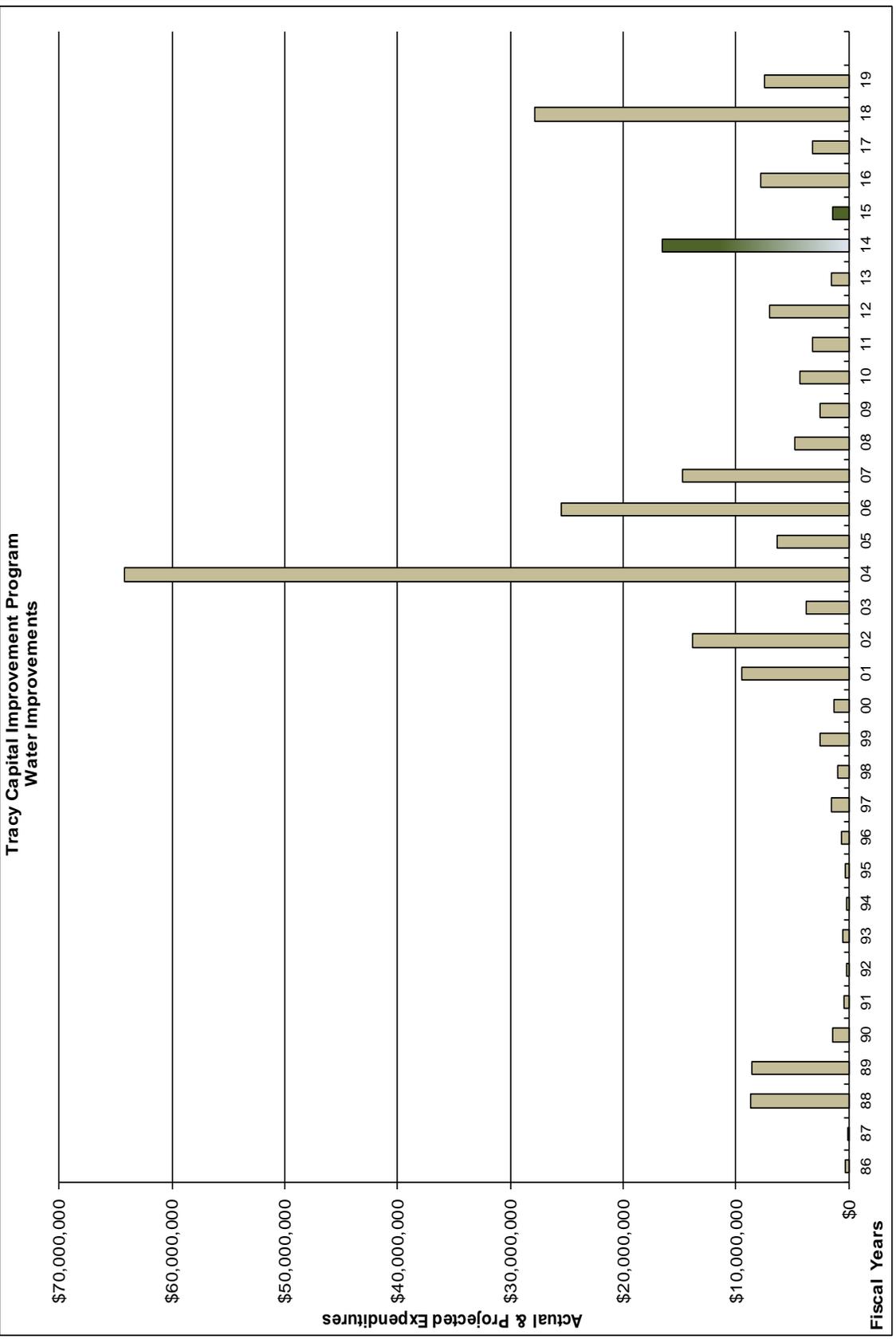
Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>						
74PP- 112	Pump Station Upgrade Corral Hollow near Larch Road	5,287,500	F523-Wastewater Capit Future Developments	0 0	0 0	0 5,287,500	0 0	0 0	0 0	0 768,500	0 4,519,000	Jun 19 Priority D3 Expansion
74PP- 113	WW Upgrades - MacArthur Dr and Pacheco	2,895,800	F523-Wastewater Capit Future Developments	0 0	0 0	2,454,500 441,300	0 0	0 0	214,500 0	2,240,000 441,300	0 0	Jun 18 Priority D3 Expansion
74PP- 201	Wastewater Impmts Corral Hollow & Larch Roads	15,129,530	F3xx-Ellis Prgm Area Future Developments	0 0	0 0	15,129,530 0	0 0	0 0	0 0	15,129,530 0	0 0	Jun 19 New
<b>Totals</b>												
15	Future Projects	180,396,650		59,920	0	180,336,730	0	2,977,580	3,572,000	21,022,930	152,764,220	

Group 75 - Water Improvements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Projects Requiring New Funding in FY14-15
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
15 Current Projects	37,256,059	13,459,567	16,550,962	7,245,530	400,000	6,020,530	275,000	275,000	275,000	7 New Funding 3 in FY14-15
3 New Projects	1,020,000	0	0	1,020,000	1,020,000	0	0	0	0	
13 Future Projects	39,371,700	0	0	39,371,700	0	1,812,400	2,880,000	27,520,200	7,159,100	
31 Totals	77,647,759	13,459,567	16,550,962	47,637,230	1,420,000	7,832,930	3,155,000	27,795,200	7,434,100	
<b>by Funding Sources</b>										
F101-General	0	0	0	0	0	0	0	0	0	
F511-Water Operating	2,317,072	667,072	275,000	1,375,000	275,000	275,000	275,000	275,000	275,000	
F513-Water Capital	25,293,862	10,052,824	8,465,628	6,775,410	1,145,000	1,800,410	2,880,000	350,000	600,000	
State Grant or Loan	0	0	0	0	0	0	0	0	0	
F325-Utilities Plan"C"	527,784	228,558	983,086	-683,860	0	-683,860	0	0	0	
F351-NE Indus Area #1	2,929,089	2,457,541	135,448	336,100	0	336,100	0	0	0	
F352-So MacArthur PA	464,200	0	409,900	54,300	0	54,300	0	0	0	
F353-I205 Area Spec Pl	0	0	0	0	0	0	0	0	0	
F354-ISP South Area	766,550	0	0	766,550	0	766,550	0	0	0	
F356-Tracy Gateway Ar	11,897,102	53,572	0	11,843,530	0	5,284,430	0	0	6,559,100	
F357-NE Indus Area #2	0	0	0	0	0	0	0	0	0	
F3xx-Ellis Prgm Area	22,770,200	0	0	22,770,200	0	0	0	22,770,200	0	
F387-RSP Reserves	0	0	0	0	0	0	0	0	0	
Assessments	0	0	0	0	0	0	0	0	0	
Developers Contribution	1,731,900	0	1,731,900	0	0	0	0	0	0	
Future Developments	8,950,000	0	4,550,000	4,400,000	0	0	0	4,400,000	0	
	77,647,759	13,459,567	16,550,962	47,637,230	1,420,000	7,832,930	3,155,000	27,795,200	7,434,100	

CIP Expenditure: in FY12-13 >> 1,554,515 | 9,016,000 New Appropriations  
 in FY11-12 >> 6,955,445 | 723,062 Carryovers from FY13  
 in FY10-11 >> 3,232,274 | 0 Deferrals  
 in FY09-10 >> 4,395,398 | 6,811,900 Supplementals



## Group 75 - Water Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>CURRENT PROJECTS</u>						<b>Approved Capital Budget</b>						
75046	Water Distribution System - NE Industrial Area	2,929,089	F351-NE Indus Area #1	2,457,541	135,448	336,100	0	336,100	0	0	0	Jun 16 Phase 1 Completed Phase 2 Deferred
75061	Water Supply Purchase from WSID & BCID	11,397,496	F513-Water Capital	8,897,496	2,500,000	0	0	0	0	0	0	Feb 14 75% Purchased Feb 04
75076	Well Rehabilitation - Lincoln Park & Production #1	634,900	F513-Water Capital	533,511	101,389	0	0	0	0	0	0	Apr 13 Work Completed
75078	Aquifer Storage & Recovery Program	1,000,000	F513-Water Capital State Grant	399,923 0	400,077 0	200,000 0	100,000 0	100,000 0	0	0	0	Jun 16 Work Underway
75085	Water Distribution System Tracy Gateway Area	5,338,002	F356-Tracy Gateway Area	53,572	0	5,284,430	0	5,284,430	0	0	0	Jun 16 Developer to Build Design Underway
75108	Water Lines -MacArthur Drive, Linne to Valpico	1,562,600	F513-Water Capital F325-Utilities Plan"C" F352-So MacArthur PA F354-ISP South Area	0 171,724 0 0	0 980,976 409,900 0	113,400 -859,950 0 746,550	0 0 0 0	113,400 -859,950 0 746,550	0	0	0	Dec 15 Design Underway Also, See 73126
75113	WDS Capacity Maint Mgmt System-Data Acquisition	125,000	F513-Water Capital	51,692	23,308	50,000	25,000	25,000	0	0	0	Jun 16 Work Underway
75114	Water Lines Replacement Program - FY13 Phase	320,000	F513-Water Capital	227,036	92,964	0	0	0	0	0	0	Jul 13 Work Completed
75115	Security Cameras for Water Treatment Plant	30,000	F513-Water Capital	0	30,000	0	0	0	0	0	0	Dec 13 Work Completed
75117	Water Lines Replacement Program - FY14 Phase	320,000	F513-Water Capital	0	320,000	0	0	0	0	0	0	Jun 15 Design Underway
75118	Construct New Cleanwater Treatment Plant	4,550,000	F513-Water Capital Future Developments	0 0	0 4,550,000	0 0	0 0	0 0	0	0	0	Jun 15 Priority B1 Replacement
(Continued)												

Group 75 - Water Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<b>CURRENT PROJECTS (Continued)</b>						<b>Approved Capital Budget</b>						
75119	Purchase of SSJID Water Supply from Lathrop	5,000,000	F513-Water Capital Future Developments	0	5,000,000	-5,000,000	0	-5,000,000	0	0	0	Sep 13 Priority B2 Purchase Completed
75991	Water Purchases for Storage with Semi-tropic WSD	2,317,072	F511-Water Operating	667,072	275,000	1,375,000	275,000	275,000	275,000	275,000	275,000	Annual Contingency
75120	Water Impmts - Tracy Hills Area	1,414,000	F513-Water Capital Developers Contributor	0	0	0	0	0	0	0	0	
75121	Booster Pump Station - Water Treatment Plant	317,900	F513-Water Capital Developers Contributor	0	0	0	0	0	0	0	0	
15	Totals Current Projects	37,256,059		13,459,567	16,550,962	7,245,530	400,000	6,020,530	275,000	275,000	275,000	

Group 75 - Water Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>NEW PROJECTS</u>												
							<b>Approved Capital Budget</b>					
75122	Water Lines Replaceme Program - FY15 Phase	320,000	F513-Water Capital	0	0	320,000	320,000	0	0	0	0	Annual Contingency Replacement
75123	Water Meter Replaceme Program	600,000	F513-Water Capital	0	0	600,000	600,000	0	0	0	0	Jun 15 Priority B1 Replacement
75124	Process Controllers Replacement - Water Treatment Plant	100,000	F513-Water Capital	0	0	100,000	100,000	0	0	0	0	Jun 15 Priority B1 Replacement
3	Totals New Projects	1,020,000		0	0	1,020,000	1,020,000	0	0	0	0	

Group 75 - Water Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>FUTURE PROJECTS</u>						<b>Approved Capital Budget</b>						
75PP- 001b	Water Lines Replaceme Program - Future Phases	1,370,000	F513-Water Capital	0	0	1,370,000	0	320,000	350,000	350,000	350,000	Annual Contingency Replacement
75PP- 067	Storage & Pumping Facilities	4,400,000	F513-Water Capital Future Developments	0 0	0 0	0 4,400,000	0 0	0 0	0 0	0 4,400,000	0	Jun 18 Priority D1 New Facilities
75PP- 077	Water Line Replacemen 20th to 23rd Streets, bw Holly & Bessie Avenues	2,280,000	F513-Water Capital	0	0	2,280,000	0	0	2,280,000	0	0	Jun 17 Priority C4 Replacement
75PP- 081	Water Storage Reservio Tracy Gateway Area	2,268,000	F356-Tracy Gateway Ai	0	0	2,268,000	0	0	0	0	2,268,000	Jun 19 Priority D2 New Facilities
75PP- 082	Water Pump Stations - Tracy Gateway Area	1,620,000	F356-Tracy Gateway Ai	0	0	1,620,000	0	0	0	0	1,620,000	Jun 19 Priority D3 New Facilities
75PP- 083	Emergency Well for Tracy Gateway Area	2,671,100	F356-Tracy Gateway Ai	0	0	2,671,100	0	0	0	0	2,671,100	Jun 19 Priority D4 New Facilities
75PP- 086	Watershed Survey - 2014 Update	35,000	F513-Water Capital	0	0	35,000	0	35,000	0	0	0	Dec 15 Priority C2 Study
75PP- 087	Urban Water Mgmt Plan - 2014 Update	85,000	F513-Water Capital	0	0	85,000	0	85,000	0	0	0	Dec 15 Priority C1 Study
75PP- 094	Water Master Plan - Citywide Update	38,100	F513-Water Capital F356-Tracy Gateway Ai	0 0	0 0	14,000 24,100	0 0	14,000 24,100	0 0	0 0	0	Jun 16 Priority D5 Study
75PP- 097	Water Line Replacemen Bessie Ave - Lowell to Grant Line	1,180,000	F513-Water Capital	0	0	1,180,000	0	1,180,000	0	0	0	Jun 16 Priority C3 Replacement
75PP- 099	Conjunctive Groundwat Use Study	154,300	F513-Water Capital F352-So MacArthur PA F354-ISP South Area	0 0 0	0 0 0	80,000 54,300 20,000	0 0 0	80,000 54,300 20,000	0 0 0	0 0 0	0	Jun 16 Priority B3 Study
(Continued)												

Group 75 - Water Improvements

FY14-15 CIP Adopted

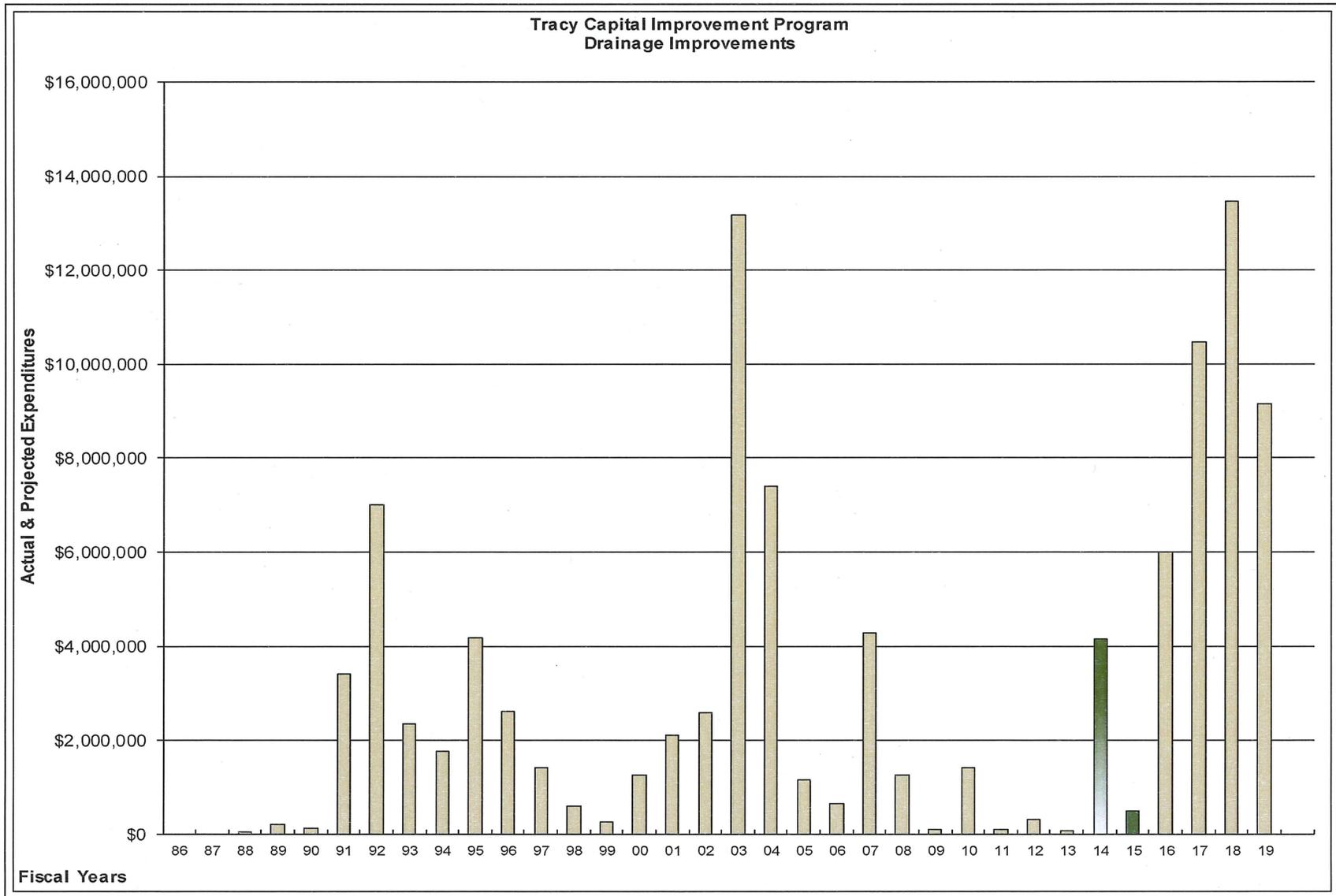
Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
FUTURE PROJECTS (Continued)						<b>Approved Capital Budget</b>						
75PP- 105	Water Filter Replaceme Water Treatment Plant	500,000	F513-Water Capital	0	0	500,000	0	0	250,000	0	250,000	Jun 19 Priority B1 Replacement
75PP- 201	Water Impmts Ellis Prgm Area	22,770,200	F3xx-Ellis Prgm Area Future Developments	0	0	22,770,200	0	0	0	22,770,200	0	
				0	0	0	0	0	0	0	0	
13	Totals Future Projects	39,371,700		0	0	39,371,700	0	1,812,400	2,880,000	27,520,200	7,159,100	

Group 76 - Drainage Improvements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED						Projects Requiring 0 New Funding 0 in FY14-15	
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
5	Current Projects	4,224,861	77,070	4,147,791	0	0	0	0	0	0	
3	New Projects	483,100	0	0	483,100	483,100	0	0	0	0	
20	Future Projects	44,896,037	5,780,937	0	39,115,100	0	6,000,300	10,486,300	13,473,700	9,154,800	
28	Totals	49,603,998	5,858,007	4,147,791	39,598,200	483,100	6,000,300	10,486,300	13,473,700	9,154,800	
<b>by Funding Sources</b>											
	F101-General	0	0	0	0	0	0	0	0	0	
	F245-Gas Tax	160,000	0	160,000	0	0	0	0	0	0	
	F301-General Projects	0	0	0	0	0	0	0	0	0	
	F312-Infill Drainage	6,629,405	21,005	0	6,608,400	0	184,000	6,069,600	293,800	61,000	
	F322-Plan C Drainage	3,026,522	839,222	621,600	1,565,700	0	415,600	1,040,900	0	109,200	
	F345-RSP Prgm Mgmt	0	0	0	0	0	0	0	0	0	
	F351-NE Indus Area #1	6,404,361	56,150	2,890,111	3,458,100	340,100	3,118,000	0	0	0	
	F352-So MacArthur Are	54,000	6,021	47,979	0	0	0	0	0	0	
	F353-I205 Area Spec Pl	0	0	0	0	0	0	0	0	0	
	F354-ISP South Area	4,505,345	703,285	0	3,802,060	0	2,724,260	1,077,800	0	0	
	F356-Tracy Gateway Ar	689,100	0	0	689,100	0	0	0	0	689,100	
	F357-NE Indus Area #2	11,541,800	0	0	11,541,800	0	0	0	9,619,100	1,922,700	
	F3xx-Ellis Prgm Area	3,517,800	0	0	3,517,800	0	0	0	3,517,800	0	
	F541-Drainage Enterpri	1,607,025	14,924	228,101	1,364,000	143,000	753,000	382,000	43,000	43,000	
	Future Developments	8,597,200	0	0	8,597,200	0	0	2,097,200	0	6,500,000	
	Developer's Contributor	2,871,440	4,217,400	200,000	-1,545,960	0	-1,194,560	-181,200	0	-170,200	
	Totals	49,603,998	5,858,007	4,147,791	39,598,200	483,100	6,000,300	10,486,300	13,473,700	9,154,800	

CIP Expenditures	in FY12-13 >>	62,422	305,500	New Appropriations
	in FY11-12 >>	317,602	3,842,291	Carryovers from FY13
	in FY10-11 >>	87,998	0	Deferrals
	in FY09-10 >>	1,409,582	0	Supplementals



Group 76 - Drainage Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>						
76028	Storm Drain Line - Grant Line, w of Paradise	1,346,761	F351-NE Indus Area # Future Developments	56,150 0	1,290,611 0	0 0	0 0	0 0	0 0	0 0	0 0	Nov 13 Work Completed
76036	Channel Improvements C2 Channel, NE Industrial Area	1,599,500	F351-NE Indus Area #	0	1,599,500	0	0	0	0	0	0	Nov 13 Work Completed
76054	Pump Station Upgrade - Larch Rd, sw corner at Tracy	200,000	F541-Drainage Enterpr F301-General Projects	14,899 0	185,101 0	0 0	0 0	0 0	0 0	0 0	0 0	Jun Priority A Contract Award Nov 13
76059	Drainage Improvements South MacArthur, Phase 2	875,600	F322-Plan C Drainage F352-So MacArthur Ar Developer's Contributic	0 6,021 0	621,600 47,979 200,000	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Dec 14 Design start Jan 14
76062	Storm Drains Replacem Program - FY14 Phase	203,000	F541-Drainage Enterpr F245-Gas Tax	0 0	43,000 160,000	0 0	0 0	0 0	0 0	0 0	0 0	Nov Priority A Rehabilitation
<b>Totals</b>												
5	Current Projects	4,224,861		77,070	4,147,791	0	0	0	0	0	0	



Group 76 - Drainage Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
76039	Drainage Improvements Berg Ave Area	339,025	F541-Drainage Enterpr	25	0	339,000	0	0	339,000	0	0	Jun 17 Deferred to Future
76045	New Detention Basin 2A ISP South, Zone 2	5,236,507	F354-Indus SP, South	703,285	0	1,250,760	0	1,250,760	0	0	0	Apr 07 Reimbursement Due
			F322-Plan C Drainage	839,222	0	0	0	0	0	0	0	
			F312-Infill Drainage	0	0	0	0	0	0	0	0	
			Developer's Contributic	3,694,000	0	-1,250,760	0	-1,250,760	0	0	0	
76PP- 001b	Storm Drains Replacem Program - Future Phases	172,000	F541-Drainage Enterpr	0	0	172,000	0	43,000	43,000	43,000	43,000	Annual Contingency Rehabilitation
76PP- 007	Pond Removal - 3 Locations	1,085,005	F312-Infill Drainage	21,005	0	1,064,000	0	659,800	404,200	0	0	Jun ' Priority B Removal
76PP- 009	Construction - West side Channel, north of Edgewood	228,200	F345-RSP Prgm Mgmt	0	0	0	0	0	0	0	0	Jun ' Priority B Deferred to Future
			Developer Contributor	0	0	228,200	0	228,200	0	0	0	
76PP- 024	Detention Basin 2B Blue Zone	5,450,700	F312-Infill Drainage	0	0	1,172,400	0	0	1,172,400	0	0	Jun ' Priority C New Installation
			F322-Plan C Drainage	0	0	1,103,300	0	243,600	859,700	0	0	
			F354-ISP South Area	0	0	1,077,800	0	0	1,077,800	0	0	
			Future Developments	0	0	2,097,200	0	0	2,097,200	0	0	
76PP- 027	Storm Drain - Sterling Park/Johnson (Yellow Zone)	172,000	F322-Plan C Drainage	0	0	172,000	0	172,000	0	0	0	Jun 06 Reimbursement Due
			Developer's Contributic	172,000	0	-172,000	0	-172,000	0	0	0	
76PP- 028	Storm Drain - San Marco 42" (Yellow Zone)	181,200	F322-Plan C Drainage	0	0	181,200	0	0	181,200	0	0	Nov 99 Reimbursement Due
			Developer's Contributic	181,200	0	-181,200	0	0	-181,200	0	0	
76PP- 035	Storm Drains Outfall- Eastlake 18" (Pink Zone)	170,200	F322-Plan C Drainage	0	0	109,200	0	0	0	0	109,200	Jan 01 Reimbursement Due
			F312-Infill Drainage	0	0	61,000	0	0	0	0	61,000	
			Developer's Contributic	170,200	0	-170,200	0	0	0	0	-170,200	
76PP- 039	Drainage Improvements South MacArthur, Phase 3	6,500,000	F352-So MacArthur Ar Future Developments	0	0	0	0	0	0	0	0	Jun ' Priority D New Installation

(Continued)

Group 76 - Drainage Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<u>Approved Capital Budget</u>						
76PP- 048	Drainage Improvements ISP South, Zone 1	768,100	F354-ISP South Area	0	0	768,100	0	768,100	0	0	0	Jun ' Priority B New Installation
76PP- 052	Drainage Improvements Grant Line Road	3,118,000	F351-NE Indus Area #	0	0	3,118,000	0	3,118,000	0	0	0	Jun ' Priority C New Installation
76PP- 053	Drainage Improvements Lincoln Blvd, 11 th to Beverly Place	293,800	F312-Infill Drainage	0	0	293,800	0	0	0	293,800	0	Jun ' Priority D Upgrade
76PP- 061	Drainage Conveyance Tracy Gateway Area	689,100	F356-Tracy Gateway #	0	0	689,100	0	0	0	0	689,100	Jun ' Priority D
76PP- 064	Drainage Improvements Pescadero Avenue	11,056,900	F357-NE Indus Area # Future Developments	0 0	0 0	11,056,900 0	0 0	0 0	0 0	9,619,100 0	1,437,800 0	Jun ' Priority D New Installation
76PP- 065	Drainage Improvements Chrisman Rd, Paradise to Grant Line	484,900	F357-NE Indus Area #	0	0	484,900	0	0	0	0	484,900	Jun ' Priority B New Installation
76PP- 068	Storm Drains Outfall- Rocha and 35	1,393,200	F312-Infill Drainage	0	0	1,393,200	0	0	1,393,200	0	0	Jun ' Priority C New Installation
76PP- 070	Drainage Improvements Bessie Ave, Eaton to GLR	3,329,400	F312-Infill Drainage F541-Drainage Enterpr	0 0	0 0	3,329,400 0	0 0	229,600 0	3,099,800 0	0 0	0 0	Jun ' Priority D Upgrade
76PP- 071	Drainage Improvements Arbor Ave, west of MacArthur Dr	710,000	F312-Infill Drainage F541-Drainage Enterpr	0 0	0 0	0 710,000	0 0	0 710,000	0 0	0 0	0 0	Jun ' Priority B Rehabilitation
76PP- 201	Drainage Improvements Ellis Prgm Area	3,517,800	F3xx-Ellis Prgm Area Future Developments	0 0	0 0	3,517,800 0	0 0	0 0	0 0	3,517,800 0	0 0	Jun 18
Totals												
20	Future Projects	44,896,037		5,780,937	0	39,115,100	0	6,000,300	10,486,300	13,473,700	9,154,800	

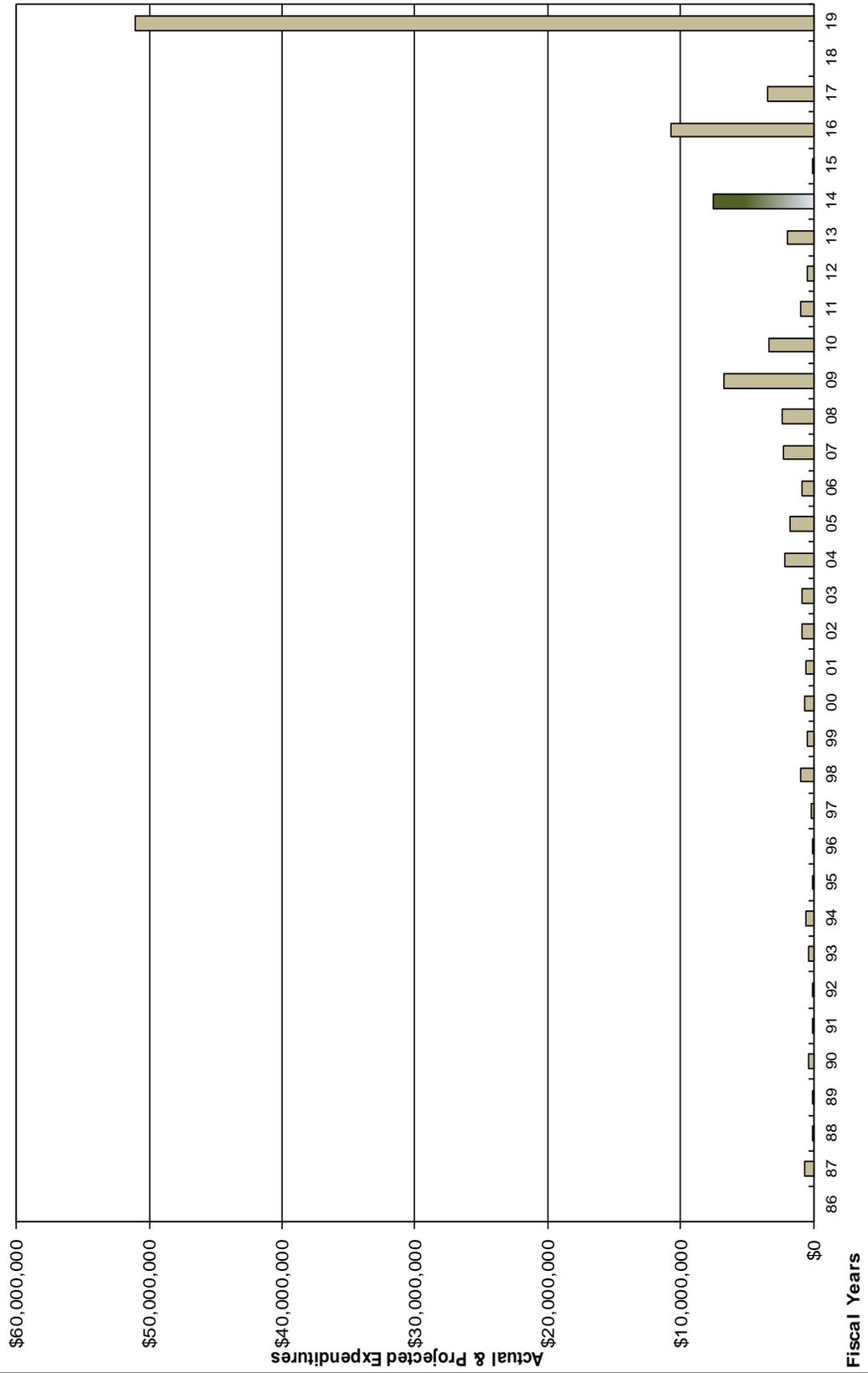
Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Projects Requiring 2 New Funding 0 in FY14-15	
				Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
13 Current Projects	12,350,216	2,222,333	7,565,883	2,562,000	0	2,562,000	0	0	0	
1 New Projects	5,603,990	0	0	5,603,990	100,000	3,482,000	2,021,990	0	0	
26 Future Projects	57,202,600	0	0	57,202,600	0	4,702,000	1,470,000	0	51,030,600	
<b>40 Totals</b>	<b>75,156,806</b>	<b>2,222,333</b>	<b>7,565,883</b>	<b>65,368,590</b>	<b>100,000</b>	<b>10,746,000</b>	<b>3,491,990</b>	<b>0</b>	<b>51,030,600</b>	
<b>by Funding Sources</b>										
F242-Transp Sales Tax	0	0	0	0	0	0	0	0	0	
F301-General Projects	15,782,750	42,823	660,777	15,079,150	0	633,200	976,550	0	13,469,400	
F381-Com Dev Ag Proj	0	0	0	0	0	0	0	0	0	
F563-Airport Capital	3,945,436	152,666	-2,630	3,795,400	0	178,000	170,000	0	3,447,400	
F573-Transit Capital	1,100,000	332,842	227,158	540,000	0	260,000	260,000	0	20,000	
FAA Grant	45,932,180	118,779	4,781,661	41,031,740	100,000	6,503,800	1,045,440	0	33,382,500	
FTA Grant	5,111,200	1,523,854	1,507,346	2,080,000	0	1,040,000	1,040,000	0	0	
Other Federal Grant	0	0	0	0	0	0	0	0	0	
State Aviation Grant	730,300	0	0	730,300	0	19,000	0	0	711,300	
State Aviation Loan	2,112,000	0	0	2,112,000	0	2,112,000	0	0	0	
State Transit Grant	442,940	51,369	391,571	0	0	0	0	0	0	
	<b>75,156,806</b>	<b>2,222,333</b>	<b>7,565,883</b>	<b>65,368,590</b>	<b>100,000</b>	<b>10,746,000</b>	<b>3,491,990</b>	<b>0</b>	<b>51,030,600</b>	

CIP Expenditures	in FY12-13 >>	1,942,334	4,476,000	New Appropriations
	in FY11-12 >>	470,060	2,939,883	Carryovers from FY13
	in FY10-11 >>	932,003	0	Deferrals
	in FY09-10 >>	3,365,657	150,000	Supplementals

Tracy Capital Improvement Program  
 Airport & Transit Improvements



## Group 77 - Airport &amp; Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>						
77027	Installation of 44 Portab Hangars	2,336,250	F563-Airport Capital FAA Grant State Aviation Loan	10,466 118,779 0	744 94,261 0	0 0 2,112,000	0 0 0	0 0 2,112,000	0 0 0	0 0 0	0 0 0	Jun 16 Design Completed
77034	Master Plan Update - Tracy Airport	450,556	F563-Airport Capital FAA Grant State Aviation Grant	556 0 0	0 0 0	26,000 405,000 19,000	0 0 0	26,000 405,000 19,000	0 0 0	0 0 0	0 0 0	Jun 16 Priority B1 Study
77035	FBO Roof Repairs - Tracy Airport	94,370	F301-General Projects F563-Airport Capital	42,823 0	37,177 14,370	0 0	0 0	0 0	0 0	0 0	0 0	Oct 13 Work Completed
77036	Fire Protection Water - Supply - Tracy Airport	76,000	F301-General Projects F563-Airport Capital	0 0	76,000 0	0 0	0 0	0 0	0 0	0 0	0 0	Jun 15 Design Underway
77037	Pavement Maintenance Tracy Airport, Phase I	5,258,900	F301-General Projects F563-Airport Capital FAA Grant	0 141,644 0	447,600 -17,744 4,687,400	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Jun 15 Out to Bid May 14
77038	Spill Prevention Control Countermeasure Plan - Airport Fuel Sy	100,000	F301-General Projects F563-Airport Capital	0 0	100,000 0	0 0	0 0	0 0	0 0	0 0	0 0	Jan 15
77539	Bus Stop Improvements 72 locations, Phase II	1,911,200	F573-Transit Capital FTA Grant	322,250 1,523,854	-122,250 187,346	0 0	0 0	0 0	0 0	0 0	0 0	Sep 13 Work Completed
77543	Fiber Optic Installation - Transit Station to City Hall	542,940	F573-Transit Capital FTA Grant Grant Funding - Prop 11	0 0 9,397	60,000 240,000 233,543	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Jan 14 Work Completed
77544	Electric Vehicle Chargin Stations-Tracy Transit Station	30,000	F573-Transit Capital	10,592	19,408	0	0	0	0	0	0	Feb 14 Work Completed
77545	Security Camera Install Tracy Transit Station	200,000	State Transit Grant	41,972	158,028	0	0	0	0	0	0	Dec 13 Work Completed
(Continued)												

Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>CURRENT PROJECTS (Continued)</u>						<b>Approved Capital Budget</b>						
77546	ParaTransit Bus Replacements - FY13	600,000	F573-Transit Capital FTA Grant	0 0	120,000 480,000	0 0	0 0	0 0	0 0	0 0	0 0	Jun 15 Priority B2 Replacement
77547	Transit Buses Replacements - FY13	700,000	F573-Transit Capital FTA Grant	0 0	140,000 560,000	0 0	0 0	0 0	0 0	0 0	0 0	Jun 15 Priority B2 Replacement
77548	Radio Replacements TRACER Buses	50,000	F573-Transit Capital FTA Grant	0 0	10,000 40,000	0 0	0 0	0 0	0 0	0 0	0 0	Jun 15 Priority A2 Replacement
13	Current Projects	12,350,216		2,222,333	7,565,883	2,562,000	0	2,562,000	0	0	0	

Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>NEW PROJECTS</u>						<b>Approved Capital Budget</b>						
77039	Pavement Maintenance Tracy Airport, Future Phases	5,603,990	F301-General Projects	0	0	1,334,750	0	358,200	976,550	0	0	Jun 17 Priority A1 Rehabilitation
			F563-Airport Capital	0	0	0	0	0	0	0	0	
			FAA Grant	0	0	4,269,240	100,000	3,123,800	1,045,440	0	0	
1	New Projects	5,603,990		0	0	5,603,990	100,000	3,482,000	2,021,990	0	0	

Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>						
77PP- 016	Aircraft Wash Facility -	99,400	F563-Airport Capital	0	0	99,400	0	0	0	0	99,400	Jun 19 Priority B5
77PP- 017	Helicopter Pad Airport	91,800	F563-Airport Capital	0	0	2,400	0	0	0	0	2,400	Jun 19 Priority C7
			FAA Grant	0	0	87,200	0	0	0	0	87,200	New
			State Aviation Grant	0	0	2,200	0	0	0	0	2,200	
77PP- 018	Utilities & Drainage Improvements - Tracy Airport	2,776,000	F563-Airport Capital	0	0	2,776,000	0	0	0	0	2,776,000	Jun 19 Priority C6 Upgrade & Expansion
77PP- 025	Land Acquisition - Tracy Airport	21,849,000	F563-Airport Capital	0	0	102,600	0	0	0	0	102,600	Jun 19 Priority C10
			FAA Grant	0	0	20,756,400	0	2,250,000	0	0	18,506,400	New - Expansion
			State Aviation Grant	0	0	519,000	0	0	0	0	519,000	
			F301-General Projects	0	0	471,000	0	250,000	0	0	221,000	
77PP- 026	Construct FBO Facility - Main Airport Area	5,604,000	F563-Airport Capital	0	0	35,000	0	0	0	0	35,000	Jun 19 Priority C8
			F301-General Projects	0	0	5,569,000	0	0	0	0	5,569,000	New
77PP- 027	Construct FBO Facility - South Hangar Area	3,108,000	F563-Airport Capital	0	0	0	0	0	0	0	0	Jun 19 Priority C9
			F301-General Projects	0	0	3,108,000	0	0	0	0	3,108,000	New
77PP- 028	Taxiway Construction & Paving - Tracy Airport	4,808,000	F563-Airport Capital	0	0	125,100	0	0	0	0	125,100	Jun 19 Priority C5
			FAA Grant	0	0	4,567,500	0	0	0	0	4,567,500	New
			State Aviation Grant	0	0	115,400	0	0	0	0	115,400	
77PP- 029	Road Upgrade - Tracy Blvd, s of Linne	2,943,000	F563-Airport Capital	0	0	0	0	0	0	0	0	Jun 19 Priority C3
			F301-General Projects	0	0	2,943,000	0	0	0	0	2,943,000	Rehabilitation
77PP- 030	Repairs FBO Building - Tracy Airport	1,337,000	F563-Airport Capital	0	0	0	0	0	0	0	0	Jun 19 Priority C4
			F301-General Projects	0	0	1,337,000	0	0	0	0	1,337,000	Replacement
77PP- 033	Airport Security Enhancements	3,112,000	F563-Airport Capital	0	0	80,900	0	0	0	0	80,900	Jun 19 Priority B7
			FAA Grant	0	0	2,956,400	0	0	0	0	2,956,400	New
			State Aviation Grant	0	0	74,700	0	0	0	0	74,700	
(Continued)												

Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS (Continued)</b>						<b>Approved Capital Budget</b>						
77PP- 060	Sanitary Improvements Tracy Airport	291,400	F563-Airport Capital F301-General Projects	0 0	0 0	0 291,400	0 0	0 0	0 0	0 0	0 291,400	Jun 19 Priority B6 Upgrade
77PP- 067	Playground Equipment - Tracy Airport Park	100,000	F563-Airport Capital	0	0	100,000	0	0	0	0	100,000	Jun 19 Priority B8 Upgrade
77PP- 070	Airport Improvements Tracy Airport - FY13	122,000	F563-Airport Capital	0	0	122,000	0	122,000	0	0	0	Jun 16 Priority A2 Upgrade & Rehab
77PP- 071	Runway Seal Coat - Tracy Airport	310,000	F563-Airport Capital FAA Grant	0 0	0 0	10,000 300,000	0 0	10,000 300,000	0 0	0 0	0 0	Jun 16 Priority A5 Rehabilitation
77PP- 072	Airport Site Selection Study	250,000	F301-General Projects FAA Grant	0 0	0 0	25,000 225,000	0 0	25,000 225,000	0 0	0 0	0 0	Jun 16 Priority A6 Study
77PP- 073	Airport Improvements Tracy Airport - FY13	110,000	F563-Airport Capital FAA Grant	0 0	0 0	10,000 100,000	0 0	10,000 100,000	0 0	0 0	0 0	Jun 16 Priority A9 Rehabilitation
77PP- 074	Removal of Aligned Taxiway - Tracy Airport	110,000	F563-Airport Capital FAA Grant	0 0	0 0	10,000 100,000	0 0	10,000 100,000	0 0	0 0	0 0	Jun 16 Priority A9 Rehabilitation
77PP- 075	Sweeper Purchase Tracy Airport - FY13	115,000	F563-Airport Capital	0	0	115,000	0	0	115,000	0	0	Jun 16 Priority B3
77PP- 076	Upgrade AWOS & Unicom - Tracy Airport	105,000	F563-Airport Capital FAA Grant	0 0	0 0	5,000 100,000	0 0	0 0	0 0	0 0	5,000 100,000	Jun 19 Priority B2 Rehabilitation
77PP- 077	Vegetation Removal Tracy Airport	55,000	F563-Airport Capital	0	0	55,000	0	0	55,000	0	0	Dec 16 Priority A17 Rehabilitation
77PP- 078	Relocate Perimeter Fencing - Tracy Airport	111,000	F563-Airport Capital FAA Grant	0 0	0 0	11,000 100,000	0 0	0 0	0 0	0 0	11,000 100,000	Jun 19 Priority C1 Rehabilitation
(Continued)												

Group 77 - Airport & Transit Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS (Continued)</b>						<b>Approved Capital Budget</b>						
77PP- 079	Overlay of Runways - Tracy Airport	7,100,000	F563-Airport Capital FAA Grant	0 0	0 0	100,000 7,000,000	0 0	0 0	0 0	0 0	100,000 7,000,000	Jun 19 Priority B1 Rehabilitation
77PP- 080	Install New Lights Tracy Airport	75,000	F563-Airport Capital FAA Grant	0 0	0 0	10,000 65,000	0 0	0 0	0 0	0 0	10,000 65,000	Jun 19 Priority B4 New Equipment
77PP- 562	ParaTransit Bus Replacements - Future Years	1,200,000	F573-Transit Capital FTA Grant	0 0	0 0	240,000 960,000	0 0	120,000 480,000	120,000 480,000	0 0	0 0	Jun 17 Priority B2 Replacement
77PP- 563	Transit Buses Replacements - Future Years	1,400,000	F573-Transit Capital FTA Grant	0 0	0 0	280,000 1,120,000	0 0	140,000 560,000	140,000 560,000	0 0	0 0	Jun 17 Priority B2 Replacement
77PP- 566	Wi-Fi Access on TRACER Buses	20,000	F573-Transit Capital FTA Grant	0 0	0 0	20,000 0	0 0	0 0	0 0	0 0	20,000 0	Jun 19 Priority C1 New Equipment
<b>Totals</b>												
26	Future Projects	57,202,600		0	0	57,202,600	0	4,702,000	1,470,000	0	51,030,600	

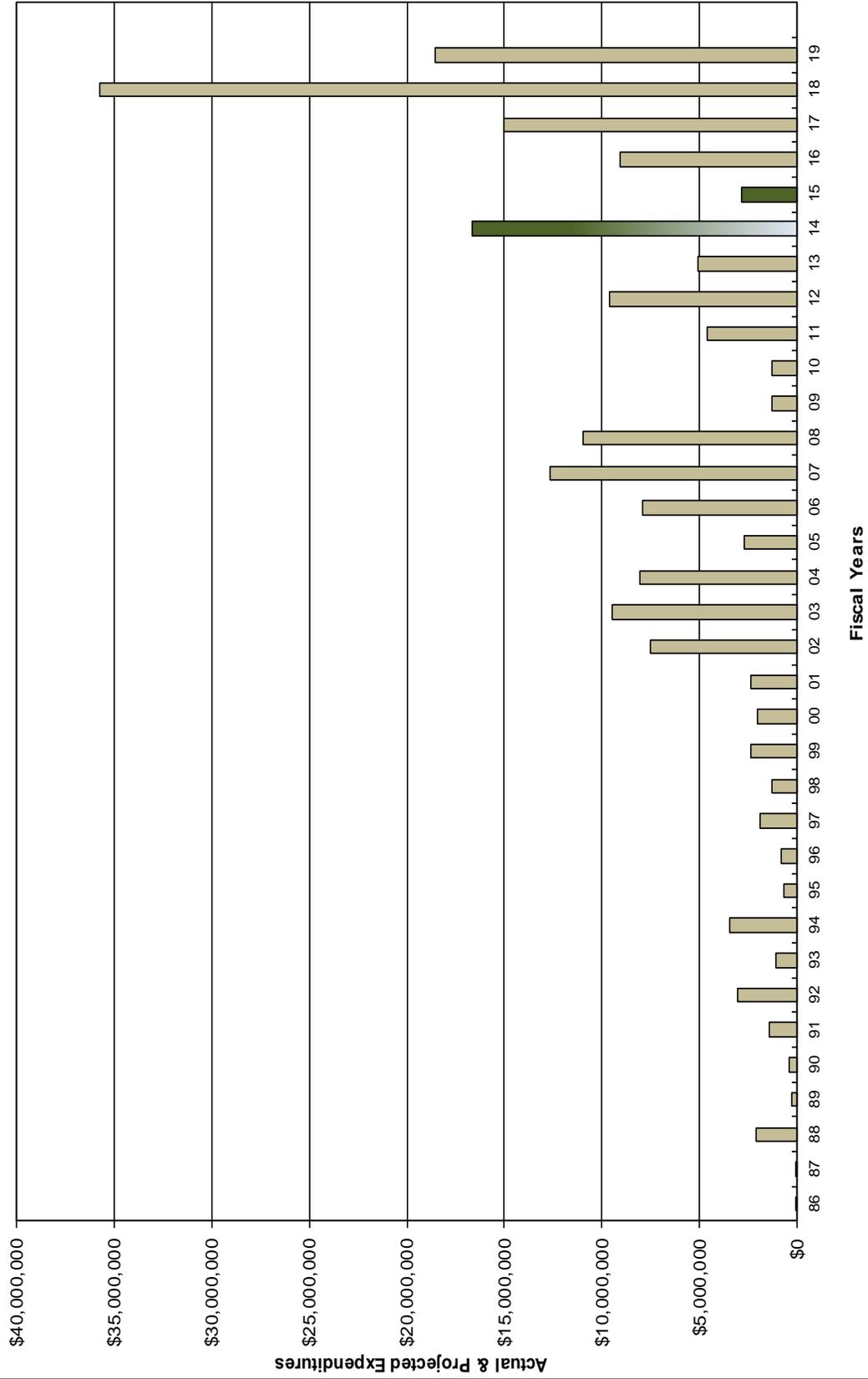
Group 78 - Parks & Recreation Improvements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED							
				Total	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
				<b>Approved Capital Budget</b>							
15	Current Projects	31,560,796	15,833,114	16,661,682	-934,000	-1,909,000	975,000	0	0	0	Projects Requiring 1 New Funding 0 in FY14-15
5	New Projects	4,744,600	0	0	4,744,600	4,744,600	0	0	0	0	
28	Future Projects	77,535,722	162,352	0	77,373,370	0	8,056,600	15,046,600	35,729,670	18,540,500	
48	Totals	113,841,118	15,995,466	16,661,682	81,183,970	2,835,600	9,031,600	15,046,600	35,729,670	18,540,500	
<b>by Funding Sources</b>											
	F242-Transp Sales Tax	400,000	0	0	400,000	0	200,000	0	200,000	0	
	F268-Com Dev Block G	327,856	225,336	36,720	65,800	65,800	0	0	0	0	
	F271-Landscaping Distr	1,070,000	26,989	211,011	832,000	832,000	0	0	0	0	
	F301-General Projects	56,069,882	8,125,802	3,802,880	44,141,200	1,937,800	7,387,400	3,398,500	17,653,000	13,764,500	
	F311-Infill Parks	5,303,000	0	0	5,303,000	0	0	527,000	0	4,776,000	
	F318-Redev Projects	3,813,410	3,693,276	120,134	0	0	0	0	0	0	
	F321-Parks Plan "C"	1,648,000	1,648,000	0	0	0	0	0	0	0	
	F324-Gen Fac Plan "C"	4,016,200	1,093,535	1,662,465	1,260,200	0	400,000	860,200	0	0	
	F345-RSP Prgm Mgmt	131,500	0	0	131,500	0	131,500	0	0	0	
	F352-So MacArthur PA	1,157,800	878,000	138,800	141,000	0	0	141,000	0	0	
	F353-I205 Area Spec Pl	572,500	0	0	572,500	0	0	572,500	0	0	
	F354-ISP South Area	478,000	0	231,500	246,500	0	0	246,500	0	0	
	F355-Presidio Area	230,400	0	114,700	115,700	0	0	115,700	0	0	
	F3xx-Ellis Prgm Area	17,426,670	0	0	17,426,670	0	0	0	17,426,670	0	
	F391-Kagehiro Parks	457,000	0	310,000	147,000	0	147,000	0	0	0	
	State Park Grant	100,000	0	0	100,000	0	100,000	0	0	0	
	Other Grants	8,120,000	173,028	33,472	7,913,500	0	0	7,463,500	450,000	0	
	Developer's Contributor	10,797,200	131,500	10,000,000	665,700	0	665,700	0	0	0	
	Future Developments	1,721,700	0	0	1,721,700	0	0	1,721,700	0	0	
		113,841,118	15,995,466	16,661,682	81,183,970	2,835,600	9,031,600	15,046,600	35,729,670	18,540,500	

CIP Expenditures	in FY12-13 >>	5,054,442	11,215,200	New Appropriations
	in FY11-12 >>	9,583,543	5,777,032	Carryovers from FY13
	in FY10-11 >>	4,596,373	-350,000	Deferrals
	in FY09-10 >>	1,265,090	19,450	Supplementals

Tracy Capital Improvement Program  
Parks & Recreation Improvements



## Group 78 - Parks &amp; Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>						
78053	Park Renovation - Trac Ball Park, Phase I	1,000,000	F301-General Projects State Park Grant	0 0	25,000 0	975,000 0	0 0	975,000 0	0 0	0 0	0 0	Dec 15 Priority A2 Deferred to Future
78054	Aquatics Center - Ellis Area	13,551,000	F301-General Projects F324-Gen Fac Plan "C" F352-So MacArthur PA F354-ISP South Area F355-Presidio Area F391-Kagehiro Parks Developer's Contributi	0 1,093,535 0 0 0 0 0	1,909,000 1,662,465 138,800 231,500 114,700 310,000 10,000,000	-1,909,000 0 0 0 0 0 0	-1,909,000 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	0 0 0 0 0 0 0	Jun 16 Priority A5 Design Underway Transfer \$1.9 M to 78152 See page F72
78115	Youth Sports Facilities - Legacy Sportsfield Site, Phase I	10,919,630	F301-General Projects F321-Parks Plan "C" F352-So MacArthur PA	7,960,618 1,648,000 878,000	433,012 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	Mar 13 Work Completed
78116	Telecom Replacements Community Facilities	37,000	F301-General Projects	11,069	25,931	0	0	0	0	0	0	Jun 13 Replacement
78117	6th Street Plaza - 6th & Central Ave	3,999,910	Grant Funding F318-Redev Projects	173,028 3,693,276	13,472 120,134	0 0	0 0	0 0	0 0	0 0	0 0	Mar 13 Work Completed
78136	Recreation Area - Senior Center	300,056	F301-General Projects F268-Com Dev Block G	47,716 225,336	-9,716 36,720	0 0	0 0	0 0	0 0	0 0	0 0	Feb 14 Contract Award Aug 12
78139	Park Revitalization - LMD Areas - FY13	238,000	F271-Landscaping Dist	26,989	211,011	0	0	0	0	0	0	Jun 13 Priority A8 Rehabilitation
78140	Park Revitalization - City Areas - FY13	325,000	F301-General Projects	75,547	249,453	0	0	0	0	0	0	Dec 14 Priority A5 Rehabilitation
78141	Restroom - El Pescadero Park	434,500	F301-General Projects	0	434,500	0	0	0	0	0	0	Jun 15 Contract Award Jun 14
78142	Building Demolition & Site Sale - Scout Hut	55,000	F301-General Projects	0	55,000	0	0	0	0	0	0	Sep 14 Priority A7 Contract Award Jun 2014
(Continued)												

Group 78 - Parks & Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>CURRENT PROJECTS (Continued)</u>							<u>Approved Capital Budget</u>					
78143	Handball Court Refinish MacDonald Park	34,500	F301-General Projects	0	34,500	0	0	0	0	0	0	Dec 14 Contract Award Jun 14
78144	Restroom Replacement MacDonald Park	476,200	F301-General Projects	0	476,200	0	0	0	0	0	0	Dec 14 Contract Award Jun 14
78145	Facility Reservation Software	40,000	F301-General Projects Other Grant	0 0	20,000 20,000	0 0	0 0	0 0	0 0	0 0	0 0	Jun 14 Priority B Software Upgrade
78146	New Basketball Court - El Pescadero Park	65,000	F301-General Projects	0	65,000	0	0	0	0	0	0	Dec 14 Contract Award Jun 14
78151	Emergency Repairs - Lammersville School	85,000	F301-General Projects	0	85,000	0	0	0	0	0	0	Dec 14 Priority B Rehabilitation
<b>Totals</b>												
15	Current Projects	31,560,796		15,833,114	16,661,682	-934,000	-1,909,000	975,000	0	0	0	

Group 78 - Parks & Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>NEW PROJECTS</u>						<b>Approved Capital Budget</b>						
78147	Replace Automatic Door at Tracy Library	85,600	F301-General Projects F268-Com Dev Block G	0 0	0 0	19,800 65,800	19,800 65,800	0 0	0 0	0 0	0 0	Jun 15 Priority B Replacement
78148	Wooden Play Structures Hoyt Park	304,000	F301-General Projects	0	0	304,000	304,000	0	0	0	0	Jun 15 Priority B12 Replacement
78149	Park Renovation - LMZ 07, Bailor-Hennan, Rippon	416,000	F271-Landscaping Dist	0	0	416,000	416,000	0	0	0	0	Jun 16 Priority B13 Rehabilitation
78150	Park Renovation - LMZ 17, Sullivan & Huck	416,000	F271-Landscaping Dist	0	0	416,000	416,000	0	0	0	0	Jun 16 Priority B14 Rehabilitation
78152	Pool Replacement - Dr Powers Park	3,523,000	F301-General Projects	0	0	3,523,000	3,523,000	0	0	0	0	Jun 16 Priority B Replacement
5	Totals New Projects	4,744,600		0	0	4,744,600	4,744,600	0	0	0	0	

Group 78 - Parks & Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments		
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
<b>FUTURE PROJECTS</b>						<b>Approved Capital Budget</b>							
78088	Library Facility Expansio Unknown Location	4,012,100	F311-Infill Parks F324-Gen Fac Plan "C" F352-So MacArthur PA F354-ISP South Area F355-Presidio Area Future Developments	0 0 0 0 0 0	0 0 0 0 0 0	527,000 1,260,200 141,000 246,500 115,700 1,721,700	0 0 0 0 0 0	0 400,000 0 0 0 0	527,000 860,200 141,000 246,500 115,700 1,721,700	0 0 0 0 0 0	0 0 0 0 0 0	Jun 17 Priority A6 Expansion Deferred to Future  See 78PP-204	
78093	Park Expansion - Tracy Press Park	131,500	F345-RSP Prgm Mgmt Developer's Contributio	0 131,500	0 0	131,500 -131,500	0 0	131,500 -131,500	0 0	0 0	0 0	0 0	Dec 05 Reimbursement Due
78124	Dog Park Site - South Tracy	310,000	F301-General Projects F391-Kagehiro Parks	0 0	0 0	163,000 147,000	0 0	163,000 147,000	0 0	0 0	0 0	0 0	Jun 16 Priority B New Facility
78PP- 002	Ballpark Renovations - Tracy Ball Park, Phase II	3,465,852	F301-General Projects State Park Grant	30,852 0	0 0	3,435,000 0	0 0	3,435,000 0	0 0	0 0	0 0	0 0	Dec 16 Priority A2 Deferred to Future
78PP- 018	Park Development - El Pescadero Park, Phase II	797,200	F311-Infill Parks Developer's Contributio	0 0	0 0	0 797,200	0 0	0 797,200	0 0	0 0	0 0	0 0	Jun 16 Rehab & Expan
78PP- 079	Park Renovation - Dr Powers Park	1,334,000	F301-General Projects State Park Grant	0 0	0 0	1,334,000 0	0 0	1,334,000 0	0 0	0 0	0 0	0 0	Jun 16 Priority A3 Rehabilitation
78PP- 096	Bikeway Improvements Future Phases	590,000	F301-General Projects Bikeway Grants	0 0	0 0	190,000 400,000	0 0	95,000 200,000	0 0	95,000 200,000	0 0	0 0	Jun 18 Priority A4 New & Upgrade
78PP- 108	Park Revitalization - City Areas - Future Phases	340,000	F301-General Projects	0	0	340,000	0	85,000	85,000	85,000	85,000	85,000	Annual Phased Program Rehabilitation
78PP- 118	New Gymnasium/Multi Purpose Facility	10,788,500	F301-General Projects Grant Funding	0 0	0 0	3,600,000 7,188,500	0 0	760,000 0	2,840,000 7,188,500	0 0	0 0	0 0	Oct 17 Priority B3 New Facility
78PP- 119	Scoreboard Replaceme Tracy Sports Complex	35,000	F301-General Projects	0	0	35,000	0	35,000	0	0	0	0	Jun 16 Priority C5 Replacement
(Continued)													

## Group 78 - Parks &amp; Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<u>FUTURE PROJECTS (Continued)</u>						<u>Approved Capital Budget</u>						
78PP- 123	Neighborhood Park - Location to be Determined	4,776,000	F311-Infill Parks	0	0	4,776,000	0	0	0	0	4,776,000	Jun 19 Priority D New Facilities
78PP- 124	Bicycle Motocross Park	381,300	F301-General Projects	0	0	381,300	0	0	37,500	343,800	0	Jun 18 Priority C11 New Facility
78PP- 125	Skate Park - 2nd Location	293,700	F301-General Projects	0	0	293,700	0	0	24,500	269,200	0	Jun 18 Priority C9 New Facility
78PP- 128	Swainson Hawk Mitigation - I205 Area, Phase II	572,500	F353-I205 Area Spec P	0	0	572,500	0	0	572,500	0	0	Jun 17 Priority C12 New Facilities
78PP- 135	Bikeway Rehabilitation - Various Locations	177,500	F301-General Projects Bikeway Grants	0 0	0 0	32,500 145,000	0 0	0 0	32,500 145,000	0 0	0 0	Jun 17 Priority C14 Rehabilitation
78PP- 136	Pool Demolition Dr Powers Park	393,500	F301-General Projects State Park Grant	0 0	0 0	393,500 0	0 0	0 0	0 0	0 0	393,500 0	Optional
78PP- 137	Youth Sports Facilities - Legacy Sportsfield, Phase II	16,000,000	F301-General Projects State Park Grant	0 0	0 0	16,000,000 0	0 0	0 0	0 0	16,000,000 0	0 0	Jun 18 Priority C13 New Facilities
78PP- 139	Park Renovation - Lincoln Park, Phase II	379,000	F301-General Projects	0	0	379,000	0	0	379,000	0	0	Jun 17 Priority B1 Rehabilitation
78PP- 146	Ballpark Renovations - Tracy Ball Park, Phase III	4,856,000	F301-General Projects State Park Grant	0 0	0 0	4,856,000 0	0 0	0 0	0 0	860,000 0	3,996,000 0	Jun 19 Priority B15 Rehabilitation
78PP- 147	New 50 Meter Pool Dr Powers Park - Option II	9,290,000	F301-General Projects	0	0	9,290,000	0	0	0	0	9,290,000	Jun 19 Priority D New Facilities
78PP- 149	Bikeways to Holly Legacy Sports Fields	580,000	F301-General Projects Bikeway Grants	0 0	0 0	0 580,000	0 0	0 0	0 130,000	0 450,000	0 0	Jun 18 Priority D New Facilities
78PP- 150	Utilities Extension - Legacy Sports Fields	330,000	F301-General Projects	0	0	330,000	0	330,000	0	0	0	Jun 16 Priority B New Facilities
(Continued)												

## Group 78 - Parks &amp; Recreation Improvements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>FUTURE PROJECTS (Continued)</b>						<b>Approved Capital Budget</b>						
78PP- 151	Fish Pond Development Legacy Sports Fields	130,000	F301-General Projects State Park Grant	0 0	0 0	30,000 100,000	0 0	30,000 100,000	0 0	0 0	0 0	Jun 16 Priority B New Facilities
78PP- 153	Minor Improvements	145,400	F301-General Projects Other Grant	0 0	0 0	145,400 0	0 0	145,400 0	0 0	0 0	0 0	Jun 16 Priority B New Facilities
78PP- 201	Neighborhood Parks Ellis Prgm Area	11,729,450	F3xx-Ellis Prgm Area Future Developments	0 0	0 0	11,729,450 0	0 0	0 0	0 0	11,729,450 0	0 0	Jun 18
78PP- 202	Community Park Ellis Prgm Area	3,372,010	F3xx-Ellis Prgm Area Future Developments	0 0	0 0	3,372,010 0	0 0	0 0	0 0	3,372,010 0	0 0	Jun 18
78PP- 203	Community Center Ellis PA	1,206,810	F3xx-Ellis Prgm Area F301-General Projects	0 0	0 0	1,206,810 0	0 0	0 0	0 0	1,206,810 0	0 0	Jun 18 Priority C New Facility
78PP- 204	Library Ellis PA	1,118,400	F3xx-Ellis Prgm Area F301-General Projects	0 0	0 0	1,118,400 0	0 0	0 0	0 0	1,118,400 0	0 0	Jun 18 Priority C New Facility
Totals												
28	Future Projects	77,535,722		162,352	0	77,373,370	0	8,056,600	15,046,600	35,729,670	18,540,500	

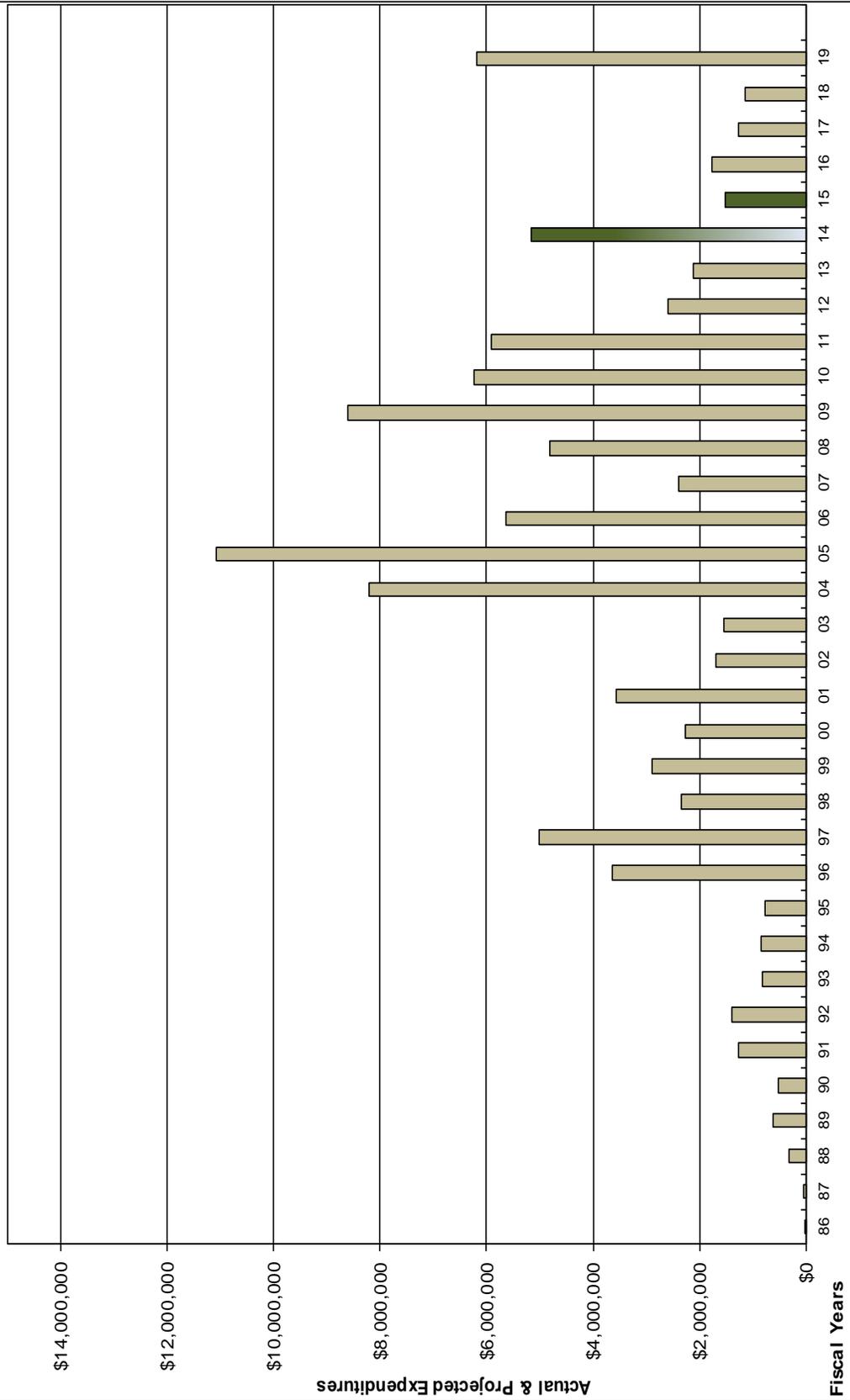
Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Projects Requiring 9 New Funding 1 in FY13-14		
				Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19	
				<b>Approved Capital Budget</b>							
30	Current Projects	34,275,339	20,524,700	5,173,381	8,577,258	670,000	847,500	749,229	635,000	5,675,529	
2	New Projects	850,000	0	0	850,000	850,000	0	0	0	0	
4	Future Projects	2,440,000	0	0	2,440,000	0	910,000	510,000	510,000	510,000	
36	Totals	37,565,339	20,524,700	5,173,381	11,867,258	1,520,000	1,757,500	1,259,229	1,145,000	6,185,529	
<b>by Funding Sources</b>											
	F101-General	1,077,877	1,077,877	0	0	0	0	0	0	0	
	F301-General Projects	3,493,016	1,774,837	985,679	732,500	220,000	512,500	0	0	0	
	F345-RSP Prgm Mgmt	2,554,889	221,251	2,333,638	0	0	0	0	0	0	
	F351-NE Indus Area #1	2,278,821	2,111,410	49,817	117,594	0	50,000	67,594	0	0	
	F352-SMPA	383,989	242,486	44,868	96,635	0	50,000	46,635	0	0	
	F353-I205 Area Spec Pl	802,217	781,839	20,378	0	0	0	0	0	0	
	F354-ISP South	1,566,532	476,849	63,783	1,025,900	0	75,000	75,000	75,000	800,900	
	F355-Presidio Area	177,796	90,839	86,957	0	0	0	0	0	0	
	F356-Tracy Gateway	1,653,510	58,962	340,548	1,254,000	0	100,000	100,000	100,000	954,000	
	F357-NE Indus Area #2	2,226,094	467,221	161,273	1,597,600	0	80,000	80,000	80,000	1,357,600	
	F3xx-Ellis Prgm Area	2,743,420	0	0	2,743,420	0	200,000	200,000	200,000	2,143,420	
	F318-Comm Devel Age	1,942,000	1,890,336	51,664	0	0	0	0	0	0	
	F391-UMP Facilities	12,101,904	10,285,867	519,978	1,296,059	800,000	100,000	100,000	100,000	196,059	
	F605-Eqpt Acq	1,760,000	0	420,000	1,340,000	500,000	210,000	210,000	210,000	210,000	
	State & Local Grants	0	0	0	0	0	0	0	0	0	
	Developer's Contributor	2,803,274	1,044,926	94,798	1,663,550	0	380,000	380,000	380,000	523,550	
		37,565,339	20,524,700	5,173,381	11,867,258	1,520,000	1,757,500	1,259,229	1,145,000	6,185,529	

CIP Expenditures	in FY12-13 >>	2,113,913	1,485,000	New Appropriations
	in FY11-12 >>	2,629,994	3,688,381	Carryovers from FY13
	in FY10-11 >>	5,900,745	0	Recisions & Deferrals
	in FY09-10 >>	6,233,636	0	Supplementals

Tracy Capital Improvement Program  
Miscellaneous Projects



Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>CURRENT PROJECTS</b>						<b>Approved Capital Budget</b>						
79201	Infill Program Management	962,657	F31x-Infill Funds	404,309	94,798	463,550	0	80,000	80,000	80,000	223,550	Jun 22 On-going Program Annual Contingency
79203	I205 Area Program Management	802,217	F353-I205 Area Development	781,839	20,378	0	0	0	0	0	0	Jun 14 On-going Program Annual Contingency
79204	Plan "C" Program Management	5,092,511	F391-UJP Facilities	4,482,448	114,004	496,059	0	100,000	100,000	100,000	196,059	Jun 20 On-going Program Annual Contingency
79205	Industrial SP South, Prg Management	1,803,512	Developer's Contribution F354-Indus SP, South	236,980 476,849	0 63,783	0 1,025,900	0 0	0 75,000	0 75,000	0 75,000	0 800,900	Jun 20 On-going Program Annual Contingency
79206	NE Industrial Area #1 - Program Management	2,342,326	F351-NE Indus Area #1 Developer's Contribution	2,111,410 63,505	49,817 0	117,594 0	0 0	50,000 0	67,594 0	0 0	0 0	Jun 17 On-going Program Annual Contingency
79207	South MacArthur Area - Program Management	383,989	F352-SMPA	242,486	44,868	96,635	0	50,000	46,635	0	0	Jun 17 On-going Program Annual Contingency
79208	NE Industrial Area #2 - Program Management	2,226,094	F357-NE Indus Area #2 Developer's Contribution	467,221 0	161,273 0	1,597,600 0	0 0	80,000 0	80,000 0	80,000 0	1,357,600 0	Jun 20 On-going Program Annual Contingency
79209	Tracy Gateway - Program Management	1,653,510	F356-Tracy Gateway Developer's Contribution	58,962 0	340,548 0	1,254,000 0	0 0	100,000 0	100,000 0	100,000 0	954,000 0	Jun 20 On-going Program Annual Contingency
79210	Presidio Area - Program Management	437,608	F355-Presidio Area Developer's Contribution	90,839 259,812	86,957 0	0 0	0 0	0 0	0 0	0 0	0 0	Jun 13 On-going Program Annual Contingency
79211	Ellis Area - Program Management	2,743,420	F3xx-Ellis Area Developer's Contribution	0 0	0 0	2,743,420 0	0 0	200,000 0	200,000 0	200,000 0	2,143,420 0	Jun 25 On-going Program Annual Contingency
79310	Development Reviews - FY10 Projects	1,428,279	Developer's Contribution F391-UJP Facilities	746,174 814,292	-96,618 -35,569	0 0	0 0	0 0	0 0	0 0	0 0	Annual Contingency New Developments
79311	Development Reviews - FY11 Projects	998,769	Developer's Contribution	909,634	89,135	0	0	0	0	0	0	Annual Contingency New Developments
(Continued)												

Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19		
CURRENT PROJECTS (Continued)						<b>Approved Capital Budget</b>							
79312	Development Reviews - FY12 Projects	80,320	Developer's Contribution	80,320	0	0	0	0	0	0	0	Annual Contingency New Developments	
79313	Development Reviews - FY13 Projects	215,000	Developer's Contribution	10,809	204,191	0	0	0	0	0	0	Annual Contingency New Developments	
79314	Development Reviews - FY14 Projects	300,000	Developer's Contribution	0	300,000	0	0	0	0	0	0	Annual Contingency New Developments	
79351	General Plan Update	1,343,032	F101-General	1,016,353	0	0	0	0	0	0	0	Jun 13	
			F301-General Projects	265,795	36,245	0	0	0	0	0	0	0	Work Completed
			F345-RSP Prgm Mgmt	24,639	0	0	0	0	0	0	0	0	
79352	Zoning Code Update	400,000	F101-General	61,524	0	0	0	0	0	0	0	Jun 13	
			F301-General Projects	279,544	58,932	0	0	0	0	0	0	0	Work Completed
79355	Infrastructure Master Pl:	3,267,345	F391-UJP Facilities	2,526,294	-55,165	0	0	0	0	0	0	Jun 13	
			F345-RSP Prgm Mgmt	796,216	0	0	0	0	0	0	0	0	Work Completed
79356	Downtown Tracy Specific Plan	1,304,874	F318-Comm Devel Age	1,253,210	51,664	0	0	0	0	0	0	Jun 13 Work Completed	
79357	Way Finding Signage Program	435,000	F101-General	0	0	0	0	0	0	0	0	Jun 15	
			F301-General Projects	90,653	344,347	0	0	0	0	0	0	0	Design Underway
79364	Downtown Brew Pub/ Property Acquisition	1,637,126	F318-Comm Devel Age	637,126	0	0	0	0	0	0	0	Jun ???	
			F345-RSP Prgm Mgmt	39,658	960,342	0	0	0	0	0	0	0	
79365	Business Incubator	61,517	F345-RSP Prgm Mgmt	53,530	7,987	0	0	0	0	0	0	Project Cancelled	
79366	Retail Incentives - Office/Industrial	35,000	F101-General	0	0	0	0	0	0	0	0	Jun ???	
			F345-RSP Prgm Mgmt	2,745	32,255	0	0	0	0	0	0	0	
(Continued)													

Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
CURRENT PROJECTS (Continued)							<b>Approved Capital Budget</b>					
79367	Property Acquisition - West Schulte & Lammers area	1,195,250	F101-General F345-RSP Prgm Mgmt	0 100,679	0 1,094,571	0 0	0 0	0 0	0 0	0 0	0 0	Jun 14
79368	Hi-Tech Incentive Pilot Program	238,483	F345-RSP Prgm Mgmt	0	238,483	0	0	0	0	0	0	
79403	Geographical Informatic System for City	1,200,000	F301-General Projects	1,138,845	61,155	0	0	0	0	0	0	Jun 13 Work Completed
79409	Computer Replacement & Upgrades - FY14 Phase	210,000	F605-Eqpt Acq	0	210,000	0	0	0	0	0	0	Jun 15 Priority A Work Underway
79410	Network Replacements & Upgrades - FY14 Phase	210,000	F605-Eqpt Acq	0	210,000	0	0	0	0	0	0	Jun 15 Priority A Work Underway
79411	New Financial System - Finance Division	1,082,500	F301-General Projects F605-Eqpt Acq	0 0	300,000 0	332,500 450,000	220,000 450,000	112,500 0	0 0	0 0	0 0	Jun 15 Priority B Project Started
79412	Wireless Infrastructure Citywide	185,000	F301-General Projects	0	185,000	0	0	0	0	0	0	Jun 15 Priority A Work Underway
30	Totals Current Projects	34,275,339		20,524,700	5,173,381	8,577,258	670,000	847,500	749,229	635,000	5,675,529	

Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
							<b>Approved Capital Budget</b>					
79315	Development Reviews - FY14-15	800,000	Developer's Contribution	0	0	800,000	800,000	0	0	0	0	Annual Contingency New Developments
79413	Computer Replacement Citywide - FY14-15	50,000	F605-Eqpt Acq	0	0	50,000	50,000	0	0	0	0	Jun 15 Priority A Equipment Replacement
2	Totals New Projects	850,000		0	0	850,000	850,000	0	0	0	0	

Group 79 - Miscellaneous Projects

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments
							FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
<u>FUTURE PROJECTS</u>						<b>Approved Capital Budget</b>						
79PP- 001b	Development Reviews - Future Projects	1,200,000	Developer's Contribution	0	0	1,200,000	0	300,000	300,000	300,000	300,000	Annual Contingency New Developments
79PP- 031b	Computer Replacement Citywide - Future Years	840,000	F605-Eqpt Acq	0	0	840,000	0	210,000	210,000	210,000	210,000	Annual Contingency Equipment Replacement
79PP- 051	Infrastructure Managem Software	300,000	F301-General Projects	0	0	300,000	0	300,000	0	0	0	Jun 15 Priority B
79PP- 058	Housing Element Update	100,000	F301-General Projects	0	0	100,000	0	100,000	0	0	0	Jun 15 Priority B
Totals												
4	Future Projects	2,440,000		0	0	2,440,000	0	910,000	510,000	510,000	510,000	

Group 799IFR - Interfund CIP Reimbursements

FY14-15 CIP Adopted

by Project Type	Group \$ Total	Prior Years Expenditures	FY13-14 Appropriations	Total	NEW APPROPRIATIONS REQUIRED					
					FY14-15	FY15-16	FY16-17	FY17-18	FY18-19	
					<b>Approved Capital Budget</b>					
4 Reimbursement Project:	0	0	0	0	0	0	0	0	0	
<hr/>										
<b>by Funding Sources</b>										
F101-General	0	0	0	0	0	0	0	0	0	
F322-Drainage Plan "C"	4,701,000	4,701,000	0	0	0	0	0	0	0	
F323-Arterials Plan "C"	6,781,100	6,781,100	0	0	0	0	0	0	0	
F325-Utilities - Plan "C"	5,616,300	6,390,500	0	-774,200	0	0	-774,200	0	0	
F342-RSP Drainage	0	0	0	0	0	0	0	0	0	
F343-RSP Arterials	-406,500	-406,500	0	0	0	0	0	0	0	
F345-RSP Prgm Mgmt	-13,574,700	-11,984,100	0	-1,590,600	0	-795,300	-795,300	0	0	
F351-NE Indus Area #1	12,416,000	6,593,000	0	5,823,000	0	4,720,200	1,102,800	0	0	
F352-So MacArthur PA	323,450	323,450	0	0	0	0	0	0	0	
F353-I205 Area Spec Pl	6,198,600	1,333,300	0	4,865,300	0	2,970,000	1,895,300	0	0	
F354-Indus SP, South	774,200	0	0	774,200	0	0	774,200	0	0	
F355-Presidio Area	1,325,700	1,325,700	0	0	0	0	0	0	0	
F356-Tracy Gatewat Ar	738,800	0	0	738,800	0	738,800	0	0	0	
F357-NE Indus Area #2	9,204,000	9,204,000	0	0	0	0	0	0	0	
F513-Water	-15,978,900	-13,588,000	0	-2,390,900	0	-2,390,900	0	0	0	
F523-Wastewater	-12,762,800	-5,317,200	0	-7,445,600	0	-5,242,800	-2,202,800	0	0	
F834-AD 84-1 Debt	-3,418,900	-3,418,900	0	0	0	0	0	0	0	
F835-CFD 89-1	-1,937,350	-1,937,350	0	0	0	0	0	0	0	
Developer's Contributor	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	0	0	0	0	
	<hr/>									
	0	0	0	0	0	0	0	0	0	

Group 799 - Interfund CIP Reimbursements

FY14-15 CIP Adopted

Project #	Project Title	Project \$ Total	Funding Sources	Prior Years Expenditures	FY13-14 Appropriations	NEW APPROPRIATIONS REQUIRED					Anticipated Completion & Comments	
						Total	FY14-15	FY15-16	FY16-17	FY17-18		FY18-19
<b>REIMBURSEMENT PROJECTS</b>						<b>Approved Capital Budget</b>						
79911	Arterial CIP Reimbursements Was 7347	0	F323-Arterials Plan "C" F835-CFD 89-1 F343-RSP Arterials F351-NE Indus Area #1 F357-NE Indus Area #2 F345-RSP Prgm Mgmt	6,781,100 -463,000 -406,500 511,300 111,800 -6,534,700	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	Jun 07 Reimbursements Reimbursements Jun 07 Jun 10 Reimbursements	
79912	Wastewater CIP Reimbursements Was 7420	0	F353-I205 Area Spec P F523-Wastewater F834-AD 84-1 Debt F325-Utilities - Plan "C" F352-So MacArthur PA F351-NE Indus Area #1 F355-Presidio Area F356-Tracy Gatewat Ar F357-NE Indus Area #2 F835-CFD 89-1	1,333,300 -5,317,200 -3,418,900 3,753,500 233,600 3,423,500 586,500 0 677,000 -1,271,300	0 0 0 0 0 0 0 0 0 0	2,604,000 -7,445,600 0 0 0 4,102,800 0 738,800 0 0	0 0 0 0 0 0 0 0 0 0	1,504,000 -5,242,800 0 0 0 3,000,000 0 738,800 0 0	1,100,000 -2,202,800 0 0 0 1,102,800 0 0 0 0	0 0 0 0 0 0 0 0 0 0	Jun 16 Reimbursements Reimbursements Jun 07 Jun 07 Jun 12 Jun 07 Jun 15 Jun 10 Reimbursements Priority B Reimbursements Reimbursements Jun 07 Priority A Jun 07 Priority B Jun 10 Reimbursements	
79913	Water CIP Reimbursements	0	F353-I205 Area Spec P F513-Water F325-Utilities - Plan "C" F351-NE Indus Area #1 F354-Indus SP, South F357-NE Indus Area #2	0 -13,588,000 2,637,000 2,600,000 0 8,351,000	0 0 0 0 0 0	670,700 -2,390,900 -774,200 1,720,200 774,200 0	0 0 0 0 0 0	670,700 -2,390,900 0 1,720,200 0 0	0 0 -774,200 0 774,200 0	0 0 0 0 0 0	Jun 15 Reimbursements Reimbursements Jun 15 Jun 16 Jun 10 Priority B Reimbursements Reimbursements Priority A Priority C Priority A	
79914	Drainage CIP Reimbursements	0	F322-Drainage Plan "C" F345-RSP Prgm Mgmt F351-NE Indus Area #1 F352-So MacArthur PA F353-I205 Area Spec P F355-Presidio Area F357-NE Indus Area #2 F835-CFD 89-1 F345-RSP Prgm Mgmt	4,701,000 -739,200 58,200 89,850 0 739,200 64,200 -203,050 -4,710,200	0 0 0 0 0 0 0 0 0	0 -1,590,600 0 0 1,590,600 0 0 0 0	0 0 0 0 0 0 0 0 0	0 -795,300 0 0 795,300 0 0 0 0	0 -795,300 0 0 795,300 0 0 0 0	0 0 0 0 0 0 0 0 0	Jun 07 Reimbursements Jun 06 Jun 06 Jun 16 Jun 07 Jun 09 Priority A Reimbursements Reimbursements	
<b>Totals</b>												
4 Reimbursement Project:		0		0	0	0	0	0	0	0	0	

# *Debt Service*



## DEBT SERVICE

Debt service obligations of the City and budgeted amounts required to meet these obligations are presented in this section. These obligations involve long-term debt, which was used to build capital facilities or to acquire equipment that the City is now using.

### Debt Policy

The City of Tracy has no formally adopted debt policy other than that for Community Facilities Districts. However, State law pertaining to local government debt and past City debt transactions do serve as a de facto policy.

Under the State Constitution, GENERAL OBLIGATION DEBT pledging City general taxes requires voter approval by two-thirds majority. With this difficult electoral requirement, such debt obligations are rarely issued. Any effort to issue general obligation debt would have to be for projects of special significance and of community wide benefit. Currently, the City of Tracy has no outstanding general obligation debt. The debt limit for any general obligation debt is 15% of the City's assessed valuation.

CAPITAL LEASING can be used to acquire tangible assets where there is a pledge to pay the debt from current annual receipts and where the acquired assets can be placed under a lien until the debt obligation is paid off. The City of Tracy has used capital leasing to acquire equipment and land.

SPECIAL REVENUE DEBT can be used to finance capital projects where there is a pledge to pay the debt from a stream of revenue accruing to the City. This form of debt is used to finance facilities for Enterprise Fund activities, which generate ongoing revenues. The City of Tracy has used this type of debt for its water and sewer enterprises.

The City of Tracy Public Facilities Corporation has issued CERTIFICATES OF PARTICIPATION (COPs) to finance infrastructure improvements.

SPECIAL ASSESSMENT DISTRICTS or COMMUNITY FACILITIES DISTRICTS can be used to finance infrastructure for a limited area of the City. With this kind of debt, special assessments or special taxes are levied against the property owners in a defined district, either an AD or CFD, who benefit from the infrastructure improvements. The City of Tracy has used both of these means to finance infrastructure for new development in the City.

Under redevelopment law, TAX INCREMENT FINANCING can be used for redevelopment activities. Here, property tax receipts, from a defined redevelopment project area above a base level set when the area is defined, are restricted to the payment of debt. This incremental revenue, above the base, can then be pledged for redevelopment debt. The City of Tracy, through its Community Development Agency (CDA), initiated such debt for the first time in 1994.

The State disbanded all redevelopment agencies in the State of California effective January 31, 2012. As a result, the Tracy Community Development Agency has been disbanded and will issue no new debt. Although outstanding debt still remains to be paid off.

### Debt Refinancing

The City also used DEBT REFINANCING when interest rates or development activity would lead to savings through refinancing. For example, it may be advantageous to refinance an earlier debt issuance if interest rates at the present time are lower than the rates at which the original bonds were issued. There would have to be enough present value savings to justify the cost of issuance of the new bonds as well as provide real savings. With land-based debt such as an Assessment District or Community Facilities District (Mello-Roos) issues, development of the land may lend to a refinancing which carries credit enhancement.

## Debt Service Outstanding

The following long-term debt service obligations were outstanding on July 1, 2013, the beginning of FY 13-14. The following chart details the payments required for both FY 13-14 and FY 14-15 for these debt obligations.

Debt Issues		Fund	Original Principal	Year Issued	Outstanding Principal	Outstanding Interest	Due Thru
1.	CFD 89-1 NE Industrial #1	835	19,200,000	1996	8,465,000	1,554,740	2021
2.	CFD 93-1 Tracy Marketplace	844	1,385,000	1996	970,000	490,955	2027
3.	Airport Loan #3 (1998)	561	250,000	1998	53,478	4,916	2015
4.	AD 98-4 Morrison Homes	849	2,485,781	1999	1,495,000	508,482	2024
5.	I-205 Residential	850	11,500,000	1999	6,150,000	1,223,632	2022
6.	CFD 93-1 Tracy Mkt Place (2002)	844	2,165,000	2002	1,890,000	1,808,505	2032
7.	AD 94-1 Naglee Auto Mall (2002)	841	4,500,000	2002	2,730,000	818,832	2021
8.	AD 2003-01 Berg Ave.	852	990,000	2003	755,000	353,078	2028
9.	2003 Wastewater COP	521	3,340,000	2003	345,000	6,039	2013
10.	CDA 2003 Bond A	404	35,095,000	2004	28,580,000	16,799,611	2034
11.	CDA 2003 Bond B	404	20,625,000	2004	17,695,000	13,538,990	2034
12.	2004 Wastewater COP	521	30,955,000	2004	27,300,000	20,082,486	2036
13.	Water Rights Notes	511	6,000,000	2004	2,000,000	115,000	2014
14.	CFD 99-1 Refinancing (2004)	837	9,635,000	2004	6,725,000	2,173,650	2024
15.	TOPJPA 2005 Series A	846	69,640,000	2005	57,655,000	21,112,469	2028
16.	TOPJPA 2005 Series B	846	3,915,000	2005	2,805,000	918,587	2035
17.	TOPJPA 2005 Series C	840	14,965,000	2005	13,225,000	6,893,180	2035
18.	State Water Loan (2005)	511	20,000,000	2005	15,835,833	3,695,700	2028
19.	CFD 06-01 NE Industrial #2	853	10,660,000	2006	10,500,000	12,149,981	2036
20.	2007 Lease Revenue Bonds	407	4,670,000	2007	4,085,000	2,552,413	2035
21.	2008 Lease Revenue Bonds	408	19,765,000	2008	19,160,000	20,852,235	2038
22.	TOPJPA Revenue Bonds 2011A	854	13,685,000	2011	12,935,000	4,911,192	2027
Totals					\$241,354,311	\$132,564,673	
					<b>Grand Total</b>	<b><u>\$373,918,984</u></b>	

	Principal	Interest	Total
FY 13-14 Debt Payments	\$9,243,330	\$10,710,230	\$19,953,560
Total Debt Outstanding July 1, 2014*	\$232,110,981	\$121,854,443	\$353,965,424
FY 14-15 Debt Payments	12,550,390	10,660,910	23,211,300
Total Debt Outstanding July 1, 2015*	\$219,560,591	\$111,193,533	\$330,754,124

\*excluding any new debt issues in FY 13-14 and FY 14-15

### Debt Issues in FY13-14

In December 2013, the City entered into a lease-purchase agreement for \$948,743 to buy two fire pumpers. The first debt payments under the agreement were made in April 2014.

It is anticipated that three debt issues will be refinanced in late FY13-14. These refinancing figures are not shown.

### New Debit Issues Anticipated in FY 14-15

The budget provides for a contingency for \$6,000,000 in bond proceeds through new financing districts. These proceeds may be used to pay capital development fees for the Tracy Gateway, Ellis, Cordes Ranch, and/or Tracy Hills developments. Depending on the level of development, the amount of the proceeds may be sufficient or have to be increased later in the fiscal year.

Also, a \$14,000,000 bond is anticipated for wastewater system improvements. This was budgeted for FY13-14 but will be deferred into FY14-15.

This debt component was used in FY12-13 to record the repayment of residual cash resulting from the close out of the Tracy CDA. The City has paid out \$3,402,710 from the housing activities of the CDA.

The City's CDA Successor Agency also acknowledges that \$2,281,470 is due for the redevelopment activities. These two repayments are accounted for in Debt Program 64000. However, the State is demanding an additional \$4,588,246. This added demand is being adjudicated but only the acknowledged \$2,281,470 plus interest, due to the State, is budgeted for FY14-15.

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DEPARTMENT: 60000 - Debt Service

COMMENTARY

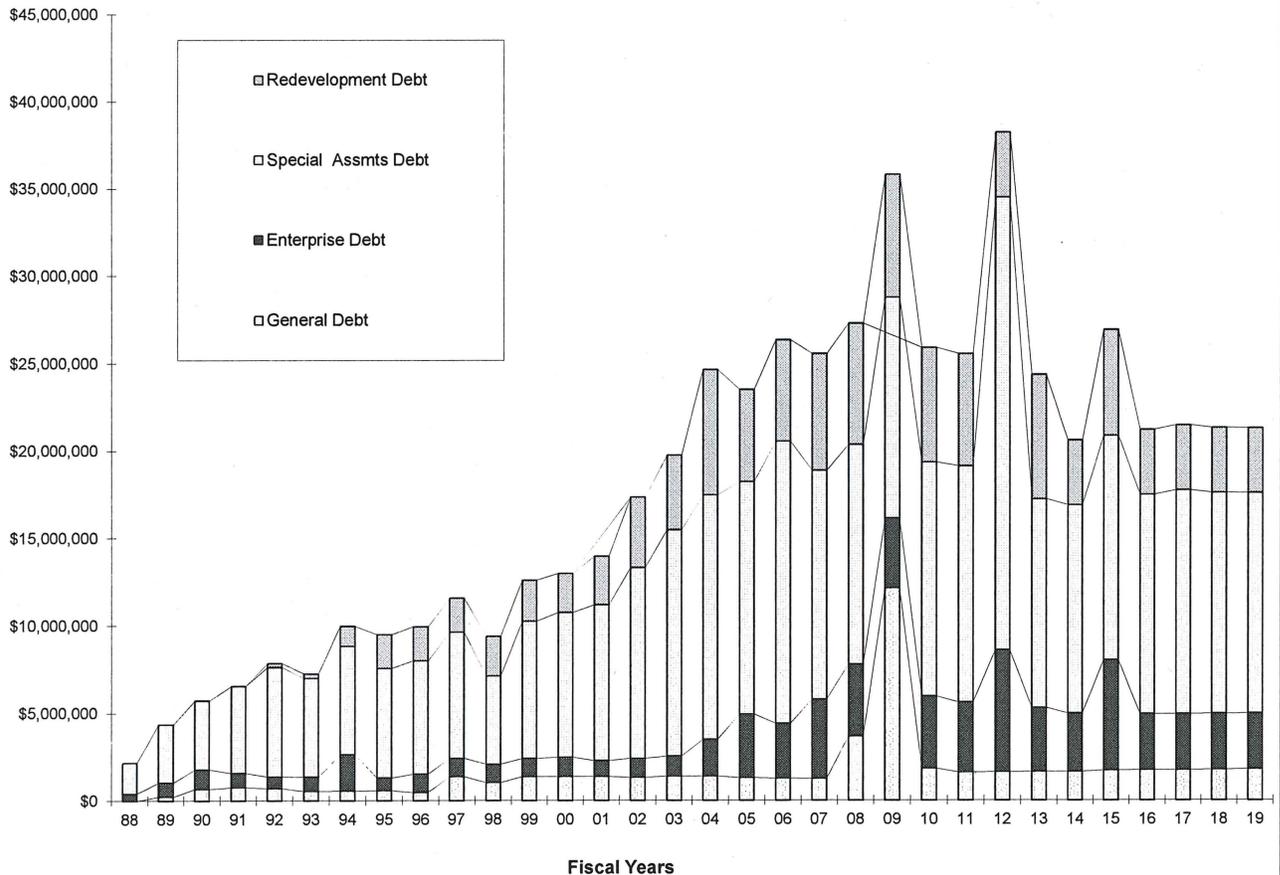
This department provides for a grouping for the City's debt service expenditures.

Generally, debt outlays are stable but with a slight downward trend as debt issues are paid off. Annual variances from the trend is due to old debt being paid off completely and/or new debt being incurred.

DEBT EXPENDITURES BY PROGRAM	FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected	FY15-16 \$ Projected	FY16-17 \$ Projected	FY17-18 \$ Projected	FY18-19 \$ Projected
61000 - General Debt	1,609,669	1,628,596	1,645,046	1,588,700	1,645,060	1,716,730	1,734,750	1,751,120	1,768,320	1,790,420
62000 - Enterprise Debt	4,014,264	6,982,565	3,636,699	3,622,130	3,317,770	6,308,000	3,172,380	3,156,060	3,155,100	3,152,180
63000 - Special Assessment Debt	13,097,438	25,514,126	11,550,068	11,760,120	11,517,220	12,858,000	12,132,980	12,393,070	12,212,060	12,218,180
64000 - Redevelopment Debt	6,412,927	3,723,888	7,129,549	3,725,900	3,727,300	6,054,900	3,726,470	3,726,310	3,723,350	3,729,140
<b>Total</b>	<b>25,134,298</b>	<b>37,849,175</b>	<b>23,961,362</b>	<b>20,696,850</b>	<b>20,207,350</b>	<b>26,937,630</b>	<b>20,766,580</b>	<b>21,026,560</b>	<b>20,858,830</b>	<b>20,889,920</b>
Annual % Change	-1.00%	50.59%	-36.69%	-45.32%	-46.61%	33.31%	-22.91%	1.25%	-0.80%	0.15%

Actual & Projected Expenditures

City of Tracy - Debt Service by Debt Programs - FY88 to FY19



DEPARTMENT: 60000 - Debt Service

COMMENTARY

DEBT EXPENDITURES BY FUNDING SOURCES	FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected	FY15-16 \$ Projected	FY16-17 \$ Projected	FY17-18 \$ Projected	FY18-19 \$ Projected
<b>General Fund</b>										
Fund 101 - General	0	0	0	0	0	0	0	0	0	0
<b>Special Revenue Funds</b>										
Fund 281 - CDA Housing	38,429	0	0	0	0	0	0	0	0	0
<b>Debt Service Funds</b>										
Fund 404 - Comm Devel Ag Debt	6,374,498	3,723,888	7,129,549	0	0	0	0	0	0	0
Fund 405 - Regional Mall COP Debt	0	0	0	0	0	0	0	0	0	0
Fund 407 - 2007 Lease Rev Bonds	283,330	284,230	280,430	282,300	282,300	279,100	279,700	280,100	277,100	277,300
Fund 408 - 2008 Lease Rev Bonds	1,254,613	1,271,263	1,291,513	1,306,400	1,306,400	1,324,900	1,342,320	1,358,300	1,378,500	1,400,400
Fund 495 - Successor Ag-Debt	0	0	0	3,725,900	3,727,300	6,054,900	3,726,470	3,726,310	3,723,350	3,729,140
<b>Enterprise Funds</b>										
Fund 511 - Water	1,657,476	4,660,252	1,347,920	1,340,430	1,021,000	4,340,470	1,258,020	1,258,060	1,257,930	1,257,940
Fund 521 - Wastewater	2,265,426	2,263,920	2,265,677	2,258,700	2,272,800	1,903,900	1,901,800	1,898,000	1,897,170	1,894,240
Fund 561 - Airport	91,362	58,393	23,102	23,000	23,970	63,630	12,560	0	0	0
<b>Trust &amp; Agency Funds</b>										
Fund 831 - AD 87-3 Water RSP	1,725,620	1,618,801	0	0	0	0	0	0	0	0
Fund 835 - CFD 89-1 ISP-NE	1,289,309	1,277,011	1,270,937	1,294,200	1,289,700	1,258,920	1,221,000	1,292,300	1,269,290	1,277,100
Fund 837 - CFD 99-1 NE Indus #2	750,032	742,607	740,440	755,900	755,900	763,200	758,900	763,200	760,600	761,700
Fund 838 - CFD 99-2 SoMacArthur Ar	881,399	10,933,144	0	0	0	0	0	0	0	0
Fund 839 - AD 00-2 Heartland #3	79,299	714,307	0	0	0	0	0	0	0	0
Fund 840 - CFD 00-1 Presidio	890,679	903,339	910,213	935,500	936,500	1,363,700	967,000	985,300	1,001,400	1,001,400
Fund 841 - AD 94-1 Auto Mall	409,489	392,890	388,537	415,200	397,500	416,700	421,900	420,600	394,400	395,710
Fund 844 - CFD 93-1 Tracy Mktp	265,425	264,330	258,492	268,500	268,500	263,700	263,800	263,400	255,800	259,600
Fund 846 - CFD 98-1 Plan "C"	4,574,613	4,618,897	4,702,789	4,719,700	4,719,700	4,970,000	5,072,800	5,186,800	5,248,100	5,248,100
Fund 847 - AD 98-3 Souza Citation	322,575	321,381	323,108	327,000	321,700	324,200	325,800	326,700	323,400	323,400
Fund 849 - AD 98-4 Morrison Homes	206,191	1,803,989	0	0	0	0	0	0	0	0
Fund 850 - I205 RRA Debt Refinancin	965,825	895,475	891,550	898,400	898,400	893,800	887,200	893,510	897,700	889,800
Fund 852 - AD00-01 Berg Avenue	81,485	74,377	72,002	77,820	72,420	81,370	79,780	78,160	70,970	70,970
Fund 853 - CFD 99-1 NE Indus #2	655,497	657,865	668,300	695,900	687,900	706,410	721,000	734,500	729,500	729,500
Fund 854 - TOPJPA Rev Bds 2011A	0	295,713	1,323,700	1,164,000	1,169,000	1,192,000	1,205,800	1,240,600	1,260,900	1,260,900
Fund 855 - CFD 11-1 Tracy 580 Bus F	0	0	0	0	0	0	0	0	0	0
Fund 8xx - New Financing Districts	0	0	0	208,000	0	624,000	208,000	208,000	0	0
<b>Internal Service Funds</b>										
Fund 602 - Central Services	0	0	0	0	0	0	0	0	0	0
Fund 605 - Eqpt Acquisition	71,726	73,103	73,103	0	0	0	0	0	0	0
Fund 606 - Vehicle Replacement	0	0	0	0	56,360	112,730	112,730	112,720	112,720	112,720
<b>Total</b>	<b>25,134,298</b>	<b>37,849,175</b>	<b>23,961,362</b>	<b>20,696,850</b>	<b>20,207,350</b>	<b>26,937,630</b>	<b>20,766,580</b>	<b>21,026,560</b>	<b>20,858,830</b>	<b>20,889,920</b>

PROGRAM: 61000 - General Debt

COMMENTARY

Payment of debt service obligations applicable to the general operations of the City. These include capital leases and have included in the past short-term borrowing for cash flow purposes for the General Fund.

Debt outlays are stable but with a slight downward trend as debt issues are paid off. Annual variances from the trend is due to old debt being paid off completely and/or new debt being incurred. In FY12-13, leasing debt for a Police Communications System was paid, but new leasing debt was incurred in FY13-14 for new Fire Engines.

Acct #	Account Description	FY10-11	FY11-12	FY12-13	FY13-14	FY13-14	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19
		\$ Actual	\$ Actual	\$ Actual	\$ Adopted	\$ Estimated	\$ Projected	\$ Projected	\$ Projected	\$ Projected	\$ Projected
Police Comm System Lease (2005)											
605-6101511	Debt Principal	64,988	67,586	70,291	0	0	0	Paid Off in FY12-13			
605-6101513	Debt Interest	6,738	5,517	2,812	0	0	0				
Lease Revenue Bonds (2007)											
407-6101511	Debt Principal	0	95,000	95,000	0	0	0	0	0	0	0
407-6101513	Debt Interest	119,500	92,140	149,990	119,500	119,500	119,500	119,500	119,500	119,500	119,500
407-6101515	Debt Fees	3,100	2,850	2,850	3,200	3,200	3,200	3,200	3,200	3,200	3,200
407-61015xx	Issuance Costs	0	0	0	0	0	0				
407-6101511	Debt Principal	90,000	0	0	100,000	100,000	105,000	110,000	115,000	115,000	120,000
407-6101513	Debt Interest	68,380	92,140	30,490	57,200	57,200	49,000	44,600	40,000	35,400	30,600
407-6101515	Debt Fees	2,350	2,100	2,100	2,400	2,400	2,400	2,400	2,400	4,000	4,000
407-61015xx	Issuance Costs	0	0	0	0	0	0				
Lease Revenue Bonds (2008)											
408-6101511	Debt Principal	80,000	100,000	125,000	145,000	145,000	170,000	195,000	220,000	250,000	285,000
408-6101513	Debt Interest	1,171,263	1,167,663	1,163,163	1,158,000	1,158,000	1,151,500	1,143,920	1,134,900	1,124,000	1,110,900
408-6101515	Debt Fees	3,350	3,600	3,350	3,400	3,400	3,400	3,400	3,400	4,500	4,500
408-61015xx	Issuance Costs	0	0	0	0	0	0	0	0	0	0
Fire Engines Lease (2013)											
606-61017511	Debt Principal	0	0	0	0	40,230	82,530	85,360	88,280	91,310	94,440
606-61017513	Debt Interest	0	0	0	0	16,130	30,200	27,370	24,440	21,410	18,280
Program Total		1,609,669	1,628,596	1,645,046	1,588,700	1,645,060	1,716,730	1,734,750	1,751,120	1,768,320	1,790,420

PROGRAM: 62000 - Enterprise Debt

COMMENTARY

Payment of debt service obligations secured by the revenues of the City's enterprise funds.

Program outlays will generally show a decrease over time as debt is paid down. The Wastewater Fund will complete payments on one debt issue in FY13-14. The Water Fund will have a major pay off in FY14-15 for water rights.

Acct #	Account Description	FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected	FY15-16 \$ Projected	FY16-17 \$ Projected	FY17-18 \$ Projected	FY18-19 \$ Projected
EDA Water Notes											
511-62001511	Debt Principal	177,355	1,266,669	0	0	0	0	Paid off in FY11-12			
511-62001513	Debt Interest	72,201	44,861	0	0	0	0				
Airport Loans											
561-62007511	Debt Principal	17,734	18,599	19,464	20,330	20,330	21,200	11,960	Paid off in FY15-16		
561-62007513	Debt Interest	4,861	3,947	3,638	2,670	3,640	1,660	600			
561-62011511	Debt Principal	0	0	0	0	0	19,890	0	0	0	0
561-62011513	Debt Interest	68,767	35,847	0	0	0	20,880	0	0	0	0
2003 Wastewater Bonds											
521-62001511	Debt Principal	315,000	320,000	335,000	345,000	345,000	0	Paid off in FY13-14			
521-62001513	Debt Interest	36,820	27,096	17,519	6,100	17,600	0				
521-62001515	Debt Fees	4,771	2,758	5,698	3,600	5,700	0				
2004 Wastewater COPs											
521-62001511	Debt Principal	615,000	630,000	650,000	670,000	670,000	695,000	720,000	745,000	775,000	805,000
521-62001513	Debt Interest	1,291,153	1,280,284	1,254,028	1,231,000	1,231,000	1,205,900	1,178,800	1,150,000	1,119,170	1,086,240
521-62001515	Debt Fees	2,682	3,782	3,432	3,000	3,500	3,000	3,000	3,000	3,000	3,000
521-6200152x	Issuance Costs	0	0	0	0	0	0	0	0	0	0
2004 Water Rights Debt											
511-62011511	Debt Principal	0	2,000,000	0	0	0	3,000,000	Paid off in FY14-15			
511-62011513	Debt Interest	150,000	90,802	90,000	82,500	82,500	82,500				
511-62011511	Debt Principal	0	0	0	0	0	0	Paid off in FY06-07			
511-62011513	Debt Interest	0	0	0	0	0	0				
2005 State Water Loan - Contract #SRF01CX134											
511-62011511-G3301	Debt Principal	842,122	861,943	882,230	903,000	903,000	924,300	946,100	968,400	991,060	1,014,400
511-62011513-G3301	Debt Interest	415,798	395,977	375,690	354,930	35,500	333,670	311,920	289,660	266,870	243,540
511-62011515-G3301	Debt Fees	0	0	0	0	0	0	0	0	0	0
Program Total		4,014,264	6,982,565	3,636,699	3,622,130	3,317,770	6,308,000	3,172,380	3,156,060	3,155,100	3,152,180

PROGRAM: 63000 - Special Assessment Debt

COMMENTARY

Payment of debt service obligations secured through special assessment levies and community facilities districts.

Generally, debt outlays are stable but with a slight downward trend as debt issues are paid off. Annual variances from the trend is due to old debt being paid off completely, or being refinanced, and/or new debt being incurred.

Acct #	Account Description	FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected	FY15-16 \$ Projected	FY16-17 \$ Projected	FY17-18 \$ Projected	FY18-19 \$ Projected
AD 87-3 Water Facilities											
831-63002511	Debt Principal	1,560,000	1,590,000	0	0	0	0	Paid off in FY11-12			
831-63002513	Debt Interest	78,410	26,768	0	0	0	0				
831-63002515	Debt Fees	87,210	2,033	0	0	0	0				
CFD 89-1 NE Industrial Area #1											
835-63002511	Debt Principal	830,000	860,000	885,000	920,000	920,000	920,000	920,000	1,075,000	1,075,000	1,130,000
835-63002513	Debt Interest	435,960	407,158	375,515	359,200	359,200	323,920	286,000	202,300	179,290	132,100
835-63002515	Debt Fees	23,349	9,853	10,422	15,000	10,500	15,000	15,000	15,000	15,000	15,000
CFD 99-1 NE Industrial Area #2											
837-63012511	Debt Principal	375,000	390,000	405,000	425,000	425,000	450,000	465,000	490,000	510,000	535,000
837-63012513	Debt Interest	360,066	345,715	330,006	321,900	321,900	304,200	284,900	264,200	241,600	217,700
837-63012515	Debt Fees	14,966	6,892	5,434	9,000	9,000	9,000	9,000	9,000	9,000	9,000
CFD 99-2 South MacArthur Area 2000 Bond											
838-63017511	Debt Principal	80,000	3,485,000	0	0	0	0	Refunded in FY11-12; See 854-63030			
838-63017513	Debt Interest	0	0	0	0	0	0				
838-63017515	Debt Fees	4,105	190	0	0	0	0				
CFD 99-2 South MacArthur Area 2002 Bond											
838-63022511	Debt Principal	145,000	6,955,000	0	0	0	0	Refunded in FY11-12; See 854-63030			
838-63022513	Debt Interest	633,142	487,633	0	0	0	0				
838-63022515	Debt Fees	19,152	5,321	0	0	0	0				
AD 00-2 Heartland #3											
839-63012511	Debt Principal	25,000	670,000	0	0	0	0	Refunded in FY11-12; See 854-63030			
839-63012513	Debt Interest	44,718	43,080	0	0	0	0				
839-63012515	Debt Fees	9,581	1,227	0	0	0	0				
AD 00-1 Presidio - 2001 Bonds											
840-63021511	Debt Principal	0	0	0	0	0	0	Refunded in FY05-06; See 840-6329			
840-63021513	Debt Interest	0	0	0	0	0	0				
840-63021515	Debt Fees	19,763	13,084	2,000	0	0	0				
840-630215xx	Issuance Costs	0	0	0	0	0	0				
AD 94-1 West Naglee Area - 2002											
841-63022511	Debt Principal	190,000	205,000	215,000	230,000	230,000	245,000	265,000	280,000	295,000	315,000
841-63022513	Debt Interest	191,965	181,443	169,941	157,500	157,500	144,000	129,200	112,900	95,400	76,710
841-63022515	Debt Fees	27,524	6,447	3,596	27,700	10,000	27,700	27,700	27,700	4,000	4,000

(Continued)

PROGRAM: 63000 - Special Assessment Debt

COMMENTARY

Acct #	Account Description	FY10-11	FY11-12	FY12-13	FY13-14	FY13-14	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19
		\$ Actual	\$ Actual	\$ Actual	\$ Adopted	\$ Estimated	\$ Projected	\$ Projected	\$ Projected	\$ Projected	\$ Projected
CFD 93-1 Tracy Marketplace - 1996											
844-63005 511	Debt Principal	35,000	40,000	40,000	45,000	45,000	45,000	50,000	55,000	55,000	60,000
844-63005 513	Debt Interest	67,080	64,830	62,370	59,700	59,700	56,900	53,900	50,600	47,100	43,500
844-63005 515	Debt Fees	4,044	5,142	2,356	4,200	4,200	4,200	4,200	4,200	3,000	3,000
AD 98-4 Morrison Homes											
849-63014 511	Debt Principal	90,000	1,685,000	0	0	0	0	Refunded in FY11-12; See 854-63030			
849-63014 513	Debt Interest	97,510	92,563	0	0	0	0				
849-63014 515	Debt Fees	18,681	26,426	0	0	0	0				
I205 RRA 1999 Debt Refunding											
850-63015 511	Debt Principal	580,000	585,000	605,000	635,000	635,000	655,000	680,000	715,000	750,000	775,000
850-63015 513	Debt Interest	313,881	294,061	272,356	248,400	248,400	223,800	197,200	168,510	137,700	104,800
850-63015 515	Debt Fees	71,944	16,414	14,194	15,000	15,000	15,000	10,000	10,000	10,000	10,000
CFD 93-1 Tracy Marketplace - 2002											
844-63022 511	Debt Principal	30,000	30,000	30,000	35,000	35,000	35,000	35,000	35,000	40,000	45,000
844-63022 513	Debt Interest	120,517	119,048	117,502	115,800	115,800	113,800	111,900	109,800	107,700	105,100
844-63022 515	Debt Fees	8,784	5,310	6,264	8,800	8,800	8,800	8,800	8,800	3,000	3,000
AD 03-01 Berg Avenue											
852-63052 511	Debt Principal	30,000	30,000	30,000	30,000	30,000	35,000	35,000	35,000	40,000	40,000
852-63052 513	Debt Interest	41,179	39,964	38,711	37,420	37,420	35,970	34,380	32,760	30,970	30,970
852-63052 515	Debt Fees	10,306	4,413	3,291	10,400	5,000	10,400	10,400	10,400	0	0
CFD 06-01 NE Industrial Area #2											
853-63053 511	Debt Principal	30,000	45,000	60,000	80,000	80,000	95,000	115,000	135,000	155,000	155,000
853-63053 513	Debt Interest	607,645	605,549	602,684	597,900	597,900	593,410	588,000	581,500	574,500	574,500
853-63053 515	Debt Fees	17,852	7,316	5,616	18,000	10,000	18,000	18,000	18,000	0	0
853-63053 5xx	Issuance Costs	0	0	0	0	0	0	0	0	0	0

(Continued)

PROGRAM: 63000 - Special Assessment Debt

COMMENTARY

Acct #	Account Description	FY10-11 \$ Actual	FY11-12 \$ Actual	FY12-13 \$ Actual	FY13-14 \$ Adopted	FY13-14 \$ Estimated	FY14-15 \$ Projected	FY15-16 \$ Projected	FY16-17 \$ Projected	FY17-18 \$ Projected	FY18-19 \$ Projected
TOPJPA 2005 A - CFD 98-1 Plan "C"											
846-63027 511	Debt Principal	1,835,000	2,015,200	2,180,000	2,230,000	2,230,000	2,575,000	2,785,000	3,015,000	3,270,000	3,270,000
846-63027 513	Debt Interest	2,652,074	2,580,074	2,501,160	2,401,700	2,401,700	2,307,000	2,199,800	2,083,800	1,958,100	1,958,100
846-63027 515	Debt Fees	87,539	23,623	21,629	88,000	88,000	88,000	88,000	88,000	20,000	20,000
846-63027 5xx	Issuance Costs	0	0	0		0					
TOPJPA 2005 B - CFD 98-3 Souza Citation											
847-63028 511	Debt Principal	160,000	170,000	180,000	185,000	185,000	190,000	200,000	210,000	225,000	225,000
847-63028 513	Debt Interest	149,494	143,094	136,094	128,700	128,700	120,900	112,500	103,400	93,400	93,400
847-63028 515	Debt Fees	13,081	8,287	7,014	13,300	8,000	13,300	13,300	13,300	5,000	5,000
847-63028 5xx	Issuance Costs	0	0	0		0					
TOPJPA 2005 C - CFD 00-01 Presidio											
840-63029 511	Debt Principal	245,000	270,000	300,000	340,000	340,000	365,000	400,000	435,000	465,000	465,000
840-63029 513	Debt Interest	620,766	611,815	601,653	589,900	589,900	576,400	561,400	544,700	526,400	526,400
840-63029 515	Debt Fees	5,150	8,440	6,560	5,600	6,600	5,600	5,600	5,600	10,000	10,000
840-63029 5xx	Issuance Costs	0	0	0		0	416,700				
TOPJPA Rev Bonds 2011A											
854-63030 511	Debt Principal	0	0	750,000	605,000	605,000	645,000	675,000	730,000	775,000	775,000
854-63030 513	Debt Interest	0	123,422	558,213	554,000	554,000	542,000	525,800	505,600	480,900	480,900
854-63030 515	Debt Fees	0	0	9,262	5,000	10,000	5,000	5,000	5,000	5,000	5,000
854-63030 5xx	Issuance Costs	0	172,291	6,225	0	0	0	0	0	0	0
Financing Districts - UMP Developments											
8xx-65002 525	Issuance Costs	0	0	0	87,000	0	261,000	87,000	87,000	0	0
8xx-65002 529	Capitalized Intere	0	0	0	121,000	0	363,000	121,000	121,000	0	0
8xx-6502- 825	Distribution of Prc	0	0	0	0	0	0	0	0	0	0
8xx-65002 515	Debt Fees	0	0	0	0	0	0	0	0	0	0
Tracy 580 Business Park - CFD 11-01											
855-63051511	Debt Principal										
855-63051513	Debt Interest										
855-63051515	Debt Fees										
855-630515xx	Issuance Costs										
Program Total		13,097,438	25,514,126	11,550,068	11,760,120	11,517,220	12,858,000	12,132,980	12,393,070	12,212,060	12,218,180

PROGRAM: 64000 - Redevelopment Debt

## COMMENTARY

Payment of debt service and interagency obligations applicable to the redevelopment activities of the City's Community Development Agency.

Effective January 31st, 2012, the State abolished all redevelopment agencies, including the Tracy Community Development Agency (CDA). So, NO new redevelopment debt will be issued. However, the City as the Successor Agency to the CDA will continue to repay the outstanding CDA debt of \$44,975,000. Debt payments should be stable over the next 20 years.

In FY12-13, the Successor Agency repaid the residual cash from housing component the abolished CDA. In FY14-15, further repayments are budgeted from the non-housing component.

Acct #	Account Description	FY10-11	FY11-12	FY12-13	FY13-14	FY13-14	FY14-15	FY15-16	FY16-17	FY17-18	FY18-19
		\$ Actual	\$ Actual	\$ Actual	\$ Adopted	\$ Estimated	\$ Projected				
CDA Interagency Payments											
4xx-64001 535	Direct Allocation	224,122	0	0	0	0	0	0	0	0	0
4xx-64001 536	Pass-Thru Payme	2,274,700	0	0	0	0	0	0	0	0	0
4xx-64001 531	Tax Collection Fe	153,685	0	0	0	0	0	0	0	0	0
281-64001 531	Tax Collection Fe	38,429	0	0	0	0	0	0	0	0	0
CDA 2003 Bonds Debt - Series A											
4xx-64006 511	Debt Principal	760,000	790,000	820,000	850,000	850,000	885,000	920,000	960,000	995,000	1,040,000
4xx-64006 513	Debt Interest	1,436,043	1,409,128	1,377,844	1,345,050	1,345,050	1,311,050	1,275,650	1,238,490	1,200,450	1,158,160
4xx-64006 515	Debt Fees	8,013	6,750	10,150	8,800	10,500	8,900	9,000	9,000	9,000	9,000
4xx-64006 529	Capitalized Intere	0	0	0	0	0	0	0	0	0	0
4xx-64006 5xx	Issuance Costs	0	0	0	0	0	0	0	0	0	0
CDA 2003 Bonds Debt - Series B											
4xx-64007 511	Debt Principal	385,000	405,000	425,000	450,000	450,000	475,000	500,000	525,000	555,000	590,000
4xx-64007 513	Debt Interest	1,129,875	1,110,625	1,089,768	1,067,250	1,067,250	1,042,950	1,016,820	988,820	958,900	926,980
4xx-64007 515	Debt Fees	3,060	2,385	4,077	4,800	4,500	4,900	5,000	5,000	5,000	5,000
4xx-64007 529	Capitalized Intere	0	0	0	0	0	0	0	0	0	0
4xx-64007 5xx	Issuance Costs	0	0	0	0	0	0	0	0	0	0
CDA Successor Agency - Cash Distribution											
495-64106 539	IG Cash Distributi	0	0	3,402,710	0	0	2,327,100	0	0	0	0
Program Total		6,412,927	3,723,888	7,129,549	3,725,900	3,727,300	6,054,900	3,726,470	3,726,310	3,723,350	3,729,140

# *Appendix*



## APPENDIX

This part of the budget document is for supplemental data supporting the adopted budget.

The following items have been included:

- ✧ Resolution No. 2014-104  
Adopting the Budget and Appropriations for the City of Tracy for Fiscal Year 2014-2015  
(pages H3 to H10)
  - The adopting resolution appropriations monies using a Fund-Department format.
- ✧ Resolution No. 2014-090  
Establishing the Appropriations Limit for the City of Tracy for Fiscal Year 2014-2015  
(page H11)
  - See pages C16 and C17 for an explanation of the Appropriations Limit.
- ✧ Calculation of the Appropriations Limit for Fiscal Year 2014-2015  
(pages H12 to H16)
- ✧ Cost Allocation Plan  
Description of Central Services, Department Overhead, and Internal Service Costs  
(pages H17 to H21)
- ✧ Indirect costs and overhead allocations for Fiscal Year 2014-2015 Adopted  
(pages H22 to H26)
  - The FY13-14 estimated charges for indirect costs were an 8.0% across the board increase from the FY12-13 actuals. The charges budgeted for FY14-15, are 5.0% across the board increase over the FY13-14 estimate.
  - An update of the cost calculations will be performed for FY13-14. The results of this update will be an adjusting entry at the end of the FY13-14, which will bring the various charges up-to-date.
- At the end of FY13-14, similar updated cost calculations will be done which will also result in adjustments to update budgeted charges to actual.
- ✧ Internal Charges  
(pages H27-H29)

Allocation for Worker's Compensation and General Liability Insurance, Vehicle Operations, Equipment Replacements, Copier and Postage Costs, and Telecommunications and Information Systems.

  - These internal charges allocations have been budgeted for FY14-15 in various operating budget programs as indicated. They are shown in the budgets of the individual programs on the "Internal Charges" line under program expenditures, except for worker's compensation, which is included on the "Personnel Expenses" line, and vehicle fuel, which is included on the "Commodities" line.
- ✧ Index (pages i-iv)

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RESOLUTION 2014-104

ADOPTING THE ANNUAL BUDGET AND APPROPRIATIONS FOR THE CITY OF TRACY FOR FISCAL YEAR 2014-2015

WHEREAS, The proposed operating, capital, and debt budgets for the City of Tracy for Fiscal Year 2014-2015 were submitted to the City Council on May 29, 2014, and

WHEREAS, A public workshop and a public hearing were held by the City Council to review, consider, and deliberate upon the proposed budgets, as well as to hear any public comments upon the budgets, and

WHEREAS, The proposed budgets presented to the City Council and any modifications made have been incorporated into budget;

NOW, THEREFORE, BE IT RESOLVED, That the City Council of the City of Tracy approves as follows:

Section 1: Adopted Budget for the Fiscal Year 2014-2015

There is hereby appropriated from the unappropriated fund balances anticipated to be available on July 1, 2014, and from the estimated revenues and transfers in to be received during the Fiscal Year beginning July 1, 2014, and ending June 30, 2015, the following amounts necessary to fund the operating programs of City departments, the City debt service programs, and the various projects of the City's Capital Improvement Program (CIP) during said Fiscal Year.

1. From the General Fund 101 and its various sub-funds	
The Police Department	\$ 23,866,190
The Fire Department	9,897,450
The Public Works Department	4,295,930
The Utilities Department	138,280
The Development Services Department	8,673,800
The City Council	126,000
The City Attorney's Office	879,560
The City Manager's Office	1,890,660
Recreation and Cultural Arts Programs	3,664,250
The Administrative Services Department	3,392,440
The Indirect Costs Program	(1,139,070)
The Equipment Acquisition Program	117,160
The Special Reserves Program	620,000
CIP Projects	0
Debt Service Program	0
	<u>\$ 56,422,650</u>

2.	From the South County Fire Authority Fund 211 for:		
	The Indirect Costs Program	\$	190,400
	The Fire Department		6,559,520
	The Equipment Acquisition Program		36,500
	Special Reserves Program		25,000
		\$	6,811,420
3.	From the Downtown Improvement District Fund 221 for:		
	The Downtown Promotions Program	\$	117,200
4.	From the Asset Forfeiture Fund 231 for:		
	The Police Department	\$	16,900
5.	From the Transportation Development Act Fund 241 for:		
	The Indirect Costs Program	\$	36,770
	The Public Works Department		1,365,960
	The Development Services Department		180,000
	CIP Traffic Safety Program		700,000
		\$	2,282,730
6.	From the Transportation Sales Tax Fund 242 for:		
	CIP Traffic Safety Program	\$	250,000
	CIP Streets and Highways Projects		1,522,320
		\$	1,772,320
7.	From the Gas Tax (Maintenance) Funds 245, 246, & 247 for:		
	The Indirect Costs Program	\$	36,140
	The Public Works Department		1,194,040
	The Utilities Department		200,000
	CIP Traffic Safety Program		105,000
	CIP Streets & Highways Projects		303,700
	CIP Parks & Recreation Projects		0
		\$	1,838,880
8.	From the Federal TEA Grant Fund 261 for:		
	CIP Streets & Highway Projects	\$	39,792,490
9.	From the Community Development Block Grant Fund 268 for:		
	The Development Services Department	\$	312,370
	CIP General Government Projects		145,200
	CIP Parks & Recreation Projects		65,800
		\$	523,370

10.	From the Landscaping Districts Fund 271 for:		
	The Indirect Cost Program	\$	86,230
	The Public Works Department		2,479,090
	The Administrative Services Department		35,800
	CIP Parks & Community Services Projects		<u>832,000</u>
		\$	3,433,120
11.	From the Education Government CTV Fund 295 for:		
	The Indirect Costs Program	\$	22,800
	The City Manager's Office		100,970
	The Equipment Acquisition Program		<u>15,550</u>
		\$	139,320
12.	From the General Projects Fund 301 for:		
	CIP General Government Projects	\$	262,000
	CIP Traffic Safety Projects		271,100
	CIP Parks & Community Services Projects		1,937,800
	CIP Miscellaneous Projects		<u>220,000</u>
		\$	2,690,900
13.	From the Arterial Infill Fund 313 for:		
	CIP Traffic Safety Program	\$	84,000
14.	From the CDA Successor Project Fund 318 for:		
	Debt Service (Due to State)	\$	2,285,440
15.	From the Arterial Plan C Fund 323 for:		
	CIP Streets & Highways Projects	\$	472,900
16.	From the Plan C Utilities Fund 325 for:		
	CIP Water Projects	\$	405,000
17.	From the RSP Fund 345 for:		
	CIP Streets & Highway Projects	\$	118,000
	CIP General Government Projects		<u>75,000</u>
		\$	193,000
18.	From the NE Industrial Area #1 Fund 351 for:		
	CIP Streets & Highways Projects	\$	340,100
19.	From the South MacArthur Area Fund 352 for:		
	CIP Water Projects	\$	0
	CIP Miscellaneous Projects		<u>0</u>
		\$	0
20.	From the I-205 Area Fund 353 for:		
	CIP Traffic Safety Projects	\$	0
	CIP Streets & Highways Projects		<u>0</u>
		\$	0
21.	From the Industrial SP South Fund 354 for:		
	CIP Traffic Safety Projects	\$	68,000

22.	From the Tracy Gateway Fund 356 for: CIP Traffic Safety Projects CIP Wastewater Improvements	\$ 0 <u>1,603,800</u> \$ 1,603,800
23.	From the NE Industrial Area Fund 357 for: CIP Wastewater Improvements	\$ 633,000
24.	From the UMP Facilities Fund 391 for: CIP Miscellaneous Projects	\$ 800,000
25.	From the CIP Deposits Fund 395 for: The Capital Improvements Program Deposits	\$ 0
26.	From the 2008 Lease Revenue Bonds Fund 407 for: Debt Service Programs	\$ 279,100
27.	From the 2009 Lease Revenue Bonds Fund 408 for: Debt Service Programs	\$ 1,324,900
28.	From the Successor Agency Fund 495 for: The Development Services Department The Debt Services Program	\$ 250,000 <u>3,769,460</u> \$ 4,019,460
29.	From the Water Operating Fund 511 for: The Indirect Costs Program The Administrative Services Department The Special Reserves Program The Equipment Acquisition Program The Public Works Department The Utilities Department The Development Services Department Water Purchases for Storage Debt Service Programs	\$ 291,030 457,200 50,000 53,500 4,341,210 8,630,130 78,000 275,000 <u>4,340,470</u> \$ 18,516,540
30.	From the Water Capital Fund 513 for: CIP Water Improvements Projects	\$ 1,145,000
31.	From the Wastewater Operating Fund 521 for: The Indirect Costs Program The Administrative Services Department The Special Reserves Program The Equipment Acquisition Program The Public Works Department The Utilities Department The Development Services Department Debt Service Programs	\$ 224,520 221,500 50,000 115,500 1,673,880 6,143,140 96,530 <u>1,903,900</u> \$ 10,428,970
32.	From the Wastewater Capital Fund 523 for: CIP Wastewater Improvements Projects	\$ 21,560,000

33.	From the Solid Waste Funds 531, 532 and 533 for:		
	The Indirect Costs Program	\$	34,610
	The Administrative Services Department		229,700
	The Public Works Department		<u>19,932,630</u>
		\$	20,196,940
34.	From the Drainage Fund 541 for:		
	The Indirect Costs Program	\$	16,220
	The Administrative Services Department		24,000
	The Public Works Department		506,380
	The Utilities Department		65,000
	The Development Services Department		25,000
	CIP Drainage Projects		<u>143,000</u>
		\$	779,600
35.	From the Airport Fund 561 for:		
	The Indirect Costs Program	\$	71,060
	The Public Works Department		810,990
	Debt Service Programs		<u>63,630</u>
		\$	945,680
36.	From the Airport Capital Fund 563 for:		
	CIP Airport Improvements Projects	\$	100,000
37.	From the Transit Fund 571 for:		
	The Indirect Costs Program	\$	59,540
	The Equipment Acquisition Program		40,000
	The Public Works Department		<u>2,230,670</u>
		\$	2,330,210
38.	From the Central Garage Fund 601 for:		
	The Indirect Costs Program	\$	37,200
	The Public Works Department		<u>1,529,560</u>
		\$	1,566,760
39.	From the Central Services Fund 602 for:		
	The Administrative Services Department	\$	1,647,710
40.	From the Equipment Acquisition Fund 605 for:		
	The Equipment Acquisition Program	\$	627,910
	CIP Projects		<u>500,000</u>
		\$	1,127,910
41.	From the Vehicle Acquisition Fund 606 for:		
	The Equipment Acquisition Program	\$	342,960
	Debt Service Programs		<u>112,730</u>
		\$	455,690

42.	From the Building Maintenance Fund 615 for:		
	The Indirect Costs Program	\$	32,550
	The Public Works Department		<u>892,500</u>
		\$	925,050
43.	From the Self-Insurance Fund 627 for:		
	The Administrative Services Department	\$	603,340
	The Non-Departmental Group		<u>3,746,000</u>
		\$	4,349,340
44.	From the Medical Leave Bank Fund 811 for:		
	The Special Reserves Program	\$	655,000
45.	From the CFD 89-1 Debt Fund 835 for:		
	Debt Service Programs	\$	1,258,920
46.	From the CFD 99-1 Fund 837 for:		
	Debt Service Programs	\$	763,200
47.	From the CFD 00-01 Fund 840 for:		
	Debt Service Programs	\$	1,363,700
48.	From the Assessment District 94-1 Fund 841 for:		
	Debt Service Programs	\$	416,700
49.	From the CFD 93-1 Fund 844 for:		
	Debt Service Programs	\$	263,700
50.	From the CFD 98-1 Fund 846 for:		
	Debt Service Programs	\$	4,970,000
51.	From the CFD 98-3 Fund 847 for:		
	Debt Service Programs	\$	324,200
52.	From the I-205 RAA Debt Refinancing Fund 850 for:		
	Debt Service Programs	\$	893,800
53.	From the AD 03-01 Berg Avenue Area Fund 852 for:		
	Debt Service Programs	\$	81,370
54.	From the CFD 06-01 NE Industrial Area #2 Fund 853 for:		
	Debt Service Programs	\$	706,410
55.	From the TOPJPA Revenue Bonds 2011A Fund 854 for:		
	Debt Service Programs	\$	1,192,000
56.	From new Financing Districts to be established:		
	Debt Service Programs	\$	624,000
	<b>Grand Total All Funds</b>	\$	<u>227,938,400</u>

Section 2: Authorized Interfund Transfers for Fiscal Year 2014-2015.

There is hereby authorized the transfers of the following amounts from one fund to another for the stated purpose during said Fiscal Year 2014-2015.

1.	From the General Fund 101 for debt service payments:		
	To the 2007 Lease Revenue Bond Fund 407	\$	279,100
	To the 2008 Lease Revenue Bond Fund 408		<u>924,900</u>
		\$	<u>1,204,000</u>
2.	From the Economic Uncertainties Fund 299 for capital projects:		
	To the General Projects Fund 301	\$	1,614,000
3.	From the Successor Agency Fund 495 for debt service payments:		
	To the 2008 Leave Revenue Bond Fund 405	\$	400,000
4.	From the Airport Fund 561 for loan repayment:		
	To the Water Capital Fund 513	\$	20,880
5.	From the Asset Forfeiture Fund 231 for a loan repayment:		
	To the Vehicle Replacement Fund 606	\$	22,000
6.	From the Economic Uncertainty Fund 299 for an operating transfer:		
	To the General Fund 101	\$	0
7.	From the General Fund 101 transfer of surplus:		
	To the Economic Uncertainty Fund 299	\$	674,090
	Total Transfers	\$	<u><u>3,934,970</u></u>

Section 3: Interest Allocation and Stabilization

All investment earnings and gains in Fiscal Year 13-14 and Fiscal Year 14-15 for funds with General Fund derived cash balances and the City's internal services funds, will be allocated to the General Fund 101.

Section 4: Contingency Reserves

Any proceeds of taxes received in Fiscal Year 13-14 or Fiscal Year 14-15, in excess of those appropriated or transferred in Sections 1 and 2 above shall be appropriated into a contingency reserve for their respective fund.

The General Fund balance is targeted at \$18,985,100 at fiscal year-end for both Fiscal Year 13-14 and Fiscal Year 14-15. Staff is authorized to transfer any monies into or out of the General Fund 101, and from or to the Economic Uncertainty Fund 299 respectively, to maintain the targeted fund balance.

Section 5: No Uncommitted Development Fees

The City prepares and maintains a five-year capital improvement plan. In accordance with this plan, there are no uncommitted development fee monies from prior fiscal years that should be refunded as per Government Code 66001(d).

Section 6: Reduction for Prior Year Over Expenditures

Any over expenditures of the Fiscal Year 13-14 operating budget as amended at the fund and department level maybe offset by an equal reduction for the same fund and department in the new Fiscal Year 14-15 budget.

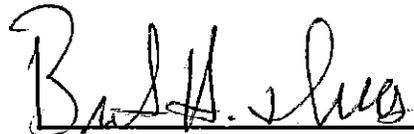
Section 7: Reduction for Expenditures of Unrealized Fee & Grant Revenues

In any program where a budget is established based upon a projection of fee and/or grant revenues, covering at least 20% of program costs, it is expected that if actual revenues received are less than projected, that actual expenses paid from the program should also be less by an equal amount. If any expenditure of unrealized revenue occurs in Fiscal Year 13-14, the portion over shall be offset by an equal reduction for the same fund and department in the new Fiscal Year 14-15 budget.

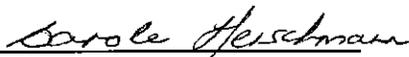
\* \* \* \* \*

The foregoing Resolution 2014-104 was adopted by the Tracy City Council on the 17th day of June 2014, by the following vote:

- AYES: COUNCIL MEMBERS: MACIEL, MANNE, RICKMAN, YOUNG, IVES
- NOES: COUNCIL MEMBERS: NONE
- ABSENT: COUNCIL MEMBERS: NONE
- ABSTAIN: COUNCIL MEMBERS: NONE

  
MAYOR

ATTEST:

  
CITY CLERK (INTERIM)

RESOLUTION 2014-090

ESTABLISHING THE APPROPRIATIONS LIMIT FOR THE CITY  
OF TRACY FOR FISCAL YEAR 2014-2015

WHEREAS, Article XIII B of the State Constitution (Limitation of Government Appropriations) was passed by the California electorate on November 6, 1979, and became effective on July 1, 1980, and

WHEREAS, Chapter 1205, Statute of 1980, Section 7900 of the California Government Code formally implements methods for governmental entities to establish and define annual appropriations limits, and

WHEREAS, The growth factors used to calculate the Annual Appropriations Limit are County or City population change and the increase in the California per capita income;

NOW, THEREFORE, BE IT RESOLVED That the Annual Appropriations Limit for the City of Tracy for FY 14-15 is \$52,658,761 as reflected in Exhibit A.

\*\*\*\*\*

The foregoing Resolution 2014-090 was adopted by the Tracy City Council on the 3rd day of June, 2014, by the following vote:

AYES: COUNCIL MEMBERS: MACIEL, MANNE, RICKMAN, YOUNG, IVES

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: NONE

ABSTAIN: COUNCIL MEMBERS: NONE

  
MAYOR

ATTEST:

  
CITY CLERK (INTERIM)

FY 1978-79 Base	Tax Proceeds	Non-Tax Proceeds
Taxes	\$1,141,967	
Lic, Permit & Franchises		\$370,119
Fines		\$108,860
Use of Money	\$175,167	\$182,952
Rents & Concessions		\$60,650
State Shared Revenues	\$849,352	\$697,618
County Grants		\$39,451
Federal Grants		\$3,860,398
Charges/Fees		\$3,227,759
Other Revenues		\$328,834
Fund Balance from FY76-77	\$428,595	\$162,571
Sub-Totals	\$2,595,081	\$9,039,212
TOTAL Revenues	\$11,634,293	

Prop 4 Adjustments	Population	CPI	Factor	Updated Appropriation Limit
FY 1978-79 BASE LIMIT				\$2,595,081
FY 1979-80 BASE UPDATE	1.0006	1.102	1.1023	\$2,860,456
FY 1980-81 BASE UPDATE	1.0354	1.105	1.1444	\$3,273,585
FY 1981-82 BASE UPDATE	1.0603	1.091	1.1570	\$3,787,536
FY 1982-83 BASE UPDATE	1.0464	1.068	1.1175	\$4,232,546
FY 1983-84 BASE UPDATE	1.0362	1.024	1.0606	\$4,489,003
FY 1984-85 BASE UPDATE	1.0489	1.047	1.0986	\$4,931,699
FY 1985-86 BASE UPDATE	1.0732	1.037	1.1133	\$5,490,646
FY 1986-87 BASE UPDATE	1.0884	1.030	1.1211	\$6,155,300
FY 1987-88 BASE UPDATE	1.0626	1.030	1.0949	\$6,739,457
FY 1988-89 BASE UPDATE	1.0548	1.036	1.0931	\$7,366,828
FY 1989-90 BASE UPDATE	1.0340	1.041	1.0764	\$7,929,609
FY 1990-91 BASE UPDATE	1.1123	1.048	1.1657	\$9,243,469
FY 1991-92 BASE UPDATE	1.1039	1.054	1.1635	\$10,754,874
FY 1992-93 BASE UPDATE	1.0559	1.042	1.1002	\$11,832,691
FY 1993-94 BASE UPDATE	1.0695	1.030	1.1016	\$13,034,593
FY 1994-95 BASE UPDATE	1.0403	1.030	1.0715	\$13,966,683
FY 1995-96 BASE UPDATE	1.0336	1.026	1.0605	\$14,811,299
FY 1996-97 BASE UPDATE	1.0307	1.028	1.0596	\$15,693,454
FY 1997-98 BASE UPDATE	1.0262	1.030	1.0570	\$16,587,761
FY 1998-99 BASE UPDATE	1.0281	1.023	1.0517	\$17,446,117
FY 1999-00 BASE UPDATE	1.0549	1.016	1.0718	\$18,698,371
FY 2000-01 BASE UPDATE	1.0729	1.022	1.0965	\$20,502,835
FY 2001-02 BASE UPDATE	1.0759	1.034	1.1125	\$22,809,006
FY 2002-03 BASE UPDATE	1.0785	1.028	1.1087	\$25,288,299
FY 2003-04 BASE UPDATE	1.0645	1.016	1.0815	\$27,350,105
FY 2004-05 BASE UPDATE	1.0685	1.012	1.0816	\$29,581,222
FY 2005-06 BASE UPDATE	1.0499	1.018	1.0684	\$31,603,934
FY 2006-07 BASE UPDATE	1.0250	1.020	1.0451	\$33,028,955
FY 2007-08 BASE UPDATE	1.0078	1.032	1.0396	\$34,338,437
FY 2008-09 BASE UPDATE	1.0054	1.042	1.0476	\$35,973,867
FY 2009-10 BASE UPDATE	0.9976	0.998	0.9956	\$35,815,755
FY 2010-11 BASE UPDATE	1.0163	1.030	1.0468	\$37,491,538
FY 2011-12 BASE UPDATE	1.0069	1.018	1.0250	\$38,429,734
FY 2012-13 BASE UPDATE	1.0079	1.026	1.0341	\$39,740,395
FY 2013-14 BASE UPDATE	1.0060	1.027	1.0330	\$41,050,271
FY 2014-15 BASE UPDATE	1.0081	1.022	1.0307	\$42,309,752

CITY OF TRACY  
APPROPRIATIONS LIMIT

July 1,2014  
Page 2

Prop 111 Adjustments	City Population	CA per Capita Income	Factor	Updated Appropriation Limit
FY 1986-87 BASE				\$6,155,300
FY 1987-88 BASE UPDATE	1.0626	1.0347	1.0995	\$6,767,581
FY 1988-89 BASE UPDATE	1.0548	1.0466	1.1040	\$7,471,096
FY 1989-90 BASE UPDATE	1.0340	1.0519	1.0877	\$8,126,047
FY 1990-91 BASE UPDATE	1.1123	1.0421	1.1591	\$9,419,127
Adjustments:				
Drainage Fees				(\$92,060)
Landscaping Fees				\$0
Redevelopment Agency Cost Transfer				(\$99,140)
FY 1990-91 Limit				\$9,227,927
FY 1991-92 BASE UPDATE	1.1039	1.0414	1.1496	\$10,608,439
Adjustments:				
County Booking Fees				\$36,000
County Tax Administration Fees				\$90,000
Street Sweeping transfer to fee support				(\$144,700)
FY 1991-92 Limit				\$10,589,739
FY 1992-93 BASE UPDATE	1.0559	0.9936	1.0491	\$11,109,827
Adjustments:				
State Fees for Criminal Justice Services				\$5,000
FY 1992-93 Limit				\$11,114,827
FY 1993-94 BASE UPDATE	1.0695	1.0272	1.0986	\$12,210,528
Adjustments:				
FY 1993-94 Limit				\$12,210,528
FY 1994-95 BASE UPDATE	1.0403	1.0071	1.0477	\$12,792,800
Adjustments:				
FY 1994-95 Limit				\$12,792,800
FY 1995-96 BASE UPDATE	1.0336	1.0472	1.0824	\$13,846,747
Adjustments:				
FY 1995-96 Limit				\$13,846,747
FY 1996-97 BASE UPDATE	1.0307	1.0467	1.0788	\$14,938,337
Adjustments:				
FY 1996-97 Limit				\$14,938,337
FY 1997-98 BASE UPDATE	1.0262	1.0467	1.0741	\$16,045,620
Adjustments:				
FY 1997-98 Limit				\$16,045,620
FY 1998-99 BASE UPDATE	1.0281	1.0415	1.0708	\$17,181,106
Adjustments:				
State Fees for DUI Laboratory Expenses				\$7,000
Landscaping & Lighting District Costs				\$345,770
FY 1998-99 Limit				\$17,533,876
FY 1999-00 BASE UPDATE	1.0549	1.0453	1.1027	\$19,334,377
Adjustments:				
State Fees for Laboratory Expenses				\$12,500
County Tax Administration Fees (Increase since FY91-92)				\$19,000
FY 1999-00 Limit				\$19,365,877
FY 2000-01 BASE UPDATE	1.0729	1.1405	1.2236	\$23,696,909
Adjustments:				
			* using change in non-residential AV	\$0
FY 2000-01 Limit				\$23,696,909

CITY OF TRACY  
APPROPRIATIONS LIMIT

July 1,2014  
Page 3

Prop 111 Adjustments	City Population	CA per Capita Income	Factor	Updated Appropriation Limit
FY 2001-02 BASE UPDATE	1.0759	1.0782	1.1600	\$27,489,253
Adjustments:				
None				\$0
FY 2001-02 Limit				\$27,489,253
FY 2002-03 BASE UPDATE	1.0785	0.9873	1.0648	\$29,270,641
Adjustments:	Revised for FY04-05			
None				\$0
FY 2002-03 Limit				\$29,270,641
FY 2003-04 BASE UPDATE	1.0645	1.0231	1.0891	\$31,878,361
Adjustments:	Revised for FY04-05			
County Booking Fees (Increase since 1992)				\$80,000
County Tax Administration Fees (Increase since 2000)				\$66,000
FY 2003-04 Limit				\$32,024,361
FY 2004-05 BASE UPDATE	1.0685	1.0328	1.1036	\$35,341,704
Adjustments:				
None				\$0
FY 2004-05 Limit				\$35,341,704
FY 2005-06 BASE UPDATE	1.0499	1.0526	1.1051	\$39,056,991
Adjustments:				
None				\$0
FY 2005-06 Limit				\$39,056,991
FY 2006-07 BASE UPDATE	1.0250	1.0396	1.0656	\$41,618,739
Adjustments:				
None				\$0
FY 2006-07 Limit				\$41,618,739
FY 2007-08 BASE UPDATE	1.0078	1.0442	1.0523	\$43,797,262
Adjustments:				
None				\$0
FY 2007-08 Limit				\$43,797,262
FY 2008-09 BASE UPDATE	1.0054	1.0429	1.0485	\$45,922,816
Adjustments:				
None				\$0
FY 2008-09 Limit				\$45,922,816
FY 2009-10 BASE UPDATE	1.0020	1.0062	1.0082	\$46,301,339
Adjustments:				
None				\$0
FY 2009-10 Limit				\$46,301,339
FY 2010-11 BASE UPDATE	1.0163	0.9746	0.9905	\$45,860,827
Adjustments:				
None				\$0
FY 2010-11 Limit				\$45,860,827
FY 2011-12 BASE UPDATE	1.0069	1.0251	1.0322	\$47,336,316
Adjustments:				
None				\$0
FY 2011-12 Limit				\$47,336,316
FY 2012-13 BASE UPDATE	1.0079	1.0377	1.0459	\$49,508,950
Adjustments:				
None				\$0
FY 2012-13 Limit				\$49,508,950

CITY OF TRACY  
APPROPRIATIONS LIMIT

July 1,2014  
Page 4

Prop 111 Adjustments	City Population	CA per Capita Income	Factor	Updated Appropriation Limit
FY 2013-14 BASE UPDATE	1.0060	1.0512	1.0575	\$52,356,071
Adjustments:				
None				\$0
FY 2013-14 Limit				\$52,356,071
FY 2014-15 BASE UPDATE	1.0081	0.9977	1.0058	\$52,658,761
Adjustments:				
None				\$0
FY 2014-15 Limit				\$52,658,761

Determination for FY14-15	Tax	Non-Tax Proceeds	Proceeds
Taxes		\$45,146,960	\$4,000,000
Special Assessments			\$16,788,050
Lic, Permit & Franchises		\$0	\$4,239,280
State Shared Revenues		\$563,000	\$2,101,380
State Grants			\$533,220
Federal Grants			\$41,232,190
County & Other Grants			\$6,873,330
Charges/Fees		\$0	\$69,507,040
Fines			\$1,314,200
Use of Money		\$430,000	\$102,500
Rents & Concessions			\$463,000
Other Revenues			\$1,694,200
Other Financing Sources			\$26,325,000
Fund Balance		\$0	\$0
Sub-Totals		\$46,139,960	\$175,173,390
TOTAL Revenues		\$221,313,350	

Proceeds of Taxes	\$46,139,960
Less Exemptions	
Debt Service	\$1,204,000
Qualified Capital Outlays*	\$0
Court Orders	\$0
Federal Mandates	\$0
Appropriations Subject to Limit	\$44,935,960
Appropriations Limit	\$52,658,761
Amount under Limit	\$7,722,801
% of Limit Appropriated	85.33%

*Qualified Capital Outlays	\$1,120,100
11th Street Bridge	\$950,000
Improvements - 11th Street & Old MacArthur	\$402,310
Street Patch & Overlay	\$2,472,410

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# CITY OF TRACY COST ALLOCATION PLAN

Based on FY 14-15 Adopted Budget

## Purpose of the Plan

The purpose of the City's cost allocation plan is to identify the total costs of providing City services. Why is a separate cost accounting analysis required to do this? Because in most organizations, whether in the private or public sector, the cost of producing goods or delivering services can be classified into two basic categories: direct and indirect costs.

## Direct and Indirect Costs

Direct costs are those costs necessary and related to providing a specific service to the public. While indirect costs are those that support the organization so that it can provide various services. Most budget and accounting systems record and accumulate cost data based on their organizational structure. So, what is direct or indirect is usually analyzed in terms of the organization structure. Operating units provide the direct services to the public. But, other units provide management and support services to the operating units. The costs of the operating units are direct costs; while the costs of the management and support units are indirect costs. Sometimes, these indirect costs are also referred to as overhead costs, central service costs, and/or internal services.

## Indirect Costs Accounting and Allocation

Indirect costs are usually recorded and accumulated for management and support units; and these are separate from the direct costs of operating units. So, to determine the total costs of providing services, the indirect costs must be allocated out or distributed back to the various operating units in the organization. The cost allocation plan is used to identify both the indirect and direct costs of an organization and then allocate the former back to the latter on the basis of benefit in a logical and uniform manner.

The first step in preparing a cost allocation plan is determining direct and indirect costs. To do this, the City's program structure for the operating budget can be used. In preparing the cost allocation plan, only operating costs are considered. Capital outlay and debt service costs are excluded from the calculations.

## Program Structure

The City of Tracy is organized into a number of departments, each providing services in a particular function area. Each City department is organized into a number of divisions or offices. Under the City's program budget, the City's operating budget is organized around programs, which focus upon a particular service provided by the City.

For budget purposes, each City department consists of a number of programs, which serve as the basic units of the City's operating budget. The arrangement of programs to build the City's operating budget is called the program structure. Thus, the City's program structure consists of departments, divisions, and programs. The various programs can be classified as: operating, support, or administration. The operating programs provide direct public services. The support programs provide services to other City programs. The administration programs manage, coordinate, and provide administrative support to other City programs.

## Citywide Cost Allocation

At the City wide level, the City of Tracy provided direct public services: police, fire, public works, parks, recreation, cultural arts, transit, airport, community facilities, economic development, planning, building, code enforcement, and engineering. These are the operating programs in the City budget and represent the first level of direct costs.

At the City wide level, the indirect costs consist of central services costs, department overhead costs, and internal service costs. These costs are allocated back to direct program operating costs, so that total program costs can be determined. The following tables provide a brief description of these cost elements. Also, provided are the factors used to allocate these costs back to City operating programs.

The city wide indirect costs are classified differently into 3 categories because of how they are budgeted and accounted for.

Central Services Costs		
Service/Program	Service/Program Description	Allocation Factor
City Clerk Program 58320	Prepares Council and CDA agendas and minutes, maintain official City and CDA records and documents, and provides general administrative support for the Mayor and the City Council.	% of agenda items and workload generated by programs for the City Clerk
City Attorney Program 58210	Provides legal counsel to the City Council, City Boards and Commissions, and City staff, prepare and/or review all City legal documents, prosecute municipal Code violations, and coordinate special counsel and City litigation	% of time spent by Attorney staff on program legal matters
City Administration Program 58310	The City Manager manages City affairs supervising policy and program implementation, administration, and enforcement activities and provides policy and program development support to the City Council	% of time spent by CM staff on oversight of program affairs
Human Resources Program 58410	Provides central personnel services for the City including compensation review, recruitment, examinations, transaction processing, employee development, and records maintenance.	% of Full-Time equivalent employees in City departments and programs
Finance Programs 58730 through 58750	Administers the City's financial system providing financial management, budget coordination, accounting, payroll, and disbursements.	50% of Full-Time equivalent employees in City departments and programs, and 50% of fiscal transactions and workload generated by programs
Revenue Collection Program 58760	Processing of all receipts received by the City, billing and collection for the City's utilities, business licenses, and miscellaneous receivables.	% of staff time spent on different revenue sources
Cash Management Program 58720	The City Treasurer manages the City's cash and investment portfolio and maintains banking relations	Program costs are charged against pooled investment earnings prior to interest allocation to the various City funds

Central services are citywide management and administrative support services overseeing or benefitting all City departments and programs.

Their costs are accumulated through the Central Administration Sub-Fund 125 of the City's General Fund. This sub-fund is then reimbursed by other City Fund's and other major sub-funds of the General Fund, including its main sub-fund. (See page B6 of the City's budget document to see the City's Fund Structure).

Department overhead consists of departmental management and administrative support services overseeing and/or benefitting all or most programs within a department. Their costs are accumulated in the General Fund. But then, the General Fund is reimbursed by other City Funds and other major sub-funds of the General Fund.

Internal services are citywide support services benefitting all or most other City programs. The services are usually tangible and direct but internal, within the City organization, as opposed to administrative or general in nature. Their costs and receipts are accounted for through internal service

funds. (See page B10 of the City's budget document).

The reimbursements for central services and departmental overhead costs are budgeted annually based upon prior year costs adjusted for any changes in base factors in the programs. During the fiscal year, reimbursements are made quarterly. At year end, actual costs for operating and support programs are tallied, and the indirect cost allocations then recalculated. Afterward, adjustments are made to make actual reimbursements equal the recalculated costs.

These reimbursements are done on a fund or sub-fund level, not a program by program level. The central services reimbursements are accounted for through Program 59210. The department overhead reimbursements are done within each of the respective Department Administration Programs 5X110.

Department Overhead Costs		
Service/Program	Service/Program Description	Allocation Factor
Administration Programs: 53110, 55110, & 56110	The costs of the Administrative programs for the Development Services, and the Public Works Departments are allocated among the various funds that support their respective operating programs.	% of Full-Time Equivalent employees in programs to total for department.
Internal Service Costs		
Service/Program	Service/Program Description	Allocation Factor
Central Garage Program 53310	Provides maintenance, repairs, and fuel for City owned vehicles and equipment.	Costs are accumulated through work orders and fuel tickets for individual vehicles, which are assigned to operating programs.
Building Maintenance Programs 53320 & 53330	Provides maintenance, repairs, and custodial services for City buildings and facilities (except for the City's Water and Wastewater Plants). Also, the utilities costs for the City buildings are accumulated for allocation.	Combination of space utilized by various programs and the amount of effort provided by custodial staff to different facilities
Risk Management Programs 58420, 59410, & 59420	<p>Worker's Compensation premiums and costs for worker's compensation cases</p> <p>Rates applied to different job classes based upon a schedule provided by the City's Risk Management Authority.</p> <p>Rates are applied to direct salary costs through the payroll system.</p> <p>General Liability premiums and costs for general liability claims.</p>	Rates applied to programs based upon staffing level and weighted risk
Information Systems Program 58770	Provides computer and telecommunications systems, hardware, and general software for the City.	Costs allocated among programs based upon # of phone lines and # of computers that they utilize.
Copier and Postage Program 58710	City Hall copier, postage meter, and mailroom services.	Costs allocated based upon copier counter and the costs of outgoing postage.
Equipment Replacement Programs 593xx	Charges made for equipment replacement for portable and mobile equipment. These charges are placed in departmental savings accounts in a separate fund, accumulated, and then used to acquire replacements in the future. Separate Funds have been established for general equipment and for vehicles. For the Water, Wastewater, and Transit Funds, there is no separate placement. The charges are netted against each fund's respective depreciation charges.	Costs are allocated based on an inventory of equipment as assigned and utilized by the various programs and an analysis costs and useful life.

Internal services are citywide support services benefitting all or most other City programs. The services are usually tangible and direct but internal, within the City organization, as opposed to administrative or general in nature. Their costs and receipts are accounted for through internal service funds. (See page B10 of the City's budget document).

Postage, copier, and central garage charges are based upon actual usage and charge rates. Postage and copier charges are made monthly, while charges for fuel and vehicle maintenance are made quarterly.

The other internal services costs are budgeted based on the factor analysis described above for each respective service. During the fiscal year, IS charges are made quarterly. Year-end adjustments may be made for utilities costs being lower than budgeted at year-end.

The Internal Services charges are done on a program by program basis and are recorded as program expenditures. On the receipt side, they are recorded as revenues in their respective internal service funds.

#### Cost Allocation Schedules

Attached on page H21 is a schedule showing the breakout of city costs and their allocation back to program costs.

Also, attached are spreadsheets, which show a calculation for FY14-15 for central services costs and department overhead costs based upon the adopted budget (Pages H22 to H26) and the distribution of internal service charges as budgeted for FY14-15 (Pages H27 to H30).

#### Work Unit Costs

In a large organization, an analysis of direct and indirect costs must be carried down through the organization. Direct services are usually provided by work units at the lowest level of the organization; but then, there are various levels of management and support above the direct units. The work units at the lowest level incur the direct costs, while the levels above incur indirect costs.

Within the work unit, the costs can also be divided into direct and indirect. Direct costs are the labor costs of each unit employee for the hours worked when they directly serve the public. If these costs were to be billed for, they are called "billable" hours. Other direct costs may include materials and contract work which can be directly associated with a specific project or work product.

Indirect costs are all the other work unit costs that cannot be directly associated with a specific project or work product. These would be all the "unbillable" hours for which the unit employees are paid. These hours would include holiday, sick, and vacation pay, as well as all work hours which are NOT associated with a specific project or work product. Also, there are supervisory, clerical, or support personnel who do may not bill their hours. In addition, there are all the other contract, service, and material costs, which support the total unit work effort. These costs might include: rent, utilities, training, equipment rental, and office/operating supplies.

So, total costs for a particular project or product would include not only the direct labor, contract, and materials costs of the work unit, but also an allocation of indirect costs of both the work unit itself and the various higher level management and support units in the larger organization. So, total costs would include the following:

- Salary & Benefits for Direct Labor Hours
- Costs for Direct Contracts and Materials
- Salary & Benefits for All Other Hours
- Salary & Benefits for All Other Unit Personnel
- Costs for All Other Unit Contracts and Materials
- Cost Allocations from Higher Level Units

The first two items can be accounted for directly, and therefore, are direct costs, and can be billed as such. But, the other items are indirect costs and must be allocated back to the direct costs proportionately as a cost allocation plan or method. These indirect costs are then added to the direct costs to obtain the total costs for a project or work product.

**CITY OF TRACY**  
**Cost Allocation based on**  
**FY14-15 Operating Budget**

**Step 1** At the Citywide level, break out Total City Costs based upon Proposed FY14-15 Operating Budget among External costs, Internal Services costs, and Central Services costs. In the City's budget document, see Page E3.

City Departments	FY14-15 Op Budget Total	External Costs	Internal Services Costs	Central Services Costs	Internal Services Programs
City Council	\$126,000	\$74,330	\$51,670		
City Clerk	\$419,720	\$102,000		\$317,720	
City Manager	\$1,571,910	\$571,580	\$60,410	\$939,740	
City Attorney	\$879,560	\$0		\$879,560	
ASD-Hum Res	\$1,492,510	\$0		\$889,170	Self-Insurance \$603,340
ASD-Finance	\$3,514,370	\$625,990	\$16,980	\$2,768,500	Central Services \$102,900
ASD-Information Tech	\$1,604,810				Inform Systems \$1,604,810
Fire	\$16,456,970	\$14,901,290	\$1,555,680		
Police	\$23,883,090	\$20,895,480	\$2,987,610		
Recreation & Cult Arts	\$3,664,250	\$3,178,290	\$485,960		
Development Services	\$9,732,900	\$8,866,060	\$866,840		
Public Works	\$41,252,840	\$36,398,870	\$2,431,910		Central Garage \$1,529,560
Utilities	\$15,176,550	\$14,510,530	\$666,020		Bldg Maint \$892,500
Non-departmental Group	\$6,495,080	\$1,778,390			Self-Insurance \$3,746,000 Eqpt Acq \$970,870
<b>Totals</b>	<b>\$126,270,560</b>	<b>\$101,902,810</b> 80.7%	<b>\$9,123,080</b> 7.2%	<b>\$5,794,690</b> 4.6%	<b>\$9,449,980</b> 7.5%

**Step 2** At the Citywide level, allocate Central Services costs and Internal Services costs back to City Departments providing Direct Services.

City Departments	Total - Dept Costs with Central Services Costs	External Costs	Internal Services Costs	% of Total Internal Services Costs	Central Services Costs	% of Total Central Services Costs
City Council	\$152,210	\$74,330	\$51,670	0.6%	\$26,210	0.5%
City Manager	\$833,120	\$673,580	\$60,410	0.7%	\$99,130	1.7%
Admin Services	\$658,900	\$625,990	\$16,980	0.2%	\$15,930	0.3%
Fire	\$16,981,130	\$14,901,290	\$1,555,680	17.1%	\$524,160	9.0%
Police	\$24,727,900	\$20,895,480	\$2,987,610	32.7%	\$844,810	14.6%
Recreation & Cult Arts	\$4,151,330	\$3,178,290	\$485,960	5.3%	\$487,080	8.4%
Development Services	\$11,228,160	\$8,866,060	\$866,840	9.5%	\$1,495,260	25.8%
Public Works	\$40,045,530	\$36,398,870	\$2,431,910	26.7%	\$1,214,750	21.0%
Utilities	\$16,263,910	\$14,510,530	\$666,020	7.3%	\$1,087,360	18.8%
Non-departmental Group	\$1,778,390	\$1,778,390	\$0	0.0%	\$0	0.0%
<b>Total</b>	<b>\$116,820,580</b>	<b>\$101,902,810</b>	<b>\$9,123,080</b>	<b>100.0%</b>	<b>\$5,794,690</b>	<b>100.0%</b>

NOTE: The difference between the \$126,270,560 total above and the \$116,552,690 total is due to the elimination of the double count of ISC charges. In the operating budget, these charges are shown as expenditures in both the Operating programs and the Support programs.

CITY OF TRACY  
CENTRAL COSTS ALLOCATION  
FY14-15 Adopted

CENTRAL COSTS ALLOCATION  
based upon FY14-15 Adopted Budget

CENTRAL COSTS ALLOCATION  
for FY14-15

Program	Fund	FTEs FY14-15	Budget FY14-15	City Clerk		City Attorney		City Administration		Human Resources		50% of Finance	
				% & \$ Allocated	% & \$ Allocated								
				\$317,720		\$879,560		\$939,740		\$889,170		\$657,325	
58110 - City Council	Fund 101	1.00	\$126,000	1.0%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.2%	\$1,953	0.2%	\$1,444
58210 - Legal Counsel	Fund 125	4.00	\$879,560 CC	4.0%	\$12,709	xxx	xxx	1.0%	\$9,397	0.9%	\$7,812	0.9%	\$5,775
58310 - City Administration	Fund 125	3.98	\$939,740 CC	5.0%	\$15,886	3.0%	\$26,387	xxx	xxx	0.9%	\$7,773	0.9%	\$5,747
58320 - City Clerk	Fund 125	2.00	\$317,720 CC	xxx	xxx	3.0%	\$26,387	2.0%	\$18,795	0.4%	\$3,906	0.4%	\$2,888
58321 - Elections	Fund 101	0.00	\$102,000	5.0%	\$15,886			0.0%	\$0	0.0%	\$0	0.0%	\$0
58410 - Human Resources	Fund 125	3.15	\$889,170 CC	4.0%	\$12,709	1.0%	\$8,796	5.0%	\$46,987	0.7%	\$6,152	0.7%	\$4,548
58420 - Risk Management	Fund 627	3.35	\$603,340			3.5%	\$30,785	2.0%	\$18,795	0.7%	\$6,543	0.7%	\$4,837
58710 - Central Services	Fund 602	0.17	\$102,900							0.0%	\$332	0.0%	\$245
58720 - Cash Management	Fund 125	0.23	\$342,970	1.0%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.1%	\$449	0.1%	\$332
587xx - Finance	Fund 125	7.01	\$1,314,650 CC	4.0%	\$12,709	2.4%	\$21,109	5.0%	\$46,987	1.5%	\$13,691	1.5%	\$10,121
58760 - Revenue Collection	Fund 101	0.01	\$300,000							0.0%	\$20	0.0%	\$14
58760 - Revenue Collection	Fund 125	3.40	\$485,650 CC					0.0%	\$0	0.7%	\$6,641	0.7%	\$4,909
58760 - Revenue Collection	Fund 5xx	7.33	\$968,200 CC					0.5%	\$4,699	1.6%	\$14,316	1.6%	\$10,583
58770 - Information Systems	Fund 602	8.00	\$1,604,810					1.0%	\$9,397	1.8%	\$15,625	1.8%	\$11,551
6xxx - Debt Service	Fund 4xx	0.05	\$26,937,630							0.0%	\$98	0.0%	\$72
		43.68	\$35,914,340	24.0%	\$76,253	14.9%	\$131,054	18.5%	\$173,852	9.6%	\$85,312	9.6%	\$63,067
51000- Police	Fund 101	129.86	\$23,490,350	5.0%	\$15,886	6.6%	\$58,051	12.0%	\$112,769	28.5%	\$253,630	28.5%	\$187,498
2100 - Police Grants	Fund 101	0.00	\$392,740							0.0%	\$0	0.0%	\$0
52000 - Fire	Fund 101	47.90	\$9,897,450	2.5%	\$7,943	4.7%	\$41,339	5.0%	\$46,987	10.5%	\$93,554	10.5%	\$69,160
52000 - SCFA	Fund 211	31.80	\$6,559,520	1.5%	\$4,766	0.3%	\$2,639	1.5%	\$14,096	7.0%	\$62,109	7.0%	\$45,914
58380 - Community Promotion	Fund 101	0.02	\$159,150					1.0%	\$9,397	0.0%	\$39	0.0%	\$29
56350 - Comm Access CTV	Fund 295	2.00	\$100,970			0.3%	\$2,639	2.4%	\$22,554	0.4%	\$3,906	0.4%	\$2,888
58360 - Mayor's Comm Youth	Fund 101	1.00	\$372,050	0.5%	\$1,589	0.1%	\$880	1.0%	\$9,397	0.2%	\$1,953	0.2%	\$1,444
5611 - DS Admin	Fund 101	2.15	\$411,230	1.00%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.5%	\$4,199	0.5%	\$3,104
562x - Planning Division	Fund 116	6.00	\$1,014,630	15.0%	\$47,658	10.0%	\$87,956	11.0%	\$103,371	1.3%	\$11,719	1.3%	\$8,663
564x - Building Division	Fund 111	9.40	\$2,737,940	3.0%	\$9,532	7.0%	\$61,569	2.0%	\$18,795	2.1%	\$18,359	2.1%	\$13,572
5643 - Code Enforcement	Fund 101	2.70	\$527,770	2.0%	\$6,354	5.0%	\$43,978	2.0%	\$18,795	0.6%	\$5,273	0.6%	\$3,898
566x Engineering Division	Fund 112	16.10	\$3,819,230	27.0%	\$85,784	18.0%	\$158,321	18.0%	\$169,153	3.5%	\$31,445	3.5%	\$23,246
56810 Economic Development	Fund 101	2.00	\$542,530	2.0%	\$6,354	1.0%	\$8,796	3.5%	\$32,891	0.4%	\$3,906	0.4%	\$2,888
56820 Redevelopment	Fund 381	0.00	\$250,000										
56810 Comm Devel Block Gt	Fund 268	0.00	\$312,370										
56850 Downtown Promotion	Fund 221	0.00	\$117,200					0.0%	\$0				
		38.35	\$9,732,900	50.0%	\$158,860	42.0%	\$369,415	37.5%	\$352,403	8.4%	\$74,902	8.4%	\$55,371

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CITY OF TRACY  
 CENTRAL COSTS ALLOCATION  
 FY14-15 Adopted

CENTRAL COSTS ALLOCATION  
based upon FY14-15 Adopted Budget

CENTRAL COSTS ALLOCATION for FY14-15		50% of Finance		Revenue Coll		Allocated Totals	GGA Overhead Reallocated	Dept Overhead Reallocated	Total \$ Allocated
		\$657,325		\$1,453,850		\$5,794,690			\$5,794,690
Program	Fund	% & \$ Allocated		% & \$ Allocated					
58110 - City Council	Fund 101	0.2%	\$1,444			\$26,211			\$26,211
58210 - Legal Counsel	Fund 125	0.9%	\$5,775			\$41,469	-\$41,469		\$0
58310 - City Administration	Fund 125	0.9%	\$5,747	0.1%	\$1,454	\$62,993	-\$62,993		\$0
58320 - City Clerk	Fund 125	0.4%	\$2,888	0.1%	\$1,454	\$56,317	-\$56,317		\$0
58321 - Elections	Fund 101	0.0%	\$0			\$15,886			\$15,886
58410 - Human Resources	Fund 125	1.0%	\$6,573			\$85,765	-\$85,765		\$0
58420 - Risk Management	Fund 627	0.6%	\$3,944	0.5%	\$7,269	\$72,172	-\$72,172		\$0
58710 - Central Services	Fund 602	0.1%	\$657			\$1,235	-\$1,235		\$0
58720 - Cash Management	Fund 125	0.1%	\$657	0.5%	\$7,269	\$30,078	-\$30,078		\$0
587xx - Finance	Fund 125	xxx	xxx	2.2%	\$31,985	\$136,603	-\$136,603		\$0
58760 - Revenue Collection	Fund 101		\$15	xxx	xxx	\$49	-\$49		\$0
58760 - Revenue Collection	Fund 125	1.0%	\$6,573	xxx	xxx	\$18,123	-\$18,123		\$0
58760 - Revenue Collection	Fund 5xx	1.6%	\$10,583	xxx	xxx	\$40,182	-\$40,182		\$0
58770 - Information Systems	Fund 602	2.5%	\$16,433			\$53,006	-\$53,006		\$0
6xxx - Debt Service	Fund 4xx	1.3%	\$8,494	0.5%	\$7,269	\$15,933			\$15,933
		10.6%	\$69,784	3.9%	\$56,700	\$656,022	-\$597,992	0.0%	\$0
51000- Police	Fund 101	15.2%	\$99,913	0.5%	\$7,269	\$735,016	\$106,465	358.7%	\$841,481
2100 - Police Grants	Fund 101	0.0%	\$0	0.2%	\$2,908	\$2,908	\$421	0.0%	\$3,329
52000 - Fire	Fund 101	4.0%	\$26,293	0.2%	\$2,908	\$288,184	\$41,743	132.3%	\$329,926
52000 - SCFA	Fund 211	5.0%	\$32,866	0.5%	\$7,269	\$169,659	\$24,575	87.8%	\$194,234
58380 - Community Promotion	Fund 101	0.0%	\$29			\$9,494	\$1,375	0.1%	\$10,869
56350 - Comm Access CTV	Fund 295	0.4%	\$2,888	0.7%	\$10,177	\$45,051	\$6,526	5.5%	\$51,576
58360 - Mayor's Comm Youth	Fund 101	0.2%	\$1,444	0.1%	\$1,454	\$18,160	\$2,630	2.8%	\$20,791
5611 - DS Admin	Fund 101	0.5%	\$3,287			\$31,960	\$4,629.35	-\$36,590	\$0
562x - Planning Division	Fund 116	2.6%	\$17,090	2.6%	\$37,800	\$314,258	\$45,519.29	16.6%	\$365,842
564x - Building Division	Fund 111	2.6%	\$17,090	4.0%	\$58,154	\$197,071	\$28,545	26.0%	\$235,118
5643 - Code Enforcement	Fund 101	1.0%	\$6,573			\$84,872	\$12,293	7.5%	\$99,895
566x - Engineering Division	Fund 112	10.5%	\$69,019	5.0%	\$72,693	\$609,661	\$88,308	44.5%	\$714,242
56810 Economic Development	Fund 101	0.5%	\$3,287			\$58,121	\$8,418.72	5.5%	\$68,562
56820 Redevelopment	Fund 381		\$0	0.1%	\$1,454	\$1,454	\$211	0.0%	\$1,664
56810 Comm Devel Block Gt	Fund 268	1.0%	\$6,573			\$6,573	\$952	0.0%	\$7,525
56850 Downtown Promotion	Fund 221	0.1%	\$657	0.1%	\$1,454	\$2,111	\$306	0.0%	\$2,417
		18.8%	\$123,577	11.8%	\$171,554	\$1,306,082	\$189,182	100.0%	\$0
									\$1,495,264

(Continued)

CENTRAL COSTS ALLOCATION  
based upon FY14-15 Adopted Budget

CENTRAL COSTS ALLOCATION  
for FY14-15

Program	Fund	FTEs FY14-15	Budget FY14-15	City Clerk		City Attorney		City Administration		Human Resources		50% of Finance	
				% & \$ Allocated	% & \$ Allocated	% & \$ Allocated	% & \$ Allocated	% & \$ Allocated	% & \$ Allocated				
5311 - Public Works Admin	Fund 101	4.04	\$669,130	1.0%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.9%	\$7,891	0.9%	\$5,833
5331 - Central Garage	Fund 601	4.35	\$1,492,460							1.0%	\$8,496	1.0%	\$6,281
533x - Bldg Maint & Custodial	Fund 615	5.60	\$969,900							1.2%	\$10,937	1.2%	\$8,086
5513 - Community Facilities	Fund 101	6.40	\$596,430	1.0%	\$3,177					1.4%	\$12,500	1.4%	\$9,241
5340 - Street Maint 23.6%	Fund 101	2.85	\$466,340			3.0%	\$26,387			0.6%	\$5,566	0.6%	\$4,115
5340 - Street Maint 76.4%	Fund 24x	11.16	\$1,970,000							2.5%	\$21,797	2.5%	\$16,113
5343 - Street Sweeping	Fund 531	0.10	\$384,830							0.0%	\$195	0.0%	\$144
5348 - Traffic Electric	Fund 101	0.00	\$130,450							0.0%	\$0	0.0%	\$0
5348 - Traffic Electric	Fund 24x	0.00	\$590,000							0.0%	\$0	0.0%	\$0
5347 - Graffiti Removal	Fund 101	0.55	\$93,520							0.1%	\$1,074	0.1%	\$794
537x - Parks Maint	Fund 101	16.20	\$2,437,340			3.0%	\$26,387			3.6%	\$31,640	3.6%	\$23,390
5375 - Landscape Districts	Fund 101	0.89	\$185,000							0.2%	\$1,738	0.2%	\$1,285
5375 - Landscape Districts	Fund 24x	0.15	\$200,000							0.0%	\$293	0.0%	\$217
5375 - Landscape Districts	Fund 271	8.00	\$2,420,190	2.0%	\$6,354	2.0%	\$17,591	2.0%	\$18,795	1.8%	\$15,625	1.8%	\$11,551
558x - Sol Waste	Fund 53x	1.00	\$19,539,300	2.0%	\$6,354	2.0%	\$17,591	2.0%	\$18,795	0.2%	\$1,953	0.2%	\$1,444
535s - Sewer Maintenance	Fund 521	2.00	\$1,648,580				\$0			0.4%	\$3,906	0.4%	\$2,888
536s - Sewer Operations	Fund 521	26.04	\$6,143,140	1.0%	\$3,177	4.0%	\$35,182	2.0%	\$18,795	5.7%	\$50,859	5.7%	\$37,598
535w - Water Maintenance	Fund 511	18.65	\$4,175,610				\$0			4.1%	\$36,425	4.1%	\$26,928
536w - Water Operations	Fund 511	22.22	\$8,630,130	1.0%	\$3,177	4.0%	\$35,182	2.0%	\$18,795	4.9%	\$43,398	4.9%	\$32,082
53dr - Drainage	Fund 541	2.70	\$645,380					1.0%	\$9,397	0.6%	\$5,273	0.6%	\$3,898
5551 - Transit Operations	Fund 571	2.78	\$2,230,670	1.0%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.6%	\$5,430	0.6%	\$4,014
5552 - Airport Operations	Fund 561	1.72	\$810,990	1.0%	\$3,177	1.0%	\$8,796	1.0%	\$9,397	0.4%	\$3,359	0.4%	\$2,483
		137.40	\$56,429,390	10.0%	\$31,772	21.0%	\$184,708	12.0%	\$112,769	30.2%	\$268,356	30.2%	\$198,384
55xx - Recreation Mgmt	Fund 101	1.25	\$340,670							0.3%	\$2,441	0.3%	\$1,805
5513 - Library	Fund 101	0.02	\$240,760	0.5%	\$1,589	0.1%	\$880	0.1%	\$940	0.0%	\$39	0.0%	\$29
5544 - Recreation Div 52.0%	Fund 101	6.75	\$750,260	2.0%	\$6,354	3.0%	\$26,387	2.1%	\$19,735	1.5%	\$13,183	1.5%	\$9,746
5544 - Recreation Div 48.0%	Fund 113	6.23	\$681,500	2.0%	\$6,354	2.0%	\$17,591	1.9%	\$17,855	1.4%	\$12,168	1.4%	\$8,995
556x - Cultural Arts	Fund 101	9.00	\$1,651,060	2.0%	\$6,354	5.0%	\$43,978	5.0%	\$46,987	2.0%	\$17,578	2.0%	\$12,995
		23.25	\$3,664,250	6.5%	\$20,652	10.1%	\$88,836	9.1%	\$85,516	5.1%	\$45,410	5.1%	\$33,569
7xxx - Capital Projects	Fund xxx	0.00	\$74,730,210	Work effort for Capital Projects allocated to Planning Division & Engineering Divisions									
59xx - Non Departmental	Fund xxx	0.00	\$6,495,080	Most of the \$ expended are Equipment Acquisition									
Citywide Totals		455.26	\$227,938,400	100.0%	\$317,720	100.0%	\$879,560	100.0%	\$939,740	100.0%	\$889,170	100.0%	\$657,325

CITY OF TRACY  
CENTRAL COSTS ALLOCATION  
FY14-15 Adopted

CENTRAL COSTS ALLOCATION  
based upon FY14-15 Adopted Budget

CENTRAL COSTS ALLOCATION for FY14-15		50% of Finance		Revenue Coll		Allocated Totals	GGA Overhead Reallocated	Dept Overhead Reallocated	Total \$ Allocated
Program	Fund	% & \$ Allocated		% & \$ Allocated					
5311 - Public Works Admin	Fund 101	0.1%	\$657	0.2%	\$2,908	\$38,659	\$5,600	-\$44,259	\$0
5331 - Central Garage	Fund 601	2.0%	\$13,147			\$27,923	\$4,045	3.3%	\$1,444
533x - Bldg Maint & Custodial	Fund 615	1.5%	\$9,860			\$28,883	\$4,184	4.2%	\$1,858
5513 - Community Facilities	Fund 101	2.0%	\$13,147	2.0%	\$29,077	\$67,141	\$9,725	4.8%	\$2,124
5340 - Street Maint 23.6%	Fund 101	1.8%	\$11,832			\$47,900	\$6,938	2.1%	\$946
5340 - Street Maint 76.4%	Fund 24x	3.5%	\$22,678			\$60,588	\$8,776	8.4%	\$3,704
5343 - Street Sweeping	Fund 531	0.1%	\$657			\$997	\$144	0.1%	\$33
5348 - Traffic Electric	Fund 101	0.1%	\$657			\$657	\$95	0.0%	\$0
5348 - Traffic Electric	Fund 24x	0.3%	\$1,972			\$1,972	\$286	0.0%	\$0
5347 - Graffiti Removal	Fund 101	0.1%	\$657			\$2,526	\$366	0.4%	\$183
537x - Parks Maint	Fund 101	3.0%	\$19,720			\$101,137	\$14,649	12.1%	\$5,376
5375 - Landscape Districts	Fund 101	0.2%	\$1,315			\$4,338	\$628	0.7%	\$295
5375 - Landscape Districts	Fund 24x	0.1%	\$657			\$1,167	\$169	0.1%	\$50
5375 - Landscape Districts	Fund 271	1.4%	\$9,203	3.0%	\$43,616	\$79,119	\$11,460	6.0%	\$2,655
558x - Sol Waste	Fund 53x	0.5%	\$3,287	18.0%	\$261,693	\$49,424	\$7,159	0.7%	\$332
535s - Sewer Maintenance	Fund 521	1.0%	\$6,573	2.0%	\$29,077	\$13,367	\$1,936	1.5%	\$664
536s - Sewer Operations	Fund 521	5.0%	\$32,866	13.0%	\$189,001	\$178,477	\$25,852	19.5%	\$8,642
535w - Water Maintenance	Fund 511	2.4%	\$15,776	2.5%	\$36,346	\$79,129	\$11,462	14.0%	\$6,189
536w - Water Operations	Fund 511	5.2%	\$34,181	29.0%	\$421,617	\$166,816	\$24,163	16.7%	\$7,374
53dr - Drainage	Fund 541	1.4%	\$9,203	2.0%	\$29,077	\$27,772	\$4,022.65	2.0%	\$896
5551 - Transit Operations	Fund 571	2.0%	\$13,147	2.0%	\$29,077	\$73,037	\$10,579.22	2.1%	\$923
5552 - Airport Operations	Fund 561	1.0%	\$6,573	3.0%	\$43,616	\$77,402	\$11,211.41	1.3%	\$571
		34.7%	\$227,763	76.7%	\$1,115,103	\$1,128,430	\$163,450	100.0%	\$0
55xx - Recreation Mgmt	Fund 101	2.0%	\$13,147	0.4%	\$5,815	\$23,208	\$3,362	-\$26,570	\$0
5513 - Library	Fund 101	0.1%	\$657			\$4,133	\$599	0.1%	\$24
5544 - Recreation Div 52.0%	Fund 101	3.0%	\$19,720			\$95,125	\$13,779	30.7%	\$8,152
5544 - Recreation Div 48.0%	Fund 113	3.0%	\$19,720	2.5%	\$36,346	\$119,030	\$17,241	28.3%	\$7,524
556x - Cultural Arts	Fund 101	3.0%	\$19,720	2.5%	\$36,346	\$183,958	\$26,646	40.9%	\$10,869
		11.1%	\$72,963	5.4%	\$78,508	\$425,454	\$61,626	100.0%	\$0
7xxx - Capital Projects	Fund xxx								
59xx - Non Departmental	Fund xxx								
Citywide Totals		100.0%	\$657,521	100.0%	\$1,453,850	\$4,784,460	\$0	\$0	\$4,784,460

\$5,794,886

\*1 - Revenue Collection allocation  
for F511, F521, F531, & F541

CITY OF TRACY  
 OVERHEAD COSTS ALLOCATION  
 FY14-15 Adopted

DEPARTMENTAL OVERHEAD ALLOCATION  
based upon FY14-15 Adopted Budget

DEPARTMENTAL OVERHEAD ALLOCATION  
for FY14-15

Program	Fund	FTEs FY14-15	Budget FY14-15	% Allocated	\$ Amount Allocated	
5855 - Enterprise Rev Coll	Fund 125					From Page 1 above
Landscape Districts	Fund 271	9.04	\$2,805,190		\$43,616	
53wt - Water Operations	Fund 511	40.87	\$12,805,740		\$457,963	\$1,010,426
53sw - Sewer Operations	Fund 521	28.04	\$7,791,720		\$218,078	
5553 - Sol Waste	Fund 531	1.00	\$19,539,300		\$261,693	
53dr - Drainage	Fund 541	2.70	\$645,380		\$29,077	
		<u>81.65</u>	<u>\$43,587,330</u>		<u>\$1,010,426</u>	
5611 - DES Admin	Fund 101		\$411,230			
562x - Planning Division	Fund 116	6.00	\$1,014,630	20.0%	\$82,246	
564x - Building Division	Fund 111	9.40	\$2,737,940	20.0%	\$82,246	
5631 - Redevelopment	Fund 381	0.00	\$250,000	5.0%	\$20,562	
5632 - Housing	Fund 281	0.00	\$0		\$0	
5643 - Code Enforcement	Fund 101	2.70	\$527,770	10.0%	\$41,123	
563x - Economic Development	Fund 2xx	2.0	\$972,100	5.0%	\$20,562	
532x - Engineering Division	Fund 112	16.10	\$3,819,230	40.0%	\$164,492	
		<u>36.20</u>		<u>100.0%</u>	<u>\$411,230</u>	
5311 - Public Works Admin	Fund 101		\$669,130			
5343 - Street Sweeping	Fund 531	0.10	\$384,830	9.0%	\$60,210	12.7%
5513 - Community Facilities	Fund 101	6.40	\$596,430	6.9%	\$65,629	9.8%
5340 - Street Maintenance	Fund xxx	14.01	\$2,436,340	10.5%	\$99,401	14.9%
537x - Parks Maintenance	Fund 101	16.75	\$2,530,860	12.6%	\$118,841	17.8%
5375 - Landscape Districts	Fund 271	16.15	\$2,805,190	6.0%	\$56,760	8.5%
538x - Sol Waste	Fund 53x	1.00	\$19,539,300	0.7%	\$7,095	1.1%
535w - Water Maintenance	Fund 511	18.65	\$4,175,610	14.0%	\$132,322	Maintenance Div only 19.8%
535s - Sewer Maintenance	Fund 521	2.00	\$1,648,580	1.5%	\$14,190	Maintenance Div only 2.1%
53dr - Drainage	Fund 541	2.70	\$645,380	2.0%	\$19,157	2.9%
5331 - Central Garage	Fund 601	4.35	\$1,492,460	3.3%	\$30,863	4.6%
533x - Bldg Maint & Custodial	Fund 615	5.60	\$1,436,240	4.2%	\$39,732	5.9%
			<u>\$38,360,350</u>	<u>70.7%</u>	<u>\$644,200</u>	<u>100.0%</u>

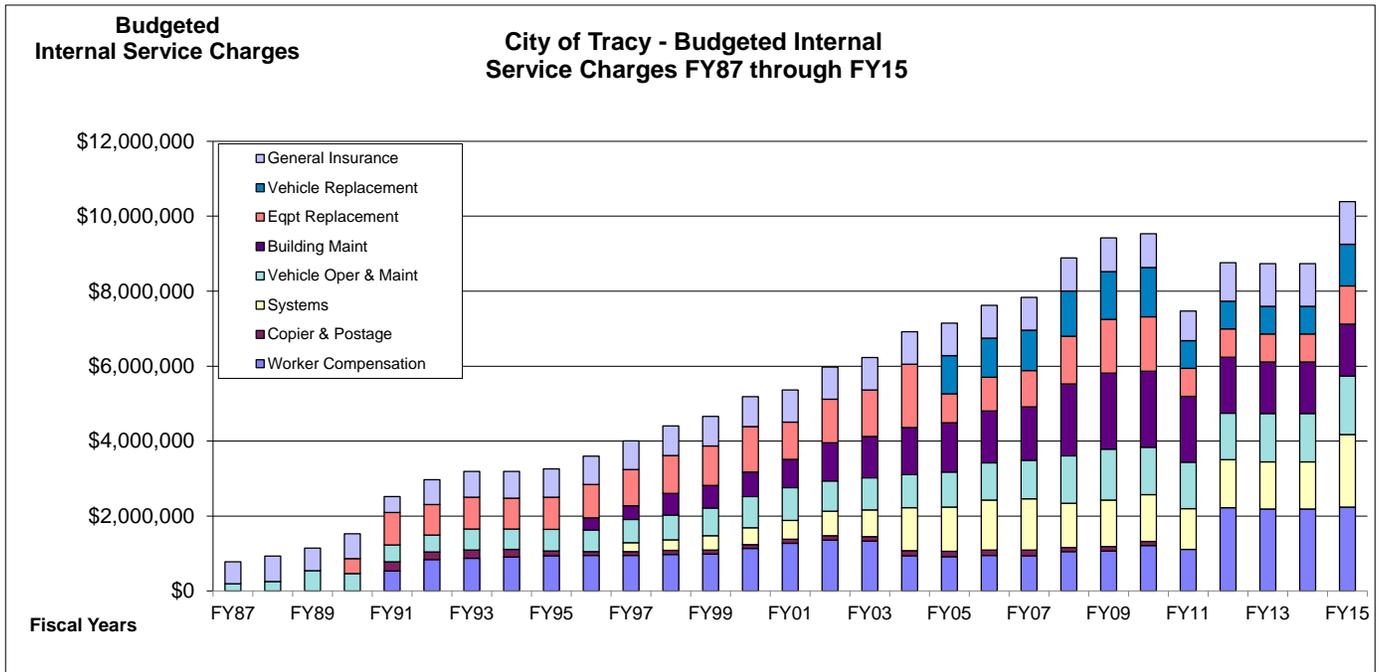
PROGRAMS	Worker's Comp "188"	Inf Tech Charges "219"	Vehicle Op & Maint "229"	Vehicle Fuel "338"	Bldg Maintenance "259"	Gen Eqpt Charges "269"	Vehicle Charges "26V"	General Insurance "279"	Total
<b>Police Department</b>									
51110 - Police Administration	\$13,930	\$24,480	\$5,200	\$6,680	\$15,950	\$4,400	\$4,060	\$15,740	\$90,440
51210 - Patrol Force	475,000	108,310	190,100	243,450	130,930	142,180	177,440	167,030	1,634,440
51220 - Traffic Enforcement	49,000	5,230	15,800	580	11,090	7,090	11,400	9,470	109,660
51230 - Parking Enforcement	6,600	3,440	680	2,450	3,160	1,690	2,420	4,490	24,930
51240 - Police Reserves	310	0	0	0	0	1,000	0	1,000	2,310
51260 - Animal Control	20,300	24,360	4,860	9,520	17,700	6,300	4,100	7,210	94,350
51270 - Youth Services	28,000	10,720	2,910	1,000	5,950	3,390	2,640	9,640	64,250
51280 - Crime Prevention	23,500	11,370	5,070	7,040	7,550	3,930	5,320	11,150	74,930
51310 - General Investigations	91,200	35,750	10,640	16,010	37,200	18,550	29,800	21,880	261,030
51330 - Special Investigations	40,800	36,540	5,960	11,880	21,000	14,980	10,280	11,420	152,860
51340 - Forensic Services	30,000	21,920	5,630	3,330	21,770	8,420	4,280	8,720	104,070
51410 - Police Records	16,000	124,760	0	0	45,980	27,900	0	14,980	229,620
51460 - Communications	86,000	138,090	1,390	240	53,020	55,570	0	32,560	366,870
Dept Total 12 Programs	\$880,640	\$544,970	\$248,240	\$302,180	\$371,300	\$295,400	\$251,740	\$315,290	\$3,209,760
<b>Fire Department</b>									
52110 - Fire Administration	\$9,180	\$21,570	\$4,550	\$11,240	\$14,190	\$4,660	\$12,080	\$7,970	\$85,440
52150 - Fire Prevention & Education	12,300	19,490	4,320	14,060	21,470	4,980	12,000	9,860	98,480
52210 - Fire Operations	605,000	193,950	39,180	18,330	123,260	168,820	204,000	119,890	1,472,430
52250 - Fire Training & Safety	2,900	6,460	1,490	1,430	7,970	3,440	3,160	2,320	29,170
Dept Total 4 Programs	\$629,380	\$241,470	\$49,540	\$45,060	\$166,890	\$181,900	\$231,240	\$140,040	\$1,685,520
<b>Public Works Department</b>									
53110 - Public Works Administration	\$12,200	\$36,310	\$9,640	\$3,540	\$14,370	\$8,160	\$4,500	\$8,460	\$97,180
53310 - Central Garage	20,100	9,300	16,120	0	22,150	9,160	1,500	11,170	89,500
53320 - Building Maintenance	18,700	4,950	6,980	5,330	8,890	4,980	8,300	7,330	65,460
53330 - Custodial Maintenance	900	0	0	1,030	0	2,390	0	8,200	12,520
53410 - Roadway Maintenance	27,400	10,280	56,890	25,970	8,410	8,920	67,400	14,580	219,850
53420 - Sidewalk & Median Mainten	13,100	7,330	24,000	12,320	6,100	7,230	23,700	16,690	110,470
53430 - Street Sweeping	500	0	0	0	260	0	0	3,060	3,820
53440 - Traffic Maintenance	9,400	8,830	8,160	7,190	5,220	4,170	9,400	8,810	61,180
53460 - Street Tree Maintenance	1,900	7,960	15,640	1,250	490	6,000	7,600	12,190	53,030
53470 - Graffiti Removal	2,300	2,500	4,460	6,870	1,300	6,850	4,600	740	29,620
53480 - Traffic Electric	0	5,610	0	0	0	0	0	1,840	7,450
53510 - Water Distribution	28,300	17,320	72,820	53,430	12,820	9,360	38,000	16,450	248,500
53520 - Water Meters	34,800	12,890	14,260	19,280	15,890	10,960	12,200	20,520	140,800
53530 - Wastewater Collection	8,600	12,040	8,940	5,480	3,640	13,890	39,100	14,850	106,540
53540 - Storm Drain Maintenance	9,500	19,820	5,150	3,660	2,580	3,340	16,200	5,870	66,120
53710 - Parks Maintenance	54,200	28,930	101,950	74,890	39,530	19,540	55,000	29,310	403,350
53720 - Sportsfield Maintenance	9,490	2,690	23,460	80	19,070	5,280	14,000	3,790	77,860
53750 - Landscaping Districts	27,870	39,830	24,210	20,430	8,310	7,090	24,100	16,460	168,300
53780 - Community Facilities	6,840	13,870	6,000	5,000	79,810	5,050	4,800	5,400	126,770
53810 - SW Collection & Disposal	100	3,290	0	200	1,010	2,190	0	790	7,580
53820 - SW Recycling	2,100	4,140	2,580	340	4,970	2,160	2,300	1,370	19,960
55510 - Transit Operations	6,500	14,490	1,390	60,080	36,400	65,600	179,000	19,980	383,440
55520 - Airport Operations	4,700	10,760	9,020	5,900	2,270	4,700	9,700	14,550	61,600
Dept Total 23 Programs	\$299,500	\$273,140	\$411,670	\$312,270	\$293,490	\$207,020	\$521,400	\$242,410	\$2,560,900
<b>Utilities Department</b>									
53120 - Utilities Management	7,320	7,720	2,500	200	9,540	4,100	0	2,840	\$34,220
53610 - WW Lift Stations	1,700	3,540	470	0	0	2,880	4,500	1,010	14,100
53620 - Water Wells & Pumping	5,200	3,540	9,020	15,170	0	2,260	4,500	2,490	42,180
53630 - WWT Plant Maintenance	37,500	9,410	21,880	17,000	1,080	12,720	20,400	12,070	132,060
53640 - Water Plant Maintenance	27,800	8,520	1,450	340	500	4,050	10,200	1,790	54,650
53650 - Electrical Maintenance	8,700	6,620	30,520	10,420	1,730	4,840	15,200	7,680	85,710
53660 - WWT Plant Operations	51,000	41,370	5,850	5,030	1,080	5,700	3,700	19,090	132,820
511-53670 - Utilities Laboratory	7,900	7,640	1,680	450	420	2,550	3,000	2,970	26,610
521-53670 - Utilities Laboratory	17,900	8,270	7,590	2,710	570	11,240	4,200	6,860	59,340
53680 - Water Plant Operations	49,100	9,410	2,570	1,730	1,080	3,440	3,400	16,190	86,920
53690 - Water Management	2,100	5,880	2,820	1,260	3,320	1,880	1,700	1,500	20,460
Dept Total 10 Programs	\$216,220	\$111,920	\$86,350	\$54,310	\$19,320	\$55,660	\$70,800	\$74,490	\$689,070

PROGRAMS	Worker's Comp "188"	Inf Tech Charges "219"	Vehicle Op & Maint "229"	Vehicle Fuel "339"	Bldg Maintenance "259"	Gen Eqpt Charges "269"	Vehicle Charges "26V"	General Insurance "279"	Total
<b>Development Services Department</b>									
56110 - DS Administration	\$5,820	\$14,240	\$3,890	\$1,000	\$4,450	\$2,630	\$2,700	\$3,590	\$38,320
56230 - Planning Services	16,980	49,820	320	0	20,840	9,210	0	25,500	122,670
56410 - Building Plans Checking	16,400	37,540	1,450	1,130	19,280	11,140	1,100	11,990	100,030
56420 - Building Inspections	20,500	43,350	11,430	4,540	6,550	3,740	6,900	23,470	120,480
56510 - Code Enforcement	11,200	18,530	540	4,490	7,360	2,340	4,300	16,650	65,410
56610 - Engineering Project Review	7,400	46,230	2,620	1,010	18,440	8,580	1,300	10,560	96,140
56620 - Capital Project Design	4,700	50,160	1,380	1,080	21,970	8,800	4,800	32,560	125,450
56630 - Utilities Engineering	1,500	49,140	610	0	4,230	2,160	0	2,920	60,560
56640 - Traffic Engineering	3,800	30,940	330	0	6,620	5,640	1,000	9,650	57,980
56650 - Construction Management	3,600	56,490	6,690	6,530	21,150	7,330	7,300	18,710	127,800
56810 - Economic Development	4,200	8,620	0	0	4,360	3,030	0	3,990	24,200
<b>Dept Total</b> 11 Programs	<b>\$96,100</b>	<b>\$405,060</b>	<b>\$29,260</b>	<b>\$19,780</b>	<b>\$135,250</b>	<b>\$64,600</b>	<b>\$29,400</b>	<b>\$159,590</b>	<b>\$939,040</b>
<b>General Government Agencies</b>									
58110 - Legislation & Policy	\$0	\$17,070	\$0	\$0	\$20,580	\$10,380	\$0	\$3,640	\$51,670
58210 - Legal Counsel	12,200	19,360	0	0	17,730	4,680	0	2,430	56,400
58310 - City Administration	12,100	34,330	0	0	12,050	10,290	0	11,800	80,570
58320 - City Clerk	4,100	12,130	0	0	8,460	7,320	0	3,600	35,610
58350 - Educ/Govt CTV	1,550	9,300	0	0	11,540	6,800	0	220	29,410
58360 - MCYSN CMO	2,600	7,670	0	0	4,880	2,030	0	2,470	19,650
<b>Dept Total</b> 6 Programs	<b>\$32,550</b>	<b>\$99,860</b>	<b>\$0</b>	<b>\$0</b>	<b>\$75,240</b>	<b>\$41,500</b>	<b>\$0</b>	<b>\$24,160</b>	<b>\$273,310</b>
<b>Recreation &amp; Cultural Arts Division</b>									
55401 - Recreation Management	\$3,140	\$20,610	\$3,700	\$1,560	\$1,470	\$1,440	\$4,800	\$1,760	\$38,480
55130 - Library	0	2,510	0	0	40,900	2,360	0	6,900	52,670
55410 - Special Interest Classes	1,090	9,520	0	0	3,530	3,050	0	12,890	30,080
55420 - Aquatics/Community Pool	1,000	5,300	0	0	7,720	2,720	0	17,460	34,200
55430 - Athletics	1,220	6,630	0	1,410	940	2,890	0	12,170	25,260
55440 - Youth Development	3,400	8,920	0	0	1,410	9,030	0	14,660	37,420
55450 - Senior Citizens	2,180	16,860	0	0	25,570	2,190	0	14,330	61,130
55460 - MCYSN Recreation	300	3,640	0	0	1,530	1,230	0	3,060	9,760
55480 - Community Events	1,020	4,050	0	0	3,810	410	0	4,040	13,330
55490 - Teen Recreation	1,100	4,850	0	0	2,380	1,220	0	6,430	15,980
58371 - Cultural Arts	3,820	21,010	0	0	11,390	27,580	0	16,280	80,080
58372 - Arts Education	5,260	0	0	0	33,000	0	0	0	38,260
58373 - Gallery	1,680	0	0	0	20,000	0	0	0	21,680
58374 - Theatre Presentations	1,100	0	0	0	20,000	0	0	0	21,100
58375 - Theatre Rentals	1,800	0	0	0	30,000	0	0	0	31,800
<b>Dept Total</b> 16 Programs	<b>\$28,110</b>	<b>\$103,900</b>	<b>\$3,700</b>	<b>\$2,970</b>	<b>\$203,650</b>	<b>\$54,120</b>	<b>\$4,800</b>	<b>\$109,980</b>	<b>\$511,230</b>
<b>Administrative Services Department</b>									
58410 - Human Resources	\$6,080	\$32,800	\$0	\$0	\$14,150	\$14,790	\$0	\$16,800	\$84,620
58420 - Risk Management	7,280	13,970	0	0	14,000	8,130	0	0	43,380
58710 - Central Services	500	0	0	0	3,440	14,990	0	240	19,170
58720 - Cash Management	100	6,370	0	0	1,780	3,250	0	5,580	17,080
58730 - Budget Coordination	4,760	13,680	0	0	7,620	5,100	0	1,420	32,580
58740 - Fiscal Operations	4,500	20,780	0	0	14,090	13,030	0	7,310	59,710
58750 - Accounting Services	2,500	16,000	0	0	8,220	8,190	0	3,480	38,390
58760 - Revenue Collection	17,650	42,430	0	0	21,290	27,780	0	20,990	130,140
58770 - Information Systems	13,430	8,000	1,330	550	29,120	26,220	2,200	12,390	93,240
<b>Dept Total</b> 7 Programs	<b>\$56,800</b>	<b>\$154,030</b>	<b>\$1,330</b>	<b>\$550</b>	<b>\$113,710</b>	<b>\$121,480</b>	<b>\$2,200</b>	<b>\$68,210</b>	<b>\$518,310</b>
<b>City Totals</b> 99 Programs	<b>\$2,239,300</b>	<b>\$1,934,350</b>	<b>\$830,090</b>	<b>\$737,120</b>	<b>\$1,378,850</b>	<b>\$1,021,680</b>	<b>\$1,111,580</b>	<b>\$1,134,170</b>	<b>\$10,387,140</b>

	Worker's Comp "188"	Inf Tech Charges "219"	Vehicle Op & Maint "229"	Vehicle Fuel "339"	Bldg Maintenance "259"	Gen Eqpt Charges "269"	Vehicle Charges "26V"	General Insurance "279"	Total
<b>Funding Sources</b>									
271 - Landscaping	27,870	39,830	24,210	20,430	8,310	7,090	24,100	16,460	168,300
295 - CTV	\$1,550	\$9,300	\$0	\$0	\$11,540	\$6,800	\$0	\$220	\$29,410
511 - Water	159,610	93,630	106,175	91,760	40,915	37,630	73,000	64,790	667,510
521 - Wastewater	121,110	103,060	46,285	30,320	13,255	49,560	71,900	56,760	492,250
531 - Solid Waste	2,700	7,430	2,580	540	6,240	4,350	2,300	5,220	31,360
541 - Drainage	9,500	19,820	5,150	3,660	2,580	3,340	16,200	5,870	66,120
561 - Airport	4,700	10,760	9,020	5,900	2,270	4,700	9,700	14,550	61,600
571 - Transit	6,500	14,490	1,390	60,080	36,400	65,600	179,000	19,980	383,440
601 - Central Garage	20,100	9,300	16,120	0	22,150	9,160	1,500	11,170	89,500
602 - Central Services	13,930	8,000	1,330	550	32,560	41,210	2,200	12,630	112,410
615 - Building Maintenance	19,600	4,950	6,980	6,360	8,890	7,370	8,300	15,530	77,980
627 - Self Insurance	7,280	13,970	0	0	14,000	8,130	0	0	43,380
101 - General	1,844,850	1,599,810	610,850	517,520	1,179,740	776,740	723,380	910,990	8,163,880
	<b>\$2,239,300</b>	<b>\$1,934,350</b>	<b>\$830,090</b>	<b>\$737,120</b>	<b>\$1,378,850</b>	<b>\$1,021,680</b>	<b>\$1,111,580</b>	<b>\$1,134,170</b>	<b>\$10,387,140</b>

Revenues to IS Funds

601 - Central Garage			\$830,090	\$737,120				
602 - Central Services		\$1,934,350						
605 - Equipment Replacement						\$868,890	\$787,680	
615 - Building Maintenance					\$771,790			
627 - Self Insurance	\$2,239,300							\$1,134,170
Enterprise Funds Retainage						\$152,790	\$323,900	
Credits for Direct Paid Bills					\$607,060			



City of Tracy  
Adopted FY 2014-2015 Budget

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